



RECEIVED

December 17, 2015

DEC 28 2015

Pennsylvania Public Utility Commission
Secretary
400 North Street, Keystone Building
Harrisburg, PA 17120

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Re: Application of Diversegy, LLC for a Natural Gas Supplier License (Broker)

Dear Sir or Madam:

Please find enclosed an original, verified application of Diversegy, LLC for a natural gas supplier license (as a broker) in the Commonwealth of Pennsylvania. Also enclosed are a searchable PDF version of the application on CD-ROM and a check made out to the Commonwealth of Pennsylvania in the amount of \$350. Additionally, certain portions of this application are deemed confidential by Diversegy, LLC, and as such are submitted in sealed envelope, marked confidential, as part of this application package.

Please do not hesitate to let me know if you have any questions or concerns about this application.

Sincerely,

A handwritten signature in black ink, appearing to read "Avi Keilson", with a long horizontal flourish extending to the right.

Avi Keilson
Director of Regulatory Affairs
akeilson@genieretail.com
973.438.3920

10 207

Version Revised 08/25/15

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of Diversegy, LLC, d/b/a _____, for approval to offer, render, furnish, or supply natural gas supply services as a(n) [as specified in item #4b below] to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

Diversegy, LLC
520 Broad Street, Newark NJ 07102
T: 973-438-6895
www.Diversegy.com

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

Corporation Service Company
2704 Commerce Drive
Harrisburg, PA 17110
T: 302-636-5400 F: 302-636-5454

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Avi Keilson, Director of Regulatory Affairs
520 Broad St., Newark, NJ 07102
T: 973-438-3920 F: 973-438-1878
akeilson@genieretail.com

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

The Applicant is not using an attorney.

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS:** Provide the name, title, address, telephone number, fax number, and e-mail OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED) responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Natural Gas Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed NGSs.

Main Contact: Allison Coldewey, Operations Manager, 520 Broad St., Newark, NJ 07102
T: 855-840-1280, acoldewey@diversegy.com

Alternate Contact: Avi Keilson, Director of Regulatory Affairs, 520 Broad St., Newark, NJ 07102
T: 973-438-3920, akeilson@genieretail.com

2. BUSINESS ENTITY FILINGS AND REGISTRATION

a. FICTITIOUS NAME: *(Select appropriate statement and provide supporting documentation as listed.)*

☐ The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State Pursuant to 54 Pa. C.S. §311.

Or

☒ The Applicant will not be using a fictitious name.

b. BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:

(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)

☐ The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

Or

☐ The Applicant is a:

- ☐ domestic general partnership (*)
- ☐ domestic limited partnership (15 Pa. C.S. §8511)
- ☐ foreign general or limited partnership (15 Pa. C.S. §4124)
- ☐ domestic limited liability partnership (15 Pa. C.S. §8201)
- ☐ foreign limited liability general partnership (15 Pa. C.S. §8211)
- ☐ foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- * If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

or

☐ The Applicant is a:

- ☐ domestic corporation (15 Pa. C.S. §1308)
- ☐ foreign corporation (15 Pa. C.S. §4124)
- ☐ domestic limited liability company (15 Pa. C.S. §8913)
- ☒ foreign limited liability company (15 Pa. C.S. §8981)
- ☐ Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above. Please see Exhibit A.
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation. Please see Exhibit B.
- Give name and address of officers. Please see Exhibit C.

3. **AFFILIATES AND PREDECESSORS**

(both in state and out of state)

- a. **AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

Please see Exhibit D.

- b. **PREDECESSORS:** Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

The Applicant does not have any predecessors that have done business.

4. OPERATIONS

a. APPLICANT'S PRESENT OPERATIONS: *(select and complete the appropriate statement)*

Definitions

- Supplier – an entity which provides natural gas supply services to retail gas customers utilizing the jurisdictional facilities of an natural gas distribution company
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of natural gas but does not take title to the natural gas.

☒ The Applicant is presently doing business in Pennsylvania as a

- ☐ natural gas interstate pipeline
- ☐ municipality providing service outside its municipal limits
- ☐ local gas distribution company
- ☐ retail supplier of natural gas services in the Commonwealth
- ☐ a natural gas producer
- ☐ a broker/marketer engaged in the business of supplying natural gas services
- ☒ Other. (Identify the nature of service being rendered)
Diversegy, LLC is currently doing business in Pennsylvania as a licensed broker/
marketer engaged in the business of supplying electric generation service. Diversegy,
LLC's EGS licensing application and approval are docketed at A-2013-2365762.

or

☐ The Applicant is not presently doing business in Pennsylvania.

b. APPLICANT'S PROPOSED OPERATIONS: The Applicant proposes to operate as a:

- ☐ Supplier or Aggregator of natural gas services
- ☐ Municipal supplier of natural gas services
- ☐ Cooperative supplier of natural gas services
- ☒ Broker/Marketer engaged in the business of supplying natural gas services
 - ☒ Check here to verify that your organization will not be taking title to the natural gas nor will you be making payments for customers.
- ☐ Other (Describe):

- c. **PROPOSED SERVICES:** Describe in detail the natural gas supply services which the Applicant proposes to offer.

Please refer to Exhibit E.

- d. **PROPOSED SERVICE AREA:** Check the box of each Natural Gas Distribution Company for which the Applicant proposes to provide service.

- | | |
|---|--|
| <input type="checkbox"/> Columbia | <input type="checkbox"/> Philadelphia Gas Works |
| <input type="checkbox"/> National Fuel Gas | <input type="checkbox"/> UGI Central Penn |
| <input type="checkbox"/> PECO | <input type="checkbox"/> UGI Penn natural |
| <input type="checkbox"/> Peoples Gas – Equitable Div. | <input type="checkbox"/> UGI Utilities |
| <input type="checkbox"/> Peoples Natural Gas | <input type="checkbox"/> Valley Energy |
| <input type="checkbox"/> Peoples TWP | <input checked="" type="checkbox"/> All of the above |

- e. **CUSTOMERS:** Applicant proposes to provide services to:

- ☐ Residential Customers
- ☐ Small Commercial Customers - (Less than 6,000 Mcf annually)
- ☐ Residential and Small Commercial as Mixed Meter ONLY (CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE)
- ☐ Large Commercial Customers - (6,000 Mcf or more annually)
- ☐ Industrial Customers
- ☐ Governmental Customers
- ☒ All of above (Except Mixed Meter)
- ☐ Other (Describe):

- f. **START DATE:** Provide the approximate date the Applicant proposes to actively market within the Commonwealth.

As Applicant is already brokering electricity generation services in Pennsylvania, Applicant plans to begin actively brokering natural gas supply service in the Commonwealth immediately upon licensure by the Commission.

5. COMPLIANCE

- a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

Please refer to Exhibit F.

- b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

Please refer to Exhibit F.

- c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. If the Applicant has no actions or complaints to list, explicitly state such.

Please refer to Exhibit G.

- d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

Please refer to Exhibit G.

6. PROOF OF SERVICE

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.
(Example Certificate of Service is attached at Appendix C)

- a.) **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of the Small Business Advocate
Commerce Building, Suite 1102
300 North Second Street
Harrisburg, PA 17101

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2 West
Harrisburg, PA 17120

- b.) **NGDCs:** Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Natural Gas Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each NGDC is as follows.

Columbia Gas of PA, Inc. Thomas C. Heckathorn 200 Civic Center Drive Columbus, OH 43215 PH: 614.460.4996 FAX: 614.460.6442 theckathorn@nisource.com	
Peoples Natural Gas – Equitable Division Lynda Petricevich 225 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: Lynda.w.petricevich@peoples-gas.com	National Fuel Gas Distribution Corp. David D. Wolford 6363 Main Street Williamsville, NY 14221 PH: 716.857.7483 FAX: 716.857.7479 e-mail: wolfordd@natfuel.com
The Peoples Natural Gas Company Lynda Petricevich 225 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: Lynda.w.petricevich@peoples-gas.com	PECO Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2 Philadelphia, PA 19103 PH: 215.841.6452 Email: carlos.thillet@exeloncorp.com
Peoples TWP LLC (Formerly T. W. Phillips) Lynda Petricevich 225 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: Lynda.w.petricevich@peoples-gas.com	Philadelphia Gas Works Nicholas LaPergola 800 West Montgomery Avenue Philadelphia, PA 19122 PH: 215.684.6278 email: nicholas.lapergola@pgworks.com
UGI Central Penn David Lahoff 2525 N. 12 th Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3520 Email: dlahoff@ugi.com	UGI David Lahoff 2525 N. 12 th Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3520 Email: dlahoff@ugi.com
Valley Energy Inc. Robert Crocker 523 South Keystone Avenue Sayre, PA 18840-0340 PH: 570.888-9664 FAX: 570.888.6199 email: bobc@ctenterprises.org	UGI Penn Natural David Lahoff 2525 N. 12 th Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3520 Email: dlahoff@ugi.com

7. FINANCIAL FITNESS

- a. **BONDING:** In accordance with 66 Pa. C.S. Section 2208(c), no natural gas supplier license shall be issued or remain in force unless the applicant or holder furnishes a bond or other security in a form and amount to ensure the financial responsibility of the natural gas supplier. The criteria used to determine the amount and form of such bond or other security shall be set by each NGDC. Provide documentation that the applicant has met the security requirement of each NGDC by submitting the letters sent by the NGDCs stating what bonding amounts they require.

Please refer to Exhibit H.

- b. **FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
- Applicant's accounting statements, including balance sheet and income statements for the past two years.
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements exhibiting accounts over a minimum two year period.
- Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

Please refer to Exhibits I, I(1), I(2), and I(3).

- c. **SUPPLIER FUNDING METHOD:** If Applicant is operating as anything other than **Broker/Marketer only**, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

Not applicable as applicant will be operating as a broker/marketer.

- d. **BROKER PAYMENT STRUCTURE:** If applicant is a broker/marketer, explain how your organization will be collecting your fees.

Diversegy, LLC is compensated by the suppliers it represents through usage-based or per-enrollment commissions.

- e. **ACCOUNTING RECORDS CUSTODIAN:** Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

Avi Goldin, EVP of Finance and Treasurer
 520 Broad Street, Newark, NJ 07102
 T: 973-438-4075 F: 973-438-1878
 agoldin@genie.com

- f. **TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix D to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by natural gas distribution companies does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

- a. **EXPERIENCE, PLAN, STRUCTURE:** such information may include:

- Applicant's previous experience in the natural gas industry.
- Summary and proof of licenses as a supplier of natural gas services in other states or jurisdictions.
- Type of customers and number of customers Applicant currently serves in other jurisdictions.
- Staffing structure and numbers as well as employee training commitments.
- Business plans for operations within the Commonwealth.
- Any other information appropriate to ensure the technical capabilities of the Applicant.

Please refer to Exhibit I.

- b. **PROPOSED MARKETING METHOD** (check all that apply)

- ☒ Internal – Applicant will use its own internal resources/employees for marketing
- ☐ External NGS – Applicant will contract with a **PUC LICENSED NGS**
- ☐ Affiliate – Applicant will use a **NON-NGS affiliate that is a nontraditional marketer and/or marketing services consultant**
- ☐ External Third-Party – Applicant will contract with a **NON-NGS third party nontraditional marketer and/or non-selling marketer**
- ☐ Other (Describe):

c. DOOR TO DOOR SALES: Will the Applicant be implementing door to door sales activities?

- ☐ Yes
☒ No

If yes, will the Applicant be using verification procedures?

- ☐ Yes
☐ No

If yes, describe the Applicant's verification procedures.

d. OVERSIGHT OF MARKETING: Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

Diversegy's sales and marketing staff will market to customers through referrals and existing relationships. Diversegy will respond to customer inquiries and complaints in accordance with industry best practices and the Commission's rules. If any complaints or concerns should arise from customers or potential customers, Diversegy customer service can be reached at acoldewey@diversegy.com and by phone at 855-840-1280. Additionally, Diversegy regulatory staff monitors, tracks, and meets all compliance rules and regulations and ensures that all company procedures and policies are up to code.

e. OFFICERS: Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.

Please refer to Exhibit K.

9. DISCLOSURE STATEMENT:

(Not applicable for an applicant applying for a license exclusively as a broker/marketer.)

DISCLOSURE STATEMENTS: If proposing to serve Residential and/or Small Commercial (less than 6,000 Mcf annually) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix E to this Application.

- Natural gas should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. **STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 62.114.

☒ **AGREED**

- b. **REPORTING REQUIREMENTS:** Applicant agrees to provide the following information to the Commission:

- Reports of Gross Receipts: Applicant shall file an annual report with the Commission on an annual basis no later than April 30th following the end of the calendar year per 52 Pa. Code § 62.110.

☒ **AGREED**

- c. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. § 2208(d). Transferee will be required to file the appropriate licensing application.

☒ **AGREED**

- d. **ANNUAL FEES:** The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling natural gas in the Commonwealth of PA, and a supplemental fee based on annual gross Intrastate revenues, applicable to suppliers only.

☒ **ACKNOWLEDGED**

- e. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 62.105.

☒ **AGREED**

- f. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

☒ **AGREED**

- g. NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within thirty (30) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 62.105.

☒ **AGREED**

- h. CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

☒ **AGREED**

- i. FILING FEE:** The Applicant has enclosed or paid the required, non-refundable filing fee by **CERTIFIED CHECK OR MONEY ORDER** in the amount of \$350.00 payable to the Commonwealth of Pennsylvania. The Commission does not accept corporate or personal checks for filing fees.

☒ **PAYMENT ENCLOSED**

11. AFFIDAVITS
(All affidavits must be notarized before filing.)

- a.) APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.

Please see attached Appendix A.

- b.) OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the Public Utility Code of Pennsylvania and applicable federal and state laws. An example copy of this Affidavit can be found at Appendix B.

Please see attached Appendix B.

12. NEWSPAPER PUBLICATIONS

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. Below is a list of newspapers which cover the publication requirements for Natural Gas Suppliers looking to do business in Pennsylvania.

The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve. The chart below dictates which newspapers are necessary for each NGDC. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

Please file with the Commission the Certification of Publication, along with a Photostatic copy of the notice to complete the notice requirements.

Proof of newspaper publications must be filed with the initial application. Applicants **do not** need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	Erie Times-News	Harrisburg Patriot-News	Philadelphia Daily News	Pittsburgh Post-Gazette	Scranton Times-Tribune	Williamsport Sun-Gazette	Johnstown Tribune-Democrat
Columbia Gas	X	X		X		X	X
Equitable Gas	X			X			
National Fuel Gas				X			
PECO			X				
Peoples Natural Gas	X			X			X
Peoples TWP LLC				X			
Philadelphia Gas Works			X				
UGI		X	X		X		
UGI Central Penn	X	X	X	X	X	X	X
UGI Penn Natural		X			X	X	
Valley Energy					X	X	
Entire Commonwealth	X	X	X	X	X	X	X

(Example Publications are provided at Appendices F and G)

13. SIGNATURE

Applicant: Diversify, LLC
 By: [Signature]
 Title: Pres.

RECEIVED

DEC 28 2015

PA PUBLIC UTILITY COMMISSION
 SECRETARY'S BUREAU

**PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE**

Application of Diversegy, LLC For Approval To Offer, Render, or Furnish Natural Gas Services as a Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsylvania.

Diversegy, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. Diversegy, LLC proposes to broker and market natural gas and related services in all natural gas distribution company territories in the Commonwealth of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Diversegy, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Diversegy, LLC at the address listed below.

Avi Keilson
Director of Regulatory Affairs
Diversegy, LLC
520 Broad St.,
Newark, NJ 07102

RECEIVED

DEC 28 2015

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

PROOF OF PUBLICATION
In
THE ERIE TIMES-NEWS
COMBINATION EDITION

P.R. Quinlan
1012 14th St NW
Suite 903
Washington DC 20005

REFERENCE: 88279 172612
PUC Notice

STATE OF PENNSYLVANIA)
COUNTY OF ERIE) SS:

Debra McGraw, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, a true and correct copy of which is attached, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 11/25/15

TOTAL COST: \$409.00

AD SPACE: 0 Lines

**PENNSYLVANIA PUBLIC UTILITY COMMISSION
NOTICE**

Application of Diversegy, LLC For Approval To Offer, Render, or Furnish Natural Gas Services as a Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsylvania.

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The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Diversegy, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Diversegy, LLC at the address listed below.

Avi Keilson, Director of Regulatory Affairs
Diversegy, LLC, 520 Broad St., Newark, NJ 07102

Sworn to and subscribed before me this 25th day of November 2015

Affiant: _____

Debra McGraw

NOTARY: _____

Barbara J. Moore

COMMONWEALTH OF PENNSYLVANIA

Notarial Seal

Barbara J. Moore, Notary Public

City of Erie, Erie County

My Commission Expires March 23, 2016

MEMBER PENNSYLVANIA ASSOCIATION OF NOTARIES

The Patriot-News Co.
2020 Technology Pkwy
Suite 300
Mechanicsburg, PA 17050
Inquiries - 717-255-8213

P R QUINLAN
1012 14TH STREET NW
SUITE 903

WASHINGTON DC 20005

THE PATRIOT NEWS
THE SUNDAY PATRIOT NEWS

Proof of Publication

Under Act No. 587, Approved May 16, 1929
Commonwealth of Pennsylvania, County of Dauphin} ss

Amy Kotula, being duly sworn according to law, deposes and says:

That she is a Staff Accountant of The Patriot News Co., a corporation organized and existing under the laws of the Commonwealth of Pennsylvania, with its principal office and place of business at 2020 Technology Pkwy, Suite 300, in the Township of Hampden, County of Cumberland, State of Pennsylvania, owner and publisher of The Patriot-News and The Sunday Patriot-News newspapers of general circulation, printed and published at 1900 Patriot Drive, in the City, County and State aforesaid; that The Patriot-News and The Sunday Patriot-News were established March 4th, 1854, and September 18th, 1949, respectively; and all have been continuously published ever since;

That the printed notice or publication which is securely attached hereto is exactly as printed and published in their regular daily and/or Sunday/ Community Weekly editions which appeared on the date(s) indicated below. That neither she nor said Company is interested in the subject matter of said printed notice or advertising, and that all of the allegations of this statement as to the time, place and character of publication are true; and

That she has personal knowledge of the facts aforesaid and is duly authorized and empowered to verify this statement on behalf of The Patriot-News Co. aforesaid by virtue and pursuant to a resolution unanimously passed and adopted severally by the stockholders and board of directors of the said Company and subsequently duly recorded in the office for the Recording of Deeds in and for said County of Dauphin in Miscellaneous Book "M", Volume 14, Page 317.

PUBLICATION COPY

This ad # 0002351360 ran on the dates shown below:

December 01, 2015

PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE
Application of Diversegy, LLC For
Approval To Offer, Render, or Furnish
Natural Gas Services as a
Marketer/Broker Engaged in The
Business Of Supplying Natural Gas
Supply Services, To The Public in The
Commonwealth Of Pennsylvania.
Diversegy, LLC will be filing an
application with the Pennsylvania
Public Utility Commission ("PUC") for
a license to provide natural gas supply
services as a broker/marketer engaged
in the business of providing natural gas
services. Diversegy, LLC proposes to
broker and market natural gas and
related services in all natural gas
distribution company territories in the
Commonwealth of Pennsylvania under
the provisions of the new Natural Gas
Choice and Competition Act.
The PUC may consider this
application without a hearing. Protests
directed to the technical or financial
fitness of Diversegy, LLC may be filed
within 15 days of the date of this notice
with the Secretary of the PUC, P.O. Box
3265, Harrisburg, PA 17105-3265. You
should send copies of any protest to
Diversegy, LLC at the address listed
below.
Avi Kellson
Director of Regulatory Affairs
Diversegy, LLC
520 Broad St.
Newark, NJ 07102

Amy Kotula

Sworn to and subscribed before me this 01 day of December, 2015 A.D.

Sheryl Marie Leggore
Notary Public

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Sheryl Marie Leggore, Notary Public
Hampden Twp., Cumberland County
My Commission Expires July 16, 2018
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

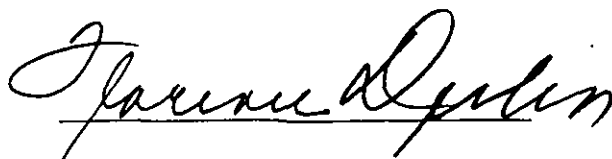
**Proof of Publication in The Philadelphia Daily News
Under Act. No 587, Approved May 16, 1929**

**STATE OF PENNSYLVANIA
COUNTY OF PHILADELPHIA**

Florence Devlin being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

November 25, 2015

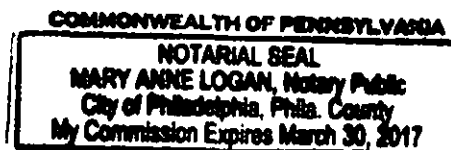
Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.



Sworn to and subscribed before me this 25th day of
November, 2015.


Notary Public

My Commission Expires:



Copy of Notice of Publication

**PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE**

Application of **Diversegy, LLC** For Approval To Offer, Render, or Furnish Natural Gas Services as a Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsylvania.

Diversegy, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/ marketer engaged in the business of providing natural gas services. **Diversegy, LLC** proposes to broker and market natural gas and related services in all natural gas distribution company territories in the Commonwealth of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Diversegy, LLC** may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to **Diversegy, LLC** at the address listed below.

Avi Kellison
Director of Regulatory Affairs
Diversegy, LLC
620 Broad St.,
Newark, NJ 07102

No. _____ Term, _____

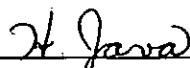
Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss H. Java, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

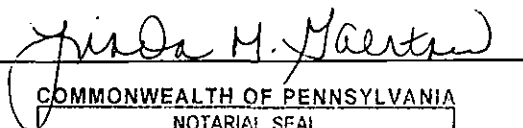
24 of November, 2015

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.



PG Publishing Company

Sworn to and subscribed before me this day of:
November 24, 2015



COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Linda M. Gaertner, Notary Public
City of Pittsburgh, Allegheny County
My Commission Expires Jan. 31, 2019
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

STATEMENT OF ADVERTISING COSTS

P.R. QUINLAN
1012 14TH ST NW STE 903
ATTN: Frank Caliva III
WASHINGTON DC 20005-3414

To PG Publishing Company

Total ----- \$382.50

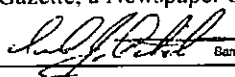
Publisher's Receipt for Advertising Costs

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

Office
34 Boulevard of the Allies
PITTSBURGH, PA 15222
Phone 412-263-1338

PG Publishing Company, a Corporation, Publisher of
Pittsburgh Post-Gazette, a Newspaper of General Circulation

By

 Samuel J. Arbutina

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

**COPY OF NOTICE
OR PUBLICATION**

PENNSYLVANIA
PUBLIC UTILITY
COMMISSION NOTICE

Application of Diversegy, LLC For Approval To Offer, Render, or Furnish Natural Gas Services as a Market-er/Broker Engaged in The Business Of Supplying Natural Gas Supply Services, To The Public in The Commonwealth Of Pennsylvania.

Diversegy, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marker engaged in the business of providing natural gas services. Diversegy, LLC proposes to broker and market natural gas and related services in all natural gas distribution company territories in the Commonwealth of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Diversegy, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Diversegy, LLC at the address listed below.

Avi Keelson, Director of Regulatory Affairs, Diversegy, LLC, 520 Broad St., Newark, NJ 07102

The Scranton Times (Under act P.L. 877 No 160. July 9, 1976)
Commonwealth of Pennsylvania, County of Lackawanna

P.R. QUINLAN
FRANK CALIVA III
SUITE 903 1012 14TH STREET NW
WASHINGTON DC 20005

Account # 613586
Order # 81862992
Ad Price: 197.10

LEGAL NOTICE PENNSYLVANIA

Gina Krushinski

Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

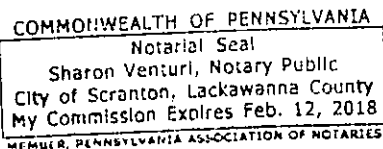
11/24/2015

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true *Gina Krushinski*.

Sworn and subscribed to before me
this 24th day of November A.D., 2015

Sharon Venturi

(Notary Public)



LEGAL NOTICE

**PENNSYLVANIA PUBLIC UTILITY
COMMISSION NOTICE**

Application of Diversegy, LLC For Approval To Offer, Render, or Furnish Natural Gas Services as a Marketer/ Broker Engaged In The Business Of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsylvania.

Diversegy, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. Diversegy, LLC proposes to broker and market natural gas and related services in all natural gas distribution company territories in the Commonwealth of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Diversegy, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Diversegy, LLC at the address listed below.

Avi Keilson
Director of Regulatory Affairs
Diversegy, LLC
520 Broad St.
Newark, NJ 07102

PROOF OF PUBLICATION OF NOTICE IN THE WILLIAMSPORT
SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA
COUNTY OF LYCOMING

SS:

Bernard A. Oravec Publisher of the Sun-Gazette Company, publishers of the Williamsport, Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport Sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

November 25, 2015

PENNSYLVANIA
PUBLIC UTILITY
COMMISSION
NOTICE

Affiant further deposes to verify the foregoing of publication, and the

Application of Diversegy, LLC For Approval To Offer, Render, or Furnish Natural Gas Services as a Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services; To The Public in The Commonwealth Of Pennsylvania.

Diversegy, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker / marketer engaged in the business of providing natural gas services. Diversegy, LLC proposes to broker and market natural gas and related services in all natural gas distribution company territories in the Commonwealth of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Diversegy, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Diversegy, LLC at the address listed below.

Avi Keilson
Director of Regulatory
Affairs
Diversegy, LLC
520 Broad St.,
Newark, NJ 07102

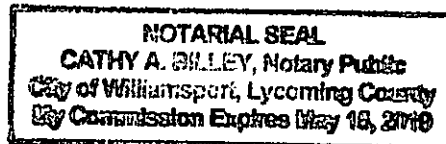
daily authorized by the Sun-Gazette Company, publisher of the Williamsport Sun-Gazette, and also declares that affiant is not interested in the subject matter of the aforesaid notice the foregoing statement as to time, place and character of publication are true.

Bernard A. Oravec

SUN-GAZETTE COMPANY

Sworn to and subscribed before me

the 30th day of November, 2015
Cathy A. Billey
Notary Public



STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette Company, Dr.:

For publishing the notice attached

hereto on the above state dates.....\$ 218.76

Probated same.....\$

Total.....\$ 218.76

ER'S RECEIPT FOR ADVERTISING COSTS

THE SUN-GAZETTE Newark, NJ 07102 hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

SUN-GAZETTE COMPANY

BY Bernard A. Oravec

COMMONWEALTH OF PENNSYLVANIA }
County of Cambria } SS

**PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE**

Application of Diversegy, LLC For Approval To Offer, Render, or Furnish Natural Gas Services as a Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsylvania.

Diversegy, LLC be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. Diversegy, LLC to broker and market natural gas and related services in all natural gas distribution company territories in the Commonwealth of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Diversegy, LLC be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to, LLC the address listed below.

Avi Kellison
Director of Regulatory Affairs
Diversegy, LLC
520 Broad St., Newark, NJ 07102

publish
that th

County of Cambria, and Commonwealth of Pennsylvania and above matter published in said publication in the regular issues of The Johnstown Tribune-Democrat, Johnstown, PA, on December 3, 2015; and that the Affiant is not interested in the subject matter of said notice or advertising and that all of the allegations as to time, place and character of said publication are true.

On this 4th day of December A.D. 2015, before me, the subscriber, a Notary Public in and for said County and State, personally appeared Christine Marhefka, who being duly sworn according to law, deposes and says as Classified Advertising Manager of the Tribune-Democrat, Johnstown, PA, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8, 1952, of the Johnstown Tribune, established December 7, 1853; and of the Johnstown Democrat, established March 5, 1863.

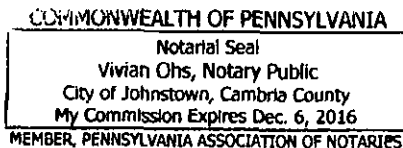
Christine Marhefka

STATEMENT OF ADVERTISING COSTS

Sworn and Subscribed before me this
4th day of December, 2015.

[Signature]

0.00 Lines @	\$2.50 per line	0.00
5.5 Inches @	\$25.00 per inch	137.50
Notary Fee	-	5.00
Clerical Fee		2.50
Total Cost		145.00



To The Tribune-Democrat, Johnstown, PA
For publishing the notice or publication
attached hereto on the above stated dates.

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

_____ for publisher of _____
a newspaper of general circulation, hereby acknowledges receipt of the aforesaid
and publication costs and certifies that the same has been duly paid.

(Name of Newspaper)

By _____

14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections is complete.

Applicant: Diversegy, LLC

Applicant's Use	X	Signature		PUC Secretary's Bureau Use
	X	Filing Fee (ONLY CERTIFIED CHECK OR MONEY ORDER)		
	X	Application Affidavit		
	X	Operations Affidavit		
	X	Proof of Publication		
	X	Tax Certification Statement		
	X	Commonwealth Department of State Verification		
	X	Certificate of Service		

RECEIVED

DEC 28 2015

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Appendix A

APPLICATION AFFIDAVIT

RECEIVED

DEC 28 2015

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU[Commonwealth/State] of New Jersey :

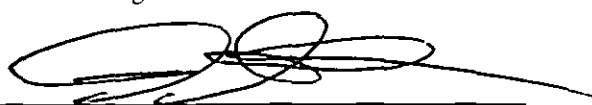
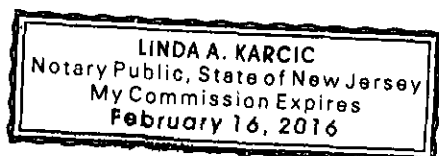

ss.

County of ESSEX :Alan Schwab, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:[He/she is the President (Office of Affiant) of Diversy, LLC (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein Diversy, LLC has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as a natural gas supplier pursuant to 66 Pa. C.S. § 2208 (c)(1).That the Applicant herein Diversy, LLC has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.That the Applicant herein Diversy, LLC acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.That the Applicant herein Diversy, LLC acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.


Signature of Affiant
Sworn and subscribed before me this 16th day of December, 2015.

Signature of official administering oath
My commission expires 2/16/16

Appendix B

RECEIVED

DEC 28 2015

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU[Commonwealth/State] of New Jersey :

ss.

County of Essex :Alan Schwab, Affiant, being duly [sworn/affirmed] according to law,
deposes and says that:[He/she is the President (Office of Affiant) of Diversy, LLC
(Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That Diversy, LLC, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That Diversy, LLC, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render natural gas supply service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Diversy, LLC, the Applicant herein, certifies to the Commission that it is subject to, will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 22 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28 shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional natural gas sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

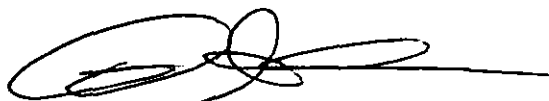
Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

Appendix B (Continued)

That Diversity LLC, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506 and the standards and billing practices of 52 PA. Code Chapter 56.

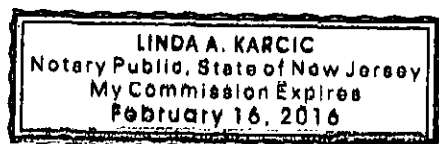
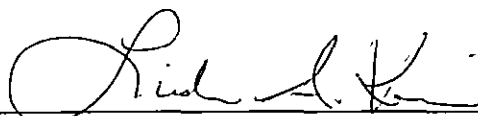
That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Office of Communications or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.



Signature of Affiant

Sworn and subscribed before me this 16th day of December, 2015.

Signature of official administering oath

My commission expires 2/16/16.

RECEIVED

DEC 28 2015

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Appendix C

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

CERTIFICATE OF SERVICE

On this the 28th day of December 2015 I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as a Natural Gas Supplier and all **NON-CONFIDENTIAL** attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120	Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120
Office of the Small Business Advocate Commerce Building, Suite 1102 300 North Second Street Harrisburg, PA 17101	Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946
Columbia Gas of PA, Inc. Thomas C. Heckathorn 200 Civic Center Drive Columbus, OH 43215 PH: 614.460.4996 FAX: 614.460.6442 theckathorn@nisource.com	Bureau of Investigation & Enforcement Pennsylvania Public Utility Commission Commonwealth Keystone Building 400 North Street, 2 West Harrisburg, PA 17120
Peoples Natural Gas – Equitable Division Lynda Petricevich 225 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: Lynda.w.petricevich@peoples-gas.com	National Fuel Gas Distribution Corp. David D. Wolford 6363 Main Street Williamsville, NY 14221 PH: 716.857.7483 FAX: 716.857.7479 e-mail: wolfordd@natfuel.com
The Peoples Natural Gas Company Lynda Petricevich 225 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: Lynda.w.petricevich@peoples-gas.com	PECO Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2 Philadelphia, PA 19103 PH: 215.841.6452 Email: carlos.thillet@exeloncorp.com
Peoples TWP LLC (Formerly T. W. Phillips) Lynda Petricevich 225 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: Lynda.w.petricevich@peoples-gas.com	Philadelphia Gas Works Nicholas LaPergola 800 West Montgomery Avenue Philadelphia, PA 19122 PH: 215.684.6278 email: nicholas.lapergola@pgworks.com

RECEIVED

DEC 28 2015

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

UGI Central Penn David Lahoff 2525 N. 12 th Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3520 Email: dlahoff@ugi.com	UGI David Lahoff 2525 N. 12 th Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3520 Email: dlahoff@ugi.com
Valley Energy Inc. Robert Crocker 523 South Keystone Avenue Sayre, PA 18840-0340 PH: 570.888-9664 FAX: 570.888.6199 email: bobc@ctenterprises.org	UGI Penn Natural David Lahoff 2525 N. 12 th Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3520 Email: dlahoff@ugi.com



Alan Schwab, President, Diversegy, LLC

Page 28 has been filed confidentially under separate cover.

Exhibit A – “Proof of Compliance with Department of State”

Please see documentation in the pages that follow.

RECEIVED

DEC 28 2015

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF STATE

11/12/2015

TO ALL WHOM THESE PRESENTS SHALL COME, GREETING:

Diversegy, LLC

I, Pedro A. Cortés, Secretary of the Commonwealth of Pennsylvania do hereby certify that the foregoing and annexed is a true and correct photocopy of

Creation Filing filed on Feb 4, 2013 - Pages (2)
Amendment filed on Jan 16, 2014 - Pages (2)



IN TESTIMONY WHEREOF, I have hereunto set
my hand and caused the Seal of the Secretary's
Office to be affixed, the day and year above written

Pedro A. Cortés
Secretary of the Commonwealth

Certification Number: TSC151112130770-1

Verify this certificate online at <http://www.corporations.pa.gov/orders/verify.aspx>

PENNSYLVANIA DEPARTMENT OF STATE
CORPORATION BUREAU

Application for Registration - Foreign

(15 Pa.C.S.)

- ☐ Registered Limited Liability General Partnership (§ 8211)
☐ Registered Limited Liability Limited Partnership (§ 8211)
☐ Limited Partnership (§ 8582)
☒ Limited Liability Company (§ 8981)

Name <u>Diversegy, LLC c/o Cesar Garcia</u>		
Address <u>2720 N. Stemmons Fwy, Suite 900, 9th Fl.,</u>		
City <u>Dallas</u>	State <u>TX</u>	Zip Code <u>75207</u>

Document will be returned to the
name and address you enter to
the left.

←

Commonwealth of Pennsylvania
APPLICATION FOR REGISTRATION 4 Page(s)

Fee: \$250



T1303843002

In compliance with the requirements of the applicable provisions (relating to registration), the undersigned, desiring to register to do business in this Commonwealth, hereby states that:

1. The name of the limited liability company/limited liability partnership/limited partnership in the jurisdiction in which it is formed:

Diversegy, LLC

2. The name under which the limited liability company/limited liability partnership/limited partnership proposes to register and do business in this Commonwealth is:

Diversegy, LLC

3. The name of the jurisdiction under the laws of which it was organized and the date of its formation:

Jurisdiction: TX Date of Formation: 12/27/10

4. The (a) address of its initial registered office in this Commonwealth or (b) name of its commercial registered office provider and the county of venue is:

(a) Number and street City State Zip County

(b) Name of Commercial Registered Office Provider
Northwest Registered Agents, Inc.

County
Montgomery

PA DEPT. OF STATE

FEB 4 2013

DSCB:15-8981/8211/8582-2

5. Check and complete one of the following:

☒ The address of the office required to be maintained by it in the jurisdiction of its organization by the laws of that jurisdiction is:

2720 N. Stemmons Fwy, Suite 900, 9th Fl. Dallas TX 75207
 Number and street City State Zip

☐ It is not required by the laws of its jurisdiction of organization to maintain an office therein and the address of its principal office is:

 Number and street City State Zip

6. For Restricted Professional Limited Liability Company Only. Strike out if inapplicable: The company is a restricted professional company organized to render the following professional service(s):

XX

Limited Liability Partnership and Limited Partnership: Complete paragraphs 7 and 8

7. The name and business address of each general partner.

Name Business Address

8. The address of the office at which is kept a list of the names and addresses of the limited partners and their capital contribution is:

 Number and street City State Zip County

The registered partnership hereby undertakes to keep those records until its registration to do business in the Commonwealth is canceled or withdrawn.

IN TESTIMONY WHEREOF, the undersigned has caused this Application for Registration to be signed by a duly authorized officer/member or manager thereof this

30th day of January, 2013.

Diversegy, LLC

Name of Partnership/Company

[Signature]
 Signature

Chief Financial Officer

Title

PENNSYLVANIA DEPARTMENT OF STATE
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS

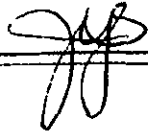
Certificate of Amendment of Registration-Foreign

(15 Pa.C.S. § 8585)

☐ Limited Partnership
☐ Registered Limited Liability Partnership
☒ Registered Limited Liability Company

Corporation Service Company

963378-8



Document will be returned to the
name and address you enter to
the left.

Commonwealth of Pennsylvania
FOREIGN LIMITED LIABILITY AMENDMENT 2 Page(s)



T1402464037

Fee: \$250

In compliance with the requirements of 15 Pa.C.S. § 8585 (relating to amended certificate of registration), the undersigned, desiring to change the arrangements or other facts described in its application for registration as a foreign limited partnership, foreign registered limited liability partnership or a foreign limited liability company hereby states that:

1. The name under which the association was registered (or last registered) to do business in the Commonwealth of Pennsylvania is:

DIVERSEGY, LLC

2. The (a) address of its initial registered office in this Commonwealth or (b) name of its commercial registered office provider and the county of venue is:

(a) Number and street City State Zip County

(b) Name of Commercial Registered Office Provider County
c/o: Northwest Registered Agents, Inc. Montgomery

3. (If applicable): The address of the registered office of the association in this Commonwealth is hereby changed to:

(a) Number and street City State Zip County

(b) Name of Commercial Registered Office Provider County
c/o: Corporation Service Company Dauphin

2014 JAN 16 PM 1:28

PA DEPT OF STATE

DSCB:15-8585-2

4. *If applicable:* The association desires that its registration be amended to change its name to:

5. *If applicable:* The association desires that its registration be amended as follows in order to reflect arrangements or other facts that have changed.

IN TESTIMONY WHEREOF, the undersigned has caused this Certificate of Amendment of Registration to be signed by a duly authorized officer, member or manager thereof this

14th day of January, 14.

DIVERSEGY, LLC

Name of Association

Jaycom.com

Signature

Secretary, Authorized Person

Title

Exhibit B – “Applicant’s Incorporation”

Diversegy, LLC was formed in the State of Texas. Please see documentation in the pages that follow.

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SECRETARY'S BUREAU



Office of the Secretary of State

Certificate of Fact

The undersigned, as Secretary of State of Texas, does hereby certify that the document, Certificate of Formation for Diversegy, LLC (file number 801361512), a Domestic Limited Liability Company (LLC), was filed in this office on December 27, 2010.

It is further certified that the entity status in Texas is in existence.

In testimony whereof, I have hereunto signed my name officially and caused to be impressed hereon the Seal of State at my office in Austin, Texas on November 17, 2015.



A handwritten signature in black ink, appearing to read "Cascos", followed by a horizontal line.

Carlos H. Cascos
Secretary of State

Form 205
(Revised 07/10)

Submit in duplicate to:
Secretary of State
P.O. Box 13697
Austin, TX 78711-3697
512 463-5555
FAX: 512 463-5709
Filing Fee: \$300



**Certificate of Formation
Limited Liability Company**

This space reserved for office use.

FILED
In the Office of the
Secretary of State of Texas
DEC 27 2010
Corporations Section

Article 1 – Entity Name and Type

The filing entity being formed is a limited liability company. The name of the entity is:

Diversegy, LLC

The name must contain the words "limited liability company," "limited company," or an abbreviation of one of these phrases.

Article 2 – Registered Agent and Registered Office

(See instructions. Select and complete either A or B and complete C.)

☐ A. The initial registered agent is an organization (cannot be entity named above) by the name of:

OR

☒ B. The initial registered agent is an individual resident of the state whose name is set forth below:

Cesar		Garcia	
First Name	M.I.	Last Name	Suffix

C. The business address of the registered agent and the registered office address is:

1415 N. Loop West #750	Houston	TX	77008
Street Address	City	State	Zip Code

Article 3—Governing Authority

(Select and complete either A or B and provide the name and address of each governing person.)

☒ A. The limited liability company will have managers. The name and address of each initial manager are set forth below.

☐ B. The limited liability company will not have managers. The company will be governed by its members, and the name and address of each initial member are set forth below.

GOVERNING PERSON				
NAME (Enter the name of either an individual or an organization, but not both.)				
IF INDIVIDUAL				
Cesar		Garcia		
First Name	M.I.	Last Name	Suffix	
OR				
IF ORGANIZATION				
Organization Name				
ADDRESS				
1415 N. Loop West #750	Houston	TX	USA	77008
Street or Mailing Address	City	State	Country	Zip Code

GOVERNING PERSON 2				
NAME (Enter the name of either an individual or an organization, but not both.)				
IF INDIVIDUAL				
First Name	M.I.	Last Name	Suffix	
OR				
IF ORGANIZATION				
Organization Name				
ADDRESS				
Street or Mailing Address		City	State	Country Zip Code

GOVERNING PERSON 3				
NAME (Enter the name of either an individual or an organization, but not both.)				
IF INDIVIDUAL				
First Name	M.I.	Last Name	Suffix	
OR				
IF ORGANIZATION				
Organization Name				
ADDRESS				
Street or Mailing Address		City	State	Country Zip Code

Article 4 – Purpose

The purpose for which the company is formed is for the transaction of any and all lawful purposes for which a limited liability company may be organized under the Texas Business Organizations Code.

Supplemental Provisions/Information

Text Area: [The attached addendum, if any, is incorporated herein by reference.]

--

Organizer

The name and address of the organizer:

Cesar Garcia

Name

1415 N. Loop West #750

Houston

TX 77008

Street or Mailing Address

City

State Zip Code

Effectiveness of Filing (Select either A, B, or C.)

- A. ☒ This document becomes effective when the document is filed by the secretary of state.
- B. ☐ This document becomes effective at a later date, which is not more than ninety (90) days from the date of signing. The delayed effective date is: _____
- C. ☐ This document takes effect upon the occurrence of the future event or fact, other than the passage of time. The 90th day after the date of signing is: _____

The following event or fact will cause the document to take effect in the manner described below:

Execution

The undersigned affirms that the person designated as registered agent has consented to the appointment. The undersigned signs this document subject to the penalties imposed by law for the submission of a materially false or fraudulent instrument and certifies under penalty of perjury that the undersigned is authorized to execute the filing instrument.

Date:

12/22/10



Signature of organizer

Cesar Garcia

Printed or typed name of organizer

Exhibit C – “Officers’ Names & Addresses”

Michael Stein, CEO
520 Broad Street
Newark, NJ 07102
973-438-3018

Alan Schwab, President
520 Broad Street
Newark, NJ 07102
973-438-4343

Avi Goldin, EVP of Finance & Treasurer
520 Broad Street
Newark, NJ 07102
973-438-4075

Joyce Mason, Secretary
520 Broad Street
Newark, NJ 07102
973-438-3186

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Exhibit D – “Affiliates”

Genie Energy Ltd.
520 Broad Street
Newark, NJ 07102

Genie Energy International Corporation
520 Broad Street
Newark, NJ 07102

Genie Oil and Gas, Inc.
520 Broad Street
Newark, NJ 07102

IDT Energy, Inc.
520 Broad Street
Newark, NJ 07102
Active in Pennsylvania.
NGS License at Docket No. A-2009-2119279
EGS License at Docket No. A-2009 2134623

Genie Retail Energy, Inc.
520 Broad Street
Newark, NJ 07102

American Shale Oil Corporation
PO Box 1470
Rifle, CO 81650

Genie Mongolia, Inc.
520 Broad Street
Newark, NJ 07102

North American Energy, Inc.
20 West 3rd St.
Suite 10
Jamestown, NY 14702

Virtual Power Hedging, LLC
520 Broad Street
Newark, NJ 07102

AMSO Holdings, LLC
PO Box 1470
Rifle, CO 81650

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Exhibit D – “Affiliates”

Residents Energy, LLC (f/k/a New Energy Services, LLC)

520 Broad Street

Newark, NJ 07102

Active in Pennsylvania.

NGS License at Docket No. A-2014-2432600

EGS License at Docket No. A-2014-2433184

Evergreen Gas & Electric, LLC (f/k/a Residents Energy, LLC)

520 Broad Street

Newark, NJ 07102

American Shale Oil, LLC

PO Box 1470

Rifle, CO 81650

Trupro Energy, LLC

520 Broad Street

Newark, NJ 07102

Shuk Holdings, LLC

520 Broad Street

Newark, NJ 07102

Direct Marketing Solutions, LLC

520 Broad Street

Newark, NJ 07102

IDT Energy Network, LLC (f/k/a EPIQ Energy, LLC)

520 Broad Street, Newark, NJ 07102

Active in Pennsylvania.

NGS License at Docket No. A-2014-2407023

EGS License at Docket No. A-2014-2405358

Diversegy Consultant Program, LLC

520 Broad Street

Newark, NJ 07102

Exhibit E – “Proposed Services”

Diversegy proposes to provide natural gas brokering/marketing services. For all customers and classes that Diversegy is licensed to serve, Diversegy will work with natural gas suppliers licensed to do business in Pennsylvania in order to give customers a variety of options to choose from. The customers can then decide which provider best suits their specific needs based on current market conditions as well as historical and expected usage. All consumer interactions engaged in by Diversegy employees and agents will be conducted with the highest degree of professionalism, in accordance with industry best practices and in full compliance with federal, commonwealth, and municipal law as well as the regulations of the Pennsylvania Public Utility Commission.

Exhibit F – “Criminal & Civil Proceedings”***Anderson et al v. Rodriguez et al.*****U.S. District Court - Northern District of Texas****Case No. 3:14-cv-03734-M**

This case is a collective action brought by 3 former sales employees for alleged violations of the Federal Labor Standards Act related to overtime, and a claim by one of the former employees regarding alleged retaliation in connection with the termination of his employment. Diversegy does not believe that any of the claims are likely to result in a material loss/award (not a material contingent liability).

Johnny E. Webb, III v. Alex Rodriguez, et al.**District Court of Dallas County****Case No. DC-14-09393**

This was an action that began with a TRO and claims against the Sellers of Diversegy, Diversegy, LLC and the Purchasers in connection with the sale of Diversegy and claims relating to pre-Closing debts and the plaintiff's share of the proceeds of the sale. Case was dismissed without prejudice based on a venue/forum selection clause. Plaintiff moved for reconsideration but it was denied. Plaintiff appealed to the Court of Appeals, Sixth Appellate District of Texas, which affirmed the trial court's judgment.

Adam Rizerri v. Diversegy, LLC and Alex Rodriguez;**U.S. District Court – Northern District of Texas****Case No. 3:12-cv-01027**

Case by former employee alleging violations of the Federal Labor Standards Act. This case was settled.

Law Offices of Arthur S. Gomberg, P.C. v. Diversegy, LLC, et al.**U.S. District Court – Northern District of Illinois****Case No. 1:13-cv-03791*****Performance Auto Spa, Inc. v. Diversegy, LLC, et al.*****U.S. District Court – Northern District of Illinois****Case No. 1:13-cv-03215*****Donald A. LeBoyer v. Diversegy, LLC*****U.S. District Court – Northern District of Illinois****Case No. 1:13-cv-03582**

There were three actions filed in Illinois in 2013 alleging telemarketing violations. All three actions were settled between the parties.

McLaughlin v. IDT Energy, Inc.**U.S. District Court Eastern District of New York****Case No. 14-cv-4107*****Ferrare v. IDT Energy, Inc.*****U.S. District Court – Eastern District of Pennsylvania****Case No: 2:14-cv-04658-AB*****Aks v. IDT Energy, Inc.*****Superior Court of New Jersey****Docket No. L-04936-14**

The applicant's parent company, IDT Energy, Inc. ("IDTE") has been named as a defendant in three putative class action lawsuits which allege that IDTE employed unlawful sales and marketing practices. IDTE maintains that the lawsuits are without merit and is vigorously defending each of them.

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Exhibit G – "Customer/Regulatory/Prosecutory Actions"**Diversegy, LLC (Applicant)**

No formal complaints have been filed against Diversegy, LLC in any of the jurisdictions in which it operates.

IDT Energy (Affiliate)New York

Escalated Complaints filed at the NYPSC against IDT Energy

12/10	11/10	10/10	9/10	8/10	7/10	6/10	5/10	4/10	3/10	2/10	1/10
0	0	0	1	0	0	0	1	0	0	0	0

12/11	11/11	10/11	9/11	8/11	7/11	6/11	5/11	4/11	3/11	2/11	1/11
0	0	0	0	1	0	1	0	0	0	0	0

12/12	11/12	10/12	9/12	8/12	7/12	6/12	5/12	4/12	3/12	2/12	1/12
0	1	1	0	0	0	0	0	0	0	1	0

12/13	11/13	10/13	9/13	8/13	7/13	6/13	5/13	4/13	3/13	2/13	1/13
0	0	1	0	0	1	0	0	0	0	0	0

12/14	11/14	10/14	9/14	8/14	7/14	6/14	5/14	4/14	3/14	2/14	1/14
1	2	1	0	0	0	1	0	5	3	0	0

12/15	11/15	10/15	9/15	8/15	7/15	6/15	5/15	4/15	3/15	2/15	1/15
--	--	--	1	0	0	0	0	2	1	0	0

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SECRETARY'S BUREAU

Exhibit G – "Customer/Regulatory/Prosecutory Actions"**Pennsylvania**

<u>Date Filed</u>	<u>Docket No.</u>	<u>Description</u>	<u>Current Status</u>
7/17/12	<u>M-2013-2314312</u>	Informal investigation of IDT Energy, Inc. Alleging deceptive sales practices and misrepresentation and unauthorized switching of supply service (slamming)	IDT Energy and the PAPUC Bureau of Investigation and Enforcement reached a settlement agreement approved by the PAPUC on 10/17/13. IDT Energy paid a civil penalty of \$39,000 on 11/14/13.
2/5/13	<u>C-2013-2347594</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 6/10/13.
7/9/13	<u>F-2013-2373176</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 7/23/13.
2/21/14	<u>C-2014-2408508</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 3/19/14.
2/24/14	<u>C-2014-2409290</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/18/14.
2/27/14	<u>C-2014-2407690</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 3/20/14.
2/28/14	<u>C-2014-2409659</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/18/14.
2/28/14	<u>C-2014-2409669</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/18/14.
3/1/14	<u>C-2014-2409683</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/18/14.

Exhibit G – “Customer/Regulatory/Prosecutory Actions”

Date Filed	Docket No.	Description	Current Status
3/3/14	<u>C-2014-2409661</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/18/14.
3/3/14	<u>C-2014-2409662</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/18/14.
3/3/14	<u>C-2014-2409663</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/18/14.
3/3/14	<u>C-2014-2409664</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/18/14.
3/3/14	<u>C-2014-2409687</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/2/14.
3/3/14	<u>C-2014-2409688</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/18/14.
3/4/14	<u>C-2014-2408288</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 3/21/14.
3/4/14	<u>C-2014-2408678</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 3/24/14.
3/4/14	<u>C-2014-2408926</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/18/14.
3/4/14	<u>C-2014-2409676</u>	Residential customer complaint	IDT Energy in resolution process with customer.
3/4/14	<u>C-2014-2409680</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/18/14.
3/5/14	<u>C-2014-2409877</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/2/14.

Exhibit G – “Customer/Regulatory/Prosecutory Actions”

Date Filed	Docket No.	Description	Current Status
3/5/14	<u>C-2014-2409878</u>	Residential customer complaint	IDT Energy in resolution process with customer.
3/6/14	<u>C-2014-2408773</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 3/24/14.
3/6/14	<u>C-2014-2409879</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/18/14.
3/6/14	<u>C-2014-2409928</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/2/14.
3/7/14	<u>C-2014-2409881</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/2/14.
3/7/14	<u>C-2014-2409883</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/18/14.
3/10/14	<u>C-2014-2409508</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/18/14.
3/10/14	<u>C-2014-2409509</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/18/14.
3/10/14	<u>C-2014-2409887</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/2/14.
3/11/14	<u>C-2014-2409556</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/18/14.
3/10/14	<u>C-2014-2410063</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/3/14.
3/10/14	<u>C-2014-2410065</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/3/14.

Exhibit G – “Customer/Regulatory/Prosecutory Actions”

Date Filed	Docket No.	Description	Current Status
3/10/14	<u>C-2014-2410066</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/3/14.
3/10/14	<u>C-2014-2410067</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/3/14.
3/10/14	<u>C-2014-2410068</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/3/14.
3/10/14	<u>C-2014-2410070</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/3/14.
3/10/14	<u>C-2014-2410071</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/3/14.
3/3/14	<u>C-2014-2410073</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/3/14.
3/3/14	<u>C-2014-2410074</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/3/14.
3/11/14	<u>C-2014-2410075</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/3/14.
3/11/14	<u>C-2014-2410076</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 8/6/14.
3/17/14	<u>C-2014-2410573</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/7/14.
3/12/14	<u>C-2014-2410817</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/8/14.

Exhibit G – “Customer/Regulatory/Prosecutory Actions”

Date Filed	Docket No.	Description	Current Status
3/14/14	<u>C-2014-2410818</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/7/14.
3/4/14	<u>C-2014-2410819</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/7/14.
3/14/14	<u>C-2014-2410820</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/7/14.
3/14/14	<u>C-2014-2410821</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/8/14.
3/14/14	<u>C-2014-2410823</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/8/14.
3/17/14	<u>C-2014-2410830</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/7/14.
3/12/14	<u>C-2014-2410832</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/8/14.
3/10/14	<u>C-2014-2410833</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/8/14.
3/10/14	<u>C-2014-2410835</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/7/14.
3/10/14	<u>C-2014-2410837</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/7/14.
3/12/14	<u>C-2014-2410839</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/7/14.

Exhibit G – “Customer/Regulatory/Prosecutory Actions”

Date Filed	Docket No.	Description	Current Status
3/10/14	<u>C-2014-2411097</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/9/14.
3/13/14	<u>C-2014-2411098</u>	Commercial customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/9/14.
3/17/14	<u>C-2014-2411099</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/9/14.
3/17/14	<u>C-2014-2411103</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/9/14.
3/17/14	<u>C-2014-2411105</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/9/14.
3/17/14	<u>C-2014-2411106</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/9/14.
3/17/14	<u>C-2014-2411109</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/9/14.
3/17/14	<u>C-2014-2411111</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/9/14.
3/17/14	<u>C-2014-2411112</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 5/5/14.
3/18/14	<u>C-2014-2411113</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/9/14.
3/14/14	<u>C-2014-2412345</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/14/14.

Exhibit G – “Customer/Regulatory/Prosecutory Actions”

Date Filed	Docket No.	Description	Current Status
3/18/14	<u>C-2014-2412347</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/14/14.
3/20/14	<u>C-2014-2412348</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/14/14.
3/21/14	<u>C-2014-2412350</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/14/14.
3/24/14	<u>C-2014-2412351</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/14/14.
3/31/14	<u>C-2014-2413280</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/14/14.
3/14/14	<u>C-2014-2413734</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/18/14.
3/27/14	<u>C-2014-2413735</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/18/14.
3/27/14	<u>C-2014-2413737</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/18/14.
3/27/14	<u>C-2014-2413742</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/18/14.
3/31/14	<u>C-2014-2414861</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/22/14.
4/1/14	<u>C-2014-2415289</u>	Municipal customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/29/14.

Exhibit G – “Customer/Regulatory/Prosecutory Actions”

Date Filed	Docket No.	Description	Current Status
4/11/14	<u>C-2014-2415659</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 4/22/14.
4/22/14	<u>C-2014-2417554</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 5/7/14.
4/22/14	<u>C-2014-2417555</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 5/14/14.
4/24/14	<u>C-2014-2419102</u>	Commercial customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 5/9/14.
4/28/14	<u>C-2014-2421340</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 5/23/14.
4/30/14	<u>C-2014-2419099</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 6/12/14.
5/14/14	<u>C-2014-2421341</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 6/2/14.
5/14/14	<u>C-2014-2421342</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 6/2/14.
5/27/14	<u>C-2014-2423448</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 6/10/14.
5/27/14	<u>C-2014-2423453</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 6/10/14.
6/5/14	<u>C-2014-2425064</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 6/16/14.

Exhibit G – “Customer/Regulatory/Prosecutory Actions”

Date Filed	Docket No.	Description	Current Status
6/20/14	<u>C-2014-2427657</u>	Formal complaint filed by Attorney General of Pennsylvania and Office of Consumer Advocate vs IDT Energy Inc.	Proposed settlement approved by ALJs; pending PUC approval.
6/29/14	<u>C-2014-2429172</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 9/23/14.
8/6/14	<u>C-2014-2436060</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 8/26/14.
9/15/14	<u>C-2014-2442578</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 1/21/15.
9/20/14	<u>C-2014-2443609</u>	Residential customer complaint	Resolved by customer and IDT Energy; certificate of satisfaction filed 9/30/14.
9/29/14	<u>C-2014-2445058</u>	Residential customer complaint.	Resolved by customer and IDT Energy; certificate of satisfaction filed 10/8/14.
10/28/14	<u>C-2014-2450058</u>	Residential customer complaint.	Resolved by customer and IDT Energy; certificate of satisfaction filed 11/10/14.
7/9/14	<u>F-2014-2430923</u>	Residential customer complaint.	Resolved by customer and IDT Energy; certificate of satisfaction filed 7/22/14.
8/8/14	<u>F-2014-2436385</u>	Residential customer complaint.	Resolved by customer and IDT Energy; certificate of satisfaction filed 8/13/15.
8/20/14	<u>F-2014-2438401</u>	Residential customer complaint.	Resolved by customer and IDT Energy; certificate of satisfaction filed 11/5/14.
8/28/14	<u>F-2014-2439867</u>	Residential customer complaint.	Resolved by customer and IDT Energy; certificate of satisfaction filed 10/30/14.

Exhibit G – “Customer/Regulatory/Prosecutory Actions”

Date Filed	Docket No.	Description	Current Status
9/25/14	<u>F-2014-2444543</u>	Residential customer complaint.	Resolved by customer and IDT Energy; certificate of satisfaction filed 11/17/14.
9/27/14	<u>F-2014-2444875</u>	Residential customer complaint.	Resolved by customer and IDT Energy; certificate of satisfaction filed 10/20/14.
10/8/14	<u>F-2014-2446716</u>	Residential customer complaint.	Resolved by customer and IDT Energy; certificate of satisfaction filed 10/28/14.
12/5/14	<u>F-2014-2456408</u>	Residential customer complaint.	Resolved by customer and IDT Energy; certificate of satisfaction filed 1/6/15.
4/14/14	<u>F-2015-2476846</u>	Residential customer complaint.	Resolved by customer and IDT Energy; certificate of satisfaction filed 5/8/15.

Residents Energy (affiliate)New York*Escalated Complaints*

<u>12/13</u>	<u>11/13</u>	<u>10/13</u>	<u>9/13</u>	<u>8/13</u>	<u>7/13</u>	<u>6/13</u>	<u>5/13</u>	<u>4/13</u>	<u>3/13</u>	<u>2/13</u>	<u>1/13</u>
0	0	0	0	0	0	0	0	0	0	0	0
<u>12/14</u>	<u>11/14</u>	<u>10/14</u>	<u>9/14</u>	<u>8/14</u>	<u>7/14</u>	<u>6/14</u>	<u>5/14</u>	<u>4/14</u>	<u>3/14</u>	<u>2/14</u>	<u>1/14</u>
1	0	0	0	0	0	0	0	0	0	0	0
<u>12/15</u>	<u>11/15</u>	<u>10/15</u>	<u>9/15</u>	<u>8/15</u>	<u>7/15</u>	<u>6/15</u>	<u>5/15</u>	<u>4/15</u>	<u>3/15</u>	<u>2/15</u>	<u>1/15</u>
--	--	--	0	0	0	1	0	0	0	0	0

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Exhibit H – “Bonding”

Diversegy LLC has request financial security waiver letters from the natural gas distribution companies it is planning to operate in, as Diversegy, LLC intends to operate as a broker/marketer only and will not take title to natural gas. Please see below chart and attached letters.

NGDC	Status
Columbia Gas of Pennsylvania	Letter attached.
National Fuel Gas Distribution	In progress.
PECO Gas	In progress.
Peoples Natural Gas Company LLC Peoples TWP Peoples Natural Gas LLC - Equitable Division	Letter attached.
Philadelphia Gas Works	Letter attached.
UGI UGI Central Penn UGI Penn Natural	Letter attached.
Valley Energy	Letter attached.

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November 20, 2015

Mr. Avi Keilson
Diversegy LLC
520 Broad St
Newark, NJ 07102

Dear Mr. Avi Keilson:

We are pleased that Diversegy LLC has applied for a license to provide Natural Gas Broker/Marketer Services on the distribution system of Columbia Gas of Pennsylvania, Inc. ("Columbia Gas").

Under Paragraph 2.4.5 of the Rules Applicable to Distribution Service section of the Tariff of Columbia Gas, Diversegy LLC could be required to provide to Columbia Gas a bond or other financial security instrument in an amount that Columbia Gas determines to be appropriate. Diversegy LLC has indicated only brokering and consulting services will be provided. Therefore, we have determined at this time that Diversegy LLC does not need a bond or other financial security requirement to provide broker natural gas services to Columbia Gas customers.

If the creditworthiness requirement or Columbia Gas' exposure to Diversegy LLC changes in the future, Columbia Gas might deem it appropriate to require Diversegy LLC to provide a bond or other financial security instrument.

Please feel free to contact me at 614-460-6841 should you have any questions regarding a bond or other financial security instrument requirements of Columbia Gas.

Sincerely,

A handwritten signature in cursive script that reads "Michele Caddell".

Michele Caddell
Manager of Choice and Transportation Support Services



PEOPLES NATURAL GAS



PEOPLES TWP

225 North Shore Drive
Pittsburgh, PA 15212

Lynda W. Petricevich
Director, Rates and Requirements Forecasting

Peoples Service Company LLC
Phone: 412-208-6528; Fax: 412-208-6577
Email: lpetricevich@peoples-gas.com

November 18, 2015

Avi Keilson
Director of Regulatory Affairs
Genie Retail Energy dba Diversegy, LLC
520 Broad Street
Newark, NJ 07102

Dear Mr. Keilson:

We are pleased that Genie Retail Energy dba Diversegy, LLC has applied for a license to provide natural gas services on the Peoples Group of Companies. Specifically you have requested to be licensed as a supplier on the distribution systems of Peoples Natural Gas Company LLC, Peoples TWP, and Peoples Natural Gas LLC – Equitable Division ("the Companies").

Since Genie Retail Energy dba Diversegy, LLC is not currently operating a Pool on the Peoples systems, we have determined at this time that Genie Retail Energy dba Diversegy, LLC does not need a bond or other financial security requirement to provide these services to the Company's customers.

If a Pool is established which alters the creditworthiness requirement or the Company's exposure to Genie Retail Energy dba Diversegy, LLC provision of services on the Peoples' system changes in the future, the Companies may deem it appropriate to require a bond or other financial instrument.

If you have any questions feel free to contact me at 412-208-6528 or by email at Lynda.W.Petricevich@peoples-gas.com.

Sincerely,

Lynda W. Petricevich
Director – Rates and Requirements Forecasting
Peoples Natural Gas Company LLC

Cc: Steven Kolich
Carol Miller



PHILADELPHIA GAS WORKS
800 West Montgomery Avenue • Philadelphia, PA 19122

November 19, 2015

Mr. Avi Keilson
Director of Regulatory Affairs
Genie Retail Energy for Diversegy, LLC
520 Broad Street
Newark, NJ 07102

Re: Security Requirement Bond - Broker

Dear Mr. Keilson:

Philadelphia Gas Works ("PGW") is aware that Diversegy, LLC has filed an application with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania and specifically within the services territory of Philadelphia Gas Works.

As you know, in making such an application, Diversegy, LLC must furnish acceptable security to each utility where Diversegy, LLC to provide a bond or other financial security instrument in an amount that Philadelphia Gas Works determines to be appropriate.

However, you have indicated, and it is Philadelphia Gas Works' understand that Diversegy, LLC only to provide natural gas aggregating, brokering and consulting services at this time. You have stated that, in performing these services, Diversegy, LLC will never take title to any delivered natural gas.

Based upon your representations, Philadelphia Gas Works has determined that, at this time, Diversegy, LLC does not need to post a bond or other form of security to operate in its service territory. If the services provided by Diversegy, LLC should change, Philadelphia Gas Works reserves the right to require security from Diversegy, LLC as it deems appropriate.

If you have any questions concerning the foregoing, please contact me at (215) 684-6278.

Sincerely,

A handwritten signature in black ink that reads "Nicholas LaPergola".

Nicholas LaPergola
Director
Gas Supply, Transportation & Control

NL:b



UGI Utilities, Inc.
2525 North 12th Street
Suite 360
Post Office Box 12677
Reading, PA 19612-2677

November 18, 2015

Mr. Avi Keilson
Director of Regulatory Affairs
GENIE RETAIL ENERGY
520 Broad Street
Newark, NJ 07102

RE: Diversegy, LLC application to serve as a Natural Gas Broker

Dear Mr. Keilson,

Based on your assertion that Diversegy, LLC ("DIVERSEGY") is applying with the State of Pennsylvania to operate as a natural gas broker/marketer, UGI Utilities Inc. ("UGIU") has concluded that DIVERSEGY will not need to post security with UGI-Central Penn Gas ("CPG"), UGI-Penn Natural Gas ("PNG") or UGI Utilities Gas Division ("UGI"). This is based on the declaration that DIVERSEGY will not be taking title to gas or directly serving end use customers. This also assumes that DIVERSEGY will be acting in conjunction with a licensed Natural Gas Supplier who has been approved by the Pennsylvania Public Utility Commission to serve in the applicable UGIU service territories and who has posted the required financial security as specified in the respective UGIU tariffs. If DIVERSEGY wishes to directly serve Choice customers in the service territories of UGI, PNG and/or CPG in the future as a natural gas supplier, it will have to post security as specified in the respective UGI tariffs prior to the commencement of the service.

Please feel free to contact me with any additional questions that you may have.

Sincerely,

A handwritten signature in black ink, appearing to read "David E. Lahoff", is written over a horizontal line.

David E. Lahoff
Manager, Tariff & Supplier Administration
UGI Utilities, Inc.



VALLEY ENERGY

523 S. Keystone Avenue, P.O. Box 340, Sayre, PA 18840
800/998-4427 • 570/888-9664 • FAX 570/888-6199

November 24, 2015

VIA EMAIL

Mr. Avi Keilson
Director of Regulatory Affairs
Genie Retail Energy
520 Broad St.
Newark, NJ 07102
akeilson@genieretail.com

RE: Diversegy, LLC

Dear Mr. Keilson:

We understand that Diversegy, LLC has applied with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania including our company's service area.

Because Diversegy, LLC intends to only provide natural gas aggregating, brokering and consulting services at this time, we have determined that Diversegy, LLC will not be required to post a bond or other form of financial security instrument to provide these services in our service area. However, if the services provided change in the future, we reserve the right to require security from Diversegy, LLC as deemed appropriate.

If you have any questions, please contact Mrs. Marjorie Johnston at 570-888-9664.

Sincerely,

Robert J. Crocker
President & CEO

RJC/ss

cc: M. Johnston, Valley Energy

Exhibit I – “Financial Records, Statements, and Ratings”

Exhibit I (1) – “Corporate Structure”

Please see attached corporate structure chart.

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Genie Energy Ltd. Corporate Structure

as of November 5, 2015

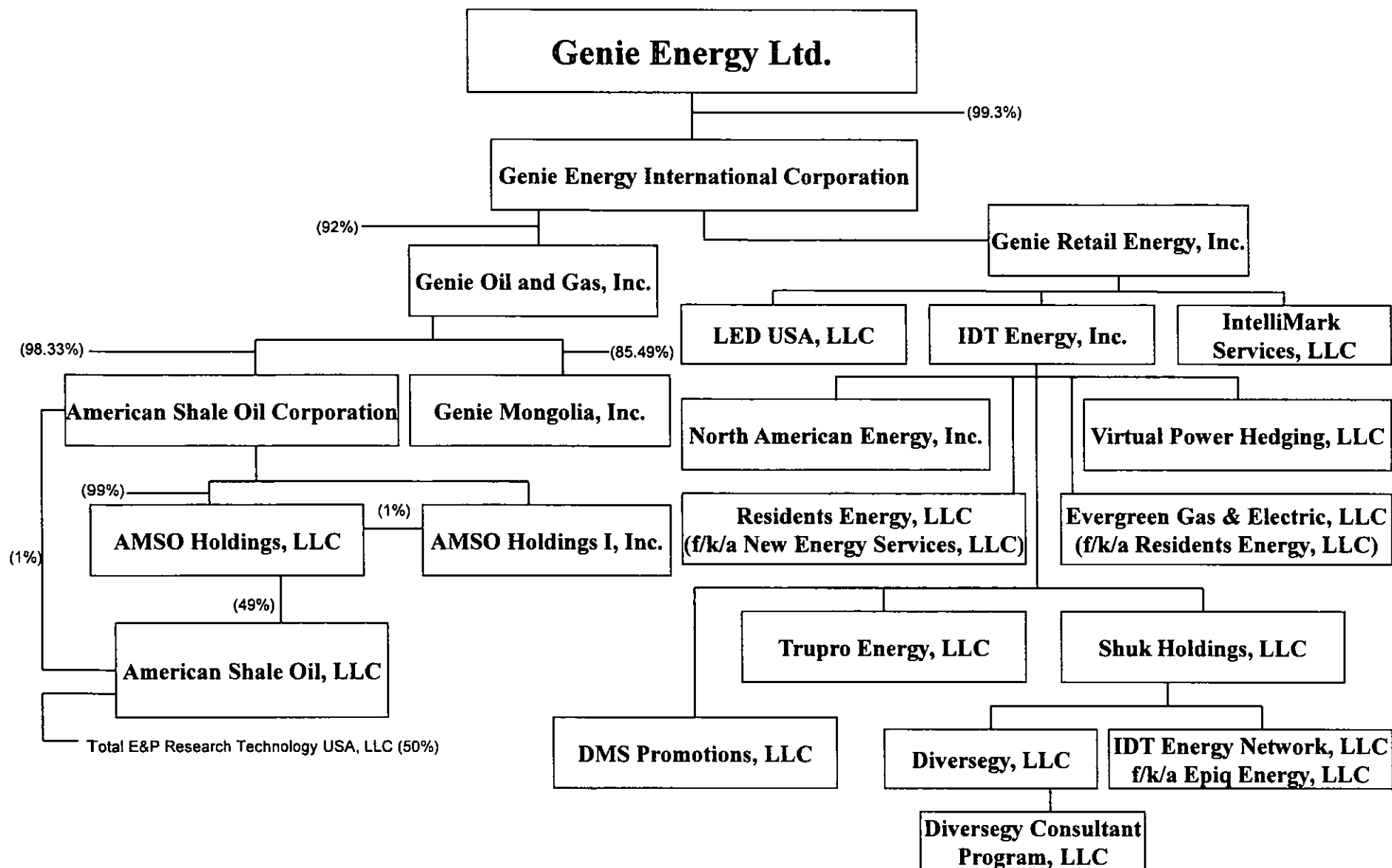


Exhibit I – “Financial Records, Statements, and Ratings”

Exhibit I (2) – “10K”

The two most recent annual reports for Genie Energy Ltd. can be found at the web links below:

2014:

http://www.sec.gov/Archives/edgar/data/1528356/000121390015001804/f10k2014_genieenergy.htm

2013:

http://www.sec.gov/Archives/edgar/data/1528356/000121390014001484/f10k2013_genieenergy.htm

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Exhibit I – “Financial Records, Statements, and Ratings”

Exhibit I(3) – “Credit Report”

Please see Dun & Bradstreet report for Genie Energy Ltd. appended.

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Business Information Report

[Print this Report](#)

Report Printed: JUL 06 2015
In Date

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BUSINESS SUMMARY

GENIE ENERGY LTD.
550 Broad St
Newark, NJ 07102

This is a **headquarters** location.
Branch(es) or division(s) exist.

Telephone: 973 438-3500
Manager: HOWARD S JONAS, CHB-CEO
Stock symbol: GNE
Year started: 2011
Employs: 103 (Undetermined here)
Financial statement date: MAR 31 2015
Sales F: \$275,031,000
Net worth F: \$119,343,000
History: CLEAR
Financial condition: GOOD
SIC: 4911
4924
4931

Line of business: Electric services & natural gas distribution

D-U-N-S Number: 96-955-3424

D&B Rating: **5A2**
Financial strength: 5A is **\$50 million and over.**
Composite credit appraisal: 2 is **good.**
D&B Viability Rating: **13AB**

D&B PAYDEX®:

D&B PAYDEX: 80

When weighted by dollar amount, payments to suppliers average generally within terms.



Based on up to 24 months of trade.

SUMMARY ANALYSIS

D&B Rating: **5A2**
Financial strength: 5A indicates **\$50 million and over.**
Composite credit appraisal: 2 is **good.**

This credit rating was assigned because of D&B's assessment of the company's financial ratios and its cash flow. For more information, see the D&B Rating Key.

Below is an overview of the company's rating history since 12/15/11:

D&B Rating	Date Applied
5A2	12/24/13
--	03/14/13

ERN

12/15/11

The Summary Analysis section reflects information in D&B's file as of July 6, 2015.

VIABILITY RATING ANALYSIS

The D&B Viability Rating uses D&B's proprietary analytics to compare the most predictive business risk indicators and deliver a highly reliable assessment of the probability that a company will no longer be in business within the next 12 months.

D&B VIABILITY RATING:13AB

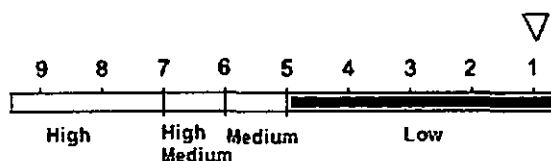
D&B VIABILITY RATING COMPONENTS:

VIABILITY SCORE:

Viability Score is the first of four components within the D&B Viability Rating. It is a high-level risk indicator that assesses the probability that a company will no longer be in business within the next 12 months, compared to all US businesses within the D&B database. A business is no longer viable when it goes out of business, becomes dormant/ inactive or files for bankruptcy. The ranking ranges from 1 to 9 where 9 reflects the highest probability of becoming no longer viable and 1 reflects the lowest probability.

NOTE: The Viability Score is best used when ranking all businesses within your portfolio based on the probability of becoming no longer viable.

Viability Score: 1



Compared to ALL US Business within D&B Database:

- **Level of risk:** Low Risk
- **Business ranked 1 have a probability of becoming no longer viable:** 0.2%
- **Percentage of business ranked 1:** 0.3%
- **Across all US business, the average probability of becoming no longer viable:** 14%

PORTFOLIO COMPARISON:

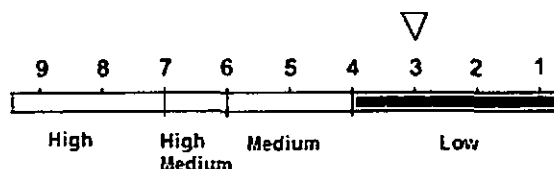
Portfolio Comparison is the second of four components within the D&B Viability Rating. Portfolio Comparison is a more detailed risk indicator that assesses the viability of a company compared to similar businesses within the same model segment which are determined by the amount and type of data available. A business is no longer viable when it goes out of business, becomes dormant/ inactive or files for bankruptcy. Every business within the D&B database falls into 1 of the following 4 profile segments:

1. Available Financial Data.
2. Established Trade Payments.
3. Limited trade Payments.
4. Firmographics and Business Activity.

The Portfolio Comparison ranking ranges from 1 to 9 where 9 reflects the highest probability of becoming no longer viable and 1 reflects the lowest probability.

NOTE: The Portfolio Comparison is best used when analyzing the individual risk level of a company compared to businesses within the same profile segment.

Portfolio Comparison: 3



Compared to all Business within the same MODEL SEGMENT:

- **Model Segment:** Available Financial Data
- **Level of risk:** Low Risk

- **Business ranked 3 within this model segment have a probability of becoming no longer viable:** 0.2%
- **Percentage of business ranked 3 within this model segment:** 15%
- **Within this model segment, the average probability of becoming no longer viable:** 0.6%

DATA DEPTH INDICATOR:

Data Depth Indicator is the third of four components within the D&B Viability Rating. The Depth of Data Indicator presents the level of data that is used to generate the Viability Score and Portfolio Comparison. Data depth assists in the assessment of whether a company will no longer be viable and includes the following:

1. Financial Attributes.
2. *Commercial Trading Activity.*
3. Firmographics.

The level of data is represented by a letter on a scale of A - G where A reflects the greatest level of predictive data to make a highly reliable assessment of company viability, and G reflects a minimal level of data which can be considered descriptive. The more comprehensive the data, the more precise the overall D&B Viability Rating becomes. If a company has been placed in the Special Category, a letter ranging from H-M will be assigned to identify the specific reason.

Data Depth: A

Data Depth Indicator Details:

- Rich Firmographics
- Extensive Commercial Trading Activity
- Comprehensive Financial Attributes

COMPANY PROFILE:

Company Profile is the fourth of four components within the D&B Viability Rating. The Company Profile describes a company based on a combination of 4 categories:

1. Financial Data.
2. Trade Payments.
3. Company Size.
4. *Years in Business.*


A company is characterized by a letter which ranges from A - X; with each letter representing a combination of the 4 categories that make up the company's profile. For example, A describes a company with a comprehensive level data, which has been in business 5+ years, with 50+ employees or \$500K+ in Sales, while X reflects a company with a minimal data, in business < 5 years, with < 10 employees or < \$10K in Sales. Y and Z reflect a Branch and Subsidiary, respectively.

Company Profile: B

Company Profile Details:

- **Financial Data:** Available
- **Trade Payments :** Available: 3+Trade
- **Business Size:** Large: Employees:50+ or Sales: \$500K+
- **Years in Business:** Young (Young: <5)

CUSTOMER SERVICE

 Need help? Call Customer Service at (800) 932-0025, Monday through Friday, 8:00 AM to 6:00 PM Local Time.

HISTORY

The following information was reported **05/29/2015**:

Officer(s): HOWARD S JONAS, CHB-CEO+
JAMES A COURTER, V CHB+

IRA GREENSTEIN, PRES
 GEOFFREY ROCHWARGER, V CHM
 AVI GOLDIN, CFO
 CLAUDE PUPKIN, SR V PRES

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THE OFFICER(S) and W Wesley Perry, Alan B Rosenthal and Allan Sass.

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The company was incorporated in the State of Delaware on January 10, 2011.

Business started 2011.

The company was formerly a subsidiary of IDT Corporation (IDT). On October 28, 2011, the company was spun-off by IDT and became an independent public company through a pro rata distribution of the company's common stock to IDT's stockholders (the Spin-Off).

The company's Class B common stock is traded on the New York Stock Exchange (NYSE) under the symbol "GNE". As of March 12, 2014, there were 167 holders of record of the company's Class B common stock and 1 holder of record of the company's Class A common stock. All shares of Class A common stock are beneficially owned by Howard Jonas. As of March 28, 2014, those shareholders identified by the company as beneficially owning 5% or more of the outstanding shares of Class B common stock were: Ilex Partners, LLC (5.1%); and Howard S Jonas (14.6%). As of the same date, officers and directors as a group beneficially owns 27% of the total outstanding shares of Class B common stock.

As of March 28, 2014, the sole shareholder identified by the company as beneficially owning 5% or more of the outstanding shares of Aggregate Voting Power was Howard S Jonas (73%). As of the same date, officers and directors as a group beneficially owns 74% of the total outstanding shares of Aggregate Voting Power.

RECENT EVENTS:

On February 5, 2015, sources stated that Genie Energy Ltd., Newark, NJ, a parent company of IDT Energy Inc., Jamestown, NY, has completed the acquisition of 100% of the outstanding membership interests of Diversegy LLC, Addison, TX, on December 9, 2013. With the acquisition, Diversegy LLC will now operate as a wholly owned subsidiary of Genie Energy Ltd. Terms of the transaction were not disclosed. Further details are unavailable.

On December 5, 2013, IDT Energy acquired 100% of the outstanding membership interests of Diversegy, LLC, a retail energy advisory and brokerage company that serves commercial and industrial customers, and its network marketing channel, Epiq Energy, LLC, that provides independent representatives with the opportunity to build sales organizations and to profit from both residential and commercial energy. Cash paid for the acquisitions, net of cash acquired, was \$0.8 million.

HOWARD S JONAS. He serves as the Chairman of the Board, CEO and a Director of the company. He has served as the Chairman of its Board of the company since it was spun-off from IDT in October 2011 and has served as the CEO of since January 2014. He is also the founder and Chairman of the Board of IDT and has served as its CEO most recently through December 2013. He also serves as the Chairman of the Board of CTM Media Holdings, Inc. He previously served as the Vice Chairman of the Board of IDT Telecom from December 1999 to April 2008, as Co-Chairman since April 2008, and as a Director of IDT Capital since September 2004. He served as Co-Chairman of the Board of IDT Entertainment from November 2004 until August 2006. He is also the founder and has been the President of Jonas Media Group since its inception in 1979. He was the Chairman of the Board of Net2Phone from October 2001 to October 2004 the Vice Chairman of the Board of Net2Phone from October 2004 to June 2006 and has served as the Chairman of Net2Phone since June 2006.

JAMES A COURTER. He has served as the Vice Chairman of the Board and a Director of Genie since August 2011. He served as the President of IDT from October 1996 until July 2001 and as CEO from August 2001 to October 2009. He has served as Co-Vice Chairman of the Board of Genie Energy International Corporation since September 2009. He has been a Senior Partner in the New Jersey law firm of Courter, Kobert & Cohen since 1972.

IRA GREENSTEIN. He has served as the President of Genie since December 2011. He currently also serves as Counsel to the Chairman of IDT Corporation and served as the President of IDT from 2001 through 2011 and Counsel to the Chairman of IDT in 2000 and 2001. He serves as Chairman of the Boards of Ohr Pharmaceuticals, Inc and Nano Vibronix, Inc.

GEOFFREY ROCHWARGER. He has served as the Vice Chairman of Genie since August 2011, CEO of IDT Energy since January 2007, as Chairman of IDT Energy since June 2007 and as CEO of Genie Israel Holdings Ltd since 2013. From 2004 to 2009, he served as the President and a Director of IDT Capital, Inc.

AVI GOLDIN. He has served as the CFO of Genie since August 2011 and served as Vice President of Corporate Development of IDT Corporation from May 2009 through October 2011. He originally joined IDT in January 2004 and held several positions within IDT and its affiliates before leaving to join CayComm Media Holdings, where he

served as Vice President, Finance. He rejoined IDT in May 2009 as Vice President of Corporate Development.

CLAUDE PUPKIN. He has served as the Senior Vice President of Genie since January 2014 and as President, Treasurer and Secretary of AMSO LLC since April 2008. He also served as the CEO of Genie from August 2011 through December 2013, CEO of Genie Oil and Gas, Inc from November 2010 through February 2014, CEO of Genie Energy International Corporation from September 2009 through February 2014 and as CEO of Genie Mongolia, Inc from October 2012 through February 2014. Previously, he served as Executive Vice President of IDT Corporation from December 2008 until Genie Energy was spun off from IDT in October 2011. He joined IDT in January 2003 and has held several other positions with IDT.

CORPORATE FAMILY

Click below to buy a Business Information Report on that family member.

Subsidiaries (US):

American Shale Oil, LLC	Newark, NJ	DUNS # 96-589-7056
Diversegy LLC	Addison, TX	DUNS # 00-447-5144
Idt Energy, Inc.	Jamestown, NY	DUNS # 00-907-0495

BUSINESS REGISTRATION

CORPORATE AND BUSINESS REGISTRATIONS REPORTED BY THE SECRETARY OF STATE OR OTHER OFFICIAL SOURCE AS OF MAR 19 2011:

Registered Name: GENIE ENERGY LIMITED

Business type: CORPORATION

Corporation type: NOT AVAILABLE

Date incorporated: JAN 10 2011

State of incorporation: DELAWARE

Filing date: JAN 10 2011

Registration ID: 4924963

Status: STATUS NOT AVAILABLE

Where filed: SECRETARY OF STATE/CORPORATIONS DIVISION, DOVER, DE

Registered agent: CORPORATION SERVICE COMPANY, 2711 CENTERVILLE ROAD SUITE 400, WILMINGTON, DE, 198080000

OPERATIONS

05/29/2015

Description: The company, through its subsidiary, Genie Energy International Corporation that owns interests in IDT Energy and Genie Oil and Gas, Inc., supplies electricity and natural gas to residential and small business customers.

It also produces transportation fuels from the oil shale and other fuel resources. The company's resource development projects include a conventional oil and gas exploration program; and in-situ oil shale projects.

ADDITIONAL TELEPHONE NUMBER(S): Facsimile (Fax) 973 438-1256. Toll-Free 877 887-6866.

Terms are cash and contract basis. Sells to general public and commercial concerns. Territory : Regional.

Typically, colder winters and hotter summers increase demand for natural gas and electricity, respectively. Milder winters and/or summers have the opposite effect. Natural gas revenues typically increase in the first quarter due to increased heating demands and electricity revenues typically increase in the third quarter due to increased air conditioning use.

Employees: 103 which includes partners. Undetermined employed here.

Facilities: Leases 3,500 sq. ft. in a building.

Subsidiaries: This business has multiple subsidiaries, detailed subsidiary information is available in D&B's linkage or family tree products.

SIC & NAICS

SIC:

Based on information in our file, D&B has assigned this company an extended 8-digit SIC. D&B's use of 8-digit SICs enables us to be more specific to a company's operations than if we use the standard 4-digit code.

The 4-digit SIC numbers link to the description on the Occupational Safety & Health Administration (OSHA) Web site. Links open in a new browser window.

49110000 Electric services
 49119901 Distribution, electric power
 49119903 Transmission, electric power
 49240000 Natural gas distribution
 49310000 Electric and other services combined

NAICS:

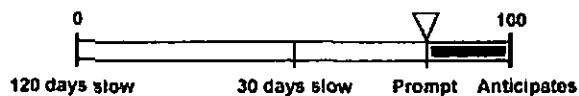
221118 Other Electric Power Generation
 221122 Electric Power Distribution
 221121 Electric Bulk Power Transmission and Control
 221210 Natural Gas Distribution
 221118 Other Electric Power Generation

D&B PAYDEX

The D&B PAYDEX is a unique, dollar weighted indicator of payment performance based on up to 3 payment experiences as reported to D&B by trade references.

3-Month D&B PAYDEX: 80

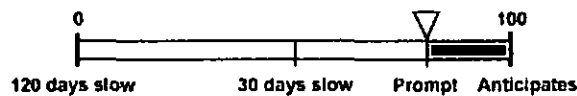
When weighted by dollar amount, payments to suppliers average within terms.



Based on trade collected over last 3 months.

D&B PAYDEX: 80

When weighted by dollar amount, payments to suppliers average generally within terms.



Based on up to 24 months of trade.

When dollar amounts are not considered, then approximately 100% of the company's payments are within terms.

PAYMENT SUMMARY

The Payment Summary section reflects payment information in D&B's file as of the date of this report.

Below is an overview of the company's dollar-weighted payments, segmented by its suppliers' primary industries:

	Total Rcv'd (#)	Total Dollar Amts (\$)	Largest High Credit (\$)	Within Terms (%)	Days Slow <31 31-60 61-90 90> (%)			

Top industries:

Misc business service	1	75,000	75,000	100	-	-	-	-
Reg misc coml sector	1	100	100	100	-	-	-	-
Lithographic printing	1	50	50	100	-	-	-	-

Other payment categories:

Cash experiences	0	0	0
Payment record unknown	0	0	0
Unfavorable comments	0	0	0

Placed for collections:

With D&B	0	0	
Other	0	N/A	
Total in D&B's file	3	75,150	75,000

The highest **Now Owes** on file is \$25,000

The highest **Past Due** on file is \$0

D&B receives over 600 million payment experiences each year. We enter these new and updated experiences into D&B Reports as this information is received.

PAYMENT DETAILS**Detailed Payment History**

Date Reported (mm/yy)	Paying Record	High Credit (\$)	Now Owes (\$)	Past Due (\$)	Selling Terms	Last Sale Within (months)
05/15	Ppt	75,000	25,000	0		1 mo
	Ppt	50				1 mo
	(003)	100				1 mo
	Satisfactory.					

Each experience shown is from a separate supplier. Updated trade experiences replace those previously reported.

FINANCE

05/29/2015

Three-year statement comparative:

	Fiscal Consolidated Dec 31 2012	Fiscal Consolidated Dec 31 2013	Fiscal Consolidated Dec 31 2014
Current Assets	141,201,000	146,092,000	142,372,000
Current Liabs	32,209,000	40,334,000	32,082,000
Current Ratio	4.38	3.62	4.44
Working Capital	108,992,000	105,758,000	110,290,000
Other Assets	9,105,000	12,751,000	10,556,000
Net Worth	118,097,000	116,340,000	119,343,000
Sales	229,459,000	279,174,000	275,031,000
Long Term Liab	0	2,169,000	1,503,000
Net Profit (Loss)	(2,535,000)	(5,341,000)	(27,407,000)

Interim Consolidated statement dated MAR 31 2015:

Assets		Liabilities	
Cash	60,700,000	Accts Pay	15,026,000
Accts Rec	39,536,000	Advances From Customers	135,000
Inventory	8,521,000	Accruals	14,259,000
Restricted Cash	11,620,000	Taxes	602,000
Deferred Income Tax Assets-Net	1,463,000	Due To Company	136,000
Prepaid	8,361,000	Energy Hedging Contracts	4,977,000
Other Curr Assets	7,711,000	Other Curr Liabs	816,000
Curr Assets	\$137,912,000	Curr Liabs	\$35,951,000
Fixt & Equip	1,778,000	Other Liabilities	1,528,000
Capitalized Exploration Costs	4,308,000	PREFERRED STOCK	19,743,000
Goodwill	3,663,000	COMMON STOCK	248,000
Restricted Cash	1,385,000	ADDIT. PD.-IN CAP	115,460,000
Other Assets	4,562,000	TREASURY STOCK	(1,565,000)
		RETAINED EARNINGS	(11,613,000)
		ADJUSTMENTS	(6,144,000)
Total Assets	\$153,608,000	Total	\$153,608,000

From JAN 01 2015 to MAR 31 2015 sales \$74,429,000; cost of goods sold \$57,229,000. Gross profit \$17,200,000; operating expenses \$18,915,000. Operating income \$(1,715,000); other income \$110,000; other expenses \$734,000; net income before taxes \$(2,339,000); Federal income tax \$91,000. (net loss) \$2,430,000.

Statement obtained from Securities And Exchange Commission. Prepared from books without audit.

Accounts receivable shown net less \$233,000 allowance.

Explanations

The net worth of this company includes intangibles; Adjustments consists of accumulated other comprehensive loss and total noncontrolling interests.

On May 29, 2015, the financial information was updated.

KEY BUSINESS RATIOS

Statement date: DEC 31 2014
Based on this number of establishments: 20

Firm		Industry Median	
Return of Sales:	UN	Return of Sales:	10.4
Current Ratio:	4.4	Current Ratio:	1.1
Assets / Sales:	UN	Assets / Sales:	321.4
Total Liability / Net Worth:	UN	Total Liability / Net Worth:	218.5

UN = Unavailable

PUBLIC FILINGS

A check of D&B's public records database indicates that no filings were found for GENIE ENERGY LTD. at 550 Broad St, Newark NJ.

D&B's extensive database of public record information is updated daily to ensure timely reporting of changes and additions. It includes business-related suits, liens, judgments, bankruptcies, UCC financing statements and business registrations from every state and the District of Columbia, as well as select filing types from Puerto Rico and the U.S. Virgin Islands.

D&B collects public records through a combination of court reporters, third parties and direct electronic links with federal and local authorities. Its database of U.S. business-related filings is now the largest of its kind.

GOVERNMENT ACTIVITY

Activity summary

Borrower (Dir/Guar):	NO
Administrative debt:	NO

Contractor:	NO
Grantee:	NO
Party excluded from federal program(s):	NO

Possible candidate for socio-economic program consideration

Labor surplus area:	YES (2015)
Small Business:	N/A
8(A) firm:	N/A

The details provided in the Government Activity section are as reported to Dun & Bradstreet by the federal government and other sources.

Exhibit J – “Technical Fitness”***Company Overview***

Diversegy, LLC (“Diversegy”) was organized on December 27, 2010, in Texas, as a limited liability company. From its initial operations in Texas, Diversegy has expanded into residential and commercial brokerage wholesale, aggregation, and advisory services across the country. On December 5, 2013, Diversegy was acquired by Shuk Holdings, LLC, a wholly-owned subsidiary of IDT Energy Inc., which is in turn a wholly-owned subsidiary of Genie Retail Energy, Inc., which is in turn a wholly-owned subsidiary of Genie Energy International Corp., which is majority-owned (99.3%) by Genie Energy Ltd., a publicly traded company (NYSE: GNE).

Operations Expertise

Diversegy has established business processes and systems to manage all aspects of brokering energy commodity in Pennsylvania. Diversegy’s operations do not include the production of natural gas from owned assets nor does it take title to natural gas. Instead, Diversegy assists customers with identifying and understanding their natural gas needs, and assisting customers with satisfying those needs through effective purchasing strategies. Diversegy will work with licensed natural gas suppliers in Pennsylvania in order to give customers a variety of options from which to choose. The customers can then decide which supplier best suits their specific needs based on current market conditions as well as historical and expected usage.

All consumer interactions engaged in by Diversegy employees and agents are conducted with the highest degree of professionalism, in accordance with industry best practices and in full compliance with federal, Commonwealth, and municipal law as well as the regulations of the Public Utility Commission.

Training

Diversegy, LLC Sales Staff must go through a comprehensive 10-day training program, covering:

- | Diversegy 101 | Energy Industry
Deregulation 101 | Diversegy Sales |
|-------------------------------------|---|-------------------------|
| • Welcome to the Team | • Natural Gas 101 | • The Diversegy Mindset |
| • History & Purpose | • Electricity 101 | • Warm Market Scripts |
| • The Cornerstone | • Competitive Landscape | • Cold Market Scripts |
| • Value Proposition | • Product Knowledge | • What Gatekeeper |
| • A True Broker Model | • Breaking Down the Invoice | • Call to Action |
| • Services Offered & Markets Served | • Regulatory & LDCs | • Master the Follow Up |
| • Marketing Channels | | • Price Vs. Value |
| | | • Art of Closing |
| | | • Objection Handling |

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Pages 76-77 have been filed confidentially under separate cover.

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DEC 28 2015

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Exhibit K – "Officers' Resumes"**Michael Stein, CEO**

Michael Stein has been CEO of Diversegy, LLC since 2014. In May of 2015 he also assumed the role of CEO of Genie Retail Energy, Inc., a corporate parent of Diversegy, LLC. Previously, he served as Executive Vice President of Operations and then Senior Vice President of Operations of Genie Energy Ltd., the publicly-traded ultimate parent company of Diversegy, LLC. Prior to assuming responsibility for the energy related initiatives, Mr. Stein was Senior Vice President of Business Development of IDT Telecom and was an analyst at Belstar Investment Management. He holds a BA in Psychology from Yeshiva University.

Alan Schwab, President

Alan Schwab is the President of Diversegy, LLC since 2014 and is also President and Chief Operating Officer (COO) of Genie Retail Energy, Inc., a corporate parent of Diversegy, LLC. Alan is responsible for the day-to-day operations of the businesses including sales, operations, compliance, customer service and technology.

Prior to his foray into energy, Alan excelled in a succession of senior operations positions at IDT Corporation beginning at IDT Telecom, which he joined in 1996. As SVP at IDT Telecom, Alan managed the routing, provisioning and switching translations for IDT's global telecommunications network.

Alan subsequently was named COO of IDT Capital, the division of IDT responsible for new business initiatives and incubation. In that capacity, he directed the development of over a dozen successful start-ups, including IDT Energy.

Alan holds an MBA from Barry University in Miami, Florida.

Avi Goldin, Executive Vice President of Finance & Treasurer

Avi Goldin is the Executive Vice President of Finance and the Treasurer of Diversegy, LLC. He has also served as Chief Financial Officer of Genie Energy Ltd., the publicly-traded ultimate parent company of Diversegy, LLC, since August 2011. Prior to assuming these roles, Avi served as Vice President of Corporate Development of IDT Corporation from May 2009 through October 2011. He originally joined IDT in January 2004 and held several positions within IDT and its affiliates before leaving in January 2008 to join CayComm Media Holdings, a telecommunications acquisition fund, where he served as Vice President, Finance. Avi rejoined IDT in May 2009 as Vice President of Corporate Development. Prior to joining IDT, Avi served as an Investment Analyst at Dreman Value Management, a \$7 billion asset management firm and as an Associate in the Satellite Communications group at Morgan Stanley & Co.

Avi holds an MBA from the Stern School of Business of New York University, a B.A. in Finance from the Syms School of Business of Yeshiva University and is a Chartered Financial Analyst (CFA).

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DEC 28 2015

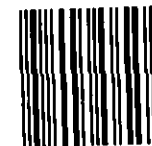
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PAYMENT BY ACCOUNT (If applicable)

DELIVERY OPTIONS (Customer Use Only)

- ☐ **SIGNATURE REQUIRED** Note: The mailer must check the "Signature Required" box if the mailer: 1) Requires the addressee's signature; OR 2) Purchases additional insurance; OR 3) Purchases COD service; OR 4) Purchases Return Receipt service. If the box is not checked, the Postal Service will leave the item in the addressee's mail receptacle or other secure location without attempting to obtain the addressee's signature on delivery.
- Delivery Options**
- ☐ No Saturday Delivery (delivered next business day)
- ☐ Sunday/Holiday Delivery Required (additional fee, where available*)
- ☐ 10:30 AM Delivery Required (additional fee, where available*)
- *Refer to USPS.com or local Post Office for availability.

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\$100.00 Insurance included.

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<input type="checkbox"/> 1-Day	<input type="checkbox"/> 2-Day	<input type="checkbox"/> Military	<input type="checkbox"/> DPO
PO ZIP Code 20043	Scheduled Delivery Date (MM/DD/YY) 12-30	Postage \$17.95	
Date Accepted (MM/DD/YY) 12-29-15	Scheduled Delivery Time <input type="checkbox"/> 10:30 AM <input type="checkbox"/> 3:00 PM <input type="checkbox"/> 12 NOON	Insurance Fee \$	COD Fee \$
Time Accepted 11:33 AM	10:30 AM Delivery Fee \$	Return Receipt Fee \$	Live Animal Transportation Fee \$
Weight 15.025	Sunday/Holiday Premium Fee \$	Total Postage & Fees \$17.95	
Acceptance Employee Initials VHH			

DELIVERY (POSTAL SERVICE USE ONLY)

Delivery Attempt (MM/DD/YY) 12-30-15	Time <input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature
Delivery Attempt (MM/DD/YY)	Time <input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature

LABEL 11-B, SEPTEMBER 2015

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