



COMMONWEALTH OF PENNSYLVANIA
PENNSYLVANIA PUBLIC UTILITY COMMISSION
P.O. BOX 3265, HARRISBURG, PA 17105-3265

IN REPLY PLEASE
REFER TO OUR FILE

January 9, 2015

Docket No. A-2014-2457328
Utility Code: 1117157

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FEB - 6 2015

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

FRED J GRAFT PRESIDENT
WORTHINGTON ENERGY CONSULTANTS LLC
445 HUTCHINSON AVE STE 800
COLUMBUS OH 43235

RE: Electric Generation Supplier License Application of Worthington Energy
Consultants LLC

Dear Mr. Graft:

On November 5, 2014, the Worthington Energy Consultants LLC application for an Electric Generation Supplier license was accepted for filing and docketed with the Public Utility Commission. The application was incomplete. In order for us to complete our analysis of your application, the Energy Industry Group requires answers to the attached question(s).

Please forward the information to the Secretary of the Commission at the address listed below **within ten (10) working days** from the date of this letter. Please note that some responses may be e-filed to your case, <http://www.puc.pa.gov/efiling/default.aspx>. A list of document types allowed to be e-filed can be found at <http://www.puc.pa.gov/efiling/DocTypes.aspx>.

Rosemary Chiavetta, Secretary
Pennsylvania Public Utility Commission
P.O. Box 3265
Harrisburg, PA 17105-3265

Rosemary Chiavetta, Secretary
Pennsylvania Public Utility Commission
400 North Street
Harrisburg, PA 17120

Your answers should be verified per 52 Pa Code § 1.36. Accordingly, you must provide the following statement with your responses:

I, FRED J. GRAFT, hereby state that the facts above set forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa. C.S. § 4904 (relating to unsworn falsification to authorities).

The blank should be filled in with the name of the appropriate company representative, and the signature of that representative should follow the statement.

In addition, to expedite completion of the application, please also e-mail the information to Stephen Jakab at sjakab@pa.gov. Please direct any questions to Stephen Jakab, Bureau of Technical Utility Services, at sjakab@pa.gov or (717) 783-6174.

Sincerely,

Darren D. Gill, Deputy Director
Bureau of Technical Utility Services

Enclosure
cc: Stephen Jakab

Docket No. A-2014-2457328
Worthington Energy Consultants LLC
Data Request

1. Reference application, Section 1.e, Identification and Contact Information – Applicant failed to include an alternate contact for complaints and customer service. The application requires the full contact information for a primary and an alternate contact. Please provide an updated sheet to reflect both contacts. Please provide an original document by using the submission method listed above.

Tom Huesman
Worthington Energy Consultants, LLC
445 Hutchinson Road
Suite 840
Columbus, Ohio 43235
614-989-3030
thuesman@worthingtonenergyconsultants.com

2. Reference application, Section 2, Business Entity and Department of State Filings –The application requires the company’s Formation documentation. Please provide an original document by using the submission method listed above.

The formation documents submitted to the Ohio Secretary of State are attached.

3. Reference application, Section 4.a, Operations – Applicant failed to state if they are currently doing business in PA? Please provide an updated application page with the appropriate corrections. Please provide an original document by using the submission method listed above.

Worthington Energy Consultants received a license to do business in the Commonwealth of Pennsylvania on July 20, 2014. Worthington Energy Consultants anticipates engaging in marketing activities following the approval of this application.

4. Reference application, Section 4.d, Proposed Service Area – Applicant did not list Citizens’ Electric of Lewisburg, Pike County Light and Power Company, UGI Utilities Inc., or Wellsboro Electric Company in the Proposed Service Area of the application. However, the applicant did include these territories in the Certificate of Service, and provided all the required notarized proof of publications. Does the applicant wish to service the entire Commonwealth? If so, please update this application page to properly reflect all EDCs the applicant intends to operate in, or simply list the entire Commonwealth of Pennsylvania. Please provide an original document by using the submission method listed above.

Worthington Energy Consultants wishes to service the entire Commonwealth of Pennsylvania.

5. Reference application, Section 7.d, Financial Fitness – Applicant failed to provide their Broker Payment Structure. Please provide an updated application page with the appropriate corrections. Please provide an original document by using the submission method listed above.

Worthington Energy Consultants will receive a per kilowatt-hour fee from the competitive electric supplier in contract with the customer.

6. Reference application, Section 8.d, Technical Fitness – Applicant failed to give an Explanation of Ethical Procedures. Please provide an original document by using the submission method listed above. Applicant can elect to mark submitted information as confidential.

Worthington Energy Consultants has the highest ethical standards.

In the event there is a complaint involving an ethical issue, it will be addressed directly by the President of Worthington Energy Consultants.

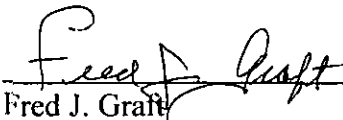
Worthington Energy Consultants Ethical Standards Statement is below.

All employees and agents affiliated with Worthington Energy Consultants are expected to:

- 1. Learn, understand and follow the laws and regulations applicable to Worthington Energy Consultants.*
- 2. Be honest, transparent, fair and trustworthy in all work-related activities and relationships.*
- 3. Report actual or suspected ethical misconduct.*
- 4. When unclear about what to do, seek advice from the President of Worthington Energy Consultants.*
- 5. Exhibit exemplary ethical business conduct.*
- 6. Deal with all suppliers, customers, and all other persons doing business with Worthington Energy Consultants in a completely fair and objective manner without favor or preference for their own personal financial or relationship consideration.*

Worthington Energy Consultants is committed to excellence and doing the right thing, treating people with respect and acting with the highest standards of personal and professional integrity at all times.

I, Fred J. Graft, hereby state that the facts above set forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa. C.S. § 4904 (relating to unsworn falsification to authorities).



Fred J. Graft
President
Worthington Energy Consultants, LLC



DATE:	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
09/20/2011	201126200609	ARTICLES OF ORGNZTN/DOM. PROFIT LIM.LIAB. CO. (LCP)	125.00	.00		.00	.00

Receipt

This is not a bill. Please do not remit payment.

FRED GRAFT
WORTHINGTON ELECTRIC CONSULTANTS LLC
445 HUTCHINSON AVE #800
COLUMBUS, OH 43235

**STATE OF OHIO
CERTIFICATE**

Ohio Secretary of State, Jon Husted

2048387

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

WORTHINGTON ENERGY CONSULTANTS, LLC

and, that said business records show the filing and recording of:

Document(s)

ARTICLES OF ORGNZTN/DOM. PROFIT LIM.LIAB. CO.

Document No(s):

201126200609



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of
the Secretary of State at Columbus,
Ohio this 15th day of September,
A.D. 2011.

Ohio Secretary of State

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU



Form 533A Prescribed by the: Ohio Secretary of State

Central Ohio: (614) 466-3910
Toll Free: (877) SOS-FILE (767-3453)

www.sos.state.oh.us
Busserv@sos.state.oh.us

Expedite this form: (select one)
Mail form to one of the following:

- Expedite PO Box 1390
Columbus, OH 43216
*** Requires an additional fee of \$100 ***
- Non Expedite PO Box 670
Columbus, OH 43216

ARTICLES OF ORGANIZATION FOR A DOMESTIC LIMITED LIABILITY COMPANY

Filing Fee: \$125.00

(CHECK ONLY ONE (1) BOX)

(1) <input checked="" type="checkbox"/> Articles of Organization for Domestic For-Profit Limited Liability Company (115-LCA) ORC 1705	(2) <input type="checkbox"/> Articles of Organization for Domestic Nonprofit Limited Liability Company (115-LCA) ORC 1705
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Name of limited liability company
Worthington Energy Consultants, LLC

Name must include one of the following words or abbreviations: "limited liability company," "limited," "LLC," "L.L.C.," "Ltd.," or "Ltd."

Effective Date 09/15/2011 (The legal existence of the limited liability company begins upon the filing of the articles or on a later date specified that is not more than ninety days after filing)
(Optional) mm/dd/yyyy

This limited liability company shall exist for Perpetual.
(Optional) Period of Existence

Purpose Consulting and any purpose or purposes for which individuals lawfully associate themselves.
(Optional)

Check here if additional provisions are attached

RECEIVED
SECRETARY OF STATE
2011 SEP 15 PM 4:36
CLIENT SERVICE CENTER

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

ORIGINAL APPOINTMENT OF AGENT

The undersigned authorized member(s), manager(s) or representative(s) of

Worthington Energy Consultants, LLC

Name of Limited Liability Company

hereby appoint the following to be Statutory Agent upon whom any process, notice or demand required or permitted by statute to be served upon the limited liability company may be served. The name and address of the agent is

Frederick J. Graft

Name of Agent

445 Hutchinson Avenue, Suite 800

Mailing Address

Columbus

City

Ohio

State

43235

Zip Code

If the agent is an individual and using a P.O. Box, check this box to certify the agent is an Ohio resident.

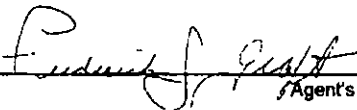
ACCEPTANCE OF APPOINTMENT

The undersigned, named herein as the statutory agent for

Worthington Energy Consultants, LLC

Name of Limited Liability Company

hereby acknowledges and accepts the appointment of agent for said limited liability company


Agent's Signature

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Feb 6 2015

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

By signing and submitting this form to the Ohio Secretary of State, the undersigned hereby certifies that he or she has the requisite authority to execute this document on behalf of the limited liability company identified above

REQUIRED

Articles and original appointment of agent must be authenticated (signed) by a member, manager or other representative.

Frederick J. Graft
Signature

09/15/2011
Date

Frederick J. Graft
Print Name

Signature

Date

Print Name

Signature

Date

Print Name

(See Instructions Below)

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

fedex.com 1800.GoFedEx 1.800.463.3339

1 From
Date 2-6-15 Sender's FedEx Account Number _____
Sender's Name FRED GRAFT Phone 614 989-3030
Company WORTHINGTON ENERGY CONSULTANTS
Address 445 HUTCHINSON AVE., SUITE 840
City COLUMBUS State OH ZIP 43235

2 Your Internal Billing Reference

3 To
Recipient's Name ROSEMARY CHEAVETTA Phone 717-783-6174
Company PENNSYLVANIA PUBLIC UTILITY COMM.
Recipient's Address 400 NORTH STREET
We cannot deliver to P.O. boxes or P.O. ZIP codes. Dept./Floor/Suite/Room _____
Address _____
To request a package be held at a specific FedEx location, print FedEx address here.
City HARRISBURG State PA ZIP 17120

4a Express Package Service Packages up to 150 lbs.
 1 FedEx Priority Overnight Next business morning ** Friday shipments will be delivered on Monday unless SATURDAY Delivery is selected.
 5 FedEx Standard Overnight Next business afternoon.* Saturday Delivery NOT available.
 6 FedEx First Overnight Earliest next business morning delivery to select locations.* Saturday Delivery NOT available.
 3 FedEx 2Day Second business day ** Thursday shipments will be delivered on Monday unless SATURDAY Delivery is selected. FedEx Envelope rate not available. Minimum charge: One-pound rate.
 20 FedEx Express Saver Third business day ** Saturday Delivery NOT available.
 * To most locations.

4b Express Freight Service Packages over 150 lbs.
 7 FedEx 1Day Freight* Next business day ** Friday shipments will be delivered on Monday unless SATURDAY Delivery is selected.
 8 FedEx 2Day Freight Second business day ** Thursday shipments will be delivered on Monday unless SATURDAY Delivery is selected.
 83 FedEx 3Day Freight Third business day ** Saturday Delivery NOT available.
 * Call for Confirmation. ** To most locations.

5 Packaging
 6 FedEx Envelope* **2 FedEx Pak*** Includes FedEx Small Pak, FedEx Large Pak, and FedEx Sturdy Pak. **3 FedEx Box** **4 FedEx Tube** **1 Other Tube**
 * Declared value limit \$500.

6 Special Handling Include FedEx address in Section 3.
 3 SATURDAY Delivery Not available for FedEx Standard Overnight, FedEx First Overnight, FedEx Express Saver, or FedEx 2Day Freight.
 1 HOLD Weekday at FedEx Location Not available for FedEx First Overnight.
 31 HOLD Saturday at FedEx Location Available ONLY for FedEx Priority Overnight and FedEx 2Day to select locations.
 Does this shipment contain dangerous goods? (One box must be checked.)
 No **4 Yes** (An per attached Shipper's Declaration. **Yes** Shipper's Declaration not required.)
 6 Dry Ice Dry Ice, R UN 1845 _____ kg
 Dangerous goods (including dry ice) cannot be shipped in FedEx packaging. **Cargo Aircraft Only**

7 Payment Bill to: Enter FedEx Acct. No. or Credit Card No. below. Obtain Recip. Acct. No.
 1 Sender Acct. No. in Section 1 will be billed. **2 Recipient** **3 Third Party** **4 Credit Card** **5 Cash/Check**

4003
 Total Packages 1 Total Weight _____
 *Our liability is limited to \$100 unless you declare a higher value. See the current FedEx Service Guide for details. Credit Card Acct. _____

8 Residential Delivery Signature Options If you require a signature, check Direct or Indirect.
 No Signature Required Package may be left without obtaining a signature for delivery. **10 Direct Signature** Someone at recipient's address may sign for delivery. Fee applies. **34 Indirect Signature** If no one is available at recipient's address, someone at a neighboring address may sign for delivery. Fee applies.

520

