# BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of	Philip	Harvey	, d/b/a	, for approval to offer, render
furnish, or supply	electricity	or electric gei	neration services as a(n	) [as specified in item #4b below] to the public in
the Commonwea	ilth of Penni	sylvania (Per	ınsylvania).	

To the Pennsylvania Public Utility Commission:

## 1. IDENTIFICATION AND CONTACT INFORMATION

a. **IDENTITY OF THE APPLICANT**: Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

Philip Harvey 525 S. 4th Street Suite 240, Philadelphia, Pa 19147

pharvey511@gmail.com Phone: 267-736-6600 Fax: 215-278-2693

- b. PENNSYLVANIA ADDRESS / REGISTERED AGENT: If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.
- c. REGULATORY CONTACT: Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Philip Harvey, Sole Proprietor, 525 S. 4th St, Suote 240Phila, Pa 19147

Phone: 267-736-6600 Fax: 215-278-2693

email: pharvey511@gmail.com

**d. ATTORNEY**: Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Lawrence Wind, Esq, 525 S4th St, Suite 240, Phila, Pa 19147

Phone: 215-278-2640 Fax: 215-278-2693

email: lwind@harwinhomes.com

e. CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: Provide the name, title, address, telephone number, FAX number, and e-mail OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED) responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

Philip Harvey, Sole Proprietor: 525 S 4th Street, Suite 240, Phila, Pa 19147

Phone: 267-736-6600 Fax: 215-278-2693 email: pharvey511@gmail.com

ALTERNATE: Allison Hindman-Harvey email: allison@h2RE.com



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# 2. BUSINESS ENTITY FILINGS AND REGISTRATION

a.	FICTITIOUS NAME: (Select appropriate statement and provide supporting documentation as listed.)
	The Applicant will be using a fictitious name or doing business as ("d/b/a")
	Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.
	Or  The Applicant will not be using a fictitious name.
b.	BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS: (Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)
	The Applicant is a sole proprietor.
	<ul> <li>If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa.</li> <li>C.S. §4124 relating to Department of State filing requirements.</li> </ul>
	or
	☐ The Applicant is a:
	domestic general partnership (*) domestic limited partnership (15 Pa. C.S. §8511) foreign general or limited partnership (15 Pa. C.S. §4124) domestic limited liability partnership (15 Pa. C.S. §8201) foreign limited liability general partnership (15 Pa. C.S. §8211) foreign limited liability limited partnership (15 Pa. C.S. §8211)
	<ul> <li>Provide proof of compliance with appropriate Department of State filing requirements as indicated above.</li> </ul>
	<ul> <li>Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.</li> </ul>
	<ul> <li>Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.</li> </ul>
	<ul> <li>* If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.</li> </ul>

or

_	The	Applicant is a:
		domestic corporation (15 Pa. C.S. §1308) foreign corporation (15 Pa. C.S. §4124) domestic limited liability company (15 Pa. C.S. §8913) foreign limited liability company (15 Pa. C.S. §8981) Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.
- Give name and address of officers.

#### 3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

a. AFFILIATES: Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

Applicant does not have any affiliates doing business.

**b. PREDECESSORS**: Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

Applicant does not have any predecessors that have done business.

#### 4. OPERATIONS

a. APPLICANT'S PRESENT OPERATIONS: (select and complete the appropriate statement)

#### **Definitions**

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- Supplier an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC.
- Aggregator an entity that purchases electric energy and <u>takes title to electric energy</u> as an intermediary for sale to retail customers.
- Broker/Marketer an entity that acts as an intermediary in the sale and purchase of electric energy <u>but</u> does not take title to electric energy.

	The Applicant is presently doing business in Pennsylvania as a
	municipal electric corporation electric cooperative local gas distribution company provider of electric generation, transmission or distribution services broker/marketer engaged in the business of supplying electricity services Other; Identify the nature of service being rendered.
	Or  The Applicant is not presently doing business in Pennsylvania.
API	PLICANT'S PROPOSED OPERATIONS: The Applicant proposes to operate as a (may check multiple):
	Generator of electricity Supplier of electricity Aggregator engaged in the business of supplying electricity Broker/Marketer engaged in the business of supplying electricity services  Check here to verify that your organization will not be taking title to the electricity nor will
	you be making payments for customers.  Electric Cooperative and supplier of electric power  Other (Describe):

C.	<b>PROPOSED SERVICES</b> : Describe in detail the electric services or the electric generation services which the Applicant proposes to offer.
	Applicant proposes to act as a broker engaged in the business of supplying electric services.
d.	PROPOSED SERVICE AREA: Check the box of each Electric Distribution Company for which the Applicant proposes to provide service.
	Citizens' Electric Duquesne Light PPL Wet-Ed PECO Wellsboro Penelec Penn Power  Entire Commonwealth of PA
e.	CUSTOMERS: Applicant proposes to provide services to:
	Residential Customers Small Commercial Customers - (25 kW and Under) Residential and Small Commercial as Mixed Meter ONLY (CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE) Large Commercial Customers - (Over 25 kW) Industrial Customers Governmental Customers All of above (Except Mixed Meter) Other (Describe):
f.	START DATE: Provide the approximate date the Applicant proposes to <u>actively market</u> within the Commonwealth.  September 1, 2015

	5. COMPLIANCE
a.	CRIMINAL/CIVIL PROCEEDINGS: State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.
	Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.
	N/A
b.	SUMMARY: If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.
	N/A
c.	CUSTOMER/REGULATORY/PROSECUTORY ACTIONS: Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. If the Applicant has no actions or complaints to list, explicitly state such.
	N/A
d.	<b>SUMMARY:</b> If applicable; provide a statement as to the resolution or present status of any actions listed above.
	N/A

#### 6. PROOF OF SERVICE

(Example Certificate of Service is attached at Appendix C)

a. STATUTORY AGENCIES: Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Bureau of Investigation & Enforcement Pennsylvania Public Utility Commission Commonwealth Keystone Building 400 North Street, 2 West Harrisburg, PA 17120

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120

Office of the Small Business Advocate Commerce Building, Suite 1102 300 North Second Street Harrisburg, PA 17101 Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946

b. EDCs: Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Electric Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each EDC is as follows.

Pike County Light & Power Company: Director of Customer Energy Services Orange and Rockland Company 390 West Route 59 Spring Valley, NY 10977-5300

#### West Penn:

Legal Department West Penn Power d/b/a Allegheny Power 800 Cabin Hill Drive Greensburg, PA 15601-1689

Duquesne Light:

Regulatory Affairs Duquesne Light Company 411 Seventh Street, MD 16-4 Pittsburgh, PA 15219 PECO:

Manager Energy Acquisition PECO Energy Company 2301 Market Street Philadelphia, PA 19101-8699

PPL:

Legal Department Attn: Paul Russell PPL Two North Ninth Street Allentown, PA 18108-1179 Met-Ed, Penelec, and Penn Power:

Legal Department First Energy 2800 Pottsville Pike Reading PA, 19612

Citizens' Electric Company:

Citizens' Electric Company Attn: EGS Coordination 1775 Industrial Boulevard Lewisburg, PA 17837 UGI: UGI Utilities, Inc. Attn: Rates Dept. – Choice Coordinator 2525 N. 12th Street, Suite 360 Post Office Box 12677

Wellsboro Electric Company:

Reading, Pa 19612-2677

Wellsboro Electric Company Attn: EGS Coordination 33 Austin Street P. O. Box 138 Wellsboro, PA 16901

#### 7. FINANCIAL FITNESS

	ONDING: In accordance with 66 Pa. C.S. Section 2809(c)(1)(i), the Applicant is required to file a bond or her instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant
	Furnishing the ORIGINAL of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.
	Furnishing the <b>ORIGINAL</b> of another initial security for Commission approval, to ensure financial responsibility.
<b>(2)</b>	Filing for a modification to the \$250,000 requirement and furnishing the <b>ORIGINAL</b> of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.

- At the conclusion of Applicant's first year of operation it is the intention of the Commission to tie security bonds to a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. The amount of the security bond will be reviewed and adjusted on an annual basis.
- Example version of a bond and letter of credit are attached at Appendix D & E, Applicant's security must follow language from these examples.
- Any deviation from these examples must be identified in the application and may not be acceptable to the Commission.

Applicant as Broker/Marketer will not take title to electricity and will not pay electric bills on behalf of clients.

- b. FINANCIAL RECORDS, STATEMENTS, AND RATINGS: Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:
  - Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
  - Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
  - Applicant's accounting statements, including balance sheet and income statements for the past two years.
  - Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
  - A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
  - Audited financial statements exhibiting accounts over a minimum two year period.
  - Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.
- c. SUPPLIER FUNDING METHOD: If Applicant is operating as anything other than <u>Broker/Marketer only</u>, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.
- d. BROKER PAYMENT STRUCTURE: If applicant is a broker/marketer, explain how your organization will be collecting your fees.

Fees will be paid by electric suppliers.

e. ACCOUNTING RECORDS CUSTODIAN: Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

Philip Harvey, Sole Proprietor: 525 S 4th Street, Suite 240, Phila, Pa 19147

Phone: 267-736-6600 Fax: 215-278-2693

email: pharvey511@gmail.com

f. TAXATION: Complete the TAX CERTIFICATION STATEMENT attached as Appendix F to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

#### 8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

- a. EXPERIENCE, PLAN, STRUCTURE: such information may include:
  - Applicant's previous experience in the electricity industry.
  - Summary and proof of licenses as a supplier of electric services in other states or jurisdictions.
  - Type of customers and number of customers Applicant currently serves in other jurisdictions.
  - Staffing structure and numbers as well as employee training commitments.
  - Business plans for operations within the Commonwealth.
  - Documentation of membership in PJM, ECAR, MAAC, other regional reliability councils, or any other membership or certification that is deemed appropriate to justify competency to operate as an EGS within the Commonwealth.
  - Any other information appropriate to ensure the technical capabilities of the Applicant.

See attached statement of Technical Fitness.

b.	PROPO	DSED MARKETING METHOD (check all that apply)
		Internal – Applicant will use its own internal resources/employees for marketing External EGS – Applicant will contract with a PUC LICENSED EGS broker/marketer Affiliate – Applicant will use a NON-EGS affiliate marketing company and or individuals. External Third-Party – Applicant will contract with a NON-EGS third party marketing company and or individuals Other (Describe):
c.	DOOR	TO DOOR SALES: Will the Applicant be implementing door to door sales activities?
		Yes No
		If yes, will the Applicant be using verification procedures?
	ä	Yes No
		If yes, describe the Applicant's verification procedures.

#### STATEMENT OF TECHNICAL FITNESS

I am the founder of CAMCO Management and have been its CEO for more than 35 years. CAMCO is one of the leading community association management companies in the region and manages more than 70 communities including 20+ high-rise buildings in the region. In addition to the many other responsibilities as the company's chief executive officer, I oversaw and directed the entire portfolio's transition through the re-introduction of deregulation and the financial impact of the electricity rate caps coming off in January of 2010. In total I am responsible for the power procurement of over 20 buildings with an annual load of around 100,000 mWh. Through that process I have worked with a number of the leading EGSs in the area. I am well versed in the power procurement process from start to finish.

d. OVERSIGHT OF MARKETING: Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

All contracts will be in plain language with any exclusions, exceptions, add-on, limited offers, or any penalties for early termination prominantly communicated.

e. OFFICERS: Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.

Applicant has been the owner/CEO of CAMCO Management Company for 40 years and will be the energy broker/agent for CAMCO's clients.

f.	FERC	FIL	ING:	Applicant	has:
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	Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.
	Received approval from FERC to be a Power Marketer at Docket or Case Number
X	Not applicable

#### 9. DISCLOSURE STATEMENT:

**Disclosure Statements:** If proposing to serve Residential and/or Small Commercial (under 25 kW) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix G to this Application.

 Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated.
 Penalties and procedures for ending contracts should be clearly communicated.

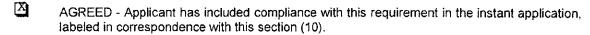
Not applicable for an applicant applying for a license exclusively as a broker/marketer.

### 10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. PJM LOAD SERVING ENTITY REQUIREMENT: As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:
  - proof of registration as a PJM Load Serving Entity (LSE), or
  - proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

(Select only one of the following)



- AGREED Applicant will provide compliance with this requirement within 120 days of receiving its license
- ACKNOWLEDGED Applicant is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information
- b. STANDARDS OF CONDUCT AND DISCLOSURE: As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43, as well as any future amendments.
  - △ AGREED
- c. REPORTING REQUIREMENTS: Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:
  - Retail Electricity Choice Activity Reports: The regulations at 52 Pa. Code §§ 54.201--54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's internet web site.
  - Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
  - The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
  - Net Metering Reports: Applicant shall be responsible to report any Net Metering per the Standards on <a href="http://www.puc.pa.gov/consumer-info/electricity/alternative\_energy.aspx">http://www.puc.pa.gov/consumer-info/electricity/alternative\_energy.aspx</a>. Scroll down to the Net Metering Standards Section.
  - Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis per 52 Pa. Code § 54.39(d).
  - Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.
  - AGREED

d.	is required to r	F LICENSE: The Applicant understands that if it plans to transfer its license to another entity, it equest authority from the Commission for permission prior to transferring the license. See 66 on 2809(D). Transferee will be required to file the appropriate licensing application.
	X	AGREED
e.		T: The Commission does not <u>presently</u> assess Electric Generation Suppliers for the purposes regulatory expenses; see <i>PPL Energyplus, LLC v. Commonwealth, 800 A.2d 360 (Pa. Cmwlth.</i>
	X	ACKNOWLEDGED
f.	FURTHER DE substantial cha See 52 Pa. Coo	EVELOPMENTS: Applicant is under a continuing obligation to amend its application if nges occur to the information upon which the Commission relied in approving the original filing. de § 54.34.
		AGREED
g.	for denying th	N: The Applicant understands that the making of false statement(s) herein may be grounds be Application or, if later discovered, for revoking any authority granted pursuant to the his Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in
	X	AGREED
h.	application or if Commonwealth	N OF CHANGE: If your answer to any of these items changes during the pendency of your the information relative to any item herein changes while you are operating within the of Pennsylvania, you are under a duty to so inform the Commission, within twenty (20) days, ites of any changes which have a significant impact on the conduct of business in Pennsylvania. de § 54.34.
	Ø	AGREED
i.		OPERATIONS: Applicant is also required to officially notify the Commission if it plans to cease in Pennsylvania, 90 days prior to ceasing operations.
	<b>(2</b> )	AGREED
j.		a Interchange: The Applicant acknowledges the Electronic Data Interchange (EDI) nd the relevant contacts for each EDC, as listed at Appendix J.
	X	AGREED
k.	FEE: The App ORDER in the	olicant has enclosed or paid the required filing fee by CERTIFIED CHECK OR MONEY amount of \$350.00 payable to the Commonwealth of Pennsylvania.
	X	PAYMENT ENCLOSED

PAYMENT ENCLOSED

#### 11. AFFIDAVITS

- a. APPLICATION AFFIDAVIT: Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.
- b. OPERATIONS AFFIDAVIT: Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.

#### 12. NEWSPAPER PUBLICATIONS

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. Below is a list of newspapers which cover the publication requirements for Electric Generation Suppliers looking to do business in Pennsylvania.

The newspapers in which proof of publication is required is dependent on the service territories the applicant is proposing to serve. The chart below dictates which newspapers are necessary for each EDC. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

Please file with the Commission the Certification of Publication, along with a photostatic copy of the notice to complete the notice requirements.

Proof of newspaper publications must be filed with the initial application. Applicants do not need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	Erie Times- News	Harrisburg Patriot- News	Philadelphia Daily News	Pittsburg Post- Gazette	Scranton Times- Tribune	Williamsport Sun-Gazette	Johnstown Tribune- Democrat
Citizens' Electric						X	
Duquesne				Х			
Met Ed		х	X		Х		
PECO			x				
Penelec	X	X			X	Х	Х
Penn Power	Х			Х			
Pike					X		
PPL		x	х		Х	X	
UGI					Х		
Wellsboro						Х	
West Penn		Х	-	Х		Х	Х
Entire							
Commonwealth	X	X	X	X	<u> </u>	X	X

(Example Publications are provided at Appendices H and I)

# 13. SIGNATURE

Applicant: Thild HARVEY

By: Miss Market

Title: SOLE Proprietor

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14. CHECKLIST

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections are complete.

Applicant:

Applicant's Use

Signature Filing Fee **Application Affidavit** ė, **Operations Affidavit** X 9 **Proof of Publication** K **Bond or Letter of Credit Tax Certification Statement Commonwealth Department of State** 11/6 Verification **Certificate of Service** Ų

PUC Secretary's Bureau Use

# APPLICATION AFFIDAVIT

Commonwealth of Pennsylvania



#### County of Philadelphia

Philip Harvey, Affiant, being duly [sworn/affirmed] according to law, deposes and says that: he is Philip Harvey, Sole Proprietor, is authorized to and does make this affidavit.

That the Applicant, Philip Harvey, has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

That the Applicant, Philip Harvey has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant, Philip Harvey, acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant, Philip Harvey, acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at-hearing.

Signature of Affiant

Sworn and subscribed before me this

3\_\_day of

£, 20<u>/5</u>.

NOTARIAL SEAL
Irene D. Schneider, Notary Public
Lower Pottsgrove Twp, Montgomery County
My commission expires August 25, 2017

Signature of official administering oath

My commission expires -8/2.5/1.2

RECEIVED

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PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

# OPERATIONS AFFIDAVIT

Commonwealth Pennsylvania

SS: Same

County of Philadelphia

Philip Harvey, Affiant, being duly [sworn/affirmed] according to law, deposes and says that: He as a sole proprietor has the authority to and does make this affidavit for himself as Applicant.]

That Philip Harvey, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That Philip Harvey, the Applicant herein, asserts that [he/she#t] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Philip Harvey, the Applicant herein, certifies to the Commission that it is subject to, will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

That Philip Harvey, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(0)(2), §2809(8) and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.

COMMONWEALTH OF PENNSYLVANIA NOTARIAL SEAL Irene D. Schneider, Notary Public Lower Pottsgrove Twp, Montgomery County My commission expires August 25, 2017

Sworn and subscribed before me this \_\_\_3

day of \_

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Signature of official administering oath

RECEIVED

My commission expires  $\frac{8/25/12}{2}$ 

AUG 2 4 2015

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

# Proof of Publication in The Philadelphia Daily News Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA **COUNTY OF PHILADELPHIA** 

Florence Devlin being duly sworn, deposes and says that The Philadelphia Daily News is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

August 19, 2015

Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

Sworn to and subscribed before me this 19th day of August, 2015.

My Commission Expires:

COMMONWEALTH OF PENNSYLVANIA

Copy of Notice of Publication

PENNSYLVANIA

PUBLIC UTILITY COMMISSION

NOTICE

Applications of Philip Harvey For Approval To Offor, Ronder, or Furnish Services as a Supplier, Aggregator, and Marketer/Groker Engaged in The Business Of Supplying Natural Gas Supply Services and Electricity Supply of Electric Generation Services. To The Public in The Commonwealth Of Pennsylvania, Public Utility Commission (\*PUC\*) for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas acrycice. Philip Harvey will also be filing an application with the PUC for a license to provide natural gas supply services as a broker/marketer engaged in the business of applying olectricity, and an aggregator engaged in the business of supplying electricity, and an aggregator engaged in the business of supplying electricity, and an aggregator engaged in the business of supplying electricity, and an aggregator engaged in the business of the new Natural Gas Choles and Competition Act and the Electricity Generation Customer Choles and Competition Act and the Electricity Generation Customer Choles and Competition Act and the Electricity Generation Customer Choles and Competition Act and the Electricity Generation Customer Choles and Competition Act and the Electricity Generation Customer Choles and Competition Act and the Electricity Generation Customer Choles and Competition Act and the Electricity Generation Customer Choles and Competition Act and the Electricity Generation Customer Choles and Competition Act and the Electricity Generation Customer Choles and Competition Act and the Electricity Generation Customer Choles and Competition Act and the Electricity Generation Customer Choles and Competition Act and the Electricity Generation Customer Choles and Competition Act and the Electricity Generation Customer Choles and Competition Act and the Electricity Generation Customer Choles and Competition Act and the Electricity Generation Customer Choles and Competition Act and the Electricity Generation Customer Chol

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

# Appendix C

# Example CERTIFICATE OF SERVICE

On this the \_\_\_\_\_day of August 2015 I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all <u>NON CONFIDENTIAL</u> attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:

Bureau of Investigation & Enforcement Pennsylvania Public Utility Commission Commonwealth Keystone Building 400 North Street, 2 West Harrisburg, PA 17120

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120

Small Business Advocate Commerce Building, Suite 1102 300 North Second Street Harrisburg, PA 17101 Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946

Director of Customer Energy Services Orange and Rockland Company 390 West Route 59 Spring Valley, NY 10977-5300

Manager Energy Acquisition PECO Energy Company 2301 Market Street Philadelphia, PA 19101-8699

Philip Harvey, Sole Proprietor.

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AUG 2 4 2015

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

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