



575 Lexington Avenue New York, NY 10022
tel 212 779 7000 fax 212 779 3061

September 16, 2016

Docket No. A-2014-2416214

RECEIVED

SEP 16 2016

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Rosemary Chiavetta
Secretary
Pennsylvania Public Utility Commission
400 North Street
Harrisburg, PA 17120

RE: License Bond or Other Financial Security Renewal Notice

To Whom It May Concern:

In regards to Docket No. A-2014-2416214, SmartEnergy requests a reduction of financial security to 5% of the most recent 12 months of gross receipts. In support of this request, please find enclosed:

1. \$350 filing fee
2. Proof of service of the petition on the statutory advocates and affected EDCs
3. Tax Letter of Good Standing from the Department of Revenue
4. Gross receipts report for the most recent four quarters, July 2015 through June 2016 totaling \$12,377,762

Accordingly, we request a reduction in our security to \$618,888.

If you have any questions or concerns, please do not hesitate to reach out to me.

Regards,

Lloyd Spencer
Chief Operating Officer

Bureau of Compliance
P.O. Box 280946
Harrisburg, PA 17128-0946
www.revenue.pa.gov



Wednesday, August 03, 2016

<i>From:</i>	Jesse Drayer, TACS - PA DoR	<i>ATTN:</i>	Lloyd Spencer
<i>Contact Number:</i>	717.772.3596	<i>Fax Number:</i>	212-779-3061
<i>RE:</i>		<i>Number of Attachments:</i>	1

Additional Comments:

Bureau of Compliance
P.O. Box 280946
Harrisburg, PA 17128-0946
www.revenue.pa.gov



Wednesday, August 03, 2016

SmartEnergy Holdings, LLC
Attention: Lloyd Spencer, COO
575 Lexington Avenue,
4th Floor
New York, New York 10022

RE:

SmartEnergy Holdings, LLC
575 Lexington Avenue,
4th Floor
New York, New York 10022

Federal EIN:
Social Security Number:
Business Partner Number:

Dear Requester,

I am writing to confirm that the above-referenced tax accounts of SmartEnergy Holdings, LLC are current. All returns have been filed with payment as of Wednesday, August 03, 2016. This confirmation is not intended to represent a "Bulk Sale Clearance" under the provision of section 1403 of the fiscal code. This letter does confirm that the account above is in good standing.

Sincerely,

A handwritten signature in black ink, appearing to read 'Jesse Drayer', written over a horizontal line.

Jesse Drayer, Tax Account Collections Supervisor,
PA Department of Revenue,
Bureau of Compliance,
Business License Clearance Division

CERTIFICATE OF SERVICE

On this the 16 day of SEPTEMBER 2016, I certify that a true and correct copy of the foregoing filing and all **NON-CONFIDENTIAL** attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2 West
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

Small Business Advocate
Commerce Building, Suite 202
300 North Second Street
Harrisburg, PA 17101

Director of Customer Energy Services
Orange and Rockland Company
390 West Route 59
Spring Valley, NY 10977-5300

Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

Legal Department
Attn: Paul Russell
PPL
Two North Ninth Street
Allentown, PA 18108-1179

Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
2525 N. 12th Street, Suite 360
Post Office Box 12677
Reading, Pa 19612-2677

Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU



Dan Kern, CEO SmartEnergy Holdings, LLC

ORIGIN ID: JRBA (212) 779-7000
DANIEL KERN
575 LEXINGTON AVE.
4TH FLOOR
NEW YORK, NY 10022
UNITED STATES US

SHIP DATE: 16SEP16
ACTWGT: 1.00 LB
CAD: 104659068/NET3790

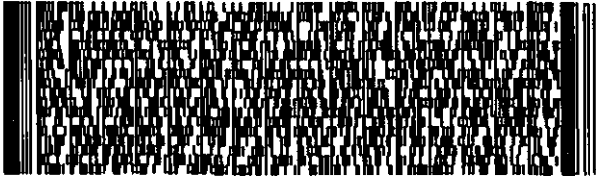
BILL SENDER

TO ROSEMARY CHIAVETTA
PA PUBLIC UTILITY COMMISSION
400 NORTH ST.

HARRISBURG PA 17120

(717) 772-7777 REF
INV PO DEPT.

544.J1/A05314EB

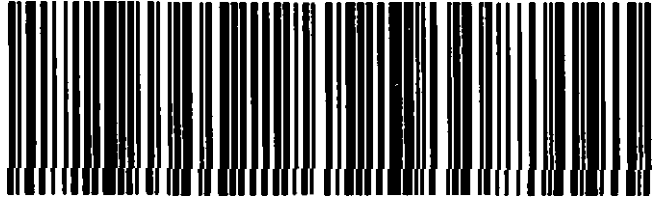


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