

c. **PROPOSED SERVICES:** Describe in detail the natural gas supply services which the Applicant proposes to offer.

Legend Energy Advisors intends to provide energy services related to natural gas in purchasing strategies, measuring efficiency, and enhancing sustainability.

d. **PROPOSED SERVICE AREA:** Check the box of each Natural Gas Distribution Company for which the Applicant proposes to provide service.

- | | |
|---|--|
| <input checked="" type="checkbox"/> Columbia | <input checked="" type="checkbox"/> Philadelphia Gas Works |
| <input checked="" type="checkbox"/> National Fuel Gas | <input type="checkbox"/> UGI Central Penn |
| <input type="checkbox"/> PECO | <input type="checkbox"/> UGI Penn natural |
| <input type="checkbox"/> Peoples Gas – Equitable Div. | <input type="checkbox"/> UGI Utilities |
| <input type="checkbox"/> Peoples Natural Gas | <input type="checkbox"/> Valley Energy |
| <input type="checkbox"/> Peoples TWP | <input type="checkbox"/> All of the above |

e. **CUSTOMERS:** Applicant proposes to provide services to:

- Residential Customers
- Small Commercial Customers - (Less than 6,000 Mcf annually)
- Residential and Small Commercial as Mixed Meter ONLY (CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE)
- Large Commercial Customers - (6,000 Mcf or more annually)
- Industrial Customers
- Governmental Customers
- All of above (Except Mixed Meter)
- Other (Describe):

f. **START DATE:** Provide the approximate date the Applicant proposes to actively market within the Commonwealth.

~~December 2015~~
July 1, 2016

RECEIVED

APR 14 2016

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

RECEIVED

2016 APR 14 AM 9:44

PA.P.U.C.
SECRETARY'S BUREAU

Columbia Gas
of Pennsylvania
A NISource Company

December 11, 2015

Daniel Crosby
Legend Energy Advisors LLC
120 East 23rd St
New York, NY 10010

Dear Daniel Crosby:

We are pleased that Legend Energy Advisors LLC has applied for a license to provide Natural Gas Broker/Marketer Services on the distribution system of Columbia Gas of Pennsylvania, Inc. ("Columbia Gas").

Under Paragraph 2.4.5 of the Rules Applicable to Distribution Service section of the Tariff of Columbia Gas, Legend Energy Advisors LLC could be required to provide to Columbia Gas a bond or other financial security instrument in an amount that Columbia Gas determines to be appropriate. Legend Energy Advisors LLC has indicated only brokering and consulting services will be provided. Therefore, we have determined at this time that Legend Energy Advisors LLC does not need a bond or other financial security requirement to provide broker natural gas services to Columbia Gas customers.

If the creditworthiness requirement or Columbia Gas' exposure to Legend Energy Advisors LLC changes in the future, Columbia Gas might deem it appropriate to require Legend Energy Advisors LLC to provide a bond or other financial security instrument.

Please feel free to contact me at 614-460-6841 should you have any questions regarding a bond or other financial security instrument requirements of Columbia Gas.

Sincerely,



Michele Caddell
Manager of Choice and Transportation Support Services



National Fuel

December 9, 2015

Brendan Boyle
Director of Account Management
Legend Energy Advisors LLC
120 East 23rd Street
New York, NY 10010

Re: Security Requirement for Legend Energy Advisors LLC

Dear Brendan,

National Fuel Gas Distribution Corporation ("NFGDC") is aware Legend Energy Advisors LLC (LEA) has filed an application with the Pennsylvania Public Utility Commission to supply natural gas service to the public in Pennsylvania and specifically within the service territory of NFGDC.

As you know, in making such an application, LEA must furnish acceptable security to each utility where LEA will do business. As such, under its tariff, NFGDC could require LEA to provide a bond or other financial security instrument in an amount that NFGDC determines to be appropriate.

However, you have indicated, and it is NFGDC's understanding that LEA intends only to provide natural gas aggregating, brokering and consulting services at this time. You have stated that, in performing these services, LEA will never take title to any delivered natural gas.

Based upon your representations, NFGDC has determined that, at this time, LEA does not need to post a bond or other form of security to operate in its service territory. However, if the services provided by LEA change in the future, NFGDC reserves the right to require security from LEA as it deems appropriate.

If you have any questions concerning the foregoing, please contact me at 716-857-7599.

Yours truly,

Nathan E. Barnes
Transportation Services Department



PHILADELPHIA GAS WORKS
800 West Montgomery Avenue • Philadelphia, PA 19122

RECEIVED
2016 APR 14 AM 9:44
PA.P.U.C.
SECRETARY'S BUREAU

March 23, 2016

Mr. Brendan Boyle
Director
Account Management
Legend Energy Advisors
16 East 23rd Street
New York, NY 10010

Re: Security Requirement Bond –Legend Energy Advisors
Dear Mr. Gutteridge:

Philadelphia Gas Works (“PGW”) is aware that Legend Energy Advisors has filed an application with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania and specifically within the services territory of Philadelphia Gas Works.

As you know, in making such an application, Legend Energy Advisors must furnish acceptable security to each utility where Legend Energy Advisors is to provide a bond or other financial security instrument in an amount that Philadelphia Gas Works determines to be appropriate.

However, you have indicated, and it is Philadelphia Gas Works’ understanding that Legend Energy Advisors, only to provide natural gas aggregating, brokering and consulting services at this time. You have stated that, in performing these services, Legend Energy Advisors, will never take title to any delivered natural gas.

Based upon your representations, Philadelphia Gas Works has determined that, at this time, Legend Energy Advisors does not need to post a bond or other form of security to operate in its service territory. If the services provided by Legend Energy Advisors should change, Philadelphia Gas Works reserves the right to require security from Legend Energy Advisors as it deems appropriate.

If you have any questions concerning the foregoing, please contact me at (215) 684-6278.

Sincerely,

Nicholas LaPergola
Director
Gas Supply, Transportation & Control

NL:b

Legend Energy Advisors
16 East 23rd Street, 5th Floor
New York, NY 10119

NEW YORK
NY 100
12 APR 11
PM 5 L



Rosemary Chiavetta, Secretary
Pennsylvania Public Utility
Commission
400 North Street
Harrisburg, PA 17120



17120007999

