



Energy Trust LLC

Energy services you can Trust

November 24, 2014

Energy Trust LLC

P.O. Box 29914

Baltimore MD 21230

RECEIVED

DEC - 3 2014

Secretary  
Pennsylvania Public Utility Commission  
Keystone Building 2<sup>nd</sup> Floor Room N201  
Harrisburg, PA 17120

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

Re: Natural Gas Supply Services to the Public in the Commonwealth of Pennsylvania License Application

Dear Sir/Madam,

Pursuant the Pennsylvania Public Utility Commission's regulation, Energy Trust LLC is pleased to provide our application for a license to provide Natural Gas Supply Services to the public in the Commonwealth of Pennsylvania, as a broker / marketer / consultant.

Energy Trust LLC is proposing to provide consulting and broker services for clients for energy procurement services. Energy Trust LLC is a Broker / Marketer, Consultant only and not a supplier of energy. Energy Trust LLC acts as an agent or intermediary on the behalf of the customer and does not supply or deliver or take title to the energy supply. Energy Trust LLC provides consultant and energy procurement services to our clients.

Enclosed please find the following:

1. \$350 application fee
2. Energy Trust LLC Application
3. Appendix supporting Application documentation
4. Confidential two years financial statements demonstrating financial fitness

We look forward to becoming a licensed broker / consultant in the state of Pennsylvania.

Please contact me with any questions.

Sincerely,

Cary Gibson  
Partner, Energy Trust LLC  
410-837-1120  
[cgibson@myenergytrust.com](mailto:cgibson@myenergytrust.com)

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**Energy Trust LLC**

**Application for Commonwealth of Pennsylvania**

**Natural Gas Supplier (NGS) License Application**

**Broker / Marketer**

**RECEIVED**

DEC - 3 2014

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

P. O. Box 29914  
Baltimore, MD 21230  
Cary Gibson, Partner  
410-837-1120  
November 7, 2014

# BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of **Energy Trust LLC, d/b/a Energy Trust LLC**, for approval to offer, render, furnish, or supply natural gas supply services as a **broker/marketer** engaged in the business of supplying natural gas services to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

## 1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

Energy Trust LLC  
PO Box 29914  
Baltimore, Maryland 21230  
myenergytrust.com  
410-837-1120 offices

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

Registered Agent & Applicant's secondary office within Pennsylvania  
Philip Wilson, Partner  
Energy Trust LLC  
661 Church Rd, Suite 100  
Wayne, PA 19087  
610-971-0482 office

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Cary Gibson, Partner  
Energy Trust LLC  
PO Box 29914  
Baltimore, MD 21230  
cgibson@myenergytrust.com  
410-837-1120 offices

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Energy Trust is not using an Attorney to file this application.

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS:** Provide the name, title, address, telephone number, fax number, and e-mail of the person and an alternate person responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Natural Gas Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed NGSs.

Contact Information for **Energy Trust LLC CONSUMER SERVICE:**

Cary Gibson, Primary Contact  
Philip Wilson, Secondary Contact  
Energy Trust LLC  
PO Box 29914  
Baltimore, MD 21230  
[etcustomerservice@myenergytrust.com](mailto:etcustomerservice@myenergytrust.com)

Contact Information for Natural Gas Distribution Companies, the Pennsylvania Public Utility Commission, or other agencies:

Cary Gibson, Partner  
[cgibson@myenergytrust.com](mailto:cgibson@myenergytrust.com)  
Energy Trust LLC  
PO Box 29914  
Baltimore, MD 21230  
410-837-1120 offices

## 2. BUSINESS ENTITY FILINGS AND REGISTRATION

- a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State Pursuant to 54 Pa. C.S. §311.

Or

The Applicant will not be using a fictitious name.

- b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**  
*(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)*

The Applicant is a sole proprietor. Not Applicable

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

Or

The Applicant is a: Not Applicable

- domestic general partnership (\*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- \* If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

**OR**

The Applicant is a:

- domestic corporation (15 Pa. C.S. §1308)
- foreign corporation (15 Pa. C.S. §4124)
- domestic limited liability company (15 Pa. C.S. §8913)
- foreign limited liability company (15 Pa. C.S. §8981)
- Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.

Please see proof of compliance enclosed in the Appendix Section 2. b.: PA Department of State Corporation Bureau Entity No. 3902302, Applicant Charter document and Maryland Certificated of Good Standing.

- Give name and address of officers.

Energy Trust, LLC has three General Partners:  
Tracey Bellotti, Partner  
Cary Gibson, Partner  
Philip Wilson, Partner

Energy Trust LLC  
PO Box 29914  
Baltimore, MD 21230

### 3. AFFILIATES AND PREDECESSORS

*(both in state and out of state)*

- a. **AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

The Applicant has no affiliates doing business in Pennsylvania.

- b. **PREDECESSORS:** Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

The Applicant has no predecessors.

### 4. OPERATIONS

- a. **APPLICANT'S PRESENT OPERATIONS:** *(select and complete the appropriate statement)*

Definitions

- Supplier – an entity which provides natural gas supply services to retail gas customers utilizing the jurisdictional facilities of an natural gas distribution company
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of natural gas but does not take title to the natural gas.

The Applicant is presently doing business in Pennsylvania as a

- natural gas interstate pipeline
- municipality providing service outside its municipal limits
- local gas distribution company
- retail supplier of natural gas services in the Commonwealth
- a natural gas producer
- a broker/marketer engaged in the business of supplying natural gas services
- Other. (Identify the nature of service being rendered)

Energy Trust LLC is a Broker/Marketer/Consultant acting as an agent or intermediary on the behalf of our clients. We currently are a licensed PA EGS (A-2009-2134742) service existing electric and natural gas clients. Energy Trust does not supply or deliver or take title to the energy supply. PA EGS (A-2009-2134742) Certificate included in the Appendix Section 4.a.for reference.

**OR**

The Applicant is not presently doing business in Pennsylvania.

b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a:

- Supplier or Aggregator of natural gas services
- Municipal supplier of natural gas services
- Cooperative supplier of natural gas services
- Broker/Marketer engaged in the business of supplying natural gas services

Check here to verify that your organization will not be taking title to the natural gas nor will you be making payments for customers.

Other (Describe):

c. **PROPOSED SERVICES:** Describe in detail the natural gas supply services which the Applicant proposes to offer.

Energy Trust LLC provides procurement consulting services to its commercial and industrial clients for both electricity and natural gas. Energy Trust LLC is proposing to provide clients consulting and broker services for energy procurement in Pennsylvania. On our clients' behalf, we run competitive RFP's with licensed electricity and natural gas suppliers, we amass the RFP results and present them to our clients for their decision. In addition, we advise clients of energy market conditions, regulatory changes, utility offerings, and general trends in the energy industry.

Energy Trust does not take title to the energy for its clients. We have broker agreements in place with most of the licensed suppliers in Pennsylvania for compensation purposes only.

d. **PROPOSED SERVICE AREA:** Check the box of each Natural Gas Distribution Company for which the Applicant proposes to provide service.

- |   |  |
|---|--|
| <input type="checkbox"/> Columbia                     | <input type="checkbox"/> Philadelphia Gas Works      |
| <input type="checkbox"/> National Fuel Gas            | <input type="checkbox"/> UGI Central Penn            |
| <input type="checkbox"/> PECO                         | <input type="checkbox"/> UGI Penn natural            |
| <input type="checkbox"/> Peoples Gas – Equitable Div. | <input type="checkbox"/> UGI Utilities               |
| <input type="checkbox"/> Peoples Natural Gas          | <input type="checkbox"/> Valley Energy               |
| <input type="checkbox"/> Peoples TWP                  | <input checked="" type="checkbox"/> All of the above |

e. **CUSTOMERS:** Applicant proposes to provide services to:

- Residential Customers
- Small Commercial Customers - (Less than 6,000 Mcf annually)
- Residential and Small Commercial as Mixed Meter ONLY (CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE)
- Large Commercial Customers - (6,000 Mcf or more annually)
- Industrial Customers
- Governmental Customers
- All of above
- Other (Describe):

- f. **START DATE:** Provide the approximate date the Applicant proposes to actively market within the Commonwealth.

Energy Trust wishes to actively market new clients when the PUC approves our NGS license.

Energy Trust wishes to continue to serve our existing combined electric and natural gas clients to provide continuity of consulting services to those existing clients.

Energy Trust is currently in "Good Standing" with our current PA electricity license issued in 2009 (A-2009-2134742). Energy Trust has not received any customer/client, PUC or utility distribution companies' complaints.

## 5. COMPLIANCE

- a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

Energy Trust LLC has no proceedings.

- b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

Not Applicable

- c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. If the Applicant has no actions or complaints to list, explicitly state such.

Energy Trust LLC has no actions or complaints.

- d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

Not Applicable

## 6. PROOF OF SERVICE

Energy Trust LLC has provided a Certificate of Service (Appendix C).

- a.) **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Office of Consumer Advocate  
5th Floor, Forum Place  
555 Walnut Street  
Harrisburg, PA 17120

Office of the Attorney General  
Bureau of Consumer Protection  
Strawberry Square, 14th Floor  
Harrisburg, PA 17120

Office of the Small Business Advocate  
Commerce Building, Suite 1102  
300 North Second Street  
Harrisburg, PA 17101

Commonwealth of Pennsylvania  
Department of Revenue  
Bureau of Compliance  
Harrisburg, PA 17128-0946

- b.) **NGDCs:** Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Natural Gas Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each NGDC is as follows.

<p><b>Columbia Gas of PA, Inc.</b> Thomas C. Heckathorn 200 Civic Center Drive Columbus, OH 43215 PH: 614.460.4996 FAX: 614.460.6442 heckathorn@nisource.com</p>	<p><b>Peoples Gas – Equitable Division</b> Lynda Petrichevich 375 North Shore Drive, Suite 600 Pittsburg, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: <a href="mailto:Lynda.w.petrichevich@peoples-gas.com">Lynda.w.petrichevich@peoples-gas.com</a></p>
<p><b>National Fuel Gas Distribution Corp.</b> David D. Wolford 6363 Main Street Williamsville, NY 14221 PH: 716.857.7483 FAX: 716.857.7479 e-mail: <a href="mailto:wolfordd@natfuel.com">wolfordd@natfuel.com</a></p>	<p><b>PECO</b> Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2 Philadelphia, PA 19103 PH: 215.841.6452 Email: <a href="mailto:carlos.thillet@exeloncorp.com">carlos.thillet@exeloncorp.com</a></p>
<p><b>The Peoples Natural Gas Company</b> Lynda Petrichevich 375 North Shore Drive, Suite 600 Pittsburg, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: <a href="mailto:Lynda.w.petrichevich@peoples-gas.com">Lynda.w.petrichevich@peoples-gas.com</a></p>	<p><b>Philadelphia Gas Works</b> Nicholas LaPergola 800 West Montgomery Avenue Philadelphia, PA 19122 PH: 215.684.6278 email: <a href="mailto:nicholas.lapergola@pgworks.com">nicholas.lapergola@pgworks.com</a></p>
<p><b>Peoples TWP LLC (Formerly T. W. Phillips)</b> Lynda Petrichevich 375 North Shore Drive, Suite 600 Pittsburg, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: <a href="mailto:Lynda.w.petrichevich@peoples-gas.com">Lynda.w.petrichevich@peoples-gas.com</a></p>	<p><b>UGI</b> David Lahoff 2525 N. 12<sup>th</sup> Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3520 Email: <a href="mailto:dlahoff@ugi.com">dlahoff@ugi.com</a></p>
<p><b>UGI Central Penn</b> David Lahoff 2525 N. 12<sup>th</sup> Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3520 Email: <a href="mailto:dlahoff@ugi.com">dlahoff@ugi.com</a></p>	<p><b>UGI Penn Natural</b> David Lahoff 2525 N. 12<sup>th</sup> Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3520 Email: <a href="mailto:dlahoff@ugi.com">dlahoff@ugi.com</a></p>
<p><b>Valley Energy Inc.</b> Robert Crocker 523 South Keystone Avenue Sayre, PA 18840-0340 PH: 570.888-9664 FAX: 570.888.6199 email: <a href="mailto:bobc@ctenterprises.org">bobc@ctenterprises.org</a></p>	

## 7. FINANCIAL FITNESS

- a. **BONDING:** In accordance with 66 Pa. C.S. Section 2208(c), no natural gas supplier license shall be issued or remain in force unless the applicant or holder furnishes a bond or other security in a form and amount to ensure the financial responsibility of the natural gas supplier. The criteria used to determine the amount and form of such bond or other security shall be set by each NGDC. Provide documentation that the applicant has met the security requirement of each NGDC by submitting the letters sent by the NGDCs stating what bonding amounts they require.

Energy Trust LLC has requested a review for boning requirements from each NGDC. Request letters are attached.

Energy Trust LLC is proposing to provide consulting and broker services for clients for energy procurement services. Energy Trust LLC is a Broker / Consultant only and not a supplier of energy. Energy Trust LLC acts as an agent or intermediary on the behalf of the customer and does not supply or deliver or take title to the energy supply. Energy Trust LLC provides consultant and energy procurement services to our clients.

- b. **FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
- Applicant's accounting statements, including balance sheet and income statements for the past two years.
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements exhibiting accounts over a minimum two year period.
- Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

Please see the attached Financial Statement for Energy Trust LLC in the enclosed Confidential envelop. Confidential balance sheet and income statements for the two most recent 12 month periods are provided and considered confidential. Energy Trust LLC is a financially sound business and has remained in "Good Standing" since established in October of 2006.

- c. **SUPPLIER FUNDING METHOD:** If Applicant is operating as anything other than Broker/Marketer only, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

Energy Trust LLC is a Broker/Marketer Only

- d. **BROKER PAYMENT STRUCTURE:** If applicant is a broker/marketer, explain how your organization will be collecting your fees.

Energy Trust LLC provides procurement consulting services to its commercial and industrial clients for both electricity and natural gas. Energy Trust does not take title to the energy for its clients nor make payments for clients. We have broker agreements in place with licensed suppliers for compensation purposes only. Our fee payment is fully disclosed and transparent to the client and is paid by the supplier.

- e. **ACCOUNTING RECORDS CUSTODIAN:** Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

Cary Gibson  
Partner  
410-837-1120  
cgibson@myenergytrust.com  
Energy Trust LLC  
PO Box 29914  
Baltimore, MD 21230

- f. **TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix D to this application.

*All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.*

*Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.*

Energy Trust LLC has provided the Tax Certificate Statement in Appendix D

## **8. TECHNICAL FITNESS:**

To ensure that the present quality and availability of service provided by natural gas distribution companies does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

**a. EXPERIENCE, PLAN, STRUCTURE:** such information may include:

- Applicant's previous experience in the natural gas industry.
- Summary and proof of licenses as a supplier of natural gas services in other states or jurisdictions.
- Type of customers and number of customers Applicant currently serves in other jurisdictions.
- Staffing structure and numbers as well as employee training commitments.
- Business plans for operations within the Commonwealth.
- Any other information appropriate to ensure the technical capabilities of the Applicant.

### **Statement of Technical Competency and Experience for Energy Trust LLC**

Energy Trust LLC is an independent, unbiased team of energy specialists who provide energy procurement services for energy end users. The Energy Trust owners collectively hold over 65 years of experience in the energy industry analyzing utility rates and completing contracts for clients throughout North America.

#### **Tracey L. Bellotti, Partner and Principle Advisor**

Ms. Bellotti has held various sales and executive management positions in the energy industry over the last eleven years. She has been primarily responsible for business development activities within Energis Resources/PSEG Energy Technologies, Statoil Energy, Amerada Hess and Constellation NewEnergy. She has been responsible for driving business results to the bottom line.

Prior to entering into the energy industry Tracey worked for eighteen years in progressively higher sales and sales management capacities at IBM and Eastman Kodak again responsible for producing profitable business results.

Ms. Bellotti holds a Political Science degree from Miami University in Oxford, Ohio

Today Tracey is a founding Partner with Energy Trust providing clients with advice and guidance focused on energy procurement and supply strategies with a geographic focus on markets where de-regulation benefits our clients.

#### **Cary Gibson, Partner and Principle Advisor**

Mr. Gibson has over twenty-two years of experience within the energy industry with a focus for the last eleven years on natural gas and electric energy supply and services to end users. He has provided clients with focused solutions to address their business needs on both supply and technical services for energy procurement and projects.

Prior to joining Energy Trust, Cary retained positions with Constellation NewEnergy, Aquila Energy and the Baltimore Gas and Electric Company responsible for developing strategy and negotiating energy supply and services contracts.

Mr. Gibson is a graduate of the University of Maryland College Park, with a BS in Mechanical Engineering.

Currently Cary is a Partner with Energy Trust providing clients with advice and guidance focused on energy procurement and risk management supply strategies with a geographic focus on markets where de-regulation benefits our clients.

**Philip Wilson, Partner and Principle Advisor**

Mr. Wilson's twenty-nine year career in the energy industry includes the design and implementation of 1) corporate wide energy procurement strategies, 2) energy conservation programs, 3) retail gas and electricity delivery service programs, 4) utility regulatory rate making and 5) power plant construction management. For ten years Mr. Wilson, as a partner of Studebaker Energy Consulting, a national consulting firm, provided his clients with cost-effective energy reduction services.

Now as a one of three founding partners of Energy Trust, Mr. Wilson is applying his nearly three decades of energy procurement and supplier negotiation experience for the expressed purpose of providing Energy Trust clients with the expertise required to effectively and efficiently purchase energy in all regions of the United States. Mr. Wilson is a graduate of the Pennsylvania State University, with a BS in Business Statistics and Loyola College, with a Masters of Business Finance.

Currently Philip is a Partner with Energy Trust with a geographic focus on the remaining PJM markets along with developing the New England and Midwest regions for Energy Trust.

**Summary and proof of licenses as a supplier of electricity and natural gas services in other states or jurisdictions**

Energy Trust LLC currently provides licensed broker / consultant services in:

**Electricity:**

- o Maryland, Electric Broker License IR-1682
- o Massachusetts, Electric Broker License EB-103
- o Pennsylvania, Electric EGS License A-2009-2134742
- o Delaware, Electric Broker under Order No. 8077, Docket No. 11-427
- o Rhode Island, Retail Electric Service Provider, Docket No. D-96-6(15)

**Natural Gas:**

- o Maryland, Nat Gas Broker License IR-1681, August 5, 2009

**Energy Trust LLC type of customer/client:**

Energy Trust LLC currently is providing services from small commercial clients to large industrial clients. We also current assist numerous clients that have facilities in multiple LDC regions. Our portfolio of customers is primarily based on client referrals and existing relationship.

**b. PROPOSED MARKETING METHOD** (check all that apply)

- Internal – Applicant will use its own internal resources/employees for marketing
- External NGS – Applicant will contract with a **PUC LICENSED NGS**
- Affiliate – Applicant will use a **NON-NGS affiliate that is a nontraditional marketer and/or marketing services consultant**
- External Third-Party – Applicant will contract with a **NON-NGS third party nontraditional marketer and/or nonselling marketer**
- Other (Describe):

**c. DOOR TO DOOR SALES:** Will the Applicant be implementing door to door sales activities?

- Yes
- No

If yes, will the Applicant be using verification procedures?

- Yes
- No

If yes, describe the Applicant's verification procedures.

**d. OVERSIGHT OF MARKETING:** Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

Energy Trust members are devoted to educating and informing clients about natural gas services and the energy industry. We provide services that are fully transparent to clients, independent of supplier, and unbiased of supplier.

To protect consumers of the Commonwealth, Energy Trust provides clear and accurate information about natural gas services using plain language and common terms. We act as the confidential intermediary between the client and the supplier to match client business objectives to supplier offers.

On our clients' behalf, we run competitive RFP's with licensed electricity and natural gas suppliers. We amass the RFP results and present them to our clients for their decision using plain language and common terms with clear explanations. In addition, we advise clients of energy market conditions, regulatory, utility offerings, and general trends in the energy industry.

Energy Trust does not discriminate in the provision of natural gas services and shall comply with relevant Commission regulations, orders, and directives that may be adopted. Energy Trust members hold regular discussion/meetings to ensure conduct is performed in an ethical and trusted manner.

**e. OFFICERS:** Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations.

Energy Trust, LLC has three Partners responsible for operations:  
Tracey Bellotti, Partner  
Cary Gibson, Partner  
Philip Wilson, Partner

Energy Trust LLC  
PO Box 29914  
Baltimore, MD 21230

**9. DISCLOSURE STATEMENT:**

**DISCLOSURE STATEMENTS:** If proposing to serve Residential and/or Small Commercial (less than 6,000 Mcf annually) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix E to this Application.

- Natural gas should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

*Not applicable for an applicant applying for a license exclusively as a broker/marketer.*

Energy Trust LLC is a Broker/Marketer Only

**10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS**

- a. **STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 62.114.

**X**      AGREED

- b. **REPORTING REQUIREMENTS:** Applicant agrees to provide the following information to the Commission:
- Reports of Gross Receipts: Applicant shall file an annual report with the Commission on an annual basis no later than April 30<sup>th</sup> following the end of the calendar year per 52 Pa. Code § 62.110.

**X**      AGREED

- c. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. § 2208(d). Transferee will be required to file the appropriate licensing application.

**X**      AGREED

- d. **ASSESSMENT:** The Commission does not presently assess Natural Gas Suppliers for the purposes of recovery of regulatory expenses.

**X** ACKNOWLEDGED

- e. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 62.105.

**X** AGREED

- f. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

**X** AGREED

- g. **NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within thirty (30) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 62.105.

**X** AGREED

- h. **CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

**X** AGREED

- i. **FEE:** The Applicant has enclosed or paid the required initial licensing fee of \$350.00 payable to the Commonwealth of Pennsylvania.

**X** PAYMENT ENCLOSED

## 11. AFFIDAVITS

- a.) **APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.

See Appendix for Energy Trust LLC Application Affidavit

- b.) **OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the Public Utility Code of Pennsylvania and applicable federal and state laws. An example copy of this Affidavit can be found at Appendix B.

See Appendix for Energy Trust LLC Operations Affidavit

## 12. NEWSPAPER PUBLICATIONS

See Appendix for Energy Trust LLC proof of publication for all newspapers listed below.

See Appendix F for Certification of Publication and Proof of newspaper publications with Photostatic copies of the notice to complete the notice requirements.

	Erie Times-News	Harrisburg Patriot-News	Philadelphia Daily News	Pittsburg Post-Gazette	Scranton Times-Tribune	Williamsport Sun-Gazette	Johnstown Tribune-Democrat
Columbia Gas	X	X		X		X	X
Equitable Gas	X			X			
National Fuel Gas				X			
PECO			X				
Peoples Natural Gas	X			X			X
Peoples TWP LLC				X			
Philadelphia Gas Works			X				
UGI		X	X		X		
UGI Central Penn	X	X	X	X	X	X	X
UGI Penn Natural		X			X	X	
Valley Energy					X	X	
Entire Commonwealth	X	X	X	X	X	X	X

**13. SIGNATURE**

*Cary Gibson*

Applicant: Energy Trust LLC

By: Cary Gibson

Title: Partner

**RECEIVED**  
 DEC - 3 2014  
 PA PUBLIC UTILITY COMMISSION  
 SECRETARY'S BUREAU

**14. CHECKLIST**

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections is complete.

**Applicant: Energy Trust LLC**

Applicant's Use	✓	<b>Signature</b>		PUC Secretary's Bureau Use
	✓	<b>Filing Fee</b>		
	✓	<b>Application Affidavit</b>		
	✓	<b>Operations Affidavit</b>		
	✓	<b>Proof of Publication</b>		
	✓	<b>Tax Certification Statement</b>		
	✓	<b>Commonwealth Department of State Verification</b>		
	✓	<b>Certificate of Service</b>		

**See Separate Attachments for**  
**Energy Trust LLC Appendices and Enclosures**

**Appendix Section 2. b. – Business Entity filings and Registration**

(PA Department of State Corporation Bureau Entity No. 3902302, Maryland Certificated of Good Standing, Applicant MD Charter Documents)

**Appendix Section 4. a. - Energy Trust LLC Present Operation**

(PA Electricity Generation Supplier Certificate (A-2009-2134742))

**Appendix A – Application Affidavit**

**Appendix B – Operations Affidavit**

**Appendix C - Certification of Service**

**Appendix D – Tax Certification Statement**

**Appendix E – Disclosure Statement**

(Not Applicable for Energy Trust LLC, Energy Trust LLC is a Broker/Marketer Only)

**Appendix F - Publication Notice for NGS Only**

(Proof of newspaper publications with the enclosed Certification of Publication and notice Photostatic copies are attached, A Sample of the Notice content.)

**Appendix G - Publication Notice for Combined NGS and EGS**

(Not Applicable for Energy Trust LLC)

**Confidential – Demonstration of financial fitness provided to PUC**

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## Energy Trust LLC

Application for Commonwealth of Pennsylvania

Natural Gas Supplier (NGS) License Application

Broker / Marketer

### Appendix - Table of Content

Page		
2,3,4,5	Commonwealth Department of State Verification	
6	Business Entity filings and Registration	
7,8,9	Energy Trust LLC Present Operation	
10	PA Electric Generation Certificate (A-2009-2134742)	
11	Application Affidavit – Appendix A	
12,13	Operations Affidavit – Appendix B	
14	Certificate of Service – Appendix C	
15	Tax Certification Statement – Appendix D	
16	Disclosure Statement – Appendix E (Not Applicable)	
17	Publication Notice for NGS Only (sample content) – Appendix F	
18 to 25	Newspaper Proof of Publication Notice	
26	Notice for Combined Application Appendix G - (Not Applicable)	

## Appendix Section 2. b. – Business Entity filings and Registration

- ✓ PA Department of State Corporation Bureau Entity No. 3902302,
- ✓ Maryland Certificated of Good Standing.
- ✓ Energy Trust LLC, MD Charter Documents

COMMONWEALTH OF PENNSYLVANIA  
DEPARTMENT OF STATE  
CORPORATION BUREAU  
401 NORTH STREET, ROOM 206  
P.O. BOX 8722  
HARRISBURG, PA 17105-8722  
[WWW.CORPORATIONS.STATE.PA.US.CORP](http://WWW.CORPORATIONS.STATE.PA.US.CORP)

Energy Trust LLC

THE CORPORATION BUREAU IS HAPPY TO SEND YOU YOUR FILED DOCUMENT. THE CORPORATION BUREAU IS HERE TO SERVE YOU AND WANTS TO THANK YOU FOR DOING BUSINESS IN PENNSYLVANIA.

IF YOU HAVE ANY QUESTIONS PERTAINING TO THE CORPORATION BUREAU, PLEASE VISIT OUR WEB SITE LOCATED AT [WWW.CORPORATIONS.STATE.PA.US.CORP](http://WWW.CORPORATIONS.STATE.PA.US.CORP) OR PLEASE CALL OUR MAIN INFORMATION TELEPHONE NUMBER 717-787-4857. FOR ADDITIONAL INFORMATION REGARDING BUSINESS AND FILING DOCUMENTS, PLEASE VISIT OUR ONLINE "SEARCHABLE DATABASE" LOCATED ON OUR WEBSITE.

ENTITY NUMBER: 3902302

Gibson, Cary  
1259 Riverside Avenue  
Baltimore, MD 21230

Application For Registration - Foreign

ST, PA04B

From: dao4b@state.pa.us  
Sent: Wednesday, August 05, 2009 12:18 PM  
To: ST, PA04B  
Subject: PA04B New Registration 265011

PENNSYLVANIA DEPARTMENT OF STATE  
CORPORATION BUREAU  
Application For Registration - Foreign

- Registered Limited Liability General Partnership (§ 6211)
- Registered Limited Liability Limited Partnership (§ 6211)
- Limited Partnership (§ 6247)
- Limited Liability Company (§ 6861)

Document will be returned to the name and address you enter below.

Name  
Cory Gibson  
Address  
1239 Riverside Ave  
Address

Commonwealth of Pennsylvania  
Application for Registration 3 Page(s)

City Baltimore State/Zip Code MD 21220



Email: cgibson@myenergytrust.com

Fee: \$250

In compliance with the requirements of the applicable provisions (relating to registration), the undersigned, desiring to register to do business in the Commonwealth, hereby states that:

1. The name to be registered is:  
Energy Trust LLC
2. If the name set forth in paragraph 1 is not available for use in the Commonwealth, complete the following:  
The name under which the limited liability company/limited liability partnership proposes to register and do business in the Commonwealth is .
3. The name of the jurisdiction under the laws of which it was organized and the date of its formation:  
Jurisdiction: MD Date of Formation: 08/23/2008
4. The (a) address of the initial registered office in this Commonwealth or the name of its commercial registered office (and the county of venue is):  
(a) Number and Street City State Zip County  
061 Church Rd Wayne PA 18087 23  
(b) Name of Commercial Registered Office Provider County
5. Check and complete one of the following:  
The address of the office intended to be maintained by it in the jurisdiction of its organization by the laws of that jurisdiction is:  
1239 Riverside Ave Baltimore MD 21220  
Number and Street City State Zip  
It is not required by the laws of its jurisdiction of organization to maintain an office therein and the address of its principal office is:  
Number and Street City State Zip CC 4250
6. For Restricted Professional Limited Liability Company Only. Strike out if inapplicable. The company is a restricted professional company organized to render the following professional services:  
Energy Consultant

PA DEPT. OF STATE

AUG 27 2009



**PENNSYLVANIA DEPARTMENT OF STATE  
CORPORATION BUREAU  
Foreign Signature Form**

Document must be completed and mailed to the address listed below.

Department of State  
Corporation Bureau  
P.O. Box 8722  
Harrisburg, PA 17105-8722  
(717) 787-1057

1. The enterprise structure is:  
Limited Liability Company
2. The enterprise legal name is:  
Energy Trust LLC
3. The enterprise's fictitious name is:

IN TESTIMONY WHEREOF, the undersigned have caused this application to be executed this

5 day of Aug 2009

Enterprise Name Energy Trust LLC  
 Signature [Handwritten Signature]  
 Title Partner

0501120090803

To avoid any delay or rejection, signature form(s) should be received within 7-10 days of the registration submission date.

PA DEPT. OF STATE

AUG - 5 2009

RECEIVED TIME AUG. 5. 12:53PM PA04blmervic PRINT TIME AUG. 5. 12:54PM D... 11/5/2009



**pennsylvania**  
DEPARTMENT OF BANKING

MARKET SQUARE PLAZA | 17 N SECOND STREET, SUITE 1300 | HARRISBURG, PA 17103  
P 717.787.2666 F 717.787.8773 W [www.banking.state.pa.us](http://www.banking.state.pa.us)

August 24, 2009

717-783-2253

Mr. Cary Gibson, Partner  
Energy Trust LLC  
1230 Riverside Avenue  
Baltimore, MD 21230

Dear Mr. Gibson:

This will advise you that the Pennsylvania Department of Banking (the "Department") does not object to the use of the name "Energy Trust LLC."

It is understood that the applicant will not engage or represent to be engaged in any type of financial business, which would include, but not be limited to, the taking of deposits, the making of loans, acting as fiduciary, or the business of banking in general.

The Department's position is based on the facts, conditions, and representations you made in your letter dated August 17, 2009. Any changes in these facts, conditions, or representations could result in a reversal of the Department's position.

Very truly yours,

A handwritten signature in cursive script that reads "Donna J. Metcalfe".

Donna J. Metcalfe  
Administrator  
Corporate Applications Division

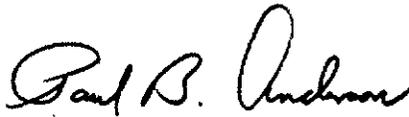
DJM:jsb

**STATE OF MARYLAND**  
*Department of Assessments and Taxation*

I, PAUL B. ANDERSON OF THE STATE DEPARTMENT OF ASSESSMENTS AND TAXATION OF THE STATE OF MARYLAND, DO HEREBY CERTIFY THAT THE DEPARTMENT, BY LAWS OF THE STATE, IS THE CUSTODIAN OF THE RECORDS OF THIS STATE RELATING TO LIMITED LIABILITY COMPANIES, OR THE RIGHTS OF LIMITED LIABILITY COMPANIES TO TRANSACT BUSINESS IN THIS STATE, AND THAT I AM THE PROPER OFFICER TO EXECUTE THIS CERTIFICATE.

I FURTHER CERTIFY THAT ENERGY TRUST, LLC, REGISTERED AUGUST 23, 2006, IS A LIMITED LIABILITY COMPANY EXISTING UNDER AND BY VIRTUE OF THE LAWS OF THE STATE OF MARYLAND, AND THAT THE LIMITED LIABILITY COMPANY IS AT THE TIME OF THIS CERTIFICATE IN GOOD STANDING TO TRANSACT BUSINESS.

IN WITNESS WHEREOF, I HAVE HEREUNTO SUBSCRIBED MY SIGNATURE AND AFFIXED THE SEAL OF THE STATE DEPARTMENT OF ASSESSMENTS AND TAXATION OF MARYLAND AT BALTIMORE ON THIS OCTOBER 27, 2014.



Paul B. Anderson  
Charter Division



301 West Preston Street, Baltimore, Maryland 21201  
Telephone Balto. Metro (410) 767-1340 / Outside Balto. Metro (888) 246-5941  
MRS (Maryland Relay Service) (800) 735-2258 TT/Voice  
Fax (410) 333-7097

crblak

R9210227

**ENERGY TRUST, LLC**  
**ARTICLES OF ORGANIZATION**

---

**FIRST:** I, Diane Darrah, whose post office address is 7804 Ardmore Avenue, Baltimore, Maryland 21234, being at least eighteen (18) years of age, am hereby authorized to execute these Articles of Organization to form a limited liability company under and by virtue of the Laws of the State of Maryland.

**SECOND:** The name of the limited liability company (which is hereafter called the "Company") is ENERGY TRUST, LLC.

**THIRD:** The purposes for which the Company is formed are:

- (1) To engage in the business of providing energy consulting services and related services;
- (2) To engage in any other lawful purpose and business; and
- (3) To do anything permitted by Section 4A-203 of the Corporations and Associations Article of the Annotated Code of Maryland, as amended from time to time.

The foregoing enumerated purposes and objects shall in no way limit or restrict by reference to, or inference from, the terms of any other clause of this or any other section of these Articles of Organization, and each shall be regarded as independent; and they are intended to be and shall be construed as powers as well as purposes and objects of the Company and shall be in addition to and not in limitation of the general powers of limited liability companies organized under the laws of the State of Maryland.

**FOURTH:** The post office address of the principal office of the Company in this State is 1230 Riverside Avenue, Baltimore, Maryland 21230. The name and post office address of the resident agent of the Company in this State is Cary Gibson, 1230 Riverside Avenue, Baltimore, Maryland 21230. Said resident agent is an individual actually residing in this State. ✓

CUST ID: 0001841224  
WORK ORDER: 0001284202  
DATE: 09-04-2006 06:12 PM  
AMT. PAID: \$155.00

sent By: ;  
received: 8/23/06 7:52PM;  
08/23/2006 07:34 4106630122

4108371149;  
4106630122 -> 410 837 1149; PAGE -  
Aug-31-06 8:27AM;  
DTAS

Page 3/3  
PAGE 04/27

IN WITNESS WHEREOF, with the authorization of the initial members, I have signed these Articles of Organization this 23<sup>rd</sup> day of August, 2006, and acknowledge the same to be my act.

*Diane Darrah*  
Diane Darrah

I hereby consent to act as resident agent in Maryland for Energy Trust, LLC.

*Cary Gibson*  
Cary Gibson  
Aug 31, 2006  
Date

STATE OF MARYLAND

Department of  
Assessments and Taxation

Charter Division



WALTER E. LARSEN, JR.  
Governor

C. John Sullivan, Jr.  
Director

Paul B. Anderson  
Admission Judge

Date: 09/04/2006

CARY GIBSON  
1230 RIVERSIDE AVE  
BALTIMORE MD 21230

THIS LETTER IS TO CONFIRM ACCEPTANCE OF THE FOLLOWING FILING:

ENTITY NAME : ENERGY TRUST, LLC  
DEPARTMENT ID : W11484078  
TYPE OF REQUEST : ARTICLES OF ORGANIZATION  
DATE FILED : 08-21-2006  
TIME FILED : 07:34 AM  
RECORDING FEE : \$100.00  
EXPEDITED FEE : \$50.00  
POSTAGE FEE : \$5.00  
FILING NUMBER : 10001618993609755  
CUSTOMER ID : 0001841224  
WORK ORDER NUMBER : 0001284262

PLEASE VERIFY THE INFORMATION CONTAINED IN THIS LETTER. NOTIFY THIS DEPARTMENT IN WRITING IF ANY INFORMATION IS INCORRECT. INCLUDE THE CUSTOMER ID AND THE WORK ORDER NUMBER ON ANY INQUIRIES. EVERY YEAR THIS ENTITY MUST FILE A PERSONAL PROPERTY RETURN IN ORDER TO MAINTAIN ITS EXISTENCE EVEN IF IT DOES NOT OWN PERSONAL PROPERTY. A BLANK RETURN WILL BE MAILED BY FEBRUARY OF THE YEAR FOR WHICH THE RETURN IS DUE.

Charter Division  
Baltimore Metro Area (410) 767-1350  
Outside Metro Area (888) 246-5941

301 West Preston Street-Room 801-Baltimore, Maryland 21201-2395  
Telephone (410)767-4950 / Toll free in Maryland (888)246-5941  
MRS (Maryland Relay Service) (800)735-2258 / TTY/Voice - Fax (410)333-7097  
Website: www.dat.state.md.us

0001284262

09/04/06

Appendix Section 4 a. – Energy Trust LLC Present Operation

PA Electricity Generation Supplier Certificate  
(A-2009-2134742 – Broker / Marketer Only)

**PENNSYLVANIA  
PUBLIC UTILITY COMMISSION**

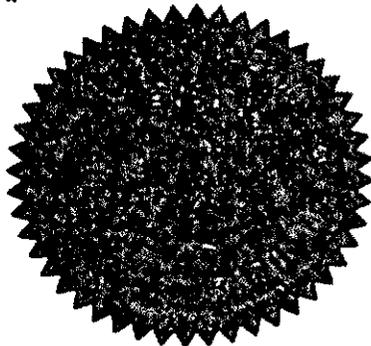
IN THE MATTER OF THE APPLICATION OF: **A-2009-2134742**

The Application of Energy Trust LLC for approval to begin to offer, render, furnish or supply electricity or electric generation services as a broker/marketer to the public within the Commonwealth of Pennsylvania.

The Pennsylvania Public Utility Commission hereby certifies that after an investigation and/or hearing, it has, by its report and order made and entered, found and determined that the granting of the application is necessary or proper for the service, accommodation, convenience and safety of the public and hereby issues, evidencing the Commission's approval, to the applicant this:

**LICENSE FOR ELECTRIC GENERATION SUPPLIER.**

In Witness Whereof, the PENNSYLVANIA PUBLIC UTILITY COMMISSION has caused these presents to be signed and sealed, and duly attested by its Secretary at its office in the city of Harrisburg this 17<sup>th</sup> day of December 2009.



*James J. McKeely*  
Secretary

Appendix A  
APPLICATION AFFIDAVIT

RECEIVED

DEC - 3 2014

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

[Commonwealth/State] of Maryland :

: ss.

County of Baltimore City:

Cary Gibson, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the Partner (Office of Affiant) of Energy Trust LLC (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein Energy Trust LLC has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an natural gas supplier pursuant to 66 Pa. C.S. § 2208 (c)(1).

That the Applicant herein Energy Trust LLC has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein Energy Trust LLC acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein Energy Trust LLC acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

Signature of Affiant

Sworn and subscribed before me this 24<sup>th</sup> day of November, 2014

Signature of official administering oath

My commission expires 9/17/16

TIFFANY LUMPKIN  
Notary Public  
Baltimore County  
Maryland  
My Commission Expires Sept. 17 2016

RECEIVED

DEC - 3 2014

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

Appendix B

OPERATIONS AFFIDAVIT

[Commonwealth/State] of Maryland :

: ss.

County of Baltimore City :

Cary Gibson, Affiant, being duly [sworn/affirmed] according to law,  
deposes and says that:

[He/she is the Partner (Office of Affiant) of Energy Trust LLC  
(Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That Energy Trust LLC, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That Energy Trust LLC, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render natural gas supply service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Energy Trust LLC, the Applicant herein, certifies to the Commission that it is subject to, will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 22 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28 shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional natural gas sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

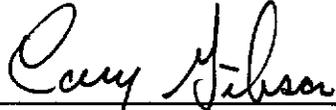
Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

**Appendix B (Continued)**

That Energy Trust LLC, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506 and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Office of Communications or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.

  
\_\_\_\_\_  
Signature of Affiant

Sworn and subscribed before me this 24 day of Nov, 2014.

  
\_\_\_\_\_  
Signature of official administering oath

My commission expires 9/12/14.

**RECEIVED**

DEC - 3 2014

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

## Appendix E - Disclosure Statement

(Not Applicable for Energy Trust LLC, Energy Trust LLC is a Broker/Marketer Only)

N/A

**RECEIVED**  
DEC - 3 2014  
PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

DEC - 3 2014

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

## Appendix F - Publication Notice for NGS Only

Proof of newspaper publications with the enclosed Certification of Publication and notice Photostatic copies are attached below:

A Sample of the Notice content is provided below:

<p style="text-align:center"><b>PENNSYLVANIA</b></p> <p style="text-align:center"><b>PUBLIC UTILITY COMMISSION</b></p> <p style="text-align:center"><b><u>NOTICE</u></b></p> <p><i>Application of <b>Energy Trust LLC</b> (d/b/a "<b>Energy Trust LLC</b>") For Approval To Offer, Render, or Furnish Natural Gas Services as a Supplier, Aggregator, or Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsylvania.</i></p> <p><b>Energy Trust LLC</b> will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier or aggregator of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. <b>Energy Trust LLC</b> proposes to sell natural gas and related services in Columbia Gas of PA, Inc.; Equitable Gas Company; National Fuel Gas Distribution Corp.; PECO; The Peoples Natural Gas Company; Philadelphia Gas Works; Peoples TWP LLC (Formerly T. W. Phillips); UGI; UGI Central Penn; UGI Penn Natural; Valley Energy Inc. under the provisions of the new Natural Gas Choice and Competition Act.</p> <p>The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of <b>Energy Trust LLC</b> may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to <b>Energy Trust LLC</b> attorney at the address listed below.</p> <p style="text-align:center"><b>Energy Trust LLC</b> <b>P. O. Box 29914</b> <b>Baltimore, Maryland 21230</b> <b>Office 410-837-1120</b> <b>Fax 410-837-1149</b></p>
--

## Appendix F – Proof of Publication Notice

1. Erie Times-News; Proof of Publication Notice
2. Harrisburg Patriot-News; Proof of Publication Notice
3. Philadelphia Daily News; Proof of Publication Notice
4. Pittsburg Post-Gazette; Proof of Publication Notice
5. Scranton Times-Tribune; Proof of Publication Notice
6. Williamsport Sun-Gazette; Proof of Publication Notice
7. Johnstown Tribune-Democrat; Proof of Publication Notice

RECEIVED

Proof of Publication Notice - Erie Times-News

DEC - 3 2014

PROOF OF PUBLICATION  
in  
THE ERIE TIMES-NEWS

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

COMBINATION EDITION

Energy Trust LLC  
PO Box 29914  
Baltimore MD 21230

REFERENCE: 83116 106415  
PUC Notice

STATE OF PENNSYLVANIA)  
COUNTY OF ERIE ) SS:

Debra McGraw, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, a true and correct copy of which is attached, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 11/18/14

TOTAL COST: \$409.00 AD SPACE: 0 Lines

FILED ON: 11/18/14

PENNSYLVANIA PUBLIC UTILITY COMMISSION  
NOTICE

Application of Energy Trust LLC (also "Energy Trust LLC") for Approval to Offer, Acquire, or Perform Natural Gas Services as a Supplier, Aggregator, or Market/Broker Engaged in the Business of Supplying Natural Gas Supply Services to The Public in The Commonwealth of Pennsylvania

Energy Trust LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier or aggregator of natural gas, and (2) a broker/broker engaged in the business of providing natural gas services. Energy Trust LLC proposes to sell natural gas and related services in Columbia Gas of PA, Inc.; Eggsville Gas Company; National Fuel Gas Distribution Corp.; PECO; The Peoples Natural Gas Company; Pittsburgh Gas Works; Peoples TWP LLC (formerly T W Phillips); UGP; UGP Central Penn; UGI Perm Natural Valley Energy Inc. under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial affairs of Energy Trust LLC may be filed with in 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3205, Harrisburg, PA 17105-3205. You should send 3 copies of any protest to Energy Trust LLC attorney at the address listed below:

Energy Trust LLC  
P.O. Box 29914  
Baltimore, Maryland 21230  
410-837-1129 Office  
410-837-1149 Fax

Sworn to and subscribed before me this 18th day of November 2014

Affiant: Debra McGraw  
NOTARY: Barbara J. Moore

COMMONWEALTH OF PENNSYLVANIA  
Notary Seal  
Barbara J. Moore, Notary Public  
City of Erie, Erie County  
My Commission Expires March 23, 2018  
VERMILION: PENNSYLVANIA ASSOCIATION OF NOTARIES

Proof of Publication Notice - Harrisburg Patriot-News

The Patriot-News Co.  
2020 Technology Pkwy  
Suite 300  
Harrisburg, PA 17101  
Inquiries - 717-255-8213

**The Patriot-News**  
Now you know

ENERGY TRUST LLC  
PO BOX 29914

BALTIMORE MD 21230

**THE PATRIOT NEWS  
THE SUNDAY PATRIOT NEWS**

**Proof of Publication**

Under Act No. 587, Approved May 16, 1929  
Commonwealth of Pennsylvania, County of Dauphin} ss

Marianne Miller, being duly sworn according to law, deposes and says:

That she is the Assistant Controller of The Patriot News Co., a corporation organized and existing under the laws of the Commonwealth of Pennsylvania, with its principal office and place of business at 2020 Technology Pkwy, Suite 300, in the Township of Hampden, County of Cumberland, State of Pennsylvania, owner and publisher of The Patriot-News and The Sunday Patriot-News newspapers of general circulation, printed and published at 1900 Patriot Drive, in the City, County and State aforesaid; that The Patriot-News and The Sunday Patriot-News were established March 4th, 1854, and September 18th, 1949, respectively, and all have been continuously published ever since;

That the printed notice or publication which is securely attached hereto is exactly as printed and published in their regular daily and/or Sunday/ Community Weekly editions which appeared on the date(s) indicated below. That neither she nor said Company is interested in the subject matter of said printed notice or advertising, and that all of the allegations of this statement as to the time, place and character of publication are true; and

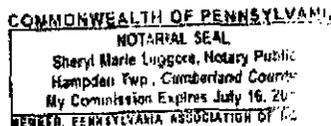
That she has personal knowledge of the facts aforesaid and is duly authorized and empowered to verify this statement on behalf of The Patriot-News Co. aforesaid by virtue and pursuant to a resolution unanimously passed and adopted severally by the stockholders and board of directors of the said Company and subsequently duly recorded in the office for the Recording of Deeds in and for said County of Dauphin in Miscellaneous Book "M", Volume 14, Page 317.

**PUBLICATION COPY**

This ad# 0002320514 ran on the dates shown below:

November 18, 2014

Sworn to and subscribed before me this 01 day of December, 2014 A.D.

  
Notary Public



# Proof of Publication Notice - Pittsburgh Post-Gazette

No. \_\_\_\_\_ Term, \_\_\_\_\_

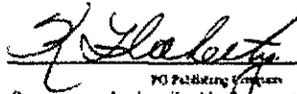
## Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, Pl. 1784, as last amended by Act No 409 of September 29, 1951

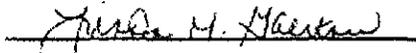
Commonwealth of Pennsylvania, County of Allegheny, ss K. Flaherty, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

17 of November, 2014

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

  
PG Publishing Company

Sworn to and subscribed before me this day of  
November 17, 2014



COMMONWEALTH OF PENNSYLVANIA  
Notarial Seal  
Linda M. Gaertner, Notary Public  
City of Pittsburgh, Allegheny County  
My Commission Expires Jan. 31, 2015  
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

### STATEMENT OF ADVERTISING COSTS

Energy Trust LLC  
Attn: Cary Gibson  
PO Box 29914  
Baltimore MD 21230

To PG Publishing Company

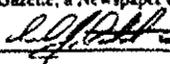
Total ..... \$472.50

### Publisher's Receipt for Advertising Costs

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

Office  
34 Boulevard of the Allies  
PITTSBURGH, PA 15222  
Phone 412-263-1338

PG Publishing Company, a Corporation, Publisher of  
Pittsburgh Post-Gazette, a Newspaper of General Circulation

By  Notary Public

I hereby certify that the foregoing is the original Proof of Publication and receipts for the Advertising costs in the subject matter of said notice.

Attorney Fee

### COPY OF NOTICE OR PUBLICATION

PENNSYLVANIA  
PUBLIC UTILITY  
COMMISSION NOTICE

Application of Energy Trust LLC d/b/a "Energy Trust LLC" For Approval to Offer, Render, or Furnish Natural Gas Services as a Supplier. Agent/Advisor of Marketer/Broker Engaged in the Business of Supplying Natural Gas Supply Services to the Public in the Commonwealth of Pennsylvania.

Energy Trust LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier or aggregator of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. Energy Trust LLC proposes to sell natural gas and related services in Columbia Gas of PA, Inc.; Equitable Gas Company; National Fuel Gas Distribution Corp.; PECO; The Peoples Natural Gas Company; Philadelphia Gas Works; Peoples T&E LLC (formerly T. W. Phillips); UGI; UGI Central Penn; UGI Penn Natural; Valley Energy Inc. under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Energy Trust LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3255, Harrisburg, PA 17106-3255. You should send copies of any protest to Energy Trust LLC directly at the address listed below.

Energy Trust LLC, P.O. Box 29914, Baltimore, Maryland 21230. 410-637-1120 Office, 410-637-1449 Fax



# Proof of Publication Notice - Williamsport Sun-Gazette

## PROOF OF PUBLICATION OF NOTICE IN THE WILLIAMSPORT SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA  
COUNTY OF LYCOMING

SS:

Bernard A. Oravec Publisher of the Sun-Gazette Company, publishers of the Williamsport, Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport Sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in said Williamsport Sun-Gazette on the following dates, viz:

PENNSYLVANIA  
PUBLIC UTILITY  
COMMISSION  
NOTICE

Application of Energy Trust LLC (d/b/a Energy Trust LLC) For Approval To Offer, Render, or Furnish Natural Gas Services as a Supplier, Aggregator, or Aggregator/Supplier Engaged in the Business of Supplying Natural Gas Supply Services, To the Public in the Commonwealth of Pennsylvania.

Affiant further to verify the of publication

Energy Trust LLC is filing an application with the Pennsylvania Public Utility Commission (PUC) for a license to

provide natural gas supply services as (1) a supplier or aggregator of natural gas, and (2) a broker/retailer engaged in the business of providing natural gas services. Energy Trust LLC proposes to sell natural gas and related services in Columbia Gas of PA, Inc.; Equilibra Gas Company; National Fuel Gas Distributor Corp.; PECO; The Peoples Natural Gas Company; Philadelphia Gas Works; Peoples TWP LLC (Forewarn T. W. Phillips); UGI; UGI Central Penn; UGI Penn Natural Valley Energy Inc. which are providers of the same Natural Gas Choice and Competition Act.

The PUC may convene of this application without a hearing. Process directed to the attention of Natural Gas of Energy Trust LLC may be read within 15 days of the date of this notice with the Secretary of the P.U.C. P.O. Box 3255, Harrisburg, PA 17105-0255. You should send copies of any protest to Energy Trust LLC attorney at the address listed below.

Energy Trust LLC  
P.O. Box 25914  
Baltimore, Maryland  
21223  
410-637-1120 Office  
410-637-1149 Fax

Energy Trust LLC  
P.O. Box 25914  
Baltimore, Maryland  
21223  
410-637-1120 Office  
410-637-1149 Fax

November 18, 2014

an officer duly authorized by the Sun-Gazette Company, publisher of the Williamsport Sun-Gazette, under oath and also declares that affiant is not interested in the subject matter of the aforesaid notice and that the facts and figures in the foregoing statement as to time, place and character of publication are true.

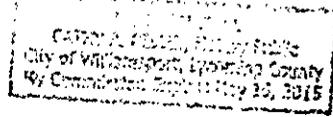
Bernard A. Oravec

SUN-GAZETTE COMPANY

Sworn to and subscribed before me

the 19<sup>th</sup> day of November, 2014

Cathy A. Kelley  
Notary Public



### STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette Company, Dr.:

For publishing the notice attached

hereon on the above state dates..... \$ 278.44

Pro-rated same..... \$

Total..... \$ 278.44

### PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

I hereby acknowledge receipt of the aforesaid advertising and publication costs to have been fully paid.

SUN-GAZETTE COMPANY

BY Bernard A. Oravec



Appendix G - Publication Notice for Combined Application NGS and EGS

(Not Applicable for Energy Trust LLC).

N/A

RECEIVED

DEC - 3 2014

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

DEC - 3 2014

## Appendix C - Energy Trust LLC - CERTIFICATE OF SERVICE

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

On this the 24 day of NOV 2014, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as a Natural Gas Supplier and all attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:

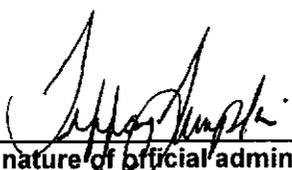
Office of Consumer Advocate  
5th Floor, Forum Place  
555 Walnut Street  
Harrisburg, PA 17120

Office of the Attorney General  
Bureau of Consumer Protection  
Strawberry Square, 14th Floor  
Harrisburg, PA 17120

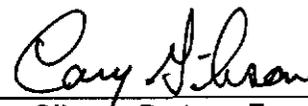
Office of the Small Business Advocate  
Commerce Building, Suite 1102  
300 North Second Street  
Harrisburg, PA 17101

Commonwealth of Pennsylvania  
Department of Revenue  
Bureau of Compliance  
Harrisburg, PA 17128-0946

<p><b>Columbia Gas of PA, Inc.</b> Thomas C. Heckathorn 200 Civic Center Drive Columbus, OH 43215 PH: 614.460.4996 FAX: 614.460.6442 theckathorn@nisource.com</p>	<p><b>Equitable Gas Company</b> Lynda Petrichevich 375 North Shore Drive, Suite 600 Pittsburg, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: Lynda.w.petricevich@peoples-gas.com</p>
<p><b>National Fuel Gas Distribution Corp.</b> David D. Wolford 6363 Main Street Williamsville, NY 14221 PH: 716.857.7483 FAX: 716.857.7479 e-mail: wolfordd@natfuel.com</p>	<p><b>PECO</b> Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2 Philadelphia, PA 19103 PH: 215.841.6452 Email: carlos.thillet@exeloncorp.com</p>
<p><b>The Peoples Natural Gas Company</b> Lynda Petrichevich 375 North Shore Drive, Suite 600 Pittsburg, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: Lynda.w.petricevich@peoples-gas.com</p>	<p><b>Philadelphia Gas Works</b> Nicholas LaPergola 800 West Montgomery Avenue Philadelphia, PA 19122 PH: 215.684.6278 email: nicholas.lapergola@pgworks.com</p>
<p><b>Peoples TWP LLC (Formerly T. W. Phillips)</b> Lynda Petrichevich 375 North Shore Drive, Suite 600 Pittsburg, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: Lynda.w.petricevich@peoples-gas.com</p>	<p><b>UGI</b> David Lahoff 2525 N. 12<sup>th</sup> Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3520 Email: dlahoff@ugi.com</p>
<p><b>UGI Central Penn</b> David Lahoff 2525 N. 12<sup>th</sup> Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3520 Email: dlahoff@ugi.com</p>	<p><b>UGI Penn Natural</b> David Lahoff 2525 N. 12<sup>th</sup> Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3520 Email: dlahoff@ugi.com</p>
<p><b>Valley Energy Inc.</b> Robert Crocker 523 South Keystone Avenue Sayre, PA 18840-0340 PH: 570.888-9664 FAX: 570.888.6199 email: bobc@centerprises.org</p>	

  
\_\_\_\_\_  
Signature of official administering path

My commission expires 9/17/16

  
\_\_\_\_\_  
Cary Gibson, Partner, Energy Trust LLC

**TIFFANY LUMPKIN**  
Notary Public  
Baltimore County  
Maryland  
My Commission Expires Sept. 17 2016

**CERTIFIED MAIL™**

PO BOX 29914  
Baltimore, MD 21230

Energy Procurement Specialists  
EnergyTrust.com



7014 0150 0000 3839 4429



1000

17120

U.S. POSTAGE  
PAID  
BALTIMORE, MD  
21230  
DEC 03, 14  
AMOUNT

**\$6.17**  
00041914-06

**RECEIVED**

DEC - 3 2014

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

Secretary  
Pennsylvania Public Utility Commission  
Keystone Building 2<sup>nd</sup> Floor Room N201  
Harrisburg, PA 17120