

16 Tyson Place, Bergenfield, NJ 07621 Tel: 888-850-1872 • Fax: 201-387-2882 www.AstralEnergyLLC.com

July 22, 2016

# RECEIVED

Pennsylvania Public Utility Commission Secretary 400 North Street, Keystone Building Harrisburg, PA 17120

JUL 2-2 2016

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Ref: Astral Energy LLC - Natural Gas Supplier License Application

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Dear Sir/Madam:

Astral Energy LLC would like to become an energy supplier to supply natural gas in the Commonwealth of Pennsylvania. Enclosed please find the following:

- 1. Application Form (13 pages)
- 2. Supporting Attachments (28 pages)
- 3. Appendix A to F (19 pages)
- 4. Application Fee check for \$350.00
- 5. Confidential Documents (2 audited financial statements)
- 6. CD with all files (except the 2 Confidential Documents)

If you have any questions, please contact our office.

Sincerely, The For

Jason K. Fox President

# **BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION**

Application of <u>Astral Energy LLC</u>, d/b/a <u>Astral Energy LLC</u>, for approval to offer, render, furnish, or supply natural gas supply services as a(n) <u>Supplier of Natural Gas</u> to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

#### 1. IDENTIFICATION AND CONTACT INFORMATION

a. IDENTITY OF THE APPLICANT: Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

Astral Energy LLC	Tel:	201-384-5552
16 Tyson Place	Fax:	201-387-2882
Bergenfield, NJ 07621	Websit	e: www.AstralEnergyLLC.com

b. PENNSYLVANIA ADDRESS / REGISTERED AGENT: If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

Northwest Registered Agent, LLC 1150 First Ave, Ste 511 King of Prussia, PA 19406

c. **REGULATORY CONTACT**: Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Jason K. Fox, President Astral Energy LLC 16 Tyson Place Bergenfield, NJ 07621

 Tel:
 201-384-5552

 Fax:
 201-387-2882

 Email:
 JasonFox@AstralEnergyLLC.com

**d. ATTORNEY**: Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Michael A. Gruin Stevens & Lee 17 N. 2<sup>nd</sup> Street, 16<sup>th</sup> Floor Harrisburg, PA 17101

 Tel:
 717-255-7365

 Fax:
 610-988-0852

 Email:
 mag@stevenslee.com

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e. CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: Provide the name, title, address, telephone number, fax number, and e-mail <u>OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED)</u> responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Natural Gas Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed NGSs.

Jason K. Fox, President Ashton Fox, Chief Operating Officer Astral Energy LLC Tel: 888-850-1872 16 Tyson Place Fax: 201-387-2882 Bergenfield, NJ 07621 Email: Info@AstralEnergyLLC.com

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### 2. BUSINESS ENTITY FILINGS AND REGISTRATION

a. FICTITIOUS NAME: (Select appropriate statement and provide supporting documentation as listed.)

The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State Pursuant to 54 Pa. C.S. §311.

#### Or.

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X The Applicant will not be using a fictitious name.

b. BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:

(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)

The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.
  - Or

The Applicant is a:



domestic general partnership (\*)

- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)

domestic limited liability partnership (15 Pa. C.S. §8201)

or .

foreign limited liability general partnership (15 Pa. C.S. §8211)

foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.

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 \* If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124. X The Applicant is a:

domestic corporation (15 Pa. C.S. §1308)

foreign corporation (15 Pa. C.S. §4124)

domestic limited liability company (15 Pa. C.S. §8913)

foreign limited liability company (15 Pa. C.S. §8981)

Other (Describe):

 Provide proof of compliance with appropriate Department of State filing requirements as indicated above.

See <u>Attachment 2b-1</u>: Commonwealth of Pennsylvania – Department of State – Corporation Bureau – Filing Record

- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.

See <u>Attachment 2b-2</u>: Astral Energy LLC - State of NJ, Div. of Revenue – Public Records Filing for New Business Entity 2/26/2009)

- Give name and address of officers

Jason K. Fox, President/CEO Ashton Fox, Chief Operating Officer Astral Energy LLC 16 Tyson Place Bergenfield, NJ 07621

#### 3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

a. AFFILIATES: Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

N/A

**b. PREDECESSORS**: Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

N/A

#### 4. OPERATIONS

a. APPLICANT'S PRESENT OPERATIONS: (select and complete the appropriate statement)

**Definitions** 

- Supplier an entity which provides natural gas supply services to retail gas customers utilizing the jurisdictional facilities of an natural gas distribution company
- Broker/Marketer an entity that acts as an intermediary in the sale and purchase of natural gas but does not take title to the natural gas.

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X The Applicant is presently doing business in Pennsylvania as a

- natural gas interstate pipeline
  - municipality providing service outside its municipal limits
  - local gas distribution company
  - retail supplier of natural gas services in the Commonwealth
- a natural gas producer
- a broker/marketer engaged in the business of supplying natural gas services
- X Other. (Identify the nature of service being rendered) An electricity supplier in PA

See <u>Attachment 4a</u>: – Astral Energy PA PUC Electric License (A-2014-2439632)

or

The Applicant is not presently doing business in Pennsylvania.

b. APPLICANT'S PROPOSED OPERATIONS: The Applicant proposes to operate as a:

X Supplier or Aggregator of natural gas services

Municipal supplier of natural gas services

Cooperative supplier of natural gas services

- Broker/Marketer engaged in the business of supplying natural gas services
  - Check here to verify that your organization will not be taking title to the natural gas nor will you be making payments for customers.

Other (Describe):

c. PROPOSED SERVICES: Describe in detail the natural gas supply services which the Applicant proposes to offer.

Astral Energy LLC would like to become a Natural Gas supplier for the natural gas distribution companies in PA to supply natural gas to their residential and commercial customers.

d. **PROPOSED SERVICE AREA:** Check the box of each Natural Gas Distribution Company for which the Applicant proposes to provide service.

	Columbia	:	📮 Philadelphia Gas Works
X	National Fuel Gas	. ·	UGI Central Penn
X	PECO	:.	UGI Penn natural
Χ	Peoples Gas – Equitable Div.	-	X UGI Utilities
X	Peoples Natural Gas		Valley Energy
X	National Fuel Gas PECO Peoples Gas – Equitable Div. Peoples Natural Gas Peoples TWP	··· .	All of the above

e. CUSTOMERS: Applicant proposes to provide services to:

X	Residential Customers
X	Small Commercial Customers - (Less than 6,000 Mcf annually)
	Residential and Small Commercial as Mixed Meter ONLY (CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE)
	Large Commercial Customers - (6,000 Mcf or more annually) Industrial Customers
	Governmental Customers
	All of above (Except Mixed Meter)
	Other (Describe):

f. **START DATE:** Provide the approximate date the Applicant proposes to <u>actively market</u> within the Commonwealth.

September 2016 -

#### 5. <u>COMPLIANCE</u>

a. CRIMINAL/CIVIL PROCEEDINGS: State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

N/A

**b. SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

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N/A

c. CUSTOMER/REGULATORY/PROSECUTORY ACTIONS: Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers. Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. If the Applicant has no actions or complaints to list, explicitly state such.

Astral has no regulatory problems with PA PUC. There is no actions taken against Astral.

d. SUMMARY: If applicable, provide a statement as to the resolution or present status of any actions listed above.

N/A

#### 6. **PROOF OF SERVICE**

#### **Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.** (Example Certificate of Service is attached at Appendix C)

See <u>Appendix C</u> – Certificate of Service

**a.) STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120			Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120
Office of the Small Business Advo Commerce Building, Suite 1102 300 North Second Street Harrisburg, PA 17101	cate		Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946
		5	Bureau of Investigation & Enforcement Pennsylvania Public Utility Commission Commonwealth Keystone Building 400 North Street, 2 West Harrisburg, PA 17120

b.) NGDCs: Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Natural Gas Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each.NGDC is as follows.

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Columbia Gas of PA, Inc.		
Thomas C. Heckathorn		
200 Civic Center Drive		
Columbus, OH 43215		
PH: 614.460.4996		
FAX: 614.460.6442		
theckathorn@nisource.com		
Peoples Natural Gas - Equitable Divis	sion	National Fuel Gas Distribution Corp.
Lynda Petrichevich		David D. Wolford
225 North Shore Drive		6363 Main Street
Pittsburgh, PA 15212		Williamsville, NY 14221
PH: 412.208.6528		PH: 716.857.7483
FAX: 412.208.6577		FAX: 716.857.7479
e-mail: Lynda.w.petrichevich@peoples-	-gas.com	e-mail: wolfordd@natfuel.com
The Peoples Natural Gas Company		PECO
Lynda Petrichevich		Carlos Thillet, Manager, Gas Supply and
225 North Shore Drive		Transportation
Pittsburgh, PA 15212		2301 Market Street, S9-2
PH: 412.208.6528		Philadelphia, PA 19103
FAX: 412.208.6577		PH: 215.841.6452
e-mail: Lynda.w.petrichevich@peoples-	-gas.com	Email: carlos.thillet@exeloncorp.com
Peoples TWP LLC (Formerly T. W. Ph		Philadelphia Gas Works
Lynda Petrichevich	• •	Nicholas LaPergola
225 North Shore Drive		800 West Montgomery Avenue
Pittsburgh, PA 15212		Philadelphia, PA 19122
PH: 412.208.6528		PH: 215.684.6278
FAX: 412.208.6577	:	email: nicholas.lapergola@pgworks.com
e-mail: Lynda.w.petrichevich@peoples-	-gas.com	
Valley Energy Inc.		UGI
Robert Crocker		David Lahoff
523 South Keystone Avenue		2525 N. 12 <sup>th</sup> Street, Suite 360
Sayre, PA 18840-0340		Reading, PA 19612-2677
PH: 570.888-9664		PH: 610.796.3520
	70.888.6199	
email: bobc@ctenterprises.org		

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## 7. FINANCIAL FITNESS

a. BONDING: In accordance with 66 Pa. C.S. Section 2208(c), no natural gas supplier license shall be issued or remain in force unless the applicant or holder furnishes a bond or other security in a form and amount to ensure the financial responsibility of the natural gas supplier. The criteria used to determine the amount and form of such bond or other security shall be set by each NGDC. Provide documentation that the applicant has met the security requirement of each NGDC by submitting the letters sent by the NGDCs stating what bonding amounts they require.

See <u>Attachment 7a-1</u>: – National Fuel Gas: no security required email. See <u>Attachment 7a-2</u>: – Peoples Natural Gas/Peoples TWP/Peoples Equitable: no security required letter.

See <u>Attachment 7a-3</u>: – PECO natural gas creditworthiness letter. See <u>Attachment 7a-4</u>: – UGI Bond letter.

- **b. FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:
  - Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
  - Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
  - Applicant's accounting statements, including balance sheet and income statements for the past two years.

See <u>Attachment 7b-1</u> – Astral Audited Financial Statements for 2014 [CONFIDENTIAL] See <u>Attachment 7b-2</u> – Astral Audited Financial Statements for 2015 [CONFIDENTIAL]

- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements exhibiting accounts over a minimum two year period.
- Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.
- **c. SUPPLIER FUNDING METHOD:** If Applicant is operating as anything other than <u>Broker/Marketer only</u>, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item. N/A
- d. BROKER PAYMENT STRUCTURE: If applicant is a broker/marketer, explain how your organization will be collecting your fees. N/A
- e. ACCOUNTING RECORDS CUSTODIAN: Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

Tom Grbelja, Burke Grbelja & Symeonides, LLC	Tel:	201-845-7001
201 W. Passaic Street, Suite 301	Fax:	201-845-5054
Rochelle Park, NJ 07662	Email:	tgrbelja@bgs-cpa.com

f. TAXATION: Complete the TAX CERTIFICATION STATEMENT attached as Appendix D to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

See Appendix D: Tax Certification Statement

#### TECHNICAL FITNESS: 8.

To ensure that the present quality and availability of service provided by natural gas distribution companies does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

a. EXPERIENCE, PLAN, STRUCTURE: such information may include:

Applicant's previous experience in the natural gas industry.

Astral Energy LLC has been serving the NJ market for the last 4 years and 7 months (November 2011 to current), and the NY market from August 2014 to current.

> Summary and proof of licenses as a supplier of natural gas services in other states or jurisdictions.

See <u>Attachment 8a-1</u>: Astral Energy LLC: NJ Board of Public Utility Gas License (Please note that no written license has been issued after 2014. Astral Energy LLC is active and in good standing in New Jersey. Please see attached NJ BPU "List of Licensed Gas Supplier" webpage).

See <u>Attachment 8a-2</u>: Astral Energy LLC: NY Public Service Commission eligibility letter

- Type of customers and number of customers Applicant currently serves in other jurisdictions. Astral Energy LLC services over 2,000 meters, comprised of commercial and residential accounts.

Staffing structure and numbers as well as employee training commitments.

Astral Energy LLC has a staff of over 10 people. Our training methodology is comprised of (1) technical proficiency, (2) marketing, (3) customer relations, & (4) regulatory compliance.

Business plans for operations within the Commonwealth.

Astral Energy LLC intends to enter the Penn State market working in conjunction with one or two utilities initially until we determine the economic viability of the marketplace.

Any other information appropriate to ensure the technical capabilities of the Applicant.

#### b. **PROPOSED MARKETING METHOD** (check all that apply)

- Internal Applicant will use its own internal resources/employees for marketing
- X External NGS – Applicant will contract with a PUC LICENSED NGS
- Affiliate - Applicant will use a NON-NGS affiliate that is a nontraditional marketer and/or marketing services consultant
- External Third-Party – Applicant will contract with a NON-NGS third party nontraditional marketer and/or non-selling marketer
- Other (Describe):

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- c. DOOR TO DOOR SALES: Will the Applicant be implementing door to door sales activities?
  - Yes No (select this one)

If yes, will the Applicant be using verification procedures?

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Yes No

X

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If yes, describe the Applicant's verification procedures.

d. OVERSIGHT OF MARKETING: Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

Astral Energy LLC has a very strict compliance policy; it has a full time compliance manager along with 5 full time employees to monitor all marketing processes.

e. OFFICERS: Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.

See <u>Attachment 8e-1</u>: Resume of Jason K. Fox, President/CEO of Astral Energy LLC. See <u>Attachment 8e-2</u>: Resume of Ashton Fox, Chief Operating Officer of Astral Energy LLC.

#### 9. DISCLOSURE STATEMENT:

#### (Not applicable for an applicant applying for a license exclusively as a broker/marketer.)

**DISCLOSURE STATEMENTS:** If proposing to serve Residential and/or Small Commercial (less than 6,000 Mcf annually) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix E to this Application.

- Natural gas should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

See <u>Appendix E</u> – Sample Customer Disclosure

### 10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. STANDARDS OF CONDUCT AND DISCLOSURE: As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 62.114.
  - X AGREED
- **b. REPORTING REQUIREMENTS:** Applicant agrees to provide the following information to the Commission:
  - Reports of Gross Receipts: Applicant shall file an annual report with the Commission on an annual basis no later than April 30<sup>th</sup> following the end of the calendar year per 52 Pa. Code § 62.110.
  - X AGREED

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- c. TRANSFER OF LICENSE: The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. § 2208(d). Transferee will be required to file the appropriate licensing application.
  - X AGREED
- d. ANNUAL FEES: The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling natural gas in the Commonwealth of PA, and a supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.
  - X ACKNOWLEDGED
- e. FURTHER DEVELOPMENTS: Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 62.105.

X AGREED

- f. FALSIFICATION: The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.
  - X AGREED
- g. NOTIFICATION OF CHANGE: If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within thirty (30) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 62.105.
  - X AGREED
- h. CEASING OF OPERATIONS: Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.
  - X AGREED
- i. FILING FEE: The Applicant has enclosed or paid the required, non-refundable filing fee by CERTIFIED CHECK OR MONEY ORDER in the amount of \$350.00 payable to the Commonwealth of Pennsylvania. <u>The Commission does not accept corporate or personal checks for filing fees.</u>

X

PAYMENT ENCLOSED

## 11. AFFIDAVITS

#### (All affidavits must be notarized before filing.)

a.) APPLICATION AFFIDAVIT: Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.

See <u>Appendix A</u> – Application Affidavit

**b.) OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the Public Utility Code of Pennsylvania and applicable federal and state laws. An example copy of this Affidavit can be found at Appendix B.

See <u>Appendix B</u> – Operations Affidavit

#### 12. NEWSPAPER PUBLICATIONS

# Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve.

The chart below dictates which newspapers are necessary for each NGDC. For example, an applicant that wants to operate in Peoples – Equitable would need to run ads in both The Erie Times-News and the Pittsburgh Post-Gazette. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

The only acceptable verification of this requirement is with Notarized Proofs of Publication, which may be requested from each newspaper and must be supplied with this application. Applicants do not need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	Erie Times- News	Harrisburg Patriot- News	Philadelphia Daily News	Pittsburgh Post- Gazette	Scranton Times- Tribune	Williamsport Sun-Gazette	Johnstown Tribune- Democrat
Columbia Gas	X	X -		X		x	Х
National Fuel Gas				Х			
PECO		<u>.</u> .	X				
Peoples - Equitable	X			X			
Peoples Natural Gas	X			Х			Х
Peoples TWP LLC				Х			
Philadelphia Gas Works			x				
UGI	<u> </u>	X	×		х		· _· _· ·
UGI Central Penn	x	X .	x	X	х	х	X
UGI Penn Natural		X			Х	X	
Valley Energy					X	XX	
Entire							
Commonwealth	X	<b>x</b>	X	×	X	X	Х

(Example Publications are provided at Appendices F and G)

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		13.	SIGNATURE	=	
		15.		<b>-</b>	
	Applicant:	As	tral Energy I	LLC	
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	3y:	· · · · ·	11 77	-	c-?
$\mathcal{V}$	Title:	Jason I	K. Fox, Preside	nt/CEO	
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### 14. CHECKLIST

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For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections is complete.

	A	pplicant:Astral Energy LLC	
	x	<b>Signature</b> (see this Application Page 12 – Item 13)	
	х	Filing Fee (ONLY CERTIFIED CHECK OR MONEY ORDER) (Enclosed)	
	х	Application Affidavit (see Appendix A)	
Applicant s Use	x	Operations Affidavit (see Appendix B)	
Induced	х	(see Appendix F)(Appendix G – N/A)	DI IC Socretani's Duront 100
	x	Tax Certification Statement (see Appendix D)	
	x	Commonwealth Department of State Verification (see Attachment 2b-1)	
	x	Certificate of Service (see Appendix C)	

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### Appendix A

# **APPLICATION AFFIDAVIT**

# RECEIVED

#### JUL 22 2016

State of	New Jersey	/	:		
			:	SS.	PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU
County of	Bergen	: 	:		
Jason H	<u> K. Fox</u> , /	Affiant, being duly [sworn/affir	med] accore	ding to law, de	poses and says that:

He is the <u>President</u> of <u>Astral Energy LLC</u>

That he is authorized to and does make this affidavit for said Applicant;

That the Applicant herein <u>Astral Energy LLC</u> has the burden of producing information and supporting

. .

documentation demonstrating its technical and financial fitness to be licensed as an natural gas supplier pursuant to 66 Pa. C.S. § 2208 (c)(1).

That the Applicant herein <u>Astral Energy LLC</u> has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein <u>Astral Energy LLC</u> acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein <u>Astral Energy LLC</u> acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

nature of Affiant: Jason K. Fox, President

Sworn and subscribed before me this

Signature of official administering oath



My commission expires

#### Appendix B

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# **OPERATIONS AFFIDAVIT**

State of	New Jersey	· .	:	
		• .	:	SS.
County of _	Bergen	. •	:	
Jase	on K. Fox	Affiant, b	eing duly [sworn/affirme	ed] according to law,
deposes an	d says that:			

He is the <u>President</u> of <u>Astral Energy LLC</u>;

That he is authorized to and does make this affidavit for said Applicant;

That <u>Astral Energy LLC</u>, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That <u>Astral Energy LLC</u>, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render natural gas supply service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That <u>Astral Energy LLC</u>, the Applicant herein, certifies to the Commission that it is subject to, will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 22 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28 shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional natural gas sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

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JUL 3-2 2016

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

## Appendix B (Continued)

N

That <u>Astral Energy LLC</u>, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506 and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Office of Communications or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.

Signature of Affiant: Jason K. Fox, President Sworn and subscribed before me this 2016. day of Signature of official administering oath COCCERCICAL COCCERCICAC COCCERCICAC COCCERCICAL COCCER OFFICIAL SEAL My commission expires <del>susan C. MUL</del> NOTARY PUBLIC - NEW JERSEY My Comm. Expires Jan. 21, 2018 • **.** . ۰. 18

**Appendix C** Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

#### Example **CERTIFICATE OF SERVICE**

On this the $\underline{M}$ day of $\underline{M}$ $\underline{M}$ $\underline{20}$ $\underline{16}$ , I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as a Natural Gas Supplier and all <u>NON-CONFIDENTIAL</u> attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:				
Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120	Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120			
Office of the Small Business Advocate Commerce Building, Suite 1102 300 North Second Street Harrisburg, PA 17101	Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946			
National Fuel Gas Distribution Corp. David D. Wolford 6363 Main Street Williamsville, NY 14221 PH: 716.857.7483 FAX: 716.857.7479	Bureau of Investigation & Enforcement Pennsylvania Public Utility Commission Commonwealth Keystone Building 400 North Street, 2 West Harrisburg, PA 17120			
e-mail: wolfordd@natfuel.com Peoples Natural Gas – Equitable Division Lynda Petrichevich 225 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: Lynda.w.petrichevich@peoples-gas.com	Peoples TWP LLC (Formerly T. W. Phillips) Lynda Petrichevich 225 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: Lynda.w.petrichevich@peoples-gas.com			
The Peoples Natural Gas Company Lynda Petrichevich 225 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: Lynda.w.petrichevich@peoples-gas.com	PECO Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2 Philadelphia, PA 19103 PH: 215.841.6452 Email: <u>carlos.thillet@exeloncorp.com</u>			
UGI David Lahoff 2525 N. 12 <sup>th</sup> Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3520 Email: <u>dlahoff@ugi.com</u>	JUL 2-2 2016			

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU . · ۰. S Jason K. Fox, President of Astral Energy LLC

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Appendix E Sample Disclosure Statement Format for Natural Gas Suppliers

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# JUL 2 2 2016

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

ASTRAL ENERGY		•	on Place, Bergenfield, NJ 07621 8-850-1872 • Fax: 201-387-2882 www.AstralEnergyLLC.com
Pennsylvania Natural Gas	Supply – Customer A	uthorization Ag	greement – Variable Rate
Select Customer Type:	Commercial	Residential	
Natural Gas Distribution Co. (NGD	C):		_ (Select one from the list below)
	National Fuel Gas Peoples Natural Gas	PECO Peoples TWP	Peoples Gas-Equitable UGI Utilities
Customer's Account Number:		(Can be fou	und on customer's NGDC bill)
Customer's Name on NGDC Bill:	` <b>-</b>		
Customer's Billing Address:			(Line 1)
			(Line 2)
	·		(City/St/Zip)
Contact Name:	· _		
	• •	nth adjustable	ffering a Variable Rate price). The rate for the Dth.
Service Address:	☐ (✓ check here if same	as Customer's Bil	lling Address)
(If different from Billing Address)	. <u>.</u>		(Line 1)
			(Line 2)
	÷		(City/St/Zip)

Customer agrees to purchase from Astral Energy LLC all of their Natural Gas supply requirements for the above listed account(s). Astral Energy LLC will deliver a quantity of Natural Gas that is necessary to meet the Customer's requirements based on the information that Astral Energy LLC receives from Customer's local NGDC.

Customer agrees to designate Astral Energy's LLC to act as Customer's agent in supplying Natural Gas and administering contracts with the local NGDC. Astral Energy LLC will arrange for the transportation of Customer's Natural Gas from the point of purchase to the local NGDC distribution point. The local NGDC will then bill the Customer for the distribution of the Natural Gas to the point of use.

#### By signing below, Customer agrees to Astral Energy LLC's General Terms and Conditions as attached hereto.

Customer:	· · ·	Astral Energy LLC – Representative	)
Signature	Date	Signature	Date
			<u> </u>
Print Name / Title		Print Name / Title / Rep No.	
Company (If applicable)	· · · · · · · · · · · · · · · · · · ·	Company (If applicable)	
	-		

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## Pennsylvania Natural Gas Supply - Terms & Conditions - Variable Rate

This is an agreement for Natural Gas service, between ASTRAL ENERGY LLC and residential and small commercial customers in the State of Pennsylvania.

#### Background

- ASTRAL ENERGY LLC ("ASTRAL ENERGY") is licensed by the Pennsylvania Public Utility Commission to offer and supply Natural Gas services in Pennsylvania. Our PUC license number is <u>A-</u> <u>XXXX-XXXXXXX</u>.
- ASTRAL ENERGY sets the supply prices and charges that you pay. The Public Utility Commission
  regulates distribution prices and services. The Federal Energy Regulatory Commission regulates
  interstate pipeline prices and services.
- You will receive a single bill from your Local Natural Gas Distribution Company ("NGDC") that will
  contain their charges and ASTRAL ENERGY LLC's charges.
- Right of Rescission You may cancel this agreement at any time before midnight of the third business day after receiving this disclosure. You can contact us by phone, in writing or electronically (if available) to cancel this agreement. See Section 8 for how to contact us.

#### DEFINITIONS

- Supply Charge: Charge for the supply of Natural Gas
- Interstate Pipeline Charges: Charges for moving natural gas to the distribution lines of a distribution company

#### TERMS OF SERVICE

#### 1. Variable Rate Plan Basic Charge

Customer will pay a variable price that can change every month. The variable price is a competitive market driven cost including transmission charges, capacity, congestion, line loss, or any other unforeseen cost billed by pipelines, as well as estimated total State taxes, and the gross receipts Tax, but excludes applicable state and local sales tax. There are no limits on how much the price may change from one billing cycle to the next. It is based on market conditions which may be influenced by unforeseen costs. There is no cancellation fee.

Astral's available historical variable billed pricing for the past 24 months can be found at www.AstralEnergyLLC.com. However, please remember that past prices do not indicate present or future prices, savings are not guaranteed.

#### 2. Start Date and Length of Agreement

This Agreement shall take effect as of the date the customer's enrollment is accepted by Astral. Astral will begin furnishing the customer's Natural Gas supply service on a date set by the NGDC. Regardless of the actual Effective Date of this Agreement, the customer will only be charged for Natural Gas supply service under this Agreement once Astral has begun furnishing the supply of Natural Gas to the customer on the date set by the NGDC and will continue on a month-to-month basis.

3. Special Services – Astral Energy currently is not providing any special services.

### 4. Penalties, Fees and Exceptions

Astral Energy's present policy is not to charge any fees or early termination penalties associated with our residential customers or commercial customers on the variable rate plan.

#### 5. Cancellation Provisions

- A. Company-Initiated Cancellation If we cancel this agreement for any reason other than for customer non-payment, we will follow applicable rules in providing notice to you.
- B. Customer-Initiated Cancellation You can cancel this agreement at any time by calling us or using our website. Please see the contact information in Section 8 for our contact information.
- C. Customer Move If the customer moves from the address listed above, this agreement is cancelled.

#### 6. Amendment of Agreement by Astral

If you have a fixed term contract approaching the expiration date, or whenever we propose to change the terms of service in any type of contract, you will receive two separate written notifications that precede either the expiration date or the effective date of the proposed changes. These notifications will explain your options going forward.

The initial notice by first class mail will be sent 45-60 days prior to the effective date of the proposed change, and then an options notice will be sent at least 30 days prior to the effective date of the proposed change. Customers can then decide to accept the proposed changes or to choose another product offering from the NGS, or to select another NGS, or to return to the default service.

#### 7. Dispute Procedures

Contact us with any questions concerning our terms of service. You may call the PUC if you are not satisfied after discussing your terms with us.

8. Contact information – Astral is currently not providing any universal service programs which maybe applicable to NGDC providers. However for the customer's convenience, Astral is providing telephone numbers for Universal Service Programs applicable to each NGDC which Astral is supplying energy.

Supplier's Name	);	Astral Energy LLC	
Address:	· · · · · · · · · · · · · · · · · · ·	16 Tyson Place, Bergenfield, NJ 07621	
Phone Number:		888-850-1872	
Internet Address	s: (. ·	www.AstralEnergyLLC.com	
Public Utility Cor	mmission (PUC):	www.puc.pa.gov	
Address:	•.	P.O. Box 3265, Harrisburg, PA 17105-3265	
Phone Number:	-	800-692-7380	
Natural Gas Dist	ribution Co. (NGDC)	- Emergency Phone	
	Columbia Gas	888-460-4332	
	National Fuel Gas	888-444-3130	
	PECO	800-841-4141	

National Fuel Gas	888-444-3130
PECO	800-841-4141
Peoples Gas - Equitable	800-764-0111
Peoples Natural Gas	800-764-0111
Peoples TWP	800-222-5101
UGI Utilities	800-276-2722

#### 9. Limitations on Warranty & Damages

The customer understands and agrees that there are no warranties, either express or implied, associated with the supply of Natural Gas service provided by Astral. Astral will bear no liability to the customer or any third party for consequential, punitive, incidental, special or other indirect damages, including but not limited to acts of God. If Astral proposes to change the terms of service in any type of contract, you will receive two separate written notifications that precede the effective date of the proposed changes. These notifications will explain your options going forward.

#### 10. Emergency

In the event of an emergency, the customer should contact their NGDC.

#### 11. Force Majeure

Astral will not be responsible for supplying Natural Gas to the customer in the event of any cause or circumstances beyond its control such as events of Force Majeure. The term "Force Majeure" shall include, without limitation, strike, stoppage in labor, inability to obtain necessary materials, natural disasters, earthquakes, fire, floods, ice, lightning, storms, snow, rain, hurricanes, wind, invasion, war, terrorism, changes in federal, state or local law or regulation or ordinance or interpretation thereof or resulting change in any permit, license or approval requirement if such changes affect performance under this Agreement, acts of God or public enemies, failure or malfunction of system facilities and unscheduled outage of generating units or transmission or pipeline facilities. If Astral proposes to change the terms of service in any type of contract, you will receive two separate written notifications that precede the effective date of the proposed changes. These notifications will explain your options going forward.

#### 12. Information Release Authorization

By entering into this Agreement, the customer authorizes Astral and their agents to obtain and review information regarding the customer's credit history from credit-reporting agencies and to obtain the customer's information from the NGDC concerning the customer's account that includes, but is not limited to: billing history, payment history, account number, historical and future Natural Gas usage, meter readings and characteristics of the customer's Natural Gas service. Astral will not release the customer's private confidential information to a third party without the customer's express consent. The customer may elect to restrict the release of the following information: (1) telephone, (2) historical billing data, via a returned signed form, orally or electronically.

#### 13. Assignment

The customer may not assign this Agreement, in whole or in part, or any of their rights or obligations. Astral reserves the right to assign this Agreement to another energy supplier or other entity as authorized by the PUC. Any required notice will be considered to have been made if mailed to the customer at the address in Astral's records for their account.

#### 14. Waiver of Jury Trial

TO THE FULLEST EXTENT PERMITTED BY LAW, ANY DISPUTE ARISING OUT OF OR RELATING TO THIS AGREEMENT, INCLUDING CLAIMS ARISING IN CONTRACT, TORT, STATUTORY OR OTHERWISE, SHALL BE SETTLED EXCLUSIVELY AND FINALLY BY ARBITRATION IN ACCORDANCE WITH THE RULES AND PROCEDURES OF THE AMERICAN ARBITRATION ASSOCIATION. ANY ARBITRATION PROCEEDING HEREUNDER SHALL BE CONDUCTED EXCLUSIVELY IN PENNSYLVANIA. NEITHER PARTY MAY ALTER, AMEND, OR OTHERWISE CHANGE THE BINDING OBLIGATION TO ARBITRATE DISPUTES SET FORTH IN THIS PROVISION WITHOUT THE EXPRESS CONSENT OF THE OTHER PARTY. BY ENTERING INTO THIS AGREEMENT, CUSTOMER AGREES TO BINDING ARBITRATION AND WILL NOT PURSUE ANY FURTHER ACTION IN A COURT OF LAW. If Astral proposes to change the terms of service in any type of contract, you will receive two separate written notifications that precede the effective date of the proposed changes. These notifications will explain your options going forward.

#### 15. Governing Law

THE TERMS OF SERVICE ARE MADE UNDER AND SHALL BE GOVERNED BY AND CONSTRUED IN ACCORDANCE WITH THE LAWS OF THE COMMONWEALTH OF PENNSYLVANIA, EXCLUSIVE OF ANY CONFLICT OF LAWS PROVISIONS THEREOF THAT WOULD APPLY THE LAWS OF ANOTHER JURISDICTION. EXCEPT AS OTHERWISE PROVIDED IN THE TERMS OF SERVICE, THE PENNSYLVANIA UNIFORM COMMERCIAL CODE ("UCC") SHALL APPLY TO THE TERMS OF SERVICE AND NATURAL GAS SHALL BE CONSIDERED A "GOOD" (AND NOT A "SERVICE") FOR PURPOSES OF THE UCC. If Astral proposes to change the terms of service in any type of contract, you will receive two separate written notifications that precede the effective date of the proposed changes. These notifications will explain your options going forward.

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# SUMMARY OF DISCLOSURE STATEMENT

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Natural Gas Supplier	Astral Energy LLC (www.As	tralEnergyLLC.com) - 888-850-1872		
Information	Astral Energy LLC is responsible for the customer's Natural Gas supply charges.			
Price Structure	VARIABLE RATE PLAN Customer will pay a variable price that can change every month. The variable price is a competitive market driven cost including transmission charges, capacity, congestion, line loss, or any other unforeseen cost billed by pipelines, as well as estimated total state taxes, and the gross receipts tax, but excludes applicable state and local sales tax. There are no limits on how much the price may change from one billing cycle to the next. It is based on market conditions which may be influenced by unforeseen costs. Customer will receive notification of a price change upon receipt of their monthly consolidated bill from the NGDC and savings cannot be guaranteed.			
Generation/Supply Price	There is no introductory rate	e. The first billing cycle rate will be \$ Dth		
Statement Regarding Savings	stability on an annual basis.	Astral Energy LLC's goal is to provide value to its customers through price stability on an annual basis. Astral has no control over the monthly supply charges of the NGDC thus any savings cannot be guaranteed.		
Contract Start Date	Astral will begin furnishing the date set by the NGDC.	Astral will begin furnishing the customer's Natural Gas supply service on a date set by the NGDC.		
Contract Term / Length	Month-to-Month.			
Cancellation / Early	The Variable Rate Plan is o	n a month-to-month basis. There are no		
Termination Fees	cancellation or termination p	penalties.		
Renewal Terms	at any time by providing writ termination or Astral receivin time, Astral may make ch conditions in this Agreem approaching the expiratio change the terms of servi receive an initial notice by effective date of the proper	n a month-to-month basis, the customer can cance then notification to Astral in advance of desired ing a drop file from the local NGDC. From time to anges or amendments to the terms and ent. If the customer has a fixed term contract in date, or whenever Astral proposes to ce in any type of contract, customer will y first class mail 45-60 days prior to the posed change, and then an options notice at effective date of the proposed change.		
Natural Gas Distribution Company Information	Your NGDC will continue to deliver your Natural Gas and charges you distribution. In case of emergency, outage, or other service issues, contact your NGDC directly:			
	National Fuel Gas PECO Peoples Gas - Equitable Peoples Natural Gas Peoples TWP	888-444-3130 800-841-4141 800-764-0111 800-764-0111 800-222-5101		

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# Appendix F EXAMPLE FORM OF NOTICE FOR NGS ONLY

#### PENNSYLVANIA PUBLIC UTILITY COMMISSION <u>NOTICE</u>

Application of <u>Astral Energy LLC</u> (d/b/a "<u>Astral Energy LLC</u>") For Approval To Offer. Render, or Furnish Natural Gas Services as a Supplier, Aggregator, or Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsylvania.

Astral Energy LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier or aggregator of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. Astral Energy LLC proposes to sell natural gas and related services throughout all of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Astral Energy LLC** may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to **Astral Energy LLC's** attorney at the address listed below.

By and through Counsel: Michael A. Gruin Stevens & Lee 17 N. 2<sup>nd</sup> Street, 16<sup>th</sup> Floor Harrisburg, PA 17101 Tel: 717-255-7365 Fax: 610-988-0852

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PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

See attached "Notarized Proof of Publication" from the following 7 newspapers:

	Erie Times- News	Harrisburg Patriot- News	Philadelphia Daily News	Pittsburgh Post- Gazette	Scranton Times- Tribune	Williamsport Sun-Gazette	Johnstown Tribune- Democrat
Columbia Gas							
National Fuel Gas		···		X			
PECO			x				
Peoples - Equitable	Х			X	-		
<b>Peoples Natural Gas</b>	X			X			Х
Peoples TWP LLC		•		X	-		
Philadelphia Gas Works							
UGI		<b>X</b> -	x		x		· ··
UGI Central Penn							
UGI Penn Natural							
Valley Energy		.`.					
Entire Commonwealth							

### PROOF OF PUBLICATION In THE ERIE TIMES-NEWS

#### COMBINATION EDITION

Astral Energy LLC 16 Tyson Place Bergenfield NJ 07621

REFERENCE: 81524 212479 PUC Notice

STATE OF PENNSYLVANIA)

COUNTY OF ERIE ) SS: Tom Mezler, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, a true and correct copy of which is attached, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

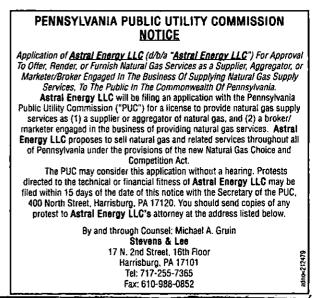
PUBLISHED ON: 07/13/16

TOTAL COST: \$409.00

AD SPACE: 0 Lines

FILED ON: 07/13/16

Sworn to and subscribed before me this $3^{\text{m}}$ day of $3^{\text{m}}$ 20	16
Affiant:	
NOTARY: Um K. Wilson	



#### COMMONWEALTH OF PENNSYLVANIA

NOTARIAL SEAL Ann K. Wilson, Notary Public City of Erie, Erie County My Commission Expires March 16, 2019 MEMBER, PENNSYLVAN: A ASSOCIATION OF NOTARIES

E. S. (163) (17)
 E. S. (163) (17)

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#### **Proof of Publication in The Philadelphia Daily News** Under Act. No 587, Approved May 16, 1929

#### STATE OF PENNSYLVANIA **COUNTY OF PHILADELPHIA**

Cindy Jakubowski being duly sworn, deposes and says that The Philadelphia Daily News is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

#### July 13, 2016

Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

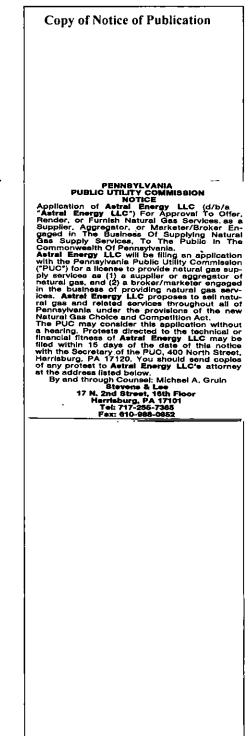
Cindy Jabubowski

Sworn to and subscribed before me this 13th day of July, 2016.

Mary and Notary P

My Commission Expires:

COMMONWEALTH OF PENNSYLVANIA NOTARIAL SEAL MARY ANNE LOGAN, Notary Public City of Philadelphia, Phila. County My Commission Expires March 30, 2017



#### No.\_\_

#### Term,

# Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss <u>P. Reed</u>, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the <u>regular</u> editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

#### 12 of July, 2016

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Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PG Publishing Company Sworn to and subscribed before me this day of: July 12, 2016

COMMONWEALTH OF PENNSYLVANIA NOTARIAL SEAL Linda M. Gaertner, Notary Public City of Pittsburgh, Allegheny County My Cammission Expires Jan. 31, 2019 NEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

#### STATEMENT OF ADVERTISING COSTS

Astral Energy LLC 16 TYSON PL Attn: Shi-Ru Lin Bergenfield NJ 07621-1655

To PG Publishing Company

Total ----- \$412.50

### **Publisher's Receipt for Advertising Costs**

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforsaid advertising and publication costs and certifies that the same have been fully paid.

Office 2201 Sweeney Drive CLINTON, PA 15026 Phone 412-263-1338 PG Publishing Company, a Corporation, Publisher of Pittsburgh Post-Gazette, a Newspaper of General Circulation By

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

#### COPY OF NOTICE OR PUBLICATION

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE Application of Astral En-ergy LLC (d/b/a "Astral Energy LLC") For Approval To Offer, Render, or Furnish Natural Gas Services as a Supplier, Aggregator, or Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsvivania Astral Energy LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply servic-es as (1) a supplier or aggregator of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas ser-vices. Astral Energy LLC proposes to sell natural gas and related services throughout all of Pennsylvaria under the provisions of the new Natural Gas Choice and Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or finan-cial funess of Astral Energy LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120, You should send copies of any protest to Astral Energy LLC's attorney at the address listed below.

By and through Counsel: Michael A. Gruin, Stevens & Lee, 17 N. 2nd Street, 16th Floor, Harrisburg, PA 17101, Tel: 717-255, 7365, Fax: 610-988-0852

#### (Under act P.L. 877 No 160. July 9,1976) The Scranton Times Commonwealth of Pennsylvania, County of Lackawanna

ASTRAL ENERGY LLC SHI-RU LIN 16 TYSON PLACE BERGENFIELD NJ 07621

Account # 599147 Order # 81960029 Ad Price: 228.40

#### LEGAL NOTICE PENNSYLVANI

Gina Krushinski

Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

07/12/2016

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true,  $\mathcal{V}_{\mathcal{N}}$ BRUNDAD

Sworn and subscribed to before me this 12th day of July A.D., 2016

(Notary Public)

COMMONWEALTH OF PENNSYLVANIA Notarial Seal Sharon Venturi, Notary Public City of Scranton, Lackawanna County My Commission Expires Feb. 12, 2018 MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES LEGAL NOTICE

# PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Application of **Astrai Energy LLC** (d/b/a "**Astrai Energy LLC**") For Ap-proval To Offer, Render, or Furnish Natural Gas Services as a Supplier, Aggregator, or Marketer/Broker En-gaged In The Business Of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Penn-svivania svivania.

Astrai Energy LLC will be filing an application with the Pennsylvania Pub-lic Utility Commission ("PUC") for a li-cense to provide natural gas supply services as (1) a supplier or aggregator of natural gas, and (2) a broker/mar-keter engaged in the business of pro-viding natural gas services. Astrai En-ergy LLC proposes to sell natural gas and related services throughout all of Pennsylvania under the provisions of the naw Natural Gas Choice and Com-petition Act.

The PUC may consider this application

without a hearing. Protests directed to the technical or financial fitness of As-tral Energy LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Astral Energy LLC's attorney at the address listed below.

By and through Counsel: Michael A. Gruin Nichael A. Grain Stevens & Lee 17 N. 2nd Street, 16th Floor Harrisburg, PA 17101 Telephone: 717-255-7365 Fax: 610-988-0852

#### PROOF OF PUBLICATION OF NOTICE IN THE WILLIAMSPORT SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

#### STATE OF PENNSYLVANIA COUNTY OF LYCOMING

SS:

Bernard A. Oravec Publisher of the Sun-Gazette Company, publishers of the Williamsport, Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street. Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport Sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette Company, publisher of the Williamsport Sun-Gazette, pt under oath and also declares that affiant is not interested in the subject matter of the aforesaid notice to verify PENNSYLVANIA of publi

PUBLIC UTILITY COMMISSION NOTICE Application of <u>Astral En-ergy LLC</u> (d/b/a "<u>Astral</u> Energy LLC") For Appro-val To Offer, Render, or Furnish Natural Gas Services as a Supplier, Aggregator, or Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Ol Peonsylvania Astral Energy LLC will

be filing an application with the Pennsylvania with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier or aggregator of natural gas, and (2) a broker/marketer engaged In the business of providing natural gas services. Astral Energy LLC pro-poses to sell natural gas 089 and related services throughout all of Pennsyl-vania under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Astral Energy LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Harrisburg, PA Street,

17120. You should send THE S Astral Energy LLC's at-tomey at the address list-

and cer ed below.

By and through Counsel: Michael A. Gruin Stevens & Lee -17 N. 2nd Street, 16th Floor Harrisburg, PA 17101 Tel: 717-255-7365 Fax: 610-988-0852

allegations in the foregoing statement as to time, place and character of publication are true.

Sent A.

SUN-GAZETTE COMPANY Sworn to and subscribed before me the / day of Notary Pu HOYARML SEM. GAINAY A. BOLLEY, Noting Fability City of Wellemaport, Lycarning County

STATEMENT OF ADVERTISING COSTS

Hy Constitution Explore Flay 16, 2019

Apr-1	
To the Sun-Gazette Company, Dr.:	
For publishing the notice attached	200 01
For publishing the notice attached hereto on the above state dates	\$770,76
Probated same	\$
Probated same Total	\$250.76

#### UBLISHER'S RECEIPT FOR ADVERTISING COSTS

PANY hereby acknowledges receipt of the aforesaid advertising and publication costs have been fully paid.

#### SUN-GAZETTE COMPANY

BY Bernard A. Oravec

#### **COMMONWEALTH OF PENNSYLVANIA County of Cambria**

# PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Application of <u>Astral Energy</u> <u>LLC</u> (d/b/a <u>"Astral Energy</u> <u>LLC</u>") For Approval To Offer, Render, or Furnish Natural Gas Services as a Supplier, Aggregator, or Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsylvania.

Astral Energy LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier or aggregator of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. Astral Energy LLC proposes to sell natural gas and related services throughout all of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Astral Energy LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120, You should send copies of

of The	By and through Counsel: Michael A. Gruin
.ĭ ı	Stevens & Lee
the sul	17 N. 2nd Street, 16th Floor
said pi	Harrisburg, PA 17101
sau pi	Tel: 717-255-7365
	Fax: 610-988-0852

publish any protest to Astrai Energy LLC's attorney at the address nunty of Cambria, and Commonwealth of Pennsylvania and that th listed below. bove matter published in said publication in the regular issues PA, on July 14, 2016; and that the Affiant is not interested in I that all of the allegations as to time, place and character of

STATEMENT OF ADVERTISING COSTS

Minia	Sh
$\int$	

Sworn and Subscribed before me this

14th day of July. 2016.

0.00 Lines @ \$2.50 per line	0.00
6.5 Inches @ \$25.00 per inch	162.50
Notary Fee	5.00
Clerical Fee	2.50
Total Cost	170.00

COMMONWEALTH OF PENNSYLVANIA

Notarial Seal Vivian Ohs, Notary Public City of Johnstown, Cambria County My Commission Expires Dec. 6, 2016 MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

To The Tribune-Democrat, Johnstown, PA For publishing the notice or publication attached hereto on the above stated dates.

#### **PUBLISHER'S RECEIPT FOR ADVERTISING COSTS**

for publisher of

a newspaper of general circulation, hereby acknowledges receipt of the aforesaid and publication costs and certifies that the same has been duly paid.

(Name of Newspaper)

By\_

SS

On this 14th day of July A.D. 2016, before me, the subscriber, a Notary Public in and for said County and State, personally appeared Christine Marhefka, who being duly sworn according to law, deposes and says as Classified Advertising Manager of the Tribune-Democrat, Johnstown, PA, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8, 1952, of the Johnstown Tribune, established December 7, 1853; and of the Johnstown Democrat, established March 5, 1863,



# The Patriot News LEGAL AFFIDAVIT

AD#: 0007738502

Commonwealth of Pennsylvania,) ss

County of Cumberland)

Victoria Soto being duly swom, deposes that he/she is principal clerk of the PA Media Group; that The Patriot News is a public newspaper published in the city of Mechanicsburg, with general circulation in Cumberland and Dauphin and surrounding counties, and this notice is an accurate and true copy of ad(s) as printed in said newspaper, was printed and published in the regular edition and issue of said newspaper on the following date(s):

The Patriot News 07/14/2016

Sworn to and subscribed before me this 15th day of July 2016

Crystal B. Rosinste

COMMONWEALTH OF PENNSYLVANIA NOTARIAL SEAL Crystal B. Rosensteel, Notary Public Susquehanna Twp., Dauphin County My Commission Expires June 27, 2020 VEMSER, PENNSYLVANIA ASSOCIATION OF NOTARIES

#### PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Application of Astral Energy LLC (d/b/a "Astral Energy LLC") For Approval To Offer, Render, or Furnish Natural Gas Services as a Supplier, Aggregator, or Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsylvania.

Asiral Energy LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier or aggregator of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. Astral Energy LLC proposes to sell natural gas and related services throughout all of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial filness of **Astral Energy LLC** may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to **Astrai Energy LLC's** attorney at the address listed below.

By and through Counsel: Michael A. Gruin Stevens & Lee 17 N. 2nd Street, 16th Floor Harrisburg, PA 17101 Tel: 717-255-7365 Fax: 610-988-0852



# Commonwealth of Pennsylvania Public Utility Commission Natural Gas Supplier License Application

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Attachment 2b-1: Commonwealth of Pennsylvania - Department of State - Corporation Bureau - Filing Record

# RECEIVED

JUL 2/2 2016

PA PUBLIC UTILITY CUMMISSION SECRETARY'S BUREAU

#### COMMONWEALTH OF PENNSYLVANIA DEPARTMENT OF STATE BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS 401 NORTH STREET, ROOM 206 P.O. BOX 8722 HARRISBURG, PA 17105-8722 <u>WWW.CORPORATIONS.STATE.PA.US/CORP</u>

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ASTRAL ENERGY LLC

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THE BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS IS HAPPY TO SEND YOU YOUR FILED DOCUMENT. THE BUREAU IS HERE TO SERVE YOU AND WANTS TO THANK YOU FOR DOING BUSINESS IN PENNSYLVANIA.

IF YOU HAVE ANY QUESTIONS PERTAINING TO THE BUREAU, PLEASE VISIT OUR WEB SITE LOCATED AT <u>WWW.CORPORATIONS.STATE.PA.US/CORP</u> OR PLEASE CALL OUR MAIN INFORMATION TELEPHONE NUMBER (717)787-1057. FOR ADDITIONAL INFORMATION REGARDING BUSINESS AND / OR UCC FILINGS, PLEASE VISIT OUR ONLINE "SEARCHABLE DATABASE" LOCATED ON OUR WEB SITE.

ENTITY NUMBER: 4278162

Astral Energy LLC 16 Tyson Place Bergenfield, NJ 07621

#### PENNSYLVANIA DEPARTMENT OF STATE CORPORATION BUREAU

Application for Registr	ation - Foreign
(15 Pa.C.S.)	)
Registered Limited Liability	General Partnership (§ 8211)
Registered Limited Liability	Limited Partnership (§ 8211)
Limited Partnership (§ 858	2)
X Limited Liability Company	(§ 8981)
	Document will be returned to the

Zip Code

ASTRAL ENERGY LLC

16 TYSON PLACE

City State BERGENFIELD, NJ 07621 Commonwealth of Pennsylvania APPLICATION FOR REGISTRATION 3 Page(s)

name and address you enter to

the left.



Fee: \$250

In compliance with the requirements of the applicable provisions (relating to registration), the undersigned, desiring to register to do business in this Commonwealth, hereby states that:

1. The name of the limited liability company/limited liability partnership/limited partnership in the jurisdiction in which it is formed:

ASTRAL ENERGY LLC

2. The name under which the limited liability company/limited liability partnership/limited partnership proposes to register and do business in this Commonwealth is:

ASTRAL ENERGY LLC

3. The name of the jurisdiction under the laws of which it was organized and the date of its formation:

Jurisdiction: New Jersey Date of Formation: 2/26/2009

4. The (a) address of its initial registered office in this Commonwealth or (b) name of its commercial registered office provider and the county of venue is:

(a) Numb	er and street	Çity	State	Zip	County
		<u></u>			<u></u>
(b) Name Northwest	of Commercial Reg Registered Age	istered Office Provider ent. LLC.	، ، یہ در در اور اور اور اور اور اور اور اور اور اور اور اور	·····	Montgomery

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PA DEPT. OF STATE

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JUN 2 8 2014

DSCB:15-8981/8211/8582-2

<ol> <li>Check and complete one of the fo</li> <li>The address of the office require that jurisdiction is:</li> </ol>	<b>.</b>	n the jurisdiction of its o	rganization by the laws c
16 Tyson Place, Bergenfield	I, NJ 07621		
Number and street	City	State	Zip
It is not required by the laws of of its principal office is:	its jurisdiction of organize	ation to maintain an offic	ce therein and the address
Number and street	City	State	Zip

6. For Restricted Professional Limited Liability Company Only. Strike out if inapplicable: The company is a restricted professional company organized to render the following professional service(s): N/A

#### Limited Liability Partnership and Limited Partnership: Complete paragraphs 7 and 8

<ol> <li>The name and business addr Name N/A</li> </ol>	ess of each general pa	rtner. Business Address	
	÷		

The address of the office at which is kept a list of the names and addresses of the limited partners and their capital contribution is:
 N/A

Number and street City State Zip County

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The registered partnership hereby undertakes to keep those records until its registration to do business in the Commonwealth is canceled or withdrawn.

IN TESTIMONY WHEREOF, the undersigned has caused this Application for Registration to be signed by a duly authorized officer/member or manager thereof this

11 day of June # 20/4 ASTRAL ENERGY LLC Name of Partnership/Company res. Bignature Jason K. Fox, President Title

Rev. 11/2010



#### Commonwealth of Pennsylvania Public Utility Commission Natural Gas Supplier License Application

<u>Attachment 2b-2</u>: Astral Energy LLC – State of NJ, Div. of Revenue – Public Records Filing for New Business Entity (2/26/2009)

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# RECEIVED

JUL 2 2 2016

Mail to: PO Box 308 Trenton, NJ 08625

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#### STATE OF NEW JERSEY DIVISION OF REVENUE

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225 West State St. 3rd Floor Trenton, NJ 08608-1001

#### PUBLIC RECORDS FILING FOR NEW BUSINESS ENTITY (Fee Required)

Fill out all information below INCLUDING INFORMATION FOR ITEM 11, and sign in the space provided. Please note that once filed, this form constitutes your original certificate of incorporation/formation/registration/authority, and the information contained in the filed form is considered <u>public</u>. Refer to the instructions for delivery/return options, filing fees and field-by-field requirements. Remember to remit the appropriate fee amount. Use attachments if more space is required for any field, or if you wish to add articles for the public record.

1. Business Name: Astral Energy LLC					
2. Type of Business Entity: L L C (See Instructions for Codes, Page 21, Item 2)		3. Business Purpose : Ar (See Instructions, Page 2	ny lawful pu 22. Item 3)	irpose	
4. Stock (Domestic Corporations only; LLCs and	Non-Profit leave blank):	5. Duration (If Indefinite o	r Perpetual, lea	we bank):	
6. State of Formation/Incorporation (Foreign En	tities Only):	7. Date of Formation/Inco	rporation (For	eign Entities Only	): 
8. Contact Information: Registered Agent Name: Joan Fox	·			FILE	)
Registered Office: (Must be a New Jersey <u>street</u> address)	Mair	Business or Principal Business /	Address:	FEB 262	2009
Street 16 Tyson Place	Stree	t			
City Bergenfield, NJ 7	2ip 07621 City	State		ATE TREA	JUKEK
<ul> <li>9. Management (Domestic Corporations and Lim</li> <li>For-Profit and Professional Corporations list i</li> <li>Domestic Non-Profits list Board of Trustees, I</li> <li>Limited Partnerships list all General Partners.</li> </ul>	nitial Board of Directors, mini minimum of 3;			10258	ス
Name	Street Address	City	State	Zip	-
	·			····	-
The signatures below certify that the business entity	has complied with all applicable	filing requirements pursuant to the	laws of the Sta	te of New Jersey.	-
10. Incorporators (Domestic Corporations Only, m	inimum of I)				
Name	Street Address	City	State	Zip	
	· · · ·				
Signature(s) for the Publ	ic Record (See instructions f	or Information on Signature Ro	equirements)		
Signature	Name	Title		Date	
I wron anny	Sharon Vanness	Authorized Per	son	2/26/09	
· · · · · · · · · · · · · · · · · · ·	··				



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16 Tyson Place, Bergenfield, NJ 07621 Tel: 888-850-1872 • Fax: 201-387-2882 www.AstralEnergyLLC.com

#### Commonwealth of Pennsylvania Public Utility Commission Natural Gas Supplier License Application

Attachment 4a – Astral Energy Pennsylvania Public Utility Commission Electric License



#### JUL 2 2 2016

## PENNSYLVANIA PUBLIC UTILITY COMMISSION

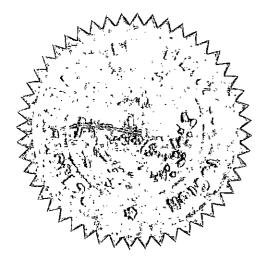
#### IN THE MATTER OF THE APPLICATION OF: A-2014-2439632

The Application of Astral Energy, LLC to begin to offer, render, furnish or supply electric generation supplier services as a supplier of electricity to residential, small commercial (25 kw and under demand), large commercial (over 25 kw demand), industrial, and governmental customers in all of the electric distribution company service territories throughout the Commonwealth of Pennsylvania.

#### **EFFECTIVE DATE: FEBRUARY 26, 2015**

The Pennsylvania Public Utility Commission hereby certifies that after an investigation and/or hearing, it has, by its report and order made and entered, found and determined that the granting of the application is necessary or proper for the service, accommodation, convenience and safety of the public and hereby issues, evidencing the Commission's approval, to the applicant this:

## LICENSE FOR ELECTRIC GENERATION SUPPLIER.



In Witness Whereof, the PENNSYLVANIA PUBLIC UTILITY COMMISSION has caused these presents to be signed and sealed, and duly attested by its Secretary at its office in the city of Harrisburg this 26<sup>TH</sup> DAY OF FEBRUARY 2015.

Secretary



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#### Commonwealth of Pennsylvania Public Utility Commission Natural Gas Supplier License Application

Attachment 7a-1 - National Fuel Gas - No security required email

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## JUL 2-2 2016



#### Astral Energy becomes a supplier for National Fuel Gas in PA

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Beverly Hogan <HoganB@natfuel.com> To: "slin@astralenergyllc.com" <slin@astralenergyllc.com> Cc: Dave Wolford <WolfordD@natfuel.com> Wed, Mar 16, 2016 at 7:16 AM

Shi-Ru,

1

This email is in response to your email to Dave Wolford of National Fuel Gas Distribution Corporation regarding a bonding letter.

Within the Natural Gas Supplier(NGS) License Application Package (see below - Section 6 Proof of Service page 10), there is a certificate of service that has to be submitted stating that each of the Natural Gas Distribution Companies that Applicant proposes to provide service in has to be sent a copy of the application and attachments. Once we receive at least a draft copy of Astral Energy's application that is going to be filed or a copy of the application that has been filed with the Pennsylvania Public Utility Commission ("PUC"), a bonding letter will be provided to you promptly via email and/or postal service to submit to the Commission. We are interested in at least the first 14 pages of this application, which can be emailed to me and/or Dave Wolford. Upon discussion with the PUC, it is my understanding that the bonding letters (Section 7), as well as the proof of publications (Section 12), can be submitted at a later date than when the original application is submitted.

Thank you,	
Bev Hogan	:
National Fuel Gas Distribution Corporation	
Transportation Services Help Desk	
(716) 857-7232 Fax (716) 857-7479	
Email: tsssupport@natfuel.com	•
6. Proof of Service	•

b.) NGDCs: Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Natural Gas Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each NGDC is as follows.

From: S. Lin (Astral Energy) [mailto:slin@astralenergyllc.com]

Sent: Monday, March 14, 2016 1:15 PM

To: Dave Wolford

#### Cc: Jason Fox (Astral)

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Subject: Astral Energy becomes a supplier for National Fuel Gas in PA

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\*\*\*\*\* THIS IS AN EXTERNAL EMAIL, DO NOT OPEN LINKS OR ATTACHMENTS UNLESS YOU TRUST THE SENDER \*\*\*\*\*

[Quoted text hidden]



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#### Commonwealth of Pennsylvania Public Utility Commission Natural Gas Supplier License Application

Attachment 7a-2 - Peoples Gas /Peoples Natural Gas / Peoples TWP - No security required letter

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PEOPLES TWP

225 North Shore Drive Pittsburgh, PA 15212

Lynda W. Petrichevich Director, Rates and Requirements Forecasting

Peoples Service Company LLC Phone: 412-208-6528; Fax: 412-208-6577 Email: Ipetrichevich@peoples-gas.com

March 18, 2016

Jason K. Fox President Astral Energy LLC 16 Tyson Place Bergenfield, NJ 07621

Dear Mr. Fox:

We are pleased that Astral Energy LLC has applied for a license to provide natural gas services on the Peoples Group of Companies. Specifically you have requested to be licensed as a supplier on the distribution systems of Peoples Natural Gas Company LLC, Peoples TWP, and Peoples Natural Gas LLC – Equitable Division ("the Companies").

Since Astral Energy LLC is not currently operating a Pool on the Peoples systems, we have determined at this time that Astral Energy LLC does not need a bond or other financial security requirement to provide these services to the Company's customers.

If a Pool is established which alters the creditworthiness requirement or the Company's exposure to Astral Energy LLC provision of services on the Peoples' system changes in the future, the Companies may deem it appropriate to require a bond or other financial instrument.

If you have any questions feel free to contact me at 412-208-6528 or by email at Lynda.W.Petrichevich@peoples-gas.com.

Sincerely,

Lynda W. Petrichevich Director – Rates and Requirements Forecasting Peoples Natural Gas Company LLC

Cc: Steven Kolich Carol Miller

SAFETY

UUSTOMER COMMITMENT TRUST COMMUNITY

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16 Tyson Place, Bergenfield, NJ 07621 Tel: 888-850-1872 • Fax: 201-387-2882 www.AstralEnergyLLC.com

#### Commonwealth of Pennsylvania Public Utility Commission Natural Gas Supplier License Application

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Attachment 7a-3 – PECO Creditworthiness Letter

# RECEIVED

JUL 2 2 2016



An Exelon Company

June 28, 2016 PECO - Exelon Corporation Energy Acquisition 2301 Market Street Philadelphia, PA. 19101

# RECEIVED

JUL 2222016

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Mr. Jason K. Fox President/CEO Astral Energy, LLC 16 Tyson Place Bergenfield, NJ 07621 JasonFox @ AstralEnergyLLC.com

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Energy Acquisition is providing this notification letter that Astral Energy, LLC has met the creditworthiness business requirement involved with the Pennsylvania Gas Choice -Low Volume Transportation program, pursuant to the receipt of an initial Surety of \$35,000.00 Guaranty. The Surety may be submitted to PECO via three acceptable forms; a Cash Deposit, a Letter of Credit, or a Surety bond. PECO will hold any surety to cover potential obligations to PECO and other system costs that could result from failure of a Supplier to meet its competitive Natural Gas Supply service delivery obligations.

Please note, although the creditworthiness requirements were met, as referenced within Section 7.13 (Creditworthiness of a Natural Gas Supplier (NGS) Serving Low Volume Transportation Customers) of the Gas Service Tariff, PECO has the right to re-assess the creditworthiness of the company if PECO has any reason to suspect a change in the marketer's financial condition.

If you should have any questions regarding this matter, please contact the Electric & Gas Choice Hotline at 215-841-3700.

Sincerely,

Carol Reilly Manager Energy Acquisition



#### Commonwealth of Pennsylvania Public Utility Commission Natural Gas Supplier License Application

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Attachment 7a-4 – UGI Utilities Bond Letter

# RECEIVED

## JUL 2 2 2016



July 20, 2016

Shi-Ru Lin Contract Administrator Astral Energy LLC 16 Tyson Place Bergenfield, NJ 07621 UGI Utilities, Inc. 2525 North 12<sup>th</sup> Street Suite 360 Post Office Box 12677 Reading, PA 19612-2677

# RECEIVED

#### JUL 2 2 2016

PA PUBLIC UTTER COMMISSION SECRETARY'S BUREAU

**RE:** Astral Energy LLC Financial Security Requirements

Dear Ms. Lin,

UGI Utilities, Inc ("UGIU") has received the performance bond in the amount of \$50,000 as the initial security for Astral Energy, LLC ("ASTRAL") to operate as a natural gas supplier in the service territory of UGI Utilities, Inc. – Gas Division ("UGI"). As a result, UGIU has determined that ASTRAL has demonstrated adequate creditworthiness to satisfy any costs UGI may incur in the event it must operate as a supplier of last resort due to a default on the part of Astral.

This determination may change in the event there is a material deterioration in ASTRAL's financial condition, if ASTRAL's obligations to UGI exceed the amount of the financial security provided, if the financial security is withdrawn or is deemed to be null and void or inadequate due to the material financial deterioration of any guarantor, or if ASTRAL fails to abide by the terms and conditions of the UGI Gas Supplier Coordination Tariff.

Please feel free to contact me with any additional questions that you may have. I can be reached at (610) 796-3520

Sincerely

David E. Lahoff Manager, Tariff & Supplier Administration UGI Utilities, Inc.



16 Tyson Place, Bergenfield, NJ 07621 Tel: 888-850-1872 • Fax: 201-387-2882 www.AstralEnergyLLC.com

#### Commonwealth of Pennsylvania Public Utility Commission Natural Gas Supplier License Application

Attachment 7b-1 – Astral Energy: Audited Financial Statements for 2014 [CONFIDENTIAL]

# RECEIVED

JUL 2 2 2016



#### Commonwealth of Pennsylvania Public Utility Commission Natural Gas Supplier License Application

Attachment 7b-2 – Astral Energy: Audited Financial Statements for 2015 [CONFIDENTIAL]

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# RECEIVED

JUL 2 2 2016



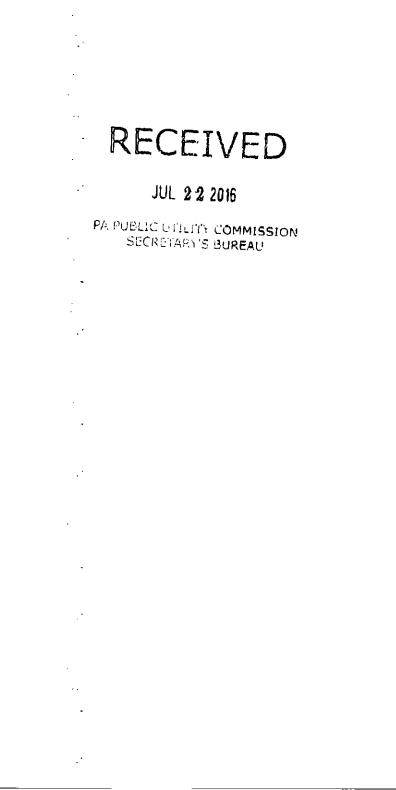
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#### Commonwealth of Pennsylvania Public Utility Commission Natural Gas Supplier License Application

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<u>Attachment 8a-1</u>: Astral Energy LLC: NJ Board of Public Utility Gas License, and webpage showing Astral Energy's active status



State of New Jersey

Board of Public Utilities

44 S. Clinton Ave., P.O. Box 350, Trenton, New Jersey 08625

#### HEREBY LICENSES

Astral Energy LLC 16 Tyson Place Bergenfield, New Jersey 07621

To conduct business in the State of New Jersey as a

**Gas Supplier** 



Kristi Izzo Secretary of the Board License No. GSL-0104 Effective Date:

Expiration Date:

November 22, 2013 November 21, 2014 New Jersey Board of Public Utilities - List of Licensed Gas Suppliers in PSE&G service territory http://www.state.nj.us/bpu/pdf/energy/shopping\_forms/pseggasterritory.pdf

### PSE&G GAS SERVICE TERRITORY Last Updated 11/5/15

#### \*CUSTOMER CLASS - R - RESIDENTIAL C - COMMERCIAL I - INDUSTRIAL

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	Supplier	Telephone & Web Site	*Customer Class
	Agera Energy, LLC 115 route 46, Building F Parsippany, NJ 07054	(844) 692-4372 www.ageraenergy.com	R/C/I
	Ambit Northeast, LLC d/b/a Ambit Energy	877-282-6284	R/C
	103 Carnegie Center Suite 300 Princeton, NJ 08540	www.ambitenergy.com	ACTIVE
	American Power & Gas of NJ, LLC 10000 Lincoln Drive East – Suite 201 Marlton, NJ 08053	(800) 2057491	R/C/I
	Amerigreen Energy, Inc. 333 Sylvan Avenue Suite 305 Englewood Cliffs, NJ 07632	(888)559-4567 www.amerigreen.com	СЛ ACTIVE
****	Astral Energy LLC 16 Tyson Place Bergenfield, NJ 07621	- 888-850-1872 www.AstralEnergyLLC.com	R/C/I ACTIVE
	BBPC, LLC Great Eastern Energy 116 Village Blvd. Suite 200 Princeton, NJ 08540	888-651-4121 www.greateasternenergy.com	C ACTIVE
	Choice Energy, LLC 4257 US Highway 9, Suite 6C Freehold, NJ 07728	- (888) 565-4490	R/C/I
		www.4choiceenergy.com	
	Clearview Electric Inc. d/b/a Clearview Gas 1744 Lexington Ave.	800-746-4720	R/C
	Pennsauken, NJ 08110	www.clearviewenergy.com	ACTIVE

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16 Tyson Place, Bergenfield, NJ 07621 Tel: 888-850-1872 • Fax: 201-387-2882 www.AstralEnergyLLC.com

## Commonwealth of Pennsylvania Public Utility Commission Natural Gas Supplier License Application

Attachment 8a-2: Astral Energy LLC: NY Public Service Commission eligibility letter

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#### JUL 2 2 2016

### STATE OF NEW YORK DEPARTMENT OF PUBLIC SERVICE THREE EMPIRE STATE PLAZA, ALBANY, NY 12223-1350

www.dps.ny.gov



PETER McGOWAN General Counsel

KATHLEEN H. BURGESS Secretary

January 8, 2014

Jason Fox Astral Energy LLC 16 Tyson Place Bergenfield, NJ 07621

#### RE: Astral Energy LLC- January Compliance 2014

Dear Mr. Fox:

PUBLIC SERVICE COMMISSION

AUDREY ZIBELMAN

Chair PATRICIA L. ACAMPORA GARRY A. BROWN

GREGG C. SAYRE

DIANE X. BURMAN Commissioners

Thank you for your recent submittal pursuant to Section 2.D.1 of the New York State Department of Public Service's Uniform Business Practices (UBP). This letter is to inform you that the documentation submitted has been reviewed by Staff and determined to be in compliance with the UBP.

Be advised that to maintain your ESCO eligibility, you must notify Staff of any contact changes, substantive legal, financial or business related changes to your company as they occur. If your business plan changes and you decide to expand your service offerings, you must immediately submit the appropriate documentation for Staff review and determination of how it affects your current eligibility.

If you are using vendors to provide ESCO related services (<u>e.g.</u>, EDI), the ESCO remains fully responsible for any activities the vendors perform. If you have not already done so, or if you have changed vendors since last reporting them to us, please provide Staff a list with contact information for all vendors that you are now using.

Please do not hesitate to contact me with any further questions or concerns at (518) 473-8175 or Robin.Taylor@dps.ny.gov.

Sincerely,

Robin Tay low

Robin Taylor Retail Access Section Office of Consumer Policy



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16 Tyson Place, Bergenfield, NJ 07621 Tel: 888-850-1872 • Fax: 201-387-2882 www.AstralEnergyLLC.com

#### Commonwealth of Pennsylvania Public Utility Commission Natural Gas Supplier License Application

Attachment 8e-1: Astral Energy LLC: Resume for Jason K. Fox, President/CEO

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### JUL 2 2 2016

#### **JASON K. FOX,** *President*

#### **EXPERIENCE:**

#### Astral Energy LLC – President – 2009 to Present

Company was founded to provide clean energy in the form of natural gas and renewable photovoltaic solar power. As president, I have sought opportunities for my clients to develop and integrate solar energy in their buildings. The company is now becoming an ESCO to market retail natural gas and electricity to the residential and small commercial markets.

Daily Responsibilities include:

- General financial oversight of cash flow and disbursements
- Working with the COO and controller.
- Interfacing with the corporate CPA
- Review of tax obligations and major purchases
- State regulatory obligations (SRECs, solar renewable energy certificates, Tier I & II certificates)
- Develop strategic marketing objectives
- Implementation of new energy products

#### Astral Construction Corp. - President - 1983 to 2009

As President established and operate Astral Construction. Company is engaged in general contracting/construction management, specializing in reconstruction and restoration of existing buildings, both interior and exterior, including historic landmarks.

- Started up company from zero, developing financing and setting up all facets of company.
- Conduct detailed technical seminars and manage several project managers within the region.
- Managed development of advertising, public relations/municipal meetings.
- Worked closely with architects, developers and public agencies to develop budgets and construction cost estimating/value engineering programs.
- Built staff to as many as ten, including engineers and project managers. Sourced marketplace for skilled artisans to provide hard to find craft capabilities.
- Successfully bid and completed projects with such organizations as the Department of Defense, the Housing and Urban Development Authority (HUD), hospitals, boards of education, the Port Authority, Palisades Interstate Park Commission, US Postal Service, and private owners.

<i>EDUCATION:</i> MBA, Marketing/Finance - B.S., Marketing/Psychology -	Fairleigh Dickinson University, Teaneck, NJ, 1987 University of Maryland, College Park, MD, 1978
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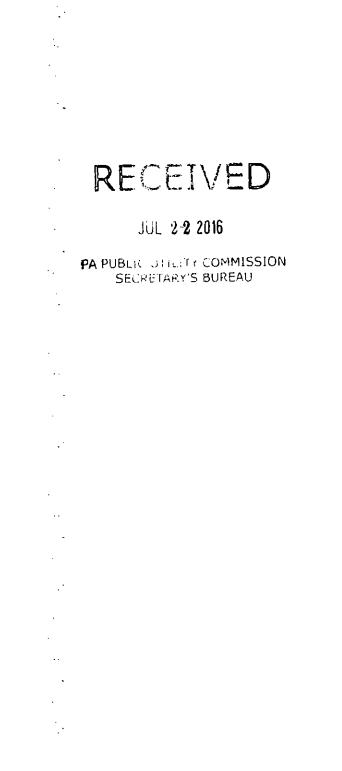
16 Tyson Place, Bergenfield, NJ 07621 Tel: 888-850-1872 • Fax: 201-387-2882 www.AstralEnergyLLC.com

#### Commonwealth of Pennsylvania Public Utility Commission Natural Gas Supplier License Application

Attachment 8e-2: Astral Energy LLC: Resume for Ashton Fox, Chief Operating Officer

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Astral Energy LLC

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## ASHTON FOX, Chief Operating Officer

#### EXPERIENCE:

## Astral Energy LLC – Chief Operating Officer – 2011 to Present

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Job Description:	Manages daily operations of company including interfacing with energy traders, suppliers, governmental agencies, utilities, and energy consultants. Develops daily pricing matrix, and overseas purchases of SRECs (Solar Renewable Energy Certificates). Executes wholesale power, gas, environmental attribute, and ancillary service hedging strategies. Oversees in house staff and outsourced sales staff. Implements company growth strategy.
Astral Construction Corp. –	Project Manager – 2007 to 2011
Job Description / Project List:	Exterior Concrete Panel Seal & Panel Replacement USPS: D.V. Daniels, P&DC/VMF, Newark NJ Contract Amount: \$1,987,000.00
EDUCATION:	B.A. – Montclair State University, Montclair, NJ (2011)
COMPUTER LITERACY:	Microsoft Office Applications, Internet Access, web design, Windows & Microsoft CPM project scheduling



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