

Tel: 617 224 9900 Fax: 617 224 9910 www.enernoc.com info@enernoc.com

VIA U.S. MAIL

Rosemary Chiavetta, Secretary Pennsylvania Public Utility Commission 400 North Street Harrisburg, PA 17120

September 22, 2015

RE: Docket No. A-2015-2484489 Natural Gas Supplier License Application of EnerNOC, Inc.

To the Commission:

In response to the letter dated August 26, 2015 and received on September 3, 2015, enclosed please find the requested supplemental information to EnerNOC's Natural Gas Supplier License Application. This supplemental information is intended to complete EnerNOC's application.

Pursuant to 62.105(b), EnerNOC filed a Notice of Material Change to Pending Application in a letter dated July 9, 2015. This letter notified the Commission of EnerNOC's updated Regulatory Contact and an updated list of EnerNOC's Principal Officers and Directors. In a letter dated July 17, 2015, EnerNOC provided an updated list of EnerNOC's Principal Officers and Directors. Copies of these letters are attached as **Attachment A**.

Please contact me should you have any questions pertaining to this matter.

Thank you,

Virginia Fuller

Manager of Regulatory Compliance

(617) 692-2446

vfuller@enernoc.com

Tel: 617 224 9900 Fax: 617 224 9910 www.enernoc.com info@enernoc.com

EnerNOC, Inc.'s Responses to the Pennsylvania Public Utilities Commission Energy Industry Group Data Request

1. Reference application, Section 2.b., Business Entity and Department of State Filings – Applicant has not provided its original Articles of Incorporation for its company. The original Articles of Incorporation are required to complete the application process.

As confirmed with Commission Staff, the Certificate of Incorporation provided in EnerNOC's original application include the Company's Articles of Incorporation. The Certificate of Incorporation is dated May 23, 2007, reflecting EnerNOC's Initial Public Offering. EnerNOC was incorporated in Delaware on June 5, 2003.

2. Reference application, Section 5.c., Customer/Regulatory/Prosecutory Actions – Applicant has not provided information regarding the various Securities related issues regarding its company. Are any of the securities issues ongoing? If not, how were they resolved? Applicant can elect to mark submitted information as confidential.

All relevant Customer/Regulatory/Prosecutory Actions were listed in 5.c. of EnerNOC's original application. These Actions were summarized in Section 5.d.

Regarding the Commission's question on securities related issues, EnerNOC is subject to legal proceedings, claims and litigation arising in the ordinary course of business. All legal proceedings have been described in EnerNOC's SEC filings. In addition to ordinary-course litigation, we were a party to the litigation described in our June 2009 quarterly report (copied below). All claims in this matter were dismissed, as noted in the **bolded** sections of the paragraphs below.

The following is an excerpt from EnerNOC's Form 10-Q for the quarterly period ended June 30, 2009.

On September 24, 2008, three class action complaints were consolidated by the United States District Court for the District of Massachusetts into a single action and an amended consolidated complaint was filed. The lead plaintiff alleged, among other things, that the defendants made false and misleading statements and failed to disclose material information in various SEC filings and other public statements. The defendants filed a motion to dismiss the amended consolidated complaint on October 27, 2008 and a hearing on the motion was held on January 8, 2009. The Court took defendants' motion under advisement following the hearing. On February 10, 2009, the lead plaintiff voluntarily dismissed all claims against the underwriter defendants. On May 13, 2009, the Court granted our motion and the motion of the individual defendants to dismiss and dismissed the lead plaintiff's remaining claims without leave to replead. On June 9, 2009, the Court endorsed a joint stipulation in which the lead plaintiff abandoned any right of appeal and the parties agreed not to pursue sanctions in connection with the suit.



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On May 14, 2008, a complaint was filed derivatively on our behalf in the Court against several of our officers and directors and certain of the underwriters of our follow-on public offering. The derivative plaintiff sought, among other relief, unspecified damages, injunctive relief, restitution, disgorgement, fees and such other relief as the Court may have deemed proper. On August 12, 2008, the Court stayed our obligation to respond to the derivative complaint pending a denial, if any, of the defendants' motion to dismiss the amended consolidated class action complaint. On June 25, 2009, following dismissal of the amended consolidated class action complaint, the derivative plaintiff voluntarily dismissed the action without prejudice.

3. Reference application, Section 7.a., Bonding – Applicant has listed that the NGDC bonding requirements were not necessary in its application packet. However, letters from the NGDCs indicating the company's bonding requirements must be provided with the application. Please resubmit this application page with the statements removed. Also, Applicant must provide the NGDC bonding letters for all NGDCs.

Please find the corrected page, including Section 7.a., from EnerNOC's original application packet as **Attachment B**.

Also, please find the Bonding Letters received by EnerNOC from Columbia Gas, National Fuel, PECO, Peoples Gas (representing all Peoples Gas divisions), Philadelphia Gas Works, UGI (representing all UGI divisions), and Valley Energy as **Attachment C**. Each of these letters indicated that the NGDC determined that EnerNOC, Inc. does not need a bond or other financial security requirement to provide natural gas services as a Natural Gas Broker.

4. Reference application, Section 8.a., Experience, Plan, Structure – Applicant has indicated that it operates in various other states, including Maryland, Massachusetts, New Hampshire, New Jersey, Ohio, and Rhode Island. Please provide copies of these licenses.

Please find copies of the applicable registration confirmation of EnerNOC's natural gas procurement related registrations in Maryland, Massachusetts, New Hampshire, New Jersey, Ohio, and Rhode Island **Attachment D**.

I, <u>Virginia Fuller</u>, hereby state that the facts above set forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa.C.S. § 4904 (relating to unsworn falsification to authorities).

Virginia Fuller, Manager of Regulatory Compliance

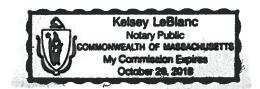


Tel: 617 224 9900 Fax: 617 224 9910 www.enernoc.com info@enernoc.com

Sworn and subscribed before me this 22 day of 2015.

Signature of official administering oath

My commission expires October 20, 2018





Tel: 617 224 9900 Fax: 617 224 9910 www.enernoc.com info@enernoc.com

ATTACHMENT A



Tel; 617 224 9900 Fax: 617 224 9910 www.enernoc.com info@enernoc.com

VIA U.S. MAIL

COPY

Secretary's Bureau
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
2nd Floor, Room-N201
400 North Street
Harrisburg, PA 17120

July 9, 2015

RE: EnerNOC, Inc. Material Change to Pending Application for License for Natural Gas Generation Supplier (Broker) Registration, Docket A-2015-2484489

To the Commission:

Pursuant to § 62.105(b), this letter serves as EnerNOC, Inc.'s ("EnerNOC" or "Company") notification of change to the information included in the Company's registration application as filed. EnerNOC's Regulatory Contact Person should be changed as follows:

Virginia Fuller, Manager of Regulatory Compliance One Marina Park Drive, Suite 400, Boston, MA 02210 Phone (617) 692-2629 Toll-Free Phone (888) 363-7662 Fax (617) 692-2740 vfuller@enernoc.com

Also, attached please find an updated list of EnerNOC's Principal Officers and Directors.

Please contact me if the Commission requires any additional information.

Sincerely,

Virginia Fuller

Manager of Regulatory Compliance

(617) 692-2629

vfuller@enernoc.com

ATTACHMENT

Tel: 617 224 9900 Fax: 617 224 9910 www.enernoc.com info@enernoc.com

EnerNOC's Principal Officers and Directors

The business address for all below officers and directors: EnerNOC, Inc., One Marina Park Drive, Suite 400, Boston, MA 02210

Officers:

Timothy Healy, CEO
David Brewster, President
Neil Moses, COO, CFO & Treasurer
Matthew Cushing, Vice President, Secretary, and General Counsel
Michael Berdik, Assistant Secretary

Directors:

Timothy Healy, Chair David Brewster James Baum Arthur Coviello Richard Dieter TJ Glauthier Kirk Arnold



Tel: 617 224 9900 Fax: 617 224 9910 www.enernoc.com info@enernoc.com

VIA U.S. MAIL

COPY

Secretary's Bureau
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
2nd Floor, Room-N201
400 North Street
Harrisburg, PA 17120

July 17, 2015

RE: EnerNOC, Inc. Material Change to Pending Application for License for Natural Gas Generation Supplier (Broker) Registration, Docket A-2015-2484489

To the Commission:

Pursuant to § 62.105(b), this letter serves as EnerNOC, Inc.'s ("EnerNOC" or "Company") notification of change to the information included in the Company's registration application as filed. Mr. Gary Haroian was appointed to EnerNOC's Board of Directors on July 13, 2015. Attached please find an updated list of EnerNOC's Principal Officers and Directors.

Please contact me if the Commission requires any additional information.

Sincerely,

Virginia Fuller

Manager of Regulatory Compliance

(617) 692-2629

vfuller@enernoc.com

ATTACHMENT

Tel: 617 224 9900 Fax: 617 224 9910 www.enernoc.com info@enernoc.com

EnerNOC's Principal Officers and Directors

The business address for all below officers and directors: EnerNOC, Inc., One Marina Park Drive, Suite 400, Boston, MA 02210

Officers:

Timothy Healy, CEO
David Brewster, President
Neil Moses, COO, CFO & Treasurer
Matthew Cushing, Vice President, Secretary, and General Counsel
Michael Berdik, Assistant Secretary

Board of Directors: Timothy Healy, Chair David Brewster James Baum Arthur Coviello Richard Dieter TJ Glauthier Kirk Arnold Gary Haroian



Tel: 617 224 9900 Fax: 617 224 9910 www.enernoc.com info@enernoc.com

ATTACHMENT B

Lynda Petrichevich David Lahoff 2525 N. 12th Street, Suite 360 375 North Shore Drive, Suite 600 Reading, PA 19612-2677 Pittsburg, PA 15212 PH: 412.208.6528 PH: 610.796.3520 FAX: 412.208.6577 Email: dlahoff@ugi.com e-mail: Lynda.w.petrichevich@peoples-gas.com **UGI Central Penn UGI Penn Natural** David Lahoff David Lahoff 2525 N. 12th Street, Suite 360 2525 N. 12th Street, Suite 360 Reading, PA 19612-2677 Reading, PA 19612-2677 PH: 610.796.3520 PH: 610.796.3520 Email: dlahoff@ugi.com Email: dlahoff@ugi.com Valley Energy Inc. Robert Crocker 523 South Keystone Avenue Sayre, PA 18840-0340 PH: 570.888-9664 FAX: 570.888.6199 email: bobc@ctenterprises.org

7. FINANCIAL FITNESS

- a. BONDING: In accordance with 66 Pa. C.S. Section 2208(c), no natural gas supplier license shall be issued or remain in force unless the applicant or holder furnishes a bond or other security in a form and amount to ensure the financial responsibility of the natural gas supplier. The criteria used to determine the amount and form of such bond or other security shall be set by each NGDC. Provide documentation that the applicant has met the security requirement of each NGDC by submitting the letters sent by the NGDCs stating what bonding amounts they require.
- **b. FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:
 - Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
 - Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
 - Applicant's accounting statements, including balance sheet and income statements for the past two years.
 - Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
 - A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
 - Audited financial statements exhibiting accounts over a minimum two year period.
 - Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.



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ATTACHMENT C

Philadelphia Gas Works



800 W. Montgomery Ave., Philadelphia, PA 19122 Telephone: 215-236-0500

May 14, 2015

Mr. Thomas R. Birmingham Director of Regulatory Compliance EnerNOC, Inc. One Marina Park Drive, Suite 400 Boston, MA 02210

Re: Security Requirement Bond – EnerNOC, Inc.

Dear Mr. Birmingham:

Philadelphia Gas Works ("PGW") is aware that EnerNOC, Inc. has filed an application with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania and specifically within the services territory of Philadelphia Gas Works.

As you know, in making such an application, EnerNOC, Inc. must furnish acceptable security to each utility where EnerNOC, Inc. will do business. As such, under its tariff, Philadelphia Gas Works could require EnerNOC, Inc. to provide a bond or other financial security instrument in an amount that Philadelphia Gas Works determines to be appropriate.

However, you have indicated, and it is Philadelphia Gas Works' understanding, that EnerNOC, Inc. intends only to provide natural gas aggregating, brokering and consulting services at this time. You have stated that, in performing these services, EnerNOC, Inc. will never take title to any delivered natural gas.

Based upon your representations, Philadelphia Gas Works has determined that, at this time, EnerNOC, Inc. does not need to post a bond or other form of security to operate in its service territory. If the services provided by EnerNOC, Inc. should change, Philadelphia Gas Works reserves the right to require security from EnerNOC, Inc. as it deems appropriate.

If you have any questions concerning the foregoing, please contact me at (215) 684-6278.

Sincerely,

Nicholas F. LaPergola

Director

Gas Supply, Transportation & Control

NFL:b



May 18, 2015

Thomas R. Birmingham EnerNOC inc 1 Marina Park Dr, Suite 400 Boston, MA 02210

Dear Thomas R. Birmingham:

We are pleased that EnerNOC inc has applied for a license to provide Natural Gas Broker/Marketer Services on the distribution system of Columbia Gas of Pennsylvania, Inc. ("Columbia Gas").

Under Paragraph 2.4.5 of the Rules Applicable to Distribution Service section of the Tariff of Columbia Gas, EnerNOC inc could be required to provide to Columbia Gas a bond or other financial security instrument in an amount that Columbia Gas determines to be appropriate. EnerNOC inc has indicated only brokering and consulting services will be provided. Therefore, we have determined at this time that EnerNOC inc does not need a bond or other financial security requirement to provide broker natural gas services to Columbia Gas customers.

If the creditworthiness requirement or Columbia Gas' exposure to EnerNOC inc changes in the future, Columbia Gas might deem it appropriate to require EnerNOC inc to provide a bond or other financial security instrument.

Please feel free to contact me at 614-460-6841 should you have any questions regarding a bond or other financial security instrument requirements of Columbia Gas.

Sincerely,

Michele Caddell

Manager of Choice and Transportation Support Services



May 18th, 2015

Matthew J. Cushing, General Counsel, Vice President and Secretary EnerNOC, Inc. One Marina Park Drive Suite 400 Boston, MA 02210

Re: Security Requirement for EnerNOC, Inc.

Dear Matthew,

National Fuel Gas Distribution Corporation ("NFGDC") is aware EnerNOC, Inc. (ENI) has filed an application with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania and specifically within the service territory of NFGDC.

As you know, in making such an application, ENI must furnish acceptable security to each utility where ENI will do business. As such, under its tariff, NFGDC could require ENI to provide a bond or other financial security instrument in an amount that NFGDC determines to be appropriate.

However, you have indicated, and it is NFGDC's understanding that ENI intends only to provide natural gas aggregating, brokering and consulting services at this time. You have stated that, in performing these services, ENI will never take title to any delivered natural gas.

Based upon your representations, NFGDC has determined that, at this time, ENI does not need to post a bond or other form of security to operate in its service territory. However, if the services provided by ENI change in the future, NFGDC reserves the right to require security from ENI as it deems appropriate.

If you have any questions concerning the foregoing, please contact me at 716-857-7599.

Nathan E. Barnes

Transportation Services Department

September 8, 2015

VIA EMAIL

Meghan Hester, Regulatory Compliance Analyst EnerNOC, Inc. 1414 Key Highway, Suite 200 M Baltimore, MD 21230 mhester@enernoc.com

Dear Ms. Hester:

We understand that EnerNOC, Inc. has applied with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania including our company's service area.

Because EnerNOC, Inc. intends to only provide natural gas aggregating, brokering and consulting services at this time, we have determined that EnerNOC, Inc. will not be required to post a bond or other form of financial security instrument to provide these services in our service area. However, if the services provided change in the future, we reserve the right to require security from EnerNOC, Inc. as deemed appropriate.

If you have any questions, please contact Mrs. Marjorie Johnston at 570-888-9664.

Sincerely,

Robert J. Crocker President & CEO

RJC/ss

cc: M. Johnston, Valley Energy

Lynda W. Petrichevich
Director, Rates and Requirements Forecasting

Peoples Service Company LLC Phone: 412-208-6528; Fax: 412-208-6577 Email: lpetrichevich@peoples-gas.com

September 8, 2015

EnerNOC, Inc.
Virginia Fuller
Manager of Regulatory Compliance
One Marina Park Drive, Suite 400
Boston, MA 02210

Dear Ms. Fuller:

We are pleased that EnerNOC, Inc. has applied for a license to provide natural gas broker/marketer services on the Peoples Group of Companies. Specifically you have requested to be licensed as a supplier on the distribution systems of Peoples Natural Gas Company LLC, Peoples TWP, and Peoples Natural Gas LLC – Equitable Division ("the Companies").

Since EnerNOC, Inc. is not currently operating, and has no immediate plan to operate a Pool on the Peoples systems, we have determined at this time that EnerNOC, Inc. does not need a bond or other financial security requirement to provide these services to the Company's customers.

If the creditworthiness requirement or the Company's exposure to EnerNOC, Inc. provision of services on the Peoples' system changes in the future, the Companies may deem it appropriate to require a bond or other financial instrument.

If you have any questions feel free to contact me at 412-208-6528 or by email at Lynda.W.Petrichevich@peoples-gas.com.

Sincerely,

Lynda W. Petrichevich

Director – Rates and Requirements Forecasting

Peoples Natural Gas Company LLC

Cc: Steven Kolich

Carol Miller

PECO Energy Company Gas 2301 Market Street, S9-3

Philadelphia, PA 19101

www.exeloncorp.com

An Exelon Company

September 21, 2015

Virginia Fuller Manager of Regulatory Compliance EnerNOC, Inc. One Marina Park Drive, Suite 400 Boston, MA 02210

Re: Bonding Requirements

Dear Virginia Fuller:

PECO is aware that EnerNOC, Inc. has applied for a license to provide brokering and consulting services to commercial and industrial customers on the distribution system of PECO.

In making such an application, EnerNOC, Inc. could be required to provide to PECO a bond or other acceptable financial security in an amount that PECO determines to be appropriate. EnerNOC, Inc. has indicated that it intends to provide only brokering and consulting services to commercial and industrial customers will not take title to any delivered natural gas; nor will accept any customer payments or deposits. Therefore, PECO has determined at this time that EnerNOC, Inc. does not need a bond or other financial security requirement, since they are not directly engaging in business with PECO and only providing brokering or consulting services to PECO customers. However, if the services provided by EnerNOC, Inc. or the creditworthiness requirement for PECO's exposure to EnerNOC, Inc. changes in the future, PECO reserve the right to require EnerNOC, Inc. to provide a bond or other financial security instrument.

If you should have any questions regarding this matter, please contact Chris Sauerbaum at 215-841-6422 or myself at 215-841-6452.

Respectfully submitted,

Carlos O. Thille

Carlos P. Thillet

Manager, Gas Supply and Transportation

2301 Market St S9-1 Philadelphia, Pa 19103



9/4/2015

UGI Utilities, Inc. 2525 North 12th Street Suite 360 Post Office Box 12677 Reading, PA 19612-2677

Thomas R. Birmingham
Director of Regulatory Compliance
EnerNOC, Inc.
One Marina Park Drive
Suite 400
Boston, MA 02210

RE: ENEROC Group Inc. application to serve as a Natural Gas Broker

Dear Mr. Birmingham,

Based on your assertion that EnerNOC, Inc. ("ENEROC") is applying with the State of Pennsylvania to operate as a natural gas broker/marketer, UGI Utilities Inc. ("UGIU") has concluded that ENEROC will not need to post security with UGI-Central Penn Gas ("CPG"), UGI-Penn Natural Gas ("PNG") or UGI Utilities Gas Division ("UGI"). This is based on the declaration that ENEROC will not be taking title to gas or directly serving end use customers. This also assumes that ENEROC will be acting in conjunction with a licensed Natural Gas Supplier who has been approved by the Pennsylvania Public Utility Commission to serve in the applicable UGIU service territories and who has posted the required financial security as specified in the respective UGIU tariffs. If ENEROC wishes to directly serve Choice customers in the service territories of UGI, PNG and/or CPG in the future as a natural gas supplier, it will have to post security as specified in the respective UGI tariffs prior to the commencement of the service.

Please feel free to contact me with any additional questions that you may have.

Sincerely,

David E. Lahoff

Manager, Tariff & Supplier Administration

UGI Utilities, Inc.



Tel: 617 224 9900 Fax: 617 224 9910 www.enernoc.com info@enernoc.com

ATTACHMENT D



THE COMMONWEALTH OF MASSACHUSETTS DEPARTMENT OF PUBLIC UTILITIES

CHARLES D. BAKER GOVERNOR

KARYN E. POLITO LIEUTENANT GOVERNOR

MATTHEW A. BEATON SECRETARY OF ENERGY AND ENVIRONMENTAL AFFAIRS ONE SOUTH STATION BOSTON, MA 02110 (617) 305-3500 ANGELA M. O'CONNOR CHAIRMAN

JOLETTE A. WESTBROOK
COMMISSIONER

ROBERT E. HAYDEN
COMMISSIONER

May 18, 2015

Thomas R. Birmingham, Director EnerNOC, Inc.
One Marina Park Drive, Suite 400 Boston, MA 02210

Dear Mr. Birmingham,

The Department of Public Utilities ("Department") has reviewed your application for renewal of your **Electricity Broker License**, **EB-098**, and is pleased to inform you that your application for renewal has been approved.

As a condition of maintaining this license, you must file updated information within 30 days of any material or organic change in the information required by 220 C.M.R. § 11.05(2), and you must comply with all relevant requirements of G.L. c. 164 and the regulations promulgated thereunder, including 220 C.M.R. §§ 11.00, 12.00 et seq. Consistent with the information included with EnerNOC Inc.'s application, the activities the company is licensed to provide are limited to electricity broker services to commercial and industrial customers. If, at a later date, the Company seeks to provide electricity broker services to residential customers, it must first seek and obtain Department approval at the time of renewal of its license. If you decide to request renewal of your license next year, please submit renewal application no later than May 1, 2016. The renewal application is available at: www.mass.gov/dpu/suppliersandbrokers.

Mark D. Marini, Secretary

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
PUBLIC UTILITIES COMMISSION

IN RE: APPLICATION FOR AUTHORITY

DOCKET NO. 2379(V2)

AS GAS MARKETER FILED BY

ENERNOC, INC.

<u>ORDER</u>

WHEREAS, On October 8, 1996, the Rhode Island Public Utilities Commission

("Commission") adopted Rules and Regulations for Utility Interaction with Gas

Marketers¹; and

WHEREAS, The regulations set forth the entry requirements for gas marketers

and the standards of conduct for utilities with respect to gas marketers; and

WHEREAS, On August 1, 2012, EnerNOC, Inc. ("Company") filed with the

Commission its Statement of Business Operations for authorization to do business in

Rhode Island: and

WHEREAS, On September 26, 2012, the Division of Public Utilities and Carriers

filed a memorandum indicating that it had reviewed the Company's application, found it

to comport with the entry requirements established by the regulations and recommended

approval of the application; and

WHEREAS, The Commission finds that the Company's application meets the

regulatory requirements previously adopted;

Accordingly, it is

(20821) ORDERED:

¹ Effective October 29, 1996.

1

- 1) That the registration application filed by EnerNOC, Inc. is hereby approved.
- 2) That the Company shall comply with the standards of conduct and all other regulatory requirements.

EFFECTIVE AT WARWICK, RHODE ISLAND ON OCTOBER 4, 2012, PURSUANT TO OPEN MEETING DECISION. WRITTEN ORDER ISSUED ON OCTOBER 5, 2012.

PUBLIC UTILITIES COMMISSION.

Ella Germari, Chairman

Mary E. Bray Commissioner

Paul J. Roberti, Commissioner

W. KEVIN HUGHES CHAIRMAN

HAROLD D. WILLIAMS LAWRENCE BRENNER KELLY SPEAKES-BACKMAN

STATE OF MARYLAND



PUBLIC SERVICE COMMISSION

#10, 3/20/13 AM; ML#s 141686 and 143763 License Reference No.: IR-2783

March 20, 2013

Ken Schisler Senior Director of Regulatory Affairs EnerNOC, Inc. 101 Federal Street, Suite 1100 Boston, MA 02110

Dear Mr. Schisler:

On July 30, 2012, EnerNOC, Inc. ("Company") filed an Application for a license to supply natural gas or natural gas supply services in Maryland under COMAR 20.54. The Company proposes to provide natural gas supplier services in Maryland for commercial and industrial customers. Additional information was filed on November 20, 2012.

After considering this matter at the March 20, 2013 Administrative Meeting, the Commission granted the Company a license to supply natural gas or natural gas supply services in Maryland in accordance with its Application (License Reference Number IR-2783). The license granted by the Commission under this Letter Order is limited solely to natural gas supplier broker services to commercial and industrial customers. Additionally, the Company is directed to pay a civil penalty to the Commission in the amount of \$500.

Finally, the Company is reminded that it is under a continuing obligation to notify the Commission within 30 days of any changes to the information upon which the Commission relied in granting this license. A copy of the supplemental or updated information is required to be filed concurrently with the Office of People's Counsel.

By Direction of the Commission,

David J. Collos
Executive Secretary

DJC/st

cc: Gas Division

THE STATE OF NEW HAMPSHIRE

CHAIRMAN Amy L. Ignatius

COMMISSIONERS Robert R. Scott Martin P. Honigberg

EXECUTIVE DIRECTOR Debra A. Howland

11 OF TANKS

PUBLIC UTILITIES COMMISSION 21 S. Fruit Street, Suite 10 Concord, N.H. 03301-2429

February 14, 2014

TDD Access: Relay NH 1-800-735-2964

Tel. (603) 271-2431

FAX (603) 271-3878

Website: www.puc.nh.gov

Thomas R. Birmingham Director of Regulatory Compliance EnerNOC, Inc. One Marina Park Drive, Suite 400 Boston, MA 02210

Re: DM 14-043 EnerNOC, Inc.

Application to Renew Registration as Provider of Natural Gas Aggregation Service

Dear Mr. Birmingham:

On February 7, 2014, EnerNOC, Inc. submitted an application with the Commission to renew its registration as a provider of natural gas aggregation service. Staff reviewed the application and determined that it is complete.

The Commission approves your application to renew your registration as a provider of natural gas aggregation service, effective May 2, 2014. The registration is for a term of 5 years and expires at the end of business on May 1, 2019. Pursuant to N.H. Code Admin. Rule Puc 3003.05(a), you must submit your next renewal application at least 60 days prior to the expiration of the registration approved here, on or before March 3, 2019.

Please be aware that registered providers of natural gas aggregation service are subject to specific requirements contained in N.H. Code Admin. Rules Puc 3000 – Competitive Natural Gas Supplier and Aggregator Rules. These rules are available at: http://www.puc.nh.gov/Regulatory/Rules/PUC3000.pdf. Please also be aware that the Commission has opened Docket DRM 13-151 to consider revisions to these rules. All non-confidential filings in Docket DRM 13-151 can be found at http://www.puc.nh.gov/Regulatory/Docketbk/2013/13-151.html. The revised rules may contain different requirements for maintaining registration as a provider of electric aggregation service that might affect your registration.

Sincerely

Debra A. Howland
Executive Secretary

cc: Docket File

The Public Utilities Commission of Ohio Ohio Competitive Retail Natural Gas Aggregator/Broker Certificate

Renewal

Issued pursuant to Case Number(s): 12-2317-GA-AGG

is

Ohio Competitive Retail Natural Gas Aggregator/Broker Certificate Number: 12-269G (2)

Granted to: **EnerNoc, Inc.**

Whose office or principal place of business is located at: One Marina Park Drive, Suite 400, Boston, MA 02210

And is hereby certified to provide: **Retail Natural Gas Aggregator/Broker Services**within the state of Ohio, for a two-year period.

Certification Effective:
September 14, 2014 through September 14, 2016

The certification of Ohio competitive retail natural gas aggregators/brokers is governed by Chapter 4901:1-27 of the Ohio Administrative Code and section 4929.20 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) as well as those under law, are not met.

Certified entity is subject to all rules and regulations of the commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio

Dated: September 15, 2014

By Order of

The Public Utilities Commission of Ohio

Barcy F. McNeal, Secretary

Tanowa M. Troupe, Acting Secretary Felecia D. Burdett, Acting Secretary



STATE OF NEW JERSEY

Board of Public Utilities
44 South Clinton Avenue, 9th Floor
Post Office Box 350
Trenton, New Jersey 08625-0350
www.nj.gov/bpu/

Kristi Izzo Secretary of the Board Tel. # (609) 292-1599

April 23, 2014

Thomas R. Birmingham
Director of Regulatory Compliance
EnerNoc, Inc.
One Marina Park Drive, Suite 400
Boston, MA 02210

Re: Energy Agent, Private Aggregator and Energy Consultant Renewal Registrations

Dkt. Nos. EE14010011L & GE14010012L

Dear Mr. Birmingham:

Chris Christie

Governor

Kim Guadagno

Lt. Governor

In accordance with the Electric Discount and Energy Competition Act of 1999, N.J.S.A. 48:3-49 et seq., at its April 23, 2014 Agenda meeting, the New Jersey Board of Public Utilities renewed the REGISTRATIONS as an Energy Agent, Private Aggregator and Energy Consultant, to EnerNoc, Inc. Your registration numbers are EA-0211, PA-0122 and EC-0058.

The enclosed registrations are effective April 23, 2014 and expire on April 22, 2015. These registrations and the rights thereunder are **Non-Transferable**.

This letter is not an endorsement of, nor is it intended for use in, the marketing promotions of the registrant. Registrants shall comply with all applicable law, including the Electric Discount and Energy Competition Act, which prohibits the unauthorized change of a customer's energy provider and other fraudulent and illegal marketing activities.

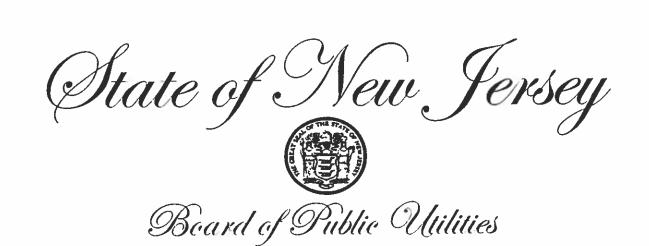
If you have any questions, please contact Anna Procopio at (609) 292-1681.

Sincerely,

Kristi Izzo

Secretary of the Board

/ac Encl.(s)



HEREBY REGISTERS

44 S. Clinton Ave., P.O. Box 350, Trenton, New Jersey 08625

EnerNOC, Inc.

309 Fellowship Road East Gate Center, Suite 200 Mount Laurel, New Jersey 08054

To conduct business in the State of New Jersey as an

Energy Agent

Kristi Izzo Secretary

Kristi Izzo Š

Registration No.

EA-0211

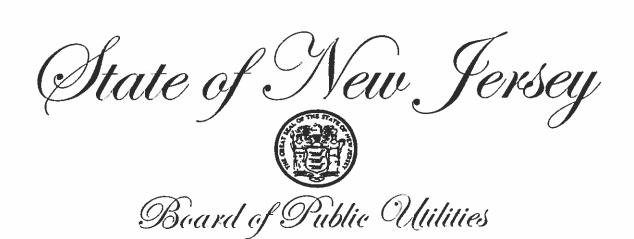
Effective Date:

April 23, 2014

Expiration Date:

April 22, 2015





44 S. Clinton Ave., P.O. Box 350, Trenton, New Jersey 08625

HEREBY REGISTERS

EnerNOC, Inc.

309 Fellowship Road East Gate Center, Suite 200 Mount Laurel, New Jersey 08054

To conduct business in the State of New Jersey as a

Private Aggregator



Kristi Izzo

Secretary of the Board

Registration No.

PA-0122

Effective Date:

April 23, 2014

Expiration Date:

April 22, 2015



44 S. Clinton Ave., P.O. Box 350, Trenton, New Jersey 08625

HEREBY REGISTERS

EnerNOC, Inc.

309 Fellowship Road East Gate Center, Suite 200 Mount Laurel, New Jersey 08054

To conduct business in the State of New Jersey as an

Energy Consultant



Kuster 1888

Kristi Izzo Secretary of the Board Registration No.

EC-0058

Effective Date:

April 23, 2014

Expiration Date:

April 22, 2015

Meghan Hester

From: Procopio, Anna <Anna.Procopio@bpu.state.nj.us>

Sent: Thursday, June 25, 2015 11:40 AM

To: Meghan Hester

Subject: RE: Status of EA-EC-PA Renewal Application - World Energy Solutions, Inc.

Meghan,

Per our conversation, applications normally take approximately 60 to 90 days to process but we are very behind on their review so it is taking longer. Please rest assured that since EnerNoc submitted their renewal application to our office on time, their registrations will remain in effect and in good standing until the Board acts on them.

Should you or any suppliers World Energy deals with have any questions concerning this matter, please contact me by email or telephone at 609-292-1681.

Thank you for your patience,

Anna Procopio
NJ Board of Public Utilities
Division of Audits/Licensing

From: Meghan Hester [mailto:mhester@enernoc.com]

Sent: Tuesday, June 23, 2015 3:35 PM

To: Procopio, Anna

Subject: Re: Status of EA-EC-PA Renewal Application - World Energy Solutions, Inc.

Ms. Procopio,

Thank you again for taking the time to speak with me today. I know your time is valuable!

Would you also be able to confirm EnerNOC's good standing with the NJ BPU? EnerNOC's application to renewal its registrations (Energy Agent (EA-0211), Private Aggregator (PA-0122), and Energy Consultant (EC-0058), Dockets EE12070627L and GE12070628L) was submitted in December of 2014.

Thank you again,

Meghan Hester 443-310-5772

On Jun 23, 2015, at 2:39 PM, Procopio, Anna Anna.Procopio@bpu.state.nj.us wrote:

Meghan,

Per our conversation, applications normally take approximately 60 to 90 days to process but we are very behind on their review so it is taking longer. Please rest assured that since World Energy Solutions submitted their renewal application to our office on time, their registrations will remain in effect and in good standing until the Board acts on them.

Should you or any suppliers World Energy deals with have any questions concerning this matter, please contact me by e-mail or telephone at 609-292-1681.

Thank you for your patience,

Anna Procopio

NJ Board of Public Utilities

Division of Audits/Licensing

From: Meghan Hester [mailto:mhester@enernoc.com]

Sent: Tuesday, June 23, 2015 11:34 AM

To: Procopio, Anna

Subject: Status of EA-EC-PA Renewal Application - World Energy Solutions, Inc.

Ms. Procopio,

Good morning! World Energy Solutions, Inc. submitted its EA-EC-PA Renewal Application via UPS (tracking no. 1Z665F1WNT92106543) on 5/13/15. Would you be able to help me determine the status of World Energy's application?

Thank you for your help,

Meghan Hester – Regulatory Compliance Analyst

EnerNOC, Inc. | 1414 Key Highway, Suite 200 M | Baltimore, MD 21230 o: 410.343.7221 | m: 443.310.5772 | f: 443.524.2887

mhester@enernoc.com | www.enernoc.com

EnerNOC – *get more* from energy

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