

# CONSUMER ENERGY SOLUTIONS, INC.

1255 Cleveland Street, Clearwater, Florida, 33755

Phone (727) 724-5811 Fax (727) 466-1369

November, 21<sup>st</sup>, 2014

# RECEIVED

Rosemary Chiavetta, Secretary Pennsylvania Public Utility Commission Commonwealth Keystone Building, 2<sup>nd</sup> Floor Harrisburg, PA 17120 NOV **2** 1 2014

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

2444030

,

RE: Consumer Energy Solutions, Inc.; Docket No. A-2014-244030 Gas Supplier Application Data Request response.

Dear Secretary Chiavetta:

I received the Commissions data request letter regarding our application and have enclosed the responses and documentations required by providing a newly revised application form with attachments as noted below by numbered request in the Commissions Letter. I have as well sent an electronic copy to Debra Backer, Bureau of Technical Utility Services.

1. Please Provide a Web Address – Please see Section one of the application submitted with the web address under 1. Identity of the Applicant. (Page 1)

2. Section 4, Fictitious Name – I have corrected the application removing any reference to CES, Inc which was simply an internal acronym that should not have been included in the application. Consumer Energy Solutions will use no Fictitious or dba names and represents itself solely as Consumer Energy Solutions, Inc. (Page 3)

3. Section 5 Business Entities and Department of State Filings. Please find the corrections requested noting Consumer Energy Solutions, Inc as a Foreign Corporation.

Please find enclosed the company Articles of Incorporation, Florida Secretary of State Documentation, Florida Telemarketers License and the State filing as a corporation in the Commonwealth of Pennsylvania. Consumer Energy Solutions is registered with the Secretary of State Florida since incorporation and does not hold a PUC license in Florida as it does not serve the State of Florida Suppliers in the Energy Markets of Gas or Electric. (Page 4) Attachment (1)

4. Section 9 Proposed Services: Please see the correction made to section 11 removing "government" as a service class, so that the application is congruent throughout as to the Service Classes Consumer Energy Solutions intends to serve as a Broker/Marketer in Pennsylvania. (Page 5)

5. Section 12 : Notice and Proof of Publication in select newspapers. Please find



## CONSUMER ENERGY SOLUTIONS, INC. 1255 Cleveland Street, Clearwater, Florida, 33755

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attached the original affidavits and copies of Articles placed in the following Newspapers The Erie Times-News, The Harrisburg Patriot-News, The Philadelphia Daily News, The Pittsburgh Post Gazette, The Scranton Times-Tribune, and the Williamsport Sun- Gazette. (Page 6) Attachment 2

6. Section 13 – Taxation – Please find enclosed the completed Tax Certification Form with the needed information and corrections including a copy of the initial Tax Id assignment letter from the Department of Revenue Pennsylvania. (Page 8) Attachment 3

7. Section 15a- Contacts for Customer Service and Complaints. Please see page 8 of the application noting both individuals to be contacted in the event of any complaint. (page 8)

8. Section 16 – Financial fitness and NGDC bonding requirements. Please find correction on page 9 of the application removing the notation of no bond required and as required the NGDC Bonding letters from Valley Energy, UGI, Peoples Gas, PECO, National Fuel, PGW and Columbia Gas enclosed as attachment (Page 8 & 9) Attachment 4

9. Section 16 Financial Fitness and Section 5 Business Entity; Please see the attached resumes of Patrick J. Clouden and Jim Mathers and those sections of the application with corrections removing Patricia Clouden and George Clouden as they have never been nor are Officers of the Corporation and were mistakenly added by my assistant in error. (Page 8) Attachment 5

10. Application Affidavits- Please find the three affidavits required attached with corrections denoting Mr. Clouden's position as CEO. All documents have been signed newly removing any reference to CES, Inc. as requested. Attached please find the Affidavits as legally binding original documents per the data request and submitted to the commission newly along with this application and its corrected pages in original form to Secretary Chiavetta and Debra Backer.

Please let me know if there is anything else you may need. .

You may contact me, Ms. Eve Witter, at (727) 724-5811 ext 2022, for Consumer Energy Solutions, Inc.

Sincerely,

Consumer Energy Solutions, Inc. Corporate Regulatory Assistant/Corporations

CC: Electionic Copy Debra Bacher Btus

# **BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION**

Application of **Consumer Energy Solutions, Inc.,** d/b/a, **CES, Inc.** for approval to offer, render, furnish as a **Broker/Marketer** to the public in the Commonwealth of Pennsylvania.

A-2014-2444030

To the Pennsylvania Public Utility Commission:

1. **IDENTITY OF THE APPLICANT:** The name, address, telephone number, and FAX number of the Applicant are:

Consumer Energy Solutions, Inc. 1255 Cleveland Street, Suite 400 Clearwater, Florida 33755 Telephone #: (727) 724-5811 FAX #: (727) 233-0837 Website Address: http://www.consumerenergysolutions.com/

Please identify any predecessor(s) of the Applicant and provide other names under which the Applicant has operated within the preceding five (5) years, including name, address, and telephone number.

N/A

2. a. **CONTACT PERSON:** The name, title, address, telephone number, and FAX number of the person to whom questions about this Application should be addressed are:

Eve Witter, Regulatory Director 1255 Cleveland Street, Suite 400 Clearwater, Florida 33755 Telephone #: (727) 724-5811, ext. 2020 FAX #: (727) 233-0837

b. **CONTACT PERSON-PENNSYLVANIA EMERGENCY MANAGEMENT AGENCY:** The name, title, address telephone number and FAX number of the person with whom contact should be made by PEMA:

Eve Witter, Regulatory Director 1255 Cleveland Street, Suite 400 Clearwater, Florida 33755 Telephone #: (727) 724-5811, ext. 2020 FAX #: (727) 233-0837

3.a. **ATTORNEY:** If applicable, the name, address, telephone number, and FAX number of the Applicant's attorney are:

N/A

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PA PUBLIC UTILIN COMPANISSION SECRETARY'S BUREAU

- b. **REGISTERED AGENT**: If the Applicant does not maintain a principal office in the Commonwealth, the required name, address, telephone number and FAX number of the Applicant's Registered Agent in the Commonwealth are: PennCorp Service Group Inc, Linda D. Walas, 600 North Second Street, Harrisburg PA 17108
- 4. FICTITIOUS NAME: (select and complete appropriate statement)
  - The Applicant will be using a fictitious name or doing business as ("d/b/a"):

#### N/A

Attach to the Application a copy of the Applicant's filing with the Commonwealth's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

#### or

XX The Applicant will not be using a fictitious name.

5. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:** (select and complete appropriate statement)

L The Applicant is a sole proprietor.

If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

#### or

The Applicant is a:

domestic general partnership (\*) domestic limited partnership (15 Pa. C.S. §8511) foreign general or limited partnership (15 Pa. C.S. §4124) domestic limited liability partnership (15 Pa. C.S. §8201) foreign limited liability general partnership (15 Pa. C.S. §8211) foreign limited liability limited partnership (15 Pa. C.S. §8211)

# Provide proof of compliance with appropriate Department of State filing requirements as indicated above.

Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.

#### Patrick Clouden, 111 Manetee Road, Belleaire, Florida, 33756

# Jim Mathers, 8 Evonaire Circle, Belleaire, Florida 33756

\* If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

## or

XX The Applicant is a:

domestic corporation (none) foreign corporation (15 Pa. C.S. §4124) domestic limited liability company (15 Pa. C.S. §8913) foreign limited liability company (15 Pa. C.S. §8981)

Provide proof of compliance with appropriate Department of State filing requirements as indicated above. Additionally, provide a copy of the Applicant's Articles of Incorporation. **SEE ATTACHED** 

Give name and address of officers.

Patrick Clouden, CEO, 111 Manatee Road, Belleair, FL 33756 Patrick Clouden, Officer/Director Patrick Clouden, Secretary Patrick Clouden, Treasurer

Jim Mathers, Vice President,

The Applicant is incorporated in the state of Florida.

6. **AFFILIATES AND PREDECESSORS WITHIN PENNSYLVANIA:** (select and complete appropriate statement)

Affiliate(s) of the Applicant doing business in Pennsylvania are:

Give name and address of the affiliate(s) and state whether the affiliate(s) are jurisdictional public utilities.

Does the Applicant have any affiliation with or ownership interest in:

- (a) any other Pennsylvania retail natural gas supplier licensee or licensee applicant,
- (b) any other Pennsylvania retail licensed electric generation supplier or license applicant,
- (c) any Pennsylvania natural gas producer and/or marketer,
- (d) any natural gas wells or
- (e) any local distribution companies (LDCs) in the Commonwealth

If the response to parts a, b, c, or d above is affirmative, provide a detailed description and explanation of the affiliation and/or ownership interest.

Provide specific details concerning the affiliation and/or ownership interests involving:

(a) any natural gas producer and/or marketers,

(b) any wholesale or retail supplier or marketer of natural gas, electricity, oil, propane or other energy sources.

Provide the Pa PUC Docket Number if the applicant has ever applied: (a) for a Pennsylvania Natural Gas Supplier license, or (b) for a Pennsylvania Electric Generation Supplier license.

If the Applicant or an affiliate has a predecessor who has done business within Pennsylvania, give name and address of the predecessor(s) and state whether the predecessor(s) were jurisdictional public utilities.

### or

XX. The Applicant has no affiliates doing business in Pennsylvania or predecessors which have done business in Pennsylvania.

7. APPLICANT'S PRESENT OPERATIONS: (select and complete the appropriate statement)

XX The Applicant is presently doing business in Pennsylvania as a

unatural gas interstate pipeline.

unicipal providing service outside its municipal limits. local gas

distribution company

retail supplier of natural gas services in the Commonwealth a natural

gas producer

XXX Other. (Identify the nature of service being rendered.)

Licensed in Pennsylvania, Broker/Marketer Electric License No. A-2009-2132021

# or

The Applicant is not presently doing business in Pennsylvania.

#### 8. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a:

supplier of natural gas services. Municipal

supplier of natural gas services.

Cooperative supplier of natural gas services.

XXX Broker/Marketer engaged in the business of supplying natural gas services.

Aggregator engaged in the business of supplying natural gas services.

U Other (Describe):

9. **PROPOSED SERVICES:** Generally describe the natural gas services which the Applicant proposes to offer.

# Broker Market the service types of Commercial, Residential, Industrial in the State of Pennsylvania for Suppliers

10. **SERVICE AREA:** Provide each Natural Gas Distribution Company (NGDC) in which Applicant proposes to offer services.

#### All service areas in Pennsylvania

- 11. **CUSTOMERS:** Applicant proposes to initially provide services to:
  - X Residential Customers
  - X Commercial Customers (Less than 6,000 Mcf annually)
  - X Commercial Customers (6,000 Mcf or more annually)
  - X Industrial Customers
    - **Governmental Customers**
    - Other (Describe):
- 12. START DATE: The Applicant proposes to begin delivering services on IMMEDIATELY. Please find attached original Notarized Proofs of Publication for Ads placed with the following Newspapers.

The Erie Times-News, The Harrisburg Patriot-News, The Philadelphia Daily News, The Pittsburgh Post Gazette, The Scranton Times-Tribune, and the Williamsport Sun-Gazette.

NOTICE: Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, serve a copy of the signed and verified Application with attachments on the following:

Irwin A. Popowsky Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120-1921

William R. Lloyd, Jr. Commerce Building, Suite 1102 Small Business Advocate 300 North Second Street Harrisburg, PA 17101

Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

Commonwealth of Pennsylvania Department of Revenue **Bureau of Compliance** Harrisburg, PA 17128-0946

Any of the following Natural Gas Distribution Companies through whose transmission and distribution facilities the applicant intends to supply customers:

,

Vollov Engrave Inc.	
Valley Energy Inc.	National Fuel Gas Distribution Corp.
Robert Crocker	David D. Wolford
523 South Keystone Avenue	6363 Main Street
Sayre, PA 18840-0340	Williamsville, NY 14221
PH: 570.888-9664	PH: 716.857.7483
FAX: 570.888.6199	FAX: 716.857.7479
email: tcrocker@ctenterprises.org	email: wolfordd@natfuel.com
UGI Central Penn	Peoples Natural Gas Company LLC
David Beasten	Peoples Division
2525 N. 12 <sup>th</sup> Street, Suite 360	Lynda Petrichevich
Reading, PA 19612-2677	375 North Shore Drive, Suite 600
PH: 610.796.3425	Pittsburgh, PA 15212
FAX: 610.796.3559	PH: 412.208.6528
	FAX: 412.208.6577
	email: Lynda.W.Petrichevich@peoples-gas.com
Peoples TWP LLC (Formerly T. W. Phillips)	
Lynda Petrichevich	David Beasten
375 North Shore Drive, Suite 600	2525 N. 12 <sup>th</sup> Street, Suite 360
Pittsburgh, PA 15212	Reading, PA 19612-2677
PH: 412.208.6528	PH: 610.796.3425
FAX: 412.208.6577	FAX: 610.796.3559
email: Lynda.W.Petrichevich@peoples-gas.com	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
UGI Penn Natural	Peoples Natural Gas Company LLC
David Beasten	Equitable Division
2525 N 12 <sup>th</sup> Street, Suite 360	
2525 N. 12 <sup>th</sup> Street, Suite 360 Reading, PA 19612-2677	Lynda Petrichevich
Reading, PA 19612-2677	Lynda Petrichevich 375 North Shore Drive, Suite 600
Reading, PA 19612-2677 PH: 610.796.3425	Lynda Petrichevich 375 North Shore Drive, Suite 600 Pittsburgh, PA 15212
Reading, PA 19612-2677	Lynda Petrichevich 375 North Shore Drive, Suite 600 Pittsburgh, PA 15212 PH: 412.208.6528
Reading, PA 19612-2677 PH: 610.796.3425	Lynda Petrichevich 375 North Shore Drive, Suite 600 Pittsburgh, PA 15212 PH: 412.208.6528 FAX: 412.208.6577
Reading, PA 19612-2677 PH: 610.796.3425 FAX: 610.796.3559	Lynda Petrichevich 375 North Shore Drive, Suite 600 Pittsburgh, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 email: Lynda.W.Petrichevich@peoples-gas.com
Reading, PA 19612-2677 PH: 610.796.3425 FAX: 610.796.3559	Lynda Petrichevich 375 North Shore Drive, Suite 600 Pittsburgh, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 email: Lynda.W.Petrichevich@peoples-gas.com Columbia Gas of Pennsylvania Inc.
Reading, PA 19612-2677 PH: 610.796.3425 FAX: 610.796.3559 PECO Carlos Thillet, Manager, Gas Supply and Transportation	Lynda Petrichevich 375 North Shore Drive, Suite 600 Pittsburgh, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 email: Lynda.W.Petrichevich@peoples-gas.com Columbia Gas of Pennsylvania Inc. Thomas C. Heckathorn
Reading, PA 19612-2677 PH: 610.796.3425 FAX: 610.796.3559 PECO Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2	Lynda Petrichevich 375 North Shore Drive, Suite 600 Pittsburgh, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 email: Lynda.W.Petrichevich@peoples-gas.com <b>Columbia Gas of Pennsylvania Inc.</b> Thomas C. Heckathorn 200 Civic Center Drive
Reading, PA 19612-2677 PH: 610.796.3425 FAX: 610.796.3559 PECO Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2 Philadelphia, PA 19103	Lynda Petrichevich 375 North Shore Drive, Suite 600 Pittsburgh, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 email: Lynda.W.Petrichevich@peoples-gas.com <b>Columbia Gas of Pennsylvania Inc.</b> Thomas C. Heckathorn 200 Civic Center Drive Columbus, OH 43215
Reading, PA 19612-2677 PH: 610.796.3425 FAX: 610.796.3559 PECO Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2 Philadelphia, PA 19103 PH: 215.841.6452	Lynda Petrichevich 375 North Shore Drive, Suite 600 Pittsburgh, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 email: Lynda.W.Petrichevich@peoples-gas.com <b>Columbia Gas of Pennsylvania Inc.</b> Thomas C. Heckathorn 200 Civic Center Drive Columbus, OH 43215 PH: 614.460.4996
Reading, PA 19612-2677 PH: 610.796.3425 FAX: 610.796.3559 PECO Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2 Philadelphia, PA 19103	Lynda Petrichevich 375 North Shore Drive, Suite 600 Pittsburgh, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 email: Lynda.W.Petrichevich@peoples-gas.com Columbia Gas of Pennsylvania Inc. Thomas C. Heckathorn 200 Civic Center Drive Columbus, OH 43215 PH: 614.460.4996 FAX:614.460.6442
Reading, PA 19612-2677 PH: 610.796.3425 FAX: 610.796.3559 PECO Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2 Philadelphia, PA 19103 PH: 215.841.6452	Lynda Petrichevich 375 North Shore Drive, Suite 600 Pittsburgh, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 email: Lynda.W.Petrichevich@peoples-gas.com <b>Columbia Gas of Pennsylvania Inc.</b> Thomas C. Heckathorn 200 Civic Center Drive Columbus, OH 43215 PH: 614.460.4996
Reading, PA 19612-2677 PH: 610.796.3425 FAX: 610.796.3559 PECO Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2 Philadelphia, PA 19103 PH: 215.841.6452	Lynda Petrichevich 375 North Shore Drive, Suite 600 Pittsburgh, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 email: Lynda.W.Petrichevich@peoples-gas.com Columbia Gas of Pennsylvania Inc. Thomas C. Heckathorn 200 Civic Center Drive Columbus, OH 43215 PH: 614.460.4996 FAX:614.460.6442
Reading, PA 19612-2677 PH: 610.796.3425 FAX: 610.796.3559 PECO Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2 Philadelphia, PA 19103 PH: 215.841.6452 email: carlos.thillet@exeloncorp.com	Lynda Petrichevich 375 North Shore Drive, Suite 600 Pittsburgh, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 email: Lynda.W.Petrichevich@peoples-gas.com Columbia Gas of Pennsylvania Inc. Thomas C. Heckathorn 200 Civic Center Drive Columbus, OH 43215 PH: 614.460.4996 FAX:614.460.6442
Reading, PA 19612-2677 PH: 610.796.3425 FAX: 610.796.3559 PECO Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2 Philadelphia, PA 19103 PH: 215.841.6452 email: carlos.thillet@exeloncorp.com Philadelphia Gas Works	Lynda Petrichevich 375 North Shore Drive, Suite 600 Pittsburgh, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 email: Lynda.W.Petrichevich@peoples-gas.com Columbia Gas of Pennsylvania Inc. Thomas C. Heckathorn 200 Civic Center Drive Columbus, OH 43215 PH: 614.460.4996 FAX:614.460.6442
Reading, PA 19612-2677 PH: 610.796.3425 FAX: 610.796.3559 PECO Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2 Philadelphia, PA 19103 PH: 215.841.6452 email: <u>carlos.thillet@exeloncorp.com</u> Philadelphia Gas Works Douglas Moser	Lynda Petrichevich 375 North Shore Drive, Suite 600 Pittsburgh, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 email: Lynda.W.Petrichevich@peoples-gas.com Columbia Gas of Pennsylvania Inc. Thomas C. Heckathorn 200 Civic Center Drive Columbus, OH 43215 PH: 614.460.4996 FAX:614.460.6442
Reading, PA 19612-2677 PH: 610.796.3425 FAX: 610.796.3559 PECO Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2 Philadelphia, PA 19103 PH: 215.841.6452 email: <u>carlos.thillet@exeloncorp.com</u> Philadelphia Gas Works Douglas Moser 800 West Montgomery Avenue	Lynda Petrichevich 375 North Shore Drive, Suite 600 Pittsburgh, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 email: Lynda.W.Petrichevich@peoples-gas.com Columbia Gas of Pennsylvania Inc. Thomas C. Heckathorn 200 Civic Center Drive Columbus, OH 43215 PH: 614.460.4996 FAX:614.460.6442
Reading, PA 19612-2677 PH: 610.796.3425 FAX: 610.796.3559 PECO Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2 Philadelphia, PA 19103 PH: 215.841.6452 email: carlos.thillet@exeloncorp.com Philadelphia Gas Works Douglas Moser 800 West Montgomery Avenue Philadelphia, PA 19122	Lynda Petrichevich 375 North Shore Drive, Suite 600 Pittsburgh, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 email: Lynda.W.Petrichevich@peoples-gas.com Columbia Gas of Pennsylvania Inc. Thomas C. Heckathorn 200 Civic Center Drive Columbus, OH 43215 PH: 614.460.4996 FAX:614.460.6442

Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, attach Proof of Service of the Application and attachments upon the above named parties. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14.

13. TAXATION: Complete the TAX CERTIFICATION STATEMENT attached as Appendix B to this application.

#### See Attached fully Completed Tax Certification Form

14. COMPLIANCE: State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application has been convicted of a crime involving fraud or similar activity. Identify all proceedings, by name, subject and citation, dealing with business operations, in the last five (5) years, whether before an administrative body or in a judicial forum, in which the Applicant, an affiliate, a predecessor of either, or a person identified herein has been a defendant or a respondent. Provide a statement as to the resolution or present status of any such proceedings.

The Applicant, an affiliate, a predecessor of either, or a person identified in this Application has not been convicted of a crime involving fraud or similar activity, dealing with business operations, in the last five (5) years, whether before an administrative body or in a judicial forum, in which the Applicant, an affiliate, a predecessor of either, or a person identified herein has been a defendant or a respondent.

- STANDARDS, BILLING PRACTICES, TERMS AND CONDITIONS OF PROVIDING SERVICE AND 15. CONSUMER EDUCATION: All services should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.
  - a. Contacts for Consumer Service and Complaints: Provide the name, title, address, telephone number and FAX number of the person and an alternate person responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with Applicant, the Distribution Company, the Pennsylvania Public Utility Commission or other agencies.

Tina Silagyi Customer Service Manager Consumer Energy Solutions, Inc. Phone: 1-866-263-7808 Fax: 727-233-0837

George Clouden VP Operations Division Consumer Energy Solutions, Inc. Phone: 727-724-5811 Fax: 727-233-0837

- b. Provide a copy of all standard forms or contracts that you use, or propose to use, for service provided to residential customers.
- c. If proposing to serve Residential and/or Small Commercial customers, provide a disclosure statement. A sample disclosure statement is provided as Appendix B to this Application.

#### FINANCIAL FITNESS: 16.

A. Applicant shall provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

#### See Attachments

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies. •
- Published parent company financial and credit information.

Applicant's balance sheet and income statement for the most recent fiscal year. Published financial Natural Gas Supplier License Application 8 PA PUC Document #: 139346

information such as 10K's and 10Q's may be provided, if available.

- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements
- Such other information that demonstrates Applicant's financial fitness.

# Applicant must provide the following information: See Attachments- Bonding requirements'- Letters from each Utility.

- Provide proof of compliance with bonding/credit requirements for each NGDC the applicant is proposing to provide service in. This requirement is designated by each NGDC and can commonly be found in the NGDC supplier tariff. See Attached Letters from each NGDC.
- Identify Applicant's chief officers including names and their professional resumes.

#### See Attachments

Provide the name, title, address, telephone number and FAX number of Applicant's custodian for its accounting records.
 Beverly Hepner, Chief Financial Officer, Consumer Energy Solutions, Inc 1255 Cleveland Street, Clearwater, Florida 33755 Phone: 727-871-9699 Fax: 727-748-1756

**TECHNICAL FITNESS:** To ensure that the present quality and availability of service provided by natural gas utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following: **See Attachments** 

- The identity of the Applicant's officers directly responsible for operations, including names and their professional resumes.
- A copy of any Federal energy license currently held by the Applicant.
- Proposed staffing and employee training commitments.
- Business plans.
- 17. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2208(D). Transferee will be required to file the appropriate licensing application.

#### Applicant agrees.

18. UNIFORM STANDARDS OF CONDUCT AND DISCLOSURE: As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. **REPORTING REQUIREMENTS**: Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:

#### Applicant agrees.

a. Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on an annual basis no later than 30 days following the end of the calendar year.

Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 22 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive natural gas market.

**FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur in the information upon which the Commission relied in approving the original filing.

#### Applicant agrees.

19. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

#### Applicant agrees.

20. **FEE:** The Applicant has enclosed the required initial licensing fee of \$350.00 payable to the Commonwealth of Pennsylvania. *Enclosed*.

Applicant: CONSUMER ENERGY SOLUTIONS,

INC. Bv:

Title: Patrick J. Clouden, CEO

## Attachment 1

3. Section 5 Business Entities and Department of State Filings.

Please find the corrections requested noting Consumer Energy Solutions, Inc as a Foreign Corporation.

Please find enclosed the company

Articles of Incorporation, Florida Secretary of State Documentation, Florida Telemarketers License and the State filing as a corporation in the Commonwealth of Pennsylvania.

Consumer Energy Solutions is registered with the Secretary of State Florida since incorporation and does not hold a PUC license in Florida as it does not serve the State of Florida Suppliers in the Energy Markets of Gas or Electric. (Page 4) Attachment (1)

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PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU ARTICLES OF INCORPORATION OF : CONSUMER ENERGY SOLUTIONS. INC.

#### I. NAME

The name of this corporation shall be CONSUMER ENERGY SOLUTIONS, INC.

#### II.

#### PURPOSE

This corporation is organized to engage in any activity of business permitted under the laws of the United States or of this State.

#### III.

#### TERM OF EXISTENCE

This corporation shall begin existence as of the date of filing and shall exist perpetually.

IV:....

#### CAPITAL STOCK

This corporation is authorized to issue seven thousand five hundred (7,500) shares of \$1.00 par value.

#### v.

#### PREEMPTIVE RIGHTS

Every shareholder, upon the sale for cash of any new stock of this corporation of the same kind, class or series as that which he already holds, shall have the right to purchase his pro rata share thereof (as nearly as may be done without issuance of fractional shares) at the price at which it is offered to others.

#### MAILING ADDRESS

....

The mailing address of the corporation is: 11596 - 94th Street, North, Largo, Florida, 33773.

VI. ..

#### VII.

#### INITIAL REGISTERED OFFICE AND AGENT

the name of the initial registered agent of this corporation at

that address is R. Carlton Ward.

The street address of the initial registered office of this corporation is 1253 Park Street, Clearwater, Florida, 33757, and

54. <del>-</del>

LAW OFFICES OF RICHARDS, GILKEY, FITE. SLAUGHTER, PRAYESI & WAND, P.A. CLEARWAYER, FLORIDA

#### VIII.

#### INCORPORATOR

The name and address of the person signing these Articles is:

R. Carlton Ward, Esq. 1253 Park Street Clearwater, Florida 33757

IX.

#### INDEMNIFICATION

The corporation shall indemnify any officer or director, of any former officer or director, to the full extent permitted by law.

#### х.

#### AMENDMENT

The corporation reserves the right to amend or repeal any provisions contained in these Articles of Incorporation, or any amendment hereto, and any right conferred upon the shareholders is subject to this reservation.

IN WITNESS WHEREOF, the undersigned subscriber has executed these Articles of Incorporation this  $\frac{13}{2}$  day of August, 1999.

Carlton Ward, Esquire R.

f:realest/pb/corp2/artincor.com

CERTIFICATE DESIGNATING PLACE OF BUSINESS OR DOMICILE FOR THE SERVICE OF PROCESS WITHIN THIS STATE, NAMING AGENT UPON WHOM PROCESS MAY BE SERVED.

In pursuance of Chapter 48.091, Florida Statutes, the following is submitted, in compliance with said Act.

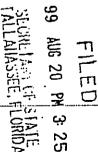
First--That CONSUMER ENERGY SOLUTIONS, INC., is desiring to organize under the laws of the State of Florida with its principal office, as indicated in the Articles of Incorporation at City of Clearwater, County of Pinellas, State of Florida, has named R. Carlton Ward located at 1253 Park Street, City of Clearwater, County of Pinellas, State of Florida, as its agent to accept service of process within this state.

ACKNOWLEDGMENT: (MUST BE SIGNED BY DESIGNATED AGENT)

Having been named to accept service of process for the above stated corporation, at place designated in this certificate, I hereby accept to act in this capacity, and agree to comply with the provision of said Act relative to keeping open said office.

By: CARLTON WARD, ESQ. R.

(REGISTERED AGENT)



#### UNANIMOUS WRITTEN CONSENT OF INCORPORATOR AND DIRECTORS OF CONSUMER ENERGY SOLUTIONS, INC. IN LIEU OF ORGANIZATIONAL MEETING

Pursuant to Section 607.0205, Florida Statutes, the undersigned being the incorporator and all the directors of CONSUMER ENERGY SOLUTIONS, INC., a Florida corporation ("the Corporation"), hereby takes the following action in lieu of holding a meeting:

1. The following persons, whose addresses are designated opposite his or her name, are elected to serve as directors of the corporation until the next annual meeting of shareholders and his or her successors is elected and qualified or until his or her earlier resignation, removal, or death:

#### <u>Name</u>

#### Address

Patrick J. Clouden

11596-94th Street North Largo, Florida 33773

2. The Articles of Incorporation of the Corporation as filed by the Florida Department of State are hereby approved, ratified, and confirmed in every respect and shall be filed in the Corporation's book.

3. The Bylaws attached hereto are adopted as the Bylaws of the Corporation.

4. Each of the following persons is elected to serve in the office of the Corporation designated opposite his or her name until the next annual meeting of directors and his or her successor is elected and qualified or until his or her earlier resignation, removal from office, or death:

<u>Name</u>

#### <u>Office</u>

Patrick J. Clouden	President
James Mathers	Vice President
Patrick J. Clouden	Secretary
Patrick J. Clouden	Treasurer

5. The seal impressed on the margin of this page is adopted as the official seal of the Corporation.

6. The form of stock certificate attached to this Written Consent and marked "Specimen" is approved for use in issuing stock in the Corporation.

7. It is deemed advisable by the Board of Directors that this Corporation offer for sale and issue 100 shares of the common stock\_authorized\_by\_its\_Articles\_of\_Incorporation\_to\_Patrick\_J.\_\_\_\_\_ Clouden and 100 shares to James Mathers It is further deemed advisable that the offer, sale and issue of such shares be effectuated in such manner that qualified stockholders may receive the benefits of Section 1244 of the Internal Revenue Code; and

It is RESOLVED that this Corporation adopt the following plan for the sale of its stock and that the President of this Corporation, and such other officers as he may designate, be, and they are hereby authorized and directed to offer for sale and to sell and issue up to 7,500 shares of the Common Stock of this Corporation, in the total dollar amount of not more than \$999,000.00, in cash or other property.

There is not now outstanding any offering, or portion thereof, of this Corporation to sell or issue any of its stock, and

This Corporation is a small business corporation as defined in Section 1244(c)(3) of the Internal Revenue Code; and

8. The President of the Corporation is authorized to designate from time to time depositories for the Corporation's use. The President and Treasurer of the Corporation are authorized to sign on the Corporation's account at any such depositories. The officers of the Corporation are authorized to execute and deliver the necessary bank resolution forms.

9. The Secretary of the Corporation shall procure the books of account and other books necessary or appropriate for carrying on the Corporation's business.

10. The Corporation authorizes the payment or reimbursement of all charges and expenditures incident to or arising out of the organization of the Corporation and elects to amortize over a 60month period all of such expenses which may be amortized under Section 248 of the Internal Revenue Code of 1986, as amended.

Dated this day of September, 1999.

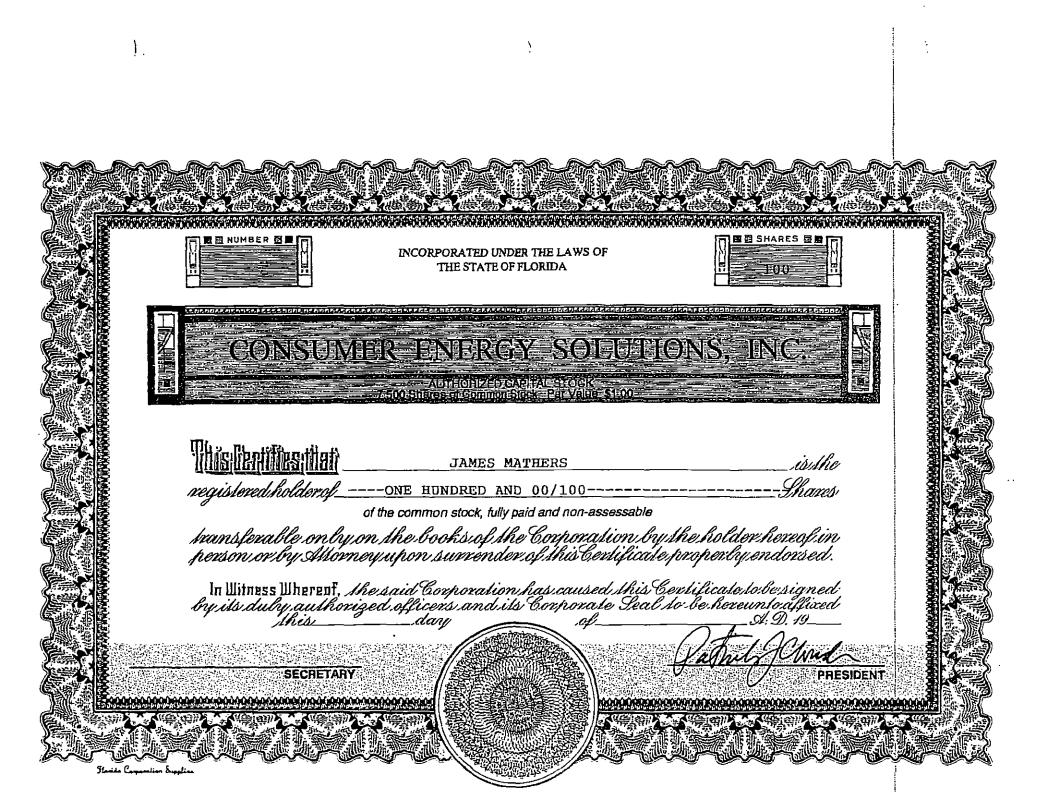
TOR'S SIGNATURE Patrick Clouden

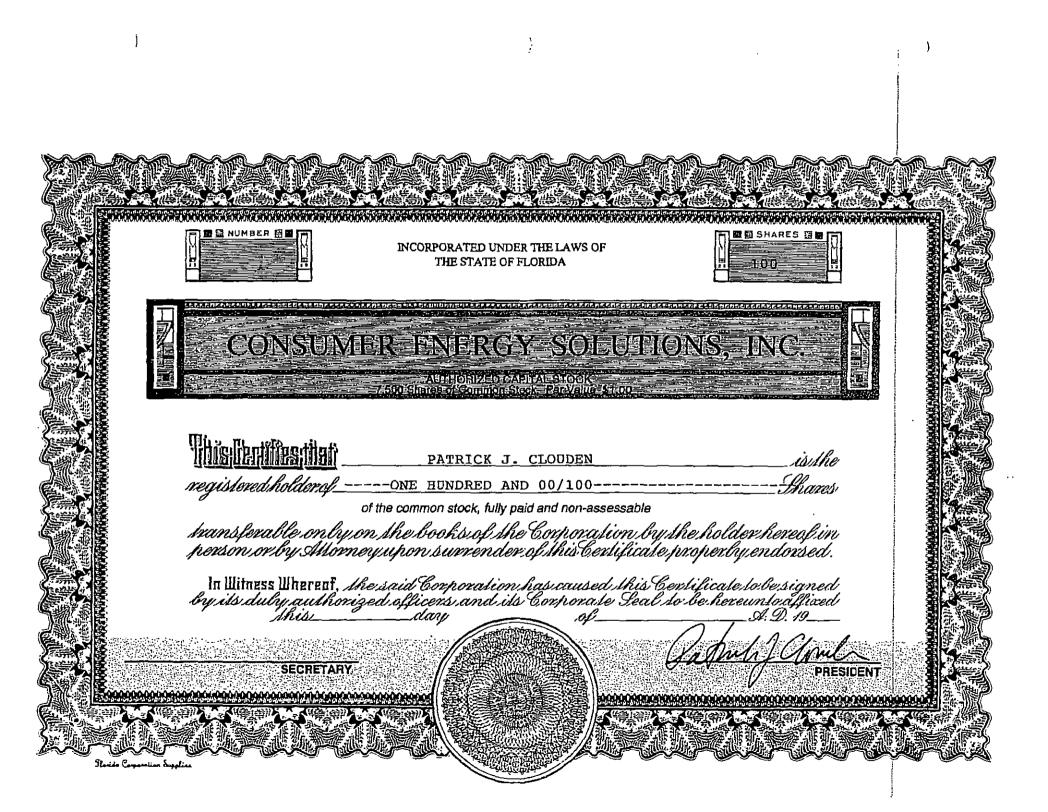
DATE OF SIGNATURE

DATE OF SIGNATURE

INCORPORATOR: Ward

f:realest/pb/corp2/unanim.con





# State of Florida **Department of State**

I certify from the records of this office that CONSUMER ENERGY SOLUTIONS, INC. is a corporation organized under the laws of the State of Florida, filed on August 20, 1999.

The document number of this corporation is P99000075979.

I further certify that said corporation has paid all fees due this office through December 31, 2014, that its most recent annual report/uniform business report was filed on March 31, 2014, and its status is active.

I further certify that said corporation has not filed Articles of Dissolution.

Given under my hand and the Great Seal of the State of Florida at Tallahassee, the Capital, this the Thirty-first day of March, 2014



Ken Detren Secretary of State

Authentication ID: CC6853432203

To authenticate this certificate, visit the following site enter this ID, and then follow the instructions displayed.

https://efile.sunbiz.org/certauthver.html



Florida Department of Agriculture and Consumer Services Division of Consumer Services 2005 Apalachee Pkwy Tallahassee, Florida 32399-6500

March 7, 2014

CONSUMER ENERGY SOLUTIONS, INC. PO BOX 2454 CLEARWATER, FL 33757-2454

Subject : Commercial Telephone Seller, TC2956, valid until: March 7, 2015

Enclosed is the commercial telephone seller license TC2956 issued to your business. The department does not review the content of contracts or scripts when processing applications for licensure. It is recommended you seek legal counsel to ensure these documents are in compliance with Florida statutes. Please inform the Department of any changes in the original license application, on the Department's Material Change Form. The current version of the form is available online at http://www.800helpfla.com/tmkfaq2.html.

When salespersons are hired, please remember the law requires that they be licensed or obtain interim operating authority prior to making calls on behalf of your business. You should be aware that s.501.616(4), F.S., provides it is unlawful for any commercial telephone seller or salesperson to be unlicensed and provides for civil penalties of up to \$10,000 per violation in s.501.619, F.S. In addition, s.501.623(3), F.S., states that no commercial telephone seller or salesperson shall solicit without a license. A person who violates this section commits a felony of the third degree.

If you have any questions concerning this matter, please contact us at 1-800-HELP-FLA or 850-488-2221 (if calling out of state).

Cut Here



POST CERTIFICATE CONSPICUOUSLY State of Florida Department of Agriculture and Consumer Services Division of Consumer Services 2005 Apalachee Pkwy Tallahassee, Florida 32399-6500

# Commercial Telephone Seller Registration Certificate

Chapter 501, Part IV, Florida Statutes

CONSUMER ENERGY SOLUTIONS, INC. 1255 CLEVELAND ST STE 400 CLEARWATER, FL 33755-4917 Issue Date: March 7, 2014 Expiration Date: March 7, 2015

Registration No.: TC2956

ADAM H. PUTNAM COMMISSIONER OF AGRICULTURE

This is to certify that the commercial telephone seller whose name and address are shown above has paid the required fee and posted an approved security as required by Sections 501.601-501.626, F.S., and is hereby granted this license as a Commercial Telephone Seller as defined in Section 501.603, F.S.

Current Statiment.

8/11/2011

•

Penncorp Servicegroup, Inc.		**100.00	)
One Hundred and 00/100*********************************	******************	**********	
Penncorp Servicegroup, Inc. PO box 1210 600 Norht Second St. Harrisburg, PA 17108-1210			
Invoice-RA 211-09			
Penncorp Servicegroup, Inc. Licenses and Permits	PA-CASA	8/11/2011	100.00
CES Regions Invoice-RA 211-09			100.00
Penncorp Servicegroup, Inc. Licenses and Permits	PA-CASA	8/11/2011	100.00

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	Statements in statements
200404	0-1340
~~vv1v1	V 1V1V

Entity Number 2927269

Microfilm Number

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Filed with the Depo	idment a	of State	on
Vechis	C.	Cis	-t

Secretary of the Commonwealth Q.C.C.

APR 27 2004

#### STATEMENT OF CHANGE OF REGISTERED OFFICE BY AGENT DSCB:15-108 [Rev 90]

In compliance with the requirements of 15 Pa.C.S. § 108 (relating to change in location or status of registered affice provided by agent). The undersigned person who maintains the registered affice of an association and who desires to change the following with respect to such agency hereby states that:

1. The name of the association represented by the undersigned person is: \_\_\_\_

<u>Consumer Energy Solutions. Inc.</u>

2. The address of the present registered office in this Commanwealth of the above-named association is:

PENNCORP	SERVICEGROUP,	INC.			DAUPHIN
Number and Street		City	State	Zip	County

3, (if the registered office address is to be changed, complete the following):

The address in the same county to which the registered office in this Commonwealth of the above-named association is to be changed is:

Number and Street City State Zip County

4. The name of the person in care of the foregoing office is: Linda D. Walas

The person named immediately above in this paragraph has been designated in fact as the agent in care of the registered office in the Commonwealth of Pennsylvania of the association named in paragraph 1 of this statement.

5. (Check one or more of the following, as appropriate):

\_\_\_\_This statement reflects a change in name of the agent.

- \_\_\_\_\_The change in registered office set forth in this statement reflects the removal of the place of business of the agent to a new location within the county.
- <u>X</u>\_The status of the agent as the provider of the registered office of the above-named association has been terminated.

IN TESTIMONY WHEREOF, the undersigned person has caused this Statement of Change of Registered Office by Agent to be signed this <u>27th</u> day of <u>April</u>, <u>2004</u>

PENNCORP SERVICEGROUP INC (Nome) (Signature)

TITLE: Office Manager

PAL DEPL OF STATE

COMMONWEALTH OF PENNSYLVANIA DEPARTMENT OF STATE CORPORATION BUREAU 206 NORTH OFFICE BUILDING P. O. BOX 8722 HARRISBURG, PA 17105-8722 WWW.DOS.STATE.PA.US/CORPS

#### CONSUMER ENERGY SOLUTIONS, INC

THE CORPORATION BUREAU IS HAPPY TO SEND YOU YOUR FILED DOCUMENT. PLEASE NOTE THE FILE DATE AND THE SIGNATURE OF THE SECRETARY OF THE COMMONWEALTH. THE CORPORATION BUREAU IS HERE TO SERVE YOU AND WANTS TO THANK YOU FOR DOING BUSINESS IN PENNSYLVANIA.

IF YOU HAVE ANY QUESTIONS PERTAINING TO THE CORPORATION BUREAU, PLEASE VISIT OUR WEB SITE LOCATED AT WWW.DOS.STATE.PA.US/CORPS OR PLEASE CALL OUR MAIN INFORMATION TELEPHONE NUMBER (717)787-1057. FOR ADDITIONAL INFORMATION REGARDING BUSINESS AND / OR UCC FILINGS, PLEASE VISIT OUR ONLINE "SEARCHABLE DATABASE " LOCATED ON OUR WEB SITE.

#### ENTITY NUMBER: 2927269

#### MICROFILM NUMBER: 2004040

MICROFILM START - END: 1340 - 1340

PENNCORP COUNTER PA Attachment 2

5. Section 12: Notice and Proof of Publication in select newspapers. Please find attached the original affidavits and copies of Articles placed in the following Newspapers (*Page 6*)

. . .

The Erie Times-News, The Harrisburg Patriot-News, The Philadelphia Daily News, The Pittsburgh Post Gazette, The Scranton Times-Tribune, The Williamsport Sun- Gazette.

# PROOF OF PUBLICATION

# COMBINATION EDITION

Consumer Energy Solutions 1255 Clevleland St Suite 400 Clearwater FL 33755

REFERENCE: 81251 80341 PUC NOTICE

STATE OF PENNSYLVANIA) COUNTY OF ERIE ) SS: Debra McGraw, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, a true and correct copy of which is attached, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 07/12/14

TOTAL COST: \$409.00

AD SPACE: 0 Lines

FILED ON: 07/12/14

Sworn to and subscribed before me this 318+ day of October
Affiant: Nebra Mc Maw
NOTARY: Knowna V Kurs

# RECEIVED

NOV	21	2014
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PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE Application of Consumer Energy Solutions, Inc. For Approval To Offer, Render, Furnish Or Supply Gas Or Gas Generation Services As A Generator And Supplier OI Gas , AS A Marketer/Broker Engaged In The Business Of Supplying Gas, And An Aggregator Engaged In The Business Of Supplying Gas, To The Public In The Commonwealth Of Pennsylvania. Consumer Energy Solutions, Inc will be filing an application with the Pennsylvania Public UIIIty Commission ("PUC") for a license to supplying gas/pricing , and (3) an aggregator engaged in the business of supplying gas/pricing , and (3) an aggregator engaged in the business of supplying gas/pricing and (3) an aggregator engaged in the business of supplying gas/pricing to all markets in Pennsylvania Consumer Energy Solutions, Inc proposes to sell Gas and related services throughout all of Pennsylvania under the provisions of the new Gas Customer Choice and Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Consumer Energy Solutions, Inc may be filed within 15 days of the date of this notice with the Secretary of the PUC. P.O. Box 3265, Harrisburg, PA 17105-3265, You should send copies of any protest to Customer Acquisition Specialists of America, Inc attorney at the endergy find and the date of the Specialists of America, Inc attorney at the
P.O. Box 3265, Harrisburg, PA 17105-3265, You should send copies of any
By and through Counsel: John Londot Greenberg Traurig, P.A. 101 East College Avenue
Tallahassee, FL 32301 Tel 850.425.8539   Fax 850.521.1359 LondotJ@gtlaw.com   www.gtlaw.com

COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Barbara J. Moore, Notary Public
City of Sile Inte County
My Commission Expires March 23, 2016
MEMBER, PENNETLVANIA ASSOCIATION OF NOTARIES

2014

The Patriot-News Co. 2020 Technology Pkwy Suite 300 Mechanicsburg, PA 17050 Inquiries - 717-255-8213

The Datriot-Ne Now you know

RECEIVED

CONSUMER ENERGY SOLUTIONS INC. 1255 CLEVELAND STREET, **SUITE 400** 

NOV 2.1 2014

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

**CLEARWATER** 

33755

FL

# THE PATRIOT NEWS THE SUNDAY PATRIOT NEWS

## Proof of Publication

Under Act No. 587, Approved May 16, 1929 Commonwealth of Pennsylvania, County of Dauphin} ss

Marianne Miller, being duly sworn according to law, deposes and says:

That she is the Assistant Controller of The Patriot News Co., a corporation organized and existing under the laws of the Commonwealth of Pennsylvania, with its principal office and place of business at 2020 Technology Pkwy, Suite 300, in the Township of Hampden, County of Cumberland, State of Pennsylvania, owner and publisher of The Patriot-News and The Sunday Patriot-News newspapers of general circulation, printed and published at 1900 Patriot Drive, in the City, County and State aforesaid; that The Patriot-News and The Sunday Patriot-News were established March 4th, 1854, and September 18th, 1949, respectively, and all have been continuously published ever since;

That the printed notice or publication which is securely attached hereto is exactly as printed and published in their regular daily and/or Sunday/ Community Weekly editions which appeared on the date(s) indicated below. That neither she nor said Company is interested in the subject matter of said printed notice or advertising, and that all of the allegations of this statement as to the time, place and character of publication are true; and

That she has personal knowledge of the facts aforesaid and is duly authorized and empowered to verify this statement on behalf of The Patriot-News Co. aforesaid by virtue and pursuant to a resolution unanimously passed and adopted severally by the stockholders and board of directors of the said Company and subsequently duly recorded in the office for the Recording of Deeds cellaneous Book "M", Volume 14, Page 317.

PENNSYLVANIA PUBLIC UTILITY COMMISSION

COMMISSION NOTICE Application of Consumer Energy Solutions, Inc. For Approval To Otter, Render, Fornish Or Supply Gas Or Gas Generation Services As A Generator And Supplier Of Gas, AS A Marketer/Broker Engaged in The Business Of Supplying Gas, To The Public in The Commonwealth Of Pennsylvania. Consumer Energy Solutions, Inc will be filing on application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply gas or gas services as (1) a broker/marketer engaged in the business of supplying

905 Services os (1) o broker rinar kare engaged in the business of supplying gas/pricing, and (3) an aggregator engaged in the business of supplying gas/ pricing to all markets in Pennsylvania

Consumer Energy Solutions, Inc proposes to sell Gas and related services throughout all of Pennsylvania under

Choice and Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial directed to the technical or financial fitness of Consumer Energy Solutions, Inc may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Customer Acquisition Specialists of America, Inc attorney of the address listed below. By and through Counsel: John Londot Greenberg Trauria, PA

Greenberg Traurig, P.A. 101 East College Avenue Tallahassee, FL 32301 Tel 850,425,8539 | Fax 850,521,1359 LondotJØgtlaw.com | www.gtlaw.com

This ad # 0002316655 ran on the dates shown be	elow:
	October 09, 2014
Sworn to and subscribed before me this 09 day of October, 20	014 A.D.
Notary Public D	
COMMONWEALTH OF PENNSYLVANIA	
NOTARIAL SEAL	
Sheryl Marie Leggore, Notary Public	
Hampden Twp., Cumberland County	
My Commission Expires July 16, 2018	
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES	

## Proof of Publication in The Philadelphia Daily News Under Act. No 587, Approved May 16, 1929

#### STATE OF PENNSYLVANIA COUNTY OF PHILADELPHIA

Florence Devlin being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

July 11, 2014

Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

France Harley

Sworn to and subscribed before me this 11<sup>th</sup> day of July, 2014.

Mery ane Jo

My Commission Expires:

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COSSIGNWEALTH OF PENNSYLVANIA NOTARIAL SEAL MARY ANNE LOGAN, Notary Public City of Philadelphia, Phila. County 29 Commission Expires March 30, 2017

**Copy of Notice of Publication** PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE Application of Consumer Energy Solutions, Ino For Approval To Offor, Render, Furnish Or Supply Gas and Supplier Of Gas, AS A Markat-er / Broker Engaged in The Bueiness Of Sup-plying Gas, And An Aggrogator Engaged in The Bueiness Of Supplying Gas, To The Public In The Commonwealth Of Pennsylvania Public Util-ity of Gas and Supplying Gas, To The Public In The Commonwealth Of Pennsylvania Public Util-ity Commission ("PUC") for a license to supply gas or gas sorvices as (1) a broker/marketer ongaged in the bueiness of supplying gas/ pric-ing, and (3) an aggregator ongaged in the buei-ness of supplying Gas/ pricing to all markets in Pennsylvania Consumer Energy Solutions, fine proposes to soil Gas and related services throughout all of Pennsylvania Under the provisions of the new Gas Customer Choice and Competition Ad. The PUC may consider this application without a hearing. Protesta directed to the technical or tioned i timess of Consumer Energy Solu-tions, ine may be filled within 15 days of the date of this notics with the Sacretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265, You should and copies of any protest to consumer Energy Solutions, ine attorney at the address listed bolow. By and through Counsel: John Londot Groenberg Traurig, P.A. 101 East Colloge Avonue Tailahasso, FL 32301 Teilabasco, FL 32301 RECEIVED NOV 2 1 2014 PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

No.

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Term.

# **Proof of Publication of Notice in Pittsburgh Post-Gazette**

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss K. Flaherty , being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the editions and issues of the said Pittsburgh Post-Gazette a regular newspaper of general circulation on the following dates, viz:

#### 10 of July, 2014

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PG Publishing Com Sworn to and subscribed before me this day of: July 10, 2014

COMMONWEALTH OF PENNSYLVANIA

Notarial Seal Linda M. Gaertner, Notary Public City of Pittsburgh, Allegheny County My Commission Expires Jan. 31, 2015 MEMBER, PENNSYLVANIA ASSOCIATION OF NOTAPLES



NOV 21 2014

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

#### STATEMENT OF ADVERTISING COSTS Consumer Energy Solutions

1255 Cleveland Street suite 400 Clearwater FL 33755

To PG Publishing Company

----- \$517.50 Total -----

# **Publisher's Receipt for Advertising Costs**

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforsaid advertising and publication costs and certifies that the same have been fully paid.

Office 34 Boulevard of the Allies PITTSBURGH, PA 15222 Phone 412-263-1338

PG Publishing Company, a Corporation, Publisher of Pittsburgh Post-Gazette, a Newspaper of General Circulation

By

Samuel J. Arbutica

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

#### COPY OF NOTICE **OR PUBLICATION**

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE Application of Con-sumer Energy Solutions, inc For Approval To Offer, Render, Furnish Or Supply Gas Or Gas Gen-eration Services As A Generator And Suppiler Of Gas, As A Marketer/ Broker Engaged in The Business Of Supplying Gas, And An Aggregator Engaged in The Business Of Supplying Gas, To The Public in The Common-wealth Of Pennsylvania. Consumer Energy Solutions, Inc Will be Hiling an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply gas or gas Ser-yi Ce S as (1) a broker/marketer en-gaged in the business of supplying gas/pricing to all markets in Penn-sylvania Consumer Energy Solutions, Inc pro-poses to self Gas and re lated ser vi ce s throughout all of Penn-sylvania under the provi-sions of the new Gas Customer Choice and Commetition Act. The PuC may consid-The PUC may consid-er this application with-out a hearing. Protests directed to the technical or financial fitness of Consumer Energy Solu-tions, inc may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harris-burg, PA 17105-3265. You should send copies of any protest to Cus-tomer Acquisition Spe-cialists of America, inc

tomer Acquisition Spe-cialists of America, inc attorney at the address listed below. By and through Coun: sel: John Londot, Green-berg Traul 12, P.A., 101 East College Ave-nue, Tallahassee, FL 32301, Tel 850.425.8539, Fax 850.521, 1359, onderlægtlaw.com Londot)@gtlaw.com | www.glaw.com

The Scranton Times (Under act P.L. 877 No 160. July 9,1976)

Commonwealth of Pennsylvania, County of Lackawanna

CONSUMER ENERGY SOLUTIONS INC EVE WITTER 601 CLEVELAND STREET, SUITE 32 CLEARWATER FL 33755

Account # 598606 Order # 81556576 Ad Price: 242.60

LEGAL NOTICE PENNSYLVANIA

Amanda Kakareka

Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

07/11/2014

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true

Sworn and subscribed to before me this 11th day of July A.D., 2014

(Notary Public)

COMMONWEALTH OF PENNSYLVANIA Notarial Seal Sharon Venturi. Notary Public City of Scranton, Lackawanna County My Commission Expires Feb. 12, 2018 MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES LEGAL NOTICE www

1

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE Application of Consumer Energy Solutions, Inc. For Approval To Offer, Rendar, Furnish Or Supply Gas Or Gas Generation Services As A Generator And Supplier Of Gas, As A Marketer/ Broker Engaged In. The Business Of Supplying Gas, And An Aggregator Engaged In The Business Of Supplying Gas, To The Public In The Commonwealth Of Pennsylvania.

**Consumer Energy Solutions, Inc.** will be filing an application with the Pennsylvania Public Utility Commission (PUC<sup>-</sup>) for a license to supply gas or gas services as (1) a broker/marketor engaged in the business of supplying gas/pricing, and (3) an aggregator angaged in the business of supplying gas/pricing to all markets in Pennsylvania.

**Consumer Energy Solutions, Inc.** proposes to sell Gas and related services throughout all of Pennsylvania under the provisions of the new Gas Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Consumer Energy Solutions**, Inc. may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to **Customer Acquisition Specialists of America**, Inc. attorney at the address listed below.

Specialists of Animato, into ney at the address listed below. By and through Counsel: John Londot Shareholder Greenberg Traurig, P.A. 101 East College Avenue Tallahassee, FL 323 Telephone 850.425.8539 Fax 850.521.1359 LondotJ@gtlaw.com

RELEIVED

#### NOV 21 2014

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

# PROOF OF PUBLICATION OF NOTICE IN THE WILLLAR FORTE IVED SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

## STATE OF PENNSYLVANIA COUNTY OF LYCOMING

# NOV 2 1 2014

<u>Bernard A. Oravec</u> between the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport Sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

PENNSYLVANIA UTILITY COMMISSION NOTICE Application of Consumer\_Energy\_Solutions. inc For Approval e is an officer daily authorized by the Sun-Gazette Company, publisher of the Williamsport Sun-Gazette, Affiant Offer, Render, Furnish Or ent under oath and also declares that affiant is not interested in the subject matter of the aforesaid notice to veril Supply Gas Or Gas Gen-Supply Gas OF Gas Generative under oath and also declares that analit is not interested in the subject interest of one and eration's Services As A allegations in the foregoing statement as to time, place and character of publication are true. Gas, AS A Marketer/ Broker Engaged in The Business Of Supplying Gas, To The Public 1 in The Business Of Supplying Gas, To The Public 1 in The Comof publ SUN-GAZETTE COMPANY Public 1 In The Com-monwealth Of Pennsyl-Public 1 in Comvania. Sworn to and subscribed before me Soluitions. Inc will be filing' an application with the Pennsylvania Public the day of Utility Commission ("PUC") for a license to 10 supply gas or gas ser-vices as (1) a broker/ Notary Public marketer engaged in the business of supplying NOTARIAL SEAL gas/pricing, and (3) an aggregator engaged in the business of supplying CATHY A. BILLEY, Notery Public City of Williamsport, Lycoming County My Commission Expires May 15, 2015 gas/ pricing to all markets in Pennsylvania. Consumer Energy Solutions, Inc proposes to sell Gas and related STATEMENT OF ADVERTISING COSTS services throughout all of Perinsylvania under the provisions of the new Gas Customer Choice and Competition Act. The PUC may consider this application without To the Sun-Gazette Company, Dr.: a hearing. Protests direct-ed to the technical or For publishing the notice attached financial fitness of Con-sumer Energy Solutions. Inc may be filed within 15 days of the date of this notice with the Secretary of the PUC P.O. Box 3265, Harrisburg, PA 17105-3265. You PUBLISHER'S RECEIPT FOR ADVERTISING COSTS should send copies of any protest to <u>Customer</u> Ac-quisition <u>Specialists</u> of <u>America</u> Inc attorney at OMPANY hereby acknowledges receipt of the aforesaid advertising and publication costs THE the address listed below. me have been fully paid. and By and through Counsel: SUN-GAZETTE COMPANY John Londot Greenberg Traurig, P.A. 101 East College Avenue Tallahassee, FL 32301 , Tel 850.425.8539 BY Bernard A. Oravec Fax 850 521,1359 LondotJ@gtlaw.com www.gllaw.com

SS:

Attachment 3

6. Section 13 – Taxation – Please find enclosed the completed Tax Certification Form with the needed information and corrections including a copy of the initial Tax Id assignment letter from the Department of Revenue Pennsylvania. (Page 8)

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Tax Certification Form Tax Id assignment letter

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NOV 2 1 2014

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

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BUREAU OF CORPORATION TAXES PO BOX 280705 HARRISBURG PA 17128-0705



#### NOTICE OF CORPORATE REGISTRATION

CONSUMER ENERGY SOLUTIONS INC PO BOX 2454 CLEARWATER FL 33757-2454

REVENUE ID:	. 1000694912
FEIN:	.593600276
DOCUMENT ID:	.BU1000081632
MAIL DATE:	.04/08/2013
FISCAL YR END:	.10/31
FIRST ACTIVITY IN PA DATE:	

TAXES SUBJECT:

Corporate Net Income Foreign Franchise Loans

Welcome to Pennsylvania's business community. The Department of Revenue has been advised that you are authorized to conduct business in Pennsylvania. The above Revenue ID number has been assigned to your business for tax reporting purposes. Please reference this number on all correspondence with the department.

Carefully review your name, address and tax information above for accuracy. If no federal employer identification number (FEIN) is indicated, please provide this number to the department as soon as it is available from the federal government. Write the FEIN and other changes or additions in the top, right-hand corner above and return this letter to the PA DEPARTMENT OF REVENUE, PO BOX 280705, HARRISBURG PA 17128-0705.

#### FILING REQUIREMENTS

The taxes you are required to report annually are identified above. Tax reports must be filed timely, even if there is no business activity or if the first year in business is less than 12 months. You are obligated to pay timely and file tax returns until you formally dissolve your corporate charter, file an out of existence affidavit or cancel a license or authorization. Failure to file and pay timely may result in penalties and liens. For information on tax due dates, visit the department's website at <u>www.revenue.state.pa.us</u>.

Pay particular attention to the month your fiscal year ends, identified above, for the following reasons:

- For capital stock/loreign franchise, corporate net income and mutual thrift taxes, the first quarterly estimated payments are due within 75 days following the incorporation/authority date.
- A tederal subchapter S corporation desiring not to be taxed as a PA S corporation is required to file Form REV-976 on or before the due date or extended due date of the first tax period for which it is to be in effect. REV-976 is available at <u>www.revenue.state.pa.us</u>.

#### SUBJECTIVITY TO CORPORATE TAXES FOR LIMITED LIABILITY COMPANIES AND BUSINESS TRUSTS

- According to Section 601 of the Tax Reform Code, limited liability companies and business trusts are considered corporations for purposes of capital stock/foreign tranchise tax, regardless of how they file with the Internal Revenue Service (IRS).
- Under Section 401, any entity that files as a corporation with the IRS is subject to PA corporate net income tax.
  A limited liability company or business trust that does not file as a corporation with the IRS is not subject to the PA corporate net income tax.

#### **ELECTRONIC FILING**

Payments of \$10,000 or more must be remitted electronically. Register online through the department's e-Services Center at <u>www.revenue.state.pa.us</u> to send tax payments to the department online using e-TIDES.

The Department of Revenue appreciates your cooperation and wishes your business success in Pennsylvania. If you have any questions, visit the Online Customer Service Center at www.revenue.state.pa.us or call the Taxnaver Service & Information Center at 717-787-1064.



NOV 21 2014

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU Attachment 4

8. Section 16 – Financial fitness and NGDC bonding requirements. Please find correction on page 9 of the application removing the notation of no bond required and as required the NGDC Bonding letters from

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Valley Energy, UGI, Peoples Gas, PECO, National Fuel , PGW and Columbia Gas enclosed as attachment (Page 8 & 9) Attachment 4

RECEIVED

NOV 2.1 2014 PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU



VALLEY ENERGY

523 S. Keystone Avenue, P.O. Box 340, Sayre, PA 18840 800/998-4427 • 570/888-9664 • FAX 570/888-6199

November 11, 2014

# VIA EMAIL

Mr. Patrick J. Clouden, CEO Consumer Energy Solutions, Inc. 1255 Cleveland St., Suite 400 Clearwater, FL 33755 <u>eve@cesstaff.com</u>

Dear Mr. Clouden:

We understand that Consumer Energy Solutions, Inc. has applied with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania including our company's service area.

Because Consumer Energy Solutions, Inc. intends to only provide natural gas aggregating, brokering and consulting services at this time, we have determined that Consumer Energy Solutions, Inc. will not be required to post a bond or other form of financial security instrument to provide this service in our service area. However, if the service provided or failure to meet our requirements for credit worthiness changes in the future, we reserve the right to require security from Consumer Energy Solutions, Inc. as deemed appropriate.

If you have any questions, please contact Mrs. Marjorie Johnston at 570-888-9664.

Sincerely,

Robert J. Crocker President & CEO

**RJC/ss** 

cc: M. Johnston, Valley Energy



UGI Utilities, Inc. 2525 North 12th Street Suite 360 Post Office Box 12677 Reading, PA 19612-2677

(610) 79C-3400 Telephone

November 18, 2014

Eve Witter Regulatory Director Consumer Energy Solutions, Inc. 1255 Cleveland Street, Suite 400 Clearwater, Florida 33755

RE: Consumer Energy Solutions, Inc. application to serve as a broker/marketer

Dear Ms. Witter,

Based on your assertion, that Consumer Energy Solutions, Inc. ("CES") is applying with the State of Pennsylvania to operate as a natural gas broker/marketer, UGI Utilities Inc.("UGIU") has concluded that CES will not need to post security with UGI-Central Penn Gas ("CPG"), UGI-Penn Natural Gas ("PNG") or UGI Utilities Gas Division ("UGI"). This is based on the declaration that CES will not be taking title to gas or directly serving end use customers. This also assumes that CES will be acting in conjunction with a licensed Natural Gas Supplier who has been approved by the Pennsylvania Public Utility Commission to serve in the applicable UGIU service territories and who has posted the required financial security as specified in the respective UGIU tariffs. If CES wishes to directly serve Choice customers in the service territories of UGI, PNG and/or CPG in the future as a natural gas supplier, it will have to post security as specified in the respective UGI tariffs prior to the commencement of the service.

Please feel free to contact me with any additional questions that you may have.

Sincerely,

David E. Lahoff Manager, Tariff & Supplier Administration UGI Utilities, Inc.



375 N. Shore Drive, Sulte 600 Pittsburgh, PA 15212

Lynda W. Petrichevich Manager, Rates and Regulatory Affairs

Peoples Service Company LLC Phone: 412-208-6528; Fax: 412-208-6577 Emall: [petrichevich@peoples-gas.com

November 6, 2014

Mr. Patrick J. Clouden Owner/Director/CEO Consumer Energy Solutions, Inc. 1255 Cleveland Street Suite 400 Clearwater, Florida 33755

Dear Mr. Clouden:

We are pleased that Consumer Energy Solutions, Inc. has applied for a license to provide natural gas broker/marketer services on the Peoples Group of Companies. Specifically you have requested to be licensed as a supplier on the distribution systems of Peoples Natural Gas Company LLC, Peoples TWP, and Peoples Natural Gas LLC – Equitable Division ("the Companies").

Since Consumer Energy Solutions, Inc. is not currently operating, and has no immediate plan to operate a Pool on the Peoples systems, we have determined at this time that Consumer Energy Solutions, Inc. does not need a bond or other financial security requirement to provide these services to the Company's customers.

If the creditworthiness requirement or the Company's exposure to Consumer Energy Solutions, Inc. provision of services on the Peoples' system changes in the future, the Companies may deem it appropriate to require a bond or other financial instrument.

If you have any questions feel free to contact me at 412-208-6528 or by email at Lynda.W.Petrichevich@peoples-gas.com.

Sincerely,

v vachorer K

Lynda W. Petríchevich Manager, Rates and Regulatory Affairs Peoples Natural Gas Company LLC

Cc: Steven Kolich Carol Miller

SAFETY

CUSTOMER COMMITMENT

TRUST

COMMUNITY

www.exeloncorp.com

**PECO Energy Company** Gas 2301 Market Street, Sg-3 Philadelphia, PA 19101

November 14, 2014

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Patrick J. Clouden Consumer Energy Solutions Inc. 1255 Cleveland Street Suite 400 Clearwater, Florida 33755 Attn: Legal Department

**Bonding Requirements** Re:

Dear Patrick J. Clouden:

PECO is aware that Consumer Energy Solutions Inc. has applied for a license to provide brokering and consulting services to commercial and industrial customers on the distribution system of PECO.

In making such an application, Consumer Energy Solutions Inc. could be required to provide to PECO a bond or other acceptable financial security in an amount that PECO determines to be appropriate. Consumer Energy Solutions Inc. has indicated that it intends to provide only brokering and consulting services to commercial and industrial customers will not take title to any delivered natural gas; nor will accept any customer payments or deposits. Therefore, PECO has determined at this time that Consumer Energy Solutions Inc. does not need a bond or other financial security requirement, since they are not directly engaging in business with PECO and only providing brokering or consulting services to PECO customers. However, if the services provided by Consumer Energy Solutions Inc. or the creditworthiness requirement for PECO's exposure to Consumer Energy Solutions Inc. changes in the future, PECO reserves the right to require Consumer Energy Solutions Inc. to provide a bond or other financial security instrument.

If you should have any questions regarding this matter, please contact Chris Sauerbaum at 215-841-6422 or myself at 215-841-6452.

Carlos P. Thellet

Carlos P. Thillet Manager, Gas Supply and Transportation 2301 Market St S9-1 Philadelphia, Pa 19103



An Exelon Company



October 1, 2014

Eve Witter, Regulatory Director Consumer Energy Solutions, Inc. 1255 Cleveland Street, Suite 400 Clearwater, FL 33755

Re: Security Requirement for Consumer Energy Solutions, Inc:

Dear Eve,

National Fuel Gas Distribution Corporation ("NFGDC") is aware Consumer Energy Solutions, Inc. (CES) has filed an application with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania and specifically within the service territory of NFGDC.

As you know, in making such an application, CES must furnish acceptable security to each utility where CES will do business. As such, under its tariff, NFGDC could require CES to provide a bond or other financial security instrument in an amount that NFGDC determines to be appropriate.

However, you have indicated, and it is NFGDC's understanding that CES intends only to provide natural gas aggregating, brokering and consulting services at this time. You have stated that, in performing these services, CES will never take title to any delivered natural gas.

Based upon your representations, NFGDC has determined that, at this time, CES does not need to post a bond or other form of security to operate in its service territory. However, if the services provided by CES change in the future, NFGDC reserves the right to require security from CES as it deems appropriate.

If you have any questions concerning the foregoing, please contact me at 716-857-7599.

Yours traily.

Nathan E. Barnes Transportation Services Department



800 West Montgomery Avenue • Philadelphia, PA 19122

November 10, 2014

Ms. Eve Witter Corporate Regulatory & Legal Manager Consumer Energy Solutions, Inc. 1255 Cleveland Street, Suite 400 Clearwater, FL 33755

Re: Security Requirement Bond for Consumer Energy Solutions, Inc.

Dear Ms. Witter:

Philadelphia Gas Works ("PGW") is aware that Consumer Energy Solutions, Inc. has filed an application with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania and specifically within the services territory of Philadelphia Gas Works.

As you know, in making such an application, Consumer Energy Solutions, Inc. must furnish acceptable security to each utility where Consumer Energy Solutions, Inc. will do business. As such, under its tariff, Philadelphia Gas Works could require Consumer Energy Solutions, Inc. to provide a bond or other financial security instrument in an amount that Philadelphia Gas Works determines to be appropriate.

However, you have indicated, and it is Philadelphia Gas Works' understanding, that Consumer Energy Solutions, Inc. intends only to provide natural gas aggregating, brokering and consulting services at this time. You have stated that, in performing these services, Consumer Energy Solutions, Inc. will never take title to any delivered natural gas.

Based upon your representations, Philadelphia Gas Works has determined that, at this time, Consumer Energy Solutions, Inc. does not need to post a bond or other form of security to operate in its service territory. If the services provided by Consumer Energy Solutions, Inc. should change, Philadelphia Gas Works reserves the right to require security from Consumer Energy Solutions, Inc. as it deems appropriate.

If you have any questions concerning the foregoing, please contact me at (215) 684-6278.

Sincerely,

Luga

Nicholas <u>BaPergola</u> Director Gas Supply, Transportation & Control

NL:b



PHILADELPHIA GAS WORKS

800 West Montgomery Avenue · Philadelphia, PA 19122

November 10, 2014

Ms. Eve Witter Corporate Regulatory & Legal Manager Consumer Energy Solutions, Inc. 1255 Cleveland Street, Suite 400 Clearwater, FL 33755

Re: Security Requirement Bond for Consumer Energy Solutions, Inc.

Dear Ms. Witter:

Philadelphia Gas Works ("PGW") is aware that Consumer Energy Solutions, Inc. has filed an application with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania and specifically within the services territory of Philadelphia Gas Works.

As you know, in making such an application, Consumer Energy Solutions, Inc. must furnish acceptable security to each utility where Consumer Energy Solutions, Inc. will do business. As such, under its tariff, Philadelphia Gas Works could require Consumer Energy Solutions, Inc. to provide a bond or other financial security instrument in an amount that Philadelphia Gas Works determines to be appropriate.

However, you have indicated, and it is Philadelphia Gas Works' understanding, that Consumer Energy Solutions, Inc. intends only to provide natural gas aggregating, brokening and consulting services at this time. You have stated that, in performing these services, Consumer Energy Solutions, Inc. will never take title to any delivered natural gas.

Based upon your representations, Philadelphia Gas Works has determined that, at this time, Consumer Energy Solutions, Inc. does not need to post a bond or other form of security to operate in its service territory. If the services provided by Consumer Energy Solutions, Inc. should change, Philadelphia Gas Works reserves the right to require security from Consumer Energy Solutions, Inc. as it deems appropriate.

If you have any questions concerning the foregoing, please contact me at (215) 684-6278.

Sincerely,

Junale.

Nicholas LaPergola Director Gas Supply, Transportation & Control

NL:b

Columbia Gas. of Pennsylvania

A NiSource Company

September 19, 2014

Eve Witter Regulatory Director Consumer Energy Solutions, Inc. 1255 Cleveland Street, Suite 400 Clearwater, Florida 33755

Dear Ms. Witter:

We are pleased that Consumer Energy Solutions, Inc. has applied for a license to provide Natural Gas Broker/Marketer Services on the distribution system of Columbia Gas of Pennsylvania, Inc. ("Columbia Gas").

Under Paragraph 2.4.5 of the Rules Applicable to Distribution Service section of the Tariff of Columbia Gas, Consumer Energy Solutions, Inc. could be required to provide to Columbia Gas a bond or other financial security instrument in an amount that Columbia Gas determines to be appropriate. Consumer Energy Solutions, Inc. has indicated only brokering and consulting services will be provided. Therefore, we have determined at this time that Consumer Energy Solutions, Inc. does not need a bond or other financial security requirement to provide broker natural gas services to Columbia Gas customers.

If the creditworthiness requirement or Columbia Gas' exposure to Consumer Energy Solutions, Inc. changes in the future, Columbia Gas might deem it appropriate to require Consumer Energy Solutions, Inc. to provide a bond or other financial security instrument.

Please feel free to contact me at 614-460-6841 should you have any questions regarding a bond or other financial security instrument requirements of Columbia Gas.

Sincerely,

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uchele Laddell

Michele Caddell Manager of Choice and Nominations

Attachment 5 -

9. Section 16 Financial Fitness and Section 5 Business Entity; Please see the attached resumes of Patrick J. Clouden and Jim Mathers and those sections of the application with corrections removing Patricia Clouden and George Clouden as they have never been nor are Officers of the Corporation and were mistakenly added by my assistant in error. (Page 8) Attachment 5

Resumes

Patrick J. Clouden

Jim Mathers



NOV 21 2014

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

#### 1255 Cleveland Street, Suite 400 Clearwater, FL 33755

Telephone:727-724-5811Email:pclouden@consumerenergysolutions.comWebsite:www.consumerenergysolutions.com

Born: Buffalo, NY, United States of America

- Over eleven years' experience in the deregulated electricity market
- Over eleven years' experience in the deregulated natural gas market
- Extensive experience in regulatory compliance, legal affairs, customer acquisition and retention

Energy Solutions, Inc.

 Day-to-Day managerial, financial, and compliance officer of multi-jurisdictional electricity and natural gas brokering firm.

#### Education

High School Diploma	West Seneca East High School, West Seneca NY
Bachelor's Degree	Canisius College, Buffalo New York
Business Management	

#### **Current Employment**

President & CEO	Consumer
1999 – Current.	

Our company's mission is to be the most effective Brokering agent available to the competitive energy marketing industry. To support that mission, the owners bring over 30 years of sales experience to our clients. Our ability to tap into the commercial natural gas and electric market and attract energy consumers to choose a new supplier in the deregulated markets is a proven commodity. We focus our talents in one direction: acquiring energy customers and providing the highest quality customer service to those clients. By employing well-trained representatives and using proven sales and customer service techniques, we achieve that goal on a daily basis. I personally bring over eleven years of experience in the deregulated electricity market enabling our company to properly position itself in the competitive retail market while remaining fully compliant with all applicable state and federal regulatory requirements.

#### Work History

President & CEO	Consumer Energy Solutions, Inc.
1999 - Present	

President & CEO

Least Cost Routing, Inc.

CONTINUED ...

## JAMES MATHERS

1255 Cleveland St, Ste 400 Clearwater, FL 33755 (727) 724-5811 <u>imathers@consumerenergysolutions.com</u> Born: Mt. Clemens, Michigan, USA

#### **EXECUTIVE SUMMARY**

#### COMMITMENT | LEADERSHIP | INTEGRITY

A proven visionary and strategic leader that translates business strategies into maximum profits commensurate with the best interest of shareholders, customers, employees, and the public. Our company's mission is to be the most effective Brokering agent available to the competitive energy market. To support that mission, the owners bring over 30 years of sales experience to our clients. Our ability to tap into the commercial natural gas and electric market and attract energy consumers to choose a new supplier in the deregulated markets is a proven commodity. We focus our talents in one direction: acquiring retail energy customers delivering high quality service. By employing well-trained representatives and using proven sales and customer service techniques, we achieve that goal on a daily basis.

#### **AREAS OF EXPERTISE**

- Strategic / Tactical Planning
- Deregulated Natural Gas Market
- Marine Engineering
- Business Development
- Operations Management Marketing & Sales

Management

- Deregulated Electic Market
  Regulatory Compliance
- Regulatory Compliance
- Mechanical Engineering

#### **PROFESSIONAL EXPERIENCE**

#### CONSUMER ENERGY SOLUTIONS, INC. – CLEARWATER, FL EXECUTIVE VICE PRESIDENT

Organized and co-founded a profitable electricity and natural gas brokering company which participates in numerous jurisdictions where a competitive market exists. Brings over eleven years of electricy experience from a technical and managerial perspective

- Led senior management business-level discussion and decisions involving business vision and strategy, enterprise-level decisions, standardization of best practices, and project governance oversight.
- Managed administrative, legal, and financial functions for both the electric and natural gas divisions of Consumer Energy Solutions, Inc.
- Conducted regular risk assessments and quality assurance reviews to evaluate compliance with regulatory requirements and to identify potential gaps in policies, procedures and business processes.

#### CHIROPRACTORS CLINIC, INC. – BREMERTON, WA EXECUTIVE DIRECTOR

- Responsible for marketing, promotion and quality of service
- Oversaw staff and was responsible for managerial decisions on a daily basis

#### **ACHIEVEMENTS:**

- Oversees on a daily basis the operations of a nationwide retail electcity and natural gas brokering company for over eleven years.
- Improved supplier and utility partnered relationships.
- Commissioned as ensign in the US Navy Submarine Service
- Served on the USS Flying Fish
- Honoroably Resigned from the US Navy May 1988

# M

1999- PRESENT

1993-1999

Co-Founded Consumer Energy Solutions with Patrick Clouden in 1999

#### **EDUCATION**

MARYVILLE HIGH SCHOOL High School Degree

UNITED STATES NAVAL ACADEMY - ANNAPOLIS, MD - 1979-1983 Bachelors of Science in Marine Engineering

#### ATTACHMENTS 6

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10. Application Affidavits- Please find the three affidavits required attached with corrections denoting Mr. Clouden's position as CEO. All documents have been signed newly removing any reference to CES, Inc. as requested.

Attached please find the Affidavits as legally binding <u>original documents</u> per the data request and submitted to the commission newly along with this application and its corrected pages in original form to Secretary Chiavetta and Debra Backer.

#### Attestation

Consumer Energy Solutions, Inc

I, Patrick J. Clouden, hereby state that the facts above set forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa. C.S. § 4904

**.** .

Signed Jubruh Clonder 40 Date 1/19/14

Patrick J. Clouden, CEO



NOV 21 2014 PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

## AFFIDAVIT

:

State of Florida

NOV 21 2014

RECEIVED

SS.

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

**County of Pinellas** 

Patrick J. Clouden, Affiant, being duly [sworn/affirmed] according to law, deposes and says that: He is the

Director and CEO of CONSUMER ENERGY SOLUTIONS, INC. That he is authorized to and does make

this affidavit for said Applicant;

That CONSUMER ENERGY SOLUTIONS, INC., the Applicant herein, acknowledges that [Consumer Energy Solutions,Inc] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That CONSUMER ENERGY SOLUTIONS, INC., the Applicant herein, asserts that [hc/she/it] possesses the requisite technical, managerial, and financial fitness to render natural gas supply service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That CONSUMER ENERGY SOLUTIONS, INC., the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No.

2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 22 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional natural gas sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

That CONSUMER ENERGY SOLUTIONS, INC., the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Commission's Office of Communications or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.

londer C.E.O Signature of Affiant

19-10 1 brember 19-2014 \_day of Sworn and subscribed before me this

Signature of official administering oath

My commission expires\_\_\_\_

2-23-15



## AFFIDAVIT

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SS.

## RECEIVED

[Commonwealth/State] of Florida

NOV 21 2014

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

County of Pinellas

Patrick J. Clouden \_\_\_\_\_, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the Director/CEO (Office of Affiant) of Consumer Energy Solutions, Inc (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

:

That Consumer Energy Solutions, Inc, the Applicant herein certifies that it has caused the notice of the filing of its license application published in the following newspapers on: 7.9.2014,7.10.2014,10.9.2014

The Erie Times-News7.10.2014, The Harrisburg Patriot-News10.9.2014, The Philadelphia Daily News7.9.2014, The Pittsburgh Post Gazette7.9.2014, The Scranton Times-Tribune7.10.2014, and the Williamsport Sun-Gazette10.9.2014.

A copy of the notice as it appeared in each of the above newspapers is attached. Noted on each copy is the newspaper section (name, number or letter), if applicable, and the page number on which the notice appeared.

That Consumer Energy Solutions, Inc. the Applicant will submit to the Commission the proof of publication from each newspaper in which notice of the application filing was published as soon as it is available.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

utul Conten CEO

Signature of Affiant /Patrick J. Clouden/CEO

Sworn and subscribed before me this	the day of November, 102014
MICHELE WEISENSEE MY COMMISSION # EE67396 EXPIRES: February 23, 2015	Ainthi
Tornet EXPIRES, Landau Status	Signature of official administering oath
My comn	nission expires $2 - 23 - 15$

### AFFIDAVIT

[Commonwealth/State] of Florida

SS.

**County of Pinellas** 

Patrick J. Clouden/CEO \_\_\_\_, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the Director/CEO (Office of Affiant) of Consumer Energy Solutions, Inc Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

:

That the Applicant herein Consumer Energy

Solutions, Inc \_\_\_\_\_\_ has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as a natural gas supplier pursuant to 66 Pa. C.S. §2208(c)(1).

That the Applicant herein Consumer Energy Solutions, Inc has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein Consumer Energy Solutions, Inc acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein Consumer Energy Solutions, Inc acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

Signature of Affiant -Patrick J. Clouden, Director/CEO

195 day of Vlovember Sworn and subscribed before me this

Signature of official administering oath

My commission expires 2-23-15



