## **license**logix

December 18, 2014

Penn. Public Utility Commission Commonwealth Keystone Building Secretary 400 North Street, 2nd FIr, Rm N201 Harrisburg, PA 17120

Re:

Gulf Stream Energy Consultants, LLC Application for a Natural Gas Broker License

To Whom It May Concern:

Enclosed please find the **Application for a Natural Gas Broker License** that was completed for our client **Gulf Stream Energy Consultants**, **LLC**. Once the application has been processed, please forward evidence of approval to the mailing address on the application.

If there is any issue, or if you require any further information, please do not hesitate to contact me or my colleague, Kelly Konkus, at <a href="mailto:kkonkus@licenselogix.com">kkonkus@licenselogix.com</a> or (800) 292-0909 x313.

Thank you,

**Braden Smith** 

LicenseLogix

140 Grand Street, Suite 300 White Plains, NY 10601 bsmith@licenselogix.com

(800) 292-0909 ex. 316

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DEC 18 2014

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

#### BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of Gulf Stream Energy Consultants, LLC , d/b/a _	, for approval to offer,
render, furnish, or supply natural gas supply services as	a(n) [as specified in item #4b below] to the public in
the Commonwealth of Pennsylvania (Pennsylvania).	

To the Pennsylvania Public Utility Commission:

#### 1. IDENTIFICATION AND CONTACT INFORMATION

a. IDENTITY OF THE APPLICANT: Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

Gulf Stream Energy Consultants, LLC 1225 South Myrtle Avenue Clearwater, FL 33756 (888) 677-9459 Fax: (727) 754-7916

b. PENNSYLVANIA ADDRESS / REGISTERED AGENT: If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does:not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

Registered Agent Solutions, Inc.

125 Locust Street Harrisburg, PA 17101 Phone: (888) 705-7274 Fax: (888) 706-7274

c. REGULATORY CONTACT: Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Julie Swinyer, Managing Member

1225 South Myrtle Avenue, Clearwater, FL 33756

Phone: (888) 677-9459 Fax: (727) 754-7916

d. ATTORNEY: Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Applicant is not employing an attorney for filing this application. Applicant is using a third-party licensing company:

LicenseLogix

Phone: (800) 292-0909 x316

Braden Smith, bsmith@licenselogix.com

Fax: (212) 672-1105

140 Grand Street, Suite 300

White Plains, NY 10601

e. CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: Provide the name, title, address, telephone number, fax number, and e-mail of the person and an alternate person responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Natural Gas Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed NGSs.

Julie Swinyer, Managing Member

1225 South Myrtle Avenue, Clearwater, FL 33756

Phone: (888) 677-9459 Fax: (727) 754-7916 Dale Kuehner, Managing Member

1225 South Myrtle Avenue, Clearwater, FL 33756

(888) 677-9459

Fax: (727) 754-7916

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### 2. BUSINESS ENTITY FILINGS AND REGISTRATION

a.	FICTITIOUS NAME: (Select appropriate statement and provide supporting documentation as listed.)
	The Applicant will be using a fictitious name or doing business as ("d/b/a")
	Provide a copy of the Applicant's filing with Pennsylvania's Department of State Pursuant to 54 Pa. C.S. §311.
	Or
	The Applicant will not be using a fictitious name.
b,	BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS: (Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)
	The Applicant is a sole proprietor.
	<ul> <li>If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.</li> </ul>
	Or
	The Applicant is a:
	domestic general partnership (*) domestic limited partnership (15 Pa. C.S. §8511) foreign general or limited partnership (15 Pa. C.S. §4124) domestic limited liability partnership (15 Pa. C.S. §8201) foreign limited liability general partnership (15 Pa. C.S. §8211) foreign limited liability limited partnership (15 Pa. C.S. §8211)
	<ul> <li>Provide proof of compliance with appropriate Department of State filing requirements as indicated above.</li> </ul>
	<ul> <li>Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.</li> </ul>
	<ul> <li>Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.</li> </ul>
	<ul> <li>* If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.</li> </ul>

or

Х	The Applicant is a:					
		domestic corporation (15 Pa. C.S. §1308) foreign corporation (15 Pa. C.S. §4124) domestic limited liability company (15 Pa. C.S. §8913) foreign (imited liability company (15 Pa. C.S. §8981) Other (Describe):				

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above. Please refer to Exhibit B.
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation. Pennsylvania, please refer to Exhibit B.
- Give name and address of officers.
  Please refer to Exhibit A.

#### 3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

a. AFFILIATES: Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

Applicant does not have any affiliates currently doing business. Applicant does not have any affiliates that are currently applying to do business in Pennsylvania.

b. PREDECESSORS: Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

Applicant does not have any predecessors that have done business.

#### 4. OPERATIONS

	ADDI JOANITIO DEFORME	OBEDATIONS				-4-4
а.	APPLICANT'S PRESENT	OPERATIONS: (	select and	complete the	appropriate .	statementi

<u>Definitions</u>

Supplier - an entity which provides natural gas supply services to retail gas customers utilizing the jurisdictional facilities of an natural gas distribution company Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of natural gas but does not take title to the natural gas. The Applicant is presently doing business in Pennsylvania as a natural gas interstate pipeline municipality providing service outside its municipal limits local gas distribution company retail supplier of natural gas services in the Commonwealth a natural gas producer a broker/marketer engaged in the business of supplying natural gas services Other. (Identify the nature of service being rendered) or The Applicant is not presently doing business in Pennsylvania. b. APPLICANT'S PROPOSED OPERATIONS: The Applicant proposes to operate as a: Supplier or Aggregator of natural gas services Municipal supplier of natural gas services Cooperative supplier of natural gas services Broker/Marketer engaged in the business of supplying natural gas services Check here to verify that your organization will not be taking title to the natural gas nor will you be making payments for customers. Other (Describe):

c.	C. PROPOSED SERVICES: Describe in detail the natural gas supply services which the Applicant proposes to offer.						
d.	The Applicant was established to provide electric and natural gas brokerage services and upon approval would extend this service into Pennsylvania starting within the PECO and PPL service areas. The Applicant will not be taking title to the electricity or the natural gas. The Applicant will not be accepting pre-payments or deposits or contracting directly with the end user, but rather acting solely as an intermediary in the sale and purchase of electricity and natural gas. The Applicant will market non-residential customers - commercial, industrial, and governmental.  I. PROPOSED SERVICE AREA: Check the box of each Natural Gas Distribution Company for which the						
	Applicant proposes to provide service.						
	Columbia  National Fuel Gas  PECO  Peoples Gas – Equitable Div.  Peoples Natural Gas  Peoples TWP  Philadelphia Gas Works  UGI Central Penn  UGI Penn natural  UGI Utilities  Valley Energy  All of the above						
e.	CUSTOMERS: Applicant proposes to provide services to:  Residential Customers						
	Small Commercial Customers - (Less than 6,000 Mcf annually) Residential and Small Commercial as Mixed Meter ONLY (CANNOT BE TAKEN WITH						
	RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE)  Large Commercial Customers - (6,000 Mcf or more annually)  Industrial Customers						
	Large Commercial Customers - (6,000 Mcf or more annually)  Industrial Customers  Governmental Customers  All of above  Other (Describe):						
	Other (Describe):						
f.	START DATE: Provide the approximate date the Applicant proposes to <u>actively market</u> within the Commonwealth.						
	Upon licensure,						

#### 5. COMPLIANCE

a. CRIMINAL/CIVIL PROCEEDINGS: State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

The Applicant, or any person identified in this application, has not, nor is currently, the defendant of a criminal or civil proceeding within the last five years. The Applicant does not have any affiliates or predecessors.

**b. SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

N/A

- c. CUSTOMER/REGULATORY/PROSECUTORY ACTIONS: Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. If the Applicant has no actions or complaints to list, explicitly state such. Applicant has not been the subject of any formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency within the last five years.
- d. SUMMARY: If applicable; provide a statement as to the resolution or present status of any actions listed above.

N/A

#### 6. PROOF OF SERVICE

(Example Certificate of Service is attached at Appendix C)

a.) STATUTORY AGENCIES: Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code \$5.14, provide proof of service of a signed and verified Application with attachments on the following:

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120

Office of the Small Business Advocate Commerce Building, Suite 1102 300 North Second Street Harrisburg, PA 17101 Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946 b.) NGDCs: Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Natural Gas Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each NGDC is as follows.

Columbia Cas of BA Inc	Populos Can Faultable Division
Columbia Gas of PA, Inc.	Peoples Gas – Equitable Division
Thomas C. Heckathorn	Lynda Petrichevich
200 Civic Center Drive	375 North Shore Drive, Suite 600
Columbus, OH 43215	Pittsburg, PA 15212
PH: 614.460.4996	PH: 412.208.6528
FAX: 614.460.6442	FAX: 412.208.6577
theckathorn@nisource.com	e-mail: <u>Lynda.w.petrichevich@peoples-</u>
	gas.com
National Fuel Gas Distribution Corp.	PECO
David D. Wolford	Carlos Thillet, Manager, Gas Supply and
6363 Main Street	Transportation
Williamsville, NY 14221	2301 Market Street, S9-2
PH: 716.857.7483	Philadelphia, PA 19103
FAX: 716.857.7479	PH: 215.841.6452
e-mail: wolfordd@natfuel.com	Email: carlos.thillet@exeloncorp.com
The Peoples Natural Gas Company	Philadelphia Gas Works
Lynda Petrichevich	Nicholas LaPergola
375 North Shore Drive, Suite 600	800 West Montgomery Avenue
Pittsburg, PA 15212	Philadelphia, PA 19122
PH: 412.208.6528	PH: 215.684.6278
FAX: 412.208.6577	email: nicholas.lapergola@pgworks.com
e-mail: <u>Lynda.w.petrichevich@peoples-gas.com</u>	email: monoias.tapergola@pgworks.com
Peoples TWP LLC (Formerly T. W. Phillips)	UGI
· · · · · · · · · · · · · · · · · · ·	David Lahoff
Lynda Petrichevich	2525 N. 12 <sup>th</sup> Street, Suite 360
375 North Shore Drive, Suite 600	
Pittsburg, PA 15212	Reading, PA 19612-2677 PH: 610.796.3520
PH: 412.208.6528	
FAX: 412.208.6577	Email: dlahoff@ugi.com
e-mail: Lynda.w.petrichevich@peoples-gas.com	LIOLD N. d
UGI Central Penn	UGI Penn Natural
David Lahoff	David Lahoff
2525 N. 12 <sup>th</sup> Street, Suite 360	2525 N. 12 <sup>th</sup> Street, Suite 360
Reading, PA 19612-2677	Reading, PA 19612-2677
PH: 610.796.3520	PH: 610.796.3520
Email: <u>dlahoff@ugi.com</u>	Email: dlahoff@ugi.com
Valley Energy Inc.	
Robert Crocker	
523 South Keystone Avenue	
Sayre, PA 18840-0340	
PH: 570.888-9664	
FAX: 570.888.6199	
email: bobc@ctenterprises.org	
emaii. popolojotenterprises.ord	

#### 7. FINANCIAL FITNESS

- a. BONDING: In accordance with 66 Pa. C.S. Section 2208(c), no natural gas supplier license shall be issued or remain in force unless the applicant or holder furnishes a bond or other security in a form and amount to ensure the financial responsibility of the natural gas supplier. The criteria used to determine the amount and form of such bond or other security shall be set by each NGDC. Provide documentation that the applicant has met the security requirement of each NGDC by submitting the letters sent by the NGDCs stating what bonding amounts they require.
- b. FINANCIAL RECORDS, STATEMENTS, AND RATINGS: Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:
  - Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
  - Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
  - Applicant's accounting statements, including balance sheet and income statements for the past two years.
  - Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
  - A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
  - Audited financial statements exhibiting accounts over a minimum two year period.
  - Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

Please refer to Exhibit E.

c. SUPPLIER FUNDING METHOD: If Applicant is operating as anything other than <u>Broker/Marketer only</u>, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.
N/A

d. BROKER PAYMENT STRUCTURE: If applicant is a broker/marketer, explain how your organization will be collecting your fees.

Applicant will collect fees by directly billing our clients for services rendered, including commissions where applicable.

e. ACCOUNTING RECORDS CUSTODIAN: Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

Julie Swinyer, Managing Member 1225 South Myrtle Avenue, Clearwater, FL 33756 Phone: (888) 677-9459

Fax: (727) 754-7916

f. TAXATION: Complete the <u>TAX CERTIFICATION STATEMENT</u> attached as Appendix D to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

Please refer to Exhibit D.

#### 8. TECHNICAL FITNESS.

To ensure that the present quality and availability of service provided by natural gas distribution companies does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

- a. **EXPERIENCE**, **PLAN**, **STRUCTURE**: such information may include:
  - Applicant's previous experience in the natural gas industry.
  - Summary and proof of licenses as a supplier of natural gas services in other states or jurisdictions.
  - Type of customers and number of customers Applicant currently serves in other jurisdictions.
  - Staffing structure and numbers as well as employee training commitments.
  - Business plans for operations within the Commonwealth.
  - Any other information appropriate to ensure the technical capabilities of the Applicant.

Please refer to Exhibit F.

N/L

b. PROPOSED MARKETING METHOD (check all that apply)

<u> </u>	Internal – Applicant will use its own internal resources/employees for marketing
	External NGS – Applicant will contract with a PUC LICENSED NGS
	Affiliate - Applicant will use a NON-NGS affiliate that is a nontraditional marketer and/or
_	marketing services consultant
	External Third-Party – Applicant will contract with a NON-NGS third party nontraditional
	marketer and/or nonselling marketer
	Other (Describe):

		Yes No
		If yes, will the Applicant be using verification procedures?
		Yes No
		If yes, describe the Applicant's verification procedures.
d.		SIGHT OF MARKETING: Explain all methods Applicant will use to ensure all marketing is performed an ethical manner, for both employees and subcontractors.
A	pplicant	will review all marketing materials to ensure that they promote transparent and honest pricing information to all

c. DOOR TO DOOR SALES: Will the Applicant be implementing door to door sales activities?

 e. OFFICERS: Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations.

clients. All internal staff members that engage in marketing will be trained on an ongoing basis to ensure that all

Please refer to Exhibit F.

communications with clients are conducted in an ethical manner.

#### 9. **DISCLOSURE STATEMENT:**

**DISCLOSURE STATEMENTS:** If proposing to serve Residential and/or Small Commercial (less than 6,000 Mcf annually) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix E to this Application.

Natural gas should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

Not applicable for an applicant applying for a license exclusively as a broker/marketer.

## 10. <u>VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS</u>

a.	agrees to conf Further, the representative	OF CONDUCT AND DISCLOSURE: As a condition of receiving a license, Applicant form to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Applicant agrees that it must comply with and ensure that its employees, agents, s, and independent contractors comply with the standards of conduct and disclosure set sion regulations at 52 Pa. Code § 62.114.
		AGREED
b.	Commission: - Re an	<b>REQUIREMENTS</b> : Applicant agrees to provide the following information to the eports of Gross Receipts: Applicant shall file an annual report with the Commission on an inual basis no later than April 30 <sup>th</sup> following the end of the calendar year per 52 Pa. Code 62.110.
	<b>S</b>	AGREED
c.	entity, it is red	F LICENSE: The Applicant understands that if it plans to transfer its license to another quired to request authority from the Commission for permission prior to transferring the 66 Pa. C.S. § 2208(d). Transferee will be required to file the appropriate licensing
	X	AGREED
d.		<b>T</b> : The Commission does not <u>presently</u> assess Natural Gas Suppliers for the purposes of gulatory expenses.
	X	ACKNOWLEDGED
e.	substantial cha	EVELOPMENTS: Applicant is under a continuing obligation to amend its application if anges occur to the information upon which the Commission relied in approving the original Pa. Code § 62.105.
	X	AGREED
f.	the Application	ON: The Applicant understands that the making of false statement(s) herein may be enying the Application or, if later discovered, for revoking any authority granted pursuant to n. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and official matters.
	<u> </u>	AGREED

g.	NOTIFICATION OF CHANGE: If your answer to any of these items changes during the pendency of you application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within thirty (30) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 62.105.				
	<b>\Si</b>	AGREED			

- h. CEASING OF OPERATIONS: Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.
- i. FEE: The Applicant has enclosed or paid the required initial licensing fee of \$350.00 payable to the Commonwealth of Pennsylvania.
  - PAYMENT ENCLOSED

#### 11. AFFIDAVITS

- a.) APPLICATION AFFIDAVIT: Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.
- b.) OPERATIONS AFFIDAVIT: Provide an officially notarized affidavit stating that you will adhere to the Public Utility Code of Pennsylvania and applicable federal and state laws. An example copy of this Affidavit can be found at Appendix B.

#### 12. NEWSPAPER PUBLICATIONS

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. Below is a list of newspapers which cover the publication requirements for Natural Gas Suppliers looking to do business in Pennsylvania.

The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve. The chart below dictates which newspapers are necessary for each NGDC. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

Please file with the Commission the Certification of Publication, along with a Photostatic copy of the notice to complete the notice requirements.

Proof of newspaper publications must be filed with the initial application. Applicants **do not** need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	Erie Times- News	Harrisburg Patriot- News	Philadelphia Daily News	Pittsburg Post- Gazette	Scranton Times- Tribune	Williamsport Sun-Gazette	Johnstown Tribune- Democrat
Columbia Gas	Х	X		Х		X	x
Equitable Gas	Х			Х			
National Fuel Gas				Х			
PECO			X				
Peoples Natural Gas	Х			Х			X
Peaples TWP LLC				Х			
Philadelphia Gas							
Works			X				<u></u>
UGI		X	X		Х		
UGI Central Penn	Х	Х	X	X	X	X	X
UGI Penn Natural		Х			Х	X	
Valley Energy					X	Х	
Entire							
Commonwealth	Х	X	X	Х _	x	Х	X_

(Example Publications are provided at Appendices F and G)

13. <u>SIGNATURE</u>

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PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Title:

7

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#### 14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections is complete.

Applicant: Gulf Stream Energy Consultants, LLC

		<u>-</u>	
	Х	Signature	
	х	Filing Fee	ı
	x	Application Affidavit	an Use
t's Use	х	Operations Affidavit	's Burea
Applicant's Use	x	Proof of Publication	PUC Secretary's Bureau Use
	x	Tax Certification Statement	PUC S
	x	Commonwealth Department of State Verification	
	х	Certificate of Service	

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PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

### Exhibit A

Officers and Owners

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PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

#### LIST OF OFFICERS AND OWNERS

Name	Title	Address	Phone Number
Dale C. Kuehner	Managing Member	44 Kipling Plaza Clearwater Beach, FL 33767	(888) 677-9459 🕼
the matrix of the start	增加。 1941年1942年194日	Clearwater Beach, FL 33767	**************************************
Juliebeth M. Swinyer	Managing Member	501 Mandalay Ave., Apt. 300	5 (214) 729-5008
	Clearwater Beach, FL 33767		

## Exhibit B

Secretary of State

# COMMONWEALTH OF PENNSYLVANIA DEPARTMENT OF STATE

**DECEMBER 9, 2014** 

TO ALL WHOM THESE PRESENTS SHALL COME, GREETING:

I DO HEREBY CERTIFY THAT,

#### **Gulf Stream Energy Consultants, LLC**

is duly registered as a Foreign Limited Liability Company under the laws of the Commonwealth of Pennsylvania and remains subsisting so far as the records of this office show, as of the date herein.

I DO FURTHER CERTIFY THAT, This Subsistence Certificate shall not imply that all fees, taxes, and penalties owed to the Commonwealth of Pennsylvania are paid.



IN TESTIMONY WHEREOF, I have hereunto set my hand and caused the Seal of the Secretary's Office to be affixed, the day and year above written.

Secretary of the Commonwealth

Care aide

Certification Number: 12285053-1

Verify this certificate online at http://www.corporations.state.pa.us/corp/soskb/verify.asp

## Certified Copy

I certify the attached is a true and correct copy of the Articles of Organization of GULF STREAM ENERGY CONSULTANTS, LLC, a limited liability company organized under the laws of the state of Florida, filed electronically on February 06, 2013, as shown by the records of this office

I further certify that this is an electronically transmitted certificate authorized by section 15.16, Florida Statutes, and authenticated by the code noted below.

The document number of this limited liability company is L13000019391.

Authentication Code: 130207091440-500244434625#1

Given under my hand and the Great Seal of the State of Florida at Tallahassee, the Capital, this the Seventh day of February, 2013

Ken Detzher Secretary of State

### Electronic Articles of Organization For Florida Limited Liability Company

L13000019391 FILED 8:00 AM February 06, 2013 Sec. Of State dbruce

#### Article I

The name of the Limited Liability Company is: GULF STREAM ENERGY CONSULTANTS, LLC

#### Article II

The street address of the principal office of the Limited Liability Company is:

501 MANDALAY AVE. APT. 306 CLEARWATER BEACH, FL. US 33767

The mailing address of the Limited Liability Company is:

501 MANDALAY AVE. APT. 306 CLEARWATER BEACH, FL. US 33767

#### Article III

The purpose for which this Limited Liability Company is organized is: ANY AND ALL LAWFUL BUSINESS.

#### **Article IV**

The name and Florida street address of the registered agent is:

JULIEBETH M SWINYER 501 MANDALAY AVE APT 306 CLEARWATER, FL. 33767

Having been named as registered agent and to accept service of process for the above stated limited liability company at the place designated in this certificate, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relating to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

Registered Agent Signature: JULIEBETH M. SWINYER

#### Article V

The name and address of managing members/managers are:

Title: MGRM JULIEBETH M SWINYER 501 MANDALAY AVE. APT 306 CLEARWATER BEACH, FL. 33767 US

Title: MGRM DALE C KUEHNER 501 MANDALAY AVE APT 306 CLEARWATER BEACH, FL. 33767 US L13000019391 FILED 8:00 AM February 06, 2013 Sec. Of State dbruce

Signature of member or an authorized representative of a member

Electronic Signature: JULIEBETH M. SWINYER

I am the member or authorized representative submitting these Articles of Organization and affirm that the facts stated herein are true. I am aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S. I understand the requirement to file an annual report between January 1st and May 1st in the calendar year following formation of the LLC and every year thereafter to maintain "active" status.

## Certificate of Status

I certify from the records of this office that GULF STREAM ENERGY CONSULTANTS, LLC, is a limited liability company organized under the laws of the State of Florida, filed electronically on February 06, 2013.

The document number of this company is L13000019391.

I further certify that said company has paid all fees due this office through December 31, 2013, and its status is active.

I further certify that this is an electronically transmitted certificate authorized by section 15.16, Florida Statutes, and authenticated by the code noted below.

Authentication Code: 130207091440-500244434625#1

Given under my hand and the Great Seal of the State of Florida at Tallahassee, the Capital, this the Seventh day of February, 2013

THE PART OF THE PA

Ken Detiner Secretary of State

## Exhibit C

Proof of Service

### Exhibit F

**Technical Fitness** 

#### Company Profile:

Gulf Stream Energy Consultants, LLC helps businesses enroll into "The Electric Choice Programs" offered by their local utility. We partner our clients with the upper tier electric suppliers within their market. We help manage our client's electric and gas by acquiring competitive fixed rate bids from the electric suppliers. We also educate and update our clients of changes in the market place to help them time their energy decisions.

Although we are a newly established company, we have over 5 solid years of industry experience. Dale Kuehner, our Vice-President of Sales/Senior Energy Manager and Managing Member of the LLC joined the industry in 2008. His experience and credentials are detailed below

#### Principals:

## Dale Kuehner, Managing Member of Gulf Stream Energy Consultants, LLC. Vice- President of Sales and Sr. Energy Manager

- 21 year career in Sales and Marketing management. Dale has been responsible for large sales territories and has trained and managed sales personnel. His last position was as Sr. Energy Consultant with CES, Consumer Energy Solutions.

```
1992-1994 – Discovery Marketing, Inc. – General Manager
1994-2005 – Sysco (Food Services) - Marketing Associate
2006-2008 – Performance Food Group - Marketing Associate
2008-2013 - Consumer Energy Solutions - Sr. Energy Consultant
2013 – Present –Gulf Stream Energy Consultants, LLC
```

## Julie Swinyer, Managing Member of Gulf Stream Energy Consultants, LLC. Accounting and Operations

23 year corporate career during which she held increasingly responsible roles in both accounting and operations. Her last corporate position was Vice ~President of Customer Service and Sales Operations, Eljer, Inc. In 2010, she purchased a retail franchise.

```
1986-1988 – Olin Corporation – Accounting
1988-2009 – Eljer – Accounting and Sales Operations
2010 – Present – President and Owner of Kanani, Inc. (owner of retail franchise)
2013 – Present -Gulf Stream Energy Consultants, LLC
```

## Exhibit G

Affidavits

### Appendix A

## **APPLICATION AFFIDAVIT**

[Commonwealth/State] of	:
	∵ SS.
County of Pinellas	:
Juliebeth McLeod Swinyer , Affiant, being	g duly [sworn/affirmed] according to law, deposes and says that:
	Gulf Stream Energy Consultants, LLC (Name of Applicant);]
[That he/she is authorized to and does make	e this affidavit for said Applicant;]
Gulf Stream Energy Cons That the Applicant herein	ultants, LLC has the burden of producing information and supporting
documentation demonstrating its technical and f C.S. § 2208 (c)(1). Gulf Stream Energy Consu	financial fitness to be licensed as an natural gas supplier pursuant to 66 Pa.
That the Applicant herein completely and provided supporting documentati	has answered the questions on the application correctly, truthfully, and ion as required.
answer to questions on this application and conta	ultants, LLC acknowledges that it is under a duty to update information provided in tined in supporting documents.
Gulf Stream Energy Con That the Applicant herein	nsultants, LLC acknowledges that it is under a duty to supplement information provided in inclined in supporting documents as requested by the Commission.
That the facts above set forth are true and correct expects said Applicant to be able to prove the same	ct to the best of his/her knowledge, information, and belief, and that he/she me at hearing.
Sign	Luli beh He Leo ch Suy
Sworn and subscribed before me this	th day of November, 2014.
DOROTHY PUSATERI MY COMMISSION #FF116814 EXPIRES April 27, 2018 Sign (407) 398-0153 FloridaNotaryService.com	Simple Processing of official administering oath

My commission expires April 27th 2018

#### Appendix B

### **OPERATIONS AFFIDAVIT**

[Commonwealth/State] of :
SS.
County ofPinellas :
Juliebeth McLeod Swinyer, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:
Gulf Stream Energy Consultants, LLC  [He/she is the Managing Member (Office of Affiant) of (Name of Applicant);]
[That he/she is authorized to and does make this affidavit for said Applicant;]
Gulf Stream Energy Consultants, LLC , the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.
Gulf Stream Energy Consultants, LLC That, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render natural gas supply service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.
Gulf Stream Energy Consultants, LLC That, the Applicant herein, certifies to the Commission that it is subject to, will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 22 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28 shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional natural gas sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).
Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent

to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

## Appendix B (Continued)

Gulf Stream Energy Consultants, LLC
That, the Applicant herein, acknowledges that it has a statutory obligation to
conform with 66 Pa. C.S. §506 and the standards and billing practices of 52 PA. Code Chapter 56.
That the Applicant agrees to provide all consumer education materials and information in a timely manner
as requested by the Office of Communications or other Commission bureaus. Materials and information
requested may be analyzed by the Commission to meet obligations under applicable sections of the law.
That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.
l 11. D May 1 1
- fullbed of Lead Luy Signature of Affiant
Signature of Amant
lim
Sworn and subscribed before me this day of, 20_14
DOROTHY PUSATERI O
MY COMMISSION #FF118814  EXPIRES April 27, 2018  Juny  Figure
(407) 398-0153 FiorIdaNotaryService.com Signature of official administering oath
Signature of onicial administering bath
My commission expires $Apsil 27$ $Discontinuous 2018$ .

## Exhibit H

Publications

### COMMONWEALTH OF PENNSYLVANIA

County of Cambria

1396 D.

SS

#### PENNSYLVANIA! PUBLIC UTILITY COMMISSION NOTICE

Applications of Gulf Stream Energy Consultants, LLC For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public in The Commonwealth Of Pennsylvania.

The Public in The Commonwealth Of Pennsylvania.

Gulf Stream Energy Consultanta, LLC will be filing an application with the Pennsylvania Public Utility Commission (PUC) for a license to provide natural gas supply services as (1) a supply entire business of providing natural gas services; Gulf Stream Energy Consultants, LLC will also be filing an application with the PUC for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power; (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity of supplying electricity, and (3) and aggregator engaged in the business of supplying electricity, and (3) and aggregator engaged in the business of supplying electricity, and (3) and aggregator engaged in the business of supplying electricity and (3) and aggregator engaged in the business of supplying electricity and (3) and electricity in attural gas and related services throughout all of Pennsylvania under and related services throughout all of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Antiand the Electricity Generation Customer Choice and Competition Act

publishe that the

Sworn and E College 8th day of October, 2014.

The PUC may consider this application without a hearing that the Profess directed to the technical or financial finess of of The J. Stream, Energy Consultants, LLC may be filed within 15 days of the date of this notice with the Secretary in the st. of the PUC, P.O. Box 3285, Harrisburg PA171705-3285, of said | Vou should send copies of any protest to the address

Gulf Stream Energy Consultants, LLC 1225 South Myrtle Avenue Clearwater, FL 33758 (888) 677-9459

(727):754-7916

STATEMENT OF ADVERTISING COSTS

Muai

0.00 Lines @ \$2.50 per line	0.00
8 Inches @ \$25.00 per inch	200.00
Notary Fee	5.00
Clerical Fee	2.50
Total Cost	207.50

#### COMMONWEALTH OF PENNSYLVANIA

Notarial Seal Vivian Ohs, Notary Public City of Johnstown, Cambria County My Commission Expires Dec. 6, 2016 MEMBER PENNSYLVANIA ASSOCIATION OF NOTARIES

To The Tribune-Democrat, Johnstown, PA For publishing the notice or publication attached hereto on the above stated dates.

On this 8th day of October A.D.

Notary Public in and for said

County and State, personally appeared Christine Marhefka, who being duly sworn according to law.

deposes and says as Classified Advertising Manager of the Tribune-Democrat, Johnstown, PA, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger

Tribune, established December 7, 1853; and of the

March 5, 1863, y of Cambria, and Commonwealth of Pennsylvania and

matter published in said publication in the regular issues

on October 8, 2014; and that the Affiant is not interested that all of the allegations as to time, place and character

September 8, 1952, of the Johnstown

Johnstown Democrat, established

2014, before me, the subscriber, a

#### PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

for publisher of
a newspaper of general circulation, hereby acknowledges receipt of the aforesaic and publication costs and certifies that the same has been duly paid.
(Name of Newspaper)
By

#### The Scranton Times (Under act P.L. 877 No 160. July 9,1976)

Commonwealth of Pennsylvania, County of Lackawanna

LICENSELOGIX LINDSAY FARRENKOPJ 4TH FLOOR 150 GRAND ST WHITE PLAINS NY 10601

Account # 570967 Order # 81600350 Ad Price: 269.90

#### LEGAL NOTICE PENNSYLVANIA

Gina Krushinski

Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

10/02/2014

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true that all allegations is the foregoing statement as time, place and

Sworn and subscribed to before me this 2nd day of October A.D., 2014

(Notary Public

COMMONWEALTH OF PENNSYLVANIA
Notarial Seat

Joseph D. Strubeck, Notary Public City of Scranton, Lackawarina Country My Commission Expires Aug. 19, 2017

MEMBER, PENNSYLVANIA ASSOCIATION OF HOTAKIES

LEGAL NOTICE

PENNSYLVANIA PUBLIC UTILITY
COMMISSION NOTICE
Applications of Gulf Stream Energy
Consultants, LLC For Approval To
Offer, Render, or Furnish Services as a
Supplier Aggregator, and Marketer
Broker Engaged In, The Business OI
Supplying Natural Gas (Supply Services and Electricity Supply or Electric
Generation Services, To The Public Int
The Commonwealth Of Pennsylvania

Guif Stream Energy Consultants, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUCT) for a license to provide natural gas supply/services as (1) a supplier of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. Guif Stream Energy Consultants. LLC will also be filing an application with the PUC for a license to supply electricity or electric generation services as (1) a generator and supplier of alectric power. (2):a broker/marketer engaged in the business of supplying electricity and (3) an aggregator, engaged in the business of supplying electricity. Guif Stream Energy Consultants. LLC proposes to sell electricity, natural gas, and related services throughout all of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing Protests directed to the technical or financial fitness of **Sulf Stream: Energy Consultante**. LLC may be filed within 16 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265. Harrisburg, PA. 17105-3265. You should sand copies of any protest to the address listed below.

Gulf Stream Energy Consultants, LLC 1225 South Myrtle Avenue Clearwater, FL 33756 [883] 677-9459

The Patriot-News Co. 2020 Technology Pkwy Suite 300 Mechanicsburg, PA 17050 Inquiries - 717-255-8213



LICENSELOGIX 150 GRAND ST. 4TH FLOOR

WHITE PLAINS

NY 10601

#### THE PATRIOT NEWS THE SUNDAY PATRIOT NEWS

#### **Proof of Publication**

Under Act No. 587, Approved May 16, 1929 Commonwealth of Pennsylvania, County of Dauphin) ss

Marianne Miller, being duly sworn according to law, deposes and says:

That she is the Assistant Controller of The Patriot News Co., a corporation organized and existing under the laws of the Commonwealth of Pennsylvania, with its principal office and place of business at 2020 Technology Pkwy, Suite 300, in the Township of Hampden, County of Cumberland, State of Pennsylvania, owner and publisher of The Patriot-News and The Sunday Patriot-News newspapers of general circulation, printed and published at 1900 Patriot Drive, in the City, County and State aforesaid; that The Patriot-News and The Sunday Patriot-News were established March 4th, 1854, and September 18th, 1949, respectively, and all have been continuously published ever since;

That the printed notice or publication which is securely attached hereto is exactly as printed and published in their regular PENNSYLVANIA

Applications of the facts aforesaid and is duly authorized and empowered to verify this statement on aid by virtue and pursuant to a resolution unanimously passed and adopted severally by the Applications of Gulf Stream Energy

Applications of Gulf Stream Energy

Applications of Furnish Services as a Company and subsequently duly recorded in the office for the Recording of Deeds Other, Render, or Furnish Services as a Gulf Stream Energy Consultants (Inc. For Approval To Other, Render, or Furnish Services as a Gulf Stream Energy Consultants (Inc. For Approval To Other, Render, or Furnish Services as a Gulf Stream Energy Consultants (Inc. For Approval To Other, Render, or Furnish Services as a Gulf Stream Energy Consultants (Inc. For Approval To The Public In The Commonwealth Of Pennsylvania)

This ad # 0002313747 ran on the dates shown below: daily and/or Sunday/ Community Weekly editions which appeared on the date(s) indicated below. That neither she nor said

Pennsylvania.
Gulf Stream Energy Consultants, LLC
will be tiling an application with the
Pennsylvania Public Utility Commission will be tilling an application with the Pennsylvania Public Utility Commission (PUC") for a license to provide natural gas supsity services as (1) a supplier of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. Guit Stream Energy Consultants, L.C. will also be tilling an applications with the PUC for a license in supply electricity or electric seneralisms engaged in the business of supplying electricity, and (3) an aggregation engaged in the business of supplying electricity, Guit Stream Energy Cansultants, L.C. proposes to sell electricity natural gas, and related services throughout all of Pennsylvania under the prayisions of the new Natural Gas Chaice and Competition Act and the Electricity Generalian Customer Choice and Competition Act.

and Competition Act.

The PUC may consider this application without a hearing. Profests directed to the technical or financial by filness of Guif Stream Energy
Consultants, L'LC: may be filed within 15
days of the date of this notice with the
Secretary of the PUC. P. O. Box 2665.
Harrisburg, PA 17105-1265. You should send copies of any protest to the address

sted Dejow.

Guif Stream Energy Consultants, LLC
1225 South Myrite Avenue
Clearwater, FL 33756
(888) 677-9459
(727) 754-7916

Sworn to:and subscribed before me this 25 day of September, 2014 A.D.

COMMONWEALTH OF PENNSYLVANIA

NOTARIAL SEAL Sheryl Marie Leggore, Notary Public

Hampden Twp., Cumberland County My Commission Expires July 16, 2018 NEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

## PROOF OF PUBLICATION OF NOTICE IN THE WILLIAMSPORT SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA
COUNTY OF LYCOMING

SS:

Williamsport, Bulletin was e	Pennsylvania, being d established in 1801, si	uly sworn, deposes and says that the Williamsport Sun was established in 1870 and the Gazette & LEGAL NOTICES uccessor, the Williamsport Sun-Gazette, has been regularly issued and pub-
usned in the C	onnth of Throwing 910	of the printed notice is attached hereto exactly as the same was printed and
published in th	he regular editions of s	s Secretary of the PUC. Fazette on the following dates, viz:
		burg, PA 17:105-3265, You, should send copies of any protest no the address
		protest to the address CCC-10 2 2 8, 20/4
		Gulf Stream Energy
	i.	Consultants, LLC
	PENNSYLVANIA PUBLIC UTILITY	Avenue : Ave
Affiant further	NOTICE AND A	(868) 677-8459 and by the Sun-Gazette Company, publisher of the Williamsport Sun-Gazette,
to verify the for of publication,	Applications of Gulf Stream Energy Consul-	Commendation with a man attraction and attraction and attraction and account of the affect of the af
or publication,	Stream Energy Conaul- tanta, LLC For Approval To Offer, Render, or Fur-	tona in was voicesume as as to time, place and that access of publication are true.
	"NISH I SANYKAS "AS "A " MIN-	
	plier, Aggrepator, and Marketer/Broker Engaged In The Business Of Sup	Dent A. Chr
	plying Natural Gas Supply Services and Electricity Supply or Electric Genera	SUN-GAZETTE COMPANY
	Supply or Electric Genera-	
	lion Services, To The Public In The Com- monwealth Of Pennsylva-	Sworn to and subscribed before me
	Gulf Stream Energy Consultants, LLC will be	the day of Wetwher 2014
	Gulf Stream Energy	Date O Rille
	filing an application with the Pennsylvania Public	( ackg cx /) cicy
	Utility Commission ("PUC") for a dicense to	Notary Public
	provide natural gas supply services as (1) a supplier	\$1/0000000 and a new analysis residence of the conference of the c
	of natural gas, and (2) a broker/marketer engaged	F
	i in∡the≦business∶oi∻pro≕	CATHY A. BILLEY, Notzry Public
	viding natural gas ser- vices Gulf Stream Ener-	City of Williamsport, Lycoming County My Commission Expires May 15, 2015
	gy Consultants, LLC will also be filing an appli-	THE PARTY AND TH
	cation with the PUC for a license to supply electrici- ty or electric generation	STATEMENT OF ADVERTISING COSTS
	l Services as (1) a coe⊓era-	STATEMENT OF ADVERTISHED COSTS
	tor and supplier of electric power(2) a broker	
	power, (2) a broker;// marketer engaged in the business of supplying electricity, and (3) an	
	electricity,* and (3) en aggregator engaged in the	To the Sun-Gazette Company, Dr.:
	aggregator engaged in the business of supplying electricity. Gulf Stream	For publishing the notice attached
	LLC proposes to sell	hereto on the above state dates\$ 326./2
	electricity anatural gas, and related services	Ducketud name
	and related services throughout all of Penn- sylvania under the provi-	Total
	sylvania under the provi- sions of the new Natural Gas Choice and Com-	
ı	petition, Act, and the Liec-	HER'S RECEIPT FOR ADVERTISING COSTS
NLTE STINI CLA	tricity Generation Customer Choice and Com-	hereby acknowledges receipt of the aforesaid advertising and publication costs
I TE SUN-GA	The PUC may consid-	hon fully paid
mu cerames th	The PUC may consider this application without a hearing. Protests direct	een ruiij paru.
		OID: O DOMEST COLONISTS
i	ed to the technical of	SUN-GAZETTE COMPANY
	ed to the technical or financial fitness of Gulf- Stream Energy Consul- tants; LLC may be filed	SUN-GAZETTE COMPANY  BYBernard A. Oravec

	No.	Term,
Proof of Publication of Under Act No 587, Approved May 16, 1929,		
Commonwealth of Pennsylvania, County of Allegheny, Pittsburgh Post-Gazette, a newspaper of general circulation established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and Pittsburgh Gazette established in 1786 and the Pittsburgh I been regularly issued in said County and that a copy of printed and published in the regular newspaper of general circulation on the following dates, viz	published in the City of Pittsburgh Gazette and Sun-Telegraph and the Pittsburgh Post-Gazette we Post, established in 1842, since said printed notice or publications and	urgh, County and Commonwealth aforesaid, was d The Pittsburgh Press and the Pittsburgh Post- vas established in 1927 by the merging of the which date the said Pittsburgh Post-Gazette has
24 of September, 2014 Affiant further deposes that he/she is an agent for the PG P that, as such agent, affiant is duly authorized to verify the foof the afore said notice or publication, and that all allegation.	oregoing statement under oath,	that affiant is not interested in the subject matter s to time, place and character of publication are COPY OF NOTICE
	*	OR PUBLICATION
PG Publishing Comps Sworn to and subscribed before is September 24, 2014  COMMONWEALTH OF PENNS)  Notarial Seal Linda M. Gaertner, Notary P City of Pittsburgh, Allegheny C My Commission Expires Jan. 31  MEMBER PENNSYLVANIA ASSOCIATION C	CLVANIA Public county , 2015 DE NOTARIES	PENNSYLVANIA FUBLIC LITHITY COMMISSION NOTICE  Applications of Gulf Stream Energy Consultants, LC For Approval To Offer, Render, or Furnish Services as a Supplier, Ag- gregator, and Marketer/ Broker Engaged in The Business of Supplying Nat- ural Gas Supply Services and Electricity Supply or Electric Generation Service es, To the Public in The Commonwealth of Penn- sylvania Gulf Stream Energy Consultants, LCC will be filling an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply servic- es as (1) a supplier of natur r all gas, and (2) a broker marketer engaged in the business of provid- ing natural gas services. Culf Stream Energy Corr sylvanis, LLC, will also be
STATEMENT OF ADVERT LicenseLogix LLC 150 GRAND ST FL 4 Attn: Lisa Lee	ISING COSTS	sultants, LLC will also be filing an application with the PUC for a license to supply electricity or electric generation services as (1) a generation and supplier of electric power, (2) a
	501~4846	broker marketer engaged in the business of staboly- ing electricity, and (3) an aggregator engaged in the Excenses or supplying elec-
To PG Publishing C	ompany	trichy, Gulf Stream Energy Consultarins, ILC proposes to sell electricity, natural
Total	\$562.50	gas, and related services divoughout all of Pennsyl- vania under the provisions of the new Natural Gas Choice and Compeniion Act and the Electricity
Publisher's Receipt for A	<del>-</del>	Generation Customer Choice and Competition Act. The PUC may consider

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforsaid advertising and publication costs and certifies that the same have been fully paid.

Office 34 Boulevard of the Allies PITTSBURGH, PA 15222 Phone 412-263-1338

PG Publishing Company, a Corporation, Publisher of Pittsburgh Post-Gazette, a Newspaper of General Circulation

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

The PUC may consider this application without a hearing. Protests directed to the rechmical or financial timess of Sulf Stream Energy Consultants, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC. P.O. Bbx 3265, Hard Secretary of the Action o

1225 South Mystle Avenue Clearwater, F1 33756 (889) 677-9459 (727) 754-7916

#### Proof of Publication in The Philadelphia Daily News Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA COUNTY OF PHILADELPHIA

Florence Devlin being duly sworn, deposes and says that The Philadelphia Daily News is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

October 3, 2014

Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

Traume Darley

Sworn to and subscribed before me this 3<sup>rd</sup> day of October, 2014.

Mery line Loge Notary ublic

My Commission Expires:

COMMONWEALTH OF PENNSYLVANIA

NOTARIAL SEAL MARY ANNE LOGAN, Noter City of Philadelphia, Phila. County My Commission Expires Merch 30, 2017 Copy of Notice of Publication

PENNSYLVANIA

PUBLIC UTILITY COMMISSION

Applications of Guil Stream Energy Censultants, LLC for Approval To Orior, Rander, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged in The Business of Supplying Natural Gas (pupply Services and Electricity Supply or Electric Generation Services, To The Public in The Commonwealth Of Pennsylvania.

Guil Stream Energy Consultants, LLC will be filling an application with the Pennsylvania Public Utility Commission (\*PUC) for a license to provide natural gas supply, services as (1) a supplier of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. Guil Stream Energy Censultants, LLC will also be filling an application with the PUC for a license to supply sectricity or electric generation services, as: (1) a generator and supplier, of selectric power. (2) is broker/marketer, engaged in the business of supplying electricity and (3) an aggregator engaged in the business of supplying electricity. Supplying electricity and supplier, of selectric power. (2) is broker/marketer, engaged in the business of supplying electricity and (3) an aggregator engaged in the business of supplying electricity of the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Custome Order and Competition Act and the Electricity Generation Custome Order and Competition Act and the Electricity Generation Custome Order and Competition Act and the Electricity Generation Custome Order and Competition Act and the Electricity Generation Custome Order and Supplication without a hearty. Or heart of the notice with within 15 days of the date of this notice with within 15 days of the date of this notice with within 15 days of the date of this notice with within 15 days of the date of this notice with within 15 days of the date of this notice with within 15 days of the date of this notice with within 15 days of the date of this notice with within 15 days of the date of this notice with the Electricity Genera

#### PROOF OF PUBLICATION In THE ERIE TIMES-NEWS

#### COMBINATION EDITION

LICENSE LOGIX 150 GRAND ST 4th Floor WHITE PLAINS NY 10601

REFERENCE:

122994

95321

**PUC Notice** 

STATE OF PENNSYLVANIA) COUNTY OF ERIE ) SS:

Debra McGraw, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, a true and correct copy of which is attached, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 10/08/14

**TOTAL COST: \$409.00** 

AD SPACE: 0 Lines

FILED ON: 10/08/14

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Applications of Gulf Stream Energy Consultants, LLC For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services. To The Public in The Commonwealth Of

Supply of Least to detectation of recent in the common research pennsylvania. Pennsylvania Public Utarly Commission ("PUC") for a lecense to provide natural gas supply services as (1) a supplier of natural gas, and (2) a broker-marketer engaged in the business of providing natural gas services. Gull. Stream Energy Consultants, LLC will also be filing an application with the PUC luna license to supply electricity or electric generation services as (1) a generator and supplier of electric; power, (2), a proker/marketer; engaged in the business of supplying electricity, and (3) an applepator engaged in the business of supplying electricity. Gulf Stream Energy Consultants, LLC proposes to sell electricity, natural gas, and related Services throughout all of Pennsylvania under the provisions of the

new Natural Gas Choice and Competition, Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Gulf Stream Energy Consultants LLC may be tiled within 15 days of the date of this notice with the Secretary of the PUC. PO. Box 3265. Harrisburg, PA 17105-3265. You should send copies of any protest to the address listed below.

Gulf Stream Energy Consultants: LLC 1225 South Myrtle Avenue Clearwater, FL 33756 (888) 677-9459 (727) 754-7916;

Sworn to and subscribed before me this 8th day of October 2014

COMMONWEALTH OF PENNSYLVANIA

Motarial Scol

Barbara J. Moore, Notary Public City of Eric, 61:7 County

My Commission Expires March 23, 2016 MEMBER, PCHIEVLVANIA ASSOCIATION OF NOTARIES

#### Appendix C

## Example CERTIFICATE OF SERVICE

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120 Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

Office of the Small Business Advocate Commerce Building, Suite 1102 300 North Second Street Harrisburg, PA 17101 Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946

Columbia Con of DA Inc	Faultable Can Comment
Columbia Gas of PA, Inc.	Equitable Gas Company
Thomas C. Heckathorn	Lynda Petrichevich
200 Civic Center Drive	375 North Shore Drive, Suite 600
Columbus, OH 43215	Pittsburg, PA 15212
PH: 614.460.4996	PH: 412.208.6528
FAX: 614.460.6442	FAX: 412.208.6577
theckathorn@nisource.com	e-mail: Lynda.w.petrichevich@peoples-
	gas.com_
National Fuel Gas Distribution Corp.	PECO
David D. Wolford	Carlos Thillet, Manager, Gas Supply and
6363 Main Street	Transportation
Williamsville, NY 14221	2301 Market Street, S9-2
PH: 716.857.7483	Philadelphia, PA 19103
FAX: 716.857.7479	PH: 215.841.6452
e-mail: wolfordd@natfuel.com	Email: carlos.thillet@exeloncorp.com
The Peoples Natural Gas Company	Philadelphia Gas Works
Lynda Petrichevich	Nicholas LaPergola
375 North Shore Drive, Suite 600	800 West Montgomery Avenue
Pittsburg, PA 15212	Philadelphia, PA 19122
PH: 412,208.6528	PH: 215.684.6278
FAX: 412.208.6577	email: nicholas.lapergola@pgworks.com
e-mail: Lynda.w.petrichevich@peoples-gas.com	
Peoples TWP LLC (Formerly T. W. Phillips)	UGI
Lynda Petrichevich	David Lahoff
375 North Shore Drive, Suite 600	2525 N. 12 <sup>th</sup> Street, Suite 360
Pittsburg, PA 15212	Reading, PA 19612-2677
PH: 412.208.6528	PH: 610.796.3520
FAX: 412.208.6577	Email: <u>dlahoff@ugi.com</u>
e-mail: Lynda.w.petrichevich@peoples-gas.com	

UGI Central Penn	UGI Penn Natural
David Lahoff	David Lahoff
2525 N. 12 <sup>th</sup> Street, Suite 360	2525 N. 12 <sup>th</sup> Street, Suite 360
Reading, PA 19612-2677	Reading, PA 19612-2677
PH: 610.796.3520	PH: 610.796.3520
Email: glahoff@ugi.com	Email: dlahoff@ugi.com
Valley Energy Inc.	
Robert Crocker	
523 South Keystone Avenue	
Sayre, PA 18840-0340	
PH: 570.888-9664	
FAX: 570.888.6199	
email: bobc@ctenterprises.org	

Braden Smith, Senior Account Manager LicenseLogix

From: (800) 292-0909 Sandra Erickson

140 Grand Street Suite 300 White Plains, NY 10601 Origin ID: NESA



SHIP TO: (800) 692-7380

**BILL SENDER** 

Penn, Public Utility Commission Commonwealth Keystone Building Secretary 400 North Street, 2nd Flr, Rm N201 HARRISBURG, PA 17120

Ship Date: 18DEC14 ActWgt: 0.5 LB

CAD: 103000896/INET3550

Delivery Address Bar Code



Ref# Gulf Stream Energy Cons. (PA)

Invoice # P0 # Dept#

DEC 1 8 2014

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

> TUE - 23 DEC AA **EXPRESS SAVER**

TRK# 0201

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17120 PA-US MDT





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