

July 16th, 2015

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Pennsylvania Public Utility Commission - Secretary P.O. Box 3265 Harrisburg, PA 17105-3265

JUL 16 2015

RE: Electricity Broker Application, Titan Energy - New England, Inc.

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Dear Pennsylvania PUC,

Please find enclosed electricity broker application, along with a check made out to the PA PUC in the amount of \$350.00.

Please note that several pages within the package have been removed from the "official" document and marked as "Confidential". For the purposes of this application, these documents have been placed in a second envelope, wherein all materials contained are marked clearly as confidential. It is expected that any information marked as confidential will remain as such, and not be made available to the public.

As requested by the PUC, we have also included a CD-ROM containing the application's contents. Within the CD-ROM, we have also split out the information between the standard/public application and its confidential contents. As with the standard application, it is expected that any information marked as confidential will remain as such, and not be made available to the public.

If you have any questions on any aspect of the enclosed application, please do not hesitate to contact me either by phone at 860-436-2768 ext. 105, or via email at justinkearney@titanenergyne.com

Best regards,

Justin Kearney

Director of Market Development & Support

Titan Energy New England, Inc.

860-436-2768 ext. 105

justinkearney@titanenergyne.com

TITAN ENERGY - NEW ENGLAND, INC.

ELECTRICITY BROKER APPLICATION

COMMONWEALTH OF PENNSYLVANIA

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BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of Titan Energy New England, Inc., for approval to offer, render, furnish, or supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity services to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

a. IDENTITY OF THE APPLICANT: Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

Titan Energy - New England, Inc. 2275 Silas Deane Hwy, Rocky Hill, CT, 06067 www.titanenergyne.com 860-436-2768

b. PENNSYLVANIA ADDRESS / REGISTERED AGENT: If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

National Registered Agents, Inc. 116 Pine St, 3rd FL, Suite 320 Harrisburg, PA, 17101 800-562-6429 (phone) 609-716-0820 (*Fax*)

c. REGULATORY CONTACT: Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Justin Kearney, Director of Market Development & Support 2275 Silas Deane Hwy, Rocky Hill, CT, 06067 860-436-2768, ext. 105 (t) 860-436-3459 (f) justinkearney@titanenergyne.com

d. ATTORNEY: Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so RECEIVED

Brown, Paindiris & Scott, LLP Attn: Nicholas Paindiris 2252 Main St Glastonbury, CT, 06033 860-659-0700 ext. 206 (t) 860-652-4382 (f) npaindiris@bpslawyers.com

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PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

e. CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: Provide the name, title, address, telephone number, FAX number, and e-mail of the person and an alternate person responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

Primary Contact:

Brendan Kearney, Manager of Customer Support 2275 Silas Deane Hwy, Rocky Hill, CT, 06067 860-436-2768 ext. 104 bkearney@titanenergyne.com

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PA PUBLIC UTILITY COMMISSION

SECRETARY'S BUREAU

Alternate Contact:

2.

Justin Kearney, Director of Market Development & Support 2275 Silas Deane Hwy, Rocky Hill, CT, 06067 860-436-2768 ext. 105 justinkearney@titanenergyne.com

BUSINESS ENTITY FILINGS AND REGISTRATION

| a. | FICTITIOUS NAME: (Select appropriate statement and provide supporting documentation as listed.) |
|----|---|
| | The Applicant will be using a fictitious name or doing business as ("d/b/a") |
| | Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953. |
| | or |
| | X The Applicant will not be using a fictitious name. |
| | |
| b. | BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS: (Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.) |
| | The Applicant is a sole proprietor. |
| | If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements. |
| | or |
| | The Applicant is a: |
| | domestic general partnership (*) domestic limited partnership (15 Pa. C.S. §8511) foreign general or limited partnership (15 Pa. C.S. §4124) domestic limited liability partnership (15 Pa. C.S. §8201) foreign limited liability general partnership (15 Pa. C.S. §8211) foreign limited liability limited partnership (15 Pa. C.S. §8211) |
| | Provide proof of compliance with appropriate Department of State filing requirements as indicated above. |
| | Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers. |

 Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.

* If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

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X The Applicant is a:

| domestic corporation (15 Pa. C.S. §1308) |
|--|
| foreign corporation (15 Pa. C.S. §4124) |
| domestic limited liability company (15 Pa. C.S. §8913) |
| foreign limited liability company (15 Pa. C.S. §8981) |
| Other (Describe): |

Provide proof of compliance with appropriate Department of State filing requirements as indicated above.

o Attached, as "Addendum I"

Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.

 Titan Energy New England, Inc is incorporated in the state of Connecticut, as shown in the attached "Addendum II"

Give name and address of officers.

- o Company Officers & Addresses:
 - Jerry P Hawkins 8 Williams Path, Kingston, NH, 03848
 - Christopher Ramm 2275 Silas Deane Hwy, Rocky Hill, CT, 06067

3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

- a. AFFILIATES: Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.
 - Titan Energy New England, Inc. does not have any affiliates.
- b. PREDECESSORS: Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

• Titan Energy New England, Inc. does not have any predecessors.

4. OPERATIONS

a. APPLICANT'S PRESENT OPERATIONS: (select and complete the appropriate statement)

| De | fin | iti | or | ıs |
|----|-----|-----|----|----|
| | | | | |

- Supplier an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC.
- Aggregator an entity that purchases electric energy and takes title to electric energy as an intermediary for sale to retail customers.
- Broker/Marketer an entity that acts as an intermediary in the sale and purchase of electric energy <u>but</u> does not take title to electric energy.

| | does not take title to electric energy. | |
|----|---|--|
| | The Applicant is presently doing business in Pennsylvania as a municipal electric corporation electric cooperative local gas distribution company provider of electric generation, transmission or distribution servi broker/marketer engaged in the business of supplying electricity Other; Identify the nature of service being rendered. | |
| | Or . X The Applicant is not presently doing business in Pennsylvania. | JUL 16 2015 PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU |
| b. | APPLICANT'S PROPOSED OPERATIONS: The Applicant proposes to Generator of electricity Supplier of electricity Aggregator engaged in the business of supplying electricity X Broker/Marketer engaged in the business of supplying electricity ser X Check here to verify that your organization will not be taking you be making payments for customers. Electric Cooperative and supplier of electric power Other (Describe): | vices |

c. PROPOSED SERVICES: Describe in detail the electric services or the electric generation services which the Applicant proposes to offer.

Energy's applicable customers contract directly with a retail supplier, where Titan is the agent of record under the specific contract period. d. PROPOSED SERVICE AREA: Check the box of each Electric Distribution Company for which the Applicant proposes to provide service. Citizens' Electric **Duquesne Light** PPI. ☐ Met-Ed UGI Utilities ☐ PECO Wellsboro ☐ Penelec West Penn Penn Power X Entire Commonwealth of PA e. CUSTOMERS: Applicant proposes to provide services to: Residential Customers Small Commercial Customers - (25 kW and Under) Residential and Small Commercial as Mixed Meter ONLY (CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE) Large Commercial Customers - (Over 25 kW) Industrial Customers Governmental Customers All of above Other (Describe): 43. 67 + i f. START DATE: Provide the approximate date the Applicant proposes to actively market within the Commonwealth. o September 1st, 2015 5. COMPLIANCE a. CRIMINAL/CIVIL PROCEEDINGS: State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years. Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such. Neither Titan Energy New England Inc, nor any parties associated with it, has had any criminal or civil proceedings levied against it.

Titan Energy New England's intended operations in Pennsylvania will mirror that of its operations in other states eligible for electricity and natural gas deregulation. Titan works with commercial, industrial and municipal clients in developing energy purchasing strategies by leveraging competitive pricing and product offerings from a large and increasing supply base of electricity and natural gas suppliers. In this way, Titan

- b. SUMMARY: If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.
 - o Not applicable
- c. CUSTOMER/REGULATORY/PROSECUTORY ACTIONS: Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. If the Applicant has no actions or complaints to list, explicitly state such.
 - o Titan Energy New England Inc has no actions or complaints to list.
- d. SUMMARY: If applicable; provide a statement as to the resolution or present status of any actions listed above.

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 - Not applicable

6. PROOF OF SERVICE

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(Example Certificate of Service is attached at Appendix C) PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

a. STATUTORY AGENCIES: Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120

Office of the Small Business Advocate Commerce Building, Suite 1102 300 North Second Street Harrisburg, PA 17101 Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946

b. EDCs: Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Electric Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each EDC is as follows.

West Penn:

Legal Department West Penn Power d/b/a Allegheny Power 800 Cabin'Hill Drive Greensburg, PA 15601-1689

Duquesne Light:

Regulatory Affairs Duquesne Light Company 411 Seventh Street, MD 16-4 Pittsburgh, PA 15219

PECO:

Manager Energy Acquisition PECO Energy Company 2301 Market Street Philadelphia, PA 19101-8699

PPL:

Legal Department Attn: Paul Russell PPL Two North Ninth Street Allentown, PA 18108-1179

Met-Ed. Penelec. and Penn Power:

Legal Department First Energy 2800 Pottsville Pike Reading PA, 19612

Citizens' Electric Company:

Citizens' Electric Company Attn: EGS Coordination 1775 Industrial Boulevard Lewisburg, PA 17837

Wellsboro Electric Company:

Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901

UGI:

UGI Utilities, Inc. Attn: Rates Dept. – Choice Coordinator 2525 N. 12th Street, Suite 360 Post Office Box 12677 Reading, Pa 19612-2677

Pike County Light & Power Company:

Director of Customer Energy Services Orange and Rockland Company 390 West Route 59 Spring Valley, NY 10977-5300

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7. FINANCIAL FITNESS

| a. | BONDING: In | accordance | with 66 Pa. | C.S. Section | 2809(c)(1)(i), | the Applicant is | required to | file a bond or |
|----|----------------|--------------|---------------|-----------------|------------------|------------------|--------------|----------------|
| | other instrume | nt to ensure | its financial | responsibilitie | s and obligation | ons as an EGS | . Therefore, | the Applicant |
| | is | | | | | | | |

- Furnishing the original of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.
- Furnishing the **original** of another initial security for Commission approval, to ensure financial responsibility.
- X Filing for a modification to the \$250,000 requirement and furnishing the **original** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.
 - At the conclusion of Applicant's first year of operation it is the intention of the Commission to tie security bonds to a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. The amount of the security bond will be reviewed and adjusted on an annual basis.
 - Example version of a bond and letter of credit are attached at Appendix D & E, Applicant's security must follow language from these examples.
 - Any deviation from these examples must be identified in the application and may not be acceptable to the Commission.
- **b. FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:
 - Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies
 - Not applicable. Titan Energy New England, Inc. is a standalone corporation with no affiliated or subsidiaries.

BUREAU

SECRETARY'S

- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
 - Not applicable. Titan Energy New England Inc is not a public company and does not have any of its financial information published.
- Applicant's accounting statements, including balance sheet and income statements for the past two years.
 - Please refer to attachments in "Addendum III" and outlined below:
 - Titan Energy Current Profit & Loss, January through April 2015
 - Titan Energy Current Profit & Loss, January through December 2014
 - Titan Energy Current Profit & Loss, January through December 2013

 - Titan Energy Current Balance Sheet, As of December 31st, 2014 Titan Energy Current Balance Sheet, As of December 31st, 2013
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
 - Not applicable. Titan Energy New England Inc does not have a credit report with any of the aforementioned rating agencies.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
 - Please see attachment, "Addendum IV"
- Audited financial statements exhibiting accounts over a minimum two year period.
 - Not applicable. In the 15+ years of Titan Energy New England Inc's operation, we have not had our financial statements audited.
- Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.
 - Please see attachment, "Addendum V"
- c. SUPPLIER FUNDING METHOD: If Applicant is operating as anything other than Broker/Marketer only, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.
 - Not applicable. Titan Energy NE is applying as a Broker/Marketer
- d. BROKER PAYMENT STRUCTURE: If applicant is a broker/marketer, explain how your organization will be collecting your fees.
 - Titan Energy New England, Inc collects payment either through a fee paid by a retail electric provider, or on a flat fee basis in a consultative model.
- e. ACCOUNTING RECORDS CUSTODIAN: Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

Michael Federico, Controller 2275 Silas Deane Hwy, Rocky Hill, CT, 06067 860-436-2768 ext. 100 860-436-3459 (fax) mfederico@titanenergyne.com

f. TAXATION: Complete the TAX CERTIFICATION STATEMENT attached as Appendix F to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

a. EXPERIENCE, PLAN, STRUCTURE: such information may include:

Description of Titan Energy New England and current operations:

• Titan Energy - New England, Inc. is a premier energy management and consulting company with over 15 years of experience working within the broker/aggregator field, and has served thousands of customers within the commercial, industrial, manufacturing, municipal and residential designations. Titan Energy also offers a variety of other energy-related services designed to assist our clients in managing their energy needs. Titan Energy New England, Inc works with commercial, industrial and municipal clients in developing energy purchasing strategies by leveraging competitive pricing and product offerings from a large and increasing supply base of electricity and natural gas suppliers. The company offers its electricity and natural gas brokerage services to customers in markets open for deregulation, but offers a variety of other energy-related services in markets throughout North America.

Intended Operations & Business Plans in Pennsylvania:

Titan Energy's intended operations in Pennsylvania will mirror that of its operations in other states eligible
for electricity and natural gas deregulation. Titan works with thousands of commercial, industrial and
municipal clients in developing energy purchasing strategies by leveraging competitive pricing and
product offerings from a large and increasing supply base of electricity and natural gas suppliers. In this
way, Titan Energy's applicable customers contract directly with a retail supplier, where Titan is the agent
of record under the specific contract period.

Staffing Structure and Employee Training:

• Titan Energy New England's main operations are run from its headquarters in Rocky Hill, Connecticut, where it's pricing, analytical, and administration functions are performed. Titan's energy consultants typically work from home offices or satellite offices and report directly to Titan's headquarters. Any employee, independent contractor, or sales consultant who represents Titan undergoes a detailed training regimen focused on the consultative process. As such, all training is designed to assure our sales representatives are educational, informative in their communication with electricity and natural gas end users. All training falls strictly in accordance with Titan's marketing practices, as outlined below.

Proof of Licenses in Other States or Jurisdictions:

- Ohio Electricity broker license # 13-665E (2), Case # 13-0535-EL-AGG
 - http://www.puco.ohio.gov/puco/index.cfm/docketing/regulated-company-list/?IndId=68#sthash.rl1XMxeS.dpbs
- New Hampshire <u>License # DM 10-312</u>
 - o http://puc.nh.gov/Regulatory/Docketbk/2010/10-312.html
- Illinois ICC Illinois Case # 10-0445
 - o https://www.icc.illinois.gov/utility/profile.aspx?id=3393
- Massachusetts License # EB-036
 - o http://web1.env.state.ma.us/DPU/FileRoom/Licenses
- Connecticut State of Connecticut PURA Docket # 07-02-24
 - o http://www.dpuc.state.ct.us/electric.nsf/\$FormByElectricApplicantsView?OpenForm&Start=1&Count=1000&ExpandView
- New Jersey License # EE11050286L

b. PROPOSED MARKETING METHOD (check all that apply)

- o List of energy agents http://www.state.nj.us/bpu/about/divisions/energy/thirdparty.html
- Maine -http://www.maine.gov/mpuc/electricity/list_of_suppliers.shtml

| | | , | | | |
|----|-------|---|--|--|--|
| | × 000 | Internal – Applicant will use its own internal resources/employees for marketing External EGS – Applicant will contract with a PUC LICENSED EGS broker/marketer Affiliate – Applicant will use a NON-EGS affiliate marketing company and or individuals. External Third-Party – Applicant will contract with a NON-EGS third party marketing company and or individuals Other (Describe): | | | |
| c. | DOOR | TO DOOR SALES: Will the Applicant be implementing door to do | or sales activities? | | |
| | | Yes | | | |
| | X | No | RECEIVED | | |
| | | If yes, will the Applicant be using verification procedures? | JUL 1 6 2015 | | |
| | | Yes No | PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU | | |
| | | If yes, describe the Applicant's verification procedures. | | | |

- d. **OVERSIGHT OF MARKETING:** Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.
 - Titan Energy New England is a consultative company, and conducts all of its marketing practices with that in
 mind. As it stands, Titan Energy NE does not engage in door-to-door, direct mailer, or telemarketing to any of
 its potential or current clients, and categorically does not send out communication to any existing, past or
 future client with misleading information. Our marketing material is educational and informative, and if sent out
 at all, is only sent to notify potential clients of the services our company can provide. Marketing practices are
 standard across the company, for both employees and subcontractors.

e. OFFICERS: Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. Resumes attached for the below in "Addendum VI": Jerry P. Hawkins, President. -- Resume attached Christopher H. Ramm, Vice President & General Manager - Resume attached f. FERC FILING: Applicant has: Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer. Received approval from FERC to be a Power Marketer at Docket or Case Number ___ X Not applicable 9. **DISCLOSURE STATEMENT:** Disclosure Statements: If proposing to serve Residential and/or Small Commercial (under 25 kW) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix G to this Application. Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated. Not applicable for an applicant applying for a license exclusively as a broker/marketer. 10. **VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS** a. PJM LOAD SERVING ENTITY REQUIREMENT: As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either: proof of registration as a PJM Load Serving Entity (LSE), or proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS. ` ' ' ; The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application. (Select only one of the following)

time, and therefore is not presently obligated to provide such information

12

labeled in correspondence with this section (10).

receiving its license

AGREED - Applicant has included compliance with this requirement in the instant application.

AGREED - Applicant will provide compliance with this requirement within 120 days of

ACKNOWLEDGED - Applicant is not proposing to provide retail electric supply service at this

X

b. STANDARDS OF CONDUCT AND DISCLOSURE: As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43, as well as any future amendments.

X AGREED

- c. REPORTING REQUIREMENTS: Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:
 - Retail Electricity Choice Activity Reports: The regulations at 52 Pa. Code §§ 54.201--54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's internet web site.
 - Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
 - The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
 - Net Metering Reports: Applicant shall be responsible to report any Net Metering per the Standards on http://www.puc.pa.gov/consumer info/electricity/alternative energy.aspx. Scroll down to the Net Metering Standards Section.
 - Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis per 52 Pa. Code § 54.39(d).
 - Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.
 - X AGREED
- d. TRANSFER OF LICENSE: The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.
 - X AGREED
- e. ASSESSMENT: The Commission does not <u>presently</u> assess Electric Generation Suppliers for the purposes of recovery of regulatory expenses; see *PPL Energyplus*, *LLC v. Commonwealth*, 800 A.2d 360 (Pa. Cmwlth. 2002).

X ACKNOWLEDGED

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JUL 1.6 2015

| f. | FURTHER DEVELOPMENTS: Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34. |
|----|---|
| | X AGREED |
| g. | FALSIFICATION: The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters. |
| | X AGREED |
| h. | NOTIFICATION OF CHANGE: If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within twenty (20) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 54.34. |
| | X AGREED |
| i. | CEASING OF OPERATIONS: Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations. |
| | X AGREED |
| j. | Electronic Data Interchange: The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at Appendix J. |
| | X AGREED |
| k. | FEE: The Applicant has enclosed or paid the required initial licensing fee of \$350.00 payable to the Commonwealth of Pennsylvania. |
| | X PAYMENT ENCLOSED |
| | 11. <u>AFFIDAVITS</u> |
| a. | APPLICATION AFFIDAVIT: Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A. |
| b. | OPERATIONS AFFIDAVIT: Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B. |

NEWSPAPER PUBLICATIONS 12.

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. Below is a list of newspapers which cover the publication requirements for Electric Generation Suppliers looking to do business in Pennsylvania.

The newspapers in which proof of publication is required is dependent on the service territories the applicant is proposing to serve. The chart below dictates which newspapers are necessary for each EDC. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

Please file with the Commission the Certification of Publication, along with a photostatic copy of the notice to complete the notice requirements.

Proof of newspaper publications must be filed with the initial application. Applicants do not need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

| | Erie Times- News | Harrisburg Patriot- News | Philadelphia Daily News | Pittsburg Post- Gazette | Scranton Times- Tribune | Williamsport Sun-Gazette | Johnstown Tribune- Democrat |
|--------------------|------------------------|--------------------------------|----------------------------|-------------------------------|-------------------------------|-----------------------------|-----------------------------------|
| Citizens' Electric | | | | | | X | |
| Duquesne | | •• | _ | Х | | | |
| Met Ed | | X | X | | X | | |
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| Penn Power | x | | | Х | | | |
| Pike | | | | | X | | |
| PPL | | X | х | | Х | X | |
| UGI | | | | | Х | | |
| Wellsboro | | | | | | х | |
| West Penn | | X | | × | <u></u> | х | X |
| Entire | | | | | | | |
| Commonwealth | × | X | , x | x | × | X | х |

(Example Publications are provided at Appendices H and I)

13. SIGNATURE

Applicant

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PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

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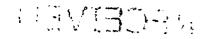
14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections are complete.

Applicant:

| x | Signature | | Q |
|---|--|---|---|
| × | Filing Fee | | ىبى |
| × | Application Affidavit | | Sec |
| × | Operations Affidavit | | U ųegn |
| | Proof of Publication | ✓ | PUC Secretary's Bureau Use |
| | Bond or Letter of Credit | | Septre |
| × | Tax Certification Statement | / | PUC |
| × | Commonwealth Department of State Verification | 1 | |
| x | Certificate of Service | | CC |
| | x x x x | X Filing Fee X Application Affidavit X Operations Affidavit X Proof of Publication X Bond or Letter of Credit X Tax Certification Statement X Commonwealth Department of State Verification Certificate of Service | X Filing Fee X Application Affidavit X Operations Affidavit X Proof of Publication X Bond or Letter of Credit X Tax Certification Statement X Commonwealth Department of State Verification Certificate of Service |

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Appendix A

APPLICATION AFFIDAVIT

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| county of Hartford | | s. PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU |
| • | ffiant, being duly (sworn/affirmed) according to the first tent of | ng to law, deposes and says that: |
| | does make this affidavit for said Applicant | |
| That the Applicant herein, Titan Endocumentation demonstrating its tecto 66 Pa. C.S. § 2809 (B). | ergy - New England, Inc, has the burden of huical and financial fitness to be licensed as | producing information and supporting an electric generation supplier pursuant |
| That the Applicant, Titan Energy – and completely and provided support | New England, Inc, has answered the questions ting documentation as required. | on the application correctly, truthfully. |
| | ergy - New England, Inc, acknowledges that his application and contained in supporting do | |
| | Energy - New England, Inc. acknowledges uestions on this application and contained in | |
| That the facts above set forth are true expects said Applicant to be able to p | e and correct to the best of his/her knowledge, prove the same at hearing. | information, and belief, and that he/she |
| | Cl - A. | |
| | Signature of Affiant \ | • |
| Sworn and subscribed before me | thisday of | - 20 15. Oath |
| My commission expires | PHILIP A. TULOTTA NOTARY PUBLIC CONNEL TIGUT | RECEIVED |

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

JUL 16 2015

MY COMMISSION EXPIRES SEPTEMBER 30th, 2019

Appendix B

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OPERATIONS AFFIDAVIT

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PA PUBLIC UTILITY COMMISSION

| [Commonwealth/State] of <u>Connecticut</u> | SECRETARY'S BUREAU |
|---|---------------------------|
| : | SS. |
| county of <u>Hartford</u> | •· • |
| Christophe H. Romm, Affiant, being duly [sworn/affii deposes and says that: | rmed] according to law, |
| deposes and says that: ענינג אירבאנגין יו (He/she is the <u>Cבייבאר איניז</u> (Office of Affiant) of (Name of Applicant);] | Ithon Energy New King and |
| [That he/she is authorized to and does make this affidavit for | said Applicant;] |

That Titan Energy – New England, Inc. the Applicant herein, acknowledges that Titan Energy - New England Inc. may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

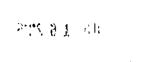
That Titan Energy – New England, Inc, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Titan Energy – New England, Inc, the Applicant herein, certifies to the Commission that it is subject to, will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

As provided by 66 Pa. C/S §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

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JUL 16 2015

Appendix B (Continued)

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

That Titan Energy – New England, Inc, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.

Signature of Affiant

Sworn and subscribed before me this _______ day of _____

Signature of official administering oath

PHILIP A. TULOTTA NOTARY PUBLIC

My commission expires _______CONNECTICUT

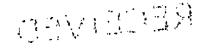
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PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

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Appendix C

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CERTIFICATE OF SERVICE

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

On this the _____ day of _____ 20__, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120

Small Business Advocate Commerce Building, Suite 1102 300 North Second Street Harrisburg, PA 17101

Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

Regulatory Affairs Duquesne Light Company 411 Seventh Street, MD 16-4 Pittsburgh, PA 15219

Legal Department First Energy 2800 Pottsville Pike Reading PA, 19612

Citizens' Electric Company Attn: EGS Coordination 1775 Industrial Boulevard Lewisburg, PA 17837

Wellsboro Electric Company Attn: EGS Coordination 33 Austin Street P. O. Box 138 Wellsboro, PA 16901

43(31 11)

Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946

Manager Energy Acquisition PECO Energy Company 2301 Market Street Philadelphia, PA 19101-8699

Legal Department Attn: Paul Russell PPL Two North Ninth Street Allentown, PA 18108-1179

UGI Utilities, Inc. Attn: Rates Dept. – Choice Coordinator 2525 N. 12th Street, Suite 360 Post Office Box 12677 Reading, Pa 19612-2677

Director of Customer Energy Services Orange and Rockland Company 390 West Route 59 Spring Valley, NY 10977-5300

Chris Ramm, Vice President & General Manager Titan Energy – New England, Inc.

Appendix I

EXAMPLE FORM OF NOTICE PUBLICATION FOR COMBINED EGS AND NGS

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Applications of <u>Titan Energy New England, Inc</u> For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

Titan Energy New England, Inc. will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. Titan Energy New England, Inc. will also be filing an application with the PUC for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity and an aggregator engaged in the business of supplying electricity. Titan Energy New England, Inc. proposes to sell electricity, natural gas, and related services under all deregulated utility companies in the Commonwealth of Pennsylvania, including Citizens Electric, Duquesne Power & Light, Met-Ed, Pennsylvania Electric Company (PECO), Penelec, Penn Power, Pike, PPL, UGI, Wellsboro, West Penn under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Titan Energy New England Inc may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Titan Energy New England Inc. attorney at the address listed below.

By and through Counsel: Nicholas Paindiris
Brown, Paindiris & Scott, LLP
2252 Main St
Glastonbury, CT, 06033
860-659-0700 ext. 206
860-652-4382 (fax)
npaindiris@bpslawyers.com

RECEIVED

JUL 16 2015

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

PROOF OF PUBLICATION THE ERIE TIMES-NEWS

COMBINATION EDITION

Titan Energy New England 2275 Silas Deane Highway Rocky Hill CT 06067

REFERENCE:

82662 97921

PUC Notice

STATE OF PENNSYLVANIA) COUNTY OF ERIE) SS:

Debra McGraw, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC. whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation. and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, a true and correct copy of which is attached, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 10/06/14.

TOTAL COST: \$409.00

FILED ON: 10/06/14

AD SPACE: 0 Lines

| PEHNSYLVANIA | PUBLIC UTILITY | COMMISSION |
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PENNSYLVANIA PUBLIC UTILITY COMMISSION

Applications of Illan Energy New England, Inc. For Approval To Offer, Render, or Turnish Services as an Apprepation, and MarketerBroker Engaged tim the Business Of Turnish Services as an Apprepation, and MarketerBroker Engaged tim the Business Of Supply Services and Electricity Supply or Electric Generation Services, To The Public In the Commonwealth Of Pennsylvania.

Itlan Energy, New England, Inc. with be filing an application with the Pennsylvania Public Itlan Commission (*PUC*) for a beense to provide natural gas supply services as a brokermarketer engaged in the business of providing related as services. Titan Energy New England, Inc., will also be flung an application with the PUC for a beense to supply electricity and an aggregator engaged in the business of supplying electricity and an aggregator engaged in the business of supplying electricity, Illian Energy New England; Inc., proposes to self-electricity, natural gas, and related services to end-users in, all deregulated electric and natural gas distribution company territories in the Commonwealth of Pennsylvania under the provisions of the next Illians Cas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act, and the Electricity Generation Customer Choice and Competition Act, and the Electric distribution companies of Columbia Gas, Equitable Gas, National Fuel Gas, PECO, Pende's Ratural Gas, Pepoles Statural Gas, Pepoles Statural Gas, Pepoles Statural Gas, Pepoles Columbia Gas, Equitable Gas, National Fuel Gas, PECO, Pende's Ratural Gas, Pepoles Statural Gas, Pepoles Statural Gas, Pepoles Statural Gas Columbia Gas, Equitable Gas, National Fuel Gas, PECO, Pende's Ratural Gas, Pepoles Statural Gas, Pepoles Statural Gas, Peton Power, Author Description and Penders Peter Pende's Pender Pende's Ratural Gas, Peton Power, Author Description and Penders Peter Penders Peter Pende's Ratural Gas, Peter Pende's Ratural Gas, Peter Pende's Ratural Gas, Peter Pende's Ratural Gas, Peter

Peoples: TWP LLC, Philidelphia Gas vyoras, uni ominios, collision of the Malural Gas and Valley Energy:
The PUC may, consider this application without a hearing. Protests directed to the technical or insancial fitness of filan Energy New England Inc., may be filed within 15 days of the date of this notice with the Secretary of the PUC, PO, Box 2865; Harrisburg, PA 177105-3265. You should send copies of any protest to filan Energy New England Inc. attorney at the address listed below.

By and through Counsel: Richolas Painduris
Brown, Paindiris & Scott, LLP
252 Main Sti
Glastenbury, CT, 06033

Glastonbury, CT, 06033 850-659-0700 ext. 206. • 850-652-4382 (fax) npaindirts@bpstawyers.com

Sworn to and subscribed before me this oth day of () close 2014

COMMONWEALTH OF PENNSYLVANIA

Notarial Seat Barbara J. Moore, Rotary Public City of Erie, Erie County
My Commission Explies March 23, 2016
MEMBER, PERMOVEDAINA ASSOCIATION OF NOTARIES

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The Patriot-News Co. 2020 Technology Pkwy Suite 300 Mechanicsburg, PA 17050 Inquiries - 717-255-8213



TITAN ENERGY NEW ENGLAND 2275 SILAS DEANE HIGHWAY

ROCKY HILL

06067

CT

THE PATRIOT NEWS THE SUNDAY PATRIOT NEWS

Proof of Publication

Under Act No. 587, Approved May 16, 1929 Commonwealth of Pennsylvania, County of Dauphin) ss

Marianne Miller, being duly sworn according to law, deposes and says:

PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE
Applications of Titan Energy New
England, InciFor Approval To Offer,
Render, or, Furnish Services as an
Apprevator, and Marketer/Broker
Engaged in The Business OI Supplying
Indiural Gas Supply Services and
Electricity Supply or, Electric
Generation Services, To-The Public In
The Commonwealth Of Pennsylvania.
Titan Energy New England; Inc., will

The Commonwealth Of Pennsylvania.
The Commonwealth Of Pennsylvania.
The Commonwealth Of Pennsylvania.
The Energy New England, Inc. will be filling an application with the Pennsylvania Public Utility Commission ("PUC"). for a license to provide natural gos supply services as a broker/marketer engaged in the business of praviding natural gos services. Tilan Energy New England; inc. will also be lilling an application with the PUC for a license to supply electricity or, electric generalion services as a broker/marketer, engaged in the business of supplying electricity. Titten business of supplying electricity. Titten business of supplying electricity. Titten Energy, New England; Inc., proposes to jest electricity, natural gos, and related services to and-users in all deraguioted electric and natural gos distributions.

electric and natural sas distribution company farritories in the Commanwealth of Pennsylvania under, the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Custamer Choice and Competition Act and includes the electric distribution companies of Citizens' Electric, Duquesne Power, &

Light, Met Ed. PECO, Penelec, Penn Liahi, Mai:Ed. PECO; Pareilec. Penn-Power, Pike Light & Power/PPL Electric, UGI; Wellsbore Electric, West Penn Power, and the natural gos distribution componies of Columbia Gas, Equitable Gas, National Fuel Gas, PECO; People's Natural Gas, Peoples TWP, LLC. Philadelphia Gas Works, "UGI: Utilities, UGI Central Penn Gas, UGI: Penn Natural Gas and Valley, Energy, The PUC may consider, this application without a hearing. Protests directed to the technical or, financial: Iliness of Titan Energy New England

directed to the jecthical br. infancial illness of Titan Energy New England inc may be illed within 15 days of the date of this notice with the Secretary of the PUC. P.O. Box 3265, Harrisburg, PA 17105-3265; You should send copies of any protest to Titan Energy New England Inc. afterney at the address listed below.

below.

'By and through Counsel:
'Nicholas Paindiris,
Brown, Paindiris & Scott, LLIP
2252 Main St 2232 Main 51 Glastonbury, CT, 06033 860-659-0700 ext; 206 860-652-4382 (fax) npaindiris@bpslawyers.com

tant Controller of The Patriot News Co., a corporation organized and existing under the laws of the inia, with its principal office and place of business at 2020 Technology Pkwy, Suite 300, in the ity of Cumberland, State of Pennsylvania, owner and publisher of The Patriot-News and The Sunday general circulation, printed and published at 1900 Patriot Drive, in the City, County and State ws and The Sunday Patriot-News were established March 4th, 1854, and September 18th, 1949, an continuously published ever since;

e or publication which is securely attached hereto is exactly as printed and published in their regular nity Weekly editions which appeared on the date(s) indicated below. That neither she nor said subject matter of said printed notice or advertising, and that all of the allegations of this statement as ter of publication are true; and

al knowledge of the facts aforesaid and is duly authorized and empowered to verify this statement on io, aforesaid by virtue and pursuant to a resolution unanimously passed and adopted severally by the rectors of the said Company and subsequently duly recorded in the office for the Recording of Deeds uphin in Miscellaneous Book "M", Volume 14, Page 317.

0002315799 ran on the dates shown below:

October 07, 2014

Sworn to and subscribed before me this 08 day of October, 2014 A.D.

COMMONWEALTH OF PENNSYLVANIA

Notary Public

NOTARIAL SEAL

Sheryl Marie Leggore, Notary Public Hampden Twp., Cumborland County My Commission Expires July 16, 2018

MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

Proof of Publication in The Philadelphia Daily News Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA COUNTY OF PHILADELPHIA

Florence Devlin being duly sworn, deposes and says that The Philadelphia Daily News is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

October 6, 2014

Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

House Daley

Sworn to and subscribed before me this 6th day of October, 2014.

Mely line Loger Wolar Jublic

My Commission Expires:

COMMONWEALTH OF PENNSYLVANIA NOTARIAL SEAL MARY ANNE LOGAN, Notary Public

City of Philadelphia, Phila. County My Commission Expires March 30, 2017

Copy of Notice of Publication

Now England inc. ascerney
ted below.
By and through Counsel: Nicholas Paindiris
Brown, Paindiris & Sooti, LLP
2252 Main 91, Quateonbury, CT, 06033
850-859-0700 ext. 206*860-852-4382 (fex)
spaindiris@bpsiawyers.com

| | No | Term, |
|--|--|--|
| Proof of Publication of I | Notice in Pittsbur | gh Post-Gazette |
| Under Act No 587, Approved May 16, 1929, PL | 1784, as last amended | by Act No 409 of September 29, 1951 |
| Commonwealth of Pennsylvania, County of Allegheny, ss Pittsburgh Post-Gazette, a newspaper of general circulation puestablished in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Gazette established in 1786 and the Pittsburgh Post-Been regularly issued in said County and that a copy of said printed and published in the regular newspaper of general circulation on the following dates, viz: | ablished in the City of Pitt exette and Sun-Telegraph te Pittsburgh Post-Gazett tt, established in 1842, sin d printed notice or publi | sburgh, County and Commonwealth aforesaid, was and The Pittsburgh Press and the Pittsburgh Poster was established in 1927 by the merging of the need which date the said Pittsburgh Post-Gazette has cation is attached hereto exactly as the same was |
| 06 of October, 2014 | | |
| Affiant further deposes that he/she is an agent for the PG Publ that, as such agent, affiant is duly authorized to verify the fore of the afore said notice or publication, and that all allegations true. | going statement under oa | th, that affiant is not interested in the subject matter |
| COPY OF NOTICE OR PUBLICATION | NUM. | 1 / |
| NOTICE Applications of Titan Engry. New England, Inc. For Approval To Offer, Render, or Furnish Services as an A g g r e g at 6 or and Marketer/Broker Engaged in The Business of Supply Services, and Electricity. Supply or Electric Generation Services, To The Public in The Commonwealth Of Pennsylvania. Titan Energy New England, Inc. will be filling an application with the Pennsylvania Public Utility Commission ("PuC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of supply electricity, or electric generation services as abroker/marketer engaged in the business of supply electricity or electric generation services as abroker/marketer engaged in the business of supply electricity and an aggregator. engaged in the business of supplying electricity and an aggregator. Engaged in the business of supplying electricity and an aggregator. Engaged in the pusiness of supplying electricity and an aggregator. Engaged in the pusiness of supplying electricity and an aggregator. Engaged in the pusiness of supplying electricity and an aggregator. Engaged in the pusiness of supplying electricity and an aggregator. Engaged in the pusiness of supplying electricity and an aggregator. Engaged in the pusiness of supplying electricity and an aggregator. Engaged in the pusiness of supplying electricity and an aggregator. Engaged in the pusiness of supplying electricity and an aggregator. Engaged in the pusiness of supplying electricity and an aggregator. Engaged in the pusiness of supplying electricity and an aggregator. Engaged in the pusiness of supplying electricity and an aggregator. Engaged in the pusiness of supplying electricity and an aggregator. Engaged in the engaged in the pusiness of supplying electricity and an aggregator. Engaged in the engaged in th | Swom to and subscrib October 06, 2014 COMMONWEALT NOT Linda M. Gae City of Pittsbur My Commission MEMBER, PENNSYLVAN STATEMENT OF Titan Energy | blishing Chiphany ed before me this day of: M. Maria Sal ther, Notary Public gh, Allegheny County Expires Jan. 31, 2015 A ASSOCIATION OF MOTABLE? P. New England Deane Highway CT 06067 |
| England, Inc. proposes to sell electricity, natural gas, and related services to. | To PG I | Publishing Company |
| end-users in all deregulat- | m . I | 6200.00 |
| distribution:company.terri- tories in the Common- wealth of Pennsylvania | 1 otat | \$690.00 |
| Publisher's Re PG PUBL the Electricity Generation Y, publisher of the | rtising and publicatio PG Publishing Con Pittsburgh Post-Ga | sing Costs tte, a newspaper of general circulation, n costs and certifies that the same have apany, a Corporation, Publisher of tette, a Newspaper of General Circulation |
| Phone 4 Electric, West Penn Power. Thereby certify that the foregoing is the original Proof of Public said notice. | | · / |

(Under act P.L. 877 No 160. July 9,1976) The Scranton Times

Commonwealth of Pennsylvania, County of Lackawanna

TITAN ENERGY JUSTIN KEARNEY, CEP 2275 SILAS DEANE HIGHWAY ROCKY HILL CT 06067

Account # 601723 Order # 81615036 Ad Price: 338.15

LEGAL NOTICE PENNSYLVANIA

Gina Krushinski

Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

10/03/2014

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true $\lambda \gamma / \mu \Lambda$

Venturi

Sworn and subscribed to before me this 3rd day of October A.D., 2014

(Notary Public)

COMMONWEALTH OF PERHSYLVANIA

Hotomat Seal Sharon Venteri, hotary Public City of Scranton, Lackswanna County My Commission Evoires Feb. 12, 2018 MEMBER, PERMOTE-ARIE ELECTION OF HOTARIES LEGAL NOTICE

PENNSYLVANIA PUBLIC UTILITY

PENNSYLVANIA PUBLIC UTILITY
(COMMISSION NOTICE
Applications of Titon Energy New
England, Inc. For Approval To Oller,
Aender, or Furnish Services as an Aggrogator, andi MarketonBroker Engegod.in The Business of Supplying
Natural Gas Supply Services and Electicity Supply or Electric Generation
Services, to The Quible in The Commonwealth Of Pennsylvania.

Titan Energy New England, Inc. will, be filing an application with the Penn-sylvania Public Utility Commission ({PUC!) for a license to provide natural in the commonwealth of Pornsylvania under the provisions of the neural gas arrives as a broker/marketer engaged in the business of providing natural gas services. Than Energy New England; Inc. will also be illing an application with the PUC for a license; to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity and an aggregator engaged in the business of supplying electricity. Then Energy New England, Inc. proposes to solitelectricity, natural gas, and related services to end-users in all deregulated electric and natural gas distribution; company territorios in the Commonwealth of Pennsylvania under the provisions of the new Natural gas Coice and Competition Act and the Electricity, Generathe new Natural Gas Choice and Competition Act and the Electricity, Generation Customer Choice and Competition.

Act. This includes the electric distribution companies of Citizens' Electric, Duguesne Power & Light, Mel-Ed, PEEO, Penelec, Penn Power, Pike Light & Power, PPL Electric, UGI, Wellshore Electric, West Penn Power, and the natural gas distribution; companies of Columbia Gas, Equitable Gas; Natural Fuel Gas, PECO, People's Natural Gas, Peoples TWP, LLC, Philadelphia Gas Works, UGI Utilities, UGI Contral Pann Gas, UGI Penn Natural Gas and Valley Energy. Energy.

The PUC may consider this application The PUC may consider this application without a hoaring. Protests directed to the technical or linancial fitness of Theor Energy New England line. may be filed within 15 days of the date of this notice with the Societary of the PUC, P.O. Box 3265. Harrisburg, PA 17105-3255. You should send copies of any protest to Titan Energy New England Inc. attorney at the address listed below.

By and through Counsel: Nicholas Paindiris Brown, Palndiris & Scott, LLP 2252 Main St. Glastonbury, CT 06033 860-659-0700 ext. 206 860-652-4382 (fax) npaindirle@bpslawyers.com

PROOF OF PUBLICATION OF NOTICE IN THE WILLIAMSPORT SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

| STATE OF PENNSYLVANIA | 4 |
|-----------------------|---|
| COUNTY OF LYCOMING | |

| | PENNSYLVANI OF LYCOMING | | SS: |
|--|--|--|--|
| to the Williamsport, Po Williamsport, Po Bulletin was es lished in the Co | ennsylvania, being duly tablished in 1801, since unty of Lycoming afore: | te & Bulletin, both daily y sworn, deposes and say: e which dates said succes said, and that a copy of th | azette Company, publishers of the Williamsport, Sun-Gazette, successor newspapers of general circulation, published at 252 West Fourth Street, is that the Williamsport Sun was established in 1870 and the Gazette & sor, the Williamsport Sun-Gazette, has been regularly issued and public printed notice is attached hereto exactly as the same was printed and the on the following dates, viz: |
| **** | | (0) | ctober 5, 2014 |
| | egoing statement under | oath and also declares the oath and also declares the oath one of the oath oath oath oath oath oath oath oath | the Sun-Gazette Company, publisher of the Williamsport Sun-Gazette, nat affiant is not interested in the subject matter of the aforesaid notice nent as to time, place and character of publication are true. |
| | PUBLIC UTILITY COMMISSION NOTICE | gas distribution com- panies of Columbia Gas, Equitable Gas, Nationa Fuel Gas, PECO, | Activity 11. Com |
| | Apolications of Titan Energy New England, Inc. For Approval To Offer, Render, or Furnish, Services as an Aggingator, and Marketer/Broker, Engaged in The IBusiness, Of Supplying, Natural Gas Supply Services and Electricity Supply or Electric Ganeration Services, To The Public in The Commonwealth Of Pennsylvania. | Paople's 'Natural Ges, Paople's 'Natural Ges, Paoples TWP 'LLC, 'Philadelphia Gas: Works' 'UGI Utililles, 'UGI 'Ponn: Natural Gas: and Valley Energy. The PUC may consider this application without a incaring. Protests directed to the itechnical or financial fitness, of 'Titan Energy New England Increa Use field within 16 | SUN-GAZETTE COMPANY orn to and subscribed before me day of Color 10/4 Notary Public |
| | Titan Energy, New England, Inc. will be illing an application with the Rennsylvania Public Utility Commission (RUC') for a license to provide natural Table supply, sorvices as a broker / marketer engaged in the business of providing natural gas services. Titan Energy New England; Inc. will also ube filing an application with the PUC-for a | of the PUC, P.O. (Box 3265; Härrisburg, PA 17105-3265, You should send copies roll any jorctest to filtan Energy New England: Inc., attorney at the address listed below. By and through Courise; Nicholas Paindirin | CATHY A. BILLEY, Notary Public City of Williamsport, Lycoming County My Commission Expires May 15, 2015 |
| | license to supply electri- city or electric generation services as a broker/ | 2252 Main St Glastonbury, CT/05033 860-859-0700 evt 208 | the Sun-Gazette Company, Dr.: |
| | marketer engaged) in the business of supplying electricity and an aggre- | 880-652-4382 (fax) npaindiris@ bpslawyers.com | publishing the notice attached |
| | gator, engaged in the lous- ness foll-supplying, electri- city, Titan (Energy, New England), ilentrelity, england | Pro | leto on the above state dates \$404.25 bated same \$404.25 |
| | | HER'S RECEIPT | FOR ADVERTISING COSTS |
| THE SUN-GA and certifies t | remitories .in .the Com- monwealth of Ponnsyl- varia under the provisions of the new Natural Gas Cholco and Competition Act, and the Electricity Ganeration Customer | oroby colemonia | receipt of the aforesaid advertising and publication costs |
| | Chaice and Computition | 111 | TTE COMPANY |
| | Act. This includes the electric distribution com- | BY_ | Bernard A. Oravec |
| - | life, Duquesne Power 8 Light, Met-Ed, PECO Penelec, Penn Power Pike Light & Power, PPL Electric, (UGI, Wellsbort Electric, (West Pen | | 32 |

COMMONWEALTH OF PENNSYLVANIA

County of Cambria

SS

HORVES TO TOUR THE PENNSYLVANIA

SS

WILLIAM TO THE PENNSYLVANIA

SS

WILLIAM T

On this 6th day of October A.D. 2014, before me, the subscriber, a Notary Public in and for said County and State, personally appeared Christine Marhefka, who being duly sworn according to law, deposes and says as Classified Advertising Manager of the Tribune-Democrat, Johnstown, PA, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8, 1952, of the Johnstown Tribune, established December 7, 1853; and of the Johnstown Democrat, established March 5, 1863,

published continuously at Johnstown Pa. in the County of Cambria, and Commonwealth of Pennsylvania and that the annexed is a true copy of a notice in the above matter published in said publication in the regular issues of The Johnstown Tribune-Democrat, Johnstown, PA, on October 4, 2014; and that the Affiant is not interested in the subject matter of said notice or advertising and that all of the allegations as to time, place and character of said publication are true.

STATEMENT OF ADVERTISING COSTS

Sworn and Subscribed before me this 6th day of October, 2014.

Juivan OB

| 0.00 Lines @ \$2.50 per line | 0.00 |
|-------------------------------|--------|
| 9.5 Inches @ \$25.00 per inch | 237.50 |
| Notary Fee | 5.00 |
| Clerical Fee | 2,50 |
| Total Cost | 245.00 |

COMMONWEALTH OF PENNSYLVANIA

Notarial Seal
Vivian Ohs, Notary Public
City of Johnstown, Cambria County
My Commission Expires Dec. 6, 2016
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

To The Tribune-Democrat, Johnstown, PA For publishing the notice or publication attached hereto on the above stated dates.

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

| for publisher of |
|--|
| a newspaper of general circulation, hereby acknowledges receipt of the aforesaid and publication costs and certifies that the same has been duly paid. |
| (Name of Newspaper) |
| Ву |

Addendum I

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF STATE
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS
401 NORTH STREET, ROOM 206
P.O. BOX 8722
HARRISBURG, PA 17105-8722
WWW.CORPORATIONS.STATE.PA,US/CORP

RECEIVED

JUL 1 6 2015

Titan Energy-New England, Inc.

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

THE BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS IS HAPPY TO SEND YOU YOUR FILED DOCUMENT. THE BUREAU IS HERE TO SERVE YOU AND WANTS TO THANK YOU FOR DOING BUSINESS IN PENNSYLVANIA.

IF YOU HAVE ANY QUESTIONS PERTAINING TO THE BUREAU, PLEASE VISIT OUR WEB SITE LOCATED AT <u>WWW.CORPORATIONS.STATE.PA.US/CORP</u> OR PLEASE CALL OUR MAIN INFORMATION TELEPHONE NUMBER (717)787-1057. FOR ADDITIONAL INFORMATION REGARDING BUSINESS AND / OR UCC FILINGS, PLEASE VISIT OUR ONLINE "SEARCHABLE DATABASE" LOCATED ON OUR WEB SITE.

ENTITY NUMBER: 4307133

Justin Kearney- Titan Energy NE 2275 Silas Deane Highway Rocky Hill, CT 06067

Addendum VI - Officer Resumes

- I. Jerry P Hawkins Resume
- II. Christopher H. Ramm Resume

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Addendum V

Titan Energy New England Inc. - Bank Statements & Tax Returns

- 1. U.S. Income Tax Return for an S Corporation 2014
- 2. U.S. Income Tax Return for an S Corporation 2013
- 3. Webster Bank Statements Titan Energy New England

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STED I KUN PULICY DECLARATIONS (Continued)

POLICY NUMBER: 02 SBA AH5280

| BUSINESS LIABILITY | LIMITS OF INSURANCE |
|--|---------------------|
| LIABILITY AND MEDICAL EXPENSES | \$1,000,000 |
| MEDICAL EXPENSES - ANY ONE PERSON | \$ 10,000 |
| PERSONAL AND ADVERTISING INJURY | \$1,000,000 |
| DAMAGES TO PREMISES RENTED TO YOU ANY ONE PREMISES | \$ 300,000 |
| AGGREGATE LIMITS PRODUCTS-COMPLETED OPERATIONS | EXCLUDED |
| FORM SS 05 16 GENERAL AGGREGATE | \$2,000,000 |
| EMPLOYMENT PRACTICES LIABILITY COVERAGE: FORM SS 09 01 | |
| EACH CLAIM LIMIT | \$ 5,000 |
| DEQUCTIBLE - EACH CLAIM LIMIT NOT APPLICABLE | |
| AGGREGATE LIMIT | \$ 5,000 |

This Employment Practices Liability Coverage contains claims made coverage. Except as may be otherwise provided herein, specified coverages of this insurance are limited generally to liability for injuries for which claims are first made against the insured while the insurance is in force. Please read and review the insurance carefully and discuss the coverage with your Hartford Agent or Broker.

The Limits of Insurance stated in this Declarations will be reduced, and may be completely exhausted, by the payment of "defense expense" and, in such event. The Company will not be obligated to pay any further "defense expense" or sums which the insured is or may become legally obligated to pay as "damages".

EXCLUSION-DESIGNATED PROFESSIONAL FORM SS 05 61 DESCRIPTION OF PROFESSIONAL SERVICE EXCLUDING PRODUCTS COVERAGE

RETROACTIVE DATE: 08152002

POLICY NUMBER: 02 SBA AH5280

PROPERTY OPTIONAL COVERAGES APPLICABLE LIMITS OF INSURANCE TO ALL LOCATIONS

BUSINESS INCOME AND EXTRA EXPENSE

COVERAGE

COVERAGE INCLUDES THE FOLLOWING

COVERAGE EXTENSIONS:

ACTION OF CIVIL AUTRORITY:

EXTENDED BUSINESS INCOME:

30 DAYS

30 CONSECUTIVE DAYS

12 MONTHS ACTUAL LOSS SUSTAINED

EQUIPMENT BREAKDOWN COVERAGE

COVERAGE FOR DIRECT PHYSICAL LOSS

DUE TO:

MECHANICAL BREAKDOWN,

ARTIFICIALLY GENERATED CURRENT

AND STEAM EXPLOSION

THIS ADDITIONAL COVERAGE INCLUDES

THE FOLLOWING EXTENSIONS

HAZARDOUS SUBSTANCES EXPEDITING EXPENSES 50,000

\$ 50,000

MECHANICAL BREAKDOWN COVERAGE ONLY APPLIES WEEN BUILDING OR BUSINESS

PERSONAL PROPERTY IS SELECTED ON

THE POLICY

IDENTITY RECOVERY COVERAGE

\$ 15,000

FORM SS 41 12

POLICY NUMBER: 02 SBA AH5280

Location(s), Building(s), Business of Named Insured and Schedule of Coverages for Premises as designated by Number below.

Location: 002 Building: 001

PROPERTY OPTIONAL COVERAGES APPLICABLE LIMITS OF INSURANCE

TO THIS LOCATION

STRETCH COVERAGES FORM: SS 04 08

THIS FORM INCLUDES MANY ADDITIONAL

COVERAGES AND EXTENSIONS OF

COVERAGES. A SUMMARY OF THE COVERAGE LIMITS IS ATTACHED.

LIMITED FUNGI, BACTERIA OR VIRUS \$ 50,000

COVERAGE:

FORM SS 40 93

THIS IS THE MAXIMUM AMOUNT OF

INSURANCE FOR THIS COVERAGE,

SUBJECT TO ALL PROPERTY LIMITS

FOUND ELSEWHERE ON THIS

DECLARATION.

INCLUDING BUSINESS INCOME AND EXTRA

EXPENSE COVERAGE FOR: 30 DAYS

48

POLICY NUMBER: 02 SBA AH5280

Location(s), Building(s), Business of Named Insured and Schedule of Coverages for Premises as designated by Number below.

Location: 001 Building: 001

PROPERTY OPTIONAL COVERAGES APPLICABLE LIMITS OF INSURANCE

TO THIS LOCATION

STRETCH COVERAGES

FORM: SS 04 08

THIS FORM INCLUDES MANY ADDITIONAL

COVERAGES AND EXTENSIONS OF

COVERAGES. A SUMMARY OF THE

COVERAGE LIMITS IS ATTACHED.

LIMITED FUNGI, BACTERIA OR VIRUS \$ 50,000

COVERAGE:

FORM SS 40 93

THIS IS THE MAXIMUM AMOUNT OF

INSURANCE FOR THIS COVERAGE,

SUBJECT TO ALL PROPERTY LIMITS

FOUND ELSEWHERE ON THIS DECLARATION.

INCLUDING BUSINESS INCOME AND EXTRA

EXPENSE COVERAGE FOR: 30 DAYS

POLICY NUMBER: 02 SBA AH5280

Location(s), Building(s), Business of Named Insured and Schedule of Coverages for Premises as designated by Number below.

Location: 001 Building: 001

8 WILLIAMS PATH

KINGSTON NH 03848

Description of Business:

MANUFACTURER'S REPRESENTATIVE EXCLUDING PRODUCTS

Deductible: \$ 250 PER OCCURRENCE

BUILDING AND BUSINESS PERSONAL PROPERTY LIMITS OF INSURANCE

BUILDING

NO COVERAGE

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BUSINESS PERSONAL PROPERTY

2000年1日

REPLACEMENT COST

\$ 7,400

PERSONAL PROPERTY OF OTHERS

REPLACEMENT COST NO COVERAGE

MONEY AND SECURITIES

INSIDE THE PREMISES \$ 10,000 OUTSIDE THE PREMISES \$ 5,000

Addendum IV – Types of Insurance

i. Spectrum Policy Declarations – various insurances itemized

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Addendum III

- i. Titan Energy Current Profit & Loss, January through April 2015
- ii. Titan Energy Current Profit & Loss, January through December 2014
- iii. Titan Energy Current Profit & Loss, January through December 2013
- iv. Titan Energy Current Balance Sheet, As of December 31st, 2014
- v. Titan Energy Current Balance Sheet, As of December 31st, 2013

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usiness Inquiry HOME ## HELP

Filing History

Business ID

Business Name

0726831

TITAN ENERGY - NEW ENGLAND INC

| | | | • | | | | |
|---------------|-----------------------|-----------------------|----------------|-------------|--------|------------|--------|
| Filing Number | Filing Date/Time | Effective Date/Fine | Filing Type | Volume Type | Volume | Start/Page | Page # |
| 0002481608 | Sep 24, 2002 11:00 AM | | INCORPORATION | В | 00528 | 3597 | 2 |
| 0002481609 | Sep 24, 2002 11:00 AM | Sep 24, 2002 11:00 AM | ORG REPORT | 8 | 00528 | 3599 | t |
| 0002713501 - | Oct 23, 2003 8:30 AM | Oct 23, 2003 8:30 AM | REPORT (2003) | В | 00647 | 0944 | 2 |
| 0002913079 | Sep 30, 2004.8:30 AM | | REPORT (2004) | В | 00753 | 3554 | 2 |
| 0003095511 | Sep 19, 2005 8:30 AM | | REPORT (2005) | В | 00853 | 3212 | 2 |
| 0003229260 | Jun 09, 2006 8:30 AM | Jun 09, 2006 8:30 AM | ADDRESS | В | 00923 | 3682 | 1 |
| 0003234357 | Jun 19, 2006 8:30 AM | Jun 19, 2006 8:30 AM | AGENT ADDRESS | В | 00926 | 1971 | 1 |
| 0003303357 | Sep 18, 2006 8:30 AM | | REPORT (2006) | В | 00962 | 2125 | 2 |
| . 0003431719 | Apr 10, 2007 8:30 AM | Apr 10, 2007 8:30 AM | AMEND | В | 01028 | 1639 | 2 |
| 0003531351 | Sep 06, 2007 8:30 AM | | REPORT (2007) | В | 01079 | 2620 | 2 |
| 0003551513 | Oct 09, 2007 8:30 AM | Oct 09, 2007 8:30 AM | AMEND NAME | В | 01090 | 0319 | 2 |
| 0003777775 | Sep 15, 2008 8:30 AM | | REPORT (2008) | В | 01206 | 1919 | 2 |
| 0004018633 | Sep 03, 2009 8:30 AM | | REPORT (2009) | В | 01330 | 2184 | 2 |
| 0004262031 | Sep 02, 2010 8:30 AM | | REPORT (2010) | В | 01459 | 2214 | 2 |
| 0004262341 | Oct 21, 2010 8:30 AM | Oct 21, 2010 8:30 AM | ADDRESS | В | 01459 | 2895 | 1 |
| 0004465587 | Sep 28, 2011 8:30 AM | | REPORT (2011) | В | 01573 | 0587 | 2 |
| 0004699658 | Aug 08, 2012 9:37 AM | | REPORT (2012) | В | 01710 | 0149 | 2 |
| 0004787770 | Jan 09, 2013 12:00 PM | | INTERIM NOTICE | В | 01759 | 3469 | 1 |
| 0004940076 | Sep 10, 2013 4:04 PM | | REPORT (2013) | В | 01846 | 0802 | 2 |
| 0004985023 | Nov 12, 2013 2:00 PM | Nov 12, 2013 2:00 PM | AGENT | В | 01871 | 0883 | 2 |
| | | | | | | | |

Addendum II

Business Inquiry



HELP

Business Details

TITAN ENERGY - NEW Business Name:

ENGLAND INC

Cilizenship/State Inc: Domestic/CT

Business ID: 0726831

Last Report Filed Year: 2014

2275 SILAS DEANE HIGHWAY, **Business Address:**

ROCKY HILL, CT, 06067

Business Type: Stock

2275 SILAS DEANE HIGHWAY, Mailing Address:

ROCKY HILL, CT, 06067

Business Status: Active

Date Inc/Registration: Sep 24, 2002

Principals Details

Name/Title **Business Address** Residence Address

JERRY HAWKINS PRESIDENT

2275 SILAS DEANE HIGHWAY, ROCKY HILL, CT, 06067

8 WILLIAMS PATH, KINGSTON, NH

JERRY HAWKINS TREASURER DIRECTOR

8 WILLIAMS PATH, KINGSTON,

NH, 03848

8 WILLIAMS PATH, KINGSTON, NH, 03848

MICHAEL HORTON

EXEC VICE PRES DIRECTOR

2275 SILAS DEANE HWY. ROCKY HILL, CT

224 SEYMOUR RD, WOODBRIDGE, CT

Agent Summary

Agent Name CHRISTOPHER H. RAMM

Agent Business

Address

2275 SILAS DEANE HWY., ROCKY HILL, CT, 06067

Agent Residence

Address

21 RT. 87, COLUMBIA, CT, 06237



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DSCB:15-4124/6124-2

| | · |
|----------|--|
| 7 | Check one of the following: |
| | Business Corporation: The corporation is a corporation incorporated for a purpose or purposes involving pecuniary profit, incidental or otherwise. |
| | Nanprofit Corporation: The corporation is a corporation incorporated for a purpose or purposes not involving pecuniary profit, incidental or otherwise. |
| 8 | Business corporations only. Check any applicable statements: |
| | This corporation is organized on a nonstock basis, |
| | This corporation is a statutory close corporation. This corporation is a management corporation. |
| | This corporation is a professional corporation. This corporation is an insurance corporation. |
| | This corporation is a benefit corporation. |
| | |
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| | |
| IN TES | STIMONY WHEREOF, the undersigned corporation has caused this Application for Certificate of ity to be signed by a duly authorized officer thereof this |
| | |
| | Titan Energy - New England, Inc. |
| | Name of Corporation |
| | $c0$ (ϵ |
| | Signature |
| | in the contract of the contrac |
| | Vice Regions + General Mg- |
| | |
| | |
| REV 08/3 | 2014 |

Entity #: 4307133 Date Filed: 10/07/2014 Carol Alchele Secretary of the Commonwealth

| Enti | Application for Certificate of Authority (15 Pa.C.S.) Foreign Business Corporation (§ 4124) Foreign Nonprofit Corporation (§ 6124) |
|---------|---|
| } - | Document will be returned to the Commonwealth of Pennsylva CERTIFICATE OF AUTHORITY 3 P City Rocky Hill CT 21p Code T1431064138 |
| cia | 5250 In compliance with the requirements of the applicable provisions of 15 Pa.C.S. (relating to corporations and unincorporations), the undersigned, hereby states that: The name of the corporation is: Ti-lan Energy - New England, Inc. |
| • | |
| 2. | Complete only when the corporation must adopt a corporate designator for use in Pennsylvania. The name which the corporation edopts for use in this Commonwealth is: |
| 2. 3 | |
| | If the name set forth in paragraph 1 or 2 is not available for use in this Commonwealth, complete the following: The fictitious name which the corporation adopts for use in transacting business in this Commonwealth is: The corporation shall do business in Pennsylvania only under such fictitious name pursuant to the attached resolution of the board of directors under the applicable provisions of 15 Pa.C.S. (relating to corporations and unincorporated associations) and the attached form |
| | If the name set forth in paragraph 1 or 2 is not available for use in this Commonwealth, complete the following: The fictilious name which the corporation adopts for use in transacting business in this Commonwealth is: The corporation shall do business in Pennsylvania only under such fictilious name pursuant to the attached resolution of the board of directors under the applicable provisions of 15 Pa.C.S. (relating to corporations and unincorporated associations) and the attached form DSCB:54-311 (Application for Registration of Fictitious Name). |
| | If the name set forth in paragraph 1 or 2 is not available for use in this Commonwealth, complete the following: The fictillous name which the corporation adopts for use in transacting business in this Commonwealth is: The corporation shall do business in Pennsylvania only under such fictitious name pursuant to the attached resolution of the board of directors under the applicable provisions of 15 Pa.C.S. (relating to corporations and unincorporated associations) and the attached form DSCB:34-311 (Application for Registration of Fictitious Name). The name of the jurisdiction under the laws of which the corporation is incorporated is: Shaft of Connecticus The address of its principal office under the laws of the jurisdiction in which it is incorporated is: |

2014 OCT 31 PH 4: 46 PA DEPT OF STATE



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JUSTIN KEARNEY

Expected Delivery Date: 07/18/15

TITAN ENERGY NEW ENGLAND, INC. 2275 SILAS DEANE HWY

ROCKY HILL CT 06067-2329

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TO: SECRETARY
PENNSYLVANIA PUBLIC UTILITY COMM
PO BOX 3265

HARRISBURG PA 17105-3265

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