

Powering Your Business

April 8, 2015

Secretary Pennsylvania Public Utility Commission Keystone Building 2nd Floor, Room N201 Harrisburg, PA 17120

Dear Mr. Secretary,

Enclosed please find our original application for Natural Gas Supplier License. Also enclosed is a CD-Rom with a searchable PDF of our application as well as our application fee.

Please let me know if there is any additional information that you require.

Regards,

fle John Tobelmann

President 610-639-1406

APR 10 2015

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU







BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of <u>Tobelmann Energy Brokers</u>, Incd/b/a ______, for approval to offer, render, furnish, or supply natural gas supply services as a(n) <u>fas specified in item #4b below</u> to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

a. IDENTITY OF THE APPLICANT: Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

Tobelmann Energy Brokers, Inc. 15 Kiloran Wtnd Glenmoore, PA 19343 www.tobelmann.net (610) 639-1406

b. PENNSYLVANIA ADDRESS / REGISTERED AGENT: If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

N/A

c. REGULATORY CONTACT: Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

John Tobelmann, President 15 Kiloran Wynd Glenmoore, PA 19343 (610) 639-1406 fax (484) 204-0618 john@tobelmann.net

d. ATTORNEY: Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Joann L. Drust, Esquire Schindler Law Group, LLC 818 East Baltimore Pike, Kennett Square, PA 19348 610-444-6216 610-444-6217 (Fax) jdrust@schindlerlawgroup.com

e. CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: Provide the name, title, address, telephone number, fax number, and e-mail <u>of the person and an alternate person</u> responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Natural Gas Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed NGSs.

John Tobelmann, President 15 Kiloran Wynd Glenmoore, PA 19343 (610) 639-1406 fax (484) 204-0618 john@tobelmann.net

RECEIVED

APR 1 0 2015

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

The Applicant is a:

domestic corporation (15 Pa. C.S. §1308)

foreign corporation (15 Pa. C.S. §4124)

domestic limited liability company (15 Pa. C.S. §8913)

foreign limited liability company (15 Pa. C.S. §8981)

Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as _ indicated above. See Appendix I
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation. See Appendix I
- Give name and address of officers.

See Appendix I

3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

a. AFFILIATES: Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

Applicant does not have any affiliates doing business.

b. PREDECESSORS: Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

Applicant does not have any predecessors.

OPERATIONS 4.

a. APPLICANT'S PRESENT OPERATIONS: (select and complete the appropriate statement)

Definitions

- Supplier an entity which provides natural gas supply services to retail gas customers utilizing the jurisdictional facilities of an natural gas distribution company
- Broker/Marketer an entity that acts as an intermediary in the sale and purchase of natural gas but does not take title to the natural gas.
- The Applicant is presently doing business in Pennsylvania as a



- natural gas interstate pipeline
 - municipality providing service outside its municipal limits
- local gas distribution company
 - retail supplier of natural gas services in the Commonwealth
 - a natural gas producer
- a broker/marketer engaged in the business of supplying natural gas services
- Other. (Identify the nature of service being rendered)

or

The Applicant is not presently doing business in Pennsylvania.

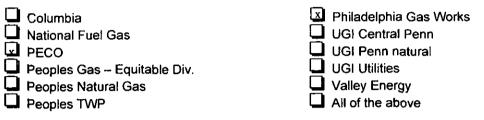
b. APPLICANT'S PROPOSED OPERATIONS: The Applicant proposes to operate as a:

- Supplier or Aggregator of natural gas services
- Municipal supplier of natural gas services
 - Cooperative supplier of natural gas services
- \mathbf{x} Broker/Marketer engaged in the business of supplying natural gas services
 - Check here to verify that your organization will not be taking title to the natural gas nor will you be making payments for customers.
- Other (Describe):

c. PROPOSED SERVICES: Describe in detail the natural gas supply services which the Applicant proposes to offer.

Applicant will be providing customers with brokering services; ie, providing a choice of natural gas suppliers.

d. PROPOSED SERVICE AREA: Check the box of each Natural Gas Distribution Company for which the Applicant proposes to provide service.



- e. CUSTOMERS: Applicant proposes to provide services to:
 - **Residential Customers**
 - S N Small Commercial Customers - (Less than 6,000 Mcf annually)
 - Residential and Small Commercial as Mixed Meter ONLY (CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE)
 - Large Commercial Customers (6,000 Mcf or more annually)
 - Industrial Customers
 - x **Governmental Customers**
 - All of above
 - Other (Describe):
- f. START DATE: Provide the approximate date the Applicant proposes to actively market within the Commonwealth.

January 1, 2014

5. COMPLIANCE

a. CRIMINAL/CIVIL PROCEEDINGS: State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

No person or affiliate of Applicant has been or is currently the defendent of a criminal or civil proceeding within the last five (5) years.

b. SUMMARY: If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

N/A

c. CUSTOMER/REGULATORY/PROSECUTORY ACTIONS: Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. If the Applicant has no actions or complaints to list, explicitly state such.

Applicant has had no actions or conplaints to list.

d. SUMMARY: If applicable; provide a statement as to the resolution or present status of any actions listed above.

N/A

6. **PROOF OF SERVICE**

(Example Certificate of Service is attached at Appendix C)

a.) STATUTORY AGENCIES: Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120

Office of the Small Business Advocate Commerce Building, Suite 1102 300 North Second Street Harrisburg, PA 17101 Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946 b.) NGDCs: Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Natural Gas Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each NGDC is as follows.

Columbia Gas of PA, Inc.	Peoples Gas – Equitable Division
Thomas C. Heckathorn	Lynda Petrichevich
200 Civic Center Drive	375 North Shore Drive, Suite 600
Columbus, OH 43215	Pittsburg, PA 15212
PH: 614.460.4996	PH: 412.208.6528
FAX: 614.460.6442	FAX: 412.208.6577
theckathorn@nisource.com	e-mail: Lynda.w.petrichevich@peoples-
	gas.com
National Fuel Gas Distribution Corp.	PECO
David D. Wolford	Carlos Thillet, Manager, Gas Supply and
6363 Main Street	Transportation
Williamsville, NY 14221	2301 Market Street, S9-2
PH: 716.857.7483	Philadelphia, PA 19103
FAX: 716.857.7479	PH: 215.841.6452
e-mail: wolfordd@natfuel.com	Email: carlos.thillet@exeloncorp.com
The Peoples Natural Gas Company	Philadelphia Gas Works
Lynda Petrichevich	Nicholas LaPergola
375 North Shore Drive, Suite 600	800 West Montgomery Avenue
Pittsburg, PA 15212	Philadelphia, PA 19122
PH: 412.208.6528	PH: 215.684.6278
FAX: 412.208.6577	email: nicholas.lapergola@pgworks.com
e-mail: Lynda.w.petrichevich@peoples-gas.com	<u></u>
Peoples TWP LLC (Formerly T. W. Phillips)	UGI
Lynda Petrichevich	David Lahoff
375 North Shore Drive, Suite 600	2525 N. 12 th Street, Suite 360
Pittsburg, PA 15212	Reading, PA 19612-2677
PH: 412.208.6528	PH: 610.796.3520
FAX: 412.208.6577	Email: dlahoff@ugi.com
e-mail: Lynda.w.petrichevich@peoples-gas.com	
UGI Central Penn	UGI Penn Natural
David Lahoff	David Lahoff
2525 N. 12 th Street, Suite 360	2525 N. 12 th Street, Suite 360
Reading, PA 19612-2677	Reading, PA 19612-2677
PH: 610.796.3520	PH: 610.796.3520
Email: <u>dlahoff@ugi</u> .com	Email: dlahoff@ugi.com
Lindin dianon(deugi.com	Email: dianonge agr.com
Valley Energy Inc.	
Robert Crocker	
523 South Keystone Avenue	
Sayre, PA 18840-0340	
PH: 570.888-9664	
FAX: 570.888.6199	
email: <u>bobc@ctenterprises.org</u>	

7. FINANCIAL FITNESS

- a. BONDING: In accordance with 66 Pa. C.S. Section 2208(c), no natural gas supplier license shall be issued or remain in force unless the applicant or holder furnishes a bond or other security in a form and amount to ensure the financial responsibility of the natural gas supplier. The criteria used to determine the amount and form of such bond or other security shall be set by each NGDC. Provide documentation that the applicant has met the security requirement of each NGDC by submitting the letters sent by the NGDCs stating what bonding amounts they require.
- b. FINANCIAL RECORDS, STATEMENTS, AND RATINGS: Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following: See Appendix J
 - Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
 - Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
 - Applicant's accounting statements, including balance sheet and income statements for the past two years.
 - Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
 - A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
 - Audited financial statements exhibiting accounts over a minimum two year period.
 - Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.
- c. SUPPLIER FUNDING METHOD: If Applicant is operating as anything other than <u>Broker/Marketer only</u>, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

Applicant is acting as a broker only.

d. BROKER PAYMENT STRUCTURE: If applicant is a broker/marketer, explain how your organization will be collecting your fees.

Applicant will be compensated by the natural gas supplier chosen by the applicants customer.

e. ACCOUNTING RECORDS CUSTODIAN: Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

John Tobelmann, President 15 Kiloran Wynd Glenmoore, PA 19343 (610) 639-1406 fax (484) 204-0618 john@tobelmann.net

f. TAXATION: Complete the <u>TAX CERTIFICATION STATEMENT</u> attached as Appendix D to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

8. <u>TECHNICAL FITNESS</u>: See Attached Appendix K

To ensure that the present quality and availability of service provided by natural gas distribution companies does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

- a. EXPERIENCE, PLAN, STRUCTURE: such information may include:
 - Applicant's previous experience in the natural gas industry.
 - Summary and proof of licenses as a supplier of natural gas services in other states or jurisdictions.
 - Type of customers and number of customers Applicant currently serves in other jurisdictions.
 - Staffing structure and numbers as well as employee training commitments.
 - Business plans for operations within the Commonwealth.
 - Any other information appropriate to ensure the technical capabilities of the Applicant.

b. **PROPOSED MARKETING METHOD** (check all that apply)

- Internal Applicant will use its own internal resources/employees for marketing
 - External NGS Applicant will contract with a PUC LICENSED NGS
- Affiliate Applicant will use a NON-NGS affiliate that is a nontraditional marketer and/or marketing services consultant
- External Third-Party Applicant will contract with a NON-NGS third party nontraditional marketer and/or nonselling marketer
- Other (Describe):

 \Box

c. DOOR TO DOOR SALES: Will the Applicant be implementing door to door sales activities?

Ũ	Yes
x	No

Yes No

If yes, will the Applicant be using verification procedures?

Γ	٦	

If yes, describe the Applicant's verification procedures.

d. OVERSIGHT OF MARKETING: Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

Applicant does not do any real natural gas marketing. We will accept a natural gas procurement request from our power procurement customers. We do not actively market the service other than list it on our website.

e. OFFICERS: Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations.

John Tobelmann, President Andy Tobelmann, Vice President of Sales

9. DISCLOSURE STATEMENT:

DISCLOSURE STATEMENTS: If proposing to serve Residential and/or Small Commercial (less than 6,000 Mcf annually) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix E to this Application.

 Natural gas should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

Not applicable for an applicant applying for a license exclusively as a broker/marketer.

10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

a. STANDARDS OF CONDUCT AND DISCLOSURE: As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 62.114.



- b. REPORTING REQUIREMENTS: Applicant agrees to provide the following information to the Commission:
 - Reports of Gross Receipts: Applicant shall file an annual report with the Commission on an annual basis no later than April 30th following the end of the calendar year per 52 Pa. Code § 62.110.



c. TRANSFER OF LICENSE: The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. § 2208(d). Transferee will be required to file the appropriate licensing application.



d. ASSESSMENT: The Commission does not <u>presently</u> assess Natural Gas Suppliers for the purposes of recovery of regulatory expenses.



e. FURTHER DEVELOPMENTS: Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 62.105.



f. FALSIFICATION: The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.



14

g. NOTIFICATION OF CHANGE: If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within thirty (30) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 62.105.



h. CEASING OF OPERATIONS: Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.



i. FEE: The Applicant has enclosed or paid the required initial licensing fee of \$350.00 payable to the Commonwealth of Pennsylvania.



PAYMENT ENCLOSED

11. AFFIDAVITS

a.) APPLICATION AFFIDAVIT: Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.

attached

b.) OPERATIONS AFFIDAVIT: Provide an officially notarized affidavit stating that you will adhere to the Public Utility Code of Pennsylvania and applicable federal and state laws. An example copy of this Affidavit can be found at Appendix B.

attached

12. NEWSPAPER PUBLICATIONS

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. Below is a list of newspapers which cover the publication requirements for Natural Gas Suppliers looking to do business in Pennsylvania.

The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve. The chart below dictates which newspapers are necessary for each NGDC. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

Please file with the Commission the Certification of Publication, along with a Photostatic copy of the notice to complete the notice requirements.

Proof of newspaper publications must be filed with the initial application. Applicants **do not** need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	Erie Times- News	Harrisburg Patriot- News	Philadelphia Daily News	Pittsburg Post- Gazette	Scranton Times- Tribune	Williamsport Sun-Gazette	Johnstown Tribune- Democrat
Columbia Gas	X	X		Х		X	Х
Equitable Gas	X			Х			
National Fuel Gas				X			
PECO			X				
Peoples Natural Gas	X			Х			Х
Peoples TWP LLC				Х			
Philadelphia Gas Works			x				
UGI		X	x		Х		
UGI Central Penn	Х	X	X	х	X	x	Х
UGI Penn Natural		X	··		X	x	
Valley Energy					X	X	
Entire		· · · · · · · · ·		· · · · · ·			
Commonwealth	Х	X	Х	X	X	X	X

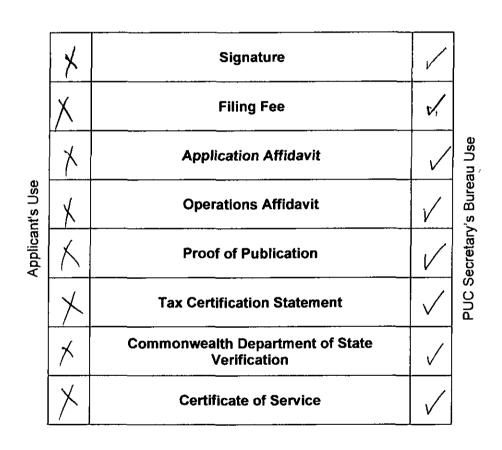
(Example Publications are provided at Appendices F and G)

13. SIGNATURE

Energy Brokers, INC. obelmann Applicant:: By: Vres10 Title: 15

14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections is complete.

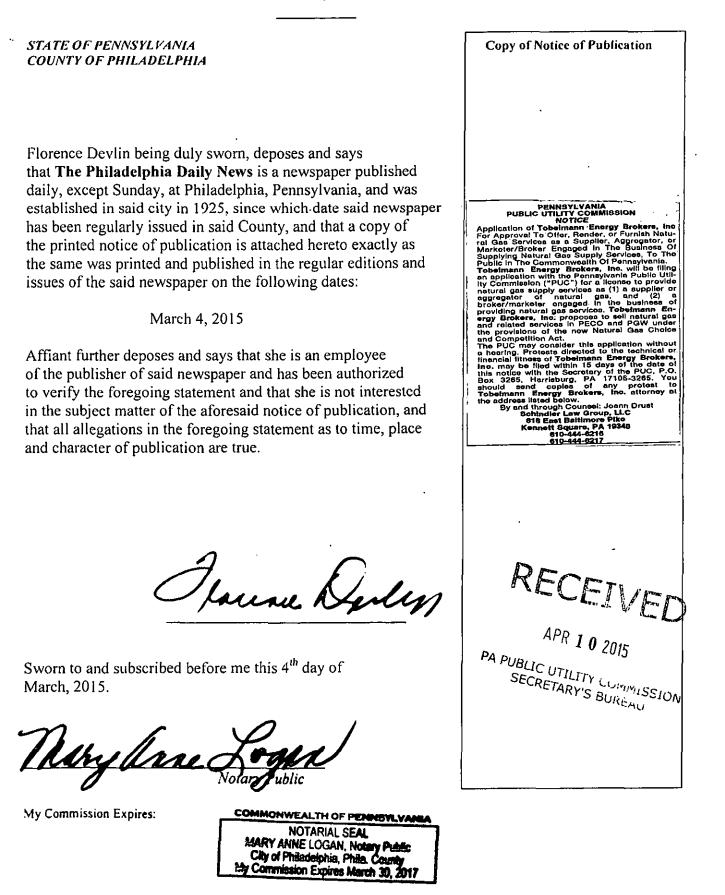


Applicant: TOBELMANN ENERGY BROKERS, INC.



APR 1 0 2015 PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Proof of Publication in The Philadelphia Daily News Under Act. No 587, Approved May 16, 1929



Appendix A

APPLICATION AFFIDAVIT

[Commonwealth/State] of PENNSYLVANIA
: SS.
County of <u>CHESTER</u>
JoHN TOBELMANN Affiant, being duly [sworn/affirmed] according to law, deposes and says that: TOBELMANN (TEB) [He/she is the PRESIDENT (Office of Affiant) of ENERGY BROKEN, INC (Name of Applicant);]
[That he/she is authorized to and does make this affidavit for said Applicant;]
That the Applicant herein $\underline{\mathcal{TEB}}$ has the burden of producing information and supporting
documentation demonstrating its technical and financial fitness to be licensed as an natural gas supplier pursuant to 66 Pa. C.S. § 2208 (c)(1).
That the Applicant herein $\underline{\tau \in B}$ has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.
That the Applicant herein \mathcal{TEB} acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.
That the Applicant herein \mathcal{TEB} acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.
That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.
Sworn and subscribed before me this <u>27</u> day of <u>March</u> , 20 <u>15</u> , PA PUBLIC APR 10 2010
Signatule of Affiant Sworn and subscribed before me this <u>J7</u> day of <u>March</u> , 20 <u>15</u> . PA PUBLIC UTILITY Sworn and subscribed before me this <u>J7</u> day of <u>March</u> , 20 <u>15</u> . PA PUBLIC UTILITY SECRETARY'S CONNIESSION Signature of official administering oath
Signature of official administering oath

February 10, 2019. My commission expires

.

COMMONWEALTH OF PENNSYLVANIA NOTARIAL SEAL Haley Schofield, Notary Public Uwchlan Twp.. Chester County My Commission Expires Feb. 10, 2019 WEMBER. PENNSYLVANIA ASSOCIATION OF NOTARIES Appendix B

OPERATIONS AFFIDAVIT

[Commonwealth/State] of <u>PENNSYLVANIA</u>: SS. County of <u>CHESTER</u>: <u>John Tobelmann</u>, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the $\frac{PRESIDENT}{(Name of Applicant);}$ (Office of Affiant) of $\frac{TOBELMANN ENERGY}{BRAKERS, FNC. (TEB)}$

[That he/she is authorized to and does make this affidavit for said Applicant;]

That \mathcal{TEB} , the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That \underline{TES} , the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render natural gas supply service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That TEB, the Applicant herein, certifies to the Commission that it is subject to, will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 22 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28 shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional natural gas sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.



APR 1 0 2015

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU That $\underline{\tau \epsilon \beta}$, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506 and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Office of Communications or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.

Signature of Affiant

Sworn and subscribed before me this 27 day of March , 20 15

Huy Schuld Signature of official administering oath

My commission expires <u>February</u> 10,2019.

COMMONWEALTH OF PENNSYLVANIA NOTARIAL SEAL Haley Schofield, Notary Public Uwchian Twp., Chester County My Commission Expires Feb. 10, 2019 VEWBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

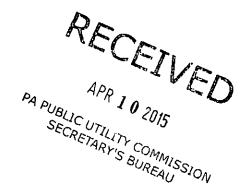


Appendix C Certificate of Service

On This 10th day of April, 2015, I certify that a true and correct copy of the foregoing form for lincesing within the Commonwealth of Pennsylvania as a Natural Gas Supplier and all the attachments have been served, as ether a hardcopy or a searchable PDF version on a CD-rom, upon the following:

- Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120
- Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120
- Office of the Small Business Advocate Commerce Building, Suite 1102 300 North Second Street Harrisburg, PA 17101
- Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946
- PECO Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2 Philadelphia, PA 19103 PH: 215.841.6452 Email: carlos.thillet@exeloncorp.com
- Philadelphia Gas Works

 Nicholas LaPergola
 800 West Montgomery Avenue Philadelphia, PA 19122
 PH: 215.684.6278
 email: <u>nicholas.lapergola@pgworks.com</u>



Powering Your Business



Appendix I Business Entity Filings and Registrations

Department of State filings attached copy of our great seal certificate certifying the filing of our articles of incorporation



APR 1 0 2015

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Officers:

Incorporation forms

John Tobelmann President 15 Kiloran Wynd Glenmoore, PA 19343

attached copy of our articles of incorporation

attached copy of our S Corp acceptance letter

Andrew Tobelmann – V.P. of Sales 3602 Homestead Lane Thorndale, PA 19372

COMMONWEALTH OF PENNSYLVANIA

DEPARTMENT OF STATE

August 17, 2012

TO ALL WHOM THESE PRESENTS SHALL COME, GREETING:

I DO HEREBY CERTIFY, That from an examination of the indices and Records of this Department, it appears that on February 17, 2009 Articles of Incorporation were filed pursuant to the laws of the Commonwealth of Pennsylvania, whereby Tobelmann Energy Brokers, Inc., is duly incorporated under the laws of the Commonwealth of Pennsylvania and remains subsisting so far as the records of this office show, as of the date herein.

I DO FURTHER CERTIFY, That this shall not imply that all fees, taxes, and penalties owed to the Commonwealth of Pennsylvania are paid.



IN TESTIMONY WHEREOF, I have hereunto set my hand and caused the Seal of the Secretary's Office to be affixed, the day and year above written.

and ailde

Secretary of the Commonwealth

Certificate Number: 10509958-1 Verify this certificate online at http://www.corporations.state.pa.us/Corp/SOSKB/verify.asp

COMMONWEALTH OF PENNSYLVANIA

DEPARTMENT OF STATE

DECEMBER 14, 2011

TO ALL WHOM THESE PRESENTS SHALL COME, GREETING:

Tobelmann Energy Brokers, Inc.

I, Carol Aichele, Secretary of the Commonwealth of Pennsylvania

do hereby certify that the foregoing and annexed is a true and correct copy of

1 ARTICLES OF INCORPORATION filed on February 17, 2009 which appear of record in this department.



IN TESTIMONY WHEREOF, I have hereunto set my hand and caused the Seal of the Secretary's Office to be affixed, the day and year above written.

ance airere

Secretary of the Commonwealth

Articles of Incorporation-For Profit Appendix I

ST, PAO4B

-	-		Dstate	•								
Sent:	•	-		uary 16	5, 2009 3	6:13 PM						
To:		ST, PA			'n	ALC IL						
Subje	ect: I	PAO4B	New	Registra	ation A	46260						
					PENNS	SYLVANIA CORPO Articles of I	RATIO	N BUF	REAU		TE	
۔۔ ا	<u>Busin</u> Busin	iness-stoo ess-nons ess-statu erative(§	tock (§ 2 tory.clos	102)	Profe	agement (§ 2703) assional (§ 2903) ranc <u>e (§ 3101)</u>	1			·		
•												
Do	ocum	ent will b	o return	ed to the	name and	address you en	ter below.					
	ame	Tobelma	n n				•					
Ad	dress										•	· · · ·
	dress									wealth	of Penn PORATIO	sylvania ON 2 Page(s)
City	ty			s	tate ^{Zip} Code			ART				11 4 8 14 1 14 1 14 14 14
Ch	hosto	r Springs	i	P	A 19425							
F	nall:						111			T09050	65045	13 80111 91000 0 100 91
jto	belm	ann@co	mcast.n	et								
jto Fec	belm e: \$12 In cor	25 npliance	with the	requireme	ents of the a n for profit, l	pplicable provisic hereby states that	ons (relating t:	to corpo	ation and	unincorr	iorated as	sociations), the
jto Fec	belm e: \$1; In cor siring	25 npliance to incorp The narr abbrevia	with the orate a c ne of the <i>tion. "Pr</i>	requireme corporatio corporati ofessiona	n for profit, l on (<i>corpora</i> Il corporatio	npplicable provision hereby states that ate designator req n" or "P.C."):	t:					
jto Fec	belm e: \$1; In cor siring	25 npliance i to incorp The narr <i>abbrevia</i> Tobeim	with the orate a c ne of the <i>tion. "Pr</i> ann Ene	requireme corporatio corporati ofessiona rgy Brok	n for profit, l on (<i>corpore</i> Il corporatio ers, inc .	hereby states tha ate designator req n" or "P.C."):	t: wired, i.e., 1	corporati	on", "incorj	porated"	"limited"	"company" or e
jto Fec	belm e: \$1; In cor siring	25 npliance to incorp The narr <i>abbrevia</i> Tobelma The (a) a name of	with the orate a c ne of the <i>tion. "Pr</i> ann Ene address its comr	requireme corporatio ofessiona rgy Brok of this con	n for profit, l on (<i>corpora</i> il corporatio ers, Inc. 'poration's c	hereby states tha ate designator req n" or "P.C."): current registered ce provider and th	t: <i>uired, I.e., T</i> office in this	corporatio s Commo i venue is	on", "incor nwealth ()	porated" post offic	"limited" e box, alo	"company" or e
jto Fec	in cor siring	25 mpliance to incorp The narr <i>abbrevia</i> Tobelm The (a) a	with the orate a c tion. "Pr ann Ene address its comr mber an	requireme corporatio corporati ofessiona rgy Brok of this con nercial re; d Street	n for profit, l on (<i>corpora</i> il corporatio ers, Inc. 'poration's c	hereby states tha ate designator req n" or "P.C."): current registered	t: <i>uired, i.e.,</i> " office in this ne county of	corporatio	on", "incorj nwealth ()	porated"	"limited" e box, alo	"company" or e
jto Fec	in cor siring	The name of (a) Nu 5401 Lis	with the orate a c ne of the <i>tion. "Pr</i> ann Ene address its comr mber an ater Cou	requireme corporatio ofessiona rgy Brok of this con nercial re- d Street rt	n for profit, l on (<i>corpora</i> il corporatio ers, Inc. 'poration's c	hereby states that ate designator req n" or "P.C."): current registered ce provider and the City Chester Spring	t: <i>uired, i.e.,</i> " office in this ne county of	corporations Commo venue is State	nwealth () : Zip	porated" post offic Count 15	"limited" e box, alo	"company" or e
jto Fec	in cor siring	The nam abbrevia The (a) a name of (a) Nu 5401 Lis (b) Na	with the orate a c ne of the <i>tion. "Pr</i> ann Ene address its comr mber an ater Cou	requireme corporatio ofessiona rgy Brok of this con nercial re- d Street rt ommercla	n for profit, I on (<i>corpora</i> <i>I corporatio</i> ers, Inc. gistered offi h Registered	hereby states that ate designator req n" or "P.C."): current registered ce provider and the City Chester Spring	t: office in this ne county of gs	corporati s Commo venue is State PA	on", "incon nwealth (porated" post offic Count 15	"limited" e box, alo y County	"company" or e
jto Fec	abelm ae: \$1: In cor siring 1. 2.	The narr abbrevia The (a) a name of (a) Nu 5401 Lis (b) Na	with the orate a c ne of the <i>tion. "Pr</i> ann Ene address its comr mber an address addres addres address addres address address address address	requireme corporatio ofessiona rgy Brok of this con nercial rej d Street rt ommercla s incorpo	n for profit, I on (<i>corpora</i> <i>I corporatio</i> ers, Inc. gistered offi h Registered	hereby states that ate designator req n" or "P.C."): current registered ce provider and the City Chester Spring d Office	t: office in this ne county of gs	corporati s Commo venue is State PA	on", "incon nwealth (porated" post offic Count 15	"limited" e box, alo y County	"company" or e
jto Fec	abelm ee: \$1: In cor siring 1. 2. 3.	The narr abbrevia The (a) a name of (a) Nu 5401 Lis (b) Na	with the orate a c ne of the <i>tion. "Pr</i> ann Ene address its comr mber an address addres addres address addres address address address address	requireme corporatio ofessiona rgy Brok of this con nercial rej d Street rt ommercla s incorpo	n for profit, I on (<i>corpora</i> <i>il corporatio</i> ers, Inc. poration's c gistered offi nl Registered rated under	hereby states that ate designator req n" or "P.C."): current registered ce provider and the City Chester Spring d Office	t: office in this ne county of gs	corporati s Commo venue is State PA	on", "incon nwealth (porated" post offic Count 15	"limited" e box, alo y County	"company" or e
jto Fec	abelm ee: \$1: In cor siring 1. 2. 3. 4.	25 npliance to incorp to incorp The narr <i>abbrevia</i> Tobelma The (a) a name of (a) Nu 5401 Lis (b) Na The corp The aggi 100	with the orate a c ne of the <i>tion. "Pr</i> ann Ene address its comr mber an ater Cou me of C poration I	requireme corporatio of essiona rgy Brok of this con nercial re; d Street rt ommercla s incorpo umber of	n for profit, I on (<i>corporatio</i> <i>il corporatio</i> ers, Inc. "poration's c gistered offi ni Registered rated under shares auth	hereby states that ate designator req n" or "P.C."): current registered ce provider and the City Chester Sprin s d Office the provisions of corized:	t: office in this ne county of gs the Busines	corporati Commo Venue is State PA	on", "incon nwealth () Zip 19425 ation Law	porated" post offic Count 15 of 1988.	"limited" e box, alo y County	"company" or e
jto Fec	abelm ee: \$1: In cor siring 1. 2. 3. 4.	25 npliance to incorp to incorp The narr <i>abbrevia</i> Tobelma The (a) a name of (a) Nu 5401 Lis (b) Na The corp The aggi 100	with the orate a c ne of the <i>tion. "Pr</i> ann Ene address its comr mber an its comr mber an oter Cou oration I regate n regate n	requireme corporatio ofessiona rgy Brok of this con nercial rej d Street rt ommercla s incorpo umber of umber of	n for profit, I on (<i>corpora</i> <i>il corporatio</i> ers, Inc. poration's c gistered offi at Registered rated under shares auth shares auth cluding num Address(i	hereby states that ate designator req n" or "P.C."): current registered ce provider and the City Chester Sprin s d Office the provisions of corized: nber and street, if	t: office in this ne county of gs the Busines any, of eacl	corporations Commo venue is State PA as Corpor	on", "incon nwealth () Zip 19425 ation Law	porated" post offic Count 15 of 1988.	"limited" e box, alo y County	"company" or e
jto Fec	abelm ee: \$11 In cor isiring 1. 2. 3. 4. 5.	25 npliance to to incorp The narr <i>abbrevia</i> Tobolm The (a) a name of (a) Nu 5401 Lis (b) Na The corp The aggi 100 The narm Name	with the orate a c is of the <i>tion. "Pr</i> ann Ene address its com mber an ater Cou ime of C ioration I regate n regate n e and ac beiman	requireme corporatio of essiona rgy Brok of this con nercial re; d Street rt ommercia s incorpo umber of ddress, in n	n for profit, I on (<i>corporatio</i> <i>il corporatio</i> ers, Inc. "poration's c gistered offi- nt Registered rated under shares auth cluding num Address(5401 Lis (hereby states that ate designator req n" or "P.C."): current registered ce provider and the City Chester Sprin d Office the provisions of worized: heer and street, if es)	t: office in this ne county of gs the Busines any, of eacl	corporations Commo venue is State PA as Corpor	on", "incor nwealth () Zip 19425 ation Law	porated" post offic Coun 15 of 1988.	"limited" e box, alo y County tors must	"company" or e
jto Fec	abelm ae: \$1: In cor siring 1. 2. 3. 4. 5. 6.	25 npliance to incorp The narr <i>abbrevia</i> Tobolma The (a) a name of (a) Nu 5401 Lis (b) Na The corp The aggu 100 The narm Name John To The spec 01	with the orate a of the of the <i>tion. "Pr</i> ann Ene address its comm mber an atter Cou me of C oration I regate n regate n e and ac beiman sified effice 01	requireme corporatio ofessiona rgy Brok of this con nercial re- d Street rt ommercla s incorpo umber of ddress, in n	n for profit, I on (<i>corporatio</i> <i>i corporatio</i> ers, Inc. poration's c gistered offi if Registered rated under shares auth cluding num Address(5401 Lis t e, if any:	hereby states that ate designator req n" or "P.C."): current registered ce provider and the City Chester Sprin d Office the provisions of korized: her and street, if es) ter CourtChester	t: office in this ne county of gs the Busines any, of eacl	corporations Commo venue is State PA as Corpor	on", "incor nwealth () Zip 19425 ation Law	porated" post offic Count 15 of 1988.	"limited" e box, alo y County tors must	"company" or e
jto Fec	abelm ae: \$1: In cor siring 1. 2. 3. 4. 5. 6.	25 npliance to incorp to incorp The nam <i>abbrevia</i> Tobolma The (a) a name of (a) Nu 5401 Lis (b) Na The corp The aggi 100 The nam Name John To The spec	with the orate a c ann Ene address its com mber an ater Cou me of C oration I regate n e and ac beiman	requireme corporatio ofessiona rgy Brok of this con nercial reg d Street rt ommercla s incorpo umber of ddress, in n	n for profit, I on (<i>corporatio</i> <i>il corporatio</i> ers, Inc. "poration's c gistered offi- nt Registered rated under shares auth cluding num Address(5401 Lis (hereby states that ate designator req n" or "P.C."): current registered ce provider and the City Chester Sprin d Office the provisions of korized: her and street, if es) ter CourtChester	t: office in this ne county of gs the Busines any, of eacl	corporations Commo venue is State PA as Corpor	on", "incor nwealth () Zip 19425 ation Law	porated" post offic Coun 15 of 1988.	"limited" e box, alo y County tors must	"company" or e

14842040618 From: John Tobelmann		
14842040618	Domestic Corporation-Limited Partnership-Limited Liability Company Signature Form I Page 2 of 2	6. 4:56PM
	PENNSYLVANIA DEPARTMENT OF STATE CORPORATION BUREAU Domestic Signature Form	TIME FEB. 16.
(1ME) CI://2/1/2 OI-20-0002	Document must be completed and mailed to the address listed below. Department of State Corporation Bureau P.O. Box 8722 Harrisburg, PA 17105-8722 (717) 787-1057	P R I
	The enterprise structure is: Corporation The enterprise legal name is: Tobelmann Energy Brokers, Inc. The enterprise's fictitious name is:	FEB. 16. 4:54PM
rago z or z	IN TESTIMONY WHEREOF, the undersigned have caused this application to be executed this <u>Ib</u> day of <u>February</u> 2009. FAULTURE	RECEIVED TIME F
io: Leparanent of State 10: Leparanent of State	Individual Signature of John Tobelmann 0626020090216 FEB 1 7 2009 To avoid any delay or rejection, signature form(s) should be received within 7-10 days of the registration submission date.	RECE
÷		

IRS USE ONLY

17953-539-00942-9 A0106083 261 264679562 SBŧ1 For assistance, call: 1-800-829-0115

Notice Number: CP261 Date: June 8, 2009

Taxpayer Identification Number: 26-4679562 Tax Form: **Tax Period:**

011054.569817.0040.001 1 AT 0.357 532 քիլիքիս թղեկիսութվուրի ինքերքիր հետկիրիրուն էր

011054

TOBELMANN ENERGY BROKERS INC 5401 LISTER CT CHESTER SPRGS ΡA 19425-8764013

Notice of Acceptance as an S Corporation

We have accepted your election to be treated as an S corporation beginning January 1, 2009. Your accounting period will end in December.

We would also like to take this opportunity to inform you of your tax obligations related to the payment of compensation to shareholder-employees of S corporations.

When a shareholder-employee of an S corporation provides services to the S corporation, reasonable compensation generally needs to be paid. This compensation is subject to employment taxes.

Tax practitioners and subchapter S shareholders need to be aware that Revenue Ruling 74-44 states that the Internal Revenue Service (IRS) will re-characterize small business corporation dividends paid to shareholders as salary when such dividends are paid to the shareholders in lieu of reasonable compensation for services.

The IRS may also re-characterize distributions other than dividend distributions as salary. This position has been supported in several recent court decisions.

If you have any questions about this notice or the action we have taken, please call us at the telephone number listed above. If you prefer, you may write to us at the address shown at the top of this notice. If you write to us, please provide your telephone number and the most convenient time for us to call so we can resolve your inquiry. Please return the bottom part of this notice to help us identify your case.

For tax forms, instructions and information visit www.irs.gov. (Access to this site will not provide you with your specific taxpayer account information.)

200921Appendix I Department of the Treasury Internal Revenue Service CINCINNATI OH 45999-0038

000000

LS 00

2322

19425



Powering Your Business

Appendix J

7. Financial Fitness

b. Financial Records, Statements, and Ratings

Actual organizational structure:

Tobelmann Energy Brokers is an independent broker with no affiliates or parents.

Applicant's accounting statements (attached)

Applicant's Credit rating (attached)

Insurance held: (Certificates attached)

Business Owners State Farm Term Expires: 10/26/2015 Limits: \$1,000,000/\$2,000,000

Commercial Liability/Umbrella State Farm Term Expires: 05/01/2015 Limits: \$2,000,000/\$2,000,000

Workers Comp State Farm Expires: 2/11/2016 Term Expires: Limits: \$500,000

Professional Liability (E&O) Hiscox Insurance Company Expires: 5/8/2015 Limits: \$1,000,000

CERTIFICATE OF INSURANCE

Appendix J

\boxtimes	STATE FARM	FIRE AND CAS	UALTY COMP	ANY, Bloomington, Illin	ois
	STATE FARM	GENERAL INS	URANCE COM	PANY, Bloomington, II	inois
Ō	STATE FARM	FIRE AND CAS	SUALTY COMP	ANY, Scarborough, On	tario
	STATE FARM	FLORIDA INSU	JRANCE COMP	ANY, Winter Haven, F	lorida
	STATE FARM	LLOYDS, Dalla	s, Texas		

insures the following policyholder for the coverages indicated below:

Name of policyholder	TOBELMANN ENERGY BROKERS INC
Address of policyholder	15 Kiloran Wynd, Glenmoore, PA 19343
Location of operations	Same & Various
Description of operations	

The policies listed below have been issued to the policyholder for the policy periods shown. The insurance described in these policies is subject to all the terms exclusions, and conditions of those policies. The limits of liability shown may have been reduced by any paid claims.

POLICY NUMBER	TYPE OF INSURANCE		PERIOD Expiration Date	LIMITS OF LIABILITY (at beginning of policy period)		
98-B7-B784-0 This insurance includes:	Comprehensive Business Liability Oroducts - Completed C Contractual Liability Underground Hazard C Personal Injury AdvertisIng Injury Explosion Hazard Cover Collapse Hazard Cover	10/26/2014 Operations overage		BODILY INJURY AN PROPERTY DAMAG \$ 1000000 \$ 2000000 \$ 2000000		
98-B3-C878-2	EXCESS LIABILITY		PERIOD Expiration Date 05/01/2015	BODILY INJURY AND P (Combined Si Each Occurrence Aggregate		
98-CB-D977-2	Workers' Compensation and Employers Liability	02/11/2015	02/11/2016	Part 1 STATUTORY Part 2 BODILY INJURY Each Accident Disease Each Employee Disease - Policy Limit	\$ 500000	
POLICY NUMBER	TYPE OF INSURANCE	POLICY PERIOD INSURANCE Effective Date Expiration Date		LIMITS OF LIABILITY (at beginning of policy period)		
		11/26/2014		1000000/1000000/100		

THE CERTIFICATE OF INSURANCE IS NOT A CONTRACT OF INSURANCE AND NEITHER AFFIRMATIVELY NOR NEGATIVELY AMENDS, EXTENDS OR ALTERS THE COVERAGE APPROVED BY ANY POLICY DESCRIBED HEREIN.

Name and Address of Certificate Holder

and Additional Insured: Aelux, LLC 2842 Mt Carmel Ave Glenside, PA 19038

RECEIVED

APR 1 0 2015

558-994 a.3 04-1999 Printed in U.S.A.

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU If any of the described policies are canceled before its expiration date, State Farm will try to mail a written notice to the certificate holder 30 days before cancellation. If however, we fail to mail such notice, no obligation or liability will be imposed on State Farm or its agents or representatives,

al uð, Signature of Authoriz epresentative 2/6/2015 Title Date

38-6418

F393

Agent's Code Stamp S. VASKO AFO CodeFIRE 78 CHESTER COUNTY AFO 12;19 PM

)

•

••

03/01/15 Cash Basis

TOBELMANN ENERGY BROKERS INC Balance Sheet

As of December 31, 2014

	Dec 31, 14
ASSETS	
Current Assets	
Checking/Savings	50 000 50
Checking	58,399.58
Total Checking/Savings	58,399.58
Total Current Assets	58,399.58
Fixed Assets	
Vehicles	83,081.00
Furniture and Equipment	5,231.17
Accumulated Depreciation	-88,312.17
Total Fixed Assets	0.00
TOTAL ASSETS	58,399.58
LIABILITIES & EQUITY	<u> </u>
Liabilities	
Current Liabilities	
Other Current Liabilities	
Chrysler Cap - Dodge Int free	44,246.88
BAnk of America - Honda Pilot	16,865.64
Payroll Liabilities	
Fed/FICA	3,207.96
FUTA	126.00
Keystone	590.65
LST	90.88
PA W/H	1,851.55
PAUC	211.06
Total Payroll Liabilities	6,078.10
Total Other Current Liabilities	67,190.62
Total Current Liabilities	67,190.62
Total Liabilities	67,190.62
Equity	
Capital Stock	1,000.00
Shareholder Distributions	-76,605,79
Net Income	66,814,75
Total Equity	-8,791.04
TOTAL LIABILITIES & EQUITY	58,399.58

Appendix J

12:22 PM

•

• •

03/01/15 Cash Basis

TOBELMANN ENERGY BROKERS INC Profit & Loss

January through December 2014

	Jan - Dec 14
Ordinary Income/Expense Income	
Income	605,556.65
Commissions New Jersey	20,994.00
Total Income	626,550.65
Total Income	626,550.65
Cost of Goods Sold	
Contract Labor	137,452.89
Subcontractors - 1099	54,598.78
Total COGS	192,051.67
Gross Profit	434,498.98
Expense	05 000 74
179 Depreciation Expense Advertising and Promotion	85,080.71 13,507.77
Compensation of Officers	126,000.00
Corporate Gifts	123.97
employee Benefits	1,635.60
Insurance Expense	6,321.66
Legal & Professional Fees Accounting	2,660.00
Legal Fee	2,550.00
Professional Fees	3,462.50
Total Legal & Professional Fees	8,672.50
Meals and Entertainment	698.36
Miscellaneous	532.00
Office Expense Computer Supplies	5,759.32
Office Expenses	479.27
Supplies	498.61
Total Office Expense	6,737.20
Payroll Taxes	10 100 00
Employer FICA FUTA	10,182.92 126.00
PAUC	818.65
Total Payroll Taxes	11,127.57
Pension, Profit Sharing	3,983.30
Rent - (paid to A. Tobelmann)	32,340.00
Rent - (paid to J.Tobelmann)	33,660.00
Salaries and Wages Taxes	7,110.00
Согр	1,372.00
Total Taxes	1,372.00
Telephone Expense Travel Expense	5,052.85
Lodging	2,438.24
Mileage	292.06
Parking	125.44
Tolis	417.00
Total Travel Expense	3,272.74
Total Expense	347,228.23
Net Ordinary Income	87,270.75
Other Income/Expense	
Other Expense 2% > Shareholder Health Andy	4,458.80

12:22 PM

03/01/15 Cash Basis

TOBELMANN ENERGY BROKERS INC Profit & Loss

January through December 2014

	Jan - Dec 14
2% > Shareholder Health John	13,593.20
Life Insurance	
Andrew	1,390.00
John	1,014.00
Total Life Insurance	2,404.00
Total Other Expense	20,456.00
Net Other Income	-20,456.00
Net Income	66,814.75

Appendix J

10:16 AM

03/31/14 Cash Basis

TOBELMANN ENERGY BROKERS INC Profit & Loss

January through December 2013

	Jan - Dec 13
Ordinary Income/Expense Income	
Commissions Commissions - New Jersey	519,888.73 44,626.00
Total Income	564,514.73
Cost of Goods Sold Contract Labor	169,469.16
Total COGS	169,469.16
Gross Profit	395,045.57
Expense Advertising and Promotion Business Gifts Compensation of Officers Insurance Expense Legal & Professional Fees Accounting	19,463.38 343.45 49,878.62 6,739.16 2,220.00
Legal Fee	250.00
Professional Fees	5,801.16
Total Legal & Professional Fees	8,271.16
Miscellaneous Office Expense Computer Supplies Office Expenses Supplies Total Office Expense	869.45 570.54 1,406.84 250.90 2,228.28
Payroll Taxes Employer FICA FUTA PAUC	12,455.71 84.00 529.77
Total Payroll Taxes	13,069.48
Pension, Profit Sharing Rent - (paid to J.Tobelmann) Sataries and Wages SUBCONTRACTOS Taxes	2,258.21 36,000.00 175,942.64 0.00
Согр	663.00
Total Taxes	663.00
Telephone Expense Travel Expense	5,627,53 6,022.03
Total Expense	327,376.39
Net Ordinary Income	67,669.18
Other Income/Expense	
Other Expense 2% S/H Life Insurance	2,343.59
Employee Benefits - John	4,385.38
Total Other Expense	6,728.97
Net Other Income	-6,728.97
Net Income	60,940.21

11:09 AM

03/31/14

Cash Basis

•

TOBELMANN ENERGY BROKERS INC

Balance Sheet

As of December 31, 2013

	Dec 31, 13
ASSETS	
Current Assets	
Checking/Savings	
Checking	35,891.79
Total Checking/Savings	35,891.79
Total Current Assets	35,891,79
Fixed Assets	
Accumulated Depreciation	-5,231.17
Furniture and Equipment	5,231.17
Total Fixed Assets	0.00
Other Assets	0.00
TOTAL ASSETS	35,891.79
LIABILITIES & EQUITY	
Liabilitios	
Current Liabilities	
Other Current Liabilities	
Payroll Liabilities Fed/FICA	6,026.67
FUTA	84.00
Keystone	782.84
LST	52.00
PA W/H	737.14
PAUC	46.01
Total Payroll Liablities	7,728.66
Total Other Current Liabilities	7,728.66
Total Current Liabilities	7,728.66
Total Liabilities	7,728.66
Equity	
Capital Stock	1,000.00
Retained Earnings	14,489.00
Shareholder Distributions	-48,266.08
Net Income	60,940.21
Total Equity	28,163.13
TOTAL LIABILITIES & EQUITY	35,891.79

Welcome, John v 1.800.700.2733

🖵 Chat

🔀 Sign Out

- Symmetry
- Update Company Info
- Tools

Tobelmann Energy Brokers, Inc. DUNS: 96-237-0958

HEED MERS? SEEM LEEPO 1)

CreditSignal Summary

- 15 Kiloran Wynd Glenmoore, PA 19343 Phone: (610) 458-3896 URL:
- DBA's :

Upgrade to CreditBuilder[™] Today

Add credit references to your file to build your D&B scores, and view the scores and events of your competitors and partners.

Speak with a Credit Advisor to learn more 1-800-700-2733

Score / Reting:	Status	Last Date Changed
PAYDEX®	Good	10/01/2014
Delinquency Predictor Class	Fair	07/06/2014
Financial Stress Class	Fair	11/24/2013
Supplier Evaluation Rating	Fair	06/27/2014
D&B Rating	Good	10/01/2014

D&B has recently updated their scores.Learn how these changes affect your business credit profile.

There are currently no alerts issued for this company. If you only recently began monitoring this company, you will not receive your first alerts until score changes or events occur. To configure your alerts notification settings, please Click Here

Configure Alerts

You have received0new inquiries.Inquiries are provided by Dun & Bradstreet, Inc. (D&B) to Dun & Bradstreet Credibility Corp. D&B has <u>defined</u> inquiries as requests received by D&B from companies accessing your business credit file within a certain time frame. Although the specific name of the inquiring company is not



Powering Your Business

RECEIVED

Appendix K

8. Technical Fitness

APR 10 2015

a. Experience, Plan, Structure

PA PUBLIC UTILITY COMMISSION

SECRETARY COMMIS 1, 2009. Its primary business function is to procure energy products for small to mid-size commercial entities operating in the North East US. Energy products include Electricity, Natural Gas and Fuel Oil.

Tobelmann Energy Brokers is owned by John Tobelmann, an energy professional who has over 23 years of experience in energy consulting, operations management and energy channel sales, and Andy Tobelmann, as Vice president of sales, Andy has over 30 years sales experience.

Tobelmann Energy Brokers has 3 employees but outsources independent sales representatives to solicit new business. Total current contracted sales reps number 10.

Tobelmann Energy Brokers in a Licensed Power broker in PA, MA, IL, NJ, MD, DE and OH. Copies of those licenses are attached.

Tobelmann Energy primarily provides power brokering but does some natural gas brokering for current electricity procurement customers. The types of customers we serve are anything from small restaurants to large shopping centers, malls and property managers. We also currently are contracted as consultant to Philadelphia Gas Works as their procurement consultant.

In Pennsylvania, Tobelmann energy has been a licensed Power Broker since Feb, 2011.

About the President

John Tobelmann graduated in 1985 with a BS degree in Quantitative Business Analysis from The Pennsylvania State University.

John has been procuring energy for customers since 1992. John was the Operations Manager for an energy procurement company for over a decade. The company sold electricity and natural gas for ConEd Solutions, Strategic Energy (now Direct Energy), Keyspan Energy (now part of National Grid), PSE&G Energy Technologies, Select Energy (now Hess) and Duke Energy and was originally NYAHSA's recommended energy procurer. Some of John's customer's have included Hilton Hotels of NYC, Silverstein Properties, Darden Restaurants, Helmsley Spear including The Empire State Building to name just a few. By 2001, John was the operations manager for an energy procurement company whose customers used over \$350 Million in electricity annually. John also trained approximately 100 sales representatives the process of energy procurement from 1998-2005.

In 2005, John started his own company and in 2009 incorporated under the name Tobelmann Energy Brokers Tobelmann Energy Brokers, Inc. currently has 140,000 Dekatherms of natural gas under management in NY, NJ, CT, MD and DE.





The Association of Energy Engineers

John Tobelmann

has completed the prescribed standards for certification, has demonstrated a high level of competence and ethical fitness for energy management, and is hereby granted the title of

CERTIFIED ENERGY MANAGER[®]

Valid January 1, 2015 to December 31, 2017 CEM

17993

CEM Board Chairman

Helen Johnson 36.11 Director



ANSI Accredited Program PERSONNEL CERTIFICATION #1088

The Association of Energy Engineers certifies that





has completed the prescribed standards for certification,

has demonstrated a high level of competence and ethical fitness for energy procurement, and is hereby granted the title of

CERTIFIED ENERGY PROCUREMENT

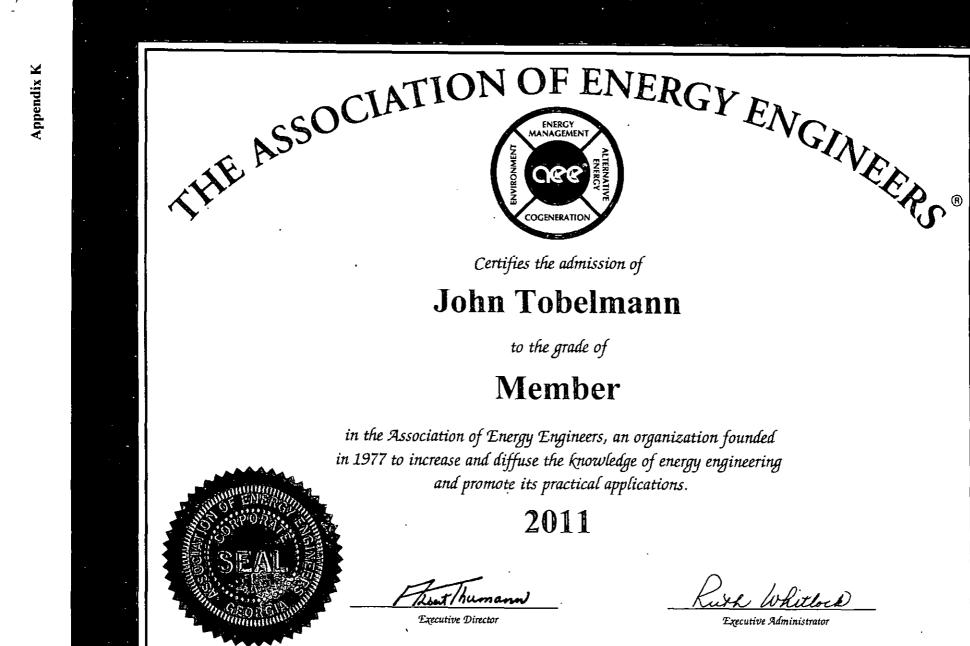


Expiration Date:

December 31, 2015

Richard Catello Francine Desci





Executive Administrator

15 Kiloran Wynd Glenmoore, PA 19343 H (610) 639-1406 F (484) 204-0618

John Tobelmann

Experience	2005-Present Tobelmann Energy Brokerage Glenmoore, PA
-	President
	 Provide Energy Broker Services for Commercial Entities in the Northeast US
	 Licensed broker in PA, NJ, MD, DE, MA, IL, OH
	1991-2005 AUI associates West Chester, PA
	Operations Manager – Electricity and Natural Gas Division
	 Supported account representatives in daily sales.
	 Developed company policy on sales programs.
	 Managed customer service and sales tracking for \$400 Million in revenues
	 Developed all computer related systems including multiple databases.
	 Maintained computer systems.
	1987-1991 Controller, Asst. Controller, Accounting
	 Atlantic Palace Resort, Atlantic City, NJ
	 Trump Taj Mahal, Atlantic City, NJ
	 Associated Motor Inns, Cleveland, OH
Education	1981-1985 Pennsylvania State University University Park, PA
	 B.S., Quantitative Business Analysis.
	Soccer, general fitness, computers, research. Proficiency in Microsoft
Interests	Office, including Outlook, Excel, Word and Access.

State of New Jersey

Board of Public Utilities

44 S. Clinton Ave., P.O. Box 350, Trenton, New Jersey 08625

HEREBY REGISTERS

Tobelmann Energy Brokers, Inc. 15 Kiloran Wynd Glenmoore, Pennsylvania 19343

To conduct business in the State of New Jersey as an

Kris Sac

Energy Agent

NEWS

Kristi izzo Secretary of the Board Registration No.EA-0191Effective Date:February 19, 2014Expiration Date:February 18, 2015

Appendix K



DEVAL L. PATRICK GOVERNOR

TIMOTHY P. MURRAY LIEUTENANT GOVERNOR

RICHARD K. SULLIVAN, JR. SECRETARY OF ENERGY AND ENVIRONMENTAL AFFAIRS ONE SOUTH STATION BOSTON, MA 02110 (617) 305-3500

THE COMMONWEALTH OF MASSACHUSETTS

DEPARTMENT OF PUBLIC UTILITIES

ANN G. BERWICK CHAIR

JOLETTE A. WESTBROOK COMMISSIONER

June 8, 2011

John Tobelmann, President Tobelmann Energy Brokers, Inc. 5401 Lister Court Chester Springs, PA 19425

Re: Electricity Broker Application -

Dear Mr. Tobelmann,

The Department of Public Utilities ("Department") has reviewed Tobelmann Energy Brokers, Inc.'s application for an Electricity Broker license in the Commonwealth of Massachusetts and is pleased to inform you that the Department has approved your application. Please find enclosed a copy of your approved application. Your license number is EB-196.

As a condition of maintaining this license, Tobelmann Energy Brokers, Inc. must file updated information within 30 days of any material or organic change in the information required by 220 C.M.R. § 11.05(2), and must comply with all relevant requirements of G.L. c. 164 and the regulations promulgated thereunder, including 220 C.M.R. §§ 11.00, 12.00 et seq. If you decide to request renewal of your license next year, please submit your renewal application no later than July 1, 2012.



Sincerely,

D. Marini, Secretary

Enclosure (approved application without exhibits)



The Public Service Commission

STATE OF DELAWARE

COUNTY OF KENT

Alisa C. Bentley

SS.

I, ______, Secretary of the PUBLIC SERVICE COMMISSION OF DELAWARE, do hereby certify that I have compared the attached Order with the original adopted by said Commission, and that it is a true and correct transcript of Order No. ____8154_____, Docket No. ____12-229_____.

IN WITNESS WHEREOF, I have hereunto subscribed my hand and affixed the seal of the Commission this <u>fifth</u> day of <u>June</u> A.D., 20_<u>12</u>.



Unsa Canon Bestery Secretary

Document No. 30-11-81-05-01



AppendizmKissioners

John Kasich, Governor Thomas W. Johnson, Chairman

Public Utilities

nmission

Steven D. Lesser Asim Z. Haque Lynn Slaby M. Beth Trombold

PUBLIC UTILITIES COMMISSION OF OHIO

Certified as a Competitive Retail Electric Service Provider

RENEWAL

Certificate Number: 12-603E (2)

Issued Pursuant to Case Number(s):

<u>12-2874-EL-AGG</u>

A certificate as a Competitive Retail Electric Service Provider is hereby granted to **Tobelmann Energy Brokers, Inc.** whose office or principal place of business is located at 15 Kiloran **Wynd, Glenmoore, PA 19343** to provide <u>aggregation and power broker</u> services within the State of Ohio effective November 29, 2014.

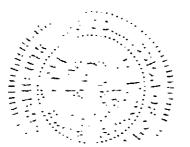
The certification of competitive retail electric suppliers is governed by Section 4901:1-24-(01-13) of the Ohio Administrative Code, Section 4901:1-21-(01-15) of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

Subject to all rules and regulations of the Commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated: December 2, 2014



Certificate Expires: November 29, 2016

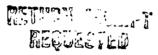
By Order of

PUBLIC UTILITIES COMMISSION OF OHIO

Barcy F. McNeal, Secretary Tanowa M. Troupe, Acting Secretary Felecia D. Burdett, Acting Secretary

180 East Broad Street Columbus, Ohio 43215-3793 (614) 466-3016 www.PUCO.ohio.gov





Pennsylvania Public Utility Commissio Secretary Keystone Building 2nd Floor Room N201 Harrisburg, PA 17120