FPSF FLORIO PERRUCCI STEINHARDT & FADER

Attorneys at Law

1010 Kings Highway South, Building 2, Cherry Hill, NJ 08034

Louis Cappelli, Jr., Esquire Icappelli@fpsflawfirm.com

May 3, 2016

RECEIVED

Via FedEx Rosemary Chiavetta, Secretary Commonwealth of Pennsylvania Pennsylvania Public Utility Commission 400 North Street Harrisburg, PA 17120

MAY - 4 2016

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Re: Application of Regional Resources Energy Group, LLC

Dear Secretary Chiavetta:

Enclosed herein please find the original application of Regional Resources Energy Group, LLC ("Regional") for approval to provide Electric Generation Supplier services as a broker/marketer to the public in the Commonwealth of Pennsylvania, which was returned to Regional by the Commission, along with the following documents to be filed with the application:

- Original letter of representation dated March 4, 2016 with attached schedule of public notices
- Original Proofs of Publication
- Original Bond Continuation Certificate from Western Surety Company for \$10,000.00 for the term beginning March 9, 2016 and ending March 9, 2017
- TD Bank Letter of Credit dated April 7, 2016
- Filed Copy of Pennsylvania Department of State Bureau of Corporation and Charitable Organizations Application for Registration of Fictitious Name

Rochelle Park Office 218 Rt. 17N, Suite 410 Rochelle Park, NJ 07662 (201) 843-5858 phone (201) 843-5877 fax

Phillipsburg Office 235 Broubalow Way Phillipsburg, NJ 08865 (908) 454-8300 phone (908) 454-5827 fax **Bethlehem Office** 60 W Broad St., Suite 102 Bethlehem, PA 18018 (610) 691-7900 phone (610) 691-0841 fax

www.fpsflawfirm.com

Cherry Hill Office 1010 Kings Highway South Building 2 Cherry Hill, NJ 08034 (856) 853-5530 phone (856) 354-8318 fax New York Office 80 Wall Street Suite 815 New York, NY 10005 (212) 792-9070 phone

{00364979.DOC}

Kindly return a filed copy of the application to me in the envelope provided herein.

Thank you very much.

Very truly yours, FLORIQ PERRUCCI STEINHARDT & FALLAR, LLC By: LOUF CAPPELLI, JR.

LCJ/jm Enclosure cc: Regional Resources Energy Group, LLC

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

REGIONAL RESOURCES

ENERGY GROVP LLC , d/b/a

Application of <u>FARCY GROVE CC</u>, d/b/a _____, d/b/a ______, d/b/a _____, d/b/a ____ VED

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

MAY - 4 2016

a. IDENTITY OF THE APPLICANT: Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant: REGIONAL RESOURCES ENERGY GROUP LLC

Po. Box 597 JODILAFES NJ 08043 WWW. REGIONALRESOURCES. COM 856-528-2399

b. PENNSYLVANIA ADDRESS / REGISTERED AGENT: If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

NA

c. REGULATORY CONTACT: Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

> DEBORAH SIMONE, MEMBER P.O. Box 597 VOORNEES NJ 08043

AEBBIES, MONE & COMCAST. NET

d. ATTORNEY: Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

856-528-2399

FRANK A. DiGIACOMO 856.874.4205 DUANE MORRIS LLP BO SOUTH ITTH STREET PHILA PA 19103 .4196

e. CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: (Required of ALL Applicants) Provide the name, title, address, telephone number, FAX number, and e-mail OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED) responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

856-528-2399 DEBBIESIMONE & CONCIST. NET

DEBORAH SIMME, MEMBER SUSAN LEVY, ADMIN ASST PO BOX 597 NOORHEES NJ 08043 RECEI FEB 🔭 2016

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

2. BUSINESS ENTITY FILINGS AND REGISTRATION

a. FICTITIOUS NAME: (Select appropriate statement and provide supporting documentation as listed.) The Applicant will be using a fictitious name or doing business as ("d/b/a") Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953. or The Applicant will not be using a fictitious name. b. BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS: (Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.) The Applicant is a sole proprietor. If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements. or The Applicant is a: domestic general partnership (*) domestic limited partnership (15 Pa. C.S. §8511) foreign general or limited partnership (15 Pa. C.S. §4124) domestic limited liability partnership (15 Pa. C.S. §8201) foreign limited liability general partnership (15 Pa. C.S. §8211) foreign limited liability limited partnership (15 Pa. C.S. §8211) Provide proof of compliance with appropriate Department of State filing requirements as indicated above. Give name, d/b/a, and address of partners. If any partner is not an individual, identify the` business nature of the partner entity and identify its partners or officers. Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation. * If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124. or

The Applicant is a:



۲Ż

domestic corporation (15 Pa. C.S. §1308) foreign corporation (15 Pa. C.S. §4124) domestic limited liability company (15 Pa. C.S. §8913) foreign limited liability company (15 Pa. C.S. §8981) Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation. んず
- Give name and address of officers.

DEBORAH SIMONE PO BOX 597 VOORHEES NJ 08043

3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

a. AFFILIATES: Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

NA

b. PREDECESSORS: Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

MA

4. **OPERATIONS**

a. APPLICANT'S PRESENT OPERATIONS: (select and complete the appropriate statement)

Definitions

- Supplier an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC.
- Aggregator an entity that purchases electric energy and takes title to electric energy as an intermediary for sale to retail customers.
- Broker/Marketer an entity that acts as an intermediary in the sale and purchase of electric energy but does not take title to electric energy.

The Applicant is presently doing business in Pennsylvania as a

- municipal electric corporation
- electric cooperative
- local gas distribution company
- provider of electric generation, transmission or distribution services
- broker/marketer engaged in the business of supplying electricity services

Other; Identify the nature of service being rendered.

or

The Applicant is not presently doing business in Pennsylvania.

b. APPLICANT'S PROPOSED OPERATIONS: The Applicant proposes to operate as a (may check multiple):



- Generator of electricity
- Supplier of electricity
- Aggregator engaged in the business of supplying electricity
- Broker/Marketer engaged in the business of supplying electricity services
 - Check here to verify that your organization will not be taking title to the electricity nor will you be making payments for customers.
 - Electric Cooperative and supplier of electric power
 - Other (Describe):

c. PROPOSED SERVICES: Describe in detail the electric services or the electric generation services which the Applicant proposes to offer.

310 PAREY SUPPLY THRU CHANNEL PARENER AGREEMENTS WITH MAJOR ENERGY SUPPLIERS

d. **PROPOSED SERVICE AREA:** Check the box of each Electric Distribution Company for which the Applicant proposes to provide service.

Citizens' Electric	D Pike
LI Duquesne Light	D PPL
Met-Ed	🖵 UGI Utilities
PECO	U Wellsboro
Penelec	🖵 West Penn
Penn Power	. 1
	🖾 Entire Commonwealth of PA

e. CUSTOMERS: Applicant proposes to provide services to:

Residential Customers

- Small Commercial Customers (25 kW and Under)
- Residential and Small Commercial as Mixed Meter ONLY (CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE)
- Large Commercial Customers (Over 25 kW)
- Industrial Customers
- Governmental Customers
- All of above (Except Mixed Meter)
- Other (Describe):
- f. **START DATE:** Provide the approximate date the Applicant proposes to <u>actively market</u> within the Commonwealth.

1/1/2016

5. <u>COMPLIANCE</u>

a. CRIMINAL/CIVIL PROCEEDINGS: State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

MA

b. SUMMARY: If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

NA

c. CUSTOMER/REGULATORY/PROSECUTORY ACTIONS: Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. If the Applicant has no actions or complaints to list, explicitly state such.

NA

d. SUMMARY: If applicable; provide a statement as to the resolution or present status of any actions listed above.

6. <u>PROOF OF SERVICE</u>

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator. (Example Certificate of Service is attached at Appendix C)

a. STATUTORY AGENCIES: Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Bureau of Investigation & Enforcement Pennsylvania Public Utility Commission Commonwealth Keystone Building 400 North Street, 2 West Harrisburg, PA 17120

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120 Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946

Office of the Small Business Advocate Commerce Building, Suite 1102 300 North Second Street Harrisburg, PA 17101

b. EDCs: Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Electric Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each EDC is as follows.

Pike County Light & Power Company: Director of Customer Energy Services Orange and Rockland Company 390 West Route 59 Spring Valley, NY 10977-5300

PECO: Manager Energy Acquisition PECO Energy Company 2301 Market Street Philadelphia, PA 19101-8699

PPL: Legal Department Attn: Paul Russell PPL Two North Ninth Street Allentown, PA 18108-1179

West Penn: Legal Department West Penn Power d/b/a Allegheny Power 800 Cabin Hill Drive Greensburg, PA 15601-1689

Duquesne Light: Regulatory Affairs Duquesne Light Company 411 Seventh Street, MD 16-4 Pittsburgh, PA 15219 Met-Ed, Penelec, and Penn Power: Legal Department First Energy 2800 Pottsville Pike Reading PA, 19612

Citizens' Electric Company: Citizens' Electric Company Attn: EGS Coordination 1775 Industrial Boulevard Lewisburg, PA 17837 UGI: UGI Utilities, Inc. Attn: Rates Dept. – Choice Coordinator 2525 N. 12th Street, Suite 360 Post Office Box 12677 Reading, Pa 19612-2677

Wellsboro Electric Company: Wellsboro Electric Company Attn: EGS Coordination 33 Austin Street P. O. Box 138 Wellsboro, PA 16901

7. FINANCIAL FITNESS

a. BONDING: In accordance with 66 Pa. C.S. Section 2809(c)(1)(i), the Applicant is required to file a bond or other instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant is...



K

Furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.

Furnishing the <u>ORIGINAL</u> of another initial security for Commission approval, to ensure financial responsibility.

<u>For Marketers and Brokers</u> - Filing for a modification to the \$250,000 requirement and furnishing the <u>ORIGINAL</u> of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.

- At the conclusion of Applicant's first year of operation it is the intention of the Commission to tie security bonds to a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. The amount of the security bond will be reviewed and adjusted on an annual basis.
- Example version of a bond and letter of credit are attached at Appendix D & E, Applicant's security must follow language from these examples.
- Any deviation from these examples must be identified in the application and may not be acceptable to the Commission.

- b. FINANCIAL RECORDS, STATEMENTS, AND RATINGS: Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:
 - Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
 - Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
 - Applicant's accounting statements, including balance sheet and income statements for the past two years.
 - Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
 - A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
 - Audited financial statements exhibiting accounts over a minimum two year period.
 - Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.
- c. SUPPLIER FUNDING METHOD: If Applicant is operating as anything other than <u>Broker/Marketer only</u>, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

MA

d. BROKER PAYMENT STRUCTURE: If applicant is a broker/marketer, explain how your organization will be collecting your fees.

e. ACCOUNTING RECORDS CUSTODIAN: Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

DEBORAH SIMONE, MEMBER PO BOW 597 VOORHEES NJ 08043 856-528-2399

f. TAXATION: Complete the TAX CERTIFICATION STATEMENT attached as Appendix F to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

a. EXPERIENCE, PLAN, STRUCTURE: such information may include:

- Applicant's previous experience in the electricity industry.
- Summary and proof of licenses as a supplier of electric services in other states or jurisdictions.
- Type of customers and number of customers Applicant currently serves in other jurisdictions.
- Staffing structure and numbers as well as employee training commitments.
- Business plans for operations within the Commonwealth.
- Documentation of membership in PJM, ECAR, MAAC, other regional reliability councils, or any other membership or certification that is deemed appropriate to justify competency to operate as an EGS within the Commonwealth.
- Any other information appropriate to ensure the technical capabilities of the Applicant.

APPLICAND WAS BEEN AN ENERCY SUPALIER FOR OVER 2 YEARS & WORK WITH ENERCY SUPPLIERS SUCH AS NEDT ERA GERA ENGLIGT, DIRECT ENERCY, HUDSON ENERCY

b. PROPOSED MARKETING METHOD (check all that apply)



Internal – Applicant will use its own internal resources/employees for marketing External EGS – Applicant will contract with a PUC LICENSED EGS broker/marketer Affiliate – Applicant will use a NON-EGS affiliate marketing company and or individuals. External Third-Party – Applicant will contract with a NON-EGS third party marketing company and or individuals

- Other (Describe):
- c. DOOR TO DOOR SALES: Will the Applicant be implementing door to door sales activities?

	٦		
	-		
N	Р		
4	:		
,			

Yes No

Yes No

If yes, will the Applicant be using verification procedures?

L	
Ē	Ì

• • • • • • • • • • • •

If yes, describe the Applicant's verification procedures.

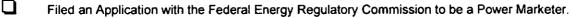
d. OVERSIGHT OF MARKETING: Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

APERAPIONS CONDUCTED UNDER PUL GUIDELINES + LEGAL REMEW

e. OFFICERS: Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.

AFBORAH SIMONE

f. FERC FILING: Applicant has:





. •

Received approval from FERC to be a Power Marketer at Docket or Case Number

Not applicable

9. DISCLOSURE STATEMENTS:

Disclosure Statements: If proposing to serve Residential and/or Small Commercial (under 25 kW) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix G to this Application.

 Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated.
Penalties and procedures for ending contracts should be clearly communicated.

Not applicable for an applicant applying for a license exclusively as a broker/marketer.

10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. PJM LOAD SERVING ENTITY REQUIREMENT: As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:
 - Proof of registration as a PJM Load Serving Entity (LSE), or proof of a contractual arrangement with a registered PIM I
 - proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

(Select only one of the following)

AGREED - Applicant has included compliance with this requirement in the instant application, labeled in correspondence with this section (10).

AGREED - Applicant will provide compliance with this requirement within 120 days of receiving its license



ACKNOWLEDGED - Applicant is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information

b. STANDARDS OF CONDUCT AND DISCLOSURE: As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43, as well as any future amendments.



AGREED

- c. **REPORTING REQUIREMENTS**: Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:
 - Retail Electricity Choice Activity Reports: The regulations at 52 Pa. Code §§ 54.201--54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's internet web site.

- Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the guarter.
- The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
- Net Metering Reports: Applicant shall be responsible to report any Net Metering per the Standards on <u>http://www.puc.pa.gov/consumer_info/electricity/alternative_energy.aspx</u>. Scroll down to the Net Metering Standards Section.
- Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis per 52 Pa. Code § 54.39(d).
- Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.

AGREED

d. TRANSFER OF LICENSE: The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.



e. ANNUAL FEES: The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling electricity in the Commonwealth of PA, and an annual supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.



. •

ACKNOWLEDGED

f. FURTHER DEVELOPMENTS: Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.



AGREED

g. FALSIFICATION: The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.



AGREED

h. NOTIFICATION OF CHANGE: If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within twenty (20) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 54.34.





i. CEASING OF OPERATIONS: Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.



AGREED

j. Electronic Data Interchange: The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at Appendix J.



AGREED

k. FILING FEE: The Applicant has enclosed or paid the required, non-refundable filing fee by CERTIFIED CHECK OR MONEY ORDER in the amount of \$350.00 payable to the Commonwealth of Pennsylvania. <u>The Commission does not accept corporate or personal checks for filing fees.</u>



PAYMENT ENCLOSED

11. AFFIDAVITS

Must be notarized before filing.

- a. APPLICATION AFFIDAVIT: Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.
- b. OPERATIONS AFFIDAVIT: Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.

12. NEWSPAPER PUBLICATIONS

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. Below is a list of newspapers which cover the publication requirements for Electric Generation Suppliers looking to do business in Pennsylvania.

The newspapers in which proof of publication is required is dependent on the service territories the applicant is proposing to serve. The chart below dictates which newspapers are necessary for each EDC. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

Please file with the Commission the Certification of Publication, along with a photostatic copy of the notice to complete the notice requirements.

Proof of newspaper publications must be filed with the initial application. Applicants **do not** need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	Erie Times- News	Harrisburg Patriot- News	Philadelphia Daily News	Pittsburgh Post- Gazette	Scranton Times- Tribune	Williamsport Sun-Gazette	Johnstown Tribune- Democrat
Citizens' Electric						X	
Duquesne				X			
Met Ed		x	X		X		
PECO			x				
Penelec	x	x			X	x	X
Penn Power	×			x			
Pike					X	Τ	
PPL		x	x		X	x	
UGI					X		
Wellsboro						x	
West Penn		x		x		x	x
Entire							
Commonwealth	X	X	x	x	X	X	X

(Example Publications are provided at Appendices H and I)

13. <u>SIGNATURE</u>

RECEIVED

MAY - 4 2016

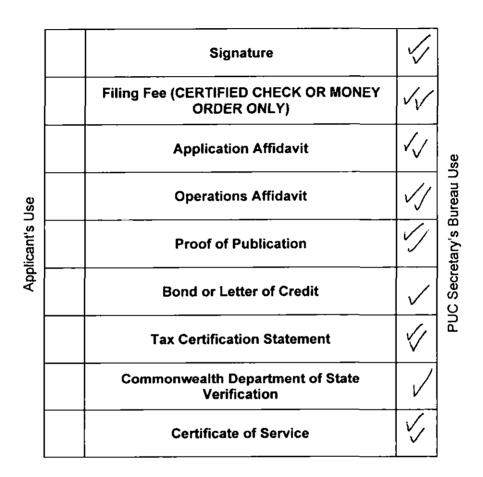
Resources Encesy GRAP LL CA PUBLIC WALLITY COMMISSION Applicant Ini By: Title:

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections are complete.

Applicant: REGISMAL RESOURCES ENCACT GRAV LLC



Appendix A

APPLICATION AFFIDAVIT

RECEIVED
FEB 2016
PUBLIC UTILITY COMMISSION

	: SS. PA PUBLIC UTILITY COMM SECRETARY'S BURE	4IS AU
County of CANEN	· · ·	-
DEBORAH SIMONE	, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:	
[He/she is the Mimbin	(Office of Affiant) of <u>Every Grand unc</u> (Name of Applicant);]	

[That he/she is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein $\frac{\hat{R}R\hat{L}\hat{L}}{2}$ has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

That the Applicant herein $\frac{RRFG}{}$ has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein $\frac{RKE6}{REE}$ acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

Signaturè

Sworn and subscribed before me this

[Commonwealth/State] of ______

day-of

Signature of official administering oath

My commission expires





MAY - 4 2016

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU Appendix B

OPERATIONS AFFIDAVIT

[Commonwealth/State] of	Ń	PA PUBLIC VTILITY COMMISSION : SECRETARY'S BUREAU
	:	SS.
County of Campon	:	
DESTRAN SIMONE	_, Affiant, being duly [sworn/affirm	ed] according to law,
deposes and says that:		REFERENCE RESOLATES
[He/she is the MEMBER	(Office of Affiant) of	REGIONAL RESOLUCIS ENCRET GRAP LLC (RREG)

(Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That <u>RREC</u>, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That \mathcal{RKEG} , the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That \underline{MLEC} , the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.



MAY - 4 2016

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU That $\begin{tabular}{|c|c|c|c|c|c|} \hline $RE6$, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56. \end{tabular}$

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.

ure of

Sworn and subscribed before me this

day of

Sighature of official administering oath

My commission expires _



RECEIVED

MAY - 4 2016

PA PUBLIC UTILITY COMMISSION SECRETARY'S BURGHU

Appendix D

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

Page 1 of 2

Electric Generation Supplier License Bonds

Bonds submitted in order to satisfy the licensing process must comply with the following:

The bond in the amount of \$250,000 should name the Pennsylvania Public Utility Commission as the obligee or beneficiary, and should contain the following language:

This bond is written in accordance with Section 2809(c)(1)(i) of the Public Utility Code, 66 Pa. C.S. § 2809(c)(1)(i), to assure compliance with applicable provisions of the Public Utility Code, 66 Pa. C.S. §§101, et seq., and the rules and regulations of the Pennsylvania Public Utility Commission by the Principle as a licensed electric generation supplier; to ensure the payment of Gross Receipts Tax as required by Section 2810 of the Public Utility Code, 66 Pa. C.S. § 2810; and to ensure the supply of electricity at retail in accordance with contracts, agreements or arrangements. Payment of claims shall have the following priority: (i) The Commonwealth; (ii) Electric Distribution Companies for the reimbursement of Gross Receipts Tax; and (iii) Private individuals. Proceeds of the bond may <u>not</u> be used to pay any penalties or fines levied against the Principal for violations of the law, or for payment of any other tax obligations owed to the Commonwealth.

The <u>ORIGINAL</u> bond or other financial instrument must be submitted, preferably by overnight delivery, to the Secretary of the Pennsylvania Public Utility Commission, 400 North Street, Keystone Building, Harrisburg, PA 17120. If the application is approved, the entry date of the Commission's Order will be the effective date of the license.



MAY - 4 2016

PA PUBLIC UTILITY COMMISSION SECILITARY'S BUREAU

Appendix C

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

Example CERTIFICATE OF SERVICE

On this the <u><u>Manual</u> day of <u><u>Janual</u> 20/<u>C</u> I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all <u>NON-CONFIDENTIAL</u> attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:</u></u>

Bureau of Investigation & Enforcement Pennsylvania Public Utility Commission Commonwealth Keystone Building 400 North Street, 2 West Harrisburg, PA 17120

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120

Small Business Advocate Commerce Building, Suite 1102 300 North Second Street Harrisburg, PA 17101

Legal Department West Penn Power d/b/a Allegheny Power 800 Cabin Hill Drive Greensburg, PA 15601-1689

Regulatory Affairs Duquesne Light Company 411 Seventh Street, MD 16-4 Pittsburgh, PA 15219

Legal Department First Energy 2800 Pottsville Pike Reading PA, 19612

Citizens' Electric Company Attn: EGS Coordination 1775 Industrial Boulevard Lewisburg, PA 17837

PA PUBLIC UTILITY COMMISSION SECKETARY'S BUREAU Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946

Director of Customer Energy Services Orange and Rockland Company 390 West Route 59 Spring Valley, NY 10977-5300

Manager Energy Acquisition PECO Energy Company 2301 Market Street Philadelphia, PA 19101-8699

Legal Department Attn: Paul Russell PPL Two North Ninth Street Allentown, PA 18108-1179

UGI Utilities, Inc. Attn: Rates Dept. – Choice Coordinator 2525 N. 12th Street, Suite 360 Post Office Box 12677 Reading, Pa 19612-2677

Wellsboro Electric Company Attn: EGS Coordination 33 Austin Street P. O. Bex 138 Wellsborg, PA 16901

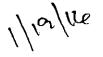
DESTRAN SIMONE, MENSER REGIONAL RESSURCES E-CREMERADLLC

PA PUBLIC UTILITY COMMISSICN SECRETARY'S BUREAU

NOTICE OF PREMIUM DUE **********

· · · · ·

1



1/12/10e

Phone: 1-888-866-2666 Fax: 1-605-335-0357 Email: uwservices@cnasurety.com Company#: 0601 Bond/Policy#: 71048144 Billing Date: 01/08/2016 03/09/2016 Due Date:

Premium:

\$250.00

REGIONAL RESOURCES ENERGY GROUP, LLC P. O. BOX 597 VOORHEES, NJ 08043

				Amount	Du <u>e:</u>	\$250.00
Company#:	-0601		-	······		
Bond/Policy#:						
Effective Date		Anniversary Date: 03	/09/2017			
Bond amount:						
Name:	REGIUNAL RESU	DURCES ENERGY GROMENERATION SUPPLIER				
Description.	FA ELECTRIC G					
Written By:	WESTERN SURE	TY COMPANY	_			
INDICATE date to ens if you have Phone: Agency Co	D to CNA Surety. If sure proper and time any questions, plea (856)627-26 de: 29-16357	Group, Inc. Suite 301 200 Haddon Gibbsboro,	submit payment at k d/policy coverage. vith whom the bond/p Neill Insurance field Berlin Roac NJ 08026	east two weeks prior to policy was written.		
	N PAY ONLINE	BY VISITING ONLI	NEPAY.CNASUR	ETY.COM		
		upon below with your call 1-888-866-2666.	payment. Please se	nd payment to the ad	ldress bei	ow.
A Surety				Amount I	Due:	\$250.00
	0601					
Bond/Policy#:		Effective Date:				
Name:	HEGIUNAL HESU	URCES ENERGY GROU				

				-		
CNA Surety Company#:	0601	 			Amount Due:	\$250.00
Bond/Policy#: 71048144 Effective Date: 03/09/2016 Name: REGIONAL RESOURCES ENERGY GROUP, LLC Description: PA ELECTRIC GENERATION SUPPLIER LICENSE Written By: WESTERN SURETY COMPANY Agency Code: 29-16357 Leonard O' Neill Insurance Make Check Payable To CNA Surety		P, LLC		Check here if chang and explain below.	es needed	
	CNA Suret P.O. Box 9 St Louis, M	2				

0003001 02916357000003092016 00601007104814400 000000250000?

FLORIO PERRUCCI STEINHARDT & FADER nc

Attorneys at Law

1010 Kings Highway South, Building 2, Cherry Hill, NJ 08034

Louis Cappelli, Jr., Esquire lcappelli@fpsflawfirm.com

March 4, 2016

Rosemary Chiavetta, Secretary Commonwealth of Pennsylvania Pennsylvania Public Utility Commission P.O. Box 3265 Harrisburg, PA 17105-3265

RECEIVED MAY - 4 2016 n PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU AM ڢ

Regional Resources Energy Group, LLC Re:

Dear Secretary Chiavetta:

Please be advised that we have been retained to represent Regional Resources Energy Group, LLC. We are in receipt of your correspondence dated February 18, 2016 and note its contents accordingly. Be advised that we have now published notices in all required newspapers. Attached hereto is a schedule showing the publications and the dates of publication. We will provide copies of the Proof of Publication from each of the newspapers upon receipt of same.

It is my understanding that my client will be providing directly to you the license Bond or Letter of Credit and the Business Entity filings for Pennsylvania.

Thank you very much.

Very truly yours, FLORIO PERRUCCI STEINHARDT & FADER, LLC CAPPELLI, JR.

LCI/jm Enclosure Deborah Simone cc:

Rochelle Park Office 218 Rt. 17N, Suite 410 Rochelle Park, NJ 07662 (201) 843-5858 phone (201) 843-5877 fax

{00349787.DOC}

Phillipsburg Office 235 Broubalow Way Phillipsburg, NJ 08865 (908) 454-8300 phone

(908) 454-5827 fax

Bethlehem Office 60 W Broad St., Suite 102 Bethlehem, PA 18018 (610) 691-7900 phone (610) 691-0841 fax

www.fpsflawfirm.com

Cherry Hill Office 1010 Kings Highway South **Building 2** Cherry Hill, NJ 08034 (856) 853-5530 phone (856) 354-8318 fax

New York Office 80 Wall Street Suite 815 New York, NY 10005 (212) 792-9070 phone

Regional Resources Energy Group

Pennsylvania Public Utility Commission Notices

Publication	<u>Ad Run Date</u>	<u>Ad ID / Acct. No.</u>	Advertising Fee
Erie Times News	3/3/16	Ad ID.: 187895 Acct. No.: 89072	\$409.00
Harrisburg Patriot News	3/3/16	Ad ID.: 2358103 Acct. No.: 252477	\$294.84
Pittsburgh Post Gazette	3/2/16	Ad ID.: 18392504 3/2 Acct. No.: A1007	\$622.50
Scranton Times Tribune	3/3/16	Ad ID.: 81903070 Acct. No.: 615288	\$305.75
Williamsport Sun Gazette	3/4/16	Ad ID.: 228565 Acct. No.: LZ0001	\$368.44
Johnstown Tribune Democrat	3/3/16	Ref. No.: 108256327 Invoice No.: 231393	\$245.00
Philly Daily News	3/3/16	Ad ID.: 3259982 / 121932 Acct. No.: 600264163	\$1,419.00

TOTAL ADVERTISING FEES: \$3,664.53

٩.

2

PROOF OF PUBLICATION In THE ERIE TIMES-NEWS

COMBINATION EDITION

Florio Perrucci Steinhardt & Fader, LLC. 1010 Kings Highway South Building 2 Cherry Hill NJ 08034

REFERENCE: 89072 187895 PUC Notice

STATE OF PENNSYLVANIA)

COUNTY OF ERIE) SS: Tom Mezler, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, a true and correct copy of which is attached, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 03/03/16

TOTAL COST: \$409.00

AD SPACE: 0 Lines

FILED ON: 03/03/16

NOTARY:

Sworn to and s	ubscribed before me this	_day of _	March	2016
Affiant:	fort			Í

Jalma

MAY - 4 2016 PA PUBLIC UTILITY COMMISSION

RECEIVED

SECRETARY'S BUREAU

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOIDEE Applications of Regional Resources Energy Group, LLC For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged in The Business of Supplying Natural Gas Supply Services and Electricity Supply Services, To The Public In The Commonwealth of Pennsylvania. Regional Resources Energy Group, LLC will be tiling an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services, Regional Resources Energy Group, LLC will also be tiling an application with the PUC for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/ marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying view of the new Natural Gas Supply Services and Electricity Supply Services under the provisions of the new Natural Gas Supply Services and Electricity and the Electricity Generation Customer Choice and Competition Act. The Differ may energing this application without a heating - Broket directed in the Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Regional Resources Energy Group, LLC may be field within 15 days of the date of this notice with the Secretary of the PUC, PO, Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Regional Resources Energy Group, LLC's attorney at the address listed below.

Ry and through Coucsel

Fh

Louis Cappelli Jr., Esquire prio Perrucci Steinhardt & Fader, LLC 1010 Kings Highway South, Bidg. 2 Cherry Hill, New Jersey 08034	Regional Resources Energy Group, LLC 1307 White Horse Road, Bidg. D Voorhees, New Jersey 08043	
(856) 853-5530 (856) 354-8318 (lax)		

COMMONWEALTH OF PENNSYLVANIA Notarial Seal Barbara J. Moore, Notary Public City of Erie, Erle County

My Commission Expires March 23, 2016

EMBED DETINEY, VANIA ASSOCIATION OF NOTABLES

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

RECEIVED

MAY 04 2016

The Datriot-News The Patriot-News Co. 2020 Technology Pkwy Suite 300 Now you know Mechanicsburg, PA 17050 Inquiries - 717-255-8213 PA PUALIC UTILITY COMMIS MAN OF TONS FLORIO PERRUCCI STEINHARDT & FADER LLC 1010 KINGS HIGHWAY SOUTH BUILDING 2 **CHERRY HILL** NJ 08034 THE PATRIOT NEWS RECEIVED THE SUNDAY PATRIOT NEWS **Proof of Publication** MAY - 4 2016 Under Act. No. 587, Approved May 16, 1929 Commonwealth of Pennsylvania, County of Dauphin} ss

> PA PUBLIC UTILITY COMMISSION SECRETARY S BUREAU

Amy Kotula, being duly sworn according to law, deposes and says:

That she is a Staff Accountant of The Patriot News Co., a corporation organized and existing under the laws of the Commonwealth of Pennsylvania, with its principal office and place of business at 2020 Technology Pkwy, Suite 300, in the Township of Hampden, County of Cumberland, State of Pennsylvania, owner and publisher of The Patriot-News and The Sunday Patriot-News newspapers of general circulation, printed and published at 1900 Patriot Drive, in the City, County and State

bt-News and The Sunday Patriot-News were established March 4th, 1854, and September 18th, 1949. a been continuously published ever since;

notice or publication which is securely attached hereto is exactly as printed and published in their regular mmunity Weekly editions which appeared on the date(s) indicated below. That neither she nor said the subject matter of said printed notice or advertising, and that all of the allegations of this statement as aracter of publication are true; and

rsonal knowledge of the facts aforesaid and is duly authorized and empowered to verify this statement on ws Co. aforesaid by virtue and pursuant to a resolution unanimously passed and adopted severally by the of directors of the said Company and subsequently duly recorded in the office for the Recording of Deeds Dauphin in Miscellaneous Book "M", Volume 14, Page 317.

This ad # 0002358103 ran on the dates shown below:

March 03, 2016

Sworn to and subscribed before me this 07 day of March, 2016 A.D.

Notary Public

COMMONWEALTH OF PENNSYLVANIA NOTARIAL SEAL Sheryi Marie Leggore, Notary Public Hampdan Twp., Cumberland County My Commission Expires July 16, 2018 MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE Applications of Resources Energy Group, LLC For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged in The Business of Supplyion Manural Cor Business of Supplying Natural Gas Supply Services and Electricity Supply Services, To The Public in The Commonwealth of Pennsylvania, Declarate Records

Commanwealth of Pennsylvania, Regional Resources Energy Group, LLC will be filing an application with the Pennsylvania Public Utility Commission (*PUC*) for a license to provide natural pas supply services as (1) a supplier of notural gas, and (2) a broker/marketer engaged in the business of providing natural gas Services. Regional Resources Energy business of providing natural pass services. Regional Resources Energy Group, LLC will also be filing an application with the PUC for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/morketer engaged in the business of supplying electricity, and (3) an apprepata regaged in the business of supplying electricity. Regional Resources Energy Group, LLC proposes to sell electricity, natural gas, and related services in Supplying

LLC proposes to self electricity, natural gos, and related services in Supply Services and Electricity Supply Services under the pravisions at the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act. The PUC may consider this opplication without a hearing. Protests directed to the technical or financial filness of Regional Resources Energy Graup, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send conjust the address listed below. By and through Counsel; Lauis Cappelli Jr., Esquire Florio Perrucci Steinhordt & Fader, LLC

1010 Kings Highway South, Bidg. 2 Cherry Hill, New Jersey 08034 (856) 853-5530

(856) 354-8318 (fox)

856) 354-8318 (Tax) Regional Resources Energy Group, LLC 1307 White Horse Road, Bidg. D Voorhees, New Jersey 08043

No. **Proof of Publication of Notice in Pittsburgh Post-Gazette**

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss , being duly sworn, deposes and says that the P. Reed Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the editions and issues of the said Pittsburgh Post-Gazette a regular newspaper of general circulation on the following dates, viz:

02 of March, 2016

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PG Publishing Company Sworn to and subscribed before me this day of: March 02, 2016

COMMONWEALTH OF PENNSYLVANIA

NOTARIAL SEAL Linda M. Gaertner, Notary Public City of Pittsburgh, Allegheny County My Commission Expires Jan. 31, 2019 MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

SECKETARY'S BUREAU	STATEMENT OF ADVERTISING COSTS Florio Perrucci Steinhardt & Fad 1010 KINGS HWY S BLDG 2 Attn: Jennifer L. Mader CHERRY HILL NJ 08034-2526
	To PG Publishing Company

Total ----- \$622.50

Publisher's Receipt for Advertising Costs

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforsaid advertising and publication costs and certifies that the same have been fully paid.

Office 34 Boulevard of the Allies PITTSBURGH, PA 15222 Phone 412-263-1338

PA PUBLIC UTILITY COMMISSION

PG Publishing Company, a Corporation, Publisher of Pittsburgh Post-Gazette, a Newspaper of General Circulation

. CH Samuel J. Arbutuna By

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

COPY OF NOTICE **OR PUBLICATION**

Term.

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE Applications of Region-al Resources Energy Group, LLC For Approval To Offer, Render, or Eur-nish Services as a Supplier, A g g r e g a tor, an d Marketer/Broker Engaged In The Business of Supply-ing Natural Gas Supply ing Natural Gas Supply Services and Electricity Supply Services, To The Public In The Common-ergy Group, LLC will be fil-ing an application with the Pennsylvania Public Unity Commission (*PUC*) for a license to provide natural gas supply services as (1) a supplier of natural gas, and (2) a brokerimizer of the Service natural gaged in the business of (2) a bloker marketer en-gaged in the business of providing natural gas set-vices. Regional Resources Energy Gioup, LLC will al-so be filing an application with the PUC for a bicense to supply electricity of offerure veneration seture. es as (1) a generator and supplier of electric power, (2) a broker/marketer en-gaged in the business of aged in the business of supplying electricity, and (3) an age regater engaged in the business of supply-ing electricity Regaratation sources Energy Group, LLC proposes to sell electricity, natural gas, and related services in Supply Services and Electricity Supply Services of the Dew Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

Act. The PUC may consider The PUC may consider this application without a bearing. Indexts directed to the technical or finan-cial finess of Regional Re-searces Energy Group, EC may be filed within 15 days on the date of this norce with the Secretary of the PUC, P.O. Rox 32.65, thir-risburg, PA 17105-32.65, You should send cores of any protest to degional Re-sources Energy Group, EC 5 attorney at the ad-dress fixed below. By mid through Counsel Louis Campelli B., Exchile, Cherry Hill, New Joisev G8034, (B56) 853-5520, (R56) 354-8318 (day) Regional Resources En-ergy Group, 11C, 1307 White Horse Road, Blog D, Voortheeks, New Joisev Osoda. this application without a

03043

(Under act P.L. 877 No 160. July 9,1976) The Scranton Times

Commonwealth of Pennsylvania, County of Lackawanna

FLORIO PERRUCCI STEINHARDT & FADER, LLC JENNIFER L. MADER 1010 KINGS HIGHWAY SOUTH, BLDG CHERRY HILL NJ 08034

Account # 615288 Order # 81903070 Ad Price: 305.75

LEGAL NOTICE PENNSYLVANI

Gina Krushinski

Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

03/02/2016

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true XX 2A + Juntanan

Sworn and subscribed to before me this 2nd day of March A.D., 2016

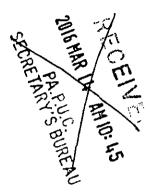
aun Venturi (Notary Public)

COMMONWEALTH OF PENNSYLVANIA
Notarial Seat
Sharon Venturi, Notary Public
City of Scranton, Lackawanna County
My Commission Expires Feb. 12, 2018
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES



MAY 0 4 2016

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU



LEGAL NOTICE

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE Applications of Regional Resources

Applications of **Hagionai Hasources Energy Group, LLC** For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketery Broker Engaged In The Business of Supplying Natural Gas Supply Ser-vices and Electricity Supply Services, To The Public In The Commonwealth of Pennsylvania.

Regional Resources Energy Group, LLC will be filing an application with the Pennsylvania Public Utility Com-mission (PUC) for a license to provide natural gas supply services as (1) a supplier of natural gas, and (2) a bro-ker/marketer engaged in the business of providing astural case services Baof providing natural gas services. Re-gional Resources Energy Group, LLC will also be filing an application with the PUC for a license to supply with the PUC for a license to supply electricity or electric generation ser-vices as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator en-gaged in the business of supplying electricity. Regional Resources Ener-gy Group, LLC proposes to sell elec-tricity, natural gas, and related services in Supplying Natural Gas Supply Services under the provisions of the new Natu-ral Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitnass of **Re-gional Resources Energy Group**, **LLC** may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to **Regional Re-sources Energy Group**, **LLC's** attor-ney at the address listed below.

By and through Counsel: Louis Cappelli Jr., Esquire Florio Perrucci Steinhardt & Fager, LLC 1010 Kings Highway South, Bldg. 2 Cherry Hill, New Jersey 08034 (856) 853-5530 (856) 354-8318 (fax)

Regional Resources Energy Group, LLC 1307 White Horse Road, Bldg, D Voorhees, New Jersey 08043

PROOF OF PUBLICATION OF NOTICE IN THE WILLIAMSPORT SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA

SS:

Bernard A. Oravec Publisher of the Sun-Gazette Company, publishers of the Williamsport, Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport Sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette Company, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and also declares that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.

Bind A SUN-GAZETTE COMPANY EGAL NOTICES LEGAL NOTICES **LEGAL NOTICES** LEGAL NOTICES ibed before me PENNSYLVANIA Energy Group, LLC will ness of supplying electricisoll PUBLIC UTILITY be filling an application with the Pennsylvania Public Utility Commission (PUC) for a license to provide natural gas supplier of natural gas and row ly. and (3) an aggregator engaged in the business By and through Counset: Louis Cappelli Jr., Esquire Florio Perrucci Steinhardt & Fader, LLC COMMISSION NOTICE of supplying electricity Regional Resources En-Applications of Re-glonal Resources Ener-gy Group, LLC For Ap-proval To Offar, Render, or Furnish Services as a Regional Resources Cir-ergy Group, LLC pro-poses to sell electricity, natural gas, and related services in Supplying Na-1010 Kings Highway South, Bidg. 2 Cherry Hill, New Jersey 08034 of natural gas, and (2) a broker/marketer engaged in the businessot providing otarv tural Gas Supply Services and Electricity Supply Ser-vices under the provisions HAL SEAL (856) 853-5530 natural gas services. Re-gional Resources Ener-Supplier, Aggregator, and Marketer/Broker Engaged In The Business of Sup-EY, Notary Public (856) 354-8318 (fax) gy Group, LLC will also be filing an application with the PUC for a license rt, Lycoming County of the new Natural Gas Regional Resources plying Natural Gas Supply Choice and Competition Act and the Electricity Generation Customer Energy Group, LLC 1307 White Horse Road, Expans May 13, 2019 Services and Electricity Supply Services, To The Public In The Comto supply electricity or electric generation ser-vices as (1) a generator and supplier of electric power, (2) a broker / mark-Bidg. D Choice and Competition Voorhees, New Jersey 08043 monwealth of Pennsylva Act. nia STATEMENT OF ADVERTISING COSTS The PUC mayconsider **Regional Resources** eter engaged in the busithis application without a hearing. Protests directed to the technical or financial of fitness Regional RECEIVED MAY 0 4 2016 send copies of any protest obated same.....\$ PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU PUBLISHER'S RECEIPT FOR ADVERTISING COSTS THE SUN-GAZETTE COMPANY hereby acknowledges receipt of the aforesaid advertising and publication costs

and certifies that the same have been fully paid.

SUN-GAZETTE COMPANY

BY Bernard A. Oravec

COMMONWEALTH OF PENNSYLVANIA County of Cambria

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Applications of Regional Resources Energy Group, LLC For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged In The Business of Supplying Natural Gas Supply Services and Electricity Supply Services, To The Public In The Commonwealth of Pennsylvania. Regional Resources Energy Group, LLC will be filling an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier of natural gas, and (2)

supply services as (1) a supplier of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. Regional Resources Energy Group, LLC will also be tiling an application with the PUC for a license to supply electricity of ejectric generation services as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. Regional Resources Energy Group, LLC proposes to sell electricity, natural gas, and related services in Supplying Natural Gas Supply Services and Electricity Generation Customer Choice and the Electricity Generation Customer Choice and Competition Act. The PUC may consider this annilication without a

publisher, that the d of The Jc in the sul

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Regional Resources Energy Group, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA of said pr 17105-3265. You should send copies of any protest to Regional Resources Energy Group, LLC's attorney at

the address listed below.

Sworn an 10th day

By and through Counsel: Louis Cappelii Jr., Esquire Florio Perrucci Steinhardt & Fader, LLC 1010 Kings Highway South, Bldg. 2 Cherry Hill, New Jersey 08034 (856) 853-5530 (856) 354-8318 (fax) Regional Resources Energy Group, LLC 1307 White Horse Road, Bidg. D Voorhees, New Jersey 08043 SS

On this 10th day of March A.D. 2016, before me, the subscriber, a Notary Public in and for said County and State, personally appeared Christine Marhefka, who being duly sworn according to law, deposes and says as Classified Advertising Manager of the Tribune-Democrat, Johnstown, PA, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8, 1952, of the Johnstown Tribune, established December 7, 1853; and of the Johnstown Democrat, established March 5, 1863,

ity of Cambria, and Commonwealth of Pennsylvania and ve matter published in said publication in the regular issues 4. on March 3, 2016; and that the Affiant is not interested I that all of the allegations as to time, place and character



STATEMENT OF ADVERTISING COSTS

0.00 Lines @ \$2.50 per line	0.00
9.5 Inches @ \$25.00 per inch	237.50
Notary Fee	5,00
Clerical Fee	2.50
Total Cost	245.00

To The Tribune-Democrat, Johnstown, PA For publishing the notice or publication attached hereto on the above stated dates.

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

for publisher of ____

COMMONWEALTH OF PENNSYLVANIA Notarial Seal Vivian Ohs, Notary Public

City of Johnstown, Cambria County

My Commission Expires Dec. 6, 2016 MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

a newspaper of general circulation, hereby acknowledges receipt of the aforesaid and publication costs and certifies that the same has been duly paid.

	(Name of Newspaper)	_
SECRETARY'S BUREAU	By	
TZ :e HA AS AAM BIOS	RECEIVED	
BECEINED	MAY 0 4 2016	
/	PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU	

RECEIVED

MAY 0 4 2016

Proof of Publication in The Philadelphia Daily News Under Act. No 587, Approved May 16, 1929

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

STATE OF PENNSYLVANIA COUNTY OF PHILADELPHIA

Florence Devlin being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

March 3, 2016

Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

Copy of Notice of Publication Copy of Notice of Fublication PENNSYLVANIA PUBLIC UTLITY COMMISSION NOTICE Applications of Regional Resources Energy Group, LLC For Approval To Offer, Render, or furnish Services as a Suppler, Aggregator, and Marketer/Broker Engaged in The Business of Supplying Natural Gas Supply Services and Electricity Supply Services, To The Public In The Commowselith of Pennsylvania. Regional Resources Energy Group, LLC will be filling an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas upply services as (1) a supplier of natural gas upply envices as (1) a supplier of natural gas upply envices as (1) a supplier of natural gas oupply envices as (1) a supplier of natural gas and (2) a broker/ mar-ral gas services. Regional Resources Energy Group, LLC will also be filling an application with the PUC for a license to supply electricity and supplier of electric power, (2) a broker/ marketer engaged in the business of supplying electricity, natural gas, and related services in Supplying Natural Gas Supply Services and Electricity, Natural Gas Supply Services and Supplying Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical er financial litinass of Regional Resources Energy Group, LLC may be filed within 15 days of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265, You should send copies of any protest to Regional Resources Energy Group, LLC's at-torney at the address listed bolow. By and through Counsels: Louis Cappell IV. Esquire Fiorle Perrucci Steinhardt & Fader, LLC 100 Kinge Highway South, Bidg. 2 Cherry Hill, Now Jorsey 08034 (856) 354-8318 (fax) Regional Resources Energy Group, LLC's at-torney at header Read, Bidg. D Voorhees, New Jersey 08043

Thouna Delin

Sworn to and subscribed before me this 3rd day of March, 2016.

Mary anal

My Commission Expires:

COMMONWEALTH OF PENNEYL VANDA NOTARIAL SEAL MARY ANNE LOGAN, Notary Public City of Philadelphia, Phila. County My Commission Expires March 30, 2017



RECEIVED

MAY - 4 2016

April 7, 2016

PA FUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

RE: Regional Resource Energy Group LLC Deborah L Simone

To Whom it may concern:

This letter is being written in regards to the above mentioned mutual client. Please be advised that this mutual customer has been a customer of TD Bank since 2013 and has an open Business Checking Account.

If you have any questions or concerns regarding this customer please feel free to contact me at your convenience.

Thank you,

JNLAducat, Vice President TD Bank, N.A. 401 Route 73 & Kresson Road Voorhees, NJ 08043 P 856/988-8234 F 856/988-8237 Jill.aducat@td.com

Internal

COMMONWEALTH OF PENNSYLVANIA DEPARTMENT OF STATE BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS 401 NORTH STREET, ROOM 206 P.O.BOX 8722 HARRISBURG,PA 17105-8722 WWW.CORPORATIONS.PA.GOV

Louis Cappelli 1010 Kings Highway South Bldg 2 Cherry Hill NJ 08043

.

ļ



MAY - 4 2016

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Regional Resources Energy Group

THE BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS IS HAPPY TO SEND YOUR FILED DOCUMENT. THE BUREAU IS HERE TO SERVE YOU AND WE WOULD LIKE TO THANK YOU FOR DOING BUSINESS IN PENNSYLVANIA.

IF YOU HAVE ANY QUESTIONS PERTAINING TO THE BUREAU, PLEASE VISIT OUR WEBSITE AT <u>www.dos.pa.gov/BusinessCharities</u> OR YOU MAY CONTACT US BY TELEPHONE AT (717)787-1057. INFORMATION REGARDING BUSINESS AND UCC FILINGS CAN BE FOUND ON OUR SEARCHABLE DATABASE AT <u>www.corporations.pa.gov/Search/CorpSearch</u>.

ENTITY NUMBER: 6393405

* PENNSYLVANIA DEPARTMENT OF STATE BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS

Application for Registration of Fictitious Name 54 Pa.C.S. § 311

Louis Cappelli			
Address 1010 Kings Highw	ay South Bldg ?		
	* * *		
City	State	Zip Code	
Cherry Hill	NJ	08043	

Document will be returned to the name and address you enter to the left.



MAY - 4 2016

Fee: \$70.00

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

In compliance with the requirements of 54 Pa.C.S. § 311 (relating to registration), the undersigned entity(ies) desiring to register a fictitious name under 54 Pa.C.S. Ch. 3 (relating to fictitious names), hereby state(s) that:

1. The fictitious name is:

Regional Resources Energy Group

2. A brief statement of the character or nature of the business or other activity to be carried on under or through the fictitious name is:

Marketer/Broker engaged in the business of natural gas supply services and electricity supply services to the public

3. The address, including n acceptable):	umber and street, if any,	of the principal pla	ace of busines	s (P.O. Box alone is not
1307 White Horse Road, Building D	Voorhees	NJ	08043	Out Of State
Number and street	City	State	Zip	County

4.	The name and address, including number and street, if any, of each individual interested in the business is:					
	Name	Number and Street	City	State	Zip	
	Deborah L Simone	1307 White Horse Road , Bu United States , 08043	ilding D , Voorh	ees, Out Of	State, NJ,	
		Curren States , 08045				

DSCB: 54-311-2

fictitious name.

,

Name	Form of Organization	Organizing Jurisdiction
Principal Office Address		
PA Registered Office, if any		

7. (Optional): The name(s) of the agent(s), if any, any one of whom is authorized to execute amendments to, withdrawals from or cancellation of this registration in behalf of all then existing parties to the registration, is (are):

	STIMONY WHERI to be executed this	OF, the undersigned has caused this Application for Registration of Ficti	itious
21st	day of April	, <u>2016</u>	
	Deborah L Sin	Dne	
	Individual Sign	ture	

