

October 15<sup>th</sup>, 2015

Pennsylvania Public Utility Commission - Secretary  
P.O. Box 3265  
Harrisburg, PA 17105-3265

**RE: Electric Generation Supplier License Application, Titan Energy – New England, Inc.**

Dear Pennsylvania PUC,

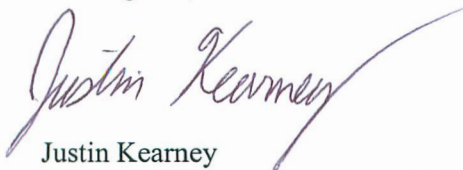
Please find enclosed package of revisions for our previously incomplete application, which includes the below items:

1. Revision to Section 4.e. Customers – please now note that Titan Energy New England, Inc has checked both Residential and Small Commercial, but not Residential and Small Customer as Mixed Meter (page 5)
2. Proof of Service that the application was served on the Bureau of Investigation and Enforcement (page 6)
3. Copies of Licenses for the below states:
  - a. Ohio (page 7)
  - b. New Hampshire (page 8)
  - c. Illinois (pages 9 – 14)
  - d. Massachusetts (page 15)
  - e. Connecticut (pages 16 – 19)
  - f. New Jersey (pages 20 - 22)
  - g. Maine (pages 23 - 25)
4. Newspaper Publication for Johnstown Tribune Democrat, now scanned in to show the front page of the advertisement (page 26)

I, Justin Kearney, hereby state that the facts above set forth are true and correct to be the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa. C.S. § 4904 (relating to unsworn falsification to authorities)

If you have any questions on any aspect of the enclosed application, please do not hesitate to contact me either by phone at 860-436-2768 ext. 105, or via email at [justinkearney@titanenergyne.com](mailto:justinkearney@titanenergyne.com)

Best regards,



Justin Kearney  
Director of Market Development & Support  
Titan Energy New England, Inc.  
860-436-2768 ext. 105  
[justinkearney@titanenergyne.com](mailto:justinkearney@titanenergyne.com)

A-2015-  
2500554



COMMONWEALTH OF PENNSYLVANIA  
PENNSYLVANIA PUBLIC UTILITY COMMISSION  
P.O. BOX 3265, HARRISBURG, PA 17105-3265

IN REPLY PLEASE  
REFER TO OUR FILE

September 30, 2015

Docket No. A-2015-2500554  
Utility Code: 1117995

JUSTIN KEARNEY  
TITAN ENERGY – NEW ENGLAND INC  
2275 SILAS DEANE HWY  
ROCKY HILL CT 06067

RE: Electric Generation Supplier License Application of Titan Energy – New England, Inc.

Dear Mr. Kearney:

On August 31, 2015, Titan Energy – New England, Inc.'s application for an Electric Generation Supplier license was accepted for filing and docketed with the Public Utility Commission. The application was incomplete. In order for us to complete our analysis of your application, the Energy Industry Group requires answers to the attached question(s).

Please forward the information to the Secretary of the Commission at the address listed below **within ten (10) working days** from the date of this letter. When submitting documents, all documents requiring notary stamps must have original signatures. Please note that some responses may be e-filed to your case, <http://www.puc.pa.gov/efiling/default.aspx>. A list of document types allowed to be e-filed can be found at <http://www.puc.pa.gov/efiling/DocTypes.aspx>.

Rosemary Chiavetta, Secretary  
Pennsylvania Public Utility Commission  
P.O. Box 3265  
Harrisburg, PA 17105-3265

or

Rosemary Chiavetta, Secretary  
Pennsylvania Public Utility Commission  
400 North Street  
Harrisburg, PA 17120

**Your answers should be verified per 52 Pa Code § 1.36.** Accordingly, you must provide the following statement with your responses:

I, Justin Kearney, hereby state that the facts above set forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the

statements herein are made subject to the penalties of 18 Pa. C.S. § 4904 (relating to unsworn falsification to authorities).

The blank should be filled in with the name of the appropriate company representative, and the signature of that representative should follow the statement.

In addition, to expedite completion of the application, please also e-mail the information to Debra Backer at [dbacker@pa.gov](mailto:dbacker@pa.gov). Please direct any questions to Debra Backer, Bureau of Technical Utility Services, at [dbacker@pa.gov](mailto:dbacker@pa.gov) or (717) 783-9787.

Sincerely,

Darren D. Gill, Deputy Director  
Bureau of Technical Utility Services

Enclosure

cc: Debra Backer



Docket No. A-2015-2500554  
Titan Energy – New England, Inc.  
Data Request

1. Reference Application, Section 4.e, Customers – Applicant selected both the Small Commercial Customers and the Residential and Small Commercial as Mixed Meter. The Mixed Meter selection cannot be chosen along with residential and/or small commercial. The applicant as a broker can elect to mark residential and not select mixed meter. Selection of customers is the maximum classes of customers a company may serve, but it is not required to serve all of the customer classes. This could be beneficial, if in the future the company chooses to serve residential customers. Please correct this page and then resubmit the updated page.
2. Reference Application, Section 6, Proof of Service – The current EGS application requires all applications for licenses to be served on the Bureau of Investigation and Enforcement. Please forward your application to the Bureau of Investigation and Enforcement, Pennsylvania Public Utility Commission, 400 North Street, Harrisburg, PA 17120. Please submit a Certificate of Service stating Bureau of Investigation and Enforcement has been served.
3. Reference Application, Section 8, Technical Fitness – Applicant has indicated that it is licensed in Ohio, New Hampshire, Illinois, Massachusetts, Connecticut, New Jersey, and Maine. Please provide copies of licenses in all states that the company is licensed.
4. Reference Application, Section 12, Newspaper Publications – Applicant has provided all newspaper ads, including the Johnstown Tribune – Democrat, however this ad appears to be the back of the ad and not the advertisement for Titan Energy – New England, Inc. to be providing notice of filing to be a licensed energy provider. Please provide the ad with a fully-executed Affidavit that should accompany the Notarized Proof of Publication.

- Titan Energy New England's intended operations in Pennsylvania will mirror that of its operations in other states eligible for electricity and natural gas deregulation. Titan works with commercial, industrial and municipal clients in developing energy purchasing strategies by leveraging competitive pricing and product offerings from a large and increasing supply base of electricity and natural gas suppliers. In this way, Titan Energy's applicable customers contract directly with a retail supplier, where Titan is the agent of record under the specific contract period.

d. **PROPOSED SERVICE AREA:** Check the box of each Electric Distribution Company for which the Applicant proposes to provide service.

- Citizens' Electric
- Duquesne Light
- Met-Ed
- PECO
- Penelec
- Penn Power

- Pike
- PPL
- UGI Utilities
- Wellsboro
- West Penn

Entire Commonwealth of PA

e. **CUSTOMERS:** Applicant proposes to provide services to:

- Residential Customers
- Small Commercial Customers - (25 kW and Under)
- Residential and Small Commercial as Mixed Meter **ONLY (CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE)**
- Large Commercial Customers - (Over 25 kW)
- Industrial Customers
- Governmental Customers
- All of above
- Other (Describe):

f. **START DATE:** Provide the approximate date the Applicant proposes to actively market within the Commonwealth.

- September 1<sup>st</sup>, 2015

## 5. COMPLIANCE

a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

- Neither Titan Energy New England Inc, nor any parties associated with it, has had any criminal or civil proceedings levied against it.

**Appendix C**

**CERTIFICATE OF SERVICE**

On this the 15<sup>th</sup> day of October 2015, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:

Bureau of Investigation and Enforcement  
Pennsylvania Public Utility Commission  
400 North Street  
Harrisburg, PA 17120

A handwritten signature in black ink, appearing to read 'Chris Ramm', written over a horizontal line.

**Chris Ramm, Vice President & General Manager  
Titan Energy – New England, Inc.**





**Public Utilities  
Commission**

John Kasich, Governor  
Todd A. Snitchler, Chairman

**Commissioners**

Steven D. Lesser  
Andre T. Porter  
Lynn Slaby  
M. Beth Trombold

**PUBLIC UTILITIES COMMISSION OF OHIO**

*Certified as a Competitive Retail Electric Service Provider*

Certificate Number:

**13-665E (1)**

Issued Pursuant to Case Number(s):

**13-0535-EL-AGG**

A certificate as a Competitive Retail Electric Service Provider is hereby granted to, **Titan Energy - New England, Inc.** whose office or principal place of business is located at **2275 Silas Deane Highway, Rocky Hill, CT 06067** to provide **aggregation and power broker** services within the State of Ohio **effective March 29, 2013.**

The certification of competitive retail electric suppliers is governed by Section 4901:1-24-(01-13) of the Ohio Administrative Code, Section 4901:1-21-(01-15) of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

Subject to all rules and regulations of the Commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated: **April 1, 2013**

By Order of

PUBLIC UTILITIES COMMISSION OF OHIO



Betty McCauley  
Barcy F. McNeal, Secretary  
Betty McCauley, Acting Secretary  
Tanowa M. Troupe, Acting Secretary

**Certificate Expires: March 29, 2015**

THE STATE OF NEW HAMPSHIRE

CHAIRMAN  
Thomas B. Getz

COMMISSIONERS  
Clifton C. Below  
Amy L. Ignatius

EXECUTIVE DIRECTOR  
AND SECRETARY  
Debra A. Howland



PUBLIC UTILITIES COMMISSION  
21 S. Fruit Street, Suite 10  
Concord, N.H. 03301-2429

Tel. (603) 271-2431  
FAX (603) 271-3878  
TDD Access: Relay NH  
1-800-735-2964  
Website:  
[www.puc.nh.gov](http://www.puc.nh.gov)

December 20, 2010

Jerry Hawkins  
Titan Energy New England Inc.  
2275 Silas Deane Hwy  
Rocky Hill, Ct 06067

Re: DM 10-312, Notification of Titan Energy New England Inc.'s Intent to Provide Electric Aggregation Service

Dear Mr. Hawkins:

This is to confirm that the New Hampshire Public Utilities Commission (Commission) has received Titan Energy New England Inc.'s notice of intent to provide electric aggregation service in the State of New Hampshire, pursuant to New Hampshire Administrative Rules Puc 2003.05. Staff has reviewed the application provided and believes that it meets the requirements of Puc 2003.05. Based on Staff's recommendation and the Commission's review, the Commission approves Titan Energy New England Inc.'s to renew its registration as a provider of electric aggregation service in New Hampshire.

Please note the following provision of Puc 2003.05 (f): "[E]ach approved aggregator shall re-notify the commission after the initial 2 year period, or after the 5 year renewal period as applicable, by filing with the commission an application for renewal at least 60 days prior to the expiration of the currently effective registration." Titan Energy New England Inc.'s original notice anniversary date is November 26, 2008 and therefore it is required to refile an up-to-date written notice on or before **September 28, 2015** if it continues to offer and provide electric aggregation service. In addition, Titan Energy New England Inc. is subject to the provisions of Puc 2004.03, telephone solicitation of customers, and Puc 2004.08, customer protections provided by aggregators.

If you have any questions regarding these provisions, please contact the Commission. Thank you for your cooperation in this matter.

Sincerely,

A handwritten signature in cursive script that reads "Debra A. Howland".

Debra A. Howland  
Executive Director



[Home \(/\)](#) / [eDocket \(/docket/\)](#) / [Docket Browse \(/docket/search.aspx\)](#) /

# Case Details for 10-0445

## Filed

July 15, 2010

## Suspension

N/A

## Resuspension

N/A

## Case Type

Certificate of Public Convenience & Necessity/Good Standing/Service Authority  
(8-406,13-403,13-404,13-405,13-900,15-401,16-108,16-115,16-115C,19-110,21-401)  
- New

## Service Type(s)

Agents, Brokers and Consultants (ABC)

## Case Status

Initial - Closed

## Administrative Law Judge(s)

Stephen Yoder

## Title of Case

Titan Energy - New England, Inc.

## Nature of Case

Application for Licensure of Agents, Brokers and Consultants under  
Section 16-115C of the Public Utilities Act.

## Utility

N/A

## Quick Links

 [A to Z Topic List \(/sitemap.aspx\)](/sitemap.aspx)  
 [Privacy \(/privacy.htm\)](/privacy.htm)  
 [RSS Feeds \(/rss/\)](/rss/)  
 [Contact Us \(/contactus/\)](/contactus/)

## About

 [Event Calendar \(/meetings/\)](/meetings/)  
 [Legal Authority and Rules \(/cc/authority.aspx\)](/cc/authority.aspx)  
 [News \(/press/\)](/press/)  
 [Contracts and Solicitations \(/asd/\)](/asd/)  
 [Employment](#)

## Offices

 **Leland Building**  
527 East Capitol Avenue, Springfield, IL 62701  
 **Michael A. Bilandic Building**  
160 North LaSalle, Ste. C-800, Chicago, Illinois 60601  
 **Compliance Office**  
9511 West Harrison, Des Plaines, Illinois 60016

STATE OF ILLINOIS

ILLINOIS COMMERCE COMMISSION

Titan Energy - New England, Inc. :  
: :  
Application for Licensure of :  
Agents, Brokers and Consultants : 10-0445  
under Section 16-115C of the :  
Public Utilities Act. :

ORDER

By the Commission:

**I. PRELIMINARY MATTERS**

On July 15, 2010, Titan Energy - New England, Inc. ("Applicant") filed a verified application with the Illinois Commerce Commission ("Commission") requesting a certificate of service authority to operate as an agent, broker or consultant ("ABC") in Illinois pursuant to Section 16-115C of the Public Utilities Act ("Act"), 220 ILCS 5/1-101 et seq., and 83 Ill. Adm. Code 454 ("Part 454"). In its Application, the Applicant indicated that it is licensed in twelve other jurisdictions as a broker/marketer of natural gas or electricity, and that it has had no complaints filed against it for its provision of services in the gas or electric industry. Applicant requests authority to offer services as an ABC for the procurement or sale of retail electricity supply for third parties in the State of Illinois.

**II. REQUIREMENTS FOR ALL APPLICANTS UNDER SECTION 16-115C OF THE ACT**

Applicant is a business organized under the laws of Connecticut and licensed to transact business in Illinois. Applicant has certified that it will comply with all applicable regulations; that it will comply with informational and reporting requirements established by Commission rule; that it will comply with informational and reporting requirements pursuant to Section 16-115C of the Act; and that it will comply with all other applicable laws, regulations, terms, and conditions required to the extent they have application to the services being offered by an ABC. Applicant has agreed to ensure that any person who acts on its behalf will comply with all applicable sections of Part 454. Applicant agrees that it will remain in compliance with the provisions of the Act and Part 454, and will ensure that authorizations received from customers, and all other applicable records are retained for a period of not less than three calendar years after the calendar year in which they were created. Applicant has agreed to adopt and follow rules and procedures to preserve the confidentiality of its customers' data.



### III. FINANCIAL, TECHNICAL, AND MANAGERIAL REQUIREMENTS OF SECTION 16-115C

Pursuant to the requirements of Section 454.80 of Part 454, Applicant provided a surety bond in the amount of \$5,000 issued by a qualifying surety authorized to transact business in Illinois. Applicant submitted an original surety bond in satisfaction of Section 454.80.

Applicant is required by Part 454.60 to demonstrate that it meets the managerial qualifications necessary to provide services as an ABC. Applicant must further provide an organizational chart that indicates the position of persons which satisfy the managerial qualification. Applicant has demonstrated that it meets the managerial qualifications set forth in Part 454.60, as demonstrated in Attachment 1. Attachment 1 includes an exhibit containing a corporate organizational chart and identifying the persons who are being used to meet the requirements of Part 454.60(a). Attachment 1 includes an exhibit containing occupational background information on the person or persons who are being used to meet the requirements of Part 454.60(a).

Applicant is required by Part 454.70 to demonstrate that it meets the technical qualifications necessary to provide services as an ABC. Applicant has demonstrated that it meets the technical qualifications set forth in Part 454.70 with the information provided, including the information included in Attachment 1. Attachment 1 includes an exhibit containing occupational background information on the person or persons who are being used to meet the requirements of Part 454.70(a).

Applicant further agrees to comply with the Code of Conduct for ABCs contained in Section 454.90.

### IV. COMMISSION CONCLUSION AND CERTIFICATE OF SERVICE AUTHORITY

The Commission has reviewed the application and attachments along with the supplementary information provided by Applicant regarding the technical and managerial requirements and all other requirements of the Act and Part 454 and finds that the Applicant sufficiently demonstrates compliance with the requirements.

The Commission concludes, therefore, that Applicant's request for a certificate of service authority to operate as an ABC in Illinois should be granted and should include the following authority:

#### CERTIFICATE OF SERVICE AUTHORITY

IT IS CERTIFIED that Titan Energy - New England, Inc. is granted service authority to operate as an agent, broker or consultant for the procurement or sale of retail electricity supply for third parties in the State of Illinois.



**V. FINDINGS AND ORDERING PARAGRAPHS**

The Commission, having reviewed the entire record, is of the opinion and finds that:

- (1) Titan Energy - New England, Inc., a business organized under the laws of Connecticut, seeks a certificate of service authority to operate as an agent, broker or consultant under Section 16-115C of the Act;
- (2) the Commission has jurisdiction over the party hereto and the subject matter hereof;
- (3) the recitals of fact and conclusions reached in the prefatory portion of this Order are supported by the record and are hereby adopted as findings of fact;
- (4) Applicant has demonstrated that it possesses sufficient financial, managerial and technical resources and abilities to provide services as an agent, broker or consultant for the procurement or sale of retail electricity supply to third parties in the State of Illinois;
- (5) Applicant has complied with Section 16-115C of the Act and Part 454; and
- (6) Titan Energy - New England, Inc. should be granted the certificate of service authority to operate as an agent, broker or consultant as specified in this Order.

IT IS THEREFORE ORDERED by the Illinois Commerce Commission that Titan Energy - New England, Inc. is hereby granted a Certificate of Service Authority authorizing it to operate as an agent, broker or consultant; said Certificate of Service Authority shall read as that set forth in Section IV of this Order.

IT IS FURTHER ORDERED that Titan Energy - New England, Inc. shall comply with all applicable Commission rules and orders now and as hereafter amended.

IT IS FURTHER ORDERED that, subject to the provisions of Section 10-113 of the Public Utilities Act and 83 Ill. Adm. Code 200.880, this Order is final; it is not subject to the Administrative Review Law.

By order of the Commission this 6th day of October, 2010.

(SIGNED) MANUEL FLORES

Acting Chairman

**VOTING RECORD OF  
MATTERS BEFORE THE COMMISSION**

**Meeting Date:** 10/06/2010 10:30 AM      **Agenda No.:** E-3

**Docket No.:**  
10-0445

**Staff Members Presenting Matters to the Commission:**  
Stephen Yoder, Administrative Law Judge

**Name of Utility and Nature of Matter:**  
Titan Energy - New England, Inc.

Application for Licensure of Agents, Brokers and Consultants under Section 16-115C of the Public Utilities Act.

ORDER

**Commission Action:**  
ENTERED

All Commissioners present unless otherwise noted

**Voting:**

X Flores (Acting)  
X O'Connell-Diaz  
X Ford  
X Elliott  
X Colgan (Acting)

A = Absent  
AB = Abstains  
C = Concurs  
CP = Concurs in Part  
D = Dissents  
DP = Dissents in Part  
R = Recuse  
X = Yes

STATE OF ILLINOIS)  
COUNTY OF SANGAMON)<sup>SS</sup>

The undersigned, being duly sworn on oath, deposes and says on October 7, 2010 a copy of the order of the Illinois Commerce Commission dated October 6, 2010 in Docket No. 10-0445 (Titan Energy – New England, Inc.) was mailed to the following parties:

John F. Kearney Jr.  
President  
Titan Energy - New England, Inc.  
2317 Silas Deane Hwy  
Rocky Hill, CT 06067

Todd Stewart  
Atty. for Titan Energy - New England, Inc.  
Hawke McKeon and Sniscak LLP  
100 N. Tenth St.  
Harrisburg, PA 17107

Phil Hardas, ALJ's Assistant  
Illinois Commerce Commission  
527 E. Capitol Ave.  
Springfield, IL 62701

Greg Rockrohr, ALJ's Assistant  
Illinois Commerce Commission  
527 E. Capitol Ave.  
Springfield, IL 62701

Carmen F. Richmond

Subscribed and sworn to before me this 7th  
day of October A.D. 2010.

Laura H. Young







THE COMMONWEALTH OF MASSACHUSETTS  
OFFICE OF CONSUMER AFFAIRS AND BUSINESS REGULATION

DEPARTMENT OF  
TELECOMMUNICATIONS & ENERGY

ONE SOUTH STATION

BOSTON, MA 02110  
(617) 305-3500

JANE SWIFT  
GOVERNOR

JENNIFER DAVIS CAREY  
DIRECTOR OF CONSUMER AFFAIRS  
AND BUSINESS REGULATION

PAUL B. VASINGTON  
CHAIRMAN

JAMES CONNELLY, ESQ.  
COMMISSIONER

W. ROBERT KEATING  
COMMISSIONER

EUGENE J. SULLIVAN, JR.  
COMMISSIONER

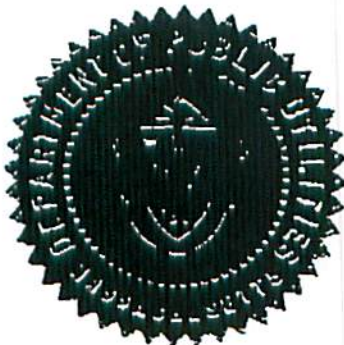
DEIRDRE K. MANNING  
COMMISSIONER

July 22, 2003

Jerry Hawkins, Vice President  
The Energy Authority - New England, Inc.  
2301 Silas Deane Highway  
Rocky Hill, CT 06067

The Department of Telecommunications and Energy ("Department") has reviewed your application for licensing as an **Electricity Broker** in the Commonwealth of Massachusetts and is pleased to inform you that your application has been approved. Your license number is **EB - 036**.

As a condition of maintaining this license, you must file updated information within 30 days of any material or organic change in the information required by 220 C.M.R. § 11.05(2), and you must comply with all relevant requirements of G.L. c. 164 and the regulations promulgated thereunder, including 220 C.M.R. §§ 11.00, 12.00 et seq. If you decide to request renewal of your license next year, please submit renewal application at least 30 days prior to expiration.



  
Mary L. Cottrell, Secretary

FAX: (617) 345-9101 TTY: (800) 323-3298  
[www.mass.gov/dpu](http://www.mass.gov/dpu)



# STATE OF CONNECTICUT

DEPARTMENT OF PUBLIC UTILITY CONTROL  
TEN FRANKLIN SQUARE  
NEW BRITAIN, CT 06051

DOCKET NO. 07-02-24 APPLICATION OF THE ENERGY AUTHORITY - NEW ENGLAND, INC. FOR A CERTIFICATE OF REGISTRATION AS AN ELECTRIC AGGEGATOR

April 11, 2007

By the following Commissioners:

Donald W. Downes  
Anne C. George  
John W. Betkoski, III

## DECISION

### I. INTRODUCTION

#### A. SUMMARY

In this Decision, the Department of Public Utility Control grants The Energy Authority – New England, Inc. an Electric Aggregator Certificate of Registration to serve commercial, industrial, municipal and governmental customers in Connecticut.

#### B. BACKGROUND OF THE PROCEEDING

By application filed February 22, 2007 (Application) pursuant to § 16-245(I) of the General Statutes of Connecticut (Conn. Gen. Stat.), The Energy Authority – New England, Inc. (TEA or Company) applied to the Department of Public Utility Control (Department) for a registration to operate as an electric aggregator within Connecticut.



### C. CONDUCT OF THE PROCEEDING

No Party requested a hearing. The Department determined that a hearing was not necessary in this matter; and thus, none was held. Conn. Gen. Stat. § 16-245(l)(4).

### D. PARTIES TO THE PROCEEDING

The Department recognized The Energy Authority – New England, Inc., 2317 Silas Deane Highway, Rocky Hill, Connecticut 06027; and the Office of Consumer Counsel, Ten Franklin Square, New Britain, Connecticut 06051, as Parties to the proceeding.

## II. DEPARTMENT ANALYSIS

TEA proposed to serve commercial, industrial, municipal and governmental customers throughout Connecticut. TEA's headquarters are located at 2317 Silas Deane Highway, Rocky Hill, Connecticut 06027. The Company's main telephone number is 860-436-2378. TEA is a corporation formed on September 26, 2002, in Connecticut. Its contact person for regulatory matters is John F. Kearney, Jr. Application, p. 1. TEA provided its Certificate of Incorporation and Business Registration with the State of Connecticut. Application, Exhibit A-10. TEA indicated that it has no affiliates. Application, Exhibit A-11. TEA also provided its most recent financial statements for the year 2006. Application, Exhibit A-12.

Pursuant to Conn. Gen. Stat. § 16-245(l)(3), the Company disclosed that neither TEA nor any of its affiliates are currently under investigation or have ever been fined, sanctioned or penalized in any state for violation of any consumer protection law or regulation. Application, A-14 and A-15. Further, TEA affirmed that it will comply with the National Labor Relations Act and the Connecticut Unfair Trade Practices Act and applicable regulations. Application, Affidavit #2.

In Response to CA-2, TEA indicated that they have confirmed with each electric supplier that the information required in Section 16-245-3(d) of the Regulations of State Agencies will be mailed to the customer. However, pursuant to this section, within 60 days after an aggregated customer contracts with an electric supplier, and within 60 days after any renewal, extension or modification of such contract, the electric aggregator is required to issue to the aggregated customer a notice containing the following information:

- (1) The name, address and toll-free customer service telephone number of the electric supplier that provides electric generation services under its aggregation offer; and
- (2) The rate for electric generation services stated in its aggregation offer, or a description of how electric generation services are charged to customers under its aggregation offer.



Therefore, no later than 30 days from the date of this decision, the Department will require TEA to submit a sample copy of the notice required by section 16-245-3(d) that TEA will issue aggregated customers.

### **III. CONCLUSION AND ORDERS**

#### **A. CONCLUSION**

After reviewing the Application, the Department grants TEA a Certificate of Registration to provide electric aggregation services to commercial, industrial, municipal and governmental customers in the state of Connecticut. TEA is reminded that it is under a continuing obligation to notify the Department of any changes to the information provided in the Application.

#### **B. ORDERS**

1. TEA shall provide the Department with any changes to its customer service practices, procedures, or policies in writing at least ten (10) business days prior to the effective date of such changes.
2. TEA shall maintain its customer complaint records to indicate: (1) the date of the complaint; (2) the name and address of the complainant; (3) the address or location of the complaint; (4) a description of the complaint; and (5) a description of the resolution of the complaint.
3. No later than 30 days from the date of this decision, the Department will require TEA to submit a sample copy of the notice required by section 16-245-3(d).

DOCKET NO. 07-02-24 APPLICATION OF THE ENERGY AUTHORITY - NEW ENGLAND, INC. FOR A CERTIFICATE OF REGISTRATION AS AN ELECTRIC AGGEGATOR

This Decision is adopted by the following Commissioners:

Donald W. Downes

Anne C. George

John W. Betkoski, III

CERTIFICATE OF SERVICE

The foregoing is a true and correct copy of the Decision issued by the Department of Public Utility Control, State of Connecticut, and was forwarded by Certified Mail to all parties of record in this proceeding on the date indicated.

*Louise E. Rickard*

April 13, 2007

\_\_\_\_\_  
Louise E. Rickard  
Acting Executive Secretary  
Department of Public Utility Control

\_\_\_\_\_  
Date



**STATE OF NEW JERSEY**  
**Board of Public Utilities**  
**Two Gateway Center – Suite 801**  
**Newark, NJ 07102**  
[www.nj.gov/bpu/](http://www.nj.gov/bpu/)

Chris Christie  
 Governor

Kim Guadagno  
 Lt. Governor

Kristi Izzo  
 Secretary of the Board  
 Tel. # (973) 648-3426

July 14, 2011

John F. Kearney, Jr.  
 President  
 Titan Energy  
 2275 Silas Deane Highway  
 Rocky Hill, CT 06067

Re: **Energy Agent and Private Aggregator Initial Registrations**  
 Dkt. Nos. EE11050286L & GE11050287L

Dear Mr. Kearney:

In accordance with the Electric Discount and Energy Competition Act of 1999, N.J.S.A. 48:3-49 et seq., at its July 14, 2011 Agenda meeting, the New Jersey Board of Public Utilities voted to issue **REGISTRATIONS** as an Energy Agent and Private Aggregator to Titan Energy – New England Corporation (Inc.). Your registration numbers are EA-0112 and PA-0091.

The enclosed registrations are effective July 14, 2011 and expire on July 13, 2012. These registrations and the rights thereunder are **Non-Transferable**.

***This letter is not an endorsement of, nor is it intended for use in, the marketing promotions of the registrant. Registrants shall comply with all applicable law, including the Electric Discount and Energy Competition Act, which prohibits the unauthorized change of a customer's energy provider and other fraudulent and illegal marketing activities.***

If you have any questions, please contact Anna Procopio at (609) 292-1681.

Sincerely,

Kristi Izzo  
 Secretary of the Board

K/ahp  
 Encl (s)



# State of New Jersey



## Board of Public Utilities

Two Gateway Center – Suite 801, Newark, New Jersey 07102

### HEREBY REGISTERS

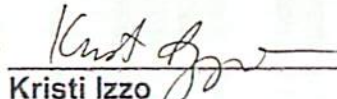
**Titan Energy – New England Corporation (Inc.)**

2275 Silas Deane Highway  
Rocky Hill, CT 06067

To conduct business in the State of New Jersey as an

**Energy Agent**



  
Kristi Izzo  
Secretary of the Board

Registration No. EA-0112  
Effective Date: July 14, 2011  
Expiration Date: July 13, 2012



# State of New Jersey



## Board of Public Utilities

Two Gateway Center – Suite 801, Newark, New Jersey 07102

### HEREBY REGISTERS

Titan Energy – New England Corporation (Inc.)  
2275 Silas Deane Highway  
Rocky Hill, CT 06067

To conduct business in the State of New Jersey as a

### Private Aggregator



  
Kristi Izzo  
Secretary of the Board

Registration No. PA-0091  
Effective Date: July 14, 2011  
Expiration Date: July 13, 2012

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**TFS Energy Solutions, LLC**

**Tradition Energy**

- Supplier*  
 *Aggregator/Broker*

[www.traditionenergy.com](http://www.traditionenergy.com)

McDermott                      Brian  
680 Washington Blvd.      5th Floor  
Stamford                      CT    06901-  
(203) 205-9650  
[brian.mcdermott@traditionenergy.com](mailto:brian.mcdermott@traditionenergy.com)

**The Energy Executives, LLC**

- Supplier*  
 *Aggregator/Broker*

[www.THEENERGYEXECS.COM](http://www.THEENERGYEXECS.COM)

Lockwood                      Jim  
1028 Boulevard Suite  
West Hartford                CT    06119-  
(800) 325-6830  
[Jim@Theenergyexecs.com](mailto:Jim@Theenergyexecs.com)

**The Eric Ryan Corporation**

- Supplier*  
 *Aggregator/Broker*

[www.ericryan.com](http://www.ericryan.com)

Venezie                      Eric  
1 Early Street                Suite A  
Ellwood City                PA    16117-  
(724) 752-8900  
[kvenezie@ericryan.com](mailto:kvenezie@ericryan.com)

**The Royal Bank of Scotland, PLC**

- Supplier*  
 *Aggregator/Broker*

<http://www.rbssempra.com/solutions>

Customer Service          Advisor  
401 West A. Street          Suite 500  
San Diego                    CA    92101-  
(877) 273-6772  
[email@semprasolutions.com](mailto:email@semprasolutions.com)

**Titan Energy - New England, Inc.**

- Supplier*  
 *Aggregator/Broker*

[www.TitanenergyNE.com](http://www.TitanenergyNE.com)

Ramm                      Christopher H.  
2275 Silas Deane High  
Rocky Hill                    CT    06067-  
(860) 436-2768  
[cramm@titanenergyne.com](mailto:cramm@titanenergyne.com)



STATE OF MAINE  
PUBLIC UTILITIES COMMISSION

Docket No. 2011-54

January 31, 2011

TITAN ENERGY – NEW ENGLAND, INC.  
Application for License to Operate as a  
Competitive Electricity Provider

ORDER GRANTING LICENSE

CASHMAN, Chairman; VAFIADES and LITTELL, Commissioners

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**I. SUMMARY**

In this Order, we license Titan Energy – New England, Inc. (TENE) to operate as a competitive electricity provider furnishing aggregator/broker services in Maine pursuant to Chapter 305 of the Commission's Rules.

**II. APPLICATION AND DECISION**

On January 28, 2011, TENE applied to the Commission to operate in Maine as a competitive electricity provider pursuant to Chapter 305. TENE proposes to provide aggregator/broker services to non-residential customers in the service territories of Central Maine Power Company, Bangor Hydro-Electric Company, Maine Public Service Company and Kennebunk Light and Power District. TENE met all the Chapter 305 filing requirements. As a licensed competitive electricity provider, TENE is required to comply with all applicable requirements and regulations, including all conditions of licensing, customer protection rules, and the filing of annual reports.

Pursuant to a Delegation Order dated April 23, 2008 in Docket No. 2008-185, *PUBLIC UTILITIES COMMISSION, Delegation of Authority to License Competitive Electricity Providers*, the Commission delegated to the Director of Technical Analysis the authority under 35-A M.R.S.A., § 3203 (1)(2) and Chapter 305, §2 to license competitive electricity providers. On September 12, 2009, in Docket No. 2009-260, statutory changes took effect that change the title of this position. P.L. 2009, ch. 122. The Director of Technical Analysis will be the Director of Electric and Gas Utility Industries. All existing delegation orders that delegate Commission authority to the Director of Technical Analysis will now read that we delegate our authority to the Director of Electric and Gas Utility Industries.

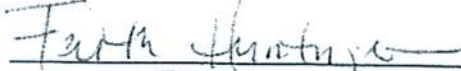
Accordingly, it is

**ORDERED**

1. That Titan Energy – New England, Inc. is hereby issued a license to operate as a competitive electricity provider pursuant to Chapter 305 of the Commission's Rules, to provide aggregator/broker services to non-residential customers throughout Maine; and
2. That this license is effective on the date of this Order and valid until revoked or suspended by the Commission pursuant to section 3(A)(4) of Chapter 305, or abandoned by the licensee pursuant to sections 2(D)(10) and 2(D)(11) of Chapter 305 of the Commission's Rules.

Dated at Hallowell, Maine, this 31<sup>st</sup> day of January, 2011.

BY ORDER OF THE DIRECTOR OF ELECTRIC AND GAS UTILITY INDUSTRIES

  
\_\_\_\_\_  
Faith Huntington



COMMONWEALTH OF PENNSYLVANIA }  
 County of Cambria } SS

PENNSYLVANIA PUBLIC UTILITY COMMISSION  
 NOTICE

Applications of Titan Energy New England, Inc For Approval To Offer, Render, or Furnish Services as an Aggregator, and Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

Titan Energy New England, Inc. will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. Titan Energy New England, Inc. will also be filing an application with the PUC for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity and an aggregator engaged in the business of supplying electricity. Titan Energy New England, Inc. proposes to sell electricity, natural gas, and related services to end-users in all deregulated electric and natural gas distribution company territories in the Commonwealth of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act. This includes the electric distribution companies of Citizens' Electric, Duquesne Power & Light, Met-Ed, PECO, Penelec, Penn Power, Pike Light & Power, PPL Electric, UGI, Wellsboro Electric, West Penn Power, and the natural gas distribution companies of Columbia Gas, Equitable Gas, National Fuel Gas, PECO, People's Natural Gas, Peoples TWP LLC, Philadelphia Gas Works, UGI Utilities, UGI Central Penn Gas, UGI Penn Natural Gas and Valley Energy.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Titan Energy New England Inc may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Titan Energy New England Inc. attorney at the address listed below.

By and through Counsel: Nicholas Paindiris  
 Brown, Paindiris & Scott, LLP  
 2252 Main St  
 Glastonbury, CT, 06033  
 860-659-0700 ext. 206  
 860-652-4382 (fax)  
 npaindiris@bpslawyers.com

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Sworn  
 6th da

*[Handwritten signature]*

On this 6th day of October A.D. 2014, before me, the subscriber, a Notary Public in and for said County and State, personally appeared Christine Marhefka, who being duly sworn according to law, deposes and says as Classified Advertising Manager of the Tribune-Democrat, Johnstown, PA, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8, 1952, of the Johnstown Tribune, established December 7, 1853; and of the Johnstown Democrat, established March 5, 1863,

County of Cambria, and Commonwealth of Pennsylvania and  
 above matter published in said publication in the regular issues  
 PA, on October 4, 2014; and that the Affiant is not interested  
 and that all of the allegations as to time, place and character

*Christine Marhefka*

STATEMENT OF ADVERTISING COSTS

0.00 Lines @ \$2.50 per line	0.00
9.5 Inches @ \$25.00 per inch	237.50
Notary Fee	5.00
Clerical Fee	2.50
<b>Total Cost</b>	<b>245.00</b>

COMMONWEALTH OF PENNSYLVANIA

Notarial Seal  
 Vivian Ohs, Notary Public  
 City of Johnstown, Cambria County  
 My Commission Expires Dec. 6, 2016  
 MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

To The Tribune-Democrat, Johnstown, PA  
 For publishing the notice or publication  
 attached hereto on the above stated dates.

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

\_\_\_\_\_ for publisher of \_\_\_\_\_  
 a newspaper of general circulation, hereby acknowledges receipt of the aforesaid  
 and publication costs and certifies that the same has been duly paid.

\_\_\_\_\_  
 (Name of Newspaper)

By \_\_\_\_\_