r.h. High Voltage 🗡 🛺 🔨 Your Energy Partners

P. O. Box 1569, Keller, TX 76244-1569 P: 817.281.0829 F: 817.281.1170

A-2015-250 3932

September 10, 2015

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RECEIVED

Pennsylvania Public Utility Commission Secretary P.O. Box 3265 Harrisburg, PA 17105-3265

SEP 1 0 2015

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

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- - -

RE: Natural Gas Supplier Application

Dear Sir or Madame,

Enclosed is the application, including confidential information envelope, cd-rom and certified check in the amount of \$350.00 for the filing fee submitted by ADL High Voltage, Inc. to offer, render, furnish, or supply natural gas services as a Broker/Marketer to the public in the Commonwealth of Pennsylvania for the Commission's approval.

If you have any questions or need further information regarding this application, do not hesitate to contact me at 817-281-0829 or by email at <u>cdlecce@highvotlageco.com</u>.

Sincerely,

CHUMM Cheryl D. Lecce President

/enclosures



BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of adl High Voltage, Inc., for approval to offer, render, furnish, or supply natural gas supply services as a Broker/Marketer engaged in the business of supplying natural gas services to the public in the Commonwealth of Pennsylvania (Pennsylvania).

A-2015-2503432

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

a. IDENTITY OF THE APPLICANT: Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:
RECEIVED

ADL High Voltage, Inc. 629 Deerwood Lane Keller, TX 76248

Office (817) 281-0829 Fax (817) 281-1170 SEP 1 0 2015

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

b: PENNSYLVANIA ADDRESS / REGISTERED AGENT: If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

Cheryl Lecce 337 Pearl Street, Suite 1 Pittsburgh, PA 15224

Phone (412) 682-2515 Fax (412) 682-2516

c. **REGULATORY CONTACT**: Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Andrew D. Lecce Director, Business Development 629 Deerwood Lane Keller, TX 76248

Office (817) 281-0829 Fax (817) 281-1170

d. ATTORNEY: Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Gary W. Boyle 15 Spirit Court Santa Fe, New Mexico 87506

Phone and Fax (505) 989-5057

e. CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: Provide the name, title, address, telephone number, fax number, and e-mail of the person and an alternate person responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the

1

Applicant, the Natural Gas Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed NGSs.

Main Contact:

٤,

Andrew D. Lecce Director, Business Development 629 Deerwood Lane Keller, TX 76248

Office (817) 281-0829 Fax (817) 281-1170

Alternate Contact:

Cheryl Lecce President 629 Deerwood Lane Keller, TX 76248

Office (817) 281-0829 Fax (817) 281-1170

2. BUSINESS ENTITY FILINGS AND REGISTRATION

a. FICTITIOUS NAME: (Select appropriate statement and provide supporting documentation as listed.)

The Applicant will not be using a fictitious name.

b. BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:

(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)

The Applicant is a foreign corporation (15 Pa. C.S. §4124)

 Provide proof of compliance with appropriate Department of State filing requirements as indicated above.

A true and correct copy of the Applicant's Application for Certificate of Authority filed with the Pennsylvania Department of State on May 23, 2006, is attached as Exhibit 1.

 Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.

The Applicant is incorporated in the State of Texas. A true and correct copy of the Applicant's Articles of Incorporation dated June 18, 2003, is attached as Exhibit 2.

- Give name and address of officers.

Cheryl Lecce President, Secretary, and Treasurer 629 Deerwood Lane Keller, TX 76248

AFFILIATES AND PREDECESSORS 3.

a. AFFILIATES: Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

The Applicant has no affiliates doing business in Pennsylvania and has no affiliates that are currently applying to do business in Pennsylvania.

b. PREDECESSORS: Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

The Applicant has no predecessors under which the Applicant has operated within the past five years.

OPERATIONS 4.

a. APPLICANT'S PRESENT OPERATIONS: (select and complete the appropriate statement)

Applicant is presently doing business in Pennsylvania as a Broker/Marketer engaged in the business of supplying electricity and as an Aggregator engaged in the business of supplying electricity. Applicant does not take title to the electricity.

b. APPLICANT'S PROPOSED OPERATIONS: The Applicant proposes to operate as a:

Broker/Marketer engaged in the business of supplying natural gas services. Applicant verifies that it will not be taking title to the natural gas and will not be making payments for customers.

c. PROPOSED SERVICES: Describe in detail the natural gas supply services which the Applicant proposes to offer.

Applicant proposes to manage the purchasing options for gas for both small and large commercial, industrial and governmental customers.

d. PROPOSED SERVICE AREA: Check the box of each Natural Gas Distribution Company for which the Applicant proposes to provide service.

🔲 Ca	olumbia		hiladelphia Gas Works
🖸 Na	ational Fuel Gas	. 🗋 ι	JGI Central Penn
D PE	ECO	. 🗋 ι	JGI Penn natural
🛄 Pe	eopies Gas – Equitable Div.		JGI Utilities
🛄 Pe	eoples Natural Gas		/alley Energy
🖸 Pe	eoples TWP	X AI	l of the above

- e. CUSTOMERS: Applicant proposes to provide services to:
 - Residential Customers
 - Small Commercial Customers (Less than 6,000 Mcf annually) X П
 - Residential and Small Commercial as Mixed Meter ONLY (CANNOT BE TAKEN WITH **RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE)**
 - Large Commercial Customers (6,000 Mcf or more annually) Х
 - X X Industrial Customers
 - Governmental Customers
 - All of above

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Other (Describe):

f. **START DATE:** Provide the approximate date the Applicant proposes to <u>actively market</u> within the Commonwealth.

Immediately upon the Commission's issuance of a license.

5. COMPLIANCE

a. CRIMINAL/CIVIL PROCEEDINGS: State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

Applicant and the persons named in this Application have not been within the preceding five years and are nor currently the subject of a criminal or civil proceeding.

b. SUMMARY: If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

Not applicable.

c. CUSTOMER/REGULATORY/PROSECUTORY ACTIONS: Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. If the Applicant has no actions or complaints to list, explicitly state such.

No actions or complaints have been filed against Applicant, its affiliates or the persons named in this Application during the past five years.

d. SUMMARY: If applicable; provide a statement as to the resolution or present status of any actions listed above.

Not applicable.

6. **PROOF OF SERVICE**

Certificate of Service is attached.

7. FINANCIAL FITNESS

a. BONDING: In accordance with 66 Pa. C.S. Section 2208(c), no natural gas supplier license shall be issued or remain in force unless the applicant or holder furnishes a bond or other security in a form and amount to ensure the financial responsibility of the natural gas supplier. The criteria used to determine the amount and form of such bond or other security shall be set by each NGDC. Provide documentation that the applicant has met the security requirement of each NGDC by submitting the letters sent by the NGDCs stating what bonding amounts they require.

Documentation from each NGDC other than National Fuel Gas indicating that no bond is required is attached as Exhibit 3. In a communication attached as Exhibit 4, National Fuel Gas has indicated that it will provide bonding requirements after receipt of a copy of this Application.

- b. FINANCIAL RECORDS, STATEMENTS, AND RATINGS: Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:
 - Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.

- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
- Applicant's accounting statements, including balance sheet and income statements for the past two years.
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements exhibiting accounts over a minimum two year period.
- Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

Financial Records and Statements are attached as Exhibit 5.

c. SUPPLIER FUNDING METHOD: If Applicant is operating as anything other than <u>Broker/Marketer only</u>, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

Applicant will operate as a Broker/Marketer only.

d. BROKER PAYMENT STRUCTURE: If applicant is a broker/marketer, explain how your organization will be collecting your fees.

Applicant will collect fees from the supplier.

e. ACCOUNTING RECORDS CUSTODIAN: Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

Cheryl Lecce Treasurer 629 Deerwood Lane Keller, TX 76248

Office (817) 281-0829 Fax (817) 281-1170

f. **TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix D to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

Tax Certification Statement is attached as Exhibit 6.

8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by natural gas distribution companies does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

a. EXPERIENCE, PLAN, STRUCTURE: such information may include:

- Applicant's previous experience in the natural gas industry.
- Summary and proof of licenses as a supplier of natural gas services in other states or jurisdictions.
- Type of customers and number of customers Applicant currently serves in other jurisdictions.
- Staffing structure and numbers as well as employee training commitments.
- Business plans for operations within the Commonwealth.
- Any other information appropriate to ensure the technical capabilities of the Applicant.

Proposed staffing and employee training commitments

Staffing:

Applicant may from time to time hire key employees to assist with sales, marketing and/or operation efforts in the Pennsylvania market. Hiring will be contingent based on growth and the need for key employees in our business. Applicant will also utilize contract sales as a part of our initial growth strategy.

Training:

Applicant will conduct an informative two-day classroom training in all areas of energy deregulation. Key employees and contract sales force will be trained in the history of energy deregulation, customer choice and how it affects the customer, and other information that will enable Applicant to deliver a high level of excellence to its customers. Applicant's sales representatives and contract sales representatives are required to spend a minimum of one day training in the field with an experienced member of our team and are evaluated on a weekly basis to adhere to the expected standards of quality.

These issues are also referenced in Applicant's Business Plan which is attached as Exhibit 7.

Business plans

Applicant's business plan is attached as Exhibit 7.

b. PROPOSED MARKETING METHOD (check all that apply)

- Internal Applicant will use its own internal resources/employees for marketing X D
- External NGS Applicant will contract with a PUC LICENSED NGS
- Π Affiliate - Applicant will use a NON-NGS affiliate that is a nontraditional marketer and/or marketing services consultant
- External Third-Party - Applicant will contract with a NON-NGS third party nontraditional marketer and/or nonselling marketer
- D Other (Describe):
- c. DOOR TO DOOR SALES: Will the Applicant be implementing door to door sales activities?

	Yes
Х	No

If yes, will the Applicant be using verification procedures?



Yes No

If yes, describe the Applicant's verification procedures.

Not Applicable

d. **OVERSIGHT OF MARKETING:** Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

Applicant will conduct an informative two-day classroom training in all areas of appropriate marketing. Key employees and contract sales force will be trained in the history of energy deregulation, customer choice and how it affects the customer, the ethics of marketing natural gas and other information that will enable Applicant to deliver a high level of excellence to its customers. Applicant's sales representatives and contract sales representatives are required to spend a minimum of one day training in the field with an experienced member of our team and are evaluated on a weekly basis to adhere to the expected standards of quality.

e. OFFICERS: Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations.

The identity of the Applicant's officers directly responsible for operations, including names and their professional resumes.

Cheryl Lecce President Andrew D. Lecce Director, Business Development 629 Deerwood Lane Keller, TX 76248

Office (817) 281-0829 Fax (817) 281-1170

Resumes attached as Exhibit 8.

9. DISCLOSURE STATEMENT:

DISCLOSURE STATEMENTS: If proposing to serve Residential and/or Small Commercial (less than 6,000 Mcf annually) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix E to this Application.

 Natural gas should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

Not applicable because Applicant is seeking a license exclusively as a broker/marketer.

10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

a. STANDARDS OF CONDUCT AND DISCLOSURE: As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives,

- and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 62.114.
 - X AGREED
- **b. REPORTING REQUIREMENTS**: Applicant agrees to provide the following information to the Commission:
 - Reports of Gross Receipts: Applicant shall file an annual report with the Commission on an annual basis no later than April 30th following the end of the calendar year per 52 Pa. Code § 62.110.
 - X AGREED
- c. TRANSFER OF LICENSE: The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. § 2208(d). Transferee will be required to file the appropriate licensing application.
 - X AGREED
- d. ASSESSMENT: The Commission does not presently assess Natural Gas Suppliers for the purposes of recovery of regulatory expenses.
 - X ACKNOWLEDGED
- e. FURTHER DEVELOPMENTS: Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 62.105.
 - X AGREED
- f. FALSIFICATION: The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.
 - X AGREED
- **g. NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within thirty (30) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 62.105.
 - X AGREED
- **h.** CEASING OF OPERATIONS: Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.
 - X AGREED
- i. FEE: The Applicant has enclosed or paid the required initial licensing fee of \$350.00 payable to the Commonwealth of Pennsylvania.
 - X PAYMENT ENCLOSED

11. AFFIDAVITS

a.) APPLICATION AFFIDAVIT: Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.

Attached as Exhibit 9.

b.) OPERATIONS AFFIDAVIT: Provide an officially notarized affidavit stating that you will adhere to the Public Utility Code of Pennsylvania and applicable federal and state laws. An example copy of this Affidavit can be found at Appendix B.

Attached as Exhibit 10.

12. NEWSPAPER PUBLICATIONS

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. Below is a list of newspapers which cover the publication requirements for Natural Gas Suppliers looking to do business in Pennsylvania.

The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve. The chart below dictates which newspapers are necessary for each NGDC. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

Please file with the Commission the Certification of Publication, along with a Photostatic copy of the notice to complete the notice requirements. Proof of Publication is attached as Exhibit 11.

Proof of newspaper publications must be filed with the initial application. Applicants **do not** need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	Erie Times- News	Harrisburg Patriot- News	Philadelphia Daily News	Pittsburg Post- Gazette	Scranton Times- Tribune	Williamsport Sun-Gazette	Johnstown Tribune- Democrat
Columbia Gas	x	X		Х		X	Х
Equitable Gas	X			X			
National Fuel Gas				X			
PECO			X				
Peoples Natural Gas	X			Х			X
Peoples TWP LLC				Х	_		
Philadelphia Gas Works			x				
UGI		x	X		X		
UGI Central Penn	X	x	Х	Х	X	x	X [,]
UGI Penn Natural		X			X	X	
Valley Energy		· · · · · · · · · · · · · · · · · · ·			x	X	
Entire							<u>_</u>
Commonwealth	X	X	X	X	<u>x</u>	<u>x</u>	X

(Example Publications are provided at Appendices F and G)

13. SIGNATURE

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Applicant: ADL High Voltage, Inc. By: Title: President

RECEIVED SEP 10 2015

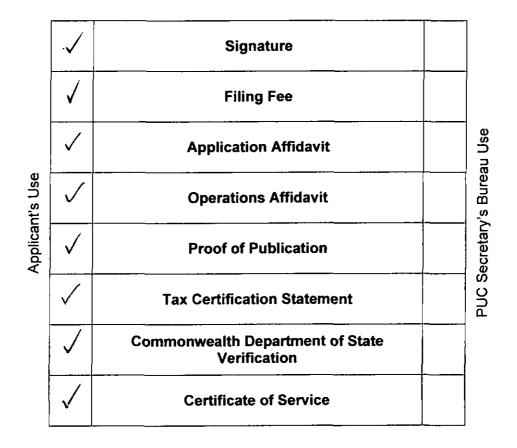
PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

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14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections is complete.



Applicant: adl High Voltage, Inc.



SEP 1 0 2015

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Exhibit 1

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Application for Certificate of Authority filed with the Pennsylvania Department of State on May 23, 2006



SEP 1 0 2015

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

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PENNSYLVANIA DEPARTMENT OF STATE **CORPORATION BUREAU**

Application for Certificate of Authority (15 Pa.C.S.)

Foreign Business Corporation (§ 4124) Foreign Nonprofit Corporation (§ 6124)

Name adl High Voltage, Inc.	Document will name and add the left.
Address P. O. Box 180666	
City State Zip Code Arlington, Texas 76096	

ll be returned to the dress you enter to

Fee: \$250

In compliance with the requirements of the applicable provisions of 15 Pa.C.S. (relating to corporations and unincorporated associations), the undersigned, hereby states that:

1. The name of the corporation is: adl Bigh Voltage, Inc.

2. Complete only when the corporation must adopt a corporate designator for use in Pennsylvania. The name which the corporation adopts for use in this Commonwealth is: N/A

3. If the name set forth in paragraph 1 or 2 is not available for use in this Commonwealth, complete the following: The fictitious name which the corporation adopts for use in transacting business in this Commonwealth is:

The corporation shall do business in Pennsylvania only under such fictitious name pursuant to the attached resolution of the board of directors under the applicable provisions of 15 Pa.C.S. (relating to corporations and unincorporated associations) and the attached form DSCB:54-311 (Application for Registration of Fictitious Name).

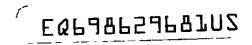
4. The name of the jurisdiction under the laws of which the corporation is incorporated is: State of Texas

State

5. The address of its principal office under the laws of the jurisdiction in which it is incorporated is:

76018 4706 Abbott Avenue, Arlington, Texas

Number and street City Zip



ocketing Statement DSCB:15-134A (Rev 2001) epartments of State and Revenue	BUREAU USE ONLY: Dept. of State Entity #
ne (1) copy required	Dept. of Rev. Box #
	Filing Period Date 3 4 5
	SIC/NAICSReport Code
Check proper box:	
Pennsylvania Entities business stock	Foreign Entities State/Country <u>Texas</u> Date <mark>5/23/06</mark>
<pre>business stock business stock professional nonprofit stock nonprofit non-stock statutory close management cooperative insurance limited liability company restricted professional limited liability company business trust</pre>	X business
l. Entity Name: adl High Voltage, Inc.	· · ·
2. Individual name and mailing address responsible for	-
Cheryl D. Lecce4706 AbbottNameNumber and stree	Avenue, Arlington, Texas76018tCityStateZip
3. Description of business activity: Energy Consulting	
4. Specified effective date, if any: <u>May 15, 2006</u> month/day/year hour, if any	EIN (Employer Identification Number), if any: 51-0471787

6. Fiscal Year End:

December 31 ·

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7. Fictitious Name (only if foreign corporation is transacting business in PA under a fictitious name): .

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6. The (a) address of this corporation commercial registered office provi			mmonwealth o	or (b) name of its
(a) Number and street	City	State	Zip	County
(b) Name of Commercial Register	ed Office Provider		County	· · · · · · · · · · · · · · · · · · ·
c/o:				, ,

7. Check one of the following:	
Business Corporation: The corporation is a corporation incorporated for a purpose or purposes involving pecuniary profit, incidental or otherwise.	
Nonprofit Corporation: The corporation is a corporation incorporated for a purpose or purposes not involving pecuniary profit, incidental or otherwise.	

IN TESTIMONY WHEREOF, the undersigned corporation has caused this Application for Certificate of Authority to be signed by a duly authorized officer thereof this 23rd day of <u>May</u>
2006
adl High Voltage, Inc.
Name of Corporation
Signature
President
Title

Exhibit 2

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Texas Articles of Incorporation dated June 18, 2003



SEP 1 0 2015

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

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. colporations Section P.O.Box 13697. Austin, Texas 78711-3697



Gwyn Shea Secretary of State

Office of the Secretary of State

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CERTIFICATE OF INCORPORATION OF

adl High Voltage, Inc. Filing Number: 800215618

The undersigned, as Secretary of State of Texas, hereby certifies that Articles of Incorporation for the above named corporation have been received in this office and have been found to conform to law.

Accordingly, the undersigned, as Secretary of State, and by virtue of the authority vested in the Secretary by law, hereby issues this Certificate of Incorporation.

Issuance of this Certificate of Incorporation does not authorize the use of a name in this state in violation of the rights of another under the federal Trademark Act of 1946, the Texas trademark law, the Assumed Business or Professional Name Act, or the common law.

Dated: 06/18/2003

Effective: 06/18/2003



Augn Shea.

Gwyn Shea Secretary of State

PHONE(512) 463-5555 Prepared by: Katy Blaylock

Come visit us on the internet at http://www.sos.state.tx.us/ FAX(512) 463-5709

TTY7-1-1

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JUN 18 2003

Articles of Incorporation

Corporations Section

of

adl High Voltage, Inc.

ARTICLE ONE

The name of the Corporation is adl High Voltage, Inc.

ARTICLE TWO

The period of its duration is perpetual.

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ARTICLE THREE

The purpose for which the Corporation is organized is the transaction of any and all lawful business for which a corporation may be incorporated under the Texas Business Corporation Act.

ARTICLE FOUR

The aggregate number of shares which the Corporation shall have authority to issue is One Thousand (1,000). The shares shall have a par value of One Dollar (\$1.00).

ARTICLE FIVE

The Corporation will not commence business until it has received for the issuance of its shares consideration of the value of \$1,000.00, consisting of money, labor done or property actually received.

Articles of Incorporation of adl High Voltage, Inc., Page 1

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ARTICLE SIX

The street address of its initial Registered Office, and the name of its initial Registered Agent at this address, is as follows:

المان المرجب

U ULL DELUMIN

Lawyer's Aid Service, Inc. 408 West 17th Street, Suite 101 Austin, Texas 78701

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ARTICLE SEVEN

The number of initial Directors is one. The name and address of the initial director is:

Andrew D. Lecce 4706 Abbott Avenue Arlington, Texas 76018

ARTICLE EIGHT

The name and address of the Incorporator is:

Marilyn S. Hershman 408 W. 17th Street, Suite 101 Austin, Texas 78701-1207 (512) 474-2002

IN WITNESS WHEREOF: I have hereunto set my hand this 18th day of June, 2003.

Marilyn S. Heistman

Marilyn S. Hershman, Incorporator

Articles of Incorporation of adl High Voltage, Inc., Page 2

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Exhibit 3

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Documentation from each NGDC other than National Fuel Gas indicating that no bond is required



SEP 1 0 2015

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU



A NiSource Company

April 20, 2015

Cheryl Lecce President ADL High Voltage, Inc. 629 Deerwood Lane Keller, TX 76248

Dear Ms. Lecce:

We are pleased that ADL High Voltage, Inc. has applied for a license to provide Natural Gas Broker/Marketer Services on the distribution system of Columbia Gas of Pennsylvania, Inc. ("Columbia Gas").

Under Paragraph 2.4.5 of the Rules Applicable to Distribution Service section of the Tariff of Columbia Gas, ADL High Voltage, Inc. could be required to provide to Columbia Gas a bond or other financial security instrument in an amount that Columbia Gas determines to be appropriate. ADL High Voltage, Inc. has indicated only brokering and consulting services will be provided. Therefore, we have determined at this time that ADL High Voltage, Inc. does not need a bond or other financial security requirement to provide broker natural gas services to Columbia Gas customers.

If the creditworthiness requirement or Columbia Gas' exposure to ADL High Voltage, Inc. changes in the future, Columbia Gas might deem it appropriate to require ADL High Voltage, Inc. to provide a bond or other financial security instrument.

Please feel free to contact me at 614-460-6841 should you have any questions regarding a bond or other financial security instrument requirements of Columbia Gas.

Sincerely,

Michele Laddel

Michele Caddell Manager of Choice and Transportation Support Services

www.exeloncorp.com



PECO Energy Company Gas 2301 Market Street, S9-3 Philadelphia, PA 19101

June 30, 2015

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Cheryl Lecce President ADL High Voltage, Inc. Keller, Texas 76244

Re: Bonding Requirements

Dear Cheryl Lecce:

PECO is aware that ADL High Voltage, Inc. has applied for a license to provide brokering and consulting services to commercial and industrial customers on the distribution system of PECO.

In making such an application, ADL High Voltage, Inc. could be required to provide to PECO a bond or other acceptable financial security in an amount that PECO determines to be appropriate. ADL High Voltage, Inc. has indicated that it intends to provide only brokering and consulting services to commercial and industrial customers will not take title to any delivered natural gas; nor will accept any customer payments or deposits. Therefore, PECO has determined at this time that ADL High Voltage, Inc. does not need a bond or other financial security requirement, since they are not directly engaging in business with PECO and only providing brokering or consulting services to PECO customers. However, if the services provided by ADL High Voltage, Inc. or the creditworthiness requirement for PECO's exposure to ADL High Voltage, Inc. changes in the future, PECO reserves the right to require ADL High Voltage, Inc.to provide a bond or other financial security instrument.

If you should have any questions regarding this matter, please contact Chris Sauerbaum at 215-841-6422 or myself at 215-841-6452.

Respectfully submitted,

Carlos P. Thille

Carlos P. Thillet Manager, Gas Supply and Transportation 2301 Market St S9-1 Philadelphia, Pa 19103



375 N. Shore Drive, Suite 600 Pillsburgh, PA 15212

Lynda W. Petrichevich Director, Rates, Planning and Forecasting

Peoples Service Company LLC Phone: 412-208-6528; Fax: 412-208-6577 Email: Ipetrichevich@peoples-gas.com

April 20, 2015

Cheryl Lecce President ADL High Voltage, Inc. P. O. Box 1569 Keller, TX 76244-1569

Dear Ms. Lecce:

We are pleased that ADL High Voltage, Inc. has applied for a license to provide natural gas broker/marketer services on the Peoples Group of Companies. Specifically you have requested to be licensed as a supplier on the distribution systems of Peoples Natural Gas Company LLC, Peoples TWP, and Peoples Natural Gas LLC – Equitable Division ("the Companies").

Since ADL High Voltage, Inc. is not currently operating, and has no immediate plan to operate a Pool on the Peoples systems, we have determined at this time that ADL High Voltage, Inc. does not need a bond or other financial security requirement to provide these services to the Company's customers.

If the creditworthiness requirement or the Company's exposure to ADL High Voltage, Inc. provision of services on the Peoples' system changes in the future, the Companies may deem it appropriate to require a bond or other financial instrument.

If you have any questions feel free to contact me at 412-208-6528 or by email at Lynda.W.Petrichevich@peoples-gas.com.

Sincerely,

Lynda W. Petrichevich Director – Rates, Planning and Forecasting Peoples Natural Gas Company LLC

Cc: Steven Kolich Carol Miller

Philadelphia Gas Works



800 W. Montgomery Ave., Philadelphia, PA 19122 Telephone: 215-236-0500

April 22, 2015

Ms. Cheryl Lecce President ADL High Voltage, Inc. 629 Deerwood Lane Keller, TX 76248

Re: Security Requirement Bond for ADL High Voltage, Inc.

Dear Ms. Lecce:

Philadelphia Gas Works ("PGW") is aware that ADL High Voltage, Inc. has filed an application with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania and specifically within the services territory of Philadelphia Gas Works.

As you know, in making such an application, ADL High Voltage, Inc. must furnish acceptable security to each utility where ADL High Voltage, Inc. will do business. As such, under its tariff, Philadelphia Gas Works could require ADL High Voltage, Inc. to provide a bond or other financial security instrument in an amount that Philadelphia Gas Works determines to be appropriate.

However, you have indicated, and it is Philadelphia Gas Works' understanding, that ADL High Voltage, Inc. intends only to provide natural gas aggregating, brokering and consulting services at this time. You have stated that, in performing these services, ADL High Voltage, Inc. will never take title to any delivered natural gas.

Based upon your representations, Philadelphia Gas Works has determined that, at this time, ADL High Voltage, Inc. does not need to post a bond or other form of security to operate in its service territory. If the services provided by ADL High Voltage, Inc., should change, Philadelphia Gas Works reserves the right to require security ADL High Voltage, Inc. as it deems appropriate.

If you have any questions concerning the foregoing, please contact me at (215) 684-6278.

Sincerely.

Nicholas LaPergola Director Gas Supply, Transportation & Control

NL:b



UGI Utilities, Inc. 2525 North 12th Street Suite 360 Post Office Box 12677 Reading, PA 19612-2677

9/3/2015 Cheryl Lecce President P. O. Box 1569 Keller, Texas 76244-1569

RE: ADL Group Inc. application to serve as a Natural Gas Broker

Dear Ms. Lecce,

Based on your assertion that ADL High Voltage, Inc ("ADL") is applying with the State of Pennsylvania to operate as a natural gas broker/marketer, UGI Utilities Inc. ("UGIU") has concluded that ADL will not need to post security with UGI-Central Penn Gas ("CPG"), UGI-Penn Natural Gas ("PNG") or UGI Utilities Gas Division ("UGI"). This is based on the declaration that ADL will not be taking title to gas or directly serving end use customers. This also assumes that ADL will be acting in conjunction with a licensed Natural Gas Supplier who has been approved by the Pennsylvania Public Utility Commission to serve in the applicable UGIU service territories and who has posted the required financial security as specified in the respective UGIU tariffs. If ADL wishes to directly serve Choice customers in the service territories of UGI, PNG and/or CPG in the future as a natural gas supplier, it will have to post security as specified in the respective UGI tariffs prior to the commencement of the service.

Please feel free to contact me with any additional questions that you may have.

Sincerely, E. Lulif

David E. Lahoff ⁷ Manager, Tariff & Supplier Administration UGI Utilities, Inc.



VALLEY ENERGY

523 S. Keystone Avenue, P.O. Box 340, Sayre, PA 18840 800/998-4427 • 570/888-9664 • FAX 570/888-6199

April 21, 2015

VIA EMAIL

Cheryl Lecce, President ADL High Voltage, Inc. P.O. Box 1569 Keller, TX 76244 cdlecce@highvoltageco.com

Dear Ms. Lecce:

We understand that ADL High Voltage, Inc. has applied with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania including our company's service area.

Because ADL High Voltage, Inc. intends to only provide natural gas aggregating, brokering and consulting services at this time, we have determined that ADL High Voltage, Inc. will not be required to post a bond or other form of financial security instrument to provide these services in our service area. However, if the services provided change in the future, we reserve the right to require security from ADL High Voltage, Inc. as deemed appropriate.

If you have any questions, please contact Mrs. Marjorie Johnston at 570-888-9664.

Sincerely,

Robert J. Crocker President & CEO

RJC/ss

cc: M. Johnston, Valley Energy

Exhibit 4

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Communication from National Fuel Gas regarding bonding requirements

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PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

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Cheryl Lecce

From:	Beverly Hogan <hoganb@natfuel.com></hoganb@natfuel.com>
Sent:	Wednesday, April 22, 2015 12:48 PM
То:	cdlecce@highvoltageco.com
Cc:	Dave Wolford
Subject:	Bond Requirements for PA PUC NGS License App
Attachments:	image001.png

Cheryl,

This is in response to your email to Dave Wolford of National Fuel Gas Distribution Corporation regarding a bonding letter.

Within the Natural Gas Supplier(NGS) License Application Package (see below - Section 6 Proof of Service page 10), there is a certificate of service that has to be submitted stating that each of the Natural Gas Distribution Companies that Applicant proposes to provide service in has to be sent a copy of the application and attachments. Once we receive a copy of ADL High Voltage, Inc.'s application that is filed or going to be filed with the Pennsylvania Public Utility Commission ("PUC"), a bonding letter will be provided to you via email and/or postal service to submit to the Commission. Upon discussion with the PUC, it is my understanding that the bonding letters (Section 7), as well as the proof of publications (Section 12), can be submitted at a later date than when the original application is submitted.

Thank you,

Bev Hogan National Fuel Gas Distribution Corporation Transportation Services Help Desk (716) 857-7232 Fax (716) 857-7479 Email: <u>tsssupport@natfuel.com</u>

6. Proof of Service

b.) NGDCs: Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Natural Gas Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each NGDC is as follows.

-----Original Message-----From: Cheryl Lecce [mailto:cdlecce@highvoltageco.com] Sent: Monday, April 20, 2015 11:06 AM To: Dave Wolford Subject: Bond Requirements for PA PUC NGS License App

***** THIS IS AN EXTERNAL EMAIL, DO NOT OPEN LINKS OR ATTACHMENTS UNLESS YOU TRUST THE SENDER *****

Good morning Mr. Wolford.

ADL High Voltage, Inc. is in the process of filing the Pennsylvania Public Utility Commission Natural Gas Supplier License Application Package.

Could you please provide me with the necessary bond/security requirements needed in order to complete our application?

Thank you so much for your help.

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Cheryl Lecce I President P. O. Box 1569 | Keller, Texas 76244-1569 O: 817.281.0829 | F: 817.281.1170 cdlecce@highvoltageco.com <mailto:cdlecce@highvoltageco.com> www.yourenergypartners.com <http://www.yourenergypartners.com/> Exhibit 5

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Financial Statements





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Tax Certification Statement





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Exhibit 7

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Business Plan



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ADL High Voltage Incorporated S alerent **Business** Plan _____ () June 2003 -==+0 This document is "confidential" and is the sole property of ADL High Voltage Incorporated

Table of Contents

- Overview
- Attractive Energy Market
- Deregulation of Electricity Market
 - Deregulation of Natural Gas Market
- Management Team
- Business Model Strategy
- • Sales and Marketing Strategy
- Staffing and Training
 - Strategic Alliance
 - 90 Day Start-up Plan

Overview

ADL High Voltage Incorporated Company was established in June, 2003. High Voltage's headquarters will be Arlington, Texas.

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- High Voltage's primary business is to sell electricity and natural gas to end-use commercial and industrial customers in Texas, then expand into other viable markets throughout the US.
- Texas deregulated its electricity market on January 1, 2002. HVUM is seeking certification as a Retail Electric Provider (REP) in Texas.
- Texas law only allows companies with an REP certificate to buy electricity from the wholesale market and resell it to end-use customers.
- In addition to selling electricity, High Voltage will begin natural gas sales to retail customers in the third quarter of 2004.
  - High Voltage expects to be serving 150 customers by 2005 with revenues in excess of \$1 million (.00005% of the total market).

## Deregulation of the Electricity Market

- In June 1999, the Texas Legislature passed Senate Bill 7, which allows electric choice for more than 6.2 million Texas consumers, which began in 2002. In 1996 Pennsylvania enacted its own legislation.
- The Texas Electric Competition Act:

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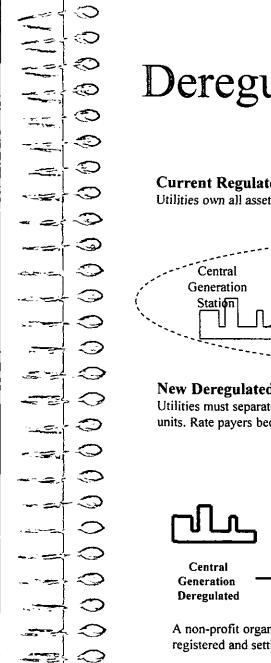
- Requires investor-owned utility companies to break into several new companies
- Allows the retail sale of electricity to become deregulated
- Allows new retail/service companies to sell electricity, provide customer service and handle billing
- The old utilities still exist as the entities that maintain and manage the delivery of electricity (the electric wires that come to your home / business). This function remains regulated.
- Several other states have enacted electricity legislation including Pennsylvania with full competition expected by December, 2010 and Ohio, Massachusetts, Maryland, New York and New Jersey and California.
  - Competition has created many good paying jobs and has lowered cost while providing customer flexibility to manage budget.

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# Deregulation of the Electricity Market

What remains the same?

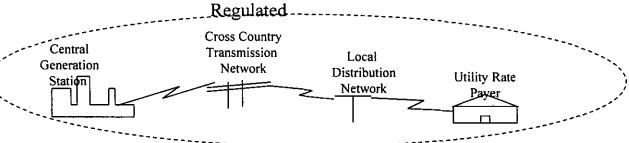
Traditionally, a local electric utility generates, or purchases from another generator, electricity and delivers it to homes and businesses. The transmission and distribution, or actual delivery of energy, have not changed. The local electric "wires" company, an affiliate of the current electric utility, still provides energy over the same poles and wires used today, and will continue to be regulated by the Public Utility Commission.



## Deregulation of the Electricity Market

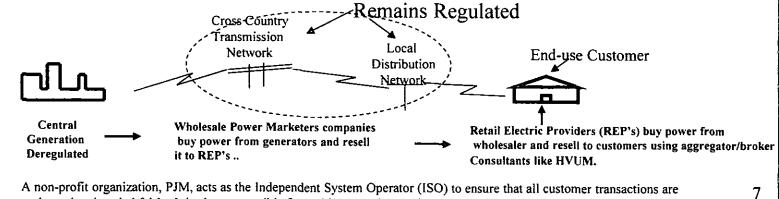
#### **Current Regulated Model**

Utilities own all assets (generation / transmission & distribution) rate payers have no choice.



#### **New Deregulated Model**

Utilities must separate into regulated (transmission & distribution) and non-regulated (generation and retail) business units. Rate payers become customers and can choose their electric provider.



registered and settled fairly. It is also responsible for making sure that all the electricity goes where it's supposed to go.

## Natural Gas Deregulation

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- In the early 1990's traditional Pennsylvania natural gas monopolies offered commercial and industrial customers a choice on purchasing their natural gas from third party suppliers to compete for their business.
- The old utilities still exist as the entities that maintain and manage the delivery of natural gas (the gas pipelines that come to your home / business). This function remains regulated.
- Several other states have enacted natural gas legislation including Ohio, NY, NJ, MD, with full competition.
- Competition has created product options and alternatives to buying from traditional utility source and created many good paying jobs and has lowered cost while providing customer flexibility to manage budget.
  - The fracking of natural gas in the Marcellus Shale should fuel growth for new supply over the next 20 to 30 years.
  - The future long term cost of natural gas should stabilize as a result of advanced fracking technologies to uncover this shale supply of gas.

## Management Team

## Cheryl Lecce - President and Co-Founder

Ms. Lecce is the founder and Senior Vice President of ADL High Voltage, Inc.

 – a National Energy Brokerage Platform arranging energy transactions between buyers and sellers in the commercial and Industrial Markets. Founded in 2003, High Voltage has acted as agent on behalf of Fortune 500 energy companies facilitating both sales and management in the Texas market.

Ms. Lecce has been in the energy business since 1990 working for oil and gas producers brining a vast amount of experience in transaction management. Cheryl Lecce brings direct "energy management" marketing experience, a national network of contacts and tireless work ethic to High Voltage, which has provided a basis for business development since it's inception.

High Voltage has identified and plans to fill three positions in Sept 2003. The new hires included will consist of Senior Sales & Marketing Executives and Sales support. The positions will be employee and contractor positions: All of these individuals are currently in senior positions and have extensive knowledge of the Texas energy market, customers and PUC/ERCOT regulatory protocols.

# Business Model Strategy

- High Voltage plans to represent the energy interests of end use customers as a broker, consultant or aggregator focusing on commercial and industrial customers.
- High Voltage will acquire such customers through various marketing activities including advertising, telesales, radio and one on one relationship building sales.

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- High Voltage's role will be to focus on customer acquisition and customer care activities.
  - High Voltage believes that securing multiple retail natural gas and electric supplier contracts will be very important to the success of High Voltage. High Voltage will offer clients a wide range of choices from national energy providers to local one state niche electrical providers.
- High Voltage's plan is to acquire a retail customer base that will consume 2,000,000 MCF of natural gas by 20017. That equates to approximately \$400,000 in additional revenues.
  - High Voltage anticipates exit opportunity in five to seven years after the first wave of retail consolidation begins. If High Voltage does not utilize outside capital this could extend any exit strategy plans for an undetermined time frame.

# Sales and Marketing Strategy

### Sales Plan (cost leader / integrated supply & demand strategy)

#### 2003 Sales Strategy One – Direct sales to commercial customers

- This strategy involves utilization of telemarketing, direct mail and a field sales force (full-time and contract) to win business.
- High Voltage will deploy a low cost model which will allow us to reach commercial customers more cost effectively than our competition.

#### - 2004 Sales Strategy Two – "Middle Man" sales channel

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- The key to this strategy is to take advantage of the state law that allows <u>only state</u> <u>certified retail energy providers (REP's)</u> to assist customers with the purchase of natural gas and electricity from the wholesale market and offer solutions to end-users. High Voltage will represent the client and manage the purchase of natural gas and electricity for our clients.
- High Voltage sees them as a very low cost customer acquisition channel that will provide a unique transaction to several niche customer segments. Buy out opportunities exist today that will increase High Voltage's customer base and revenues.

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# Sales and Marketing Strategy

Customer segments

- Small to Medium Commercial Good margins. Customers in this market will be expensive for most REPs to reach through direct sales channels.
  - HVUM believes that this segment will have a high propensity to band together and buy their energy as a group as a way to lower their cost. Some segments in this class have desirable load factors of 45 to 60 %.
- Large Commercial Lower profit margins, large energy spender, unsophisticated energy buyer.
  - HVUM's offer is targeted at the bargain shopper who is looking for a "wholesale" transaction. Load factors 45 to 80%. Customer less than 100,000 MCF.
- **Industrial** Highly competitive. HVUM's efforts in this segment will be secondary to the commercial segments.
  - The strategy is to capture a dozen or so customers utilizing experience and implementing a consultative approach.
  - HVUM will deploy a low cost, direct marketing tactic to attract value shoppers to HVUM.
  - HVUM will also recruit and/or sponsor customer aggregation companies who will consolidate into large groups.

## Staffing and Training

### Staffing/Simplified business relationship

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- High Voltage will provide a "low cost" customer relationship, appealing to customers who want a low cost, hassle-free electricity or natural gas transaction.
- High Voltage will staff using recruiters, various advertising mediums and referrals through various networking organizations.
- High Voltage will hire a few key employees and use a contract sales force with incentives to achieve growth at the lowest cost price point we can deliver in the energy market place without lowering the quality of our product offer.
- Each key employee will be qualified in the energy industry with a minimum of five years of deregulated sales and/or management experience.
- All Contract sales and customer service applicants should have a minimum of three years sales or service experience in a service based industry or a minimum of five years in a professional work place environment in one or more positions.
- High Voltage will accommodate any and all applicants with the hope of providing someone a good opportunity.
- High Voltage will perform periodic reviews on it's hiring standards and work place requirements for excellence.

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## Staffing and Training

Training for High Voltage staff and contractors

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- High Voltage offers each contractor 3 days of training that covers all facets of the job description.
- Key employees and contract sales force sit in on two days of classroom activities that cover the history of energy deregulation, customer choice and how it affects the customer and other informational topics that enable us to deliver a high level of excellence to our customers. following information
- Contract sales representatives spend a minimum of 1 day in the field trained by a senior member making sales calls in the public.
- High Voltage management reviews field training and will spend additional time in field with contractor as needed.

# Strategic Alliance Options

### - Energy Efficiency Companies

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- Registered Engineering Companies.
  - High Voltage has strategic alliances with various engineering companies to assist end use customers needing technical assistance.
  - High Voltage provides the customer with the expertise to review and evaluate various retrofit projects that would reduce the customers carbon footprint.
  - Types of offers include
    - Lighting
    - Air conditioning/Chiller retrofits
    - Power factor correction and predominant use studies
    - Energy Audits
  - These value added services enable us to assist the client in reducing cost and consumption which is a win/win for the customer and the environment.

# 90 Day Start-up Plan

- Fund the company through personal savings. Proceeds will go to toward the following activities:
  - Complete management team
  - Start and complete state certification
  - Begin design and implementation of business processes and technology infrastructure.
  - Finalize strategic alliance with Independent Engineer Associations.
  - Design and implement Sales & Marketing plans
  - Complete first round staff needs
- Complete final business plan and launch business in August 2003.
  - In addition, we would want a specified amount in reserve credit for M&A and future growth opportunities throughout Texas & beyond

### Exhibit 8

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Resumes

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#### Cheryl D. Lecce 629 Deerwood Lane Keller, TX 76248 817.281.0829

#### ADL High Voltage Incorporated

#### August 2003 - Present

President

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- Responsible for the development of consulting/broker model to assist commercial and industrial customers with energy procurement in deregulated energy markets throughout the United States.
- Developed expansion platform to deliver energy efficiency services to customers to increase the peak proficiency of their operations resulting in reduction in carbon footprint.
- Negotiated terms and conditions with retail energy providers to offer a variety of choices for customers in all geographic regions if the country where customer choice is available.
- Successfully manage more than \$400 million in energy contracts for large C&I customers on a national level.

#### JRB Oil and Gas

#### March 1990 – July 2011

Revenue Accounting Manager

- Responsible for the management and distribution of oil and gas revenue royalty payments for 600 wells in Eastland, Jack and Comanche counties.
- Royalty owner customer relations with key clients and joint interest ownership partners with an emphasis on raising capital for enhanced oil recovery and drilling.
- Maintain royalty ownership records and filings with the Texas Railroad Commission on both existing and shut-in assets.
- Responsible for natural gas severance tax filings on new and existing production.
- Reconcile accounts receivable records and bank statements
- Responsible for any and all upgrades to the revenue system

#### Andrew D. Lecce 629 Deerwood Lane Keller, Texas 76248 214.460.2506

#### ADL High Voltage, Inc.

#### 8/2003 - Present

#### **Business Development Director**

- Responsible for the formation of a sales acquisition channel to represent large commercial and industrial customers with the procurement of natural gas and electricity throughout various regions of the country.
- Managed and coordinated large group of independent contractors marketing customer choice programs to small commercial businesses in ERCOT region.
- Managed and negotiated electricity contracts for large commercial and industrial clients including several fortune 500 companies located in Texas.
- Developed sales training programs to assist contract sales representatives in gaining extensive knowledge of deregulation and customer satisfaction.

#### TXU Energy Services

9/1998 - 7/2003

#### **Regional Sales and Area Coordinator**

- Top sales performer in 2001 and 2002 and a repeat member of TXU's President Club while securing in excess of \$5 million in sales revenue as a large commercial and industrial account manager.
- Implemented regional sales strategies in accordance with TXUES national strategies for large commercial and Industrial markets and positioned TXUES to be successful in these markets as they continued to open up for deregulation.
- Positioned TXUES for marketing electricity and communications to these markets.
- Managed commercial sales team in maintaining high service levels and quality standards to meet or exceed customer's expectations.

#### PG&E Energy Services

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- Responsible for the sale of Natural Gas to commercial and industrial accounts in Northeast Region of the United States.
- Successfully managed portfolio with a gross margin value of \$1.2 million.
- Earned to sales honors in Mid-Atlantic region and placed second in the company receiving national sales recognition award.

#### Enron Capital & Trade Resources

- Responsible for the sale of Natural Gas to commercial and industrial accounts in Pennsylvania.
- Scheduled and managed monthly gas nominations for clients on multiple LDC"s throughout Western Pennsylvania.
- Number one sales representative in Pittsburgh and number two in Region, averaging 15 new accounts a month while achieving a year end quota at 223% of my annual goal.

#### Roadway Express

- Negotiated freight delivery programs with commercial and industrial manufacturers for long haul transportation routes across United States.
- Responsible for total sales in excess of 7.5 million dollars.
- Achieved year end quota of 102 %, an increase of 6% from 1993.

#### 7-Up/Canada Dry Bottling

- Responsible for total sales and operation with sales in excess of 5 million dollars.
- Increased division sales by 10% compared to prior year by executing programs through the trade and sales force.
- Developed excellent business relationships with major key accounts in Pittsburgh area resulting in increased market share of inventory and feature ad activity for corporate brands.

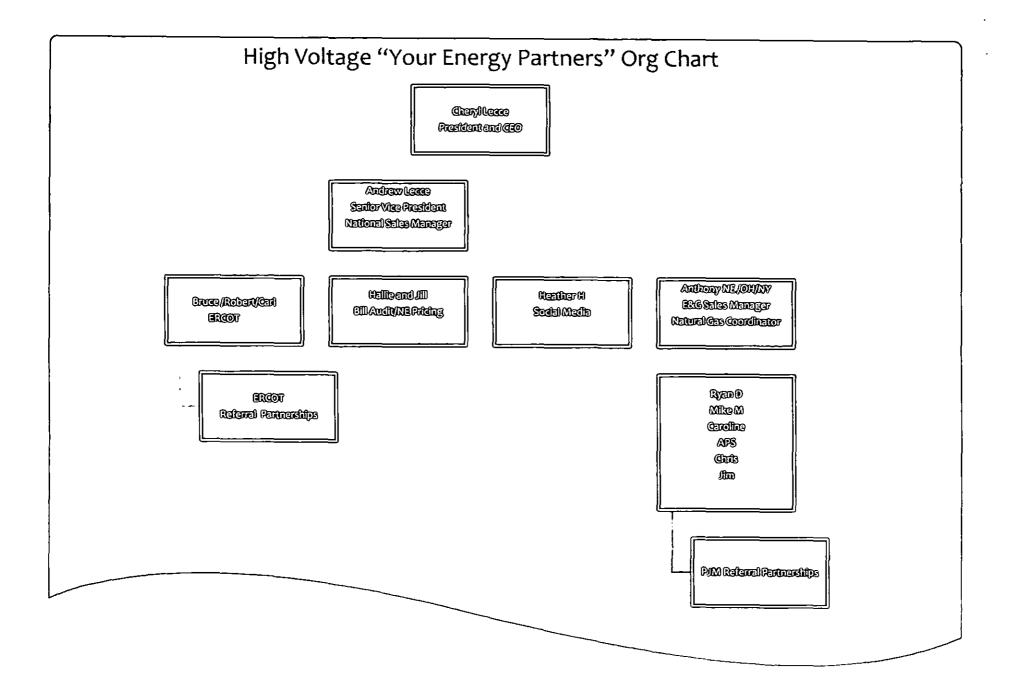
**Education**: Indiana University of Pa. - Bachelor of Science 1988 **Major:** Consumer Affairs Minor: Marketing

#### 8/1997-8/1998

#### 3/1993 - 11/1995

#### 1/1989 - 2/1993

#### 12/1995 - 7/1997



### Exhibit 9

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Application Affidavit

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PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

### APPLICATION AFFIDAVIT

State of Texas

#### SS.

### SEP 1 0 2015

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County of Tarrant

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Cheryl Lecce, Affiant, being duly sworn according to law, deposes and says that:

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She is the President of adl High Voltage, Inc.;

That she is authorized to and does make this affidavit for said Applicant;

That the Applicant herein adl High Voltage, Inc. has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an natural gas supplier pursuant to 66 Pa. C.S. § 2208 (c)(1).

That the Applicant herein adl High Voltage, Inc. has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein adl High Voltage, Inc. acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein adl High Voltage, Inc. acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of her knowledge, information, and belief, and that she expects said Applicant to be able to prove the same at hearing.

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Sworn and subscribed before me this 2820 10 day of

official administering oath

My commission expires (510118



### Exhibit 10

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**Operations Affidavit** 

## RECEIVED

### SEP 1 0 2015

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

### OPERATIONS AFFIDAVIT

State of Texas

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SS.

County of Tarrant

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Andrew D. Lecce, Affiant, being duly sworn according to law, deposes and says that:

He is the Director of Business Development of adl High Voltage, Inc.;

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That he is authorized to and does make this affidavit for said Applicant;

That adl High Voltage, Inc., the Applicant herein, acknowledges that Applicant may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That adl High Voltage. Inc., the Applicant herein, asserts that it possesses the requisite technical, managerial, and financial fitness to render natural gas supply service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That adl High Voltage, Inc., the Applicant herein, certifies to the Commission that it is subject to, will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 22 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28 shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional natural gas sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

That adl High Voltage, Inc., the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506 and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Office of Communications or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his knowledge, information, and belief.



Signature of Affiant

\_, 20\_5 . Sworn and subscribed before me this 28 day of AUGUST

nature of official administering oath

My commission expires D5/D1/18

### Exhibit 11

•

**Proof of Publication** 

## RECEIVED

### SEP 1 0 2015

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

#### No.

#### Term.

#### Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss <u>H. Java</u>, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the <u>regular</u> editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

#### 23 of June, 2015

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PG Publishing Company Sworn to and subscribed before me this day of: June 23, 2015

COMMONWEALTH OF PENNSYLVANIA NOTARIAL SEAL Linda M. Gaertner, Notary Public City of Pittsburgh, Allegheny County My Commission Expires Jan. 31, 2019 VENDER, PENNISYLVANIA ASSOCIATION OF NOTARIES

STATEMENT OF ADVERTISING COSTS High Voltage PO BOX 1569 ATTN: Cheryl Lecce

TX 76244-1569

KELLER

To PG Publishing Company

Total ----- \$405.00

#### **Publisher's Receipt for Advertising Costs**

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforsaid advertising and publication costs and certifies that the same have been fully paid.

Office 34 Boulevard of the Allies PITTSBURGH, PA 15222 Phone 412-263-1338 PG Publishing Company, a Corporation, Publisher of Pittsburgh Post-Gazette, a Newspaper of General Circulation

By \_\_\_\_\_ Berniel J. Arbuiline

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

#### COPY OF NOTICE OR PUBLICATION

| PENNSYLVANIA                                            |
|---------------------------------------------------------|
| PUBLIC UTILITY COMMISSION                               |
| NOTICE                                                  |
| Application of adl High                                 |
| Voltage, Inc. For Approval<br>To Offer, Render, of Fur- |
| In Offer Render of FUI                                  |
| nish Natural Gas Services                               |
| as a Supplier, Aggregator,                              |
| ar Markara (Broba, Co                                   |
| or Marketer/Broket En-<br>gaged in The Business Of      |
| Saged in the business of                                |
| Supplying Natural Gas                                   |
| Supply Services, To The<br>Public In The Common-        |
| Public In The Commun-                                   |
| wealth Of Pennsylvania.                                 |
| adl High Voltage, Inc.                                  |
| will be filing an application                           |
| with the Pennsylvania                                   |
| Public Utility Commission<br>("PUC") for a license to   |
| ("PUC") for a license to                                |
| provide natural gas supply                              |
| services as a broker/mar-                               |
| kerecengaged in the basi-                               |
| ness of providing natural gas services. Adl High        |
| gas services, adl High                                  |
| Voltage, Inc. proposes to                               |
| sell natural gas and relat-                             |
| ed services in all Natural                              |
| Gas Distribution Company                                |
| prose under the menicione                               |
| areas under the provisions<br>of the new Natural Gas    |
|                                                         |
| Choice and Competition                                  |
| Act.                                                    |
| The PUC may consider                                    |
| this application without a                              |
| hearing, Protests directed                              |
| to the technical or finan-                              |
| cial fitness of adl High                                |
| Voltage, Inc. may be filed                              |
| within 15 days of the date                              |
| of this notice with the Sec-                            |
| retary of the PUC, P.O. Box<br>3265, Harrisburg, PA     |
| 3265, Harrisburg, PA                                    |
| 17105-7265 YALLCHAUR                                    |
| send copies of any protest                              |
| to adl High Voltage, Inc.'s                             |
| attorney at the address                                 |
| listed below.                                           |
| By and through Counsel;                                 |
|                                                         |
| Gary W. Boyle<br>adl High Voltage, Inc.                 |
| 629 Deerwood Lane                                       |
| Keller, Texas 76248                                     |
| (505) 989-5057                                          |
|                                                         |
| FAX (817) 281-1170                                      |
|                                                         |
|                                                         |
|                                                         |
|                                                         |
|                                                         |
|                                                         |

Attorney For

#### PROOF OF PUBLICATION In THE ERIE TIMES-NEWS

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#### COMBINATION EDITION

| ADL High Voltage, Inc.<br>PO Box 1569<br>Keller TX 76244-1569<br>REFERENCE: 85577 142690<br>PUC Notice<br>STATE OF PENNSYLVANIA).<br>COUNTY OF ERIE ) SS:<br>Debra McGraw, being duly sworn, deposes and<br>says that: (1) he/she is a designated agent of the<br>Times Publishing Company (TPC) to execute Proofs<br>of Publication on behalf of the TPC; (2) the TPC,<br>whose principal place of business is at<br>205 W. 12th Street, Erie, Pennsylvania, owns and<br>publishes the Erie Times-News, established October<br>2, 2000, a daily newspaper of general circulation,<br>and published at Erie, Erie County Pennsylvania;<br>(3) the subject notice or advertisement, a true<br>and correct copy of which is attached, was<br>published in the regular edition(s) of said<br>newspaper on the date(s) referred to below.<br>Affiant further deposes that he/she is duly<br>authorized by the TPC, owner and publisher of the<br>Erie Times-News, to verify the foregoing statement<br>under oath, and affiant is not interested in the<br>subject matter of the aforesaid notice or<br>advertisement, and that all allegations in the<br>foregoing statement as to time, place and<br>character of publication are true.<br>PUBLISHED ON: 06/17/15<br>TOTAL COST: \$409.00 AD SPACE: 0 Lines<br>FILED ON: 06/17/15 | PENNSYLVANIA<br>PUBLIC UTILITY COMMISSION<br>Notices and High Violage, Inc. Series proved in Outer Mender or<br>Manual Case Series and Subject Series and Series and Series and<br>Applications of all High Violage, Inc. Series proved in Outer Mender or<br>Manual Case Series and Subject Series and Series and<br>Manual Case Series and Subject Series and Manual Case Series and<br>Manual Case Series and Subject Series and Manual Case Series and<br>Manual Case Series and Subject Series and Manual Case Series and<br>Manual Case Series and Subject Series and Manual Case Series and<br>Manual Case Series and Manual Case Distribution Company area under the<br>Approximation of the new National Case Series and Instructions and<br>manual Case Series and Manual Case Distribution Company area under the<br>Approximation of the new National Case Science and Competition Act.<br>The PUC may consider this application without a manning. Protests directed<br>to the technical or Financial Gase Series within the Premate Instruction<br>manual Case Series and Manual Case Distribution Company area under the<br>Approximation of the new National Case Science and Competition Act.<br>The PUC may consider this application without a manning. Protests directed<br>to the technical or Financial Gase Series with the Sterement Company area under the<br>Approximation of the new National Case Science and Competition Act.<br>(BY Derry contained the Sterement Company area under the<br>Approximation of the new Young Courses Case YM (Byte<br>Manual Case Series and Case Series and Course of the Sterement<br>(Case Derry Contained The Sterement Courses Case YM (Byte)<br>(Case Series Act (Bit) 2013 (Case Series and Course of Case Series of Case<br>(Case Series Case Series and Case Series Case Series (Case Series Case Series (Case Series Case Series (Case Series (Case Series (Case Series (Case Series (Case Series (Case |
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| Sworn to and subscribed before me this <u>17</u> day of <u>June</u><br>Affiant: <u>Dibta Mc Juan</u>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | _2015<br><u>COMMONWEALTH OF PENNSYLVANIA</u><br>Notarial Seal                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| NOTARY: Barbona Mano                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | Barbara J. Moore, Notary Public<br>City of Erie, Erie County<br>My Commission Expires March 23, 2016<br>MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |

The Patriot-News Co. 2020 Technology Pkwy Suite 300 Mechanicsburg, PA 17050 Inquiries - 717-255-8213

The Patriot-News Now you know

ADL HIGH VOLTAGE P.O. BOX 1569

**KELLER** 

TΧ 76244

#### \* THE PATRIOT NEWS THE SUNDAY PATRIOT NEWS

#### Proof of Publication

Under Act No. 587, Approved May 16, 1929 Commonwealth of Pennsylvania, County of Dauphin} ss

Amy Kotula, being duly sworn according to law, deposes and says:

That she is a Staff Accountant of The Patriot News Co., a corporation organized and existing under the laws of the Commonwealth of Pennsylvania, with its principal office and place of business at 2020 Technology Pkwy, Suite 300, in the Township of Hampden, County of Cumberland, State of Pennsylvania, owner and publisher of The Patriot-News and The Sunday Patriot-News newspapers of general circulation, printed and published at 1900 Patriot Drive, in the City, County and State aforesaid; that The Patriot-News and The Sunday Patriot-News were established March 4th, 1854, and September 18th, 1949, respectively, and all have been continuously published ever since;

That the printed notice or publication which is securely attached hereto is exactly as printed and published in their regular daily and/or Sunday/ Community Weekly editions which appeared on the date(s) indicated below. That neither she nor said Company is interested in the subject matter of said printed notice or advertising, and that all of the allegations of this statement as

alace and the racter of publication are true; and

- 494-999-46-6

 Ability of the second and the s Notary Public

COMMONWEALTH OF PENNSYLVANIA NOTARIAL SEAL Sheryl Marie Leggore, Notary Public Hampden Twp., Cumberland County My Commission Expires July 16, 2018 NEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

#### Proof of Publication in The Philadelphia Daily News Under Act. No 587, Approved May 16, 1929

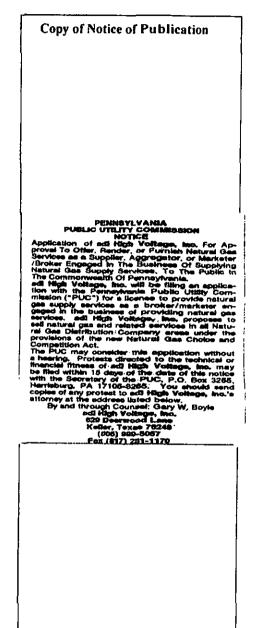
#### STATE OF PENNSYLVANIA COUNTY OF PHILADELPHIA

\_\_\_\_\_ \_\_\_\_\_

> Florence Devlin being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

> > July 15, 2015

Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.



Janual gelin

Sworn to and subscribed before me this 15<sup>th</sup> day of July, 2015.

Mary Annos Notary Public

My Commission Expires:

SOMMONWEAL TH OF PENMEYL VANA NOTARIAL SEAL VARY ANNE LOGAN, Notary Public Sty of Philadelphia, Phile, County V Commission Expires March 30, 2017

| County of Cambria                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | <b>}</b> SS                                                                          |                                    |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------|------------------------------------|
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | )<br>On this 9th day of                                                              | Iuly A. D. 2015.                   |
| PENNSYLVANIA                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | before me, the subs                                                                  |                                    |
| COMMISSION                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Public in and for s                                                                  |                                    |
| Application of add High                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | State, personally a                                                                  |                                    |
| Voltage, Inc. For Approval                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | · Christine Marhefk                                                                  |                                    |
| To Offerits Render, or j<br>Furnish jr (Natural ' Gas )                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | sworn according to                                                                   |                                    |
| Furnishi); (Natural Gas)<br>Services as a Supplier,<br>Aggrégator, a lor                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | says as Classified A<br>Manager of the Tr                                            |                                    |
| Marketer/Broker Bagaged<br>In The Business Of 7                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | Johnstown, PA, a                                                                     |                                    |
| Supplying Natural Gas                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | general circulation                                                                  |                                    |
| Supplying Natural Gas<br>Supply Services, To The<br>Public Martin The<br>Commonwealth Service Of                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | "Newspaper Adver                                                                     |                                    |
| Commonwealth 1144 Of<br>Pennsvivania                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | merger September                                                                     | 8, 1952, of the                    |
| Pennsylvania<br>Jadia High, Voltage, Inc. Will                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Johnstown Tribune                                                                    |                                    |
| bë filing an application with "<br>Une Pennsylvania - Public                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | December 7, 1853;                                                                    | v                                  |
| , Utility) Commission; ("PUC")<br>6 for # a% license = to provide                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Johnstown Democr<br>Manuk 5 - 1962                                                   | ut, established                    |
| published contrast in the Count                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | March 5, 1863,<br>w. of Cambria, and Commonwoalth of Pau                             | ward ward a stand                  |
| engaged in the business of the the during the the above                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | y of Cumbrut, and Commonweath of 1 en<br>ematter published in said publication in th | nsytvanta ana<br>1e regular issues |
| that the annexis providing it instantial a gas otice in the above<br>of The Johnston services; ad High Voltage. Johnstown, PA,                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | on July 9, 2015; and that the Affiant is n                                           | ot interested in                   |
| THE SUDJECT HUDWARS ANALYMIAA SALVERSUN SUDERUSINE (UUA LD)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | at all of the allegations as to time, place a                                        | nd character of                    |
| said publicatio all Natural Gas Distribution for the provisions, of the new                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Nhaid: Ma                                                                            | 1 112                              |
| provisions of the hew<br>Natural, Gas, Choice, and                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | TUUSTUR MAR                                                                          | hella                              |
| Competition Act.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                      |                                    |
| The PUC may consider this                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | STATEMENT OF ADVERTISIN                                                              | G COSTS                            |
| application. ;; without {} a<br>hearing; Protests directed<br>uto the technication fine india                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                      |                                    |
| Sworn and Subly fitne technication financial<br>9th day of July-the image filed within 15<br>2th day of July-the image filed within 15                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                      |                                    |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | 55 Lines @ \$2.50 per line                                                           | 137.50                             |
| notice with the Secretary of the secretary of the public secretary of the public secretary of the secretary | 0.00 Inches @ \$25.00 per inch                                                       | 0.00                               |
| the PUC, P.O.; Box 3265,<br>Harrisburg, PA, 17:105-<br>3265, TVou should serid                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Notary Fee                                                                           | 5,00                               |
| / / / / CODIES OF ANY DIOTEST TO ADD                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | <u>Clerical Fee</u>                                                                  | 2,50                               |
| attomey: at the address                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Total Cost                                                                           | 145.00                             |
| anoniey, and ine , additions i                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                      |                                    |
| - listed below.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | VANIA                                                                                |                                    |
| Histed below, A. A. Carrier, EALTH OF PENNSYL<br>By and through Counsel: <u>EALTH OF PENNSYL</u><br>Garv W. Böyle <sup>1</sup> (Notarial Seal                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                      |                                    |
| - iisted below, ····································                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                      | Johnstown PA                       |
| By and through Counsel: <u>EALTH OF PENNSYL</u><br>Gary W. Boyle (*) <u>EALTH OF PENNSYL</u><br>adl. High Voltage, Inc. , , , o Observations Chattan                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | To The Tribune-Democrat.                                                             |                                    |

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#### PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

•

a newspaper of general circulation, hereby acknowledges receipt of the aforesaid and publication costs and certifies that the same has been duly paid.

(Name of Newspaper)

By\_\_\_\_\_

#### The Scranton Times (Under act P.L. 877 No 160. July 9,1976) Commonwealth of Pennsylvania, County of Lackawanna

HIGH VOLTAGE CHERYL LECCE PO BOX 1569 KELLER TX 76244-1569

Account # 609783 Order # 81796628 Ad Price: 206.20

LEGAL NOTICE PENNSYLVANI

Gina Krushinski

Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

07/28/2015

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true Mark

Sworn and subscribed to before me this 28th day of July A.D., 2015

(Notary Public)

COMMONWEALTH OF PENNSYLVANIA Notarial Seal Carol Demas, Notary Public City of Scranton, Lackawanna County My Commission Expires Jan. 21, 2018 MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES LEGAL NOTICE PENNSYLVANIA PUBLIC UTILITY SCOMMISSION NOTICE Application 10f adli High: Voltage; Inc. For Approval To Otler, Render, or Fur-nish Natural Gas Services as a Suppli-er, Aggregatori or. Marketer/Broker En-gaged in The Business: Of Supplying Natural Gas Supply Services. To The Public In The Commonwealth Of Pann-sylvania-vill 11 - 2000 add High: Voltage, Inc. will be filling an application, with the Pannsylvania Pub-lic. Utility, Commission ("PUC") for a li-cense to provide natural, gas supply gas-services, add High, Voltage, Inc. proposes to sellinatural Gas Distribu-tion Company areas under the provi-sions of the new, Natural Gas Choice services to the new, Natural Cas Choice

LEGAL NOTICE

and Competition Act.

The PUC may consider this application, without a hearing. Protests directed to the technical or financial fitness of adi HighlyOtage, financial fitness of adi the secretary of the PUC P.O. Box 3265. Harrisburg, PA 17105-3265, You should send; copies of any iprotest ito adi High. Vohage, inc.'s attorney at the address listed bolow. By and through Counsel: Gary,W. Boyle ) add Nigh Voltage, finc. 629 Desrwood Lane Keller, Texas 76248 (603) 989-5067 ( FAX (817) 281-1170

## RECEIVED

#### CERTIFICATE OF SERVICE

SEP 10 2015

PA PUBLIC UTILITY COMMISSION

SECRETARY'S BUREAU On this the 28th day of August 2015, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as a Natural Gas Supplier and all attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:

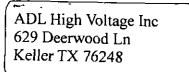
| Office of Consumer Advocate<br>5th Floor, Forum Place<br>555 Walnut Street<br>Harrisburg, PA 17120                        | Office of the Attorney General<br>Bureau of Consumer Protection<br>Strawberry Square, 14th Floor<br>Harrisburg, PA 17120 |
|---------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------|
| Office of the Small Business Advocate<br>Commerce Building, Suite 1102<br>300 North Second Street<br>Harrisburg, PA 17101 | Commonwealth of Pennsylvania<br>Department of Revenue<br>Bureau of Compliance<br>Harrisburg, PA 17128-0946               |
| Columbia Gas of PA, Inc.                                                                                                  | Equitable Gas Company                                                                                                    |
| Thomas C. Heckathorn                                                                                                      | Lynda Petrichevich                                                                                                       |
| 200 Civic Center Drive                                                                                                    | 375 North Shore Drive, Suite 600                                                                                         |
| Columbus, OH 43215                                                                                                        | Pittsburg, PA 15212                                                                                                      |
| PH: 614.460.4996                                                                                                          | PH: 412.208.6528                                                                                                         |
| FAX: 614.460.6442                                                                                                         | FAX: 412.208.6577                                                                                                        |
| theckathorn@nisource.com                                                                                                  | e-mail: Lynda.w.petrichevich@peoples-                                                                                    |
|                                                                                                                           | gas.com                                                                                                                  |
| National Fuel Gas Distribution Corp.                                                                                      | PECO                                                                                                                     |
| David D. Wolford                                                                                                          | Carlos Thillet, Manager, Gas Supply and                                                                                  |
| 6363 Main Street                                                                                                          | Transportation                                                                                                           |
| Williamsville, NY 14221                                                                                                   | 2301 Market Street, S9-2                                                                                                 |
| PH: 716.857.7483                                                                                                          | Philadelphia, PA 19103                                                                                                   |
| FAX: 716.857.7479                                                                                                         | PH: 215.841.6452                                                                                                         |
| e-mail: wolfordd@natfuel.com                                                                                              | Email: carlos.thillet@exeloncorp.com                                                                                     |
| The Peoples Natural Gas Company                                                                                           | Philadelphia Gas Works                                                                                                   |
| I ynda Petrichevich                                                                                                       | Nicholas LaPergola                                                                                                       |

٦ Lynda Petrichevich Nicholas LaPergola 800 West Montgomery Avenue 375 North Shore Drive, Suite 600 Pittsburg, PA 15212 Philadelphia, PA 19122 PH: 412.208.6528 PH: 215.684.6278 email: nicholas.lapergola@pgworks.com FAX: 412.208.6577 e-mail: Lynda.w.petrichevich@peoples-gas.com Peoples TWP LLC (Formerly T. W. Phillips) UGI Lynda Petrichevich David Lahoff 2525 N. 12th Street, Suite 360 375 North Shore Drive, Suite 600 Reading, PA 19612-2677 Pittsburg, PA 15212 PH: 412.208.6528 PH: 610.796.3520 FAX: 412.208.6577 Email: dlahoff@ugi.com

| e-mail: Lynda.w.petrichevich@peoples-gas.com |                                            |
|----------------------------------------------|--------------------------------------------|
| UGI Central Penn                             | UGI Penn Natural                           |
| David Lahoff                                 | David Lahoff                               |
| 2525 N. 12 <sup>th</sup> Street, Suite 360   | 2525 N. 12 <sup>th</sup> Street, Suite 360 |
| Reading, PA 19612-2677                       | Reading, PA 19612-2677                     |
| PH: 610.796.3520                             | PH: 610.796.3520                           |
| Email: <u>dlahoff@ugi.com</u>                | Email: <u>dlahoff@ugi.com</u>              |
|                                              |                                            |
| Valley Energy Inc.                           |                                            |
| Robert Crocker                               |                                            |
| 523 South Keystone Avenue                    |                                            |
| Sayre, PA 18840-0340                         |                                            |
| PH: 570.888-9664                             | 1                                          |
| FAX: 570.888.6199                            |                                            |
| email: <u>bobc@ctenterprises.org</u>         |                                            |

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Cheryl Ledce President adl High Voltage, Inc.



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RETURN RECEIPT REQUESTED



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