

Deanne M. O'Dell  
717.255.3744  
dodell@eckertseamans.com

October 20, 2015

**Via Hand Delivery**

Rosemary Chiavetta, Secretary  
PA Public Utility Commission  
P.O. Box 3265  
Harrisburg, PA 17105-3265

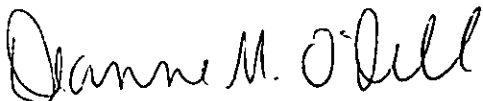
Re: Application of Health Resource Network d/b/a HRNEnergy, for approval to offer, render, furnish or supply natural gas supply as a broker/marketer to the public in the Commonwealth of Pennsylvania – Docket No. A-2015-\_\_\_\_\_

Dear Secretary Chiavetta:

On behalf of Health Resource Network d/b/a HRNEnergy enclosed for filing please find the original application to offer, render, furnish, or supply natural gas supply services as a broker/marketer to the public in the Commonwealth of Pennsylvania along with a check in the amount of \$350 for the filing fee. Please note that Attachments E, F and H contain confidential material and should be handled accordingly.

Parties have been served in accordance with the attached Certificate of Service. Attachments E, F and H are only being provided to the parties listed on the attached Certificate of Service by request only.

Sincerely,



Deanne M. O'Dell

DMO/lww  
Enclosure

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## BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of Health Resource Network, d/b/a HRNEnergy, for approval to offer, render, furnish, or supply natural gas supply services as a(n) broker/marketer to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

### 1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

Health Resource Network, Inc dba HRNEnergy  
201 Columbia Turnpike  
Suite D  
Florham Park, New Jersey 07932  
[WWW.HRNEnergy.com](http://WWW.HRNEnergy.com)  
973 822-2310

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

CT Corporation System  
116 Pine Street - Suite 320  
Harrisburg, PA 17101  
Phone: 717-234-6004

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Jan Kaputkin  
CEO  
Health Resource Network, Inc.  
201 Columbia Turnpike, Suite D  
Florham Park, New Jersey 07932  
973 822-2310  
973 822-2125 fax  
Jan@HRNEnergy.com

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- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Deanne M. O'Dell  
Eckert Seamans Cherin & Mellott  
213 Market Street 8<sup>th</sup> Floor  
Harrisburg, PA 17101  
DODell@EckertSeamans.com  
717 255-3744 (phone)  
717 237-6019 (fax)

*Note: Eckert, Seamans, Cherin & Mellott, LLC does not represent application for any issues involving Philadelphia Gas Works. For those issues, contact HRNEnergy whose contact information is provided in response to question number 1(a).*

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS:** Provide the name, title, address, telephone number, fax number, and e-mail **OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED)** responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Natural Gas Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed NGSs.

**Primary:**

Jan Kaputkin  
CEO  
Health Resource Network, Inc.  
201 Columbia Turnpike  
Suite D  
Florham Park, New Jersey 07932  
973 822-2310  
973 822-2125 fax  
Jan@HRNEnergy.com

**Alternate:**

Marcia Kaputkin  
Vice President, Secretary  
Health Resource Network, Inc.  
201 Columbia Turnpike  
Suite D  
Florham Park, New Jersey 07932  
973 822-2310  
973 822-2125 fax  
Marcia@HRNEnergy.com

## **2. BUSINESS ENTITY FILINGS AND REGISTRATION**

- a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

x The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State Pursuant to 54 Pa. C.S. §311.

**SEE ATTACHMENT A.**

**Or**

The Applicant will not be using a fictitious name.

- b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**

*(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)*

The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

**Or**

The Applicant is a:

- domestic general partnership (\*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- \* If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

**or**

x The Applicant is a:

- domestic corporation (15 Pa. C.S. §1308)
- x  foreign corporation (15 Pa. C.S. §4124)
- domestic limited liability company (15 Pa. C.S. §8913)
- foreign limited liability company (15 Pa. C.S. §8981)
- Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.

**SEE ATTACHMENT B.**

- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.

**APPLICANT IS INCORPORATED IN NEW JERSEY. SEE ATTACHMENT B.**

- Give name and address of officers.

**Jan Kaputkin, CEO  
Health Resource Network, Inc.  
201 Columbia Turnpike, Suite D  
Florham Park, New Jersey 07932**

**Marcia Kaputkin, Vice President, Secretary  
Health Resource Network, Inc.  
201 Columbia Turnpike, Suite D  
Florham Park, New Jersey 07932**

### **3. AFFILIATES AND PREDECESSORS**

*(both in state and out of state)*

- a. **AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

**APPLICANT HAS NO AFFILIATES.**

- b. **PREDECESSORS:** Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

**APPLICANT HAS NO PREDECESSORS.**

#### 4. OPERATIONS

- a. **APPLICANT'S PRESENT OPERATIONS:** *(select and complete the appropriate statement)*

Definitions

- *Supplier – an entity which provides natural gas supply services to retail gas customers utilizing the jurisdictional facilities of a natural gas distribution company*
- *Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of natural gas but does not take title to the natural gas.*

- x The Applicant is presently doing business in Pennsylvania as a

- natural gas interstate pipeline
- municipality providing service outside its municipal limits
- local gas distribution company
- retail supplier of natural gas services in the Commonwealth
- a natural gas producer
- a broker/marketer engaged in the business of supplying natural gas services
- X Other. (Identify the nature of service being rendered)  
**Applicant is currently licensed and doing business as a Broker/marketer of electric generation supply. Applicant's license was approved by order entered January 14, 2010 at docket no. A-2009-2138366. Applicant also provides marketing services consultant services to large commercial and industrial retail gas customers for which licensing was not previously required.**

**OR**

- The Applicant is not presently doing business in Pennsylvania.

- b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a:

- Supplier or Aggregator of natural gas services
- Municipal supplier of natural gas services
- Cooperative supplier of natural gas services
- x Broker/Marketer engaged in the business of supplying natural gas services
  - x Check here to verify that your organization will not be taking title to the natural gas nor will you be making payments for customers.
- Other (Describe):

- c. **PROPOSED SERVICES:** Describe in detail the natural gas supply services which the Applicant proposes to offer.

**Assist in the procurement of Natural Gas for end use large commercial and industrial customers. Using economic forecasts and customer risk tolerance setting, Health Resource Network advises and provides decision support.**

- d. **PROPOSED SERVICE AREA:** Check the box of each Natural Gas Distribution Company for which the Applicant proposes to provide service.

- |  |  |
|--|--|
| <input checked="" type="checkbox"/> Columbia                     | <input checked="" type="checkbox"/> Philadelphia Gas Works |
| <input checked="" type="checkbox"/> National Fuel Gas            | <input checked="" type="checkbox"/> UGI Central Penn       |
| <input checked="" type="checkbox"/> PECO                         | <input checked="" type="checkbox"/> UGI Penn natural       |
| <input checked="" type="checkbox"/> Peoples Gas – Equitable Div. | <input checked="" type="checkbox"/> UGI Utilities          |
| <input checked="" type="checkbox"/> Peoples Natural Gas          | <input checked="" type="checkbox"/> Valley Energy          |
| <input checked="" type="checkbox"/> Peoples TWP                  | <input checked="" type="checkbox"/> All of the above       |

- e. **CUSTOMERS:** Applicant proposes to provide services to:

- Residential Customers
- Small Commercial Customers - (Less than 6,000 Mcf annually)
- Residential and Small Commercial as Mixed Meter ONLY (CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE)
- Large Commercial Customers - (6,000 Mcf or more annually)
- Industrial Customers
- Governmental Customers
- All of above (Except Mixed Meter)
- Other (Describe):

- f. **START DATE:** Provide the approximate date the Applicant proposes to actively market within the Commonwealth. **As soon as possible.**

## 5. COMPLIANCE

- a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

**Applicant is not nor has been the defendant of a criminal or civil proceeding within the last five years.**

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

- b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

N/A

- c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. If the Applicant has no actions or complaints to list, explicitly state such.

NONE.

- d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

N/A

## 6. PROOF OF SERVICE

**Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.**  
*(Example Certificate of Service is attached at Appendix C)*

### SEE ATTACHMENT C.

- a.) **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Office of Consumer Advocate  
 5th Floor, Forum Place  
 555 Walnut Street  
 Harrisburg, PA 17120

Office of the Attorney General  
 Bureau of Consumer Protection  
 Strawberry Square, 14th Floor  
 Harrisburg, PA 17120

Office of the Small Business Advocate  
 Commerce Building, Suite 1102  
 300 North Second Street  
 Harrisburg, PA 17101

Commonwealth of Pennsylvania  
 Department of Revenue  
 Bureau of Compliance  
 Harrisburg, PA 17128-0946

Bureau of Investigation & Enforcement  
 Pennsylvania Public Utility Commission  
 Commonwealth Keystone Building  
 400 North Street, 2 West  
 Harrisburg, PA 17120

- b.) **NGDCs:** Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Natural Gas Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each NGDC is as follows.

### SEE ATTACHMENT C.

<p><b>Columbia Gas of PA, Inc.</b>                  Thomas C. Heckathorn                  200 Civic Center Drive                  Columbus, OH 43215                  PH: 614.460.4996                  FAX: 614.460.6442  <a href="mailto:theckathorn@nsource.com">theckathorn@nsource.com</a></p>	
<p><b>Peoples Natural Gas – Equitable Division</b>                  Lynda Petrichevich                  225 North Shore Drive                  Pittsburgh, PA 15212                  PH: 412.208.6528                  FAX: 412.208.6577                  e-mail: <a href="mailto:Lynda.w.petrichevich@peoples-gas.com">Lynda.w.petrichevich@peoples-gas.com</a></p>	<p><b>National Fuel Gas Distribution Corp.</b>                  David D. Wolford                  6363 Main Street                  Williamsville, NY 14221                  PH: 716.857.7483                  FAX: 716.857.7479                  e-mail: <a href="mailto:wolfordd@natfuel.com">wolfordd@natfuel.com</a></p>
<p><b>The Peoples Natural Gas Company</b>                  Lynda Petrichevich                  225 North Shore Drive                  Pittsburgh, PA 15212                  PH: 412.208.6528                  FAX: 412.208.6577                  e-mail: <a href="mailto:Lynda.w.petrichevich@peoples-gas.com">Lynda.w.petrichevich@peoples-gas.com</a></p>	<p><b>PECO</b>                  Carlos Thillet, Manager, Gas Supply and Transportation                  2301 Market Street, S9-2                  Philadelphia, PA 19103                  PH: 215.841.6452                  Email: <a href="mailto:carlos.thillet@exeloncorp.com">carlos.thillet@exeloncorp.com</a></p>
<p><b>Peoples TWP LLC (Formerly T. W. Phillips)</b>                  Lynda Petrichevich                  225 North Shore Drive                  Pittsburgh, PA 15212                  PH: 412.208.6528                  FAX: 412.208.6577</p>	<p><b>Philadelphia Gas Works</b>                  Nicholas LaPergola                  800 West Montgomery Avenue                  Philadelphia, PA 19122                  PH: 215.684.6278                  email: <a href="mailto:nicholas.lapergola@pgworks.com">nicholas.lapergola@pgworks.com</a></p>

e-mail: <a href="mailto:Lynda.w.petrachevich@peoples-gas.com">Lynda.w.petrachevich@peoples-gas.com</a>	
<b>UGI Central Penn</b> David Lahoff 2525 N. 12 <sup>th</sup> Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3520 Email: <a href="mailto:dlahoff@ugi.com">dlahoff@ugi.com</a>	<b>UGI</b> David Lahoff 2525 N. 12 <sup>th</sup> Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3520 Email: <a href="mailto:dlahoff@ugi.com">dlahoff@ugi.com</a>
<b>Valley Energy Inc.</b> Robert Crocker 523 South Keystone Avenue Sayre, PA 18840-0340 PH: 570.888-9664 FAX: 570.888.6199 email: <a href="mailto:bobc@ctenterprises.org">bobc@ctenterprises.org</a>	<b>UGI Penn Natural</b> David Lahoff 2525 N. 12 <sup>th</sup> Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3520 Email: <a href="mailto:dlahoff@ugi.com">dlahoff@ugi.com</a>

## 7. FINANCIAL FITNESS

- a. **BONDING:** In accordance with 66 Pa. C.S. Section 2208(c), no natural gas supplier license shall be issued or remain in force unless the applicant or holder furnishes a bond or other security in a form and amount to ensure the financial responsibility of the natural gas supplier. The criteria used to determine the amount and form of such bond or other security shall be set by each NGDC. Provide documentation that the applicant has met the security requirement of each NGDC by submitting the letters sent by the NGDCs stating what bonding amounts they require.

**SEE ATTACHMENT D.**

- b. **FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
- Applicant's accounting statements, including balance sheet and income statements for the past two years.
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements exhibiting accounts over a minimum two year period.
- Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

**SEE CONFIDENTIAL ATTACHMENT E.**

- c. **SUPPLIER FUNDING METHOD:** If Applicant is operating as anything other than **Broker/Marketer only**, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

N/A



- d. **BROKER PAYMENT STRUCTURE:** If applicant is a broker/marketer, explain how your organization will be collecting your fees.

**Client has the option to remit professional fees to Health Resource Network or the second option to allow the Third party supplier to remit fees to Health Resource Network, with the prior authorization provided by the client.**

- e. **ACCOUNTING RECORDS CUSTODIAN:** Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

**Eric J. Barr, Partner in Charge  
Marks Paneth  
1719 Route 10 East, Suite 102  
Parsippany, NJ 07054  
973 267-1400  
973 267-8205 fax  
EBarr@Markspaneth.com**

- f. **TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix D to this application.

*All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.*

*Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.*

**SEE CONFIDENTIAL ATTACHMENT F.**

## **8. TECHNICAL FITNESS:**

To ensure that the present quality and availability of service provided by natural gas distribution companies does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

- a. **EXPERIENCE, PLAN, STRUCTURE:** such information may include:

- Applicant's previous experience in the natural gas industry.
- Summary and proof of licenses as a supplier of natural gas services in other states or jurisdictions.
- Type of customers and number of customers Applicant currently serves in other jurisdictions.
- Staffing structure and numbers as well as employee training commitments.
- Business plans for operations within the Commonwealth.
- Any other information appropriate to ensure the technical capabilities of the Applicant.

**SEE ATTACHMENT G.**

b. **PROPOSED MARKETING METHOD** (check all that apply)

- Internal – Applicant will use its own internal resources/employees for marketing  
 External NGS – Applicant will contract with a **PUC LICENSED NGS**  
 Affiliate – Applicant will use a **NON-NGS affiliate that is a nontraditional marketer and/or marketing services consultant**  
 External Third-Party – Applicant will contract with a **NON-NGS third party nontraditional marketer and/or non-selling marketer**  
 Other (Describe):

c. **DOOR TO DOOR SALES:** Will the Applicant be implementing door to door sales activities?

- Yes  
 No

If yes, will the Applicant be using verification procedures?

- Yes  
 No

If yes, describe the Applicant's verification procedures.

d. **OVERSIGHT OF MARKETING:** Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

**Marketing of the targeted, specialized services provided by Applicant is closely monitored and predominately limited to internal resources with the management team interfacing directly with all potential customers. The management team is committed to ensuring compliance with all requirements and ensuring that customers and potential customers have a positive experience and, therefore, refer future business. New client market development is typically through introductions from existing clients to future clients. Health Resource Network, Inc. focuses its efforts towards healthcare organizations. Our client base and vendor base are screened for financial stability to assure all parties in the buy/sell equation are secured and satisfied with the transactions.**

e. **OFFICERS:** Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.

**SEE CONFIDENTIAL ATTACHMENT H.**

## 9. DISCLOSURE STATEMENT:

*(Not applicable for an applicant applying for a license exclusively as a broker/marketer.)*

**DISCLOSURE STATEMENTS:** If proposing to serve Residential and/or Small Commercial (less than 6,000 Mcf annually) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix E to this Application.

- Natural gas should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

**Not applicable.**

## 10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. **STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 62.114.

x      **AGREED**

- b. **REPORTING REQUIREMENTS:** Applicant agrees to provide the following information to the Commission:

- Reports of Gross Receipts: Applicant shall file an annual report with the Commission on an annual basis no later than April 30<sup>th</sup> following the end of the calendar year per 52 Pa. Code § 62.110.

x      **AGREED**

- c. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. § 2208(d). Transferee will be required to file the appropriate licensing application.

x      **AGREED**

- d. **ANNUAL FEES:** The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling natural gas in the Commonwealth of PA, and a supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.

x      **ACKNOWLEDGED**

- e. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 62.105.

x      **AGREED**

- f. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

x      **AGREED**

- g. **NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within thirty (30) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 62.105.

x      **AGREED**

- h. **CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

x      **AGREED**

- i. **FILING FEE:** The Applicant has enclosed or paid the required, non-refundable filing fee by **CERTIFIED CHECK OR MONEY ORDER** in the amount of \$350.00 payable to the Commonwealth of Pennsylvania. The Commission does not accept corporate or personal checks for filing fees.

**X PAYMENT ENCLOSED**

**11. AFFIDAVITS**

**(All affidavits must be notarized before filing.)**

- a.) **APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.

**SEE ATTACHMENT I.**

- b.) **OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the Public Utility Code of Pennsylvania and applicable federal and state laws. An example copy of this Affidavit can be found at Appendix B.

**SEE ATTACHMENT I.**

**12. NEWSPAPER PUBLICATIONS**

**Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.**

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. Below is a list of newspapers which cover the publication requirements for Natural Gas Suppliers looking to do business in Pennsylvania.

The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve. The chart below dictates which newspapers are necessary for each NGDC. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

Please file with the Commission the Certification of Publication, along with a Photostatic copy of the notice to complete the notice requirements.

Proof of newspaper publications must be filed with the initial application. Applicants **do not** need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

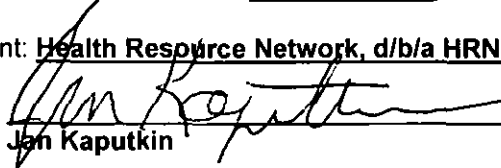
**SEE ATTACHMENT J.**

	Erie Times-News	Harrisburg Patriot-News	Philadelphia Daily News	Pittsburgh Post-Gazette	Scranton Times-Tribune	Williamsport Sun-Gazette	Johnstown Tribune-Democrat
Columbia Gas	X	X		X		X	X
Equitable Gas	X			X			
National Fuel Gas				X			
PECO			X				
Peoples Natural Gas	X			X			X
Peoples TWP LLC				X			
Philadelphia Gas Works			X				
UGI		X	X		X		
UGI Central Penn	X	X	X	X	X	X	X
UGI Penn Natural		X			X	X	
Valley Energy					X	X	
Entire Commonwealth	X	X	X	X	X	X	X

*(Example Publications are provided at Appendices F and G)*

**13. SIGNATURE**

Applicant: Health Resource Network, d/b/a HRNEnergy

By:   
 Jan Kaputkin

Title: CEO

**RECEIVED**

OCT 20 2015

PA PUBLIC UTILITY COMMISSION  
 SECRETARY'S BUREAU

**14. CHECKLIST**

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections is complete.

**Applicant:** Health Resource Network, d/b/a HRNEnergy

	<b>Signature</b>	
x		
	<b>Filing Fee (ONLY CERTIFIED CHECK OR MONEY ORDER)</b>	
x		
	<b>Application Affidavit (See Attachment I)</b>	
x		
	<b>Operations Affidavit (See Attachment I)</b>	
x		
	<b>Proof of Publication (See Attachment J)</b>	
x		
	<b>Tax Certification Statement (See Attachment F)</b>	
x		
	<b>Commonwealth Department of State Verification (See Attachments A &amp; B)</b>	
x		
	<b>Certificate of Service (See Attachment C)</b>	
x		

Applicant's Use

PUC Secretary's Bureau Use

**List of Attachments**  
**In Support of**  
**Application of Health Resources Network, Inc dba HRN Energy**  
**for approval as a broker/marketer of natural gas supply services**

<b>Attachment</b>	<b>Description</b>	<b>Application Section</b>
Attachment A	PA Department of State Foreign Name Registration	2A
Attachment B	PA Department of State Business Registration & Applicant's charter documentation	2B
Attachment C	Certificate of Service	6
Attachment D	Documentation from NGDCs regarding bonding requirements	7A
Attachment E	<b><u>CONFIDENTIAL (FILED UNDER SEAL)</u></b> Tax Returns Profit & Loss Statements Balance Sheets Bank Statements Insurance Coverage	7B
Attachment F	<b><u>CONFIDENTIAL (FILED UNDER SEAL)</u></b> Tax Certification Statement	7F
Attachment G	Technical Fitness Supporting Documents	8A
Attachment H	<b><u>CONFIDENTIAL (FILED UNDER SEAL)</u></b> Resume of Officers	8E
Attachment I	Application Affidavit Operations Affidavit	11A 11B
Attachment J	Proof of Newspaper Publications	12

# Attachment A

**RECEIVED**

OCT 20 2015

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

COMMONWEALTH OF PENNSYLVANIA  
DEPARTMENT OF STATE  
CORPORATION BUREAU  
401 NORTH STREET, ROOM 206  
P.O. BOX 8722  
HARRISBURG, PA 17105-8722  
WWW.CORPORATIONS.STATE.PA.US/CORP

HRNEnergy

THE CORPORATION BUREAU IS HAPPY TO SEND YOU YOUR FILED DOCUMENT. THE CORPORATION BUREAU IS HERE TO SERVE YOU AND WANTS TO THANK YOU FOR DOING BUSINESS IN PENNSYLVANIA.

IF YOU HAVE ANY QUESTIONS PERTAINING TO THE CORPORATION BUREAU, PLEASE VISIT OUR WEB SITE LOCATED AT WWW.CORPORATIONS.STATE.PA.US/CORP OR PLEASE CALL OUR MAIN INFORMATION TELEPHONE NUMBER (717)787-1057. FOR ADDITIONAL INFORMATION REGARDING BUSINESS AND / OR UCC FILINGS, PLEASE VISIT OUR ONLINE "SEARCHABLE DATABASE" LOCATED ON OUR WEB SITE.

ENTITY NUMBER: 3915034

**RECEIVED**

OCT 20 2015

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

Eckert Seamans Cherin & Mellott LLC  
COUNTER



**PENNSYLVANIA DEPARTMENT OF STATE  
CORPORATION BUREAU**

---

Entry Number **Application for Registration of Fictitious Name**  
54 Pa.C.S. § 311

---

Name <b>Eckert Seamans Cherin &amp; Mellott, LLC</b> <hr/> Address <b>COUNTER/DO NOT MAIL</b> <hr/> City <span style="margin-left: 100px;">State</span> <span style="margin-left: 100px;">Zip Code</span>	Document will be returned to the name and address you enter to the left. 
---	--

Fee: \$70

Commonwealth of Pennsylvania  
FICTITIOUS NAME 2 Page(s)



In compliance with the requirements of 54 Pa.C.S. § 311 (relating to registration), the undersigned entity(ies) desiring to register a fictitious name under 54 Pa.C.S. Ch. 3 (relating to fictitious names), hereby state(s) that:

1. The fictitious name is:  
**HRNEnergy**

2. A brief statement of the character or nature of the business or other activity to be carried on under or through the fictitious name is:  
**Energy consulting**

3. The address, including number and street, if any, of the principal place of business (P.O. Box alone is not acceptable):

<b>201 Columbia Turnpike Suite D</b>	<b>Florham Park</b>	<b>NJ</b>	<b>07932</b>	<b>Morris</b>
Number and street	City	State	Zip	County

4. The name and address, including number and street, if any, of each individual interested in the business is:

Name	Number and Street	City	State

2009 OCT 28 PM 3:36  
PA DEPT OF STATE

2009 NOV -3 PM 1:37

PA DEPT OF STATE

RECEIVED

OCT 20 2015

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

5. Each entity, other than an individual, interested in such business is (are):

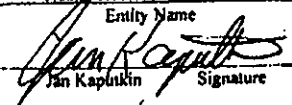
Health Resource Network, Inc.	Corporation	NJ
Name	Form of Organization	Organizing Jurisdiction
201 Columbia Turnpike D, Florham Park, NJ 07932		
Principal Office Address		
PA Registered Office, if any		
Name	Form of Organization	Organizing Jurisdiction
Principal Office Address		
PA Registered Office, if any		

6. The applicant is familiar with the provisions of 54 Pa.C.S. § 332 (relating to effect of registration) and understands that filing under the Fictitious Names Act does not create any exclusive or other right in the fictitious name.

7. Optional: The name(s) of the agent(s), if any, any one of whom is authorized to execute amendments to, withdrawals from or cancellation of this registration in behalf of all then existing parties to the registration, is (are):

IN TESTIMONY WHEREOF, the undersigned have caused this Application for Registration of Fictitious Name to be executed this

15<sup>th</sup> day of October, 2009

Individual Signature	Individual Signature
Individual Signature	Individual Signature
Entity Name	Health Resource Network, Inc. Entity Name
Signature	 Yan Kaputkin Signature
Title	President & CEO Title

# Attachment B

**RECEIVED**

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PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

COMMONWEALTH OF PENNSYLVANIA  
DEPARTMENT OF STATE  
CORPORATION BUREAU  
401 NORTH STREET, ROOM 206  
P.O. BOX 8722  
HARRISBURG, PA 17105-8722  
WWW.CORPORATIONS.STATE.PA.US/CORP

HRNEnergy

THE CORPORATION BUREAU IS HAPPY TO SEND YOU YOUR FILED DOCUMENT. THE CORPORATION BUREAU IS HERE TO SERVE YOU AND WANTS TO THANK YOU FOR DOING BUSINESS IN PENNSYLVANIA.

IF YOU HAVE ANY QUESTIONS PERTAINING TO THE CORPORATION BUREAU, PLEASE VISIT OUR WEB SITE LOCATED AT WWW.CORPORATIONS.STATE.PA.US/CORP OR PLEASE CALL OUR MAIN INFORMATION TELEPHONE NUMBER (717)787-1057. FOR ADDITIONAL INFORMATION REGARDING BUSINESS AND / OR UCC FILINGS, PLEASE VISIT OUR ONLINE "SEARCHABLE DATABASE" LOCATED ON OUR WEB SITE.

ENTITY NUMBER: 3915034

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OCT 20 2015

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

Eckert Seamans Cherin & Mellott LLC  
COUNTER

10/28/2009 16:41 FAX

Entity #: 3915034  
Date Filed: 10/22/2009  
Pedro A. Cortés  
Secretary of the Commonwealth  
003

PENNSYLVANIA DEPARTMENT OF STATE  
CORPORATION BUREAU

Entry Number

Application for Registration of Fictitious Name  
54 Pa.C.S. § 311

Name

Eckert Seamans Chin & Mellott, LLC

Address

COUNTER/DO NOT MAIL

City

State

Zip Code

Document will be returned to the  
name and address you enter to  
the left.

Fee: \$70

Commonwealth of Pennsylvania  
FICTITIOUS NAME 2 Page(s)



T0830811053

In compliance with the requirements of 54 Pa.C.S. § 311 (relating to registration), the undersigned entity(ies) desiring to register a fictitious name under 54 Pa.C.S. Ch. 3 (relating to fictitious names), hereby state(s) that:

1. The fictitious name is:

HRNEnergy

2. A brief statement of the character or nature of the business or other activity to be carried on under or through the fictitious name is:

Energy consulting

3. The address, including number and street, if any, of the principal place of business (P.O. Box alone is not acceptable):

201 Columbia Turnpike Suite D	Floham Park	NJ	07932	Morris
Number and street	City	State	Zip	County

4. The name and address, including number and street, if any, of each individual interested in the business is:

Name	Number and Street	City	State
------	-------------------	------	-------

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PA DEPT OF STATE

2009 NOV -3 PM 1:37

PA DEPT OF STATE

RECEIVED

OCT 20 2015

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

5. Each entity, other than an individual, interested in such business is (are):

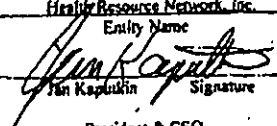
Health Resource Network, Inc.	Corporation	NJ
Name	Form of Organization	Organizing Jurisdiction
201 Columbia Turnpike D, Florham Park, NJ 07932		
Principal Office Address		
PA Registered Office, if any		
Name	Form of Organization	Organizing Jurisdiction
Principal Office Address		
PA Registered Office, if any		

6. The applicant is familiar with the provisions of 54 Pa.C.S. § 332 (relating to effect of registration) and understands that filing under the Fictitious Names Act does not create any exclusive or other right in the fictitious name.

7. Optional: The name(s) of the agent(s), if any, any one of whom is authorized to execute amendments to, withdrawals from or cancellation of this registration in behalf of all then existing parties to the registration, is (are):

IN TESTIMONY WHEREOF, the undersigned have caused this Application for Registration of Fictitious Name to be executed this

17 day of October, 2009.

Individual Signature	Individual Signature
Individual Signature	Individual Signature
Entity Name	Health Resource Network, Inc. Entity Name
Signature	 Jan Kaputkin Signature
Title	President & CEO Title

**CERTIFICATE OF INCORPORATION**  
**OF**  
**HEALTH RESOURCE NETWORK, INC.**

**FILER:**

**Cole, Schotz, Meisel, Forman & Leonard**

**25 Main Street**

**Hackensack, New Jersey 07602**



C365639

FILED

APR 11 1995

**CERTIFICATE OF INCORPORATION :**

**OF**

**HEALTH RESOURCE NETWORK, INC.**

**To: The Secretary of State**

**State of New Jersey**

The undersigned, of the age of eighteen years or over, for the purpose of forming a corporation pursuant to the provisions of Title 14A, Corporations, General, of the New Jersey Statutes, does hereby execute the following Certificate of Incorporation.

(1) The name of the corporation is

**HEALTH RESOURCE NETWORK, INC.**

(2) The purpose or purposes for which the corporation is organized are: To do any lawful act or thing for which corporations may be organized pursuant to the provisions of Title 14A, Corporations, General, of the New Jersey Statutes.

(3) The aggregate number of shares which the corporation shall have the authority to issue is 10,000 shares each of which shall have no par value.

(4) The address of the corporation's initial registered office is % Cole, Schotz, Meisel, Forman & Leonard, 25 Main Street, Hackensack, New Jersey 07602.

The name of the corporation's registered agent at such address is Jeffrey S. Brown, Esq.

(5) The number of directors constituting the initial board of directors shall be one and the name and address is as follows:

NAME

Jan Kaputkin

ADDRESS

17 Chimney Ridge Drive  
Morristown, New Jersey 07960



(6) The name and address of the Incorporator is as follows:

**NAME**

**ADDRESS**

Tabatha F. Fiorelli

Prentice Hall  
830 Bear Tavern Road  
West Trenton, New Jersey 08628

IN WITNESS WHEREOF, the undersigned incorporator of the above named corporation, has hereunto signed this Certificate of Incorporation this eleventh day of April, 1995.

Tabatha F. Fiorelli  
Tabatha F. Fiorelli  
Incorporator

# Attachment C

**RECEIVED**

OCT 20 2015

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

**CERTIFICATE OF SERVICE**

I hereby certify that this day I served a copy of the Application upon the persons listed below in the manner indicated in accordance with the requirements of 52 Pa. Code Section 1.54.

**Via First Class Mail**

Office of Consumer Advocate  
5th Floor, Forum Place  
555 Walnut Street  
Harrisburg, PA 17120

National Fuel Gas Distribution Corp.  
David D. Wolford  
6363 Main Street  
Williamsville, NY 14221

Office of the Small Business Advocate  
Commerce Building, Suite 1102  
300 North Second Street  
Harrisburg, PA 17101

PECO  
Carlos Thillet, Manager, Gas Supply and  
Transportation  
2301 Market Street, S9-2  
Philadelphia, PA 19103

Office of the Attorney General  
Bureau of Consumer Protection  
Strawberry Square, 14th Floor  
Harrisburg, PA 17120

Philadelphia Gas Works  
Nicholas LaPergola  
800 West Montgomery Avenue  
Philadelphia, PA 19122

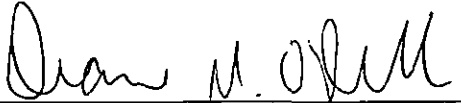
Commonwealth of Pennsylvania  
Department of Revenue  
Bureau of Compliance  
Harrisburg, PA 17128-0946

UGI  
David Lahoff  
2525 N. 12th Street, Suite 360  
Reading, PA 19612-2677

Bureau of Investigation & Enforcement  
Pennsylvania Public Utility Commission  
Commonwealth Keystone Building  
400 North Street, 2 West  
Harrisburg, PA 17120

Valley Energy Inc.  
Robert Crocker  
523 South Keystone Avenue  
Sayre, PA 18840-0340

Columbia Gas of PA, Inc.  
Thomas C. Heckathorn  
200 Civic Center Drive  
Columbus, OH 43215

  
Deanne M. O'Dell, Esq.

Peoples Natural Gas – Equitable Division  
Lynda Petrichevich  
225 North Shore Drive  
Pittsburgh, PA 15212

Date: Oct. 20, 2015

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OCT 20 2015

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

# Attachment D

**RECEIVED**

OCT 20 2015

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU



**National Fuel**

September 17, 2015

Jan Kaputkin, CEO  
Health Resource Network, Inc.  
d/b/a HRN Energy  
201 Columbia Turnpike, Suite D  
Florham Park, NJ 07932

Re: Security Requirement for HRN Energy:

Dear Jan,

National Fuel Gas Distribution Corporation ("NFGDC") is aware HRN Energy, Inc (HRN) has filed an application with the Pennsylvania Public Utility Commission to supply natural gas service to the public in Pennsylvania and specifically within the service territory of NFGDC.

As you know, in making such an application, HRN must furnish acceptable security to each utility where HRN will do business. As such, under its tariff, NFGDC could require HRN to provide a bond or other financial security instrument in an amount that NFGDC determines to be appropriate.

However, you have indicated, and it is NFGDC's understanding that HRN intends only to provide natural gas aggregating, brokering and consulting services at this time. You have stated that, in performing these services, HRN will never take title to any delivered natural gas.

Based upon your representations, NFGDC has determined that, at this time, HRN does not need to post a bond or other form of security to operate in its service territory. However, if the services provided by HRN change in the future, NFGDC reserves the right to require security from HRN as it deems appropriate.

If you have any questions concerning the foregoing, please contact me at 716-857-7599.

Yours truly,

Nathan E. Barnes  
Transportation Services Department

**RECEIVED**

OCT 20 2015

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU



PECO Energy Company  
Gas  
2301 Market Street, S9-3  
Philadelphia, PA 19101

www.exeloncorp.com

An Exelon Company

September 21, 2015

Jan Kaputkin  
Health Resource Network, Inc.  
201 Columbia Turnpike Suite D  
Florham Park, NJ 07932

Re: Bonding Requirements

Dear Jan Kaputkin:

PECO is aware that Health Resource Network, Inc. has applied for a license to provide brokering and consulting services to commercial and industrial customers on the distribution system of PECO.

In making such an application, Health Resource Network, Inc. could be required to provide to PECO a bond or other acceptable financial security in an amount that PECO determines to be appropriate. Health Resource Network, Inc. has indicated that it intends to provide only brokering and consulting services to commercial and industrial customers will not take title to any delivered natural gas; nor will accept any customer payments or deposits. Therefore, PECO has determined at this time that Health Resource Network, Inc. does not need a bond or other financial security requirement, since they are not directly engaging in business with PECO and only providing brokering or consulting services to PECO customers. However, if the services provided by Health Resource Network, Inc. or the creditworthiness requirement for PECO's exposure to Health Resource Network, Inc. changes in the future, PECO reserves the rights to require Health Resource Network, Inc. to provide a bond or other financial security instrument.

If you should have any questions regarding this matter, please contact Chris Sauerbaum at 215-841-6422 or myself at 215-841-6452.

Respectfully submitted,

A handwritten signature in cursive script that reads "Carlos P. Thillet".

Carlos P. Thillet  
Manager, Gas Supply and Transportation  
2301 Market St S9-1  
Philadelphia, Pa 19103



PEOPLES NATURAL GAS



PEOPLES TWP

225 North Shore Drive  
Pittsburgh, PA 15212

**Lynda W. Petrichevich**  
Director, Rates and Requirements Forecasting

**Peoples Service Company LLC**  
Phone: 412-208-6528; Fax: 412-208-6577  
Email: [lpetrichovich@peoples-gas.com](mailto:lpetrichovich@peoples-gas.com)  
September 15, 2015

**Health Resource Network, Inc**  
dba HRNEnergy  
Jan Kaputkin  
Chief Executive Officer  
201 Columbia Turnpike Suite D  
Florham Park, New Jersey 07932

Dear Mr. Kaputkin:

We are pleased that Health Resource Network, Inc – dba HRNEnergy has applied for a license to provide natural gas broker/marketer services on the Peoples Group of Companies. Specifically you have requested to be licensed as a supplier on the distribution systems of Peoples Natural Gas Company LLC, Peoples TWP, and Peoples Natural Gas LLC – Equitable Division (“the Companies”).

Since Health Resource Network, Inc – dba HRNEnergy is not currently operating, and has no immediate plan to operate a Pool on the Peoples systems, we have determined at this time that Health Resource Network, Inc – dba HRNEnergy does not need a bond or other financial security requirement to provide these services to the Company’s customers.

If the creditworthiness requirement or the Company’s exposure to Health Resource Network, Inc – dba HRNEnergy. provision of services on the Peoples’ system changes in the future, the Companies may deem it appropriate to require a bond or other financial instrument.

If you have any questions feel free to contact me at 412-208-6528 or by email at [Lynda.W.Petrichevich@peoples-gas.com](mailto:Lynda.W.Petrichevich@peoples-gas.com).

Sincerely,

Lynda W. Petrichevich  
Director – Rates and Requirements Forecasting  
Peoples Natural Gas Company LLC

Cc: Steven Kolich  
Carol Miller

**Philadelphia Gas Works**



800 W. Montgomery Ave., Philadelphia, PA 19122  
Telephone: 215-236-0500

September 11, 2015

Mr. Jan Kaputkin  
Chief Executive Officer  
Health Resource Network, Inc.  
201 Columbia Turnpike, Suite D  
Florham Park, NJ 07932

Re: Security Requirement Bond - Health Resource Network, Inc.

Dear Mr. Kaputkin:

Philadelphia Gas Works ("PGW") is aware that Health Resource Network, Inc. has filed an application with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania and specifically within the services territory of Philadelphia Gas Works.

As you know, in making such an application, Health Resource Network, Inc. must furnish acceptable security to each utility where Health Resource Network, Inc. will do business. As such, under its tariff, Philadelphia Gas Works could require Health Resource Network, Inc. to provide a bond or other financial security instrument in an amount that Philadelphia Gas Works determines to be appropriate.

However, you have indicated, and it is Philadelphia Gas Works' understanding, that Health Resource Network, Inc. intends only to provide natural gas aggregating, brokering and consulting services at this time. You have stated that, in performing these services, Health Resource Network, Inc., will never take title to any delivered natural gas.

Based upon your representations, Philadelphia Gas Works has determined that, at this time, Health Resource Network, Inc. does not need to post a bond or other form of security to operate in its service territory. If the services provided by Health Resource Network, Inc. should change, Philadelphia Gas Works reserves the right to require security from Health Resource Network, Inc. as it deems appropriate.

If you have any questions concerning the foregoing, please contact me at (215) 684-6278.

Sincerely,

A handwritten signature in black ink, appearing to read "Nicholas E. LaPergola". The signature is fluid and cursive, written over the typed name.

Nicholas E. LaPergola

Director  
Gas Supply, Transportation & Control

NFL:b





UGI Utilities, Inc.  
2525 North 12<sup>th</sup> Street  
Suite 360  
Post Office Box 12677  
Reading, PA 19612-2677

September 28, 2015  
Mr. Jan Kaputkin  
Chief Executive Officer  
Health Resource Network, Inc.  
201 Columbia Turnpike Suite D  
Florham Park, NJ 07932

RE: Health Resource Network, Inc application to serve as a Natural Gas Broker

Dear Mr. Kaputkin,

Based on your assertion that Health Resource Network, Inc ("HEALTH RESOURCE NETWORK") is applying with the State of Pennsylvania to operate as a natural gas broker/marketer, UGI Utilities Inc. ("UGIU") has concluded that HEALTH RESOURCE NETWORK will not need to post security with UGI-Central Penn Gas ("CPG"), UGI-Penn Natural Gas ("PNG") or UGI Utilities Gas Division ("UGI"). This is based on the declaration that HEALTH RESOURCE NETWORK will not be taking title to gas or directly serving end use customers. This also assumes that HEALTH RESOURCE NETWORK will be acting in conjunction with a licensed Natural Gas Supplier who has been approved by the Pennsylvania Public Utility Commission to serve in the applicable UGIU service territories and who has posted the required financial security as specified in the respective UGIU tariffs. If HEALTH RESOURCE NETWORK wishes to directly serve Choice customers in the service territories of UGI, PNG and/or CPG in the future as a natural gas supplier, it will have to post security as specified in the respective UGI tariffs prior to the commencement of the service.

Please feel free to contact me with any additional questions that you may have.

Sincerely,

David E. Lahoff  
Manager, Tariff & Supplier Administration  
UGI Utilities, Inc.



# VALLEY ENERGY

523 S. Keystone Avenue, P.O. Box 340, Sayre, PA 18840  
800/998-4427 • 570/888-9664 • FAX 570/888-6199

September 10, 2015

**VIA EMAIL**

Jan Kaputkin  
Health Resource Network, Inc.  
201 Columbia Turnpike, Suite D  
Florham Park, NJ 07932  
[Jan@HealthResourceNetwork.com](mailto:Jan@HealthResourceNetwork.com)

Dear Ms. Kaputkin:

We understand that Health Resource Network, Inc. has applied with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania including our company's service area.

Because Health Resource Network, Inc. intends to only provide natural gas aggregating, brokering and consulting services at this time, we have determined that Health Resource Network, Inc. will not be required to post a bond or other form of financial security instrument to provide these services in our service area. However, if the services provided change in the future, we reserve the right to require security from Health Resource Network, Inc. as deemed appropriate.

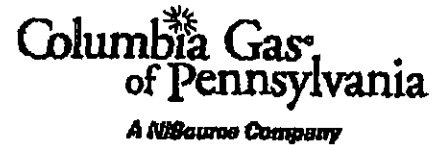
If you have any questions, please contact Mrs. Marjorie Johnston at 570-888-9664.

Sincerely,

Robert J. Crocker  
President & CEO

RJC/ss

cc: M. Johnston, Valley Energy



September 14, 2015

Jan Kaputkin  
Health Resource Network  
201 Columbia Turnpike, Suite D  
Florham Park, NJ 07932

Dear Jan Kaputkin:

We are pleased that Health Resource Network has applied for a license to provide Natural Gas Broker/Marketer Services on the distribution system of Columbia Gas of Pennsylvania, Inc. ("Columbia Gas").

Under Paragraph 2.4.5 of the Rules Applicable to Distribution Service section of the Tariff of Columbia Gas, Health Resource Network could be required to provide to Columbia Gas a bond or other financial security instrument in an amount that Columbia Gas determines to be appropriate. Health Resource Network has indicated only brokering and consulting services will be provided. Therefore, we have determined at this time that Health Resource Network does not need a bond or other financial security requirement to provide broker natural gas services to Columbia Gas customers.

If the creditworthiness requirement or Columbia Gas' exposure to Health Resource Network changes in the future, Columbia Gas might deem it appropriate to require Health Resource Network to provide a bond or other financial security instrument.

Please feel free to contact me at 614-460-6841 should you have any questions regarding a bond or other financial security instrument requirements of Columbia Gas.

Sincerely,

A handwritten signature in cursive script that reads 'Michele Caddell'.

Michele Caddell  
Manager of Choice and Transportation Support Services

**Attachment E**  
(Confidential – Filed Under Seal)

**RECEIVED**

OCT 20 2015

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

# Attachment F

(Confidential – Filed Under Seal)

**RECEIVED**

OCT 20 2015

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

# Attachment G

**RECEIVED**

OCT 20 2015

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

8. Technical Fitness

Health Resource Network has advised large commercial and industrial clients procure natural gas commodity through third party suppliers since 1997. Health Resource Network, Inc. has been primarily providing these services in three states:

1. New York
2. New Jersey
3. Pennsylvania

Attached are the licenses from the State of New Jersey

1. Energy Consultant
2. Energy Agent
3. Private Aggregator

Health Resource Network, Inc. has managed these purchases for over 50 healthcare organizations and since 1997 has guided its clients to purchase over 35,000,000 dekatherms of natural gas through third party suppliers.

Health Resource Network, Inc. has a unique exclusive partnership with the Hospital Association of Pennsylvania to assist and advise hospital membership throughout the Commonwealth procure Natural Gas and Electricity. The activities and advice of Health Resource Network, Inc. has yielded savings of over \$3,000,000 dollars annually for the association participants.

Through the Hospital Association of Pennsylvania, Health Resource Network, Inc. coordinates marketing efforts to the association membership.

Health Resource Network dba/HRNEnergy since 2009 is a licensed Energy Generation Services (EGS) within the Commonwealth of Pennsylvania License # A-2009-2138366.

Health Resource Network, Inc. dba HRNEnergy has been providing EGS services to more than 30 hospital locations within the Commonwealth since 2009.

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OCT 20 2015

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

# State of New Jersey



## Board of Public Utilities

44 South Clinton Avenue, P.O. Box 350, Trenton, New Jersey 08625

### HEREBY REGISTERS

**Health Resource Network, Inc.**

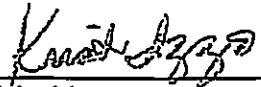
**d/b/a HRN Energy**

201 Columbia Turnpike, Suite D  
Florham Park, New Jersey 07932

To conduct business in the State of New Jersey as an

**Energy Consultant**





Kristi Izzo  
Secretary to the Board

Registration No. EC-0095  
Effective Date: August 20, 2014  
Expiration Date: August 19, 2015



# State of New Jersey



## Board of Public Utilities

44 South Clinton Avenue, P.O. Box 350, Trenton, New Jersey 08625

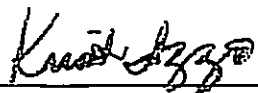
### HEREBY REGISTERS

Health Resource Network, Inc.  
d/b/a HRN Energy  
201 Columbia Turnpike, Suite D  
Florham Park, New Jersey 07932

To conduct business in the State of New Jersey as an

**Energy Agent**





Kristi Izzo  
Secretary to the Board

Registration No. EA-0301  
Effective Date: August 20, 2014  
Expiration Date: August 19, 2015

# State of New Jersey



## Board of Public Utilities

44 South Clinton Avenue, P.O. Box 350, Trenton, New Jersey 08625

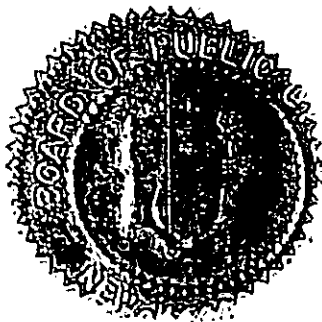
### HEREBY REGISTERS

Health Resource Network, Inc.  
d/b/a HRN Energy

201 Columbia Turnpike, Suite D  
Florham Park, New Jersey 07932

To conduct business in the State of New Jersey as a

**Private Aggregator**



A handwritten signature in cursive script, appearing to read "Kristi Izzo".

Kristi Izzo  
Secretary to the Board

Registration No. PA-0161  
Effective Date: August 20, 2014  
Expiration Date: August 19, 2015

Jan Kaputkin founded Health Resource Network, Inc. in 1995 to offer hospitals and health care organizations consulting expertise related to energy purchasing.

Since the inception of Energy Deregulation in 1997, Jan Kaputkin has been providing Energy Procurement advisory services that include electric, natural gas and heating oil commodity analysis and purchasing and the delivery of energy commodity to hospitals yielding savings of over \$ 725,000,000.

In 1997 through Health Resource Network, he initiated the first hospital electric energy aggregation in the Commonwealth of Pennsylvania, purchasing electric commodity and delivery service leading to millions of dollars in savings. Subsequently Health Resource Network has developed its "*Utility Asset Management*" program. This program is designed to forecast and implement purchasing strategy for hospitals to allow its clients to purchase electricity, natural gas and heating oil effectively, saving significant cost in the commodity and the rates for delivery of commodity.

He has been a member of the Electric Power Research Institute's (EPRI) Healthcare Advisory Board, Palo Alto, California and has been featured in articles in the Health Care Strategist, Healthcare Facilities Management, Healthcare Purchasing News and Modern Healthcare.

Mr. Kaputkin before founding Health Resource Network Inc. was the Vice President for Corporate Ventures, Premier Inc. Premier is a hospital owned group-purchasing organization cooperative. As Vice President of Corporate Ventures he was responsible activities relating to operational and financial areas throughout the Premier hospital network.

# Attachment H

(Confidential – Filed Under Seal)

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OCT 20 2015

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

# Attachment I

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PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

Appendix A

APPLICATION AFFIDAVIT

State of New Jersey :

: ss.

County of Morris :

Jan Kaputkin, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

He is the Chief Executive Officer (Office of Affiant) of Health Resource Network, Inc.

[That he/she is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein Health Resource Network, Inc. has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as a natural gas supplier pursuant to 66 Pa. C.S. § 2208 (c)(1).

That the Applicant herein Health Resource Network, Inc. has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein Health Resource Network, Inc. acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein Health Resource Network, Inc. acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

*Jan Kaputkin*  
Signature of Affiant

RECEIVED

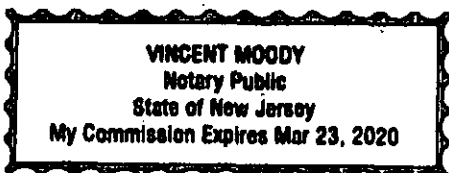
Sworn and subscribed before me this 5<sup>th</sup> day of October, 2015.

OCT 20 2015

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

*Vincent Moody*  
Signature of official administering oath

My commission expires Mar 23, 2020.



**Appendix B**

**OPERATIONS AFFIDAVIT**

State of New Jersey :

: ss.

County of Morris:

Jan Kaputkin, Affiant, being duly [sworn/affirmed] according to law,  
deposes and says that:

He is the Chief Executive Officer (Office of Affiant) of Health Resource Network, Inc.  
(Name of Applicant);]

[That he is authorized to and does make this affidavit for said Applicant;]

That Health Resource Network, Inc., the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That Health Resource Network, Inc., the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render natural gas supply service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Health Resource Network, Inc., the Applicant herein, certifies to the Commission that it is subject to, will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 22 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28 shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional natural gas sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

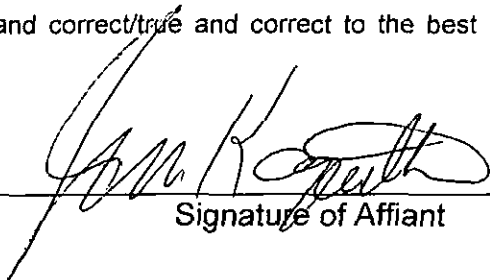
Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

**Appendix B (Continued)**

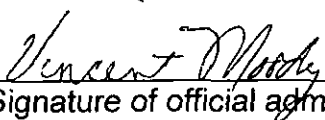
That Health Resource Network, Inc., the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506 and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Office of Communications or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

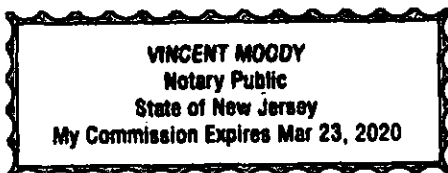
That the facts above set forth are true and correct/~~true~~ and correct to the best of his/her knowledge, information, and belief.

  
\_\_\_\_\_  
Signature of Affiant

Sworn and subscribed before me this 5<sup>th</sup> day of October, 2015.

  
\_\_\_\_\_  
Signature of official administering oath

My commission expires Mar 23, 2020.



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# Attachment J

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PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

# AFFIDAVIT

Commonwealth of Pennsylvania :  
County of Dauphin : ss.

Deanne M. O'Dell, Affiant, being duly sworn according to law, deposes and says:

That she is an authorized representative Health Resource Network, Inc. (d/b/a HRNEnergy);

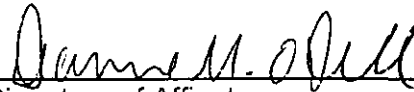
That she is authorized to and does make this affidavit for said Applicant;

That she certifies that she has caused the notice of the filing of HRNEnergy natural gas supply service application to be published in the following newspapers on the dates shown:

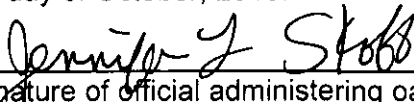
The Erie Times-News	October 14, 2015
The Scranton Times	October 9, 2015
The Philadelphia Daily News	October 12, 2015

That she is submitting to the Commission the proof of publication from each newspaper in which notice of the application filing was published.

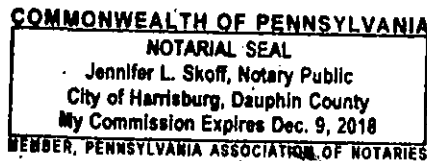
That the facts above set forth are true and correct to the best of her knowledge, information, and belief, and that she expects said Applicant to be able to prove the same at hearing.

  
Signature of Affiant  
Deanne M. O'Dell

Sworn and subscribed before me this 20<sup>th</sup> day of October, 2015.

  
Signature of official administering oath

My commission expires Dec. 9, 2018



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OCT 20 2015

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

PROOF OF PUBLICATION  
In  
THE ERIE TIMES-NEWS  
COMBINATION EDITION

ECKERT SEAMANS CHERIN & MELLOTT  
213 MARKET ST  
8th FLOOR  
HARRISBURG PA 17101

REFERENCE: 110921 164624  
PUC Notice

STATE OF PENNSYLVANIA)  
COUNTY OF ERIE ) SS:

Debra McGraw, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, a true and correct copy of which is attached, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 10/14/15

TOTAL COST: \$409.00 AD SPACE: 0 Lines

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OCT 20 2015

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

PENNSYLVANIA PUBLIC UTILITY COMMISSION  
NOTICE

Application of Health Resource Network, Inc. (d/b/a "HRNEnergy")  
For Approval To Offer, Render, or Furnish Natural Gas Services as a Marketer/  
Broker Engaged In The Business Of Supplying Natural Gas Supply Services,  
To The Public In The Commonwealth Of Pennsylvania.

HRNEnergy will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. HRNEnergy proposes to sell natural gas and related services in Columbia Gas of PA, Inc. Peoples Natural Gas - Equitable Division, The Peoples Natural Gas Company, Peoples TWP LLC (Formerly T.W. Phillips), UGI Central Penn. Valley Energy Inc. National Fuel Gas Distribution Corp, PECO, Philadelphia Gas Works, UGI and UGI Penn Natural under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of HRNEnergy may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to HRNEnergy's attorney at the address listed below.

By and through Counsel: Deanne M. O'Dell, Esquire  
ECKERT SEAMANS CHERIN & MELLOTT, LLC  
213 Market Street . 8th Floor  
Harrisburg, PA 17101  
Phone (717) 237-6000 | Fax (717) 237.6019  
dodell@eckertseamans.com

2015-164624

Sworn to and subscribed before me this 14th day of October 2015

Affiant: Debra McGraw

NOTARY: Barbara J Moore

COMMONWEALTH OF PENNSYLVANIA

Notarial Seal  
Barbara J. Moore, Notary Public  
City of Erie, Erie County  
My Commission Expires March 23, 2016  
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

The Scranton Times (Under act P.L. 877 No 160. July 9, 1976)  
Commonwealth of Pennsylvania, County of Lackawanna

JUDY CADENHEAD ECKERT SEAMANS CHERIN & MELLOTT  
SUSAN LONGNAKER  
213 MARKET STREET, 8TH FLOOR HARRISBURG PA 17101

Account # 72739  
Order # 81842504  
Ad Price: 242.60

HRNENERGY

Gina Krushinski

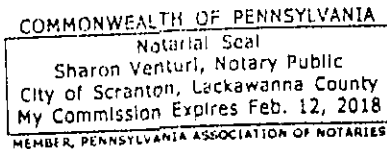
Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

10/09/2015

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true Gina Krushinski

Sworn and subscribed to before me  
this 9th day of October A.D., 2015

Sharon Venturi  
(Notary Public)



**LEGAL NOTICE**  
**PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE**  
*Application of Health Resource Network, Inc. (d/b/a HRNEnergy) For Approval To Offer, Render, or Furnish Natural Gas Services as a Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsylvania.*

HRNEnergy will be filing an application with the Pennsylvania Public Utility Commission (PUC) for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. HRNEnergy proposes to sell natural gas and related services in Columbia Gas of PA, Inc. Peoples Natural Gas Equitable Division, The Peoples natural Gas Company, Peoples TWP LLC (Formerly T.W. Phillips), UGI Central Penn, Valley Energy inc. National Fuel Gas Distribution Corp, PECO, Philadelphia Gas Works, UGI and UGI Penn Natural under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of HRNEnergy may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to HRNEnergy's attorney at the address listed below.

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OCT 20 2015

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

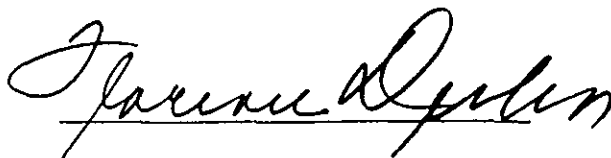
Proof of Publication in The Philadelphia Daily News  
Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA  
COUNTY OF PHILADELPHIA

Florence Devlin being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

October 12, 2015

Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

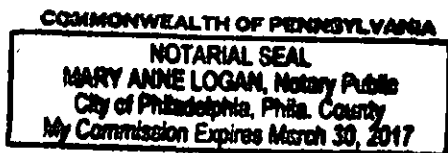


Sworn to and subscribed before me this 12th day of  
October, 2015.



Notary Public

My Commission Expires:



Copy of Notice of Publication

Application of Health Resource Network, Inc. (d/b/a "HRNenergy") For Approval To Order, Render, or Furnish Natural Gas Services as a Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsylvania. HRNenergy will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. HRNenergy proposes to sell natural gas and related services in Columbia Gas of PA, Inc., Peoples Natural Gas - Equitable Division, The Peoples Natural Gas Company, Peoples TWP LLC (Formerly T.W. Phillips), UGI Central Penn, Valley Energy Inc, National Fuel Gas Distribution Corp, PECCO, Philadelphia Gas Works, UGI and UGI Penn Natural under the provisions of the new Natural Gas Choice and Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of HRNenergy may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3285, Harrisburg, PA 17105-3285. You should send copies of any protest to HRNenergy's attorney at the address listed below.

By and through Counsel:  
Deanne M. O'Dell, Esquire  
ECKERT SEAMANS CHERIN & MELLOTT, LLC  
213 Market Street, 8th Floor  
Harrisburg, PA 17101  
Phone (717) 237-6000 | Fax (717) 237-6019  
dodell@eckertseamans.com

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OCT 20 2015

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

## CERTIFICATE OF SERVICE

I hereby certify that this day I served a copy of the Application upon the persons listed below in the manner indicated in accordance with the requirements of 52 Pa. Code Section 1.54.

### Via First Class Mail

Office of Consumer Advocate  
5th Floor, Forum Place  
555 Walnut Street  
Harrisburg, PA 17120

National Fuel Gas Distribution Corp.  
David D. Wolford  
6363 Main Street  
Williamsville, NY 14221

Office of the Small Business Advocate  
Commerce Building, Suite 1102  
300 North Second Street  
Harrisburg, PA 17101

PECO  
Carlos Thillet, Manager, Gas Supply and  
Transportation  
2301 Market Street, S9-2  
Philadelphia, PA 19103

Office of the Attorney General  
Bureau of Consumer Protection  
Strawberry Square, 14th Floor  
Harrisburg, PA 17120

Philadelphia Gas Works  
Nicholas LaPergola  
800 West Montgomery Avenue  
Philadelphia, PA 19122

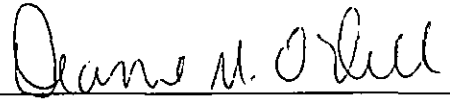
Commonwealth of Pennsylvania  
Department of Revenue  
Bureau of Compliance  
Harrisburg, PA 17128-0946

UGI  
David Lahoff  
2525 N. 12th Street, Suite 360  
Reading, PA 19612-2677

Bureau of Investigation & Enforcement  
Pennsylvania Public Utility Commission  
Commonwealth Keystone Building  
400 North Street, 2 West  
Harrisburg, PA 17120

Valley Energy Inc.  
Robert Crocker  
523 South Keystone Avenue  
Sayre, PA 18840-0340

Columbia Gas of PA, Inc.  
Thomas C. Heckathorn  
200 Civic Center Drive  
Columbus, OH 43215

  
Deanne M. O'Dell, Esq.

Peoples Natural Gas – Equitable Division  
Lynda Petrichevich  
225 North Shore Drive  
Pittsburgh, PA 15212

Date: Oct. 20, 2015

SECRETARY'S BUREAU  
PA PUC  
2015 OCT 20 PM 1:55

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