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TODD R. CHASON
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March 8, 2016

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Secretary
Pennsylvania Public Utility Commission
400 North Street, Keystone Building
Harrisburg, PA 17120

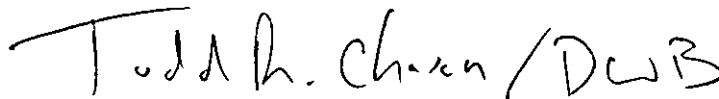
PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Re: Application of CQI Associates, LLC for Broker/Marketer
License

To Whom It May Concern:

Attached for filing please find the application of CQI Associates, LLC for a license to operate as a broker/marketer in the Commonwealth of Pennsylvania. This application has been provided in hard printed format, as well as in a searchable PDF format via CD-ROM. Confidential attachments are included in a separate envelope and as a separate PDF file. Please do not hesitate to contact me if you have any questions.

Sincerely,


Todd R. Chason

TRC

Enclosures

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of **CQI Associates, LLC**, d/b/a **CQI Associates, LLC**, for approval to offer, render, furnish, or supply electricity or electric generation services as a(n) **Broker/Marketer** to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

- **IDENTITY OF THE APPLICANT:** Provide name (*including any fictitious name or d/b/a*), primary address, web address, and telephone number of Applicant:

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CQI Associates, LLC
9827 Davidge Dr.
Columbia, MD 21044
www.cqiassociates.com
410-740-0667

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

- **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

c/o National Registered Agents, Inc.,
County of Dauphin
116 Pine Street, Suite 320
Harrisburg, Pennsylvania 17101
PH: 717-234-6004 FAX: 717-234-6024
Ct-statecommunication@woltersluwer.com

- **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Melissa K. Anderson, Managing Principal
CQI Associates, LLC
9827 Davidge Dr.
Columbia, MD 21044
PH: 410-740-0667 FAX: 410-630-5911
melissa@cqiassociates.com

- **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Todd R. Chason, Esq.
David W. Beugelmans, Esq.
Gordon Feinblatt, LLC
233 E. Redwood
Baltimore, MD 21202
PH: 410-576-4104 FAX: 410-576-4196
dbeugelmans@gfirlaw.com

- **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: (Required of ALL Applicants)**
Provide the name, title, address, telephone number, FAX number, and e-mail **OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED)** responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

Melissa K. Anderson, Member & Managing Principal
CQI Associates, LLC
9827 Davidge Dr.
Columbia, MD 21044
PH: 410-740-0667 FAX: 410-630-5911
melissa@cqiassociates.com

Richard W. Anderson, Jr., Member & Principal
CQI Associates, LLC
9827 Davidge Dr.
Columbia, MD 21044
PH: 410-740-0667 FAX: 410-630-5911
Richard@cqiassociates.com

2. BUSINESS ENTITY FILINGS AND REGISTRATION

- 1.) **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

or

The Applicant will not be using a fictitious name.

- 2.) **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**
(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)

The Applicant is a sole proprietor.

- a. If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

or

The Applicant is a:

- domestic general partnership (*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- * If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

OR

The Applicant is a:

- domestic corporation (15 Pa. C.S. §1308)
- foreign corporation (15 Pa. C.S. §4124)
- domestic limited liability company (15 Pa. C.S. §8913)
- foreign limited liability company (15 Pa. C.S. §8981)**
- Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.

Please see Exhibit A (PA).

- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.

CQI Associates, LLC is a Maryland limited liability company.

Please see Exhibit B for Applicant's charter documentation.

- Give name and address of officers.

**Melissa K. Anderson, Member and Managing Principal
9827 Davidge Dr.
Columbia, MD 20144**

**Richard W. Anderson, Jr., Member and Principal
9827 Davidge Dr.
Columbia, MD 21044**

3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

- 1.) **AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

CQI Associates, LLC does not have any affiliates doing business in any other states including Pennsylvania.

- 2.) **PREDECESSORS:** Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

4. OPERATIONS

1.) **APPLICANT'S PRESENT OPERATIONS:** *(select and complete the appropriate statement)*

Definitions

- Supplier – an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC.
- Aggregator - an entity that purchases electric energy and takes title to electric energy as an intermediary for sale to retail customers.
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of electric energy but does not take title to electric energy.

The Applicant is presently doing business in Pennsylvania as a

- municipal electric corporation
- electric cooperative
- local gas distribution company
- provider of electric generation, transmission or distribution services
- broker/marketer engaged in the business of supplying electricity services
- Other; Identify the nature of service being rendered.

or

The Applicant is not presently doing business in Pennsylvania.

2.) **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a *(may check multiple)*:

- Generator of electricity
- Supplier of electricity
- Aggregator engaged in the business of supplying electricity
- Broker/Marketer engaged in the business of supplying electricity services**

Check here to verify that your organization will not be taking title to the electricity nor will you be making payments for customers.

- Electric Cooperative and supplier of electric power
- Other (Describe):

3.) **PROPOSED SERVICES:** Describe in detail the electric services or the electric generation services which the Applicant proposes to offer.

CQI Associates, LLC was established in 1995 to provide energy management consulting services. When energy deregulation legislation was enacted in Maryland in 2002, the firm's existing energy management clients asked CQI Associates, LLC to assist in the procurement of electricity and natural gas supply services. Seeking advice from the Maryland Public Service Commission, CQI Associates, LLC obtained a license to act as a client consultant, broker & aggregator.

CQI Associates, LLC was the first energy management consulting firm in the Baltimore-Washington region to offer commercial energy purchasing cooperatives sponsored by Chambers of Commerce and Business Associations. The commercial energy purchasing cooperative program was expanded as CQI Associates, LLC obtained licenses as a client consultant, broker, & aggregator in Delaware, Illinois, and the District of Columbia.

CQI Associates, LLC will contract with clients for the company's suite of energy management services, including providing energy procurement aggregation services. After contracting for the services, the

company's employees provide the contracted services, and send bills directly to clients for these services where applicable (Consulting), or incorporating a fee per unit of energy as billed and collected by the contracting energy supplier. CQI Associates, LLC has a customer service email address and telephone number to provide to customers to answer any questions and provide general customer services and support.

4.) **PROPOSED SERVICE AREA:** Check the box of each Electric Distribution Company for which the Applicant proposes to provide service.

- | | |
|--|--|
| <input checked="" type="checkbox"/> Citizens' Electric | <input checked="" type="checkbox"/> Pike |
| <input type="checkbox"/> Duquesne Light | <input checked="" type="checkbox"/> PPL |
| <input checked="" type="checkbox"/> Met-Ed | <input checked="" type="checkbox"/> UGI Utilities |
| <input checked="" type="checkbox"/> PECO | <input checked="" type="checkbox"/> Wellsboro |
| <input type="checkbox"/> Penelec | <input type="checkbox"/> West Penn |
| <input type="checkbox"/> Penn Power | <input type="checkbox"/> Entire Commonwealth of PA |

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5.) **CUSTOMERS:** Applicant proposes to provide services to:

- Residential Customers
- Small Commercial Customers - (25 kW and Under)
- Residential and Small Commercial as Mixed Meter ONLY (CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE)
- Large Commercial Customers - (Over 25 kW)
- Industrial Customers
- Governmental Customers
- All of above (Except Mixed Meter)
- Other (Describe):

6.) **START DATE:** Provide the approximate date the Applicant proposes to actively market within the Commonwealth.

The approximate date the Applicant proposes to actively market within the Commonwealth is the date of approval of this application.

5. COMPLIANCE

a.) **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

The people/entities listed in the above question have not been and are not currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

None to identify.

b.) **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

Not applicable.

c.) **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. If the Applicant has no actions or complaints to list, explicitly state such.

There are and have not been in the past five years existing, pending, or past customer, regulatory or prosecutory actions against CQI Associates, LLC, an affiliate, a predecessor of either, or a person identified in this application.

d.) **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

Not applicable.

6. PROOF OF SERVICE

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.
(Example Certificate of Service is attached at Appendix C)

1.) STATUTORY AGENCIES: Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2 West
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

Office of the Small Business Advocate
Commerce Building, Suite 1102
300 North Second Street
Harrisburg, PA 17101

2.) **EDCs:** Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Electric Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each EDC is as follows.

Pike County Light & Power Company:

Director of Customer Energy Services
Orange and Rockland Company
390 West Route 59
Spring Valley, NY 10977-5300

West Penn:

Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

PECO:

Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Duquesne Light:

Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

PPL:

Legal Department
Attn: Paul Russell
PPL
Two North Ninth Street
Allentown, PA 18108-1179

Met-Ed, Penelec, and Penn Power:

Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

UGI:

UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
2525 N. 12th Street, Suite 360
Post Office Box 12677
Reading, Pa 19612-2677

Citizens' Electric Company:

Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

Wellsboro Electric Company:

Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901

7. FINANCIAL FITNESS

1.) **BONDING:** In accordance with 66 Pa. C.S. Section 2809(c)(1)(i), the Applicant is required to file a bond or other instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant is...

Furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.

Furnishing the **ORIGINAL** of another initial security for Commission approval, to ensure financial responsibility.

For Marketers and Brokers - Filing for a modification to the \$250,000 requirement and furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.

Please see Exhibit C for an original and two copies of a \$10,000 bond for CQI Associates, LLC. CQI Associates, LLC is filing for a modification to the \$250,000 bond requirement and affirmatively states that as a broker/marketer, it will not take title to electricity nor pay electricity bills on behalf of its customers.

- *At the conclusion of Applicant's first year of operation it is the intention of the Commission to tie security bonds to a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. The amount of the security bond will be reviewed and adjusted on an annual basis.*
- *Example version of a bond and letter of credit are attached at Appendix D & E, Applicant's security must follow language from these examples.*
- *Any deviation from these examples must be identified in the application and may not be acceptable to the Commission.*

2.) **FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

1.) Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.

CQI Associates, LLC is a standalone Maryland Limited Liability Company. It does not have any affiliate or subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate in North America.

Organizational Roster/Chart:

Managing Principal & Managing Member LLC: Melissa Anderson
Principal & Member: Richard Anderson, Jr.

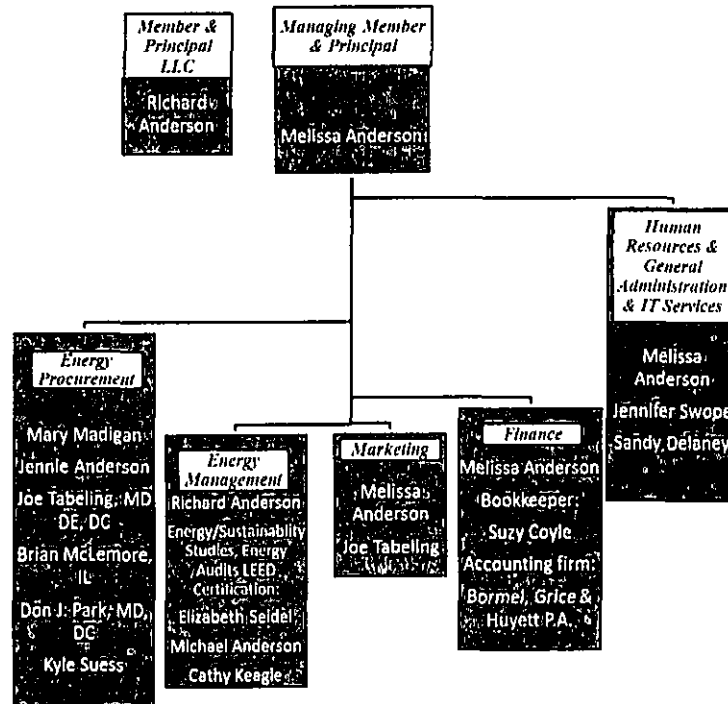
Senior Associate: Mary Madigan
Senior Associate: Jennie Anderson
Senior Associate: Cathy Keagle
Senior Associate: Elizabeth Seidel
Senior Associate: Joe Tabling

Associate: Michael Anderson
Associate: Brian Mclemore
Associate: Paul Cramer
Associate: Jennifer Swope

Associate: Kyle Seuss
 Associate: Sandy DeLaney
 Bookkeeper: Suzy Coyle

Number of Employees: 14

Normal Business Hours: 8:30 am to 5:30 pm Monday-Friday
 8:30 am to 12:30 pm Saturday



2.) Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)

The applicant is not required to make 10-K/8-K filings with the SEC as it is not a public company.

3.) Applicant's accounting statements, including balance sheet and income statements for the past two years.

Please see Exhibit D for audited financial statements for past 2 years.

4.) Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.

Please see Exhibit E for Dun and Bradstreet Credit Report.

5.) A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.

Please see Exhibit F for a description of insurance policies.

6.) Audited financial statements exhibiting accounts over a minimum two year period.

Please see Exhibit D for audited financial statements for past 2 years.

7.) Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

Please see Exhibit G for tax returns from past 2 years.

- 3.) **SUPPLIER FUNDING METHOD:** If Applicant is operating as anything other than **Broker/Marketer only**, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

Not applicable.

- 4.) **BROKER PAYMENT STRUCTURE:** If applicant is a broker/marketer, explain how your organization will be collecting your fees.

CQI Associates, LLC will contract with clients for the company's suite of energy management services, including providing energy procurement aggregation services. After contracting for the services, the company's employees provide the contracted services, and send bills directly to clients for these services where applicable (Consulting), or incorporating a fee per unit of energy as billed and collected by the contracting energy supplier. CQI Associates, LLC has a customer service email address and telephone number to provide to customers to answer any questions and provide general customer services and support.

- 5.) **ACCOUNTING RECORDS CUSTODIAN:** Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

**Bormel, Grice & Huyett, PA.
14409 Greenview, Suite 201
Laurel, MD 20708
PH: 410-792-7259 FAX: 301-725-5256**

- 6.) **TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix F to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

Please see Exhibit H.

8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

a.) **EXPERIENCE, PLAN, STRUCTURE:** such information may include:

8.) Applicant's previous experience in the electricity industry.

CQI Associates, LLC is a leader in energy and sustainability management consulting. The firm offers services that allow clients to increase profitability by minimizing operating costs. From small businesses to national accounts such as Northrop Grumman and AutoNation, CQI Associates, LLC provides custom-tailored services to suit company's unique needs.

CQI Associates, LLC has been serving clients since 2004 in Maryland; in Delaware since 2006, in Illinois since 2012 and District of Columbia since 2013 as a Broker/Aggregator/Consultant. The Chamber of Commerce energy purchasing cooperative program, managed by CQI Associates, serves over 5,000 small to mid-sized commercial customers. The program was expanded in 2008 to serve residential customers.

CQI Associates, LLC was established in 1995 to provide energy management consulting services. When energy deregulation legislation was enacted in Maryland in 2002, the firm's existing energy management clients asked CQI Associates, LLC to assist in the procurement of electricity and natural gas supply services. Seeking advice from the Maryland Public Service Commission, CQI Associates, LLC obtained a license to act as a client consultant, broker & aggregator.

CQI Associates, LLC was the first energy management consulting firm in the Baltimore-Washington region to offer commercial energy purchasing cooperatives sponsored by Chambers of Commerce and Business Associations. The commercial energy purchasing cooperative program was expanded as CQI Associates, LLC obtained licenses as a client consultant, broker, & aggregator in Delaware, Illinois, and the District of Columbia.

CQI Associates, LLC provides consulting expertise in the following areas:

- a.) Energy Management Services Programs & Project Management
- b.) Energy Procurement Management Services
- c.) Sustainable Management & Planning Services
- d.) Energy Assessments & Audits
- e.) LEED Certification & Green Building Certifications
- f.) Renewable Energy Assessment & Planning
- g.) Facility Management Consulting
- h.) Greenhouse Gas Emissions Assessments & Plans

9.) Summary and proof of licenses as a supplier of electric services in other states or jurisdictions.

The applicant is certified to provide retail electric and natural gas services or aggregation services in the following jurisdictions:

- | | |
|------------------------------|---------------------------------|
| a.) Maryland | IR 1753 (gas) IR 575 (electric) |
| a.) Delaware | Docket 06347, Order #7082 |
| b.) Illinois | 12-0565 |
| c.) The District of Columbia | Case #2013-22 |

Please see Exhibit I for copies of the above state approvals.

10.) Type of customers and number of customers Applicant currently serves in other jurisdictions.

Energy Procurement Cooperatives & Aggregation - Representative Clients

**Allegany County Chamber of Commerce
Baltimore/Washington Corridor Chamber of Commerce
Decatur Chamber of Commerce
Delaware Business Cooperative
Dorchester County Chamber of Commerce
Effingham County Chamber of Commerce
Frederick County Chamber of Commerce**

Energy Procurement Cooperatives & Aggregation - Representative Clients

(continued)

**Gaithersburg Germantown
Chamber of Commerce
Greater Severna Park Chamber of Commerce
Greater Silver Spring Chamber of Commerce
Harford County Chamber of Commerce
Howard County Chamber of Commerce
Maryland Nursery & Landscape Association
McLean County Chamber of Commerce
Ocean City Chamber of Commerce
Ocean City Maryland Business Cooperative
Peoria Chamber of Commerce
Queen Anne's County Chamber of Commerce
Taneytown Chamber of Commerce
Washington Interfaith Network
West Ann Arundel County Chamber of Commerce
Western Maryland County Government Consortium**

Energy Procurement Services - Representative Clients

**Antwerpen Automotive Group
AutoNation
Bayshore Development
Care First, Blue Cross & Blue Shield
Carroll County Public School System
City of Decatur
City of Salisbury
Cordish Development
Cushman & Wakefield
Decatur Foundry, Inc.
Evapco Corporation
Gould Properties - KonterraRealty – Parking Management Inc.
Heritage Properties
Holden Arms Schools
Hughes Network Systems
International Fiber Corporation
Loomis Chaffee School
Maritime Institute
MNCPPC Department of Parks and Planning
National Food and Commercial Workers Union
Northrop Grumman Corporation
Northrop Grumman Electronic Systems Division
The Archdiocese of Baltimore
The Archdiocese of Washington
The Field School
Town of Ocean City Maryland
Washington County Government
Washington County Public Schools**

11.) Staffing structure and numbers as well as employee training commitments.

Melissa Anderson, Managing Member and Principal, joined CQI Associates, LLC in 2000 with a background in business and environmental project management. Ms. Anderson, poised to lead CQI Associates, LLC into the new millennium, has been instrumental in developing key programs like the Energy Purchasing Cooperatives. Her leadership on high-profile projects, like the Discovery Communications LEED Platinum Certification, continue to prove invaluable.

Richard Anderson is a Member and Principal of CQI Associates, LLC and founded the company in 1995. He believes that organizations can improve the quality of service they provide while optimizing the use of existing resources. Richard has worked in the facility management, energy management, and sustainability management field for 40 years. He brings a wealth of practical experience to meet each client's unique needs. Richard is currently working on multiple solar photovoltaic installations and combined heat & power assignments for clients seeking the use of advanced energy management technology application

Organizational Roster/Chart:

**Managing Principal & Managing Member LLC: Melissa Anderson
Principal & Member: Richard Anderson, Jr.**

**Senior Associate: Mary Madigan
Senior Associate: Jennie Anderson
Senior Associate: Cathy Keagle
Senior Associate: Elizabeth Seidel
Senior Associate: Joe Tabling**

**Associate: Michael Anderson
Associate: Brian Mclemore
Associate: Paul Cramer
Associate: Jennifer Swope
Associate: Kyle Seuss
Associate: Sandy DeLaney
Bookkeeper: Suzy Coyle**

Number of Employees: 14

**Normal Business Hours: 8:30 am to 5:30 pm Monday-Friday
8:30 am to 12:30 pm Saturday**

12.) Business plans for operations within the Commonwealth.

CQI Associates, LLC will contract with clients for the company's suite of energy management services, including providing energy procurement aggregation services. After contracting for the services, the company's employees provide the contracted services, and send bills directly to clients for these services where applicable (Consulting), or incorporating a fee per unit of energy as billed and collected by the contracting energy supplier. CQI Associates, LLC has a customer service email address and telephone number to provide to customers to answer any questions and provide general customer services and support.

13.) Documentation of membership in PJM, ECAR, MAAC, other regional reliability councils, or any other membership or certification that is deemed appropriate to justify competency to operate as an EGS within the Commonwealth.

CQI Associates, LLC closely with the International Facility Management Association, Maryland Energy Institute, Association of Energy Engineers, National Association of Environment Management, and the National Environmental Education and Training Foundation.

14.) Any other information appropriate to ensure the technical capabilities of the Applicant.

b.) **PROPOSED MARKETING METHOD** (*check all that apply*)

- Internal – Applicant will use its own internal resources/employees for marketing**
- External EGS – Applicant will contract with a PUC **LICENSED EGS** broker/marketer
- Affiliate – Applicant will use a **NON-EGS** affiliate marketing company and or individuals.
- External Third-Party – Applicant will contract with a **NON-EGS** third party marketing company and or individuals
- Other (Describe):

c.) **DOOR TO DOOR SALES:** Will the Applicant be implementing door to door sales activities?

- Yes
- No

If yes, will the Applicant be using verification procedures?

- Yes
- No

If yes, describe the Applicant's verification procedures.

d.) **OVERSIGHT OF MARKETING:** Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

Prospective clients may be obtained from local Chambers of Commerce, trade associations, municipalities.

Melissa K. Anderson, Managing Principal will oversee the marketing of CQI Associates, LLC to prospective clients.

e.) **OFFICERS:** Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.

Melissa Anderson, Managing Principal, joined CQI Associates, LLC in 2000 with a background in business and environmental project management. Ms. Anderson, poised to lead CQI Associates, LLC into the new millennium, has been instrumental in developing key programs like the Energy Purchasing Cooperatives. Her leadership on high-profile projects, like the Discovery Communications LEED Platinum Certification, continue to prove invaluable.

Richard Anderson is a Member and Principal of CQI Associates, LLC and founded the company in 1995. He believes that organizations can improve the quality of service they provide while optimizing the use of existing resources. Richard has worked in the facility management, energy management, and sustainability management field for 40 years. He brings a wealth of practical experience to meet each client's unique needs. Richard is currently working on multiple solar photovoltaic installations and combined heat & power assignments for clients seeking the use of advanced energy management technology application.

For resumes, please see **Exhibit J.**

f.) **FERC FILING:** Applicant has:

- Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.
- Received approval from FERC to be a Power Marketer at Docket or Case Number _____.
- Not applicable**

9. DISCLOSURE STATEMENTS:

Disclosure Statements: If proposing to serve Residential and/or Small Commercial (under 25 kW) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix G to this Application.

- 15.) Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

Not applicable for an applicant applying for a license exclusively as a broker/marketer.

10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- 1.) **PJM LOAD SERVING ENTITY REQUIREMENT:** As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:

16.) proof of registration as a PJM Load Serving Entity (LSE), or

17.) proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

(Select only one of the following)

- AGREED** - Applicant has included compliance with this requirement in the instant application, labeled in correspondence with this section (10).
- AGREED** - Applicant will provide compliance with this requirement within 120 days of receiving its license
- ACKNOWLEDGED** - Applicant is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information

- 2.) **STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43, as well as any future amendments.

AGREED

3.) **REPORTING REQUIREMENTS:** Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:

18.) **Retail Electricity Choice Activity Reports:** The regulations at 52 Pa. Code §§ 54.201--54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's internet web site.

19.) **Reports of Gross Receipts:** Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.

20.) **The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.**

21.) **Net Metering Reports:** Applicant shall be responsible to report any Net Metering per the Standards on http://www.puc.pa.gov/consumer_info/electricity/alternative_energy.aspx. Scroll down to the Net Metering Standards Section.

22.) **Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis per 52 Pa. Code § 54.39(d).**

23.) **Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.**

AGREED

4.) **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.

AGREED

5.) **ANNUAL FEES:** The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling electricity in the Commonwealth of PA, and an annual supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.

ACKNOWLEDGED

6.) **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.

AGREED

7.) **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

AGREED

8.) **NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within twenty (20) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 54.34.

AGREED

9.) **CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

AGREED

10.) **Electronic Data Interchange:** The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at Appendix J.

AGREED

11.) **FILING FEE:** The Applicant has enclosed or paid the required, non-refundable filing fee by CERTIFIED CHECK OR MONEY ORDER in the amount of \$350.00 payable to the Commonwealth of Pennsylvania. The Commission does not accept corporate or personal checks for filing fees.

PAYMENT ENCLOSED

11. AFFIDAVITS

Must be notarized before filing.

- a.) **APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.

Please see Exhibit K.

- b.) **OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.

Please see Exhibit L.

12. NEWSPAPER PUBLICATIONS

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. Below is a list of newspapers which cover the publication requirements for Electric Generation Suppliers looking to do business in Pennsylvania.

The newspapers in which proof of publication is required is dependent on the service territories the applicant is proposing to serve. The chart below dictates which newspapers are necessary for each EDC. *If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.*

Please file with the Commission the Certification of Publication, along with a photostatic copy of the notice to complete the notice requirements.


Proof of newspaper publications must be filed with the initial application. Applicants **do not** need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	Erie Times-News	Harrisburg Patriot-News	Philadelphia Daily News	Pittsburgh Post-Gazette	Scranton Times-Tribune	Williamsport Sun-Gazette	Johnstown Tribune-Democrat
Citizens' Electric						X	
Duquesne				X			
Met Ed		X	X		X		
PECO			X				
Penelec	X	X			X	X	X
Penn Power	X			X			
Pike					X		
PPL		X	X		X	X	
UGI					X		
Wellsboro						X	
West Penn		X		X		X	X
Entire Commonwealth	X	X	X	X	X	X	X

(Example Publications are provided at Appendices H and I)

13. SIGNATURE

Applicant: CQI Associates, LLC

By: 

Title: Melissa K. Anderson, Managing Principal

RECEIVED

MAR - 8 2016

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Exhibit List

1. Exhibit A – Pennsylvania Certificate of Good Standing
2. Exhibit B – Charter Documentation
3. Exhibit C – Original and Two Copies of \$10,000 Bond
4. Exhibit D – Audient Financial Statements*
5. Exhibit E – Dun and Bradstreet Credit Report*
6. Exhibit F – *Description of Insurance Policies*
7. Exhibit G – Tax Returns for Past Two Years*
8. Exhibit H – Tax Certification Statement
9. Exhibit I – Copies of State Approvals
10. Exhibit J – Officer Resumes
11. Exhibit K – Application Affidavit
12. Exhibit L – Operations Affidavit
13. Exhibit M – Newspaper Notice Certifications

*Confidential exhibit included in separate confidential envelope.

Exhibit A
Pennsylvania Certificate of Good Standing

RECEIVED

MAR 8 2016

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF STATE

02/17/2016

TO ALL WHOM THESE PRESENTS SHALL COME, GREETING:

I DO HEREBY CERTIFY THAT,

CQI Associates, LLC

is duly registered to do business under the laws of the Commonwealth of Pennsylvania and remains a registered Foreign Limited Liability Company so far as the records of this office show, as of the date herein.

I DO FURTHER CERTIFY THAT this Certificate of Registration shall not imply that all fees, taxes and penalties owed to the Commonwealth of Pennsylvania are paid.

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MAR 8 2016

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU



IN TESTIMONY WHEREOF, I have hereunto set my hand and caused the Seal of the Secretary's Office to be affixed, the day and year above written

Pedro A. Cortes

Secretary of the Commonwealth

Certification Number: TSC160217110886-1

Verify this certificate online at <http://www.corporations.pa.gov/orders/verify.aspx>

Exhibit B

Charter Documentation

RECEIVED

MAR 8 2016

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

ARTICLES OF ORGANIZATION

The undersigned, with the intention of creating a Maryland Limited Liability Company files the following Articles of Organization:

(1) The name of the Limited Liability Company is: CQI_Associates,_LLC

(2) The purpose for which the Limited Liability Company is filed is as follows: Energy,_Facilities, Organization,_and_Management_Consultants

(3) The address of the Limited Liability Company in Maryland is 9827_Davidge_Drive,_Columbia Maryland_21044

(4) The resident agent of the Limited Liability Company in Maryland is Richard_W._Anderson,_Jr.

whose address is 9827_Davidge_Drive,_Columbia,_Maryland_21044

(5) *Richard W. Anderson*
Richard W. Anderson

(6) *Richard W. Anderson*
Resident Agent

RECEIVED

MAR 8 2016

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Authorized Person(s)

Filing party's return address:

(7) Richard_W._Anderson,_Jr.

CQI_Associates,_LLC

9827_Davidge_Drive

Columbia,_Maryland_21044

CUST ID:000114716
WORK ORDER:0000734107
DATE:05-01-2003 02:28 PM
AMT. PAID:\$128.00

Department of
Assessments and TaxationRonald W. Wineholt
DirectorPaul B. Anderson
Administrator

Charter Division

RICHARD ANDERSON
9827 DAVIDGE DR
COLUMBIA

MD 21044-1545

Date: 05-01-2003

This letter is to confirm acceptance of the following filing:

ENTITY NAME : CQI ASSOCIATES, LLC
 DEPARTMENT ID : W07352412
 TYPE OF REQUEST : ARTICLES OF ORGANIZATION
 DATE FILED : 05-01-2003
 TIME FILED : 02:28-PM
 RECORDING FEE : \$50.00
 EXPEDITED FEE : \$70.00
 COPY FEE : \$8.00
 FILING NUMBER : 1000361988325258
 CUSTOMER ID : 0001114716
 WORK ORDER NUMBER : 0000734107

PLEASE VERIFY THE INFORMATION CONTAINED IN THIS LETTER. NOTIFY THIS DEPARTMENT IN WRITING IF ANY INFORMATION IS INCORRECT. INCLUDE THE CUSTOMER ID AND THE WORK ORDER NUMBER ON ANY INQUIRIES. EVERY YEAR THIS ENTITY MUST FILE A PERSONAL PROPERTY RETURN IN ORDER TO MAINTAIN ITS EXISTENCE EVEN IF IT DOES NOT OWN PERSONAL PROPERTY. A BLANK RETURN WILL BE MAILED BY FEBRUARY OF THE YEAR FOR WHICH THE RETURN IS DUE.


Maryland Department of Assessments and Taxation 2
Taxpayer Services Division

301 West Preston Street W Baltimore, Maryland 21201

[Main Menu](#) | [Security Interest Filings \(UCC\)](#) | [Business Entity Information](#)
 (Charter/Personal Property) [New Search](#) | [Get Forms](#) | [Certificate of Status](#) | [SDAT](#)
[Home](#)

Taxpayer Services Division
Entity Name: CQI ASSOCIATES, LLC
Dept. ID #: W07352412

General Information	Amendments	Personal Property	Certificate of Status
---------------------	------------	-------------------	-----------------------

Principal Office (Current):

 9827 DAVIDGE DRIVE
 COLUMBIA, MD 21044

Resident Agent (Current):

 RICHARD W. ANDERSON, JR.
 9827 DAVIDGE DRIVE
 COLUMBIA, MD 21044

Status:

ACTIVE

Good Standing:

Yes

Business Code:

Other

**Date of Formation or
Registration:**

05/01/2003

State of Formation:

MD

Stock/Nonstock:

N/A

Close/Not Close:

Unknown

Link Definition

General Information	General information about this entity
Amendments	Original and subsequent documents filed
Personal Property	Personal Property Return Filing Information and Personal Property Assessments
Certificate of Status	Get a Certificate of Good Standing for this entity.

ARTICLES OF ORGANIZATION

The undersigned, with the intention of creating a Maryland Limited Liability Company files the following Articles of Organization:

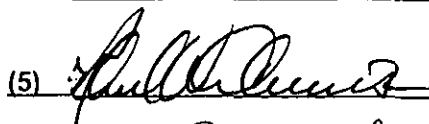
(1) The name of the Limited Liability Company is: CQI_Associates,_LLC

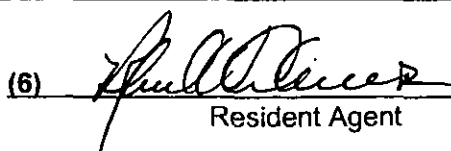
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(4) The resident agent of the Limited Liability Company in Maryland is Richard_W._Anderson,_Jr.

whose address is 9827_Davidge_Drive,_Columbia,_Maryland_21044

(5) 
Melissa K. Anderson

(6) 
Resident Agent

Authorized Person(s)

Filing party's return address:

(7) Richard_W._Anderson,_Jr.

CQI_Associates,_LLC

9827_Davidge_Drive

Columbia,_Maryland_21044

CUST ID:0001114716
WORK ORDER:0000734107
DATE:03-01-2003 02:28 PM
AMT. PAID:\$128.00

Exhibit F
Description of Insurance Policies

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MAR 8 2016

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)
8/7/2015

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER V.W. Brown Insurance Service, LLC 10380 Old Columbia Road Suite 104 Columbia MD 21046		CONTACT NAME: Mary Beth Pizzi PHONE (A/C No. Ext): (240) 644-1331 FAX (A/C No.): (410) 730-0219 E-MAIL ADDRESS: mpizzi@vwbrown.com	
INSURED CQI Associates, LLC PO Box 825 Columbia MD 21044-0825		INSURER(S) AFFORDING COVERAGE INSURER A: Erie Insurance Exchange NAIC # 26271 INSURER B: INSURER C: INSURER D: INSURER E: INSURER F:	

COVERAGES **CERTIFICATE NUMBER:** CL1522628658 **REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSR	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	GENERAL LIABILITY <input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR GENL AGGREGATE LIMIT APPLIES PER: <input checked="" type="checkbox"/> POLICY <input type="checkbox"/> PROJECT <input type="checkbox"/> LOC			Q39-2550756	3/25/2015	3/25/2016	EACH OCCURRENCE \$ 1,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 1,000,000 MED EXP (Any one person) \$ 10,000 PERSONAL & ADV INJURY \$ 1,000,000 GENERAL AGGREGATE \$ 2,000,000 PRODUCTS - COMPOP AGG \$ 2,000,000 \$
A	AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO ALL OWNED AUTOS <input checked="" type="checkbox"/> HIRED AUTOS <input type="checkbox"/> SCHEDULED AUTOS NON-OWNED AUTOS			Q39-2550756	3/25/2015	3/25/2016	COMBINED SINGLE LIMIT (Ea accident) \$ 1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
A	<input checked="" type="checkbox"/> UMBRELLA LIAB <input checked="" type="checkbox"/> OCCUR <input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DED <input checked="" type="checkbox"/> RETENTION \$			Q27-2570254	3/25/2015	3/25/2016	EACH OCCURRENCE \$ 5,000,000 AGGREGATE \$ 5,000,000 \$
A	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below		N/A	Q87-2500744	3/25/2015	3/25/2016	<input checked="" type="checkbox"/> WC STATUTORY LIMITS <input type="checkbox"/> OTHER E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)

CERTIFICATE HOLDER MAR - 8 2016 PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU	CANCELLATION SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. AUTHORIZED REPRESENTATIVE Mary Pizzi/JRS
---	---



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)
8/7/2015

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER V.W. Brown Insurance Service, LLC 10380 Old Columbia Road Suite 104 Columbia MD 21046	CONTACT NAME: Mary Beth Pizzi	
	PHONE (A/C No. Ext): (240) 644-1331 FAX (A/C No.): (410) 730-0219 E-MAIL ADDRESS: mpizzi@vwbrown.com	
INSURED CQI Associates, LLC PO Box 825 Columbia MD 21044-0825	INSURER(S) AFFORDING COVERAGE	NAIC #
	INSURER A: Erie Insurance Exchange	26271
	INSURER B:	
	INSURER C:	
	INSURER D:	
	INSURER E:	

COVERAGES CERTIFICATE NUMBER: CL1522628658 REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSR	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	GENERAL LIABILITY <input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR GENL AGGREGATE LIMIT APPLIES PER: <input checked="" type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC			Q39-2550756	3/25/2015	3/25/2016	EACH OCCURRENCE \$ 1,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 1,000,000 MED EXP (Any one person) \$ 10,000 PERSONAL & ADV INJURY \$ 1,000,000 GENERAL AGGREGATE \$ 2,000,000 PRODUCTS - COMPOP AGG \$ 2,000,000
A	AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO ALL OWNED AUTOS <input checked="" type="checkbox"/> HIRED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input checked="" type="checkbox"/> NON-OWNED AUTOS			Q39-2550756	3/25/2015	3/25/2016	COMBINED SINGLE LIMIT (Ea accident) \$ 1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$
A	<input checked="" type="checkbox"/> UMBRELLA LIAB <input type="checkbox"/> EXCESS LIAB <input checked="" type="checkbox"/> RETENTION \$ 0			Q27-2570254	3/25/2015	3/25/2016	EACH OCCURRENCE \$ 5,000,000 AGGREGATE \$ 5,000,000
A	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below		N/A	Q87-2500744	3/25/2015	3/25/2016	<input checked="" type="checkbox"/> WC STATUTORY LIMITS <input type="checkbox"/> OTH-ER E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)

CERTIFICATE HOLDER

CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

Mary Pizzi/JRS

Exhibit I

Copies of State Approvals

RECEIVED

MAR 8 2016

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

DOUGLAS R. M. NAZARIAN
CHAIRMAN

HAROLD D. WILLIAMS
SUSANNE BROGAN
LAWRENCE BRENNER
THERESE M. GOLDSMITH



PUBLIC SERVICE COMMISSION

#9, 3/17/10 AM; ML#s 119745 and 121727
License Reference No.: IR-1753

March 24, 2010

Richard W. Anderson, Jr.
CQI Associates
P.O. Box 825
Columbia, MD 21044

RECEIVED

MAR - 8 2010

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU


Dear Mr. Anderson:

On February 2, 2010, CQI Associates ("CQI") filed an Application for a license to supply natural gas or natural gas supply services in Maryland under COMAR 20.54. Additional information was filed on March 3, 2010. The Company proposes to provide natural gas broker services in Maryland for residential, commercial and industrial customers as described in the application.

After considering this matter at the March 24, 2010 Administrative Meeting, the Commission granted CQI a license to supply natural gas or natural gas supply services in Maryland in accordance with its Application (License Reference Number IR-1753). The license granted by the Commission under this Letter Order is limited solely to providing natural gas broker services to residential, commercial and industrial customers.

CQI is reminded that it is under a continuing obligation to notify the Commission within 30 days of any substantial changes to the information upon which the Commission relied in granting this license. A copy of the supplemental or updated information is required to be filed concurrently with the Office of People's Counsel.

By Direction of the Commission,


Terry J. Romine
Executive Secretary

TJR/gid

c: Merwin Sands, Gas Division
Paula M. Carmody, People's Counsel

COMMISSIONERS

STATE OF MARYLAND

KENNETH D. SCHISLER
CHAIRMAN

J. JOSEPH CURRAN, III
GAIL C. McDONALD
RONALD A. GUNS
HAROLD D. WILLIAMS



SUSAN S. MILLER
GENERAL COUNSEL

FELECIA L. GREER
EXECUTIVE SECRETARY

GREGORY V. CARMEAN
EXECUTIVE DIRECTOR

PUBLIC SERVICE COMMISSION

#2, 1/7/04 AM; ML#s 90157 and 90820
License Reference No.: IR-575

January 7, 2004

Chantel R. Ornstein, Esquire
Alexander & Cleaver
54 State Circle
Annapolis, Maryland 21401-1904

Dear Ms. Ornstein:

On November 4, 2003, CQI Associates, LLC filed an Application for a license to supply electricity or electric generation services in Maryland pursuant to Commission Order No. 75608 issued on September 10, 1999 in Case No. 8738. The Company proposes to provide electricity broker and aggregator services throughout Maryland for residential, commercial and industrial customers without restrictions on the number and size of end-use customers.

After considering this matter at the January 7, 2004 Administrative Meeting, the Commission granted CQI Associates, LLC a license to supply electricity or electricity generation services in Maryland in accordance with its Application (License Reference Number IR-575). The license granted by the Commission under this Letter Order is limited to electricity broker and aggregator services only.

Finally, CQI Associates, LLC is reminded that it is under a continuing obligation to notify the Commission of any substantial changes to the information upon which the Commission relied in granting this license.

By Direction of the Commission,

Felecia L. Greer
Executive Secretary

FLG/gjd

cc: Rajnish Barua, Director, Integrated Resource Planning
Paula M. Carmody, Office of People's Counsel
Rebecca Bowman, Office of the Attorney General

WILLIAM DONALD SCHAEFER TOWER • 6 ST. PAUL STREET • BALTIMORE, MARYLAND 21202-6806

410-767-8000

Toll Free: 1-800-492-0474

FAX: 410-333-6495

MDRS: 1-800-735-2258 (TTY/Voice)

Website: www.psc.state.md.us/psc/

STATE OF MARYLAND
Department of Assessments and Taxation

I, HEIDI DUDDERAR OF THE STATE DEPARTMENT OF ASSESSMENTS AND TAXATION OF THE STATE OF MARYLAND, DO HEREBY CERTIFY THAT THE DEPARTMENT, BY LAWS OF THE STATE, IS THE CUSTODIAN OF THE RECORDS OF THIS STATE RELATING TO LIMITED LIABILITY COMPANIES , OR THE RIGHTS OF LIMITED LIABILITY COMPANIES TO TRANSACT BUSINESS IN THIS STATE, AND THAT I AM THE PROPER OFFICER TO EXECUTE THIS CERTIFICATE.

I FURTHER CERTIFY THAT CQI ASSOCIATES, LLC , REGISTERED MAY 01, 2003, IS A LIMITED LIABILITY COMPANY EXISTING UNDER AND BY VIRTUE OF THE LAWS OF THE STATE OF MARYLAND, AND THAT THE LIMITED LIABILITY COMPANY IS AT THE TIME OF THIS CERTIFICATE IN GOOD STANDING TO TRANSACT BUSINESS.

IN WITNESS WHEREOF, I HAVE HEREUNTO SUBSCRIBED MY SIGNATURE AND AFFIXED THE SEAL OF THE STATE DEPARTMENT OF ASSESSMENTS AND TAXATION OF MARYLAND AT BALTIMORE ON THIS NOVEMBER 02, 2015.



Heidi Dudderar
Associate Director



301 West Preston Street, Baltimore, Maryland 21201
Telephone Balto. Metro (410) 767-1340 / Outside Balto. Metro (888) 246-5941
MRS (Maryland Relay Service) (800) 735-2258 TT/Voice
Fax (410) 333-7097

State of Delaware



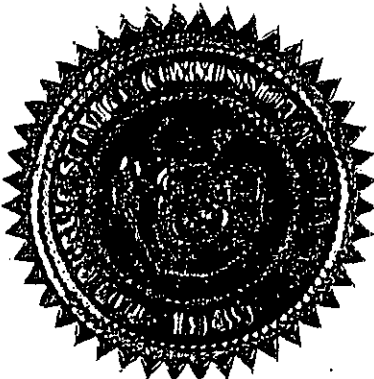
The Public Service Commission

STATE OF DELAWARE }
COUNTY OF KENT } ss.

I, Karen J. Nickerson, Secretary
of the PUBLIC SERVICE COMMISSION OF DELAWARE, do hereby certify that
I have compared the attached Order with the original adopted by said Commission,
and that it is a true and correct transcript of Order No. 7082,
Docket No. 06-347.

IN WITNESS WHEREOF, I have hereunto subscribed my hand and affixed
the seal of the Commission this sixth day of December A.D.,
2006.


Secretary



RECEIVED

BEFORE THE PUBLIC SERVICE COMMISSION
OF THE STATE OF DELAWARE

MAR 8 2016

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

IN THE MATTER OF THE APPLICATION OF)
CQI ASSOCIATES, LLC, FOR A)
CERTIFICATE TO PROVIDE ELECTRIC) PSC DOCKET NO. 06-347
SUPPLY SERVICES WITHIN THE STATE OF)
DELAWARE (FILED OCTOBER 23, 2006))

ORDER NO. 7082

ELECTRIC SUPPLIER CERTIFICATE

This 5th day of December, 2006, the Commission determines and
Orders the following:

1. In the Electric Restructuring Act of 1999 (72 Del. Laws Ch. 10), the General Assembly instituted a new regime to govern the generation, supply, and sale of electricity within this State. Regulation of the supply of electricity will, at various times, end and be replaced with the opportunity for retail customers to purchase electric supply from a supplier competing in an essentially non-supervised market. Thus, beginning on October 1, 1999, the generation, supply, and sale of electricity (including all related facilities and assets) was, and is, no longer regulated by the Commission as a public utility service or function. See 26 Del. C. § 1003(a).

2. Under the Act, every Electric Supplier seeking to provide Electric Supply Service under this new regime must obtain an Electric Supplier certificate from the Commission. See 26 Del. C. § 1012(a). Pursuant to the authority granted by 26 Del. C. § 1012(a) and (b), the Commission has adopted, in Regulation Docket No. 49, "Rules for the Certification and Regulation of Electric Suppliers" ("ES Rules"). See PSC Order No. 5207 (Aug. 31, 1999) (adopting initial ES Rules). The

ES Rules set forth the criteria for Electric Supplier certification and lay the ground rules for how certificated Electric Suppliers must operate within the new competitive regime.

3. On October 23, 2006, CQI Associates, LLC, filed an application to be certificated as an Electric Supplier to provide Electric Supply Service to Customers within this State. Staff reports that the application filed by CQI Associates, LLC, meets all the criteria set forth in Section II of the ES Rules and that CQI Associates, LLC, appears to possess the financial, operational, managerial, and technical capabilities to offer Electric Supply Service to Customers. In light of Staff's recommendations, the Commission hereby grants an Electric Supplier Certificate to CQI Associates, LLC.

Now, therefore, IT IS ORDERED:

1. That CQI Associates, LLC, is hereby granted, under 26 Del. C. § 1012(a) and Section II of the "Rules for the Certification and Regulation of Electric Suppliers," a certificate to do business, and operate, as an Electric Supplier within this State. Under this certificate, CQI Associates, LLC, is authorized to provide Electric Supply Service to Customers within this State in accord with 26 Del. C. Chapter 10.

2. CQI Associates, LLC, shall at all times provide Electric Supply Service in accord with the requirements imposed by 26 Del. C. Chapter 10 and the Commission's "Rules for the Certification and Regulation of Electric Suppliers," as presently adopted, or as may be hereafter amended or supplemented. CQI Associates, LLC, is hereby notified that a violation of such statute or such rules may be

enforced by the sanctions permitted by 26 Del. C. § 1012(a) and Section X ¶ 2 of the "Rules for the Certification and Regulation of Electric Suppliers."

3. That CQI Associates, LLC, shall, pursuant to the provisions of 26 Del. C. § 1012(c)(2) and Section III ¶ 10, of the "Rules for the Certification and Regulation of Electric Suppliers," file such returns and pay such assessments as may be required, or may be imposed, by 26 Del. C. §§ 114 and 115.

4. That CQI Associates, LLC, shall, pursuant to Section II ¶ 1(i) and Section III ¶ 4 of the "Rules for the Certification and Regulation of Electric Suppliers," file with the Commission a standard form of contract for each class of customers to which it intends to offer Electric Supply Service. Such form of contract shall be filed with the Commission at least twenty-one (21) days prior to CQI Associates, LLC, offering Electric Service Supply to such class of customers under such standard form of contract.

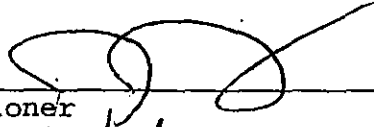
5. That the Commission reserves the jurisdiction and authority to enter such further Orders in this matter as may be deemed necessary or proper.

BY ORDER OF THE COMMISSION:


Chair


Commissioner


Commissioner



Commissioner



Commissioner

ATTEST:



Secretary

STATE OF ILLINOIS

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ILLINOIS COMMERCE COMMISSION

MAR 8 2016

CQI Associates LLC :
 :
 Application for Licensure of :
 Agents, Brokers and Consultants : 12-0565
 under Section 16-115C of the :
 Public Utilities Act. :

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

ORDER

By the Commission:

I. INTRODUCTION

On October 15, 2012, CQI Associates LLC ("Applicant") filed a verified application with the Illinois Commerce Commission ("Commission") requesting a certificate of service authority to operate as an agent, broker, or consultant ("ABC") in Illinois pursuant to Section 16-115C of the Public Utilities Act ("Act"), 220 ILCS 5/1-101 *et seq.*, and 83 Ill. Adm. Code 454, "Licensure of Retail Electric Agents, Brokers and Consultants" ("Part 454"). Applicant requests authority to offer services as an ABC for the procurement or sale of retail electricity supply for third parties in the State of Illinois. The Administrative Law Judge requested additional information and clarification of certain matters contained in the application. Applicant filed a verified response on November 2, 2012.

II. REQUIREMENTS FOR ALL APPLICANTS UNDER SECTION 16-115C OF THE ACT

Applicant is a business organized under the laws of Maryland and licensed to transact business in Illinois. Applicant has certified that it will comply with all applicable regulations; that it will comply with informational and reporting requirements established by Commission rule; that it will comply with informational and reporting requirements pursuant to Section 16-115C of the Act; and that it will comply with all other applicable laws, regulations, terms, and conditions required to the extent they have application to the services being offered by an ABC. Applicant has agreed to ensure that any person who acts on its behalf will comply with all applicable sections of Part 454. Applicant agrees that it will remain in compliance with the provisions of the Act and Part 454, and will ensure that authorizations received from customers, and all other applicable records are retained for a period of not less than three calendar years after the calendar year in which they were created. Applicant has agreed to adopt and follow rules and procedures to preserve the confidentiality of its customers' data. Applicant reports that it provides ABC services in Delaware and Maryland. Applicant states that it has had no complaints filed against it in any jurisdiction.

III. FINANCIAL, TECHNICAL, AND MANAGERIAL REQUIREMENTS OF SECTION 16-115C

Applicant is required by Section 454.60 to demonstrate that it meets the managerial qualifications necessary to provide services as an ABC. Applicant must further provide an organizational chart that indicates the position of persons which satisfy the managerial qualification. Applicant has demonstrated that it meets the managerial qualifications set forth in Section 454.60 through Attachment A. Attachment A contains a corporate organizational chart and identifies the occupational background information of the persons being used to meet the requirements of Section 454.60(a).

Applicant is required by Section 454.70 to demonstrate that it meets the technical qualifications necessary to provide services as an ABC. Applicant has demonstrated that it meets the technical qualifications set forth in Section 454.70 with the information provided in Attachment B. Attachment B contains occupational background information on the persons being used to meet the requirements of Section 454.70(a).

Pursuant to the requirements of Section 454.80 of Part 454, Applicant provided a surety bond in the amount of \$5,000 issued by a qualifying surety authorized to transact business in Illinois. Applicant further agrees to comply with the Code of Conduct for ABCs contained in Section 454.90.

IV. COMMISSION CONCLUSION AND CERTIFICATE OF SERVICE AUTHORITY

The Commission has reviewed the application and attachments along with the supplementary information provided by Applicant regarding the technical, managerial, and financial requirements and all other requirements of the Act and Part 454 and finds that the Applicant sufficiently demonstrates compliance with the requirements. The Commission concludes, therefore, that Applicant's request for a certificate of service authority to operate as an ABC in Illinois should be granted and should include the following authority:

CERTIFICATE OF SERVICE AUTHORITY

IT IS CERTIFIED that CQI Associates, LLC is granted service authority to operate as an agent, broker, or consultant for the procurement or sale of retail electricity supply for third parties in the State of Illinois.

V. FINDINGS AND ORDERING PARAGRAPHS

The Commission, having reviewed the entire record, is of the opinion and finds that:

- (1) Applicant, a business organized under the laws of Maryland, seeks a certificate of service authority to operate as an ABC under Section 16-115C of the Act;
- (2) the Commission has jurisdiction over the party hereto and the subject matter hereof;
- (3) the recitals of fact and conclusions reached in the prefatory portion of this Order are supported by the record and are hereby adopted as findings of fact;
- (4) Applicant has demonstrated that it possesses sufficient financial, managerial, and technical resources and abilities to provide services as an ABC for the procurement or sale of retail electricity supply to third parties in the State of Illinois;
- (5) Applicant has complied with Section 16-115C of the Act and Part 454; and
- (6) Applicant should be granted a certificate of service authority to operate as an ABC as specified in this Order.

IT IS THEREFORE ORDERED by the Illinois Commerce Commission that CQI Associates, LLC is hereby granted a Certificate of Service Authority authorizing it to operate as an agent, broker, or consultant; said Certificate of Service Authority shall read as that set forth in Section IV of this Order.

IT IS FURTHER ORDERED that CQI Associates, LLC shall comply with all applicable Commission rules and orders now and as hereafter amended.

IT IS FURTHER ORDERED that, subject to the provisions of Section 10-113 of the Public Utilities Act and 83 Ill. Adm. Code 200.880, this Order is final; it is not subject to the Administrative Review Law.

By order of the Commission this 28th day of November, 2012.

(SIGNED) DOUGLAS P. SCOTT

Chairman

PUBLIC SERVICE COMMISSION OF THE DISTRICT OF COLUMBIA
1333 H STREET N.W., 2ND FLOOR, WEST TOWER
WASHINGTON, D.C. 20005

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ORDER

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU
October 15, 2013

FORMAL CASE NO. EA 2013-22, IN THE MATTER OF THE APPLICATION OF COI ASSOCIATES, LLC FOR AN ELECTRICITY LICENSE, Order No. 17279

I. INTRODUCTION

1. By this Order, the Public Service Commission of the District of Columbia ("Commission") grants a license to CQI Associates, LLC ("CQI Associates" or "Applicant") to function as an electricity supplier in the District of Columbia.¹ CQI Associates, LLC's license is effective upon issuance of this Order.

II. BACKGROUND

2. On August 6, 2013, CQI Associates filed its license application.² Specifically, CQI Associates intends to operate as a broker of electricity for residential, commercial and industrial customers in the District of Columbia, pursuant to Section 34-1505 of the "Retail Electric Competition and Consumer Protection Act of 1999 ("Act")."³ Pursuant to the Commission's licensing requirements as set forth in Order No. 11796,⁴ CQI Associates has provided the following information:

- a) Proof of technical and managerial competence;
- b) An affidavit of compliance with applicable Federal and District of Columbia environmental laws and regulations dated July 29, 2013;

¹ D.C. Official Code § 34-1501(17) (2001 Ed.) defines, in part, an electricity supplier as "a person, including an aggregator, broker, or marketer, who generates electricity; sells electricity; or purchases, brokers, arranges or markets electricity for sale to customers."

² *Formal Case No. EA 2013-22, In the Matter of the Application of CQI Associates, LLC for an Electricity License ("Formal Case No. EA 2013-22")*, Application of CQI Associates, LLC filed August 6, 2013.

³ D.C. Official Code § 34-1505; *see generally* §§ 34-1501-1520.

⁴ *Formal Case No. 945, In the Matter of the Investigation into Electric Service Market Competition and Regulatory Practices*, Order No. 11796 at Attachment C, rel. September 20, 2000.

- c) Proof of financial integrity to include financial statements;
- d) Proof that CQI Associates has registered with the Department of Consumer and Regulatory Affairs to do business in the District of Columbia;
- e) An affidavit dated July 29, 2013, stating that CQI Associates is subject to all applicable taxes;
- f) An affidavit dated July 29, 2013, stating that CQI Associates will comply with all the requirements of all orders and regulations of the Commission; and
- g) Applicant's website address: <http://www.cqiassociates.com>.

CQI Associates filed supplemental financial information on September 24, 2013.⁵

III. DISCUSSION

3. Our review of the information contained in CQI Associates application, demonstrates that it has met all the prescribed licensing requirements and that CQI Associates has the ability and the financial integrity to serve electricity customers in the District of Columbia. The Commission also finds that granting CQI Associates application will serve the public interest. Accordingly CQI Associates application is approved.

THEREFORE, IT IS ORDERED THAT:

4. The application of CQI Associates, LLC for a license to conduct business in the District of Columbia as an electricity supplier is hereby **GRANTED**. CQI Associates, LLC's license is effective upon issuance of this Order.

A TRUE COPY:

BY DIRECTION OF THE COMMISSION:



CHIEF CLERK:

**BRINDA WESTBROOK-SEDGWICK
COMMISSION SECRETARY**

⁵ Formal Case No. EA 2013-22, CQI Associates filed supplemental financial information on September 24, 2013.

Exhibit J
Officer Resumes

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**PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU**

Melissa Anderson

CQI Associates, LLC, Columbia, Maryland, 2000 - Present Position: Managing Principal, Providing Consulting support services to organizations.

Melissa was the Senior Consultant for the firms' first LEED Certification Project:

Discovery Channel Communications Headquarters, Silver Spring, Maryland - LEED Existing Building Certification for Existing Buildings - Platinum received for the 850,000 square foot building located in Silver Spring Maryland. The building is the first Platinum LEED Existing Building Certification in the Washington Metropolitan area.

Melissa has extensive LEED certification experience. Melissa was the CQI project administrator for the following projects:

- Discovery Channel Communications Headquarters, Silver Spring, Maryland - Platinum
- Discovery Channel Communications Day Care Center, Silver Spring, Maryland - Gold

Melissa has worked on the following relevant key Comprehensive Energy Management projects:

- Northrop Grumman Corporation & Electronic Systems Division
- Baltimore County Public Schools
- AutoNation
- City of Salisbury
- Care First, Blue Cross & Blue Shield
- Cordish Development
- Fuji Film Holdings America
- Howard County Public Schools
- Gould Properties - Konterra Realty - Parking Management Inc.

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SECRETARY'S BUREAU

Melissa has worked on the following relevant key Renewable Energy projects:

- T. Rowe Price Owings Mills Campus - Solar PV Installation
- Konterra Realty Headquarters Building - Solar PV Installation
- Town of Ocean City Maryland - Renewable Energy Plan

Onsite Engineering and Management, King of Prussia, PA, 1999 - 2000

Education

Bachelors of Science in Business Administration, May 1999, Major: General Management, Shippensburg University, Shippensburg, PA 17257

John L. Grove College of Business is nationally accredited by the International Association for Management Education. (AACSB)

Richard Anderson

CQI Associates, Columbia, Maryland, July 1995 to Present, Position: Principal
Senior Consultant providing organization policy, planning and program management support services to public and private clients.

Richard has extensive LEED certification experience. Richard was the CQI Senior consultant and Project Manager for the following certification projects:

- Discovery Channel Communications Headquarters, Silver Spring, Maryland - Platinum
- The Embassy of Finland, Washington, DC - Platinum Recertification
- Stratford Realty - 201 N Charles Street, Baltimore, Maryland - Gold
- Cushman & Wakefield - 2001 K Street NW, Washington DC - Gold
- Heritage Properties Headquarters Building, Towson, Maryland - Gold
- Essex Meadows, Essex, Connecticut - Gold
- Mercy Ridge Retirement Community, Towson, Maryland - Silver
- Discovery Channel Communications Day Care Center, Silver Spring, Maryland - Gold
- Lockheed Martin, Maryland - Gold
- Embassy of Norway - Washington DC - Silver Pending

Richard was the CQI Senior consultant and Project Manager for the following LEED certification feasibility assessment projects:

- Universities at Shady Grove - Rockville Maryland (University of Maryland)
- University of Maryland Physicians Building, Baltimore, Maryland
- The Field School, Washington DC
- The Brick Companies Headquarters Building, Annapolis, Maryland
- Cushman & Wakefield - 1615 K Street NW, Washington DC
- American Association of Medical Colleges - Washington DC
- University of Maryland Community Care Building, Baltimore, Maryland

Richard has worked on the following relevant key Comprehensive Energy Management projects:

- Northrop Grumman Corporation & Electronic Systems Division
- M-NCPPC Department of Parks and Planning
- Baltimore County Public Schools
- AutoNation
- City of Salisbury
- T. Rowe Price Associates
- Frederick County Government
- Care First, Blue Cross & Blue Shield
- Washington County Public Schools
- Cordish Development
- Washington County Government
- Howard County Public Schools
- Holden Arms Schools

- Town of Ocean City Maryland
- Bayshore Development

Richard has worked on the following relevant key Renewable Energy projects:

- M-NCPPC - Montgomery County Solar PV Feasibility Study
- M-NCPPC-Prince Georges County Solar PV Feasibility Study
- T. Rowe Price Owings Mills Campus - Solar PV Installation
- Konterra Realty Headquarters Building - Solar PV Installation
- Frederick County Public Schools - Solar PV Installation
- Bob Hall Inc. - Solar PV Installation
- Carroll County Public School System - Solar PV Feasibility Study& Installation
- City of Salisbury- Solar PV Feasibility Study & Installation
- Town of Ocean City Maryland - Solar Thermal Installation
- Town of Manchester - Solar PV Feasibility Study
- Garrett County Public Schools - Wind Turbine Feasibility Study
- Washington County Public Schools - Solar PV Feasibility Study
- Town of Ocean City Maryland - Renewable Energy Plan

Howard Community College, Columbia, Maryland, & Community College of Baltimore County, Catonsville, MD July 1996 to 1995 Adjunct Faculty

Kamber Engineering, Inc., Gaithersburg, Maryland, July 1987 to July 1995, Position: Principal and Managing Partner of a regional based civil, environmental, planning firm.

Scharf-Godfrey, Inc., Bethesda, Maryland. January 1985 to July 1987, Position: Executive Vice President and Partner responsible for Operations and Business Development.

Greiner Engineering Sciences, Inc., Baltimore, Maryland, 1983 to 1985, Position: Director of Business Development for the Baltimore Office and Branch Offices.

Flack & Kurtz Consulting Engineers, New York, New York, 1979 to 1983, Position: Executive Vice President responsible for Operations and Business Development.

Association of Physical Plant Administrations of Universities and Colleges, Washington, D.C. 1976 to 1979, Position: Director of Special Programs and Higher Education Energy Task Force.

Catonsville Community College, Maryland, 1971 to 1976, Position: Assistant Director of Physical Plant.

Education:

B.S. Industrial and Construction Technology, Millersville University, Millersville, Pennsylvania, 1971

Exhibit K
Application Affidavit

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**PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU**

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Appendix A

APPLICATION AFFIDAVIT

State of Maryland :

ss.

County of Howard :

Melissa K. Anderson, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

She is the Managing Principal of CQI Associates, LLC.

That she is authorized to and does make this affidavit for said Applicant;

That the Applicant herein CQI Associates, LLC has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

That the Applicant herein CQI Associates, LLC has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein CQI Associates, LLC acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein CQI Associates, LLC acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

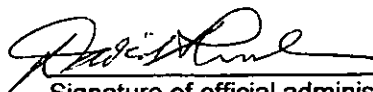
That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

Melissa K. Anderson, Managing Principal



Signature of Affiant

Sworn and subscribed before me this 13 day of FEB, 2016.



Signature of official administering oath

My commission expires _____
DAVID A. MARKS
NOTARY PUBLIC STATE OF MARYLAND
My Commission Expires April 16, 2019
24

DAVID A. MARKS
NOTARY PUBLIC STATE OF MARYLAND
My Commission Expires April 16, 2019

Exhibit L
Operations Affidavit

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

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Appendix B

MAR 8 2016

OPERATIONS AFFIDAVIT

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

State of Maryland

:

:

ss.

County of Howard

:

Melissa K. Anderson, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

She is the Managing Principal of CQI Associates, LLC.

That she is authorized to and does make this affidavit for said Applicant;

That CQI Associates, LLC, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That CQI Associates, LLC, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That CQI Associates, LLC, the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

Appendix B (Continued)

That CQI Associates, LLC, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

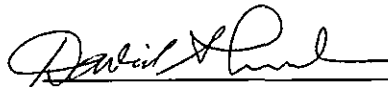
That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.

Melissa K. Anderson, Managing Principal



Signature of Affiant

Sworn and subscribed before me this 13 day of FEB., 2016.



Signature of official administering oath

My commission expires DAVID A. MARKS
NOTARY PUBLIC STATE OF MARYLAND
My Commission Expires April 16, 2016

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SECRETARY'S BUREAU

Exhibit M

Newspaper Notice Certifications

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MAR 8 ... 2016

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Proof of Publication in The Philadelphia Daily News
Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA
COUNTY OF PHILADELPHIA

Florence Devlin being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

February 18, 2016

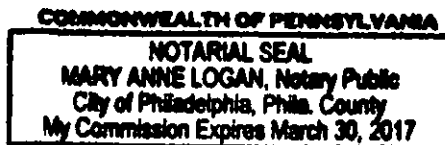
Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

Florence Devlin

Sworn to and subscribed before me this 18th day of
February, 2016.

Mary Anne Logan
Notary Public

My Commission Expires:



Copy of Notice of Publication

**PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE**
Application of **CGI Associates, LLC** (d/b/a "**CGI Associates, LLC**") For Approval To Offer, Render, Furnish Or Supply Electricity Or Electric Generation Services As A Marketer/Broker Engaged In The Business Of Supplying Electricity, And An Aggregator Engaged In The Business Of Supplying Electricity, To The Public In The Commonwealth Of Pennsylvania.
CGI Associates, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. **CGI Associates, LLC** proposes to act as a broker/marketer throughout the Commonwealth in all utility service territories under the provisions of the Electricity Generation Customer Choice and Competition Act.
The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **CGI Associates, LLC** may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to **CGI Associates, LLC's** attorney at the address listed below.
By and through Counsel:
David W. Beugelmans, Esq.
Gordon Feinblatt LLC
233 E. Redwood Street
Baltimore, Maryland 21202
P: 410-578-4104
F: 410-578-4106

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MAR 8 2015

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

PROOF OF PUBLICATION OF NOTICE IN THE WILLIAMSPORT SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA
COUNTY OF LYCOMING

SS:

Bernard A. Oravec Publisher of the Sun-Gazette Company, publishers of the Williamsport, Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport Sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

February 19, 2016

Affiant first to verify of publication

LEGAL NOTICES

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Application of CQI Associates, LLC (d/b/a "CQI Associates, LLC") For Approval To Offer, Render, Furnish Or Supply Electricity, Or Electric Generation Services As A Marketer/Broker Engaged In The Business Of Supplying Electricity, And An Aggregator Engaged In The Business Of Supplying Electricity, To The Public In The Commonwealth Of Pennsylvania.

CQI Associates, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. CQI Associates, LLC proposes to act as a broker/marketer throughout the Commonwealth in all utility service territories under the provisions of the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of CQI Associates, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA, 17105-3265. You should send copies of any protest to CQI Associates, LLC's attorney at the address listed below.

By and through Counsel: David W. Beugelmans, Esq. Gordon Feinblatt LLC 233 E. Redwood Street Baltimore, Maryland 21202 P: 410-576-4104 F: 410-576-4196

is an officer daily authorized by the Sun-Gazette Company, publisher of the Williamsport Sun-Gazette, under oath and also declares that affiant is not interested in the subject matter of the aforesaid notice delegations in the foregoing statement as to time, place and character of publication are true.

Bernard A. Oravec

SUN-GAZETTE COMPANY

Sworn to and subscribed before me

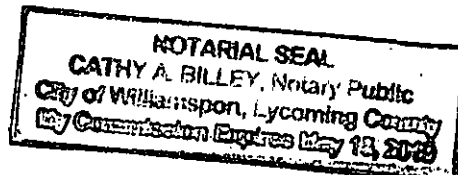
the 22nd day of February 2016

Cathy A. Billey
Notary Public

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MAR 8 2016

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU



STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette Company, Dr:	
For publishing the notice attached	
hereto on the above state dates.....	\$ 270.52
Probated same.....	\$
Total.....	\$ 270.52

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

THE SUN-GAZETTE and certifies

I hereby acknowledges receipt of the aforesaid advertising and publication costs and that the same have been fully paid.

SUN-GAZETTE COMPANY

BY Bernard A. Oravec

The Patriot-News Co.
2020 Technology Pkwy
Suite 300
Mechanicsburg, PA 17050
Inquiries - 717-255-8213

The Patriot-News
Now you know

GORDON FEINBLATT LLC
233 E. REDWOOD STREET

BALTIMORE

MD 21202

RE

MAR - 8 2016

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

THE PATRIOT NEWS
THE SUNDAY PATRIOT NEWS

Proof of Publication

Under Act No. 587, Approved May 16, 1929
Commonwealth of Pennsylvania, County of Dauphin} ss

Amy Kotula, being duly sworn according to law, deposes and says:

That she is a Staff Accountant of The Patriot News Co., a corporation organized and existing under the laws of the Commonwealth of Pennsylvania, with its principal office and place of business at 2020 Technology Pkwy, Suite 300, in the Township of Hampden, County of Cumberland, State of Pennsylvania, owner and publisher of The Patriot-News and The Sunday Patriot-News newspapers of general circulation, printed and published at 1900 Patriot Drive, in the City, County and State aforesaid; that The Patriot-News and The Sunday Patriot-News were established March 4th, 1854, and September 18th, 1949, respectively, and all have been continuously published ever since;

That the printed notice or publication which is securely attached hereto is exactly as printed and published in their regular daily and/or Sunday/ Community Weekly editions which appeared on the date(s) indicated below. That neither she nor said Company is interested in the subject matter of said printed notice or advertising, and that all of the allegations of this statement as to the time, place and character of publication are true; and

That she has personal knowledge of the facts aforesaid and is duly authorized and empowered to verify this statement on behalf of The Patriot-News Co. aforesaid by virtue and pursuant to a resolution unanimously passed and adopted severally by the stockholders and board of directors of the said Company and subsequently duly recorded in the office for the Recording of Deeds in and for said County of Dauphin in Miscellaneous Book "M", Volume 14, Page 317.

This ad # 0002356823 ran on the dates shown below:

February 18, 2016

Amy Kotula

Sworn to and subscribed before me this 22 day of February, 2016 A.D.

Sheryl Marie Leggore
Notary Public

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Sheryl Marie Leggore, Notary Public
Hampden Twp., Cumberland County
My Commission Expires July 16, 2018
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE
Application of CQI Associates, LLC
(d/b/a "CQI Associates, LLC") For
Approval To Offer, Render, Furnish Or
Supply Electricity Or Electric
Generation Services As A
Marketer/Broker Engaged In The
Business Of Supplying Electricity, And
An Agregator Engaged In The
Business Of Supplying Electricity, To
The Public In The Commonwealth Of
Pennsylvania.
CQI Associates, LLC will be filing an
application with the Pennsylvania
Public Utility Commission ("PUC") for
a license to supply electricity or electric
generation services as a
broker/marketer engaged in the
business of supplying electricity. CQI
Associates, LLC proposes to act as a
broker/marketer throughout the
Commonwealth in all utility service
territories under the provisions of the
Electricity Generation Customer
Choice and Competition Act.
The PUC may consider this
application without a hearing. Protests
directed to the technical or financial
fitness of CQI Associates, LLC may be
filed within 15 days of the date of this
notice with the Secretary of the PUC,
P.O. Box 3265, Harrisburg, PA 17105-
3265. You should send copies of any
protest to CQI Associates, LLC's
attorney at the address listed below.
By and through Counsel:
David W. Beugelmans, Esq.
Gordon Feinblatt LLC
233 E. Redwood Street
Baltimore, Maryland 21202
P: 410-576-4104
F: 410-576-4196

The Scranton Times (Under act P.L. 877 No 160. July 9, 1976)
Commonwealth of Pennsylvania, County of Lackawanna

GORDON FEINBLATT LLC
DONNA FERGUSON
ATTN: DONNA FERGUSON 233 E. REDWOOD STREET
BALTIMORE MD 21202-3332

Account # 615031
Order # 81895836
Ad Price: 228.40

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

LEGAL NOTICE PENNSYLVANIA

Gina Krushinski

Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

02/17/2016

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true Gina Krushinski

Sworn and subscribed to before me
this 17th day of February A.D., 2016

Sharon Venturi

(Notary Public)

COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Sharon Venturi, Notary Public
City of Scranton, Lackawanna County
My Commission Expires Feb. 12, 2018
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

LEGAL NOTICE
PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE
Application of **COI Associates, LLC** (d/b/a "**COI Associates, LLC**") For Approval To Offer, Render, Furnish Or Supply Electricity Or Electric Generation Services As A **Marketer/Broker** Engaged In The Business Of Supplying Electricity, And An Aggregator Engaged In The Business Of Supplying Electricity, To The Public In The Commonwealth Of Pennsylvania.
COI Associates, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as a broker/marker engaged in the business of supplying electricity. **COI Associates, LLC** proposes to act as a broker/marker throughout the Commonwealth in all utility service territories under the provisions of the Electricity Generation Customer Choice and Competition Act.
The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **COI Associates, LLC** may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to **COI Associates, LLC's** attorney at the address listed below.
By and through Counsel:
David W. Bougelmans, Esq.
Gordon Feinblatt LLC
233 E. Redwood Street
Baltimore, Maryland 21202
Phone: 410-576-4104
Fax: 410-576-4196

COMMONWEALTH OF PENNSYLVANIA }
 County of Cambria } SS

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Application of **CQI Associates, LLC** (d/b/a "**CQI Associates, LLC**") For Approval To Offer, Render, Furnish Or Supply Electricity Or Electric Generation Services As A Marketer/Broker Engaged In The Business Of Supplying Electricity, And An Aggregator Engaged In The Business Of Supplying Electricity, To The Public In The Commonwealth Of Pennsylvania.

CQI Associates, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. **CQI Associates, LLC** proposes to act as a broker/marketer throughout the Commonwealth in all utility service territories under the provisions of the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **CQI Associates, LLC** may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to **CQI Associates, LLC's** attorney at the address listed below.

By and through Counsel:
 David W. Beugelmans, Esq.
 Gordon Feinblatt LLC
 233 E. Redwood Street
 Baltimore, Maryland 21202
 P: 410-576-4104
 F: 410-576-4196

published that the at of The Job interested character

On this 23rd day of February A.D. 2016, before me, the subscriber, a Notary Public in and for said County and State, personally appeared *Christine Marhefka*, who being duly sworn according to law, deposes and says as Classified Advertising Manager of the *Tribune-Democrat, Johnstown, PA*, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8, 1952, of the *Johnstown Tribune*, established December 7, 1853; and of the *Johnstown Democrat*, established March 5, 1863,

of Cambria, and Commonwealth of Pennsylvania and e matter published in said publication in the regular issues on February 19, 2016; and that the Affiant is not tising and that all of the allegations as to time, place and

Christine Marhefka

STATEMENT OF ADVERTISING COSTS

Sworn and Subscribed before me this 23rd day of February, 2016.

Vivian Ohs

0.00 Lines @ \$2.50 per line	0.00
7.25 Inches @ \$25.00 per inch	181.25
Notary Fee	5.00
Clerical Fee	2.50
Total Cost	188.75

COMMONWEALTH OF PENNSYLVANIA
 Notarial Seal
 Vivian Ohs, Notary Public
 City of Johnstown, Cambria County
 My Commission Expires Dec. 6, 2016
 MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

To The Tribune-Democrat, Johnstown, PA
 For publishing the notice or publication attached hereto on the above stated dates.

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

_____ for publisher of _____ a newspaper of general circulation, hereby acknowledges receipt of the aforesaid and publication costs and certifies that the same has been duly paid.

 (Name of Newspaper)

By _____

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PA PUBLIC UTILITY COMMISSION
 SECRETARY'S BUREAU

Appendix C

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

Example CERTIFICATE OF SERVICE

On this the 8th day of March 2016, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all **NON-CONFIDENTIAL** attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2 West
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

Small Business Advocate
Commerce Building, Suite 1102
300 North Second Street
Harrisburg, PA 17101

Director of Customer Energy Services
Orange and Rockland Company
390 West Route 59
Spring Valley, NY 10977-5300

Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

Legal Department
Attn: Paul Russell
PPL
Two North Ninth Street
Allentown, PA 18108-1179

Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
2525 N. 12th Street, Suite 360
Post Office Box 12677
Reading, Pa 19612-2677


Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901

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SECRETARY'S BUREAU



Todd R. Chason
Counsel, CQI Associates, LLC

ORIGIN ID:ODMA (410) 576-4104
DAVID W. BEUGELMANS
GORDON FEINBLATT
233 EAST REDWOOD STREET

BALTIMORE, MD 21202
UNITED STATES US

SHIP DATE: 08MAR16
ACTWGT: 2.00 LB
CAD: 107716418/NET3730

BILL SENDER

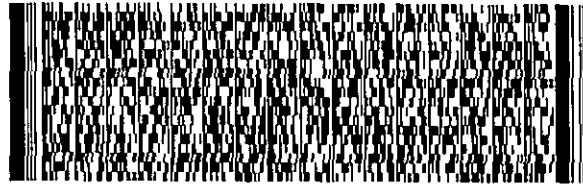
TO SECRETARY
PA PUBLIC UTILITY COMMISSION
400 NORTH STREET
KEYSTONE BUILDING
HARRISBURG PA 17120

(410) 576-4104
INV. PO

REF: 30729119267

DEPT:

540,111CF34727F

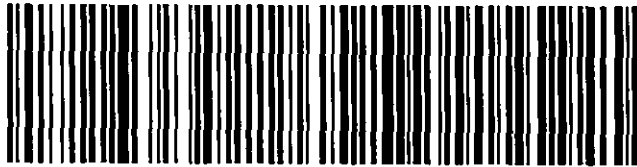


WED - 09 MAR 10:30A
PRIORITY OVERNIGHT

TRK# 7758 2228 5123
0201

EN MDTA

17120
PA-US MDT



After printing this label:

1. Use the 'Print' button on this page to print your label to your laser or inkjet printer.
2. Fold the printed page along the horizontal line.
3. Place label in shipping pouch and affix it to your shipment so that the barcode portion of the label can be read and scanned.

Warning: Use only the printed original label for shipping. Using a photocopy of this label for shipping purposes is fraudulent and could result in additional billing charges, along with the cancellation of your FedEx account number. Use of this system constitutes your agreement to the service conditions in the current FedEx Service Guide, available on fedex.com. FedEx will not be responsible for any claim in excess of \$100 per package, whether the result of loss, damage, delay, non-delivery, misdelivery, or misinformation, unless you declare a higher value, pay an additional charge, document your actual loss and file a timely claim. Limitations found in the current FedEx Service Guide apply. Your right to recover from FedEx for any loss, including intrinsic value of the package, loss of sales, income interest, profit, attorney's fees, costs, and other forms of damage whether direct, incidental, consequential, or special is limited to the greater of \$100 or the authorized declared value. Recovery cannot exceed actual documented loss. Maximum for items of extraordinary value is \$1,000, e.g. jewelry, precious metals, negotiable instruments and other items listed in our ServiceGuide. Written claims must be filed within strict time limits. see current FedEx Service Guide.

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU