

October 15<sup>th</sup>, 2015

Pennsylvania Public Utility Commission - Secretary P.O. Box 3265 Harrisburg, PA 17105-3265

RE: Natural Gas Supplier License Application, Titan Energy - New England, Inc.

Dear Pennsylvania PUC,

Please find enclosed package of revisions for our previously incomplete application, which includes the below items:

- 1. Revision to Section 4.e. Customers please now note that Titan Energy New England, Inc has checked both Residential and Small Commercial, but not Residential and Small Customer as Mixed Meter (page 5)
- 2. Proof of Service, application was served on the Bureau of Investigation and Enforcement (page 6)
- 3. Reference Application, Financial Fitness National Fuel Gas letter (page 7)
- 4. Copies of Licenses for the below states:
  - a. Ohio (page 8)
  - b. New Hampshire (pages 9 10)
  - c. Illinois please note IL does not differentiate between natural gas and electricity broker/aggregators (pages 11 16)
  - d. Massachusetts (page 17)
  - e. Connecticut <u>please note CT does not differentiate between natural gas and electricity broker/aggregators (pages 18 21)</u>
  - f. New Jersey (pages 22 24)
  - g. Maine –please note ME does not differentiate between natural gas and electricity broker/aggregators (pages 25 27)
- 5. Newspaper Publication for Johnstown Tribune Democrat, now scanned in to show the front page of the advertisement (page 28)

I, Justin Kearney, hereby state that the facts above set forth are true and correct to be the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa. C.S. § 4904 (relating to unsworn falsification to authorities)

If you have any questions on any aspect of the enclosed application, please do not hesitate to contact me either by phone at 860-436-2768 ext. 105, or via email at justinkearney@titanenergyne.com

Best regards,

Justin Kearney

Director of Market Development & Support

Titan Energy New England, Inc.

860-436-2768 ext. 105

justinkearney@titanenergyne.com

Boston, MA 02127



# COMMONWEALTH OF PENNSYLVANIA PENNSYLVANIA PUBLIC UTILITY COMMISSION P.O. BOX 3265, HARRISBURG, PA 17105-3265

IN REPLY PLEASE REFER TO OUR FILE

October 13, 2015

Docket No. A-2015-2500367 Utility Code: 1217989

JUSTIN KEARNEY TITAN ENERGY – NEW ENGLAND INC 2275 SILAS DEANE HWY ROCKY HILL CT 06067

RE: Natural Gas Supplier License Application of Titan Energy – New England, Inc.

Dear Mr. Kearney:

On August 26, 2015, Titan Energy – New England, Inc.'s application for a Natural Gas Supplier license was accepted for filing and docketed with the Public Utility Commission. The application was incomplete. In order for us to complete our analysis of your application, the Energy Industry Group requires answers to the attached question(s).

Please forward the information to the Secretary of the Commission at the address listed below **within ten (10) working days** from the date of this letter. When submitting documents, all documents requiring notary stamps must have original signatures. Please note that some responses may be e-filed to your case, <a href="http://www.puc.pa.gov/efiling/default.aspx">http://www.puc.pa.gov/efiling/default.aspx</a>. A list of document types allowed to be e-filed can be found at <a href="http://www.puc.pa.gov/efiling/DocTypes.aspx">http://www.puc.pa.gov/efiling/DocTypes.aspx</a>.

or

Rosemary Chiavetta, Secretary Pennsylvania Public Utility Commission P.O. Box 3265 Harrisburg, PA 17105-3265

Rosemary Chiavetta, Secretary Pennsylvania Public Utility Commission 400 North Street Harrisburg, PA 17120

Your answers should be verified per 52 Pa Code § 1.36. Accordingly, you must provide the following statement with your responses:

I, Justin Kearney, hereby state that the facts above set forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa.C.S. § 4904 (relating to unsworn falsification to authorities).

The blank should be filled in with the name of the appropriate company representative, and the signature of that representative should follow the statement.

In addition, to expedite completion of the application, please also e-mail the information to Debra Backer at <u>dbacker@pa.gov</u>. Please direct any questions to Debra Backer, Bureau of Technical Utility Services, at dbacker@pa.gov or (717) 783-9787.

Sincerely,

Darren D. Gill, Deputy Director Bureau of Technical Utility Services

Enclosure cc: Debra Backer

# Docket No. A-2015-2500367 Titan Energy – New England, Inc. Data Request

- 1. Reference Application, Section 4.e, Customers Applicant selected both the Small Commercial Customers and the Residential and Small Commercial as Mixed Meter. The Mixed Meter selection cannot be checked along with residential and/or small commercial. The applicant as a broker can elect to mark residential and not select mixed meter. Selection of customers is the maximum classes of customers a company may serve, but it is not required to serve all of the classes of customers. This could be beneficial, if in the future the company chooses to serve residential customers. Please correct this page and then resubmit the updated page.
- 2. Reference Application, Section 6, Proof of Service The current EGS application requires all applications for licenses to be served on the Bureau of Investigation and Enforcement. Please forward to your application to the Bureau of Investigation and Enforcement, Pennsylvania Public Utility Commission, 400 North Street, Harrisburg, PA 17120. Please submit a Certificate of Service stating Bureau of Investigation and Enforcement has been served.
- 3. Reference Application, Section 7, Financial Fitness Applicant has provided the NGDC bonding requirement letters from all the companies, except National Fuel Gas. Please provide the letter once it is received.
- 4. Reference Application, Section 8, Technical Fitness Applicant has indicated that it is licensed in Ohio, New Hampshire, Illinois, Massachusetts, Connecticut, New Jersey, and Maine. Please provide copies of licenses in all states that the company is licensed.
- 5. Reference Application, Section 12, Newspaper Publications Applicant has provided all newspaper ads, including the Johnstown Tribune Democrat, however this ad appears to be the back of the ad and not the advertisement for Titan Energy New England, Inc. to be providing notice of filing to be a licensed energy provider. Please provide the ad with a fully-executed Affidavit that should accompany the Notarized Proof of Publication.

	you be making payments for custor Other (Describe):	mers.
C.	PROPOSED SERVICES: Describe in detail the offer.	natural gas supply services which the Applicant proposes to
	municipal clients in developing energy purch offerings from a large and increasing supply	tions in Pennsylvania will mirror that of its operations in other deregulation. Titan works with commercial, industrial and asing strategies by leveraging competitive pricing and product base of electricity and natural gas suppliers. In this way, Titan of the agent of record
d.	PROPOSED SERVICE AREA: Check the box of Applicant proposes to provide service.	f each Natural Gas Distribution Company for which the
	Columbia National Fuel Gas PECO Peoples Gas – Equitable Div. Peoples Natural Gas Peoples TWP	Philadelphia Gas Works UGI Central Penn UGI Penn natural UGI Utilities Valley Energy X All of the above
<b>)</b> .	CUSTOMERS: Applicant proposes to provide services to:	
	Residential Customers Small Commercial Customers - (Less that Residential and Small Commercial as Mixed AND/OR SMALL COMMERCIAL ABOVE) Large Commercial Customers - (6,000 M Industrial Customers Governmental Customers All of above Other (Describe):	Meter ONLY (CANNOT BE TAKEN WITH RESIDENTIAL

- **f. START DATE:** Provide the approximate date the Applicant proposes to <u>actively market</u> within the Commonwealth.
  - September 1<sup>st</sup>, 2015

# 5. **COMPLIANCE**

a. CRIMINAL/CIVIL PROCEEDINGS: State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

# Appendix C

# **CERTIFICATE OF SERVICE**

On this the 15<sup>th</sup> day of October 2015, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:

Bureau of Investigation and Enforcement Pennsylvania Public Utility Commission 400 North Street Harrisburg, PA 17120

Chris Ramm, Vice President & General Manager Titan Energy – New England, Inc.



July 21, 2015

Justin Kearney, CEP Director, Market Development & Support Titan Energy - New England, Inc. 2275 Silas Deane Highway Rocky Hill, CT, 06067

Re: Security Requirement for Titan Energy - New England, Inc.

Dear Justin,

National Fuel Gas Distribution Corporation ("NFGDC") is aware Titan Energy - New England, Inc. (TENE) has filed an application with the Pennsylvania Public Utility Commission to supply natural gas service to the public in Pennsylvania and specifically within the service territory of NFGDC.

As you know, in making such an application, TENE must furnish acceptable security to each utility where TENE will do business. As such, under its tariff, NFGDC could require TENE to provide a bond or other financial security instrument in an amount that NFGDC determines to be appropriate.

However, you have indicated, and it is NFGDC's understanding that TENE intends only to provide natural gas aggregating, brokering and consulting services at this time. You have stated that, in performing these services, TENE will never take title to any delivered natural gas.

Based upon your representations, NFGDC has determined that, at this time, TENE does not need to post a bond or other form of security to operate in its service territory. However, if the services provided by TENE change in the future, NFGDC reserves the right to require security from TENE as it deems appropriate.

If you have any questions concerning the foregoing, please contact me at 716-857-7599.

Nathan E. Barnes

Transportation Services Department

# The Public Utilities Commission of Ohio

# Ohio Competitive Retail Natural Gas Aggregator, Broker Certificate

Issued pursuant to Case Number(s): 13-0534-GA-AGG

Is

Ohio Competitive Retail Natural Gas Aggregator/Broker Certificate Number: 13-293G(1)

Granted to: Titan Energy-New England, Inc.

Whose office or principal place of business is located at: 2275 Silas Deane Hwy, Rocky Hill, CT 06067

And is hereby certified to provide:

Aggregator and Power Broker Services within the state of Ohio, for a two-year period.

Certification Effective: March 29, 2013 Through March 29, 2015

The certification of Ohio competitive retail natural gas aggregators/brokers is governed by Chapter 49001:1-27 of the Ohio Administrative Code and section 4929.20 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) as well as those under law, are not met.

Certificate entity is subject to all rules and regulations of the commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio

Dated: March 29, 2013

By Order of

The Public Utilities Commission of Ohio

Barcy F. McNeal, Secretary

Betty McCauley, Acting Secretary Tanowa M. Troupe, Acting Secretary

#### THE STATE OF NEW HAMPSHIRE

CHAIRMAN Thomas B. Getz

COMMISSIONERS Clifton C. Below Amy L. Ignatius

EXECUTIVE DIRECTOR AND SECRETARY Debra A. Howland

PUBLIC UTILITIES COMMISSION 21 S. Fruit Street, Suite 10 Concord, N.H. 03301-2429

December 20, 2010

Tel. (603) 271-2431

FAX (603) 271-3878

TDD Access: Relay NH 1-800-735-2964

> Website: www.puc.nh.gov

Jerry Hawkins Vice President Titan Energy-New England, Inc. 2275 Silas Deane Highway Rocky Hill, CT 06067

Re:

DM 10-311, Titan Energy-New England, Inc.

Renewal Registration as a Natural Gas Aggregator

Dear Mr. Hawkins:

On November 29, 2010, Titan Energy-New England, Inc. (Titan Energy) filed with the New Hampshire Public Utilities Commission (Commission) an application for renewal registration as a natural gas aggregator dated November 16, 2010, along with a check for the application renewal fee in the amount of \$125.

The Commission has reviewed Titan Energy's renewal application for registration as a natural gas aggregator and has determined that its application meets the requirements for registration pursuant to N.H. Code Admin. Rules Puc 3003.05 (Adopted Rule 9/24/10). Accordingly, the Commission has approved Titan Energy as a natural gas aggregator in the State of New Hampshire, effective January 11, 2011, which coincides with the expiration of Titan Energy's current aggregator registration. The renewed registration will expire at the end of business on January 10, 2016.

Additionally, please bear in mind that, pursuant to N.H. Code Admin. Rules Puc 3003.05 (a) and (e), each aggregator must re-register after the initial 2 year period or after a 5 year renewal period as applicable by filing with the Commission an application for renewal at least 60 days prior to the expiration of its registration and notify any LDC in whose service territory it intends to do business of the filing of its registration or renewal application at the time it files such an application with the Commission. Titan Energy's application for renewal would be due November 10, 2015. We urge Titan Energy to make a timely renewal filing in 2015. Instructions on how to properly file a renewal registration application are located on the Commission website at <a href="http://www.puc.nh.gov/Gas-Steam/CNGS-aggregator%20application%20instructions.pdf">http://www.puc.nh.gov/Gas-Steam/CNGS-aggregator%20application%20instructions.pdf</a>.

DM 10-311 Titan Energy-New England, Inc. December 20, 2010 Page 2

All registered Natural Gas Aggregators in the State of New Hampshire are subject to specific requirements spelled out in N.H. Code Admin. Rules Puc 3000 – Competitive Natural Gas Supplier and Aggregator Rules (Adopted Rule 9/24/10). Use the link below to access N.H. Code Admin. Rules Puc 3000 (http://www.puc.nh.gov/Regulatory/Rules/PUC3000.pdf).

If you have any questions regarding these provisions, please contact the Commission.

Sincerely,

Debra A. Howland

**Executive Director** 

Home (/) / eDocket (/docket/) / Docket Browse (/docket/search.aspx) /

# Case Details for 10-0445

Filed Suspension Resuspension

July 15, 2010 N/A N/A

#### Case Type

Certificate of Public Convenience & Necessity/Good Standing/Service Authority (8-406,13-403,13-404,13-405,13-900,15-401,16-108,16-115,16-115C,19-110,21-401) - New

# Service Type(s)

Agents, Brokers and Consultants (ABC)

#### Case Status

Initial - Closed

#### Administrative Law Judge(s)

Stephen Yoder

# Title of Case

Titan Energy - New England, Inc.

#### **Nature of Case**

Application for Licensure of Agents, Brokers and Consultants under Section 16-115C of the Public Utilities Act.

## Utility

N/A

### Quick Links

- A to Z Topic List (/sitemap.aspx)
- Privacy (/privacy.htm)
- RSS Feeds (/rss/)
- ☐ Contact Us (/contactus/)

#### About

- Event Calendar (/meetings/)
- Legal Authority and Rules (/cc/authority.aspx)
- News (/press/)
- Contracts and Solicitations (/asd/)
- **≟** Employment

### Offices

**■** Leland Building

527 East Capitol Avenue, Springfield, IL 62701

Hin Michael A. Bilandic Building

160 North LaSalle, Ste. C-800, Chicago, Illinois 60601

Tr Compliance Office

9511 West Harrison, Des Plaines, Illinois 60016

#### STATE OF ILLINOIS

### ILLINOIS COMMERCE COMMISSION

Titan Energy - New England, Inc.

Application for Licensure of

Agents, Brokers and Consultants 10-0445

under Section 16-115C of the

Public Utilities Act.

ORDER

By the Commission:

#### I. PRELIMINARY MATTERS

On July 15, 2010, Titan Energy - New England, Inc. ("Applicant") filed a verified application with the Illinois Commerce Commission ("Commission") requesting a certificate of service authority to operate as an agent, broker or consultant ("ABC") in Illinois pursuant to Section 16-115C of the Public Utilities Act ("Act"), 220 ILCS 5/1-101 et seg., and 83 III. Adm. Code 454 ("Part 454"). In its Application, the Applicant indicated that it is licensed in twelve other jurisdictions as a broker/marketer of natural gas or electricity, and that it has had no complaints filed against it for its provision of services in the gas or electric industry. Applicant requests authority to offer services as an ABC for the procurement or sale of retail electricity supply for third parties in the State of Illinois.

#### II. REQUIREMENTS FOR ALL APPLICANTS UNDER SECTION 16-115C OF THE ACT

Applicant is a business organized under the laws of Connecticut and licensed to transact business in Illinois. Applicant has certified that it will comply with all applicable regulations; that it will comply with informational and reporting requirements established by Commission rule; that it will comply with informational and reporting requirements pursuant to Section 16-115C of the Act; and that it will comply with all other applicable laws, regulations, terms, and conditions required to the extent they have application to the services being offered by an ABC. Applicant has agreed to ensure that any person who acts on its behalf will comply with all applicable sections of Part 454. Applicant agrees that it will remain in compliance with the provisions of the Act and Part 454, and will ensure that authorizations received from customers, and all other applicable records are retained for a period of not less than three calendar years after the calendar year in Applicant has agreed to adopt and follow rules and which they were created. procedures to preserve the confidentiality of its customers' data.

# III. FINANCIAL, TECHNICAL, AND MANAGERIAL REQUIREMENTS OF SECTION 16-115C

Pursuant to the requirements of Section 454.80 of Part 454, Applicant provided a surety bond in the amount of \$5,000 issued by a qualifying surety authorized to transact business in Illinois. Applicant submitted an original surety bond in satisfaction of Section 454.80.

Applicant is required by Part 454.60 to demonstrate that it meets the managerial qualifications necessary to provide services as an ABC. Applicant must further provide an organizational chart that indicates the position of persons which satisfy the managerial qualification. Applicant has demonstrated that it meets the managerial qualifications set forth in Part 454.60, as demonstrated in Attachment 1. Attachment 1 includes an exhibit containing a corporate organizational chart and identifying the persons who are being used to meet the requirements of Part 454.60(a). Attachment 1 includes an exhibit containing occupational background information on the person or persons who are being used to meet the requirements of Part 454.60(a).

Applicant is required by Part 454.70 to demonstrate that it meets the technical qualifications necessary to provide services as an ABC. Applicant has demonstrated that it meets the technical qualifications set forth in Part 454.70 with the information provided, including the information included in Attachment 1. Attachment 1 includes an exhibit containing occupational background information on the person or persons who are being used to meet the requirements of Part 454.70(a).

Applicant further agrees to comply with the Code of Conduct for ABCs contained in Section 454.90.

#### IV. COMMISSION CONCLUSION AND CERTIFICATE OF SERVICE AUTHORITY

The Commission has reviewed the application and attachments along with the supplementary information provided by Applicant regarding the technical and managerial requirements and all other requirements of the Act and Part 454 and finds that the Applicant sufficiently demonstrates compliance with the requirements.

The Commission concludes, therefore, that Applicant's request for a certificate of service authority to operate as an ABC in Illinois should be granted and should include the following authority:

# CERTIFICATE OF SERVICE AUTHORITY

IT IS CERTIFIED that Titan Energy - New England, Inc. is granted service authority to operate as an agent, broker or consultant for the procurement or sale of retail electricity supply for third parties in the State of Illinois.

# V. FINDINGS AND ORDERING PARAGRAPHS

The Commission, having reviewed the entire record, is of the opinion and finds that:

- (1) Titan Energy New England, Inc., a business organized under the laws of Connecticut, seeks a certificate of service authority to operate as an agent, broker or consultant under Section 16-115C of the Act;
- (2) the Commission has jurisdiction over the party hereto and the subject matter hereof;
- (3) the recitals of fact and conclusions reached in the prefatory portion of this Order are supported by the record and are hereby adopted as findings of fact;
- (4) Applicant has demonstrated that it possesses sufficient financial, managerial and technical resources and abilities to provide services as an agent, broker or consultant for the procurement or sale of retail electricity supply to third parties in the State of Illinois;
- (5) Applicant has complied with Section 16-115C of the Act and Part 454; and
- (6) Titan Energy New England, Inc. should be granted the certificate of service authority to operate as an agent, broker or consultant as specified in this Order.

IT IS THEREFORE ORDERED by the Illinois Commerce Commission that Titan Energy - New England, Inc. is hereby granted a Certificate of Service Authority authorizing it to operate as an agent, broker or consultant; said Certificate of Service Authority shall read as that set forth in Section IV of this Order.

IT IS FURTHER ORDERED that Titan Energy - New England, Inc. shall comply with all applicable Commission rules and orders now and as hereafter amended.

IT IS FURTHER ORDERED that, subject to the provisions of Section 10-113 of the Public Utilities Act and 83 III. Adm. Code 200.880, this Order is final; it is not subject to the Administrative Review Law.

By order of the Commission this 6th day of October, 2010.

(SIGNED) MANUEL FLORES

Acting Chairman

# **VOTING RECORD OF** MATTERS BEFORE THE COMMISSION **Meeting Date:** 10/06/2010 10:30 AM Agenda No.: E-3 Docket No.: 10-0445 Staff Members Presenting Matters to the Commission: Stephen Yoder, Administrative Law Judge Name of Utility and Nature of Matter: Titan Energy - New England, Inc. Application for Licensure of Agents, Brokers and Consultants under Section 16-115C of the Public Utilities Act. **ORDER Commission Action: ENTERED** All Commissioners present unless otherwise noted A = Absent Voting: AB = Abstains C = Concurs CP = Concurs in Part X Flores (Acting) X O'Connell-Diaz D = Dissents X Ford DP = Dissents in Part R = Recuse X = Yes X Elliott X Colgan (Acting)

# STATE OF ILLINOIS) COUNTY OF SANGAMON)<sup>ss</sup>

The undersigned, being duly sworn on oath, deposes and says on <u>October 7, 2010</u> a copy of the order of the Illinois Commerce Commission dated <u>October 6, 2010</u> in Docket No. <u>10-0445 (Titan Energy – New England, Inc.)</u> was mailed to the following parties:

John F. Kearney Jr. President Titan Energy - New England, Inc. 2317 Silas Deane Hwy Rocky Hill, CT 06067

Phil Hardas, ALJ's Assistant Illinois Commerce Commission 527 E. Capitol Ave. Springfield, IL 62701 Todd Stewart
Atty. for Titan Energy - New England, Inc.
Hawke McKeon and Sniscak LLP
100 N. Tenth St.
Harrisburg, PA 17107

Greg Rockrohr, ALJ's Assistant Illinois Commerce Commission 527 E. Capitol Ave. Springfield, IL 62701

Carmen F. Richmond

Subscribed and sworn to before me this <u>7th</u> day of <u>October</u> A.D. 2010.

OFFICIAL SEAL

LAURA H. YOUNG

NOTARY PUBLIC, STATE OF ILLINOIS
MY COMMISSION EXPIRES 7-22-2011



# THE COMMONWEALTH OF MASSACHUSETTS OFFICE OF CONSUMER AFFAIRS AND BUSINESS REGULATION

# DEPARTMENT OF TELECOMMUNICATIONS & ENERGY

ONE SOUTH STATION

**BOSTON, MA 02110** (617) 305-3500

PAUL B. VASINGTON

JENNIFER DAVIS CAREY DIRECTOR OF CONSUMER AFFAIRS AND BUSINESS REGULATION

JANE SWIFT

GOVERNOR

CHAIRMAN

JAMES CONNELLY, ESQ. COMMISSIONER

W. ROBERT KEATING COMMISSIONER

EUGENE J. SULLIVAN, JR. COMMISSIONER

DEIRDRE K. MANNING COMMISSIONER

May 20, 2003

Jerry Hawkins, VP The Energy Authority - New England 2301 Silas Deane Highway Rocky Hill, CT 06067

Mr. Hawkins;

The Department of Telecommunications and Energy ("Department") has reviewed The Energy Authority - New England ("Energy Authority") application for licensing as a Retail Gas Agent to commercial, industrial and residential customers in the Commonwealth of Massachusetts and is pleased to inform you that it has been approved. Your license number is RA-011.

As a condition of maintaining its license, Energy Authority must file updated information within 30 days of any material or organic change in the information required by 220 C.M.R. § 14.04(2), and must comply with all relevant requirements of G.L. c. 164 and the regulations thereunder, including 220 C.M.R. §§ 12.00, 14.00 et seq. If you decide to request renewal of your license next year, please submit a renewal application and a current financial statement at least 30 days prior to the expiration of this license.

FAX: (617) 345-9101 TTY: (800) 323-3298 www.mass.goy/dpu

# STATE OF CONNECTICUT

# DEPARTMENT OF PUBLIC UTILITY CONTROL TEN FRANKLIN SQUARE NEW BRITAIN, CT 06051

DOCKET NO. 07-02-24 APPLICATION OF THE ENERGY AUTHORITY - NEW ENGLAND, INC. FOR A CERTIFICATE OF REGISTRATION AS AN ELECTRIC AGGEGATOR

April 11, 2007

By the following Commissioners:

Donald W. Downes Anne C. George John W. Betkoski, III

# DECISION

## I. INTRODUCTION

#### A. SUMMARY

In this Decision, the Department of Public Utility Control grants The Energy Authority – New England, Inc. an Electric Aggregator Certificate of Registration to serve commercial, industrial, municipal and governmental customers in Connecticut.

# B. BACKGROUND OF THE PROCEEDING

By application filed February 22, 2007 (Application) pursuant to § 16-245(I) of the General Statutes of Connecticut (Conn. Gen. Stat.), The Energy Authority – New England, Inc. (TEA or Company) applied to the Department of Public Utility Control (Department) for a registration to operate as an electric aggregator within Connecticut.

# C. CONDUCT OF THE PROCEEDING

No Party requested a hearing. The Department determined that a hearing was not necessary in this matter; and thus, none was held. Conn. Gen. Stat. § 16-245(I)(4).

# D. PARTIES TO THE PROCEEDING

The Department recognized The Energy Authority – New England, Inc., 2317 Silas Deane Highway, Rocky Hill, Connecticut 06027; and the Office of Consumer Counsel, Ten Franklin Square, New Britain, Connecticut 06051, as Parties to the proceeding.

### II. DEPARTMENT ANALYSIS

TEA proposed to serve commercial, industrial, municipal and governmental customers throughout Connecticut. TEA's headquarters are located at 2317 Silas Deane Highway, Rocky Hill, Connecticut 06027. The Company's main telephone number is 860-436-2378. TEA is a corporation formed on September 26, 2002, in Connecticut. Its contact person for regulatory matters is John F. Kearney, Jr. Application, p. 1. TEA provided its Certificate of Incorporation and Business Registration with the State of Connecticut. Application, Exhibit A-10. TEA indicated that it has no affiliates. Application, Exhibit A-11. TEA also provided its most recent financial statements for the year 2006. Application, Exhibit A-12.

Pursuant to Conn. Gen. Stat. § 16-245(I)(3), the Company disclosed that neither TEA nor any of its affiliates are currently under investigation or have ever been fined, sanctioned or penalized in any state for violation of any consumer protection law or regulation. Application, A-14 and A-15. Further, TEA affirmed that it will comply with the National Labor Relations Act and the Connecticut Unfair Trade Practices Act and applicable regulations. Application, Affidavit #2.

In Response to CA-2, TEA indicated that they have confirmed with each electric supplier that the information required in Section 16-245-3(d) of the Regulations of State Agencies will be mailed to the customer. However, pursuant to this section, within 60 days after an aggregated customer contracts with an electric supplier, and within 60 days after any renewal, extension or modification of such contract, the <u>electric aggregator</u> is required to issue to the aggregated customer a notice containing the following information:

- (1) The name, address and toll-free customer service telephone number of the electric supplier that provides electric generation services under its aggregation offer; and
- (2) The rate for electric generation services stated in its aggregation offer, or a description of how electric generation services are charged to customers under its aggregation offer.

Therefore, no later than 30 days from the date of this decision, the Department will require TEA to submit a sample copy of the notice required by section 16-245-3(d) that TEA will issue aggregated customers.

# III. CONCLUSION AND ORDERS

### A. CONCLUSION

After reviewing the Application, the Department grants TEA a Certificate of Registration to provide electric aggregation services to commercial, industrial, municipal and governmental customers in the state of Connecticut. TEA is reminded that it is under a continuing obligation to notify the Department of any changes to the information provided in the Application.

### B. ORDERS

- 1. TEA shall provide the Department with any changes to its customer service practices, procedures, or policies in writing at least ten (10) business days prior to the effective date of such changes.
- 2. TEA shall maintain its customer complaint records to indicate: (1) the date of the complaint; (2) the name and address of the complainant; (3) the address or location of the complaint; (4) a description of the complaint; and (5) a description of the resolution of the complaint.
- 3. No later than 30 days from the date of this decision, the Department will require TEA to submit a sample copy of the notice required by section 16-245-3(d).

#### DOCKET NO. 07-02-24 APPLICATION OF THE ENERGY AUTHORITY - NEW ENGLAND, INC. FOR Α CERTIFICATE OF REGISTRATION AS AN ELECTRIC AGGEGATOR

This Decision is adopted by the following Commissioners:

Donald W. Downes

Anne C. George

John W. Betkoski, III

# CERTIFICATE OF SERVICE

The foregoing is a true and correct copy of the Decision issued by the Department of Public Utility Control, State of Connecticut, and was forwarded by Certified Mail to all parties of record in this proceeding on the date indicated.

> Louis 6. Rickard April 13, 2007

> > Date

Louise E. Rickard Acting Executive Secretary

Department of Public Utility Control



# STATE OF NEW JERSEY

Board of Public Utilities Two Gateway Center – Suite 801 Newark, NJ 07102 www.nj.gov/bpu/

Kristi Izzo Secretary of the Board Tel. # (973) 648-3426

Kim Guadagno Li Governor

Chris Christie

Governor

July 14, 2011

John F. Kearney, Jr. President Titan Energy 2275 Silas Deane Highway Rocky Hill, CT 06067

Page

Energy Agent and Private Aggregator Initial Registrations
Dkt. Nos. EE11050286L & GE11050287L

Dear Mr. Rearney:

In accordance with the Electric Discount and Energy Competition Act of 1999, N.J.S.A. 48:3-49 et seq., at its July 14, 2011 Agenda meeting, the New Jersey Board of Public Utilities voted to issue REGISTRAFIONS as an Energy Agent and Private Aggregator to Titan Energy – New England Corporation (Inc.): Your registration numbers are EA-0112 and PA-0091.

The enclosed registrations are effective July 14, 2011 and expire on July 13, 2012. These registrations and the rights thereunder are **Non-Transferable**.

This letter is not an endorsement of, nor is it intended for use in, the marketing promotions of the registrant. Registrants shall comply with all applicable law, including the Electric Discount and Energy Competition Act, which prohibits the unauthorized change of a customer's energy provider and other fraudulent and illegal marketing activities.

If you have any questions, please contact Anna Procopio at (609) 292-1681.

Sincerely,

Kristi Izzo

Secretary of the Board

Kliahr Enek (s)



Titan Energy - New England Corporation (Inc.)

2275 Silas Deane Highway Rocky Hill, CT 06067

To conduct business in the State of New Jersey as an

**Energy Agent** 

Kristi Izzo

Secretary of the Board

Registration No.

EA-0112

Effective Date:

July 14, 2011

**Expiration Date:** 

July 13, 2012



Board of Public Utilities

Two Gateway Center - Suite 801, Newark, New Jersey 07102

# HEREBY REGISTERS

Titan Energy - New England Corporation (Inc.)

2275 Silas Deane Highway Rocky Hill, CT 06067

To conduct business in the State of New Jersey as a

**Private Aggregator** 

Kristi Izzo

Secretary of the Board

Registration No.

PA-0091

**Effective Date:** 

July 14, 2011

**Expiration Date:** 

July 13, 2012

TFS Energy Solutions, LLC  Tradition Energy  □ Supplier □ Aggregator/Broker  www.traditionenergy.com	McDermott Brian 680 Washington Blvd. 5th Floor Stamford CT 06901- (203) 205-9650
The Energy Executives, LLC  □ Supplier □ Aggregator/Broker  www.THEENERGYEXECS.COM	brian.mcdermott@traditionenergy.com  Lockwood Jim 1028 Boulevard Suite West Hartford CT 06119- (800) 325-6830 Jim@Theenergyexecs.com
The Eric Ryan Corporation  □ Supplier ☑ Aggregator/Broker  www.ericryan.com	Venezie Eric 1 Early Street Suite A Ellwood City PA 16117- (724) 752-8900 kvenezie@ericryan.com
The Royal Bank of Scotland, PLC  Supplier  Aggregator/Broker  http://www.rbssempra.com/solutions	Customer Service Advisor 401 West A. Street Suite 500 San Diego CA 92101- (877) 273-6772 email@semprasolutions.com
Titan Energy - New England, Inc.  □ Supplier ☑ Aggregator/Broker www.TitanenergyNE.com	Ramm Christopher H. 2275 Silas Deane High Rocky Hill CT 06067- (860) 436-2768 cramm@titanenergyne.com

25

Page 45 of 49

Friday, May 29, 2015

STATE OF MAINE PUBLIC UTILITIES COMMISSION Docket No. 2011-54

January 31, 2011

TITAN ENERGY – NEW ENGLAND, INC. Application for License to Operate as a Competitive Electricity Provider

ORDER GRANTING LICENSE

CASHMAN, Chairman; VAFIADES and LITTELL, Commissioners

# I. SUMMARY

In this Order, we license Titan Energy – New England, Inc. (TENE) to operate as a competitive electricity provider furnishing aggregator/broker services in Maine pursuant to Chapter 305 of the Commission's Rules.

# II. APPLICATION AND DECISION

On January 28, 2011, TENE applied to the Commission to operate in Maine as a competitive electricity provider pursuant to Chapter 305. TENE proposes to provide aggregator/broker services to non-residential customers in the service territories of Central Maine Power Company, Bangor Hydro-Electric Company, Maine Public Service Company and Kennebunk Light and Power District. TENE met all the Chapter 305 filing requirements. As a licensed competitive electricity provider, TENE is required to comply with all applicable requirements and regulations, including all conditions of licensing, customer protection rules, and the filing of annual reports.

Pursuant to a Delegation Order dated April 23, 2008 in Docket No. 2008-185, PUBLIC UTILITIES COMMISSION, Delegation of Authority to License Competitive Electricity Providers, the Commission delegated to the Director of Technical Analysis the authority under 35-A M.R.S.A., § 3203 (1)(2) and Chapter 305, §2 to license competitive electricity providers. On September 12, 2009, in Docket No. 2009-260, statutory changes took effect that change the title of this position. P.L. 2009, ch. 122. The Director of Technical Analysis will be the Director of Electric and Gas Utility Industries. All existing delegation orders that delegate Commission authority to the Director of Electric and Gas Utility Industries.

Accordingly, it is

ORDERED

- That Titan Energy New England, Inc. is hereby issued a license to operate as a competitive electricity provider pursuant to Chapter 305 of the Commission's Rules, to provide aggregator/broker services to non-residential customers throughout Maine; and
- That this license is effective on the date of this Order and valid until revoked or suspended by the Commission pursuant to section 3(A)(4) of Chapter 305, or abandoned by the licensee pursuant to sections 2(D)(10) and 2(D)(11) of Chapter 305 of the Commission's Rules.

Dated at Hallowell, Maine, this 31st day of January, 2011.

BY ORDER OF THE DIRECTOR OF ELECTRIC AND GAS UTILITY INDUSTRIES

27

# COMMONWEALTH OF PENNSYLVANIA

County of Cambria

#### PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Applications of Titan Energy New England, Inc For Approval To Offer, Render, or Furnish Services as an Aggregator, and Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

Titan Energy New England, Inc. will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. Titan Energy New England, Inc. will also be filing an application with the PUC for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity and an aggregator engaged in the business of supplying electricity, and an aggregator engaged in the business of supplying electricity, and an aggregator engaged in the business of supplying electricity. Titan Energy New England, Inc. proposes to sell electricity, rentural gas, and related services to end-users in all deregulated electric and natural gas distribution companies in the Commonwealth of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act. This includes the electric distribution companies of Cilizens' Electric, Duquesne Power & Light, Met-Ed, PECO, Penelec, Penn Power, Pike Light & Po

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Titan Energy New England Inc may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Titan Energy New England Inc. attorney at the address listed below.

Sworn 6th da

publis that t of The in the of sai

> By and through Counsel: Nicholas Paindiris Brown, Paindiris & Scott, LLP 2252 Main St
> Glastonbury, CT, 06033
> 860-659-0700 ext. 206
> 860-652-4382 (fax)
> npaindiris@bpslawyers.com

On this 6th day of October A.D. 2014, before me, the subscriber, a Notary Public in and for said County and State, personally appeared Christine Marhefka, who September 8, 1952, of the Johnstown

STATEMENT OF ADVERTISING COSTS

0.00 \$2.50 per line 0.00 Lines @ 237.50 9.5 Inches @ \$25.00 per inch 5.00 Notary Fee Clerical Fee 2.50 245.00 **Total Cost** 

# COMMONWEALTH OF PENNSYLVANIA

Notarial Seal Vivian Ohs, Notary Public City of Johnstown, Cambria County My Commission Expires Dec. 6, 2016 MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

To The Tribune-Democrat, Johnstown, PA For publishing the notice or publication attached hereto on the above stated dates.

# PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

a newspaper of general circulation, hereby a and publication costs and certifies tha	cknowledges receipt of the aforesaid
:	(Name of Newspaper)
$\mathbf{B}\mathbf{v}$	