

Via FedEx

January 4, 2016

Rosemary Chiavetta, Secretary Pennsylvania Public Utility Commission Keystone Building, 2nd Floor, Room N201 Harrisburg, PA 17120 159 20th St., Suite 18 Brooklyn, NY 11232

P. 212 590 0145

info@fellerenergylaw.com www.fellerenergylaw.com

RECEIVED

JAN 4 2016

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Re: RPA Energy Inc. - License Number A-2012-2325273 Update

Dear Secretary Chiavetta:

RPA Energy Inc. ("RPA"), a licensed provider of electric power (License No. A-2012-2325273) in Pennsylvania, hereby submits its license update.

Utility Expense Reduction Energy Services LLC ("UERES") has acquired 100% of the membership interests of RPA. Attached hereto are updates to RPA's officer information and contact information, as well as updates to customer classes to be served.

The licensee will remain the same entity as currently organized, the name of the licensee will remain RPA Energy Inc. (which currently has no customers).

The attached pages provide updates to the following information:

- Part 1(a): Officer Information
- Part 1(c): Regulatory Contact
- Part 1(d): Attorney Contact
- Part 1(e): Contact for Customer Service & Complaints
- Part 2(b): Names and Address of Officers
- Part 3(e): Customers
- Part 4(e): Officers (with resumes)
- Part 4(f): FERC Filing

RPA submits the enclosed confidential documents under separate cover in support of its Electric Supplier License Application Update in accordance with Pa. Code Section 54.32. RPA requests that its responses to Part 4(e) and the corresponding Exhibits be treated as confidential. This information is treated as confidential by RPA, and public disclosure of such information could be potentially harmful to applicant's position as competitive Electric Supplier.

RPA further requests that its responses to any subsequent requests for additional information or clarification which staff might make with regard to these same requests also be permitted to be filed under seal.

The contact for official correspondence with RPA will be Brian Trombino, RPA's President, at:

Brian Trombino
President & CEO
RPA Energy Inc.
111 John Street, Suite 520
New York, NY 10038
Phone: (516) 858-3352

Fax: (212) 898-1356

Email: btrombino@uerus.com

Please send to the undersigned, Brian Trombino, and Natara Feller, Esq. (at the address provided below) any request for information that will be needed in anticipation of the transition of company ownership (and control) or any documentation, etc. that needs to be prepared for execution, so that both parties may enjoy a smooth transition.

Natara G. Feller, Esq. Feller Energy Law Group, PLLC 159 20th Street, Suite 1B Brooklyn, NY 11232

Phone: (212) 590-0145

Email: natarafeller@fellerenergylaw.com

Respectfully submitted,

Feller Energy Law Group, PLLC

By: Mahn Fell

Natara G. Feller

Principal, Feller Energy Law Group, PLLC

159 20th Street, Suite 1B Brooklyn, NY 11232

Enc.

cc: Bureau of Investigation and Enforcement (PA PUC)

cc: Office of Consumer Advocate

cc: Small Business Advocate

cc: Office of the Attorney General, Bureau of Consumer Protection

cc: Commonwealth of Pennsylvania, Department of Revenue, Bureau of Compliance

cc: Manager Energy Acquisition, PECO Energy Company

cc: Legal Department Attn: Paul Russell, PPL

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of RPA Energy Inc. for approval to offer, render, furnish, or supply electricity or electric generation services as an electricity supplier to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

<u>IDENTIFICATION AND CONTACT INFORMATION</u> 1.

a. IDENTITY OF THE APPLICANT: Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant: RECEIVED

RPA Energy Inc. 111 John Street, Suite 520 New York, NY 10038 www.rpa-energy.com 1-800-685-0960

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- b. PENNSYLVANIA ADDRESS / REGISTERED AGENT: If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.
- c. REGULATORY CONTACT: Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Lena Golze Desmond Regulatory Affairs Analyst Feller Energy Law Group, PLLC 159 20th St, Suite 2B Brooklyn, NY 11232 Phone: (212) 590-0145

Email: LenaDesmond@fellerenergylaw.com

d. ATTORNEY: Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Natara G. Feller, Esq. Feller Energy Law Group, PLLC 159 20th St, Suite 2B Brooklyn, NY 11232 Phone: (212) 590-0145

Fax: (888) 431-9136

Email: natarafeller@fellerenergylaw.com

e. CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: (Required of ALL Applicants) Provide the name, title, address, telephone number, FAX number, and e-mail OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED) responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

Brian Trombino, CEO & President 111 John Street, Suite 520 New York, NY 10038 Phone: (516) 858-3352

Fax: (212) 898-1356

Email: <u>btrombino@uerus.com</u>

Sylvester Allen, Director of Compliance 111 John Street Ste 520 New York, NY 10038 Phone: (347) 394-3823

Email: Sylvester@uerus.com

2. BUSINESS ENTITY FILINGS AND REGISTRATION

a.	FICTITIOUS NAME: (Select appropriate statement and provide supporting documentation as listed.)
	The Applicant will be using a fictitious name or doing business as ("d/b/a")
	Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.
	Or The Applicant will not be using a fictitious name.
b.	BUSINESS ENTITY AND DEPARTMENT OF STATEFILINGS: (Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)
	The Applicant is a sole proprietor.
	 If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.
	Or The Applicant is a:
	domestic general partnership (*) domestic limited partnership (15 Pa. C.S. §8511) foreign general or limited partnership (15 Pa. C.S. §4124) domestic limited liability partnership (15 Pa. C.S. §8201) foreign limited liability general partnership (15 Pa. C.S. §8211) foreign limited liability limited partnership (15 Pa. C.S. §8211)
	 Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
	 Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
	 Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
	 * If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

The Applicant is a:				
	domestic corporation (15 Pa. C.S. §1308) foreign corporation (15 Pa. C.S. §4124) domestic limited liability company (15 Pa. C.S. §8913) foreign limited liability company (15 Pa. C.S. §8981) Other (Describe):			

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.
- Give name and address of officers.

Brian Trombino, President & CEO 111 John Street, Suite 520 New York, NY 10038 btrombino@uerus.com

3. OPERATIONS

a. APPLICANT'S PRESENT OPERATIONS: (select and complete the appropriate statement)

Definitions

b.

- Supplier an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC.
- Aggregator an entity that purchases electric energy and <u>takes title</u> to <u>electric energy</u> as an intermediary for sale to retail customers.
- Broker/Marketer an entity that acts as an intermediary in the sale and purchase of electric energy <u>but</u> does not take title to electric energy.

The	Applicant is presently doing business in Pennsylvania as a			
	municipal electric corporation electric cooperative local gas distribution company provider of electric generation, transmission or distribution services broker/marketer engaged in the business of supplying electricity services Other; Identify the nature of service being rendered.			
The	Or Applicant is not presently doing business in Pennsylvania.			
APPLICANT'S PROPOSED OPERATIONS: The Applicant proposes to operate as a (may check multiple):				
Sup Agg Brol	plier of electricity regator engaged in the business of supplying electricity regator engaged in the business of supplying electricity rer/Marketer engaged in the business of supplying electricity services Check here to verify that your organization will not be taking title to the electricity nor will you be making payments for customers. Ctric Cooperative and supplier of electric power er (Describe):			
	The Sup Agg Broi			

C.	PROPOSED SERVICES: Describe in detail the elec Applicant proposes to offer.	tric services or the electric generation services which the			
d.	PROPOSED SERVICE AREA: Check the box of each proposes to provide service. Citizens' Electric	ch Electric Distribution Company for which the Applicant Pike			
	Duquesne Light	PPL			
	Met-Ed	UGI Utilities			
	H PECO	☐ Wellsboro			
	☐ Penelec☐ Penn Power	☐ West Penn			
		☐ Entire Commonwealth of PA			
e.	CUSTOMERS: Applicant proposes to provide service	esto:			
	Residential Customers				
	Small Commercial Customers - (25 kW and l	Jnder)			
	Residential and Small Commercial as Mixed Meter ONLY (CANNOT BE TAKEN WITH				
RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE) Large Commercial Customers - (Over 25 kW) Industrial Customers Governmental Customers All of above (Except Mixed Meter) Other (Describe):					
	Industrial Customers				
	Governmental Customers				
	All of above (Except Mixed Meter) Other (Describe):				
	and (Boddino).				

START DATE: Provide the approximate date the Applicant proposes to actively market within the Commonwealth.

4. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

- a. EXPERIENCE, PLAN, STRUCTURE: such information may include:
 - Applicant's previous experience in the electricity industry.
 - Summary and proof of licenses as a supplier of electric services in other states or jurisdictions.
 - Type of customers and number of customers Applicant currently serves in other jurisdictions.
 - Staffing structure and numbers as well as employee training commitments.
 - Business plans for operations within the Commonwealth.
 - Documentation of membership in PJM, ECAR, MAAC, other regional reliability councils, or any other membership or certification that is deemed appropriate to justify competency to operate as an EGS within the Commonwealth.
 - Any other information appropriate to ensure the technical capabilities of the Applicant.

b.	PROP	ROPOSED MARKETING METHOD (check all that apply)		
	0000 0	Internal – Applicant will use its own internal resources/employees for marketing External EGS – Applicant will contract with a PUC LICENSED EGS broker/marketer Affiliate – Applicant will use a NON-EGS affiliate marketing company and or individuals. External Third-Party – Applicant will contract with a NON-EGS third party marketing company and or individuals Other (Describe):		
c.	DOOR TO DOOR SALES: Will the Applicant be implementing door to door sales activities? Yes No			
		If yes, will the Applicant be using verification procedures?		
		Yes No		
		If yes, describe the Applicant's verification procedures.		

a.		led in an ethical manner, for both employees and subcontractors.		
e.	directly	ERS: Identify Applicant's chief officers, and include the professional resumes for any officers responsible for operations. All resumes should include date ranges and job descriptions ing actual work experience.		
Bria	ın Tromi	bino, President. See attached resume.		
f. FERC FILING:		FILING: Applicant has:		
	☐ Market	Filed an Application with the Federal Energy Regulatory Commission to be a Power er.		
		Received approval from FERC to be a Power Marketer at Docket or Case Number		
	EC15-215-000			
		Not applicable		

Appendix C

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

Example CERTIFICATE OF SERVICE

Bureau of Investigation & Enforcement Pennsylvania Public Utility Commission Commonwealth Keystone Building 400 North Street, 2 West Harrisburg, PA 17120

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120

Small Business Advocate Commerce Building, Suite 1102 300 North Second Street Harrisburg, PA 17101

Legal Department West Penn Power d/b/a Allegheny Power 800 Cabin Hill Drive Greensburg, PA 15601-1689

Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

Legal Department First Energy 2800 Pottsville Pike Reading PA, 19612

Citizens' Electric Company Attn: EGS Coordination 1775 Industrial Boulevard Lewisburg, PA 17837

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Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946

Director of Customer Energy Services Orange and Rockland Company 390 West Route 59 Spring Valley, NY 10977-5300

Manager Energy Acquisition

PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Legal Department Attn: Paul Russell PPL Two North Ninth Street Allentown, PA 18108-1179

UGI Utilities, Inc. Attn: Rates Dept. – Choice Coordinator 2525 N. 12th Street, Suite 360 Post Office Box 12677 Reading, Pa 19612-2677

Wellsboro Electric Company Attn: EGS Coordination 33 Austin Street P. O. Box 138 Wellsboro, PA 16901

Brian Trombino, President & CEO

RPA Energy, Inc.



- **ASR** 17120
- MDT

TUE - 05 JAN 10:30A **PRIORITY OVERNIGHT**



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