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Sales Manager  
Progressive Energy Group, LLC  
2112 W Galena Blvd, Suite 8210  
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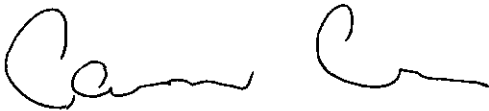
NOV 25 2015

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

To Whom It May Concern:

The following is a finalized application and attachments for Progressive Energy Group, LLC dba Progressive Energy Organization for the license to become an electric Broker/Marketer for the Commonwealth of Pennsylvania.

Thank You,

A handwritten signature in black ink, appearing to read 'Cass', with a long horizontal flourish extending to the right.

Cassandra Garcia  
Sales Manager

# BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of **Progressive Energy Group LLC** d/b/a **Progressive Energy Organization**, for approval to offer, render, furnish, or supply electricity or electric generation services as a(n) [as specified in item #4b below] to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

## 1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

**Progressive Energy Organization**  
**2112 W Galena Blvd Suite 8210**  
**Aurora, IL 60506**  
**630-882-6100**  
**www.progressiveenergygroup.com**

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

**Sarah Thomas**  
**Customer Service Specialist**  
**CSC – Springfield**  
**801 Adlai Stevenson Drive**  
**Springfield, IL 62703**  
**Phone: (800) 927-9801 ext.63869 Fax: (302) 636-5454**  
**Sarah.Thomas@cscglobal.com**  
**www.cscglobal.com**

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

**Cassandra Garcia**  
**Sales Manager**  
**2112 W Galena Blvd Suite 8210**  
**Aurora, IL 60506**  
**Phone: 630-882-6100 Fax: 630-882-6132**  
**cassiegarcia@progressiveenergygroup.com**

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

**Deanne M. O'Dell, Esq.**  
**ECKERT SEAMANS CHERIN & MERLOTT, LLC**  
**213 Market Street, 8<sup>th</sup> Floor**  
**Harrisburg, PA 17101**  
**Phone: 717-255-3744 Fax: 717-237-6019**  
**dodell@eckertseamans.com**

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- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: (Required of ALL Applicants)** Provide the name, title, address, telephone number, FAX number, and e-mail **OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED)** responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

**Shawn Ajazi**  
**Vice President**  
**2112 W Galena Blvd, Suite 8210**  
**Aurora, IL 60506**  
**Phone: 630-882-6100 Fax: 630-882-6132**  
**shawnajazi@progressiveenergygroup.com**

**Cassie Garcia**  
**Sales Manager**  
**2112 W Galena Blvd, Suite 8210**  
**Aurora, IL 60506**  
**Phone: 630-882-6100 Fax: 630-882-6132**  
**cassiegarcia@progressiveenergygroup.com**

## 2. BUSINESS ENTITY FILINGS AND REGISTRATION

a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

**OR**

The Applicant will not be using a fictitious name.

b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**

*(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)*

The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

**OR**

The Applicant is a:

- domestic general partnership (\*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- \* If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

**OR**

The Applicant is a:

- domestic corporation (15 Pa. C.S. §1308)
- foreign corporation (15 Pa. C.S. §4124)
- domestic limited liability company (15 Pa. C.S. §8913)
- foreign limited liability company (15 Pa. C.S. §8981)
- Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.

**Please see Attachment A**

- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.

**Please see Attachment B**

- Give name and address of officers.

**Arnold Schramel**  
**Managing Partner**  
**2112 W Galena Blvd, Suite 8210**  
**Aurora, IL 60506**

**Christopher Childress**  
**Managing Partner**  
**2112 W Galena Blvd, Suite 8210**  
**Aurora, IL 60506**

### **3. AFFILIATES AND PREDECESSORS**

*(both in state and out of state)*

- a. AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

**Applicant does not have any affiliates doing business.**

- b. PREDECESSORS:** Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

**Applicant does not have any predecessors doing business.**

#### 4. OPERATIONS

a. **APPLICANT'S PRESENT OPERATIONS:** *(select and complete the appropriate statement)*

Definitions

- Supplier – an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC.
- Aggregator – an entity that purchases electric energy and takes title to electric energy as an intermediary for sale to retail customers.
- Broker/Marketer – an entity that acts as an intermediary in the sale and purchase of electric energy but does not take title to electric energy.

- The Applicant is presently doing business in Pennsylvania as a
- municipal electric corporation
  - electric cooperative
  - local gas distribution company
  - provider of electric generation, transmission or distribution services
  - broker/marketer engaged in the business of supplying electricity services
  - Other; Identify the nature of service being rendered.

or

The Applicant is not presently doing business in Pennsylvania.

b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a *(may check multiple)*:

- Generator of electricity
- Supplier of electricity
- Aggregator engaged in the business of supplying electricity
- Broker/Marketer engaged in the business of supplying electricity services
  - Check here to verify that your organization will not be taking title to the electricity nor will you be making payments for customers.
- Electric Cooperative and supplier of electric power
- Other (Describe):

c. **PROPOSED SERVICES:** Describe in detail the electric services or the electric generation services which the Applicant proposes to offer.

**Progressive Energy Organization will be involved providing energy solutions to commercial and industrial customers. These solutions will be related to both supply and demand sides of the customers' energy usage.**

d. **PROPOSED SERVICE AREA:** Check the box of each Electric Distribution Company for which the Applicant proposes to provide service.

- Citizens' Electric
- Duquesne Light
- Met-Ed
- PECO
- Penelec
- Penn Power

- Pike
- PPL
- UGI Utilities
- Wellsboro
- West Penn

Entire Commonwealth of PA

e. **CUSTOMERS:** Applicant proposes to provide servicesto:

- Residential Customers
- Small Commercial Customers - (25 kW and Under)
- Residential and Small Commercial as Mixed Meter **ONLY (CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE)**
- Large Commercial Customers - (Over 25 kW)
- Industrial Customers
- Governmental Customers
- All of above (Except Mixed Meter)
- Other (Describe):

f. **START DATE:** Provide the approximate date the Applicant proposes to actively market within the Commonwealth.

**Upon receipt of license.**

## 5. COMPLIANCE

- a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

**Neither applicant nor person identified in this application have been or are currently the defendant of a criminal or civil proceeding within the last five (5) years.**

- b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

**N/A**

- c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. If the Applicant has no actions or complaints to list, explicitly state such.

**No formal actions or complaints initiated by a customer, regulatory agency, or prosecutor agency have been filed against either the applicant or a person identified in this application in the last five (5) years.**

- d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

**N/A**



## 6. PROOF OF SERVICE

**Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.**  
(Example Certificate of Service is attached at Appendix C)

- a. **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Bureau of Investigation & Enforcement  
Pennsylvania Public Utility Commission  
Commonwealth Keystone Building  
400 North Street, 2 West  
Harrisburg, PA 17120

Office of the Attorney General  
Bureau of Consumer Protection  
Strawberry Square, 14th Floor  
Harrisburg, PA 17120

Office of Consumer Advocate  
5th Floor, Forum Place  
555 Walnut Street  
Harrisburg, PA 17120

Commonwealth of Pennsylvania  
Department of Revenue  
Bureau of Compliance  
Harrisburg, PA 17128-0946

Office of the Small Business Advocate  
Commerce Building, Suite 1102  
300 North Second Street  
Harrisburg, PA 17101

- b. **EDCs:** Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Electric Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each EDC is as follows.

**Pike County Light & Power Company:**  
Director of Customer Energy Services  
Orange and Rockland Company  
390 West Route 59  
Spring Valley, NY 10977-5300

**West Penn:**  
Legal Department  
West Penn Power d/b/a Allegheny Power  
800 Cabin Hill Drive  
Greensburg, PA 15601-1689

**PECO:**  
Manager Energy Acquisition  
PECO Energy Company  
2301 Market Street  
Philadelphia, PA 19101-8699

**Duquesne Light:**  
Regulatory Affairs  
Duquesne Light Company  
411 Seventh Street, MD 16-4  
Pittsburgh, PA 15219

**PPL:**  
Legal Department  
Attn: Paul Russell  
PPL  
Two North Ninth Street  
Allentown, PA 18108-1179

**Met-Ed, Penelec, and Penn Power:**

Legal Department  
First Energy  
2800 Pottsville Pike  
Reading PA, 19612

**UGI:**

UGI Utilities, Inc.  
Attn: Rates Dept. – Choice Coordinator  
2525 N. 12th Street, Suite 360  
Post Office Box 12677  
Reading, Pa 19612-2677

**Citizens' Electric Company:**

Citizens' Electric Company  
Attn: EGS Coordination  
1775 Industrial Boulevard  
Lewisburg, PA 17837

**Wellsboro Electric Company:**

Wellsboro Electric Company  
Attn: EGS Coordination  
33 Austin Street  
P. O. Box 138  
Wellsboro, PA 16901

**7. FINANCIAL FITNESS**

- a. **BONDING:** In accordance with 66 Pa. C.S. Section 2809(c)(1)(i), the Applicant is required to file a bond or other instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant is...

Furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.

Furnishing the **ORIGINAL** of another initial security for Commission approval, to ensure financial responsibility.

**X** **For Marketers and Brokers** - Filing for a modification to the \$250,000 requirement and furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.

- *At the conclusion of Applicant's first year of operation it is the intention of the Commission to tie security bonds to a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. The amount of the security bond will be reviewed and adjusted on an annual basis.*
- *Example version of a bond and letter of credit are attached at Appendix D & E, Applicant's security must follow language from these examples.*
- *Any deviation from these examples must be identified in the application and may not be acceptable to the Commission.*

**Please see Attachment C – Progressive Energy Organization's Bond/Letter of Credit in the amount of \$10,000. Progressive Energy Organization will not take title to electricity and will not pay electricity bills on behalf of its customers.**

**b. FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
- Applicant's accounting statements, including balance sheet and income statements for the past two years.
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements exhibiting accounts over a minimum two year period.
- Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

**Please see Attachment D – Account Statement**

**Please see Attachment E - \$1 Million Professional Liability Insurance**

**c. SUPPLIER FUNDING METHOD:** If Applicant is operating as anything other than **Broker/Marketer only**, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

**N/A**

**d. BROKER PAYMENT STRUCTURE:** If applicant is a broker/marketer, explain how your organization will be collecting your fees.

**Progressive Energy Group LLC will collect broker fees or commissions paid through suppliers/service providers or consulting fees paid directly by end user.**

**e. ACCOUNTING RECORDS CUSTODIAN:** Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

**Teresa Childress  
Accounts Manager  
2112 W Galena Blvd, Suite 8210  
Aurora, IL 60506  
(630) 882-6100 (Phone) (630) 882-6132 (Fax)  
teresachildress@progressiveenergygroup.com**

**f. TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix F to this application.

*All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.*

*Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.*

**Please see Attachment F – Tax Certification Statement**

## 8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

a. **EXPERIENCE, PLAN, STRUCTURE:** such information may include:

- Applicant's previous experience in the electricity industry.
- Summary and proof of licenses as a supplier of electric services in other states or jurisdictions.
- Type of customers and number of customers Applicant currently serves in other jurisdictions.
- Staffing structure and numbers as well as employee training commitments.
- Business plans for operations within the Commonwealth.
- Documentation of membership in PJM, ECAR, MAAC, other regional reliability councils, or any other membership or certification that is deemed appropriate to justify competency to operate as an EGS within the Commonwealth.
- Any other information appropriate to ensure the technical capabilities of the Applicant.

Please see Attachment G –Experience, Plan and Structure

b. **PROPOSED MARKETING METHOD** (check all that apply)



Internal – Applicant will use its own internal resources/employees for marketing



External EGS – Applicant will contract with a PUC LICENSED EGS broker/marketer



Affiliate – Applicant will use a **NON-EGS** affiliate marketing company and or individuals.



External Third-Party – Applicant will contract with a **NON-EGS** third party marketing company and or individuals



Other (Describe):

c. **DOOR TO DOOR SALES:** Will the Applicant be implementing door to door sales activities?



Yes



No

If yes, will the Applicant be using verification procedures?



Yes



No

If yes, describe the Applicant's verification procedures.

- d. **OVERSIGHT OF MARKETING:** Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

**Management of company employees will control production and distribution of all marketing/promotional/pricing material.**

- e. **OFFICERS:** Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.

**Arnold Schramel**  
**Managing Partner**  
2112 W Galena Blvd, Suite 8210  
Aurora, IL 60506

**Christopher Childress**  
**Managing Partner**  
2112 W Galena Blvd, Suite 8210  
Aurora, IL 60506

**Please see Attachment H – Chief Officers' resumes**

- f. **FERC FILING:** Applicant has:

- Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.
- Received approval from FERC to be a Power Marketer at Docket or Case Number \_\_\_\_\_.
- Not applicable

## **9. DISCLOSURE STATEMENTS:**

**Disclosure Statements:** If proposing to serve Residential and/or Small Commercial (under 25 kW) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix G to this Application.

- Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

***Not applicable for an applicant applying for a license exclusively as a broker/marketer.***

## **10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS**

- a. **PJM LOAD SERVING ENTITY REQUIREMENT:** As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:
- proof of registration as a PJM Load Serving Entity (LSE), or
  - proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

*(Select only one of the following)*

- AGREED - Applicant has included compliance with this requirement in the instant application, labeled in correspondence with this section (10).
- AGREED - Applicant will provide compliance with this requirement within 120 days of receiving its license
- ACKNOWLEDGED - Applicant is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information

**b. STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43, as well as any future amendments.

AGREED

**c. REPORTING REQUIREMENTS:** Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:

- Retail Electricity Choice Activity Reports: The regulations at 52 Pa. Code §§ 54.201–54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's internet web site.
- Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
- The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
- Net Metering Reports: Applicant shall be responsible to report any Net Metering per the Standards on [http://www.puc.pa.gov/consumer\\_info/electricity/alternative\\_energy.aspx](http://www.puc.pa.gov/consumer_info/electricity/alternative_energy.aspx). Scroll down to the Net Metering Standards Section.
- Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis per 52 Pa. Code § 54.39(d).
- Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.

AGREED

d. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.



AGREED

e. **ANNUAL FEES:** The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling electricity in the Commonwealth of PA, and an annual supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.



ACKNOWLEDGED

f. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.



AGREED

g. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.



AGREED

h. **NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within twenty (20) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 54.34.



AGREED

i. **CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.



AGREED

j. **Electronic Data Interchange:** The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at Appendix J.



AGREED

k. **FILING FEE:** The Applicant has enclosed or paid the required, non-refundable filing fee by **CERTIFIED CHECK OR MONEY ORDER** in the amount of \$350.00 payable to the Commonwealth of Pennsylvania. The Commission does not accept corporate or personal checks for filing fees.



PAYMENT ENCLOSED

## 11. AFFIDAVITS

**Must be notarized before filing.**

- a. **APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.
  
- b. **OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.



## 12. NEWSPAPER PUBLICATIONS

**Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.**

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. Below is a list of newspapers which cover the publication requirements for Electric Generation Suppliers looking to do business in Pennsylvania.

The newspapers in which proof of publication is required is dependent on the service territories the applicant is proposing to serve. The chart below dictates which newspapers are necessary for each EDC. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

Please file with the Commission the Certification of Publication, along with a photostatic copy of the notice to complete the notice requirements.

Proof of newspaper publications must be filed with the initial application. Applicants **do not** need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

**Please see Attachment I – Proof of Newspaper Publications**

|                     | Erie Times-News | Harrisburg Patriot-News | Philadelphia Daily News | Pittsburgh Post-Gazette | Scranton Times-Tribune | Williamsport Sun-Gazette | Johnstown Tribune-Democrat |
|---------------------|-----------------|-------------------------|-------------------------|-------------------------|------------------------|--------------------------|----------------------------|
| Citizens' Electric  |                 |                         |                         |                         |                        | X                        |                            |
| Duquesne            |                 |                         |                         | X                       |                        |                          |                            |
| Met Ed              |                 | X                       | X                       |                         | X                      |                          |                            |
| PECO                |                 |                         | X                       |                         |                        |                          |                            |
| Penelec             | X               | X                       |                         |                         | X                      | X                        | X                          |
| Penn Power          | X               |                         |                         | X                       |                        |                          |                            |
| Pike                |                 |                         |                         |                         | X                      |                          |                            |
| PPL                 |                 | X                       | X                       |                         | X                      | X                        |                            |
| UGI                 |                 |                         |                         |                         | X                      |                          |                            |
| Wellsboro           |                 |                         |                         |                         |                        | X                        |                            |
| West Penn           |                 | X                       |                         | X                       |                        | X                        | X                          |
| Entire Commonwealth | X               | X                       | X                       | X                       | X                      | X                        | X                          |

*(Example Publications are provided at Appendices H and I)*

## 13. SIGNATURE

**RECEIVED**

NOV 25 2015

Applicant: Progressive Energy Organization  
 By: [Signature]  
 Title: Sales Manager

PA PUBLIC UTILITY COMMISSION  
 SECRETARY'S BUREAU

## 14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections are complete.

Applicant: Progressive Energy Organization

|                 |   |   |  |
|-----------------|---|---|--|
| Applicant's Use | ✓ | <b>Signature</b>  |  |
|                 | ✓ | <b>Filing Fee (CERTIFIED CHECK OR MONEY ORDER ONLY)</b> |  |
|                 | ✓ | <b>Application Affidavit</b>                            |  |
|                 | ✓ | <b>Operations Affidavit</b>                             |  |
|                 | ✓ | <b>Proof of Publication</b>                             |  |
|                 | ✓ | <b>Bond or Letter of Credit</b>                         |  |
|                 | ✓ | <b>Tax Certification Statement</b>                      |  |
|                 | ✓ | <b>Commonwealth Department of State Verification</b>    |  |
|                 | ✓ | <b>Certificate of Service</b>                           |  |

PUC Secretary's Bureau Use

APPLICATION AFFIDAVIT RECEIVED

NOV 25 2015

Commonwealth of Pennsylvania :

ss. PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

County of Dauphin \_\_\_\_\_ :

Cassandra Garcia, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

She is the Sales Manager of Progressive Energy Organization;

That she is authorized to and does make this affidavit for said Applicant;

That the Applicant herein Progressive Energy Organization has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

That the Applicant herein Progressive Energy Organization has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein Progressive Energy Organization acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein Progressive Energy Organization acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

*Cassandra Garcia*

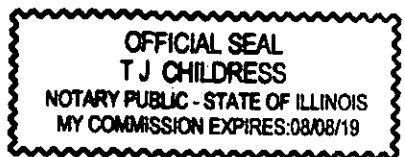
Signature of Affiant

Sworn and subscribed before me this 18 day of November, 2015.

*T J Childress*

Signature of official administering oath

My commission expires 8/8/19



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OPERATIONS AFFIDAVIT

NOV 25 2015

Commonwealth of Pennsylvania:

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

: SS.

County of Dauphin

:

Cassandra Garcia, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

She is the Sales Manager of Progressive Energy Organization

That she is authorized to and does make this affidavit for said Applicant;

That Progressive Energy Group, LLC, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That Progressive Energy Group, LLC, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Progressive Energy Group, LLC, the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2 ), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

**Appendix B (Continued)**

That Progressive Energy Organization, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.



\_\_\_\_\_  
Signature of Affiant

Sworn and subscribed before me this 18 day of November, 20 15.



\_\_\_\_\_  
Signature of official administering oath

My commission expires 8/8/19



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NOV 25 2015

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

**ATTACHMENT A**

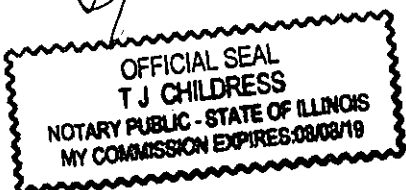
## Progressive Energy Board Resolution #4 - 2015

Arnold Schramel, Secretary of Progressive Energy Group LLC, a corporation duly organized under the laws of the State of Delaware, does hereby certify that the following is a true and correct copy of a resolution of the Board of Directors of said corporation, adopted at a special meeting held on the 4th day of November, 2015.

"RESOLVED, that, inasmuch as this corporation desires to transact business in the Commonwealth of Pennsylvania, and inasmuch as the Board of Directors has been advised that the name of this corporation is not available for corporate use in the Commonwealth of Pennsylvania, this corporation adopt the fictitious name Progressive Energy Organization LLC for use in transacting business in the Commonwealth of Pennsylvania pursuant to Section 4123, Business Corporation Law of 1988 of the Commonwealth of Pennsylvania, and

"FURTHER RESOLVED, that the officers of the corporation be and hereby are authorized and directed to cause any and all required documents to be prepared, executed, and filed so that this corporation may obtain a Certificate of Authority pursuant to the Business Corporation Law of 1988 of the Commonwealth of Pennsylvania, and to cause this corporation to use the said fictitious name in the transaction of business in the Commonwealth of Pennsylvania."

Arnold G. Schramel  
Secretary



Entity# : 6317991  
Date Filed : 11/10/2015  
Pedro A. Cortés  
Secretary of the Commonwealth

**PENNSYLVANIA DEPARTMENT OF STATE  
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS**

|   |   |
|---|---|
| <input type="checkbox"/> Return document by mail to:<br><u>CSC Order 958 404-005</u><br>Name<br>Address<br>City State Zip Code<br><input checked="" type="checkbox"/> Return document by email to: <u>CSCPA@cscinfo.com</u> | <b>Foreign Registration Statement</b><br>DECD-15 417<br>TCO151110JD0689 |
|---|---|

Read all instructions prior to completing. This form may be submitted online at <https://www.corporations.pa.gov/>.

Fee: \$250

In compliance with the requirements of the applicable provisions of 15 Pa.C.S. § 412 (relating to foreign registration statement), the undersigned foreign association hereby states that:

1. The type of association is (check only one):

- |   |  |   |
|---|--|---|
| <input type="checkbox"/> Business Corporation                 | <input type="checkbox"/> Limited Partnership                     | <input type="checkbox"/> Business Trust           |
| <input type="checkbox"/> Nonprofit Corporation                | <input type="checkbox"/> Limited Liability (General) Partnership | <input type="checkbox"/> Professional Association |
| <input checked="" type="checkbox"/> Limited Liability Company | <input type="checkbox"/> Limited Liability Limited Partnership   |   |

2. The full and proper name of the foreign association as registered in its jurisdiction of formation is:

Progressive Energy Group LLC

2A. If the name in 2 does not contain a required designator or if the name in 2 is not available for use in the Commonwealth, the alternate name under which the association is registering in this Commonwealth is:

Progressive Energy Organization LLC

A resolution of the governors adopting the name in 2A for use in registering to do business in this Commonwealth must be attached.

3. The jurisdiction of formation is: Delaware

4. The street and mailing address of the association's principal office.

|  |               |           |              |
|--|---------------|-----------|--------------|
| <u>2112 West Galena Blvd. Suite 8210</u> | <u>Aurora</u> | <u>IL</u> | <u>60506</u> |
| Number and street                        | City          | State     | Zip          |

4A. The street and mailing address of the office, if any, required to be maintained by the law of the association's jurisdiction of formation in that jurisdiction:

|  |               |           |              |
|--|---------------|-----------|--------------|
| <u>2112 West Galena Blvd. Suite 8210</u> | <u>Aurora</u> | <u>IL</u> | <u>60506</u> |
| Number and street                        | City          | State     | Zip          |

2015 NOV 10 AM 9:47

COMM OF PA  
DEPT OF STATE



5. The (a) address of the association's proposed registered office in this Commonwealth or (b) name of its Commercial Registered Office Provider and the county of venue is:

Complete part (a) OR (b) - not both:

(a) \_\_\_\_\_  
Number and street City OR State Zip County

(b) c/o: Corporation Service Company Dauphin  
Name of Commercial Registered Office Provider County

6. Check one of the following:

- The association may not have series.
 The association may have one or more series.

7. Effective date of registration of foreign association (check, and if appropriate complete, one of the following):

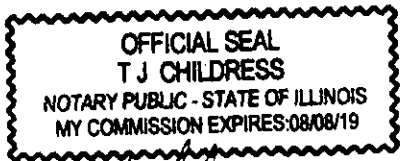
- The Foreign Registration Statement shall be effective upon filing in the Department of State.
 The Foreign Registration Statement shall be effective on: \_\_\_\_\_ at \_\_\_\_\_
Date (MM/DD/YYYY) Hour (if any)

8. To be completed by Limited Liability Companies only. Check, and if appropriate complete, one of the following:

- The association is a limited liability company which is not organized to render any of the below professional service(s).
 The association is a restricted professional limited liability company organized to render one or more of the following professional service(s): (If this box is checked, one or more of the fields below must be checked.)

\_\_\_ Chiropractic \_\_\_ Dentistry \_\_\_ Law \_\_\_ Medicine and surgery
\_\_\_ Optometry \_\_\_ Osteopathic medicine and surgery \_\_\_ Podiatric medicine \_\_\_ Public accounting
\_\_\_ Psychology \_\_\_ Veterinary medicine

IN TESTIMONY WHEREOF, the undersigned association has caused this Foreign Registration Statement to be signed by a duly authorized representative thereof this 3rd day of November, 20 15.



Handwritten signature of T J Childress.

Progressive Energy Organization LLC
Name of Association

Handwritten signature of Arnold J. Schornet
Signature

Managing Partner
Title

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NOV 25 2015

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

# ATTACHMENT B

# Delaware

PAGE 1

*The First State*

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF FORMATION OF "PROSPECTIVE LLC", FILED IN THIS OFFICE ON THE TWENTY-SECOND DAY OF AUGUST, A.D. 2005, AT 2:17 O'CLOCK P.M.



4018949 8100

070488116

*Harriet Smith Windsor*

Harriet Smith Windsor, Secretary of State

AUTHENTICATION: 5631623

DATE: 04-27-07

State of Delaware  
Secretary of State  
Division of Corporations  
Delivered 02:29 PM 08/22/2005  
FILED 02:17 PM 08/22/2005  
SRV 050690689 - 4018949 FILE

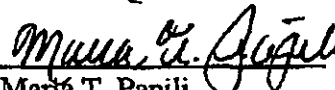
CERTIFICATE OF FORMATION  
OF  
LIMITED LIABILITY COMPANY

FIRST: The name of the limited liability company is PROSPECTIVE LLC

SECOND: The address of its registered office in the State of Delaware is 2711 Centerville Road, Suite 400, Wilmington, Delaware 19808. The name of its Registered Agent at such address is Corporation Service Company.

IN WITNESS WHEREOF, the undersigned have executed this Certificate of Formation of PROSPECTIVE LLC this 22nd day of August, 2005.

BY:



María T. Papili  
Authorized Person

**STATE OF DELAWARE  
CERTIFICATE OF AMENDMENT**

1. Name of Limited Liability Company: Prospective LLC

---

2. The Certificate of Formation of the limited liability company is hereby amended as follows:

The name of the company is changed to:

Progressive Energy Group LLC

IN WITNESS WHEREOF, the undersigned have executed this Certificate on the 28th day of May, A.D. 2008.

By:   
Authorized Person(s)

Name: Chris Childress  
Print or Type

File No. 401 8999  
M.S. Waples  
# 6/12  
302/739-3073

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PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

**ATTACHMENT H**

Biographical Data

**CHRISTOPHER CHILDRESS**

Managing Partner  
Progressive Energy Group

---

Chris Childress is Managing Partner of Progressive Energy Group. Childress leads Progressive Energy Group that offers a broad range of energy solutions that help businesses and government groups control their energy costs. Childress, 55, of Aurora, of Aurora, was one of the founding members of Progressive Energy Group in 2008. Progressive Energy Group is an Agent Broker Consultant with office in Illinois. Schramel leadership has contributed to significant growth. Progressive Energy now serves over 1,200 customers in Illinois, Ohio, Texas and New York.

Prior to forming Progressive Energy Childress joined Nicor Gas in 1980 and held a number of positions including service clerk, operations supervisor, marketing services representative, Director, Vice President and since 2005, President of Nicor Services, Nicor Solutions and Nicor Advance Energy (licensed AGS in Illinois). Starting in the mailroom, Childress has an elemental understanding of all aspects of the energy and natural gas industry having served in virtually all operational, marketing, and leadership positions through out his career.

Promoted to Director in 1998 Childress developed and executed on a business plan that positioned Nicor Services as the premier provider of warranty and energy contracts in the Illinois region. This resulted in the immediate profitability of the group in 1998 and a turn around in financial performance from losing \$2.6 million dollars annually (\$8.6 million in negative retained earnings) in 1997 to profitability. Revenues grew to over \$200 million. Concurrently the recurring customer base rose from 22k customers to over 600k. Employees grew from 8 employees in 2001 to over 450 employees in 2008. The estimated enterprise value of the companies Mr. Childress directed has grown from \$8 million to over \$200 million dollars. During the past five years Childress has also taken a lead role in M&A with Nicor Services purchasing and integrating four businesses between 2001 and 2005. *The rapid profitable growth of this unit is directly attributed to the development and execution of a comprehensive business plan while assembling and leading a talented management team with diverse industry background, common core values, and specialized business skills that complemented the strengths and weaknesses of the leadership team as a whole.*

Childress attended DePaul University and graduated from Kellogg School of Management, Advanced Executive Program at Northwestern University in 2005.

## Biographical Data

### **Arnold Schramel**

Managing Partner (Progressive Energy Group)

---

Arnold Schramel is one of the Managing Partners of Progressive Energy Group. Schramel leads all back office (billing, credit, procurement, insurance, risk management, accounts payable, inventory, IT, accounting and finance for Progressive Energy Group. Schramel 47, of Aurora, was one of the founding members of Progressive Energy Group in 2008.

Progressive Energy Group is an Agent Broker Consultant with office in Illinois. Schramel leadership has contributed to significant growth. Progressive Energy now serves over 1,200 customers in Illinois, Ohio, Texas and New York.

Previously, Schramel joined Nicor Gas in 1990 and held a number of positions including corporate account, senior budget director, manager of dispatching, manager of field activities, vice president of accounting & controller and was promoted to Chief Operating Officer for Nicor Services, Nicor Solutions and Nicor Advanced Energy (Illinois based ARGs) in 2005. Starting as an entry level accountant Schramel has extensive natural gas and energy industry knowledge having held positions in a variety of operational, accounting and financial positions. In addition, Schramel has held leadership positions through out his career.

First promoted to Vice President of Accounting and Controller in 2001 and then to Chief Operating Officer in 2005 for Nicor Services, Nicor Solutions and Nicor Advanced Energy, Schramel was instrumental in developing and executing on a business plan that positioned Nicor Retail Platform as the premier provider of warranty and energy contracts in the Illinois region. From a financial performance Nicor Retail Platform grew revenue from \$10 million in 2001 to over \$220 million in 2007. Pretax earnings grew from just over \$1 million to almost \$20 million in 2007. Number of customers grew from 45K to over 600K. Employees grew from 8 employees in 2001 to over 450 employees in 2008. The estimated enterprise value of Nicor Services has grown from \$8 million to over \$200 million dollars. During the past five years Schramel has also taken a lead role in M&A with Nicor Services purchasing and integrating four businesses between 2001 and 2005. The rapid profitable growth of this unit is directly attributed to the development and execution of a comprehensive business plan while assembling and leading a talented management team with diverse industry background, common core values, and specialized business skills that complemented the strengths and weaknesses of the leadership team as a whole.

Schramel received a B.S from St. Johns University in 1990, CPA license from Illinois in 1991 and his MBA from the University of Chicago in 1999.



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NOV 25 2015

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

# ATTACHMENT I

COMMONWEALTH OF PENNSYLVANIA }  
 County of Cambria } SS

PENNSYLVANIA PUBLIC UTILITY COMMISSION  
**NOTICE**  
 Applications of **Progressive Energy Group LLC dba Progressive Energy Organization** For Approval To Offer, Render, or Furnish Services as a Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

Progressive Energy Group LLC dba Progressive Energy Organization will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. Progressive Energy Group LLC dba Progressive Energy Organization will also be filing an application with the PUC for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. Progressive Energy Group LLC dba Progressive Energy Organization proposes to sell electricity, natural gas, and related services throughout all of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Progressive Energy Group LLC dba Progressive Energy Organization may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3285, Harrisburg, PA 17105-3285. You should send copies of any protest to Progressive Energy Group LLC's attorney at the address listed below.

By and through Counsel:  
 Deanne M. O'Dell, Esq.  
 ECKERT SEAMANS CHERIN & MELLOTT, LLC  
 213 Market Street, 8th Floor  
 Harrisburg, PA 17101  
 (717) 255-3744 (Phone)  
 (717) 237-6019 (Fax)

Sworn  
 11th

*[Handwritten Signature]*

COMMONWEALTH OF PENNSYLVANIA  
 Notarial Seal  
 Vivian Ohs, Notary Public  
 City of Johnstown, Cambria County  
 My Commission Expires Dec. 6, 2016  
 MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

On this 11th day of November A.D. 2015, before me, the subscriber, a Notary Public in and for said County and State, personally appeared Mary Anne Rizzo, who being duly sworn according to law, deposes and says as Advertising Director of the Tribune-Democrat, Johnstown, PA, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8, 1952, of the Johnstown Tribune, established December 7, 1853; and of the Johnstown Democrat, established March 5, 1863,

County of Cambria, and Commonwealth of Pennsylvania and above matter published in said publication in the regular issues, PA, on November 10, 2015; and that the Affiant is not advertising and that all of the allegations as to time, place and

*[Handwritten Signature]*  
**STATEMENT OF ADVERTISING COSTS**

|                   |                  |               |
|-------------------|------------------|---------------|
| 0.00 Lines @      | \$2.50 per line  | 0.00          |
| 8 Inches @        | \$25.00 per inch | 200.00        |
| Notary Fee        |                  | 5.00          |
| Clerical Fee      |                  | 2.50          |
| <b>Total Cost</b> |                  | <b>207.50</b> |

To The Tribune-Democrat, Johnstown, PA  
 For publishing the notice or publication  
 attached hereto on the above stated dates.

**PUBLISHER'S RECEIPT FOR ADVERTISING COSTS**

\_\_\_\_\_ for publisher of \_\_\_\_\_  
 a newspaper of general circulation, hereby acknowledges receipt of the aforesaid  
 and publication costs and certifies that the same has been duly paid.

\_\_\_\_\_  
 (Name of Newspaper)

By \_\_\_\_\_

COMMONWEALTH OF PENNSYLVANIA }  
 County of Cambria } SS

PENNSYLVANIA PUBLIC UTILITY COMMISSION  
**NOTICE**  
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 By and through Counsel:  
 Deanne M. O'Dell, Esq.  
 ECKERT SEAMANS CHERIN & MELLOTT, LLC  
 213 Market Street, 8th Floor  
 Harrisburg, PA 17101  
 (717) 255-3744 (Phone)  
 (717) 237-6018 (Fax)

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ounty of Cambria, and Commonwealth of Pennsylvania and above matter published in said publication in the regular issues PA, on November 10, 2015; and that the Affiant is not advertising and that all of the allegations as to time, place and

*Christine Marhefka*

**STATEMENT OF ADVERTISING COSTS**

|                              |               |
|------------------------------|---------------|
| 0.00 Lines @ \$2.50 per line | 0.00          |
| 8 Inches @ \$25.00 per inch  | 200.00        |
| Notary Fee                   | 5.00          |
| Clerical Fee                 | 2.50          |
| <b>Total Cost</b>            | <b>207.50</b> |

*J. Vivian Ohs*

COMMONWEALTH OF PENNSYLVANIA  
 Notarial Seal  
 Vivian Ohs, Notary Public  
 City of Johnstown, Cambria County  
 My Commission Expires Dec. 6, 2016  
 MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

To The Tribune-Democrat, Johnstown, PA  
 For publishing the notice or publication  
 attached hereto on the above stated dates.

**PUBLISHER'S RECEIPT FOR ADVERTISING COSTS**

\_\_\_\_\_ for publisher of \_\_\_\_\_  
 a newspaper of general circulation, hereby acknowledges receipt of the aforesaid  
 and publication costs and certifies that the same has been duly paid.

\_\_\_\_\_  
 (Name of Newspaper)

By \_\_\_\_\_

The Scranton Times (Under act P.L. 877 No 160. July 9, 1976)  
Commonwealth of Pennsylvania, County of Lackawanna

PROGRESSIVE ENERGY GROUP LLC  
TERESA CHILDRESS  
2112 W GALENA BLVD., STE. 8210 AURORA IL 60506

Account # 613114  
Order # 81855271  
Ad Price: 279.00

LEGAL NOTICE PENNSYLVANIA

Gina Krushinski

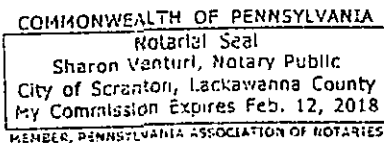
Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

11/05/2015

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true *Gina Krushinski*

Sworn and subscribed to before me  
this 5th day of November A.D., 2015

*Sharon Venturi*  
\_\_\_\_\_  
(Notary Public)



**LEGAL NOTICE**  
**PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE**  
Applications of Progressive Energy Group LLC dba Progressive Energy Organization For Approval To Offer, Render, or Furnish Services as a Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

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The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Progressive Energy Group LLC dba Progressive Energy Organization may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Progressive Energy Group LLC's attorney at the address listed below.

By and through Counsel:  
Deanne M. O'Dell, Esq.  
ECKERT SEAMANS CHERIN  
& MELLOTT, LLC

### Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss H. Java, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the \_\_\_\_\_ regular \_\_\_\_\_ editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

05 of November, 2015

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

*H. Java*

PG Publishing Company

Sworn to and subscribed before me this day of:  
November 05, 2015

*Melanie L. Goodwin*

**COMMONWEALTH OF PENNSYLVANIA**  
NOTARIAL SEAL  
Melanie L. Goodwin, Notary Public  
City of Pittsburgh, Allegheny County  
My Commission Expires May 12, 2018  
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

**STATEMENT OF ADVERTISING COSTS**  
Progressive Energy Group  
2112 W GALENA BLVD STE 8210  
Attn: Teresa Childress  
AURORA IL 60506-3255

To PG Publishing Company

Total \_\_\_\_\_ \$577.50

### Publisher's Receipt for Advertising Costs

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

Office  
34 Boulevard of the Allies  
PITTSBURGH, PA 15222  
Phone 412-263-1338

PG Publishing Company, a Corporation, Publisher of  
Pittsburgh Post-Gazette, a Newspaper of General Circulation

By \_\_\_\_\_

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

Attorney For

### COPY OF NOTICE OR PUBLICATION

#### PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Applications of Progressive Energy Group LLC dba Progressive Energy Organization For Approval To Offer, Render, or Furnish Services as a Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public in The Commonwealth Of Pennsylvania.

Progressive Energy Group LLC dba Progressive Energy Organization will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. Progressive Energy Group LLC dba Progressive Energy Organization will also be filing an application with the PUC for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. Progressive Energy Group LLC dba Progressive Energy Organization proposes to sell electricity, natural gas, and related services throughout all of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Progressive Energy Group LLC dba Progressive Energy Organization may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Progressive Energy Group LLC's attorney at the address listed below. By and through Counsel: Deanne M. O'Dell, Esq. ECKERT SEAMANS CHERIN & MELLOTT, LLC 213 Market Street, 6th Floor Harrisburg, PA 17101 (717) 255-3744 (Phone) (717) 237-6019 (Fax)

Proof of Publication in The Philadelphia Daily News  
Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA  
COUNTY OF PHILADELPHIA

Florence Devlin being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

November 5, 2015

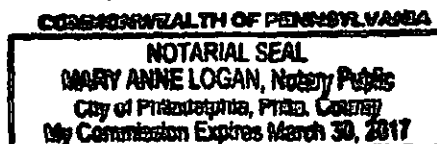
Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.



Sworn to and subscribed before me this 5th day of  
November, 2015.

  
Notary Public

My Commission Expires:



Copy of Notice of Publication

**PENNSYLVANIA PUBLIC UTILITY COMMISSION  
NOTICE**

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The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Progressive Energy Group LLC dba Progressive Energy Organization may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Progressive Energy Group LLC's attorney at the address listed below.

By and through Counsel:  
Deanna M. O'Dell, Esq.  
ECKERT-SEAMANS CHERIN & MELLOTT, LLC  
213 Market Street, 8th Floor  
Harrisburg, PA 17101  
(717) 237-3144 (Phone)  
(717) 237-6018 (Fax)

The Patriot-News Co.  
2020 Technology Pkwy  
Suite 300  
Mechanicsburg, PA 17050  
Inquiries - 717-255-8213

The Patriot-News  
Now you know

PROGRESSIVE ENERGY GROUP  
2112 W GALENA BLVD STE 8210

AURORA IL 60506

THE PATRIOT NEWS  
THE SUNDAY PATRIOT NEWS

Proof of Publication

Under Act No. 587, Approved May 16, 1929  
Commonwealth of Pennsylvania, County of Dauphin} ss

Amy Kotula, being duly sworn according to law, deposes and says:

That she is a Staff Accountant of The Patriot News Co., a corporation organized and existing under the laws of the Commonwealth of Pennsylvania, with its principal office and place of business at 2020 Technology Pkwy, Suite 300, in the Township of Hampden, County of Cumberland, State of Pennsylvania, owner and publisher of The Patriot-News and The Sunday Patriot-News newspapers of general circulation, printed and published at 1900 Patriot Drive, in the City, County and State aforesaid; that The Patriot-News and The Sunday Patriot-News were established March 4th, 1854, and September 18th, 1949, respectively, and all have been continuously published ever since;

That the printed notice or publication which is securely attached hereto is exactly as printed and published in their regular daily and/or Sunday/ Community Weekly editions which appeared on the date(s) indicated below. That neither she nor said Company is interested in the subject matter of said printed notice or advertising, and that all of the allegations of this statement as to the time, place and character of publication are true; and

That she has personal knowledge of the facts aforesaid and is duly authorized and empowered to verify this statement on behalf of The Patriot-News Co. aforesaid by virtue and pursuant to a resolution unanimously passed and adopted severally by the stockholders and board of directors of the said Company and subsequently duly recorded in the office for the Recording of Deeds in and for said County of Dauphin in Miscellaneous Book "M", Volume 14, Page 317.

**PUBLICATION COPY**

PENNSYLVANIA PUBLIC UTILITY  
COMMISSION  
NOTICE  
Applications of Progressive Energy  
Group LLC dba Progressive Energy  
Organization For Approval To Offer,  
Render, or Furnish Services as a  
Marketer/Broker Engaged in The  
Business Of Supplying Natural Gas  
Supply Services and Electricity Supply  
or Electric Generation Services, To The  
Public In The Commonwealth Of  
Pennsylvania.  
Progressive Energy Group LLC dba  
Progressive Energy Organization will  
be filing an application with the  
Pennsylvania Public Utility  
Commission ("PUC") for a license to  
provide natural gas supply services as  
a broker/marketer engaged in the  
business of providing natural gas  
services. Progressive Energy Group  
LLC dba Progressive Energy

Organization will also be filing an  
application with the PUC for a license  
to supply electricity or electric  
generation services as a  
broker/marketer engaged in the  
business of supplying electricity.  
Progressive Energy Group LLC dba  
Progressive Energy Organization  
proposes to sell electricity, natural gas,  
and related services throughout all of  
Pennsylvania under the provisions of  
the new Natural Gas Choice and  
Competition Act and the Electricity  
Generation Customer Choice and  
Competition Act.

The PUC may consider this  
application without a hearing. Protests  
directed to the technical or financial  
fitness of Progressive Energy Group  
LLC dba Progressive Energy  
Organization may be filed within 15  
days of the date of this notice with the  
Secretary of the PUC, P.O. Box 3265,  
Harrisburg, PA 17105-3265. You should  
send copies of any protest to  
Progressive Energy Group LLC's  
attorney at the address listed below.

By and through Counsel:  
Dianne M. O'Dell, Esq.  
ECKERT SEAMANS CHERIN  
& MELLOTT, LLC  
213 Market Street, 8th Floor  
Harrisburg, PA 17101  
(717) 255-3744 (Phone)  
(717) 237-6019 (Fax)

This ad # 0002349923 ran on the dates shown below:

November 05, 2015

*Amy Kotula*

to and subscribed before me this 05 day of November, 2015 A.D.

*Sheryl Marie Leggore*  
Notary Public

COMMONWEALTH OF PENNSYLVANIA  
NOTARIAL SEAL  
Sheryl Marie Leggore, Notary Public  
Hampden Twp., Cumberland County  
My Commission Expires July 16, 2018  
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

PROOF OF PUBLICATION  
In  
THE ERIE TIMES-NEWS  
COMBINATION EDITION

Progressive Energy Group  
2112 W Galena Blvd Suite 8210  
Aurora IL 60506

REFERENCE: 88006 169238  
PUC Notice

STATE OF PENNSYLVANIA)  
COUNTY OF ERIE ) SS:

Debra McGraw, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, a true and correct copy of which is attached, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 11/05/15

TOTAL COST: \$409.00 AD SPACE: 0 Lines

FILED ON: 11/05/15

PENNSYLVANIA PUBLIC UTILITY COMMISSION  
NOTICE  
*Applications of Progressive Energy Group LLC dba Progressive Energy Organization For Approval To Offer, Render, or Furnish Services as a Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services To The Public In The Commonwealth Of Pennsylvania.*  
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The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Progressive Energy Group LLC dba Progressive Energy Organization may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Progressive Energy Group LLC's attorney at the address listed below.  
By and through Counsel:  
Deanne M. O'Dell, Esq.  
ECKERT SEAMANS CHERIN & MELLOTT, LLC  
213 Market Street, 8th Floor  
Harrisburg, PA 17101  
(717) 255-3744 (Phone)  
(717) 237-6019 (Fax)

Sworn to and subscribed before me this 5th day of November 2015

Affiant: Debra McGraw

NOTARY: Barbara J Moore

COMMONWEALTH OF PENNSYLVANIA  
Notarial Seal  
Barbara J. Moore, Notary Public  
City of Erie, Erie County  
My Commission Expires March 23, 2016  
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES



PROOF OF PUBLICATION OF NOTICE IN THE WILLIAMSPORT SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA  
COUNTY OF LYCOMING

SS:

Bernard A. Oravec

Publisher of the Sun-Gazette Company, publishers of the Williamsport, Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport Sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and put

LEGAL NOTICES

LEGAL NOTICES

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE Applications of Progressive Energy Group LLC dba Progressive Energy Organization For Approval To Offer, Render, or Furnish Services as a Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public in The Commonwealth Of Pennsylvania.

Deanne M. O'Dell, Esq. ECKERT SEAMANS CHERIN & MELLOTT, LLC 213 Market Street, 8th Floor Harrisburg, PA 17101 (717) 255-3744 (Phone) (717) 237-6019 (Fax)

November 12, 2015

Affiant is an officer daily authorized by the Sun-Gazette Company, publisher of the Williamsport Sun-Gazette, and is sworn under oath and also declares that affiant is not interested in the subject matter of the aforesaid notice and the allegations in the foregoing statement as to time, place and character of publication are true.

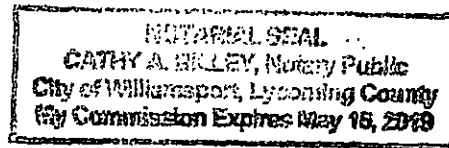
Bernard A. Oravec

SUN-GAZETTE COMPANY

Sworn to and subscribed before me

the 16th day of November 2015

Cathy A. Billey  
Notary Public



Progressive Energy Group LLC dba Progressive Energy Organization will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. Progressive Energy Group LLC dba Progressive Energy Organization will also be filing an application with the PUC for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. Progressive Energy Group LLC dba Progressive Energy Organization proposes to sell electricity, natural gas, and related services throughout all of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

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STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette Company, Dr.:  
For publishing the notice attached hereto on the above state dates.....\$ 328.04  
Probated same.....\$  
Total.....\$ 328.04

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

SUN-GAZETTE COMPANY hereby acknowledges receipt of the aforesaid advertising and publication costs have been fully paid.

SUN-GAZETTE COMPANY

BY Bernard A. Oravec

By and through Counsel:

## Appendix C

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

### CERTIFICATE OF SERVICE

On this the 18 day of November 2015, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all **NON-CONFIDENTIAL** attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:

Bureau of Investigation & Enforcement  
Pennsylvania Public Utility Commission  
Commonwealth Keystone Building  
400 North Street, 2 West  
Harrisburg, PA 17120

Office of Consumer Advocate  
5th Floor, Forum Place  
555 Walnut Street  
Harrisburg, PA 17120

Small Business Advocate  
Commerce Building, Suite 1102  
300 North Second Street  
Harrisburg, PA 17101

Legal Department  
West Penn Power d/b/a Allegheny Power  
800 Cabin Hill Drive  
Greensburg, PA 15601-1689

Regulatory Affairs  
Duquesne Light Company  
411 Seventh Street, MD 16-4  
Pittsburgh, PA 15219

Legal Department  
First Energy  
2800 Pottsville Pike  
Reading PA, 19612

Citizens' Electric Company  
Attn: EGS Coordination  
1775 Industrial Boulevard  
Lewisburg, PA 17837

Office of the Attorney General  
Bureau of Consumer Protection  
Strawberry Square, 14th Floor  
Harrisburg, PA 17120

Commonwealth of Pennsylvania  
Department of Revenue  
Bureau of Compliance  
Harrisburg, PA 17128-0946

Director of Customer Energy Services  
Orange and Rockland Company  
390 West Route 59  
Spring Valley, NY 10977-5300

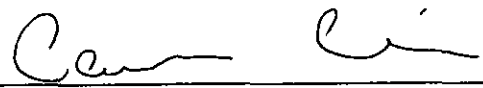
Manager Energy Acquisition  
PECO Energy Company  
2301 Market Street  
Philadelphia, PA 19101-8699

Legal Department  
Attn: Paul Russell  
PPL  
Two North Ninth Street  
Allentown, PA 18108-1179

UGI Utilities, Inc.  
Attn: Rates Dept. – Choice Coordinator  
2525 N. 12th Street, Suite 360  
Post Office Box 12677  
Reading, Pa 19612-2677

Wellsboro Electric Company  
Attn: EGS Coordination  
33 Austin Street  
P. O. Box 138  
Wellsboro, PA 16901

RECEIVED

  
Cassandra Garcia, Progressive Energy Org.

NOV 25 2015