## Appendix C

#### CERTIFICATE OF SERVICE

On this the 10th day of February 2016 I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all **NON-CONFIDENTIAL** attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:

Bureau of Investigation & Enforcement Pennsylvania Public Utility Commission Commonwealth Keystone Building 400 North Street, 2 West Harrisburg, PA 17120

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120

Small Business Advocate Commerce Building, Suite 202 300 North Second Street Harrisburg, PA 17101

Legal Department West Penn Power d/b/a Allegheny Power 800 Cabin Hill Drive Greensburg, PA 15601-1689

Regulatory Affairs Duquesne Light Company 411 Seventh Street, MD 16-4 Pittsburgh, PA 15219

Legal Department First Energy 2800 Pottsville Pike Reading PA, 19612

Citizens' Electric Company Attn: EGS Coordination 1775 Industrial Boulevard Lewisburg, PA 17837 Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946

Director of Customer Energy Services Orange and Rockland Company 390 West Route 59 Spring Valley, NY 10977-5300

Manager Energy Acquisition PECO Energy Company 2301 Market Street Philadelphia, PA 19101-8699

Legal Department Attn: Paul Russell PPL Two North Ninth Street Allentown, PA 18108-1179

UGI Utilities, Inc. Attn: Rates Dept. - Choice Coordinator 2525 N. 12th Street, Suite 360 Post Office Box 12677 Reading, Pa 19612-2677

Wellsboro Electric Company Attn: EGS Coordination 33 Austin Street P. O. Box 138 Wellsboro, PA 16901

Kristin Follis-Ontiveros Paralegal, AGR Group Nevada, LLC



1 December 2015

Rosemary Chiavetta, Secretary Pennsylvania Public Utility Commission Commonwealth Keystone Building 400 North Street Harrisburg, Pennsylvania 17120

Re: AGR Group Nevada, LLC notice of material change – Electric Generation Supplier License No. A-2014-2403848

Dear Ms. Chiavetta;

In connection with AGR Group Nevada, LLC's (the "Company") Electric Generation Supplier License; Application No. A-2014-2403848, please find the following update to the information found on the Application with respect to ownership of the Company.

At the time of the Application, the ownership structure of the Company was comprised of three entities: FMR Management, Inc. QLIV Consulting, Inc. and HNH, Inc. (the "Former Owners"). The individual owners of the Former Owners remain the same. The Former Owners formed a new entity; AGPB Holdings, LLC, a Delaware limited liability company ("AGPB"), and on November 4, 2015 contributed all of their collective ownership in the Company to AGPB pursuant to the applicable operating agreement. AGPB is a holding company with no business operations outside the ownership of the Company.

AGPB then formed a new entity in the name of Quantum Energy Holdings, LLC ("Quantum"), a Delaware limited liability company, as part of a joint venture with GPB Energy Holdings, LLC ("GPB"), a Delaware limited liability company. Pursuant to the applicable operating agreement, AGPB contributed 100% of its ownership of the Company to Quantum for a 50% ownership position of Quantum. GPB made a cash contribution to Quantum for a 50% ownership position of Quantum.

Therefore, the new and current owner of the Company is Quantum, which is jointly owned in equal parts by AGPB and GPB. While GPB is represented on the Board of Directors of Quantum, it is not otherwise involved with any of the day-to-day operations of the Company. The Former Owners will continue to oversee the Company's operations.

In addition to the above, the following changes need to be made to our application – the corrected information will be entered below each question:

# 1. <u>IDENTIFICATION AND CONTACT INFORMATION</u>

**a. IDENTITY OF THE APPLICANT**: Provide name (*including any fictitious name or d/b/a*), primary address, web address, and telephone number of Applicant:

AGR Group Nevada, LLC 6275 S. Pearl Street Las Vegas, NV 89120 Phone: (702) 410-5780

Fax: (702) 410-5785 www.agrgroupinc.com

**c. REGULATORY CONTACT**: Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Laura Zepeda, Director of Corporate Affairs AGR Group Nevada, LLC 6275 S. Pearl Street Las Vegas, NV 89120

Phone: (702) 410-5780 Fax: (702) 410-5785

lzepeda@utilitysalesmgt.com

**d. CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS:** Provide the name, title, address, telephone number, fax number, and e-mail of the person and an alternate person responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

Mike Mason, General Manager Laura Zepeda, Director of Corporate Affairs

AGR Group Nevada, LLC
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Las Vegas, NV 89120
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Fax: (702) 410-5785 Fax: (702) 410-5785

# 2. <u>BUSINESS ENTITY FILINGS AND REGISTRATIONS</u>

### B. Business entity and department of state filings:

Give the name and address of officers:

Matt Judkin, Chief Executive Officer 6275 S. Pearl Street Las Vegas, NV 89120 Adolfo Quintero, Chief Operating Officer 6275 S. Pearl Street Las Vegas, NV 89120

Herb Zerden, Chief Financial Officer 9701 International Court North, Suite #A St. Petersburg, FL 33716

Mike Mason, General Manager 6275 S. Pearl Street Las Vegas, NV 89120

### 3. <u>AFFILIATES AND PREDECESSORS</u>

(both in state and out of state)

**a. AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

Utilities Marketing Group, LLC (not a jurisdictional public utility) 9701 International Court North, Suite #A St. Petersburg, FL 33716

Energy Professionals, LLC (not a jurisdictional public utility) 9701 International Court North, Suite #A St. Petersburg, FL 33716

#### 7. FINANCIAL FITNESS

### C. ACCOUNTING RECORDS CUSTODIAN:

Anne Mattison, Controller 6275 S. Pearl Street Las Vegas, NV 89120 Phone: (702) 410-5780 Fax: (702) 410-5785

Should you have any questions regarding these changes, please feel free to contact me at (702) 410-5780 or via email at <a href="mailto:lzepeda@utilitysalesmgt.com">lzepeda@utilitysalesmgt.com</a>.

Sincerely,

Laura Zepeda Director of Corporate Affairs AGR Group Nevada, LLC