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BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of **Stanwich Energy Advisors, LLC**, for approval to offer, render, furnish, or supply electricity or electric generation services as a(n) **Broker/Marketer** to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

a. IDENTITY OF THE APPLICANT:

- Stanwich Energy Advisors
9 Greenwich Office Park-2nd Floor
Greenwich, CT 06831
www.stanwichenergy.com
Phone: (203) 532-5138
Fax: (203) 485-0512
Toll-Free: (877) 214-9066

b. PENNSYLVANIA REGISTERED AGENT ADDRESS:

- BlumbergExcelsior Corporate Services, Inc.
800 North Second Street- Suite 100
Harrisburg, PA 17102

c. REGULATORY CONTACT:

- John O'Connell
Managing Partner
9 Greenwich Office Park-2nd Floor.
Greenwich, CT 06831
Phone: (203) 532-5138
Email: joconnell@stanwichenergy.com

d. ATTORNEY:

- Stanwich will not be using the company's attorney for the purposes for this application.

e. CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS:

Customer Service Contact:

- Yvonne Flynn
Office Manager
9 Greenwich Office Park
2nd Floor
Greenwich, CT 06831
Toll free: (877) 214-9066
Fax #: (203) 485-0512
yflynn@stanwichenergy.com

or

Regulatory Contact:

Catherine Cronin
Operations Manager
9 Greenwich Office Park
2nd Floor
Greenwich, CT 06831
Phone: (203) 532-5138
Fax #: (203) 485-0512
ccronin@stanwichenergy.com

2. BUSINESS ENTITY FILINGS AND REGISTRATION

a. **FICTITIOUS NAME:**

- Stanwich Energy will not be using a fictitious name.

b. **BUSINESS ENTITY OF THE APPLICANT:**

Department of State Filing Requirements:

- Stanwich Energy registered its business with the Pennsylvania Department of State as a foreign limited liability company. Entity number: 4273273.
- Please refer to the end of this section for a copy of the States certificate as proof of registration.

Company organization/formation:

- Stanwich is organized under the laws of Connecticut as of August 5, 2008.
- Attached you will find a copy of the articles of organization.
- A copy of the company's Certificate of Registration to serve customers in Connecticut.
- The first two pages of the operating agreement mention the company's organization and intent. This can be found at the end of this section.

Name, Title, and Address of Managing Partners, Director, and Operations manager:

- John C. O'Connell- Managing Partner 9 Greenwich Office Park-2nd, Greenwich, CT 06831
- Stephen M. Banker- Managing Partner 9 Greenwich Office Park-2nd, Greenwich, CT 06831
- Michael McCall- Minority Partner
- Catherine Cronin- Operations Manager 9 Greenwich Office Park-2nd, Greenwich, CT 06831
- Ryan C. J. Blair- Director 9 Greenwich Office Park-2nd, Greenwich, CT 06831

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF STATE
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS
401 NORTH STREET, ROOM 206
P.O. BOX 8722
HARRISBURG, PA 17105-8722
WWW.CORPORATIONS.STATE.PA.US/CORP

Stanwich Energy Advisors, LLC

THE BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS IS HAPPY TO SEND YOU YOUR FILED DOCUMENT. THE BUREAU IS HERE TO SERVE YOU AND WANTS TO THANK YOU FOR DOING BUSINESS IN PENNSYLVANIA.

IF YOU HAVE ANY QUESTIONS PERTAINING TO THE BUREAU, PLEASE VISIT OUR WEB SITE LOCATED AT WWW.CORPORATIONS.STATE.PA.US/CORP OR PLEASE CALL OUR MAIN INFORMATION TELEPHONE NUMBER (717)787-1057. FOR ADDITIONAL INFORMATION REGARDING BUSINESS AND / OR UCC FILINGS, PLEASE VISIT OUR ONLINE "SEARCHABLE DATABASE" LOCATED ON OUR WEB SITE.

ENTITY NUMBER: 4273273

Stanwich Energy Advisors, LLC
777 West Putnam Ave.-1st. Fl.
Greenwich, CT 06830

ARTICLES OF ORGANIZATION

DOMESTIC LIMITED LIABILITY COMPANY

Office of the Secretary of the State
30 Trinity Street / P.O. Box 150470 / Hartford, CT 06115-0470 / Rev. 11 / 06/2001

See reverse FILING #0003748570 PG 01 OF 01 VOL B-01191
Space F1 FILED 08/05/2008 01:30 PM PAGE 00791
SECRETARY OF THE STATE
CONNECTICUT SECRETARY OF THE STATE

1. NAME OF THE LIMITED LIABILITY COMPANY:
Starwich Energy Advisors LLC

2. NATURE OF BUSINESS TO BE TRANSACTED OR THE PURPOSES TO BE PROMOTED OR CARRIED OUT:
Energy advisory services

3. PRINCIPAL OFFICE ADDRESS: (Provide complete address. See instructions for further details.)
777 West Putnam Avenue, Greenwich, Connecticut 06830

4. APPOINTMENT OF STATUTORY AGENT FOR SERVICE OF PROCESS:

Name of agent: National Registered Agents, Inc.	Business address: (P.O. Box is not acceptable) 12 Old Boston Post Road, Old Saybrook, CT 06475
	Residence address: (P.O. Box is not acceptable) 12 Old Boston Post Road, Old Saybrook, CT 06475

Acceptance of appointment

by: **Jacob Varghese, Assistant Secretary**

5. MANAGEMENT:
(Place a check mark next to the following statement only if it applies)
The management of the limited liability company shall be vested in one or more managers.

6. MANAGER(S) OR MEMBER(S) INFORMATION

Name	Title	Residence Address	Business Address
John C. O'Connell	Member	777 West Putnam Avenue, Greenwich, Connecticut 06830	777 West Putnam Avenue, Greenwich, Connecticut 06830
Stephen M. Banker	Member	777 West Putnam Avenue, Greenwich, Connecticut 06830	777 West Putnam Avenue, Greenwich, Connecticut 06830

7. EXECUTION:
Dated this 3rd day of August, 2008

LegalZoom.com, Inc., Organizer. By: Eileen Gallo, Assistant Secretary	
Print or type name of organizer	Signature

Reference an 8 x 11 attachment if additional space is required.

STATE OF CONNECTICUT }
OFFICE OF THE SECRETARY OF THE STATE } SS. HARTFORD

I hereby certify that this is a true copy of record
in this Office

In Testimony whereof, I have hereunto set my hand,
and affixed the Seal of said State, at Hartford,
this 5th day of September A.D. 2008

Susan Bijuwicz
SECRETARY OF THE STATE



STATE OF CONNECTICUT

DEPARTMENT OF PUBLIC UTILITY CONTROL
TEN FRANKLIN SQUARE
NEW BRITAIN, CT 06051

**DOCKET NO. 08-09-05 APPLICATION OF STANWICH ENERGY ADVISORS, LLC
FOR A CERTIFICATE OF REGISTRATION AS AN
ELECTRIC AGGREGATOR**

October 15, 2008

By the following Commissioners:

Donald W. Downes
Anthony Palermino
John W. Betkoski

DECISION

I. INTRODUCTION

A. SUMMARY

In this Decision, the Department of Public Utility Control grants Stanwich Energy Advisors, LLC an Electric Aggregator Certificate of Registration to serve commercial, industrial, municipal and governmental customers in Connecticut.

B. BACKGROUND OF THE PROCEEDING

By application filed September 9, 2008 (Application) pursuant to § 16-245(l) of the General Statutes of Connecticut (Conn. Gen. Stat.), Stanwich Energy Advisors, LLC (Stanwich or Company) applied to the Department of Public Utility Control (Department) for a registration to operate as an electric aggregator within Connecticut.

C. CONDUCT OF THE PROCEEDING

No person requested a hearing. The Department determined that a hearing was not necessary in this matter; and thus, none was held. Conn. Gen. Stat. § 16-245(l) (4).

D. PARTICIPANTS TO THE PROCEEDING

The Department recognized Stanwich Energy Advisors, LLC, 777 West Putnam Avenue, Greenwich, CT 06830; and the Office of Consumer Counsel (OCC), Ten Franklin Square, New Britain, Connecticut 06051, as participants to this proceeding.

II. DEPARTMENT ANALYSIS

Stanwich proposes to serve commercial, industrial, municipal and governmental customers throughout Connecticut. The Company's headquarters is 777 West Putnam Avenue, Greenwich, CT 06830. The Company's main telephone number is 914-689-5056. Stanwich is a limited liability company formed on August 5, 2008 in the state of Connecticut. Application, A-6. Its contact person for regulatory matters is John O'Connell. Application, A-3.

Pursuant to Conn. Gen. Stat. § 16-245(l)(e)(3), the Company disclosed that Stanwich is not currently under investigation or has ever been fined, sanctioned or otherwise penalized in any state for violation of any consumer protection law or regulation. Further, Stanwich also affirmed that it will comply with the National Labor Relations Act and the Connecticut Unfair Trade Practices Act and applicable regulations.

III. CONCLUSION AND ORDERS**A. CONCLUSION**

After reviewing the Application, the Department grants Stanwich a Certificate of Registration to provide electric aggregation services to commercial, industrial, municipal and governmental customers in the state. Stanwich is reminded that it is under a continuing obligation to notify the Department of any changes to the information provided in the Application.

B. ORDERS

1. Stanwich shall maintain its customer complaint records to indicate: (1) the date of the complaint; (2) the name and address of the complainant; (3) the address or location of the complaint; (4) a description of the complaint; and (5) a description of the resolution of the complaint.
2. No later than November 15, 2008, Stanwich shall provide the Department a sample copy of the notice to be issued to aggregated customers as required pursuant to §16-245-3(d) of the Regulations of Connecticut State Agencies.

DOCKET NO. 08-09-05 APPLICATION OF STANWICH ENERGY ADVISORS, LLC
FOR A CERTIFICATE OF REGISTRATION AS AN
ELECTRIC AGGREGATOR

This Decision is adopted by the following Commissioners:

Donald W. Downes

Anthony Palermino

John W. Betkoski

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CERTIFICATE OF SERVICE

The foregoing is a true and correct copy of the Decision issued by the Department of Public Utility Control, State of Connecticut, and was forwarded by Certified Mail to all parties of record in this proceeding on the date indicated.



Nicholas E. Neeley
Acting Executive Secretary
Department of Public Utility Control

Oct. 17, 2008

Date

OPERATING AGREEMENT
OF
STANWICH ENERGY ADVISORS LLC

AGREEMENT made as of the 5th day of August, 2008, by and between **STEPHEN M. BANKER** ("**Banker**"), an individual having an address at 777 West Putnam Ave., Greenwich, CT 06830 and **JOHN C. O'CONNELL** ("**O'Connell**"), an individual with an address at 777 West Putnam Ave., Greenwich, CT 06830 (each of the foregoing is hereinafter referred to individually as a "**Member**" or collectively as the "**Members**".)

WITNESSETH:

WHEREAS, the Members desire to form, pursuant to the Law (as hereinafter defined), a Connecticut limited liability company styled Stanwich Energy Advisors LLC (hereinafter, the "**Company**"), and desire to enter into this Agreement to set forth the terms and conditions pursuant to which the Company shall conduct its business.

NOW, THEREFORE, in consideration of the mutual covenants herein contained, the parties agree to the following intending to be legally bound:

ARTICLE 1
MEMBERS, TERM, NAME, PURPOSE
AND PLACE OF BUSINESS

1.1 Formation of the Company. The parties hereby agree to form a limited liability company, styled Stanwich Energy Advisors LLC under the Connecticut Limited Liability Company Law, as such Law may from time to time be amended (the "**Law**"). In furtherance of the foregoing, the Members caused Articles of Organization for the Company to be filed with the Connecticut Secretary of State's office on or about August 5, 2008.

1.2 Name. The name of the Company is Stanwich Energy Advisors LLC. The business of the Company shall be conducted solely under such name and title to all assets of the Company shall be held in such name.

1.3 Purposes of the Company.

(a) To provide energy consulting services to businesses and property owners. The Company's rights to any property rights, including, but not limited to, bank accounts and intellectual property rights hereinafter called the "Property".

(b) To sell, exchange or otherwise transfer or dispose of the Property, or any part thereof or interest therein pursuant to and in accordance with this Agreement; and

(c) To engage in such other activities as may be permitted by law in connection with the acquisition, ownership, operation or disposition of the Property pursuant to and in accordance with this Agreement.

1.4 Company Filings. The Members shall execute and file all documents required by the Law to be filed in connection with the continuation of the Company and to preserve and maintain the limited liability of its Members.

1.5 Place of Business. The principal place of business of the Company shall be 777 West Putnam Ave., Greenwich, Connecticut 06830 or at such other location within the state of Connecticut as may be selected by the Managers (hereinafter defined) from time to time.

1.6 Term. The Company shall continue until dissolved and liquidated pursuant to the provisions of Article 7 hereof.

ARTICLE 2

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3. AFFILIATES AND PREDECESSORS

a. **AFFILIATES:**

- Stanwich Energy Advisors does not have any affiliates/is not affiliated with any other company.

b. **PREDECESSORS:**

- Stanwich Energy Advisors maintains its original partners and does not have any predecessors.

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4. OPERATIONS

a. APPLICANT'S PRESENT OPERATIONS:

- The Company is not presently doing business in Pennsylvania

b. APPLICANT'S PROPOSED OPERATIONS:

- Stanwich will be operating as a Broker/Energy agent and will not be taking title to the power purchased.

c. PROPOSED SERVICES:

- Stanwich Energy Advisors plans to work closely commercial, municipal, and industrial business owners in Pennsylvania acting as their advisor / broker and represent their interests when looking for an energy provider that will help meet the goals of their organization. Once a supplier is awarded a contract, Stanwich will assist the customer in projecting future energy expenses based on the rate secured, and provide ongoing service and support throughout the contract term.
- Stanwich Energy Advisors will work with numerous ESCO's on a daily basis. We intend to provide our client with the most competitive prices the market will allow at the time. Acting as our clients advocate, we will assist our customer in identifying the appropriate product, term length, and contract terms and conditions once we have determined our client's specific needs. A few points we consider are current market conditions and our clients future plans for the building, occupancy levels, their risk tolerance, views on Green REC's-renewable energy credits and demand response programs- load curtailment (if available), etc. Ultimately, the client will select the electric supplier that will be awarded their business.
- The supplier, not the customer, will pay our company. We will receive payment once both parties have reached an agreement and a contract has been executed. Stanwich will be paid by the supplier based on the annual usage of the customer and the term they agree to. There will be no requirements or obligations for our clients. There are no membership costs.
- Stanwich Energy Advisors plans to offer clients with quarterly (if a larger company or requested) and annual savings / loss and budget reports that illustrate the customer's usage pattern, supply cost per kWh, and delivery cost per kWh.

4. OPERATIONS (continued)

- Our company keeps our clients informed on market conditions throughout the year by providing them with monthly market update reports via email along with information regarding regulatory changes that may/will affect them.
- Stanwich will also provide ongoing customer support to ensure the customer is being billed properly, and we will be available to answer any additional questions or concerns the client may have during the term of their contract.
- Stanwich Energy Advisors has recently implemented an energy tracking system, StanWatch EnergyTrac.
- StanWatch- Our web-based platform can track the customer's utility expenses across their portfolio, calculate their carbon footprint, monitor and track consumption and demand, and generate various reports any time.
- Stanwich Energy Advisors provides these services to commercial, industrial, municipal, and government buildings in Connecticut, New Jersey, New York, Massachusetts, and Texas. Stanwich is seeking to obtain licensing and expand into the Pennsylvania, Ohio, and the Maryland market as well.

d. PROPOSED SERVICE AREA:

- Allegheny Power
- Citizens Electric
- Duquesne Light
- Met-Ed, Penelec, & Penn Power
- PIKE Countylight & Power Co.
- PECO
- PPL
- UGI
- Wellsboro Electric Company

e. CUSTOMERS:

- Large Commercial Customers (Over 25 kW)
- Industrial Customers
- Governmental Customers

4. OPERATIONS (continued)

f. PROPOSED MARKETING METHOD:

- Internal- “Applicant will use its own internal resources/employees for marketing”
- The following methods will be used to market The Company’s services:
 - 1) Website
 - 2) Referrals
 - 3) Networking events
 - 4) News periodicals – to meet application requirement only
 - 5) Promotional products: We email clients from time-to-time information regarding specific products our suppliers are offering that we find would interest or benefit them. We also send out monthly market updates to our clients and certain prospects.

g. DOOR TO DOOR SALES:

- Stanwich Energy Advisors will not be implementing door-to-door sales activities.

h. START DATE:

- Stanwich Energy Advisors proposes to begin services around October 1, 2016.

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5. COMPLIANCE

a. CRIMINAL/CIVIL PROCEEDINGS

- Stanwich Energy Advisors nor any person identified in the Application "has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years". The Company does not have any affiliates or predecessors; therefore, there is also nothing to report on such behalf.

b. SUMMARY

- Not applicable.

c. CUSTOMER/ REGULATORY/ PROSECUTORY ACTIONS:

- Stanwich Energy has no "formal or escalated actions or complaints filed with or by a customer, regulatory agency or prosecutor agency" to report on behalf of the company or its employees since the business was open in August 2008.

d. SUMMARY

- Not applicable.

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8. TECHNICAL FITNESS

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a. EXPERIENCE, PLAN, STRUCTURE:

Company Structure:

- John O'Connell and Stephen Banker own The Company.
- Including John and Steve, there are 8 members/employees at Stanwich Energy Advisors.
- Stanwich Energy Advisors employs five staff members that are involved in energy related activities. Three employees focus strictly on operations and support. The Company is looking to grow and hire additional staff members for both account executive, and operation positions.

Licenses as an Energy Broker/Energy Agent:

- Stanwich Energy is licensed in the following States as an Energy Broker/Energy Agent. A copy of each license has been provided.
- Connecticut - Commercial, Industrial, Municipal, Governmental
- New Jersey - Commercial, Industrial, Municipal, Governmental
- Massachusetts- Commercial, Industrial, Municipal, Governmental

Company Services/PA Business Plans:

- A detailed list of Stanwich services can be found by referring to section "4. OPERATIONS" part "c. PROPOSED SERVICES"
- Description of services that Stanwich Energy Advisor has provided since the company was formed and plans to offer in Pennsylvania:
 - 1) Provide comprehensive descriptions of the energy supply market.
 - 2) Furnish a historical cost analysis illustrating energy consumption patterns.
 - 3) Identify the appropriate product and term.
 - 4) Establish a clear path toward renewable energy sources and assist with the transition.
 - 5) Facilitate the process of price discovery- negotiating with reputable ESCO's.
 - 6) Locate the optimal utility provider based on the client's specific needs.
 - 7) Demand response programs- load curtailment (if available).
 - 8) Energy budgeting- Stanwich offers budget and savings/loss analyses to its clients to assist them in forecasting supply costs and delivery costs based on occupancy levels and time of the year as well as projected usage.

8. TECHNICAL FITNESS (Continued)

Customers:

- Stanwich Energy Advisors is licensed to provide its services to commercial, industrial, municipal, and government buildings in Connecticut, New Jersey, and Massachusetts. The Company also does business in New York and Texas where licensing is not required. Stanwich is seeking to obtain licensing and expand into the Pennsylvania, Ohio, and the Maryland market as well.

Membership in PJM, ECAR, MAAC:

- Not applicable. Stanwich will not be taking title to the power purchase.

b. OFFICERS:

- John C. O'Connell
Managing Partner
9 Greenwich Office Park
2nd Floor
Greenwich, CT 06831
Phone: 203-532-5138
Fax: 203-485-0512
Email: joconnell@stanwichenergy.com
Please see the attached resume
- Stephen M. Banker
Managing Partner
9 Greenwich Office Park
2nd Floor
Phone: 203-409-5011
Fax: 203-485-0512
Email: sbanker@stanwichenergy.com
Please see the attached biography

c. FERC FILING:

- Not applicable.



Chris Christie
Governor

Kim Guadagno
Lt. Governor

STATE OF NEW JERSEY
Board of Public Utilities
44 South Clinton Avenue, 3rd Floor, Suite 314
Post Office Box 350
Trenton, New Jersey 08625-0350
www.nj.gov/bpu/

Irene Kim Asbury
Secretary of the Board
(609) 292-1599

April 27, 2016

Cait Cronin
Operations Manager
Stanwich Energy Advisors, LLC
9 Greenwich Office Park, 2nd Floor
Greenwich, CT 06831

Re: **Energy Agent Renewal Registration**
Dkt. Nos. EE16010083L

Dear Ms. Cronin:

In accordance with the Electric Discount and Energy Competition Act of 1999, N.J.S.A. 48:3-49 et seq., at its April 27, 2016 Agenda meeting, the New Jersey Board of Public Utilities renewed the **REGISTRATION** as an Energy Agent, to Stanwich Energy Advisors, LLC. Your registration number is EA-0263.

The enclosed registration is effective April 27, 2016 and expires on April 26, 2017. This registration and the rights thereunder are **Non-Transferable**.

This letter is not an endorsement of, nor is it intended for use in, the marketing promotions of the registrant. Registrants shall comply with all applicable law, including the Electric Discount and Energy Competition Act, which prohibits the unauthorized change of a customer's energy provider and other fraudulent and illegal marketing activities.

If you have any questions, please contact Valencia Hunt at (609) 292-0637.

Sincerely,

A handwritten signature in black ink that reads "Irene Kim Asbury".

Irene Kim Asbury
Secretary of the Board

IKA/vch
Encl.(s)

State of New Jersey



Board of Public Utilities

44 South Clinton Avenue, 3rd Floor, Suite 314, P.O. Box 350, Trenton, New Jersey 08625-0350

HEREBY REGISTERS

Stanwich Energy Advisors, LLC

9 Greenwich Office Park

2nd Floor

Greenwich, Connecticut 06831

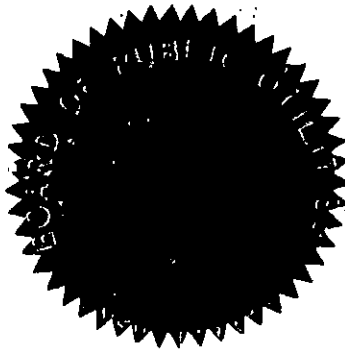
To conduct business in the State of New Jersey as an

Energy Agent

Irene Kim Asbury

Irene Kim Asbury
Secretary of the Board

Registration No. EA-0263
Effective Date: April 27, 2016
Expiration Date: April 26, 2017





THE COMMONWEALTH OF MASSACHUSETTS
DEPARTMENT OF PUBLIC UTILITIES

CHARLES D. BAKER
GOVERNOR

KARYN E. POLITO
LIEUTENANT GOVERNOR

MATTHEW A. BEATON
SECRETARY OF ENERGY
AND ENVIRONMENTAL AFFAIRS

ONE SOUTH STATION
BOSTON, MA 02110
(617) 305-3500

ANGELA M. O'CONNOR
CHAIRMAN

JOLETTE A. WESTBROOK
COMMISSIONER

ROBERT E. HAYDEN
COMMISSIONER

March 18, 2016

John O'Connell, Managing Partner
Stanwich Energy Advisors, LLC
9 Greenwich Office Park, 2nd Floor
Greenwich, CT 06831

Dear Mr. O'Connell,

The Department of Public Utilities ("Department") has reviewed your application for renewal of your **Electricity Broker License, EB-272**, and is pleased to inform you that your application for renewal has been approved.

As a condition of maintaining this license, you must file updated information within 30 days of any material or organic change in the information required by 220 C.M.R. § 11.05(2), and you must comply with all relevant requirements of G.L. c. 164 and the regulations promulgated thereunder, including 220 C.M.R. §§ 11.00, 12.00 *et seq.* Consistent with the information included with Stanwich Energy Advisors, LLC's application, the activities the company is licensed to provide are limited to electricity broker services to commercial and industrial customers. If, at a later date, the Company seeks to provide electricity broker services to residential customers, it must first seek and obtain Department approval at the time of renewal of its license. If you decide to request renewal of your license next year, please submit renewal application no later than May 1, 2017. The renewal application is available at: www.mass.gov/dpu/suppliersandbrokers.


Mark D. Marini, Secretary



THE COMMONWEALTH OF MASSACHUSETTS
DEPARTMENT OF PUBLIC UTILITIES

CHARLES D. BAKER
GOVERNOR

KARYN E. POLITO
LIEUTENANT GOVERNOR

MATTHEW A. BEATON
SECRETARY OF ENERGY
AND ENVIRONMENTAL AFFAIRS

ONE SOUTH STATION
BOSTON, MA 02110
(617) 305-3500

ANGELA M. O'CONNOR
CHAIRMAN

JOLETTE A. WESTBROOK
COMMISSIONER

ROBERT E. HAYDEN
COMMISSIONER

March 15, 2016

John O'Connell
Stanwich Energy Advisors
9 Greenwich Office Park – 2nd floor
Greenwich, CT 06831

Mr. O'Connell,

The Department of Public Utilities has reviewed Stanwich Energy Advisors, LLC's ("Stanwich") application for renewal of its Gas Retail Agent license to serve commercial and industrial customers in the Commonwealth of Massachusetts. I am pleased to inform you that the application has been approved. Stanwich's license number is **RA-109**.

As a condition of maintaining its license, Stanwich must file updated information within 30 days of any material in the information required by 220 C.M.R. § 14.04(2), and must comply with all relevant requirements of G.L. c. 164 and the regulations thereunder, including 220 C.M.R. §§ 12.00, 14.00 et seq. If you decide to request renewal of Stanwich's license next year, please submit a renewal application that includes audited financial statements, at least 30 days prior to the expiration of this license.

Sincerely,

A handwritten signature in black ink that reads "Mark D. Marini".

Mark D. Marini, Secretary

JOHN C. O'CONNELL

Innovative and results-driven leader focused on achieving success in the highly competitive deregulated energy industry that demands continuous improvement. Experienced in driving product, process, and customer service improvements while building partnerships with key energy supply companies. Focus on building long lasting relationships with customers with a 96% client retention rate since the company started.

PROFESSIONAL EXPERIENCE

8/2008-Present

STANWICH ENERGY ADVISORS
Managing Principal

Greenwich, CT

- Specialize in the representation of both tenants and owners throughout Connecticut, New York, New Jersey, and Massachusetts
- Handle competitive energy procurement bids for large energy consumers via RFP
- Planned, developed, and led complex energy management projects from inception through completion
- Launched StanWATCH – online energy data tracking and reporting system to assist clients manage and monitor all utility related expenses
- Develop and nurture proactive working relationships with chief executive officers, asset managers, investors, and other personnel critical to company growth, expansion, and profitability
- Oversee and review all monthly, quarterly, and annual energy data, and market reports
- Orchestrated the largest Green-E certified RECs purchase in the state of CT for large corporate client

6/2005-4/2008

TRADITION ENERGY
Account Executive

Stamford, CT

- Responsible for developing new business and relationships with various business owners
- Reported directly to the Managing Director
- Managed numerous accounts and customer expectations simultaneously
- Successfully orchestrated presentations to many of the largest real estate owners and managers and manufacturing facilities in New York and Connecticut
- Expanded client base through recommendations and referrals
- Independently developed unique strategies focusing on obtaining new business; worked closely with the VP of Sales, developers, company representatives and various agents daily
- Interviewed potential candidates for open positions
- Trained new hires on the energy markets and all facets of deregulation
- Active member in Southern Connecticut BOMA and Stamford Chamber of Commerce groups to network and establish new relationships, as well as maintain current relationships

6/2004-5/2005

BARISH FUND, LLC
Junior Analyst

New York, NY

- Analyzed, evaluated and participated in transactions for a Barish funded investment firm focusing on acquisitions, corporate divestitures, and management buyouts
- Evaluated risks and competition, analyzed industries and markets of potential acquisitions
- Helped develop strategies for making each individual acquisition more profitable through marketing initiatives including assisting in the redevelopment of the overall and marketing aspects of business models, analyzing human resources, key management, and target markets, and determining hidden costs and liabilities

EDUCATION

IONA College 2000 - 2004
Hagan School of Business (AACSB Accredited)
Bachelor of Business Administration – Marketing

New Rochelle, NY

- Active member of the IONA Marketing Club.
- GPA of 3.7

ASSOCIATIONS

NAIOP (National Association of Industrial and Office Properties)

CT & NY

- Developing Leader
- Member of Programs Committee

BOMA (Building Owners & Managers Association)

CT & NY

- Active member & participant

TECHNICAL SKILLS

Expert-level proficiency in Microsoft Office Suite, Outlook, Photoshop.

STEPHEN M. BANKER

Managing Partner



Stanwich Energy Advisors
777 West Putnam Avenue
Greenwich, CT 06830
sbanker@stanwichenergy.com
T 203.531.3608

Years of Experience

33 Years

Areas of Specialization

- Commercial Real Estate
- Electricity
- Natural Gas
- Green Power

Professional Background

Stephen M. Banker is a Managing Partner of Stanwich Energy Advisors. Mr. Banker oversees daily operations of the company and has been instrumental in helping the company grow. He also serves as the Executive Managing Director of Newmark Grubb Knight Frank to oversee the property management and operations for the New York and Connecticut region. He possesses extensive energy management experience both as agent and owner. From 1978 to 1985, Mr. Banker was employed by Gronich & Co. (now Grubb & Ellis), where he was vice president. During his seven years at Gronich, he handled consulting assignments for AT&T Information Systems, General Electric, Minolta, Ricoh, Sun Oil and General Dynamics.

In 1985, Mr. Banker joined Banker & Banker Realty, Inc. in Greenwich, Connecticut. This fourth-generation real estate firm has commercial and residential interests in New York, New Jersey and Connecticut. In addition to his management responsibilities, Mr. Banker developed several retail and residential projects in Connecticut and Westchester County. He retains his ownership interests, while participating as a full member of the Stanwich Energy and Newmark team.

Since 2008, Mr. Banker has been fortunate to represent many of the leading hedge funds and financial service companies in the New York and Connecticut markets. He has concluded multiple energy contracts for over 75 customers. Year in and year out, he has had a hand in many important energy consumer supply side power transactions.

Mr. Banker is a member of the Young Men's/Women's Real Estate Association as well as The Real Estate Board of New York and sits on the Board of Directors for the Real Estate Finance Association.

Education

Mr. Banker received a Bachelor of Arts degree from the College of William & Mary.

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SECRETARY'S BUREAU

9. DISCLOSURE STATEMENT

a. DISCLOSURE STATEMENT:

- Stanwich Energy Advisors does not propose to offer its service and/or work with Residential or Small Commercial (under 25 kW) customers.

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SECRETARY'S BUREAU

10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

a. PJM LOAD SERVING ENTITY REQUIREMNT:

- The PJM load serving entity is not required. Stanwich Energy is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information.

b. STANDARDS OF CONDUCT AND DISCLOSURE:

- Stanwich Energy Advisors agrees to comply with the Standards of Conduct and Disclosure regulations that are related to energy broker/energy agents set forth by the Commission regulations at 52 Pa. Code § 54.43.

c. REPORTING REQUIREMNTS:

- Stanwich Energy Advisors agrees to offer all reports required and requested from them by the Commission or Department of Revenue that can be provided as a broker.

d. TRANSFER OF LICENSE:

- Stanwich Energy Advisors understands and agrees "that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license".

e. ASSESSMENT:

- Stanwich Energy acknowledges, "The Commission does not presently assess Electric Generation Suppliers fro the purposes of recover of regulatory expenses".

f. FURTHER DEVELOPMENTS:

- Stanwich Energy Advisors agrees that is "under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing" as mentioned in 52 Pa. Code § 54.34.

g. FALSIFICATION:

- Stanwich Energy Advisors understands and agrees that "making false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application".

10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS (continued)

h. NOTIFICATION OF CHANGE:

- Stanwich agrees to notify the Commission of any changes made to questions in this application or other similar information during the application process and throughout the time of operation in Pennsylvania within (20) days as stated in 52 Pa. Code § 54.34.

i. CEASING OF OPERATIONS:

- Stanwich Energy Advisors agrees and understands that it is “required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations”.

j. ELECTRONIC DATA INTERCHANGE:

- Stanwich Energy “acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC” and will provide all information required/needed that pertains to brokers.

k. FEE:

- A check has been enclosed for \$350 payable to the Commonwealth of Pennsylvania for the required initial licensing fee.

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SECRETARY'S BUREAU

11. AFFIDAVITS

a. APPLICATION AFFIDAVIT:

- Please see the attached notarized Application Affidavit.

b. OPERATIONS AFFIDAVIT:

- Please see the attached notarized Operations Affidavit.

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SECRETARY'S BUREAU

APPLICATION AFFIDAVIT

[Commonwealth/State] of **Connecticut** :

:ss.

County of Fairfield:

John C. O'Connell, Affiant, and **Stephen M. Banker**, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the Managing Partner(s) (Office of Affiant) of Stanwich Energy Advisors, LLC (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]


That the Applicant herein Connecticut has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

That the Applicant herein Connecticut, has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

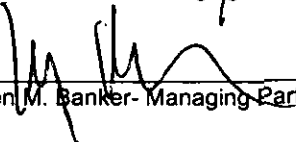
That the Applicant herein Connecticut, acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein Connecticut, acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

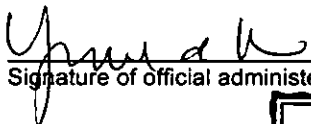


John C. O'Connell- Managing Partner



Stephen M. Banker- Managing Partner

Sworn and subscribed before me this 6 day of June 2016.



Signature of official administering oath

My commission expires

Yvonne A Flynn
Notary Public-Connecticut
My Commission Expires
August 31, 2017

RECEIVED

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

OPERATIONS AFFIDAVIT

[Commonwealth/State] of Connecticut :

:ss.

County of Fairfield:

John C. O'Connell, Affiant, and **Stephen M. Banker**, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the Managing Partner(s) (Office of Affiant) of Stanwich Energy Advisors, LLC.
(Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That Stanwich Energy, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That Stanwich Energy, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Stanwich Energy, the Applicant herein, certifies to the Commission that it is subject to, will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

That Stanwich Energy, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

OPERATIONS AFFIDAVIT (Continued)

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.

John C. O'Connell

John C. O'Connell- Managing Partner

Stephen M. Banker

Stephen M. Banker- Managing Partner

Sworn and subscribed before me this 6 day of June 2016.

Yvonne A Flynn

Signature of official administering oath

My commission expires

Yvonne A Flynn
Notary Public-Connecticut
My Commission Expires
August 31, 2017

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SECRETARY'S BUREAU

12. NEWSPAPER PUBLICATIONS

a. Proof of publication in each of the following newspapers marked below can be found Attached to the end of this section.

	<u>Duquesne</u>	<u>Met Ed</u>	<u>PECO</u>	<u>Penelec</u>	<u>Penn Power</u>	<u>PPL</u>	<u>UGI</u>	<u>West Penn</u>	<u>Entire Commonwealth</u>
Philadelphia Daily News		X	X			X			X
Harrisburg Patriot-News		X		X		X		X	X
Scranton Times Tribune		X		X		X	X		X
Williamsport Sun Gazette				X		X		X	X
Johnstown Tribune Democrat				X				X	X
Erie Times-News				X	X				X
Pittsburgh Post-Gazette	X				X			X	X

- Philadelphia Daily News: Certificate of Publication and a photostatic copy - attached
- Harrisburg Patriot-News: Certificate of Publication and a photostatic copy - attached.
- Scranton Times Tribune: Certificate of Publication and a photostatic copy - attached.
- Williamsport Sun Gazette: Certificate of Publication and a photostatic copy - attached.
- Johnstown Tribune Democrat: Certificate of Publication and a photostatic copy - attached.
- Erie Times-News: Certificate of Publication and a photostatic copy - attached.
- Pittsburgh Post-Gazette: Certificate of Publication and a photostatic copy - attached.

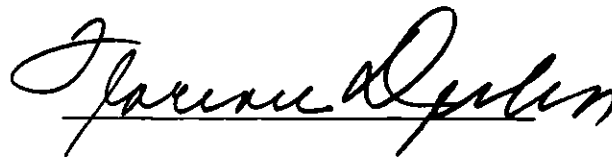
Proof of Publication in The Philadelphia Daily News
Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA
COUNTY OF PHILADELPHIA

Florence Devlin being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

July 13, 2015

Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

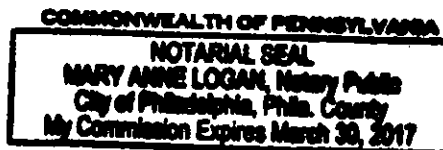


Sworn to and subscribed before me this 13th day of
July, 2015.



Notary Public

My Commission Expires:



Copy of Notice of Publication

**PENNSYLVANIA PUBLIC UTILITY COMMISSION
NOTICE**
Application of Stanwich Energy Advisors, LLC.
For Approval To Offer, Render, Furnish Or Elec-
tric Generation Services A Marketer/Broker En-
gaged In The Business Of Supplying Electricity
To The Public In The Commonwealth Of Penn-
sylvania.
Stanwich Energy Advisors will be filing an ap-
plication with the Pennsylvania Public Utility
Commission ("PUC") for a license to supply
electricity or electric generation services as a
broker/marketer engaged in the business of
supplying electricity. Stanwich Energy Advi-
sors propose to offer electricity related ser-
vices throughout all of Pennsylvania under the
provisions of the new Electricity Generation
Customer Choice and Competition Act.
The PUC may consider this application without
a hearing. Protests directed to the technical or
financial fitness of Stanwich Energy Advisors
may be filed within 15 days of the date of this
notice with the Secretary of the PUC, P.O. Box
3265, Harrisburg, PA 17105-3265. You should
send copies of any protest to Stanwich Energy
Advisors attorney at the address listed below.
By and through Counsel: Jeffrey L. Urban
220 Mason Street
Greenwich, CT 06830
Phone: (203) 661-8000
Fax: (203) 661-8008

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PA PUBLIC UTILITY COMMISSION
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PHILADELPHIA MEDIA NETWORK

The Inquirer **DAILY NEWS** philly.com

801 MARKET STREET, SUITE 300, PHILADELPHIA, PA 19107

Payment Receipt

Thursday, July 09, 2015

Transaction Type: Payment

Order Number: 0003216460

Payment Method: Credit Card

Bad Debt: -

Credit Card Number: XXXXXXXXXXXX1611

Credit Card Expire Date: 10/28/2017

Payment Amount: 842.20

Reference Number: 03026G

Effective Date: 7/9/2015

Category: Classified

Credit to Transaction Number: P1042623

Invoice Text:

Invoice Notes:

Customer Type: Transient

Customer Category:

Customer Status: Active

Customer Group: No Customer Group

Customer Trade:

Account Number: 600261404

Phone Number: 2038619000

Company / Individual: **Company**

Customer Name: STANWICH ENERGY ADVISORS

Customer Address: 680 Washington Blvd, 8th flr
Attn: Cait Cronin

Stamford CT 06901 USA

Check Number:

Routing Number:

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

The Patriot-News Co.
2020 Technology Pkwy
Suite 300
Mechanicsburg, PA 17050
Inquiries - 717-255-8213

The Patriot-News
Now you know

RECEIVED

STANWICH ENERGY ADVISORS, LLC
~~230 MASON STREET~~

JUN 14 2016

GREENWICH

CT 06830

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

THE PATRIOT NEWS
THE SUNDAY PATRIOT NEWS

Proof of Publication

Under Act No. 587, Approved May 16, 1929
Commonwealth of Pennsylvania, County of Dauphin} ss

Amy Kotula, being duly sworn according to law, deposes and says:

That she is a Staff Accountant of The Patriot News Co., a corporation organized and existing under the laws of the Commonwealth of Pennsylvania, with its principal office and place of business at 2020 Technology Pkwy, Suite 300, in the Township of Hampden, County of Cumberland, State of Pennsylvania, owner and publisher of The Patriot-News and The Sunday Patriot-News newspapers of general circulation, printed and published at 1900 Patriot Drive, in the City, County and State aforesaid; that The Patriot-News and The Sunday Patriot-News were established March 4th, 1854, and September 18th, 1949, respectively, and all have been continuously published ever since;

That the printed notice or publication which is securely attached hereto is exactly as printed and published in their regular daily and/or Sunday/ Community Weekly editions which appeared on the date(s) indicated below. That neither she nor said Company is interested in the subject matter of said printed notice or advertising, and that all of the allegations of this statement as to the time, place and character of publication are true; and

That she has personal knowledge of the facts aforesaid and is duly authorized and empowered to verify this statement on behalf of The Patriot-News Co. aforesaid by virtue and pursuant to a resolution unanimously passed and adopted severally by the stockholders and board of directors of the said Company and subsequently duly recorded in the office for the Recording of Deeds in and for said County of Dauphin in Miscellaneous Book "M", Volume 14, Page 317.

PUBLICATION COPY

This ad # 0002336869 ran on the dates shown below:

May 28, 2015

Amy Kotula

Sworn to and subscribed before me this 01 day of July, 2015 A.D.

Sheryl Marie Leggore
Notary Public

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Sheryl Marie Leggore, Notary Public
Hampden Twp., Cumberland County
My Commission Expires July 16, 2018
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

Ad Preview

**PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE**

Application of Stanwich Energy
Advisors, LLC. For Approval To Offer,
Render, Furnish Or Electric
Generation Services A
Marketer/Broker Engaged In The
Business Of Supplying Electricity, To
The Public In The Commonwealth Of
Pennsylvania.

Stanwich Energy Advisors will be
filing an application with the
Pennsylvania Public Utility
Commission ("PUC") for a license to
supply electricity or electric generation
services as a broker/marketer engaged
in the business of supplying electricity.
Stanwich Energy Advisors proposes to
offer electricity related services
throughout all of Pennsylvania under
the provisions of the new Electricity
Generation Customer Choice and
Competition Act.

The PUC may consider this
application without a hearing. Protests
directed to the technical or financial
fitness of Stanwich Energy Advisors
may be filed within 15 days of the date
of this notice with the Secretary of the
PUC, P.O. Box 3265, Harrisburg, PA
17105-3265. You should send copies of
any protest to Stanwich Energy
Advisors attorney at the address listed
below.

By and through Counsel:
Jeffrey L. Utman
230 Mason Street
Greenwich, CT 06830
Phone: (203) 861-9900
Fax: (203) 861-9905

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Product Information

Run Schedule Invoice Text

The Patriot-News::

PENNSYLVANIA PUBLIC UTILITY COMMISS PENNSYLVANIAPUBLICUTILITYCOMMISSIO

Inserts

Cost

Placement/Classification

Sort Text

800P - Main Legals

Run Dates

PennLive::

800P - Main Legals

PENNSYLVANIA PUBLIC UTILITY COMMISS PENNSYLVANIAPUBLICUTILITYCOMMISSIO

Inserts

Cost

The Patriot-News Co.
2020 Technology Pkwy
Suite 300
Mechanicsburg, PA 17050
Inquiries - 717-255-8213

The Patriot-News

Now you know

RECEIVED

STANWICH ENERGY ADVISORS, LLC
230 MASON STREET

JUN 14 2016

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

GREENWICH CT 06830

STATEMENT

ALL CHARGES ARE NET

ACCT #	NAME	AD ORDER #	DATE	EDITION	ADDTL. INFO.	TYPE OF CHARGE	AMOUNT
251015	STANWICH ENERGY ADVISORS, LLC	0002336869	05/28/15	REGULAR	Quote	BASIC AD CHARGE	\$189.87
251015	STANWICH ENERGY ADVISORS, LLC	0002336869	05/28/15	REGULAR	Quote	BOLD TEXT CHARGE	\$4.00

TOTAL:

\$193.87

PAID

**This is not an invoice. Please do not remit payment from this Statement.
An invoice will be generated at the end of the month. --Thank you.**

NOTE: This Statement replaces the Order Confirmation which we previously sent with Proofs of Publication

The Scranton Times (Under act P.L. 877 No 160. July 9,1976)
Commonwealth of Pennsylvania, County of Lackawanna

STANWICH ENERGY ADVISORS
CAIT CRONIN
680 WASHINGTON BLVD 8TH FL STAMFORD CT 06901

RECEIVED

JUN 14 2016

Account # 607547
Order # 81757015
Ad Price: 201.65

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

LEGAL NOTICE PENNSYLVANI

Gina Krushinski

Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

07/10/2015

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true Gina Krushinski

Sworn and subscribed to before me
this 10th day of July A.D., 2015

Joseph D. Strubeck
(Notary Public)

COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Joseph D. Strubeck, Notary Public
City of Scranton, Lackawanna County
My Commission Expires Aug. 19, 2017
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

LEGAL NOTICE
PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE
Application of Stanwich Energy Advisors, LLC. For Approval To Offer, Render, Furnish Or Electric Generation Services A Marketer/Broker Engaged In The Business Of Supplying Electricity, To The Public In The Commonwealth Of Pennsylvania.
Stanwich Energy Advisors will be filing an application with the Pennsylvania Public Utility Commission (PUC) for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. Stanwich Energy Advisors proposes to offer electricity related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act.
The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Stanwich Energy Advisors may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Stanwich Energy Advisors attorney at the address listed below.
By and through Counsel:
Jeffrey L. Ulman
230 Mason Street
Greenwich, CT 06830
Phone: (203) 881-9000
Fax: (203) 881-9005

Printed 07/09/15 11:12

THE SCRANTON TIMES

DBA THE TIMES TRIBUNE
PO BOX 3478
SCRANTON PA 18505-0478

PHONE: 570-348-9183
FAX: 570-348-9149

Advertising Payment Receipt

Account number:	607547	Credit Card #:	*****1611
Account name:	STANWICH ENERGY ADVISORS CAIT CRONIN 680 WASHINGTON BLVD 8TH F STAMFORD CT 06901	Approval Code:	08245G[86926962]
		Credit Holder Name:	
Phone number:	203-347-4612		
Payment number:	2486522		
Payment date:	07/09/15		
Amount:	201.65		
Payment description:	CREDIT CARD PAYMENT STANW		

Ad Number:	81757015	Class Code:	X1010
Ad Taker:	svent	Salesperson:	110ST
First Words:	LEGAL NOTICE PENNSYLVANI		

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JUN 14 2016

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

PROOF OF PUBLICATION OF NOTICE IN THE WILLIAMSPORT SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA
COUNTY OF LYCOMING

SS:

Bernard A. Oravec Publisher of the Sun-Gazette Company, publishers of the Williamsport, Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport Sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

July 11, 2015

PENNSYLVANIA
PUBLIC UTILITY
COMMISSION
NOTICE

Affiant further to verify the fo: of publication,

Application of Stanwich Energy Advisors, LLC. For Approval To Offer, Render, Furnish Or Electric Generation Services A Marketer/ Broker Engaged In The Business Of Supplying Electricity, To The Public In The Commonwealth Of Pennsylvania.

Stanwich Energy Advisors will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as a broker/ marketer engaged in the business of supplying electricity. Stanwich Energy Advisors proposes to offer electricity related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Stanwich Energy Advisors may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Stanwich Energy Advisors attorney at the address listed below.

By and through Counsel:
Jeffrey L. Uiman
230 Mason Street
Greenwich, CT 06830
Phone: (203) 861-9000
Fax: (203) 861-9005

officer daily authorized by the Sun-Gazette Company, publisher of the Williamsport Sun-Gazette, oath and also declares that affiant is not interested in the subject matter of the aforesaid notice and in the foregoing statement as to time, place and character of publication are true.

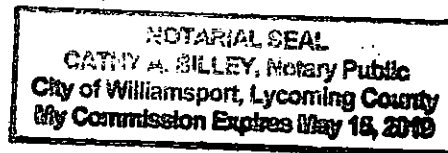
Bernard A. Oravec

SUN-GAZETTE COMPANY

Sworn to and subscribed before me

the *14th* day of *July* 2015

Cathy A. Billey
Notary Public



STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette Company, Dr.:	
For publishing the notice attached	
hereto on the above state dates.....	\$ 229.40
Probated same.....	\$
Total.....	\$ 229.40

MY RECEIPT FOR ADVERTISING COSTS

THE SUN-GAZETTE hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

SUN-GAZETTE COMPANY

BY Bernard A. Oravec

RECEIVED

JUN 14 2015

BUSINESS NAME: Sun Gazette Retail

Date: 07/09/2015

Street Address: 252 W Fourth Street

City, State: Zip: Williamsport, PA 17701

RECEIVED

JUN 14 2016

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

TRANSACTION TYPE:

Purchase

Transaction Details:

Card Type: Visa Keyed In

Response Message: Transaction status: Accepted

Card Number: *****1611

Approval Code: 01222G

Invoice Number: LZ0001

System Trace:

Authorization Time: 10:00:40

Surcharge:

Authorization Date: 07092015

Cash Back Amount:

Current Balance:

Transaction Amount: 229.40

Total Charge: 229.40

Signature: _____

COMMONWEALTH OF PENNSYLVANIA }
 County of Cambria } SS

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE
 Application of Stanwich Energy Advisors, LLC. For Approval To Offer, Render, Furnish Or Electric Generation Services A Marketer/Broker Engaged In The Business Of Supplying Electricity To The Public In The Commonwealth Of Pennsylvania.
 Stanwich Energy Advisors will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity.

all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act.
 The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Stanwich Energy Advisors may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Stanwich Energy Advisors attorney at the address listed below.
 By and through Counsel:
 Jeffrey L. Utman
 230 Mason Street
 Greenwich, CT 06830
 Phone: (203) 881-9000
 Fax: (203) 881-9005

publ that Stanwich Energy Advisors proposes to offer electricity related services throughout of The Johnstown Tribune-Democrat, Johnstown, PA, on July 13, 2015; and that the Affiant is not interested in the subject matter of said notice or advertising and that all of the allegations as to time, place and character of said publication are true.

On this 13th day of July A.D. 2015, before me, the subscriber, a Notary Public in and for said County and State, personally appeared Christine Marhefka, who being duly sworn according to law, deposes and says as Classified Advertising Manager of the Tribune-Democrat, Johnstown, PA, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8, 1952, of the Johnstown Tribune, established December 7, 1853; and of the Johnstown Democrat, established March 5, 1863,

County of Cambria, and Commonwealth of Pennsylvania and above matter published in said publication in the regular issues of The Johnstown Tribune-Democrat, Johnstown, PA, on July 13, 2015; and that the Affiant is not interested in the subject matter of said notice or advertising and that all of the allegations as to time, place and character of said publication are true.

Christine Marhefka

STATEMENT OF ADVERTISING COSTS

Sworn and Subscribed before me this 13th day of July, 2015.

Jeanie Ohs

54 Lines @	\$2.50 per line	135.00
0.00 Inches @	\$25.00 per inch	0.00
Notary Fee		5.00
Clerical Fee		2.50
Total Cost		142.50

COMMONWEALTH OF PENNSYLVANIA
 Notarial Seal
 Vivian Ohs, Notary Public
 City of Johnstown, Cambria County
 My Commission Expires Dec. 6, 2016
 MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

To The Tribune-Democrat, Johnstown, PA
 For publishing the notice or publication attached hereto on the above stated dates.

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

_____ for publisher of _____
 a newspaper of general circulation, hereby acknowledges receipt of the aforesaid and publication costs and certifies that the same has been duly paid.

 (Name of Newspaper)

By _____

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 SECRETARY'S BUREAU

Advertising Receipt

The Tribune-Democrat

PO Box 340
Johnstown, PA 15907-0340

Phone: 814-532-5038

Fax: 814-532-5104

Stanwich
Cait Cronin
680 Washington Blvd 8th Floor
STAMFORD, CT 06901

Acct #: 11127309
Ad #: 00211971
Phone: (203)347-4612
Date: 05/22/2015

Ad taker: SR **Salesperson:**

Sort Line: PENNSYLVANIA PUBLIC UTILITY C

Ad Notes: 02317G

Classification 105

Description	Start	Stop	Ins.	Cost/Day	Total
01 Tribune-Democrat	07/13/2015	07/13/2015	1	135.00	135.00
Oath Oath form for Legals					5.00
CF Clerical Fee					2.50

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Ad Text:

PENNSYLVANIA
PUBLIC UTILITY
COMMISSION
NOTICE

Application of Stanwich Energy Advisors, LLC. For Approval To Offer,
Render, Furnish Or Electric Generation Services A Marketer/Broker
Engaged In The Business Of Supplying Electricity, To The Public In The
Commonwealth Of Pennsylvania.

Stanwich Energy Advisors will be filing an application with the
Pennsylvania Public Utility Commission ("PUC") for a license to supply
electricity or electric generation services as a broker/marketer engaged in

Payment Reference:

Total: 142.50
Tax: 0.00
Net: 142.50
Prepaid: -142.50

Total Due 0.00

PROOF OF PUBLICATION
In
THE ERIE TIMES-NEWS
COMBINATION EDITION

Stanwich Energy Advisors
680 Washington Blvd 8th Floor
Stamford CT 06901

REFERENCE: 86001 147596
PUC Notice

STATE OF PENNSYLVANIA)
COUNTY OF ERIE) SS:

Debra McGraw, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, a true and correct copy of which is attached, was published in the regular edition(s) of said newspaper on the date(s) referred to below.

Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 07/11/15

TOTAL COST: \$409.00 AD SPACE: 0 Lines

FILED ON: 07/11/15

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

PENNSYLVANIA PUBLIC UTILITY COMMISSION
NOTICE

Application of Stanwich Energy Advisors, LLC. For Approval To Offer, Render, Furnish Or Electric Generation Services A Marketer/Broker Engaged In The Business Of Supplying Electricity, To The Public In The Commonwealth Of Pennsylvania.

Stanwich Energy Advisors will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. Stanwich Energy Advisors proposes to offer electricity related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Stanwich Energy Advisors may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Stanwich Energy Advisors attorney at the address listed below.

By and through Counsel: Jeffrey L. Ulman
230 Mason Street
Greenwich, CT 06830
Phone: (203) 861-9000
Fax: (203) 861-9005

Sworn to and subscribed before me this 13th day of July 2015

Affiant: Debra McGraw

NOTARY: Barbara J. Moore

COMMONWEALTH OF PENNSYLVANIA

Notarial Seal

Barbara J. Moore, Notary Public
City of Erie, Erie County

My Commission Expires March 23, 2016

MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

TIMES PUBLISHING COMPANY

TIMES SQUARE
 205 W. 12th STREET
 ERIE, PENNSYLVANIA 16534-0001
 TEL: (814) 870-1600 FAX: (814) 870-1814
 FED ID #: 25-0839114
 INVOICE

BILLING PERIOD		ADVERTISER/CLIENT NAME	
7/11/2015 - 7/13/2015		Stanwich Energy Advisors	
TOTAL AMOUNT DUE		UNAPPLIED AMOUNT	
\$0.00			
		TERMS OF PAYMENT	
		DUE UPON RECEIPT	

PAGE#	BILLING DATE	INVT	BILLED ACCOUNT NAME AND ADDRESS	REMITTANCE ADDRESS
1	07/13/2015	8064	Stanwich Energy Advisors 680 Washington Blvd 8th Floor Stamford, CT 06901	TIMES PUBLISHING COMPANY P.O. BOX 6137 ERIE, PA 16512-6137
BILLED ACCT#				
86001				

Erie Times News
GOERIE.com
 CyberInk



PLEASE DETACH AND RETURN UPPER PORTION WITH YOUR REMITTANCE

DATE	PO Number	AD#	DESCRIPTION	SIZE	RATE	AMOUNT	NET
7/9/2015		147596	Legal cr card VISA payment				-409.00
7/11/2015		147596	LEGAL / PUC Notice Ordered By: Cait Cronin	2 x 3.00	67.00	409.00	409.00

PLEASE PAY THIS AMOUNT ==> **\$0.00**

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PA PUBLIC UTILITY COMMISSION
 SECRETARY'S BUREAU

INVOICE NUMBER	ADVERTISER INFORMATION		
8064	BILLING PERIOD	BILLED ACCOUNT NUMBER	ADVERTISER/CLIENT NAME
	7/11/2015 - 7/13/2015	86001	Stanwich Energy Advisors

TO ENSURE PROPER CREDIT TO YOUR ACCOUNT:

1. Allow 3 days for mail payments.
2. Make check or money order payable to **TIMES PUBLISHING COMPANY**
DO NOT MAIL CASH
3. Make sure your name and account number appear on your check or money order.
4. Mail your payment and the stub above in the pre-addressed envelope provided.

Classifieds Work!

www.goerie.com/classifieds
 Call: (814) 456-7021

Classified Email: classify@timesnews.com
 Legals Email: legals@timesnews.com

Questions about your bill? (814) 870-1617
 To place a classified ad (814) 456-7021
 For home newspaper delivery (814) 453-4691

Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951 .

Commonwealth of Pennsylvania, County of Allegheny, ss M. Goodwin, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the _____ regular _____ editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

14 of July, 2015

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

M. Goodwin
PG Publishing Company

Sworn to and subscribed before me this day of:
July 14, 2015

Linda M. Gaertner

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Linda M. Gaertner, Notary Public
City of Pittsburgh, Allegheny County
My Commission Expires Jan. 31, 2019
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

STATEMENT OF ADVERTISING COSTS

Stanwich Energy Advisors LLC
680 WASHINGTON BLVD FL 8
Attn: Cait Cronin
Stamford CT 06901-3700

To PG Publishing Company

Total ----- \$390.00

Publisher's Receipt for Advertising Costs

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

Office
34 Boulevard of the Allies
PITTSBURGH, PA 15222
Phone 412-263-1338

PG Publishing Company, a Corporation, Publisher of
Pittsburgh Post-Gazette, a Newspaper of General Circulation

By Samuel J. Arbutnot

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

Attorney For

COPY OF NOTICE OR PUBLICATION

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE
Application of Stanwich Energy Advisors, LLC. For Approval To Offer, Render, Furnish Or Electric Generation Services A Marketer/Broker Engaged In The Business Of Supplying Electricity. To The Public In The Commonwealth Of Pennsylvania.
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The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Stanwich Energy Advisors may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Stanwich Energy Advisors attorney at the address listed below.
By and through Counsel: Jeffrey L. Ulman, 230 Mason Street, Greenwich, CT 06830. Phone: (203) 861-9000. Fax: (203) 861-9005.

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SECRETARY'S BUREAU

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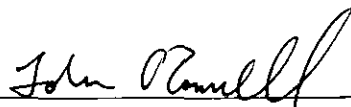
JUN 14 2016

13. SIGNATURE

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

APPLICANT: Stanwich Energy Advisors, LLC

BY:

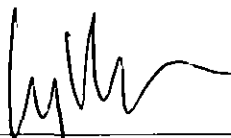


John C. O'Connell

TITLE:

Managing Partner

BY:



Stephen M. Banker

TITLE:

Managing Partner

14. CHECKLIST

Applicant: Stanwich Energy Advisors, LLC

Applicant's Use	X	Signature	
	X	Filing Fee	
	X	Application Affidavit	
	X	Operations Affidavit	
	X	Proof of Publication	
	X	Bond or Letter of Credit	
	X	Tax Certification Statement	
	X	Commonwealth Department of State Verification	
	X	Certificate of Service	

PUC Secretary's Bureau Use

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

6. PROOF OF SERVICE

a. STATUTORY AGENCIES:

- Please see the attached Certificate of Service as proof that a signed and verified Application with attachments (excluding financial information) was sent to the 4 statutory agencies listed in Appendix C.

b. EDCs:

- Please see the attached Certificate of Service as proof that a signed and verified Application with attachments (excluding financial information) was sent to the 9 Electric Distribution Companies listed in Appendix C.

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Appendix C
CERTIFICATE OF SERVICE

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

On this the 6 day of June 2016, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all attachments have been served upon the following:

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Small Business Advocate
Commerce Building, Suite 1102
300 North Second Street
Harrisburg, PA 17101

Allegheny Power:
Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

Duquesne Light:
Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

Met-Ed, Penelec, and Penn Power:
Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

Citizens' Electric Company:
Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

Wellsboro Electric Company:
Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

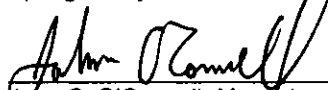
Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946


PECO:
Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

PPL:
Legal Department
Attn: Paul Russell
PPL
Two North Ninth Street
Allentown, PA 18108-1179

UGI:
UGI Utilities, Inc.
Attn: Rates Dept. - Choice Coordinator
2525 N. 12th Street, Suite 360
Post Office Box 12677
Reading, Pa 19612-2677

Pike County Light & Power Company:
Director of Customer Energy Services
Orange and Rockland Company
390 West Route 59
Spring Valley, NY 10977-5300



John C. O'Connell- Managing Partner


Stephen M. Banker- Managing Partner