

**ELECTRIC GENERATION SUPPLIER (EGS) LICENSE APPLICATION
(BROKER/MARKETER - ONLY)**

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PA PUBLIC UTILITY COMMISSION
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Version Revised 07/01/16

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of YES ENERGY INC, d/b/a N/A, for approval to offer, render, furnish, or supply electricity or electric generation services as BROKER/MARKETER (ITEM # 4B) to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

YES ENERGY INC	PH: (215) 538-5230
ILA M. PATEL	FAX: (267) 371-5210
1139 RED BARN LANE	YESENERGYUSA@GMAIL.COM
QUAKERTOWN, PA 18951	WWW.YESENERGYUSA.COM

b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

N/A

c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

MANU R. PATEL	PH: (215) 538-5230
VICE PRESIDENT	FAX: (267) 371-5210
1139 RED BARN LANE	YESENERGYUSA@GMAIL.COM
QUAKERTOWN, PA 18951	WWW.YESENERGYUSA.COM

d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

LEIGH P. NARDUCCI	RAJ J. PATEL
589 SKIPPAK PIKE # 300	650 NORTH CANNON AVE
BLUE BELL, PA 19422	LANSDALE, PA 19446
PH: (215) 628-3810	PH: (215) 527-8721
FAX: (215) 646-1136	FAX: (786) 471-6177
LNARDUCCI@BLUEBELLLAW.COM	PRAJ6022@GMAIL.COM

e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: (Required of ALL Applicants)** Provide the name, title, address, telephone number, FAX number, and e-mail **OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED)** responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

MANU R. PATEL	ILA M. PATEL	PH: (215) 538-5230
VICE PRESIDENT	PRESIDENT	PH: (215) 399-7214
1139 RED BARN LANE	1139 RED BARN LANE	FAX: (267) 371-5210
QUAKERTOWN, PA 18951	QUAKERTOWN, PA 18951	YESENERGYUSA@GMAIL.COM
		YESILA2@GMAIL.COM
		WWW.YESENERGYUSA.COM

2. BUSINESS ENTITY FILINGS AND REGISTRATION

a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

OR

The Applicant will not be using a fictitious name.

b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**

(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)

The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

OR

The Applicant is a:

- domestic general partnership (*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- * If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

OR

The Applicant is a:

- domestic corporation (15 Pa. C.S. §1308)
- foreign corporation (15 Pa. C.S. §4124)
- domestic limited liability company (15 Pa. C.S. §8913)
- foreign limited liability company (15 Pa. C.S. §8981)
- Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.

EXHIBIT

[F]

- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.

- Give name and address of officers.

ILA M. PATEL
PRESIDENT
1139 RED BARN LANE
QUAKERTOWN, PA 18951

MANU R. PATEL
VICE PRESIDENT
1139 RED BARN LANE
QUAKERTOWN, PA 18951

3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

a. AFFILIATES: Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

NONE

b. PREDECESSORS: Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

NONE

4. OPERATIONS

a. **APPLICANT'S PRESENT OPERATIONS:** *(select and complete the appropriate statement)*

Definitions

- Supplier – an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC.
- Aggregator - an entity that purchases electric energy and takes title to electric energy as an intermediary for sale to retail customers.
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of electric energy but does not take title to electric energy.

The Applicant is presently doing business in Pennsylvania as a

- municipal electric corporation
- electric cooperative
- local gas distribution company
- provider of electric generation, transmission or distribution services
- broker/marketer engaged in the business of supplying electricity services
- Other; Identify the nature of service being rendered.

PROVIDING REFERRAL AND CONSULTING SERVICES TO CUSTOMERS AND ENERGY SUPPLIERS

OR

The Applicant is not presently doing business in Pennsylvania.

b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a *(may check multiple)*:

- Generator of electricity
- Supplier of electricity
- Aggregator engaged in the business of supplying electricity
- Broker/Marketer engaged in the business of supplying electricity services
 - Check here to verify that your organization will not be taking title to the electricity nor will you be making payments for customers.
- Electric Cooperative and supplier of electric power
- Other (Describe):

c. **PROPOSED SERVICES:** Describe in detail the electric services or the electric generation services which the Applicant proposes to offer.

- PROPOSE TO SERVE AS AN INTERMEDIARY BETWEEN CUSTOMERS AND ENERGY SUPPLIERS
- APPLICANT WILL PRIMARILY SERVE AS A BROKER. ONCE ESTABLISHED, APPLICANT WILL EXPLORE BEING A MARKETER
- HELP CUSTOMERS TO SHOP FOR THE MOST COMPETITIVE ENERGY RATES
- PROVIDE PROFESSIONAL SERVICES TO CREATE LEADS AND PROVIDE MARKETING & SALES SUPPORT TO SUPPLIERS AND OTHER BROKERS/MARKETERS

d. **PROPOSED SERVICE AREA:** Check the box of each Electric Distribution Company for which the Applicant proposes to provide service.

- Citizens' Electric
- Duquesne Light
- Met-Ed
- PECO
- Penelec
- Penn Power

- Pike
- PPL
- UGI Utilities
- Wellsboro
- West Penn

Entire Commonwealth of PA

e. **CUSTOMERS:** Applicant proposes to provide services to:

- Residential Customers
- Small Commercial Customers - (25 kW and Under)
- Residential and Small Commercial as Mixed Meter ONLY (CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE)
- Large Commercial Customers - (Over 25 kW)
- Industrial Customers
- Governmental Customers
- All of above (Except Mixed Meter)
- Other (Describe):

f. **START DATE:** Provide the approximate date the Applicant proposes to actively market within the Commonwealth.

OCTOBER 31, 2016

5. COMPLIANCE

- a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

NONE

- b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

N/A

- c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. If the Applicant has no actions or complaints to list, explicitly state such.

NONE

- d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

N/A

6. PROOF OF SERVICE

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.
(Example Certificate of Service is attached at Appendix C)

- a. **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2 West
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

**EXHIBIT
[G]**

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

Office of the Small Business Advocate
Commerce Building, Suite 202
300 North Second Street
Harrisburg, PA 17101

- b. **EDCs:** Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Electric Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each EDC is as follows.

Pike County Light & Power Company:
Director of Customer Energy Services
Orange and Rockland Company
390 West Route 59
Spring Valley, NY 10977-5300

West Penn:
Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

PECO:
Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Duquesne Light:
Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

PPL:
Legal Department
Attn: Paul Russell
PPL
Two North Ninth Street
Allentown, PA 18108-1179

Met-Ed, Penelec, and Penn Power:
Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

**EXHIBIT
[G]**

UGI:
UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
2525 N. 12th Street, Suite 360
Post Office Box 12677
Reading, Pa 19612-2677

Citizens' Electric Company:
Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

Wellsboro Electric Company:
Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901

7. FINANCIAL FITNESS

a. **BONDING:** In accordance with 66 Pa. C.S. Section 2809(c)(1)(i), the Applicant is required to file a bond or other instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant is...

Furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.

Furnishing the **ORIGINAL** of another initial security for Commission approval, to ensure financial responsibility, such as a parental guarantee, in the amount of \$250,000.

For Marketers and Brokers - Filing for a modification to the \$250,000 requirement and furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.

**EXHIBIT
[D]**

APPLICANT WILL PRIMARILY BE SERVING AS A BROKER AND WILL NOT BE TAKING TITLE TO ELECTRICITY AND WILL NOT PAY ELECTRICITY BILLS ON BEHALF OF ITS CUSTOMERS

CRITICAL BONDING NOTES:

Applicant is required to maintain a bond or other financial instrument the entire time it maintains an EGS license with the Commonwealth of Pennsylvania. If Applicant's security instrument is not continuous, Applicant **MUST** submit a Rider, Amendment, or Continuation Certificate annually based on the expiration date of its security instrument.

Sixty days (60) prior to the security instrument's expiration date, Applicant should contact Stephen Jakab at sjakab@pa.gov to determine the appropriate bonding amount based on a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. Once the amount has been determined, Applicant should overnight the updated security instrument(s) at least thirty (30) days prior to the expiration date to ensure adequate time for staff review and approval of the security instrument(s).

Example version of a bond, letter of credit, and parental guarantee are attached at Appendix D, E, & F, respectively. Applicant's security must follow language from these examples. Any deviation from these examples must be identified in the application and may not be acceptable to the Commission.

b. FINANCIAL RECORDS, STATEMENTS, AND RATINGS: Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
- Applicant's accounting statements, including balance sheet and income statements for the past two years.

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**SEPARATE
ENVELOP**

**EXHIBIT
[H]**

- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements exhibiting accounts over a minimum two year period.
- Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

c. SUPPLIER FUNDING METHOD: If Applicant is operating as anything other than **Broker/Marketer only**, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

OPERATING AS BROKER/MARKETER ONLY

d. BROKER PAYMENT STRUCTURE: If applicant is a broker/marketer, explain how your organization will be collecting your fees.

- APPLICANT WILL COLLECT COMMISSION FROM SUPPLIERS ON A MONTHLY BASIS
- SUPPLIERS WILL DIRECT DEPOSIT FUNDS INTO APPLICANT'S CORPORATE BANK ACCOUNT

e. ACCOUNTING RECORDS CUSTODIAN: Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

JOSEPH OOMMEN	PH: (215) 676-7666
CERTIFIED PUBLIC ACCOUNTANT	FAX: (267) 676-2201
11061 BUSTLETON AVE	CPA@KEYSTONETAX.COM
PHILADELPHIA, PA 19116	WWW.KEYSTONETAX.COM

f. TAXATION: Complete the TAX CERTIFICATION STATEMENT attached as Appendix G to this application.

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SEPARATE ENVELOP All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

EXHIBIT

[E] Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

a. EXPERIENCE, PLAN, STRUCTURE: such information may include:

- Applicant's previous experience in the electricity industry.
- Summary and proof of licenses as a supplier of electric services in other states or jurisdictions.
- Type of customers and number of customers Applicant currently serves in other jurisdictions.
- Staffing structure and numbers as well as employee training commitments.
- Business plans for operations within the Commonwealth.
- Documentation of membership in PJM, ECAR, MAAC, other regional reliability councils, or any other membership or certification that is deemed appropriate to justify competency to operate as an EGS within the Commonwealth.
- Any other information appropriate to ensure the technical capabilities of the Applicant.

EXHIBIT

(I)

b. PROPOSED MARKETING METHOD (*check all that apply*)

- Internal – Applicant will use its own internal resources/employees for marketing
- External EGS – Applicant will contract with a PUC **LICENSED EGS** broker/marketer
- Affiliate – Applicant will use a **NON-EGS** affiliate marketing company and or individuals.
- External Third-Party – Applicant will contract with a **NON-EGS** third party marketing company and or individuals
- Other (Describe):

c. DOOR TO DOOR SALES: Will the Applicant be implementing door to door sales activities?

- Yes
- No

If yes, will the Applicant be using verification procedures?

N/A

- Yes
- No

If yes, describe the Applicant's verification procedures.

N/A

- d. **OVERSIGHT OF MARKETING:** Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.
- VICE PRESIDENT WILL BE PERSONALLY IN-CHARGE OF MARKETING
 - REGULAR FEEDBACK FROM THE CUSTOMERS WILL GO DIRECTLY TO THE VICE PRESIDENT
 - STRICTLY HIRE PROFESSIONAL AND REPUTABLE EMPLOYEES AND SUBCONTRACTORS
 - VICE PRESIDENT WILL APPROVE ALL DOCUMENTS, CONTRACTS AND MARKETING MATERIAL
 - ESTABLISH LEGAL CONSEQUENCES FOR MISREPRESENTATION OR FOUL-PLAY IN EMPLOYEE CONTACTS
- e. **OFFICERS:** Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.

ILA M. PATEL (PRESIDENT)
EXHIBIT EXECUTIVE AND ADMINISTRATIVE OFFICER

[I] MANU R. PATEL (VICE PRESIDENT)
 TECHNICAL, MARKETING AND CUSTOMER SERVICE OFFICER

- f. **FERC FILING:** Applicant has:

- Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.
- Received approval from FERC to be a Power Marketer at Docket or Case Number _____.
- Not applicable

9. DISCLOSURE STATEMENTS:

Disclosure Statements: If proposing to serve Residential and/or Small Commercial (under 25 kW) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix H to this Application.

- Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

Not applicable for an applicant applying for a license exclusively as a broker/marketer.

10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. **PJM LOAD SERVING ENTITY REQUIREMENT:** As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:
- proof of registration as a PJM Load Serving Entity (LSE), or
 - proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

(Select only one of the following)

- AGREED - Applicant has included compliance with this requirement in the instant application, labeled in correspondence with this section (10).
- AGREED - Applicant will provide compliance with this requirement within 120 days of receiving its license
- ACKNOWLEDGED - Applicant is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information

b. STANDARDS OF CONDUCT AND DISCLOSURE: As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43, as well as any future amendments.

AGREED

c. REPORTING REQUIREMENTS: Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:

- Retail Electricity Choice Activity Reports: The regulations at 52 Pa. Code §§ 54.201--54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's internet web site.
- Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
- The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
- Net Metering Reports: Applicant shall be responsible to report any Net Metering per the Standards on http://www.puc.pa.gov/consumer_info/electricity/alternative_energy.aspx. Scroll down to the Net Metering Standards Section.
- Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis per 52 Pa. Code § 54.39(d).
- Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.

AGREED

- d. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.

AGREED

- e. **ANNUAL FEES:** The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling electricity in the Commonwealth of PA, and an annual supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.

ACKNOWLEDGED

- f. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.

AGREED

- g. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

AGREED

- h. **NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within twenty (20) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 54.34.

AGREED

- i. **CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

AGREED

- j. **Electronic Data Interchange:** The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at Appendix K.

AGREED

- k. **FILING FEE:** The Applicant has enclosed or paid the required, non-refundable filing fee by **CERTIFIED CHECK OR MONEY ORDER** in the amount of \$350.00 payable to the Commonwealth of Pennsylvania. The Commission does not accept corporate or personal checks for filing fees.

PAYMENT ENCLOSED

11. AFFIDAVITS

Must be notarized before filing.

EXHIBIT [A] a. **APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.

EXHIBIT [B] b. **OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.

12. NEWSPAPER PUBLICATIONS

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

EXHIBIT [C] Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve.

The chart below dictates which newspapers are necessary for each EDC. For example, an applicant that wants to operate in Penn Power would need to run ads in both The Erie Times-News and the Pittsburgh Post-Gazette. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

The only acceptable verification of this requirement is with Notarized Proofs of Publication, which may be requested from each newspaper and must be supplied with this application. Applicants do not need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	Erie Times-News	Harrisburg Patriot-News	Philadelphia Daily News	Pittsburgh Post-Gazette	Scranton Times-Tribune	Williamsport Sun-Gazette	Johnstown Tribune-Democrat
Citizens' Electric						X	
Duquesne				X			
Met Ed		X	X		X		
PECO			X				
Penelec	X	X			X	X	X
Penn Power	X			X			
Pike					X		
PPL		X	X		X	X	
UGI					X		
Wellsboro						X	
West Penn		X		X		X	X
Entire Commonwealth	X	X	X	X	X	X	X

(Example Publications are provided at Appendices I and J)

13. SIGNATURE

Applicant: YES ENERGY INC
 By: ele. M. Patel.
 Title: PRESIDENT

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 SECRETARY'S BUREAU

14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections are complete.

Applicant: YES ENERGY INC

Applicant's Use	X	Signature	
	X	Filing Fee (CERTIFIED CHECK OR MONEY ORDER ONLY)	
	X	Application Affidavit	
	X	Operations Affidavit	
	X	Proof of Publication	
	X	Bond or Letter of Credit	
	X	Tax Certification Statement	
	X	Commonwealth Department of State Verification	
	X	Certificate of Service	

PUC Secretary's Bureau Use

Appendix A

APPLICATION AFFIDAVIT

[Commonwealth/State] of PENNSYLVANIA :

ss.

County of BUCKS :

ILA M. PATEL, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the PRESIDENT (Office of Affiant) of YES ENERGY INC (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein YES ENERGY INC has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

That the Applicant herein YES ENERGY INC has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

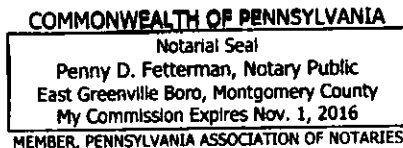
That the Applicant herein YES ENERGY INC acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein YES ENERGY INC acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

ILA M. Patel
Signature of Affiant

Sworn and subscribed before me this 30 day of August, 2016.



Penny D. Fetterman
Signature of official administering oath

My commission expires Nov 1, 2016

RECEIVED
SEP 27 2016
PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Appendix B

OPERATIONS AFFIDAVIT

RECEIVED

SEP 27 2016

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

[Commonwealth/State] of PENNSYLVANIA :

ss.

County of BUCKS :

ILA M. PATEL, Affiant, being duly [sworn/affirmed] according to law,
deposes and says that:

[He/she is the PRESIDENT (Office of Affiant) of YES ENERGY INC
(Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That YES ENERGY INC, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That YES ENERGY INC, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That YES ENERGY INC, the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

Appendix B (Continued)

That YES ENERGY INC, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

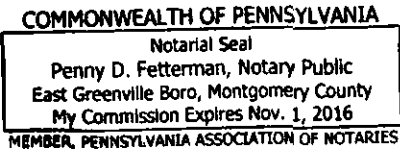
That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.

Elex M. Patel

Signature of Affiant

Sworn and subscribed before me this 30 day of August, 2016.



Penny D. Fetterman
Signature of official administering oath

My commission expires Nov. 1, 2016.

RECEIVED
SEP 27 2016
PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

EXHIBIT C
PROOF OF PUBLICATION

Notice of filing this application has been published in all seven newspapers per application instructions (Page 17, #12).

1. Erie Times News
2. Harrisburg Patriot News
3. Philadelphia Daily News
4. Pittsburg Post Gazette
5. Scranton Times Tribune
6. Williamsport Sun Gazette
7. Johnstown Tribute Democrat

This exhibit contains **Notarized Proofs of Publications** from each newspaper.

RECEIVED
SEP 27 2016
PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

PROOF OF PUBLICATION
In
THE ERIE TIMES-NEWS
COMBINATION EDITION

Yes Energy, Inc.
1139 Red Barn Lane
Quakertown PA 18951

REFERENCE: 91481 224220
PUC Notice

STATE OF PENNSYLVANIA)
COUNTY OF ERIE) SS:

Tom Mezler, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, a true and correct copy of which is attached, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 09/16/16

TOTAL COST: \$409.00 AD SPACE: 0 Lines

FILED ON: 09/16/16

**PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE**

Application of Yes Energy Inc For Approval To Offer, Render, or Furnish Services as a Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply Services. To The Public In The Commonwealth Of Pennsylvania.

Yes Energy Inc will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license as a marketer/broker engaged in the business of natural gas and electric supply services. Yes Energy Inc proposes to sell electricity, natural gas, and related services in the entire Commonwealth of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Yes Energy Inc may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Yes Energy Inc's attorney at the address listed below.

By and through Counsel: Leigh P. Narducci
Narducci, Moore, Fleisher, Roeborg & Wolfe, LLP
589 Skippack Pike # 300
Blue Bell, PA 19422
Ph: (215) 628-3810
Fax: (215) 646-1136

EP-224220

Sworn to and subscribed before me this 16th day of September 2016

Affiant: [Signature]

NOTARY: [Signature]

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Barbara J. Moore, Notary Public
City of Erie, Erie County
My Commission Expires March 23, 2020
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES



The Patriot News

LEGAL AFFIDAVIT

AD#: 0007821784

Commonwealth of Pennsylvania,) ss
County of Cumberland)

Shannon Wass being duly sworn, deposes that he/she is principal clerk of the PA Media Group; that The Patriot News is a public newspaper published in the city of Mechanicsburg, with general circulation in Cumberland and Dauphin and surrounding counties, and this notice is an accurate and true copy of ad(s) as printed in said newspaper, was printed and published in the regular edition and issue of said newspaper on the following date(s):

The Patriot News 09/15/2016

Sworn to and subscribed before me this 16th day of September 2016

COMMONWEALTH OF PENNSYLVANIA

Notarial Seal

Donna M. Maldonado, Notary Public
Susquehanna Twp., Dauphin County
My Commission Expires Nov. 5, 2017

MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE

Application of Yes Energy Inc For Approval To Offer, Render, or Furnish Services as a Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply Services, To The Public In The Commonwealth Of Pennsylvania.

Yes Energy Inc will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license as a marketer/broker engaged in the business of natural gas and electric supply services. Yes Energy Inc proposes to sell electricity, natural gas, and related services in the entire Commonwealth of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Yes Energy Inc may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Yes Energy Inc's attorney at the address listed below.

By and through Counsel: Leigh P. Narducci

Narducci, Moore, Fleisher, Roebert & Wolfe, LLP
589 Skippack Pike # 300
Blue Bell, PA 19422
Ph: (215) 628-3810
Fax: (215) 646-1136

Proof of Publication in The Philadelphia Daily News
Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA
COUNTY OF PHILADELPHIA

Cindy Jakubowski being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

September 15, 2016

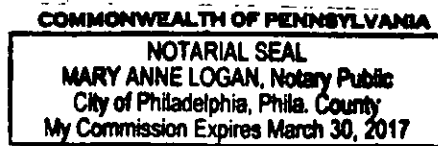
Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

Cindy Jakubowski

Sworn to and subscribed before me this 15th day of
September, 2016.

Mary Anne Logan
Notary Public

My Commission Expires:



Copy of Notice of Publication

**PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE**
Application of **Yes Energy Inc** For Approval To Offer, Render, or Furnish Services as a Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services and Electricity Supply Services, To The Public in The Commonwealth Of Pennsylvania.
Yes Energy Inc will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license as a marketer/broker engaged in the business of natural gas and electric supply services. **Yes Energy Inc** proposes to sell electricity, natural gas, and related services in the entire Commonwealth of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.
The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Yes Energy Inc** may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to **Yes Energy Inc's** attorney at the address listed below.
By and through Counsel: Leigh P. Narducci
Narducci, Moore, Fleisher, Roebig & Wolfe, LLP
589 Skippack Pike # 300
Blue Bell, PA 19422
Ph: (215) 628-3810
Fax: (215) 648-1138

Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss P. Reed, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

14 of September, 2016

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

P. Reed

PG Publishing Company

Sworn to and subscribed before me this day of:
September 14, 2016

Linda M. Gaertner

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Linda M. Gaertner, Notary Public
City of Pittsburgh, Allegheny County
My Commission Expires Jan. 31, 2019
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

STATEMENT OF ADVERTISING COSTS

Yes Energy Inc
1139 RED BARN LN
Attn: Manu Patel
QUAKERTOWN PA 18951-2443

To PG Publishing Company

Total ----- \$412.50

Publisher's Receipt for Advertising Costs

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

Office
2201 Sweeney Drive
CLINTON, PA 15026
Phone 412-263-1338

PG Publishing Company, a Corporation, Publisher of
Pittsburgh Post-Gazette, a Newspaper of General Circulation

By *Samuel J. Arbutina* Samuel J. Arbutina

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

COPY OF NOTICE OR PUBLICATION

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE Application of Yes Energy Inc For Approval To Offer, Render, or Furnish Services as a Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services and Electricity Supply Services, To The Public In The Commonwealth Of Pennsylvania.

Yes Energy Inc will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license as a marketer/broker engaged in the business of natural gas and electric supply services. Yes Energy Inc proposes to sell electricity, natural gas, and related services in the entire Commonwealth of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Yes Energy Inc may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Yes Energy Inc's attorney at the address listed below.

By and through Counsel: Leigh P. Narducci, Narducci, Moore, Fleisher, Rosenberg & Wolfe, LLP, 589 Skippack Pike # 300, Blue Bell, PA 19422, Ph: (215) 628-3810, Fax: (215) 646-1136

The Scranton Times (Under act P.L. 877 No 160. July 9,1976)
Commonwealth of Pennsylvania, County of Lackawanna

YES ENERGY INC
MANU R PATEL
1139 RED BARN LANE QUAKERTOWN PA 18951

Account # 619595
Order # 81987275
Ad Price: 205.65

LEGAL NOTICE PENNSYLVANI

Gina Krushinski

Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

09/14/2016

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true Gina Krushinski

Sworn and subscribed to before me
this 14th day of September A.D., 2016

Sharon Venturi

(Notary Public)

COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Sharon Venturi, Notary Public
City of Scranton, Lackawanna County
My Commission Expires Feb. 12, 2018
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

LEGAL NOTICE

PENNSYLVANIA PUBLIC UTILITY
COMMISSION NOTICE

Application of Yes Energy Inc For Approval to Offer, Render, or Furnish Services as a Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services and Electricity Supply Services, To The Public In The Commonwealth Of Pennsylvania.

Yes Energy Inc will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license as a marketer/broker engaged in the business of natural gas and electric supply services. Yes Energy Inc proposes to sell electricity, natural gas, and related services in the entire Commonwealth of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Yes Energy Inc may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Yes Energy Inc's attorney at the address listed below.

By and through Counsel:
Leigh P. Narducci
Narducci, Moore, Fleisher,
Roeberg & Wolfe, LLP
589 Skippack Pike # 300
Blue Bell, PA 19422
Ph: (215) 628-3810
Fax: (215) 648-1136

PROOF OF PUBLICATION OF NOTICE IN THE WILLIAMSPORT SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA
COUNTY OF LYCOMING

SS:

Bernard A. Oravec Publisher of the Sun-Gazette Company, publishers of the Williamsport, Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport Sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

September 15, 2016

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette Company, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and also declares that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.

LEGAL NOTICES

**PENNSYLVANIA
PUBLIC UTILITY
COMMISSION
NOTICE**

Application of Yes Energy Inc For Approval To Offer, Render, or Furnish Services as a Marketer / Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply Services, To The Public In The Commonwealth Of Pennsylvania.

Yes Energy Inc will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license as a marketer/broker engaged in the business of natural gas and electric supply services. Yes Energy Inc proposes to sell electricity, natural gas, and related services in the entire Commonwealth of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Yes Energy Inc may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Yes Energy Inc's attorney at the address listed below.

By and through Counsel:
Leigh P. Narducci
Narducci, Moore, Fleisher,
Roeberg & Wolfe, LLP
589 Skippack Pike # 300
Blue Bell, PA 19422
|| (610) 628-2810

Bernard A. Oravec

SUN-GAZETTE COMPANY

Sworn to and subscribed before me

the 15th day of September 2016

Christina Dewald

COMM. ... PENNSYLVANIA

Notary Public



STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette Company, Dr.:	
For publishing the notice attached	
hereto on the above state dates.....	\$ 214.76
Probated same.....	\$
Total.....	\$ 214.76

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

THE SUN-GAZETTE COMPANY hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

SUN-GAZETTE COMPANY

BY Bernard A. Oravec

COMMONWEALTH OF PENNSYLVANIA }
County of Cambria } SS

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE
Application of Yes Energy Inc For Approval To Offer, Render, or Furnish Services as a Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services and Electricity Supply Services, To The Public In The Commonwealth Of Pennsylvania.
 Yes Energy Inc will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license as a marketer/broker engaged in the business of natural gas and electric supply services. Yes Energy Inc proposes to sell electricity, natural gas, and related services in the entire Commonwealth of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.
 The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Yes Energy Inc may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Yes Energy Inc's attorney at the address listed below.
 By and through Counsel: Leigh P. Narducci
 Narducci, Moore, Fliesher, Roeborg & Wolfe, LLP
 589 Skippack Pike # 300
 Blue Bell, PA 19422
 Ph: (215) 628-3810
 Fax: (215) 646-1138

published that the of The J interest in the subject matter of said notice or advertising and that all of the allegations as to time, place and character of said publication are true.

On this 20th day of September A.D. 2016, before me, the subscriber, a Notary Public in and for said County and State, personally appeared Christine Marhefka, who being duly sworn according to law, deposes and says as Classified Advertising Manager of the Tribune-Democrat, Johnstown, PA, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8, 1952, of the Johnstown Tribune, established December 7, 1853; and of the Johnstown Democrat, established March 5, 1863,

County of Cambria, and Commonwealth of Pennsylvania and the matter published in said publication in the regular issues of The Tribune-Democrat, Johnstown, PA, on September 15, 2016; and that the Affiant is not interested in the subject matter of said notice or advertising and that all of the allegations as to time, place and character of said publication are true.

Christine Marhefka

STATEMENT OF ADVERTISING COSTS

Sworn and Subscribed before me this 20th day of September, 2016.

[Signature]

0.00 Lines @ \$2.50 per line	0.00
6 Inches @ \$25.00 per inch	150.00
Notary Fee	5.00
Clerical Fee	2.50
Total Cost	157.50

COMMONWEALTH OF PENNSYLVANIA
 Notarial Seal
 Vivian Ohs, Notary Public
 City of Johnstown, Cambria County
 My Commission Expires Dec. 6, 2016
 MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

To The Tribune-Democrat, Johnstown, PA
For publishing the notice or publication attached hereto on the above stated dates.

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

_____ for publisher of _____
a newspaper of general circulation, hereby acknowledges receipt of the aforesaid and publication costs and certifies that the same has been duly paid.

(Name of Newspaper)

By _____

EXHIBIT E
TAX CERTIFICATION STATEMENT

The applicant's **Tax Certification Statement** is considered **CONFIDENTIAL**. Therefore, it has been submitted to the Secretary of the PUC in a separate envelop per PUC instructions (52 Pa. Code § 54.32 and Application Instructions, Confidential Documents, Page 3).

RECEIVED
SEP 27 2016
PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

EXHIBIT F
COMMONWEALTH DEPARTMENT OF STATE VERIFICATION

The applicant is a domestic corporation incorporated in the Commonwealth of Pennsylvania. This exhibit includes the following per application instructions (Page 6, #2-b):

1. Copy of the Article of Incorporation
2. Names and addresses of officers, as follows:

Ila M. Patel
President
1139 Red Barn Lane
Quakertown, PA 18951

Manu R. Patel
Vice President
1139 Red Barn Lane
Quakertown, PA 18951

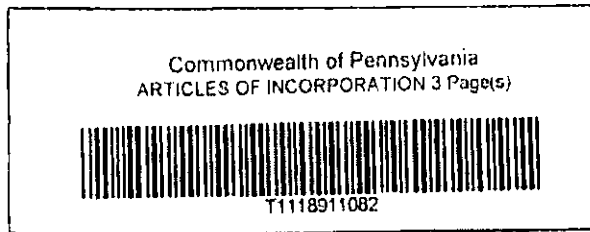
RECEIVED
SEP 27 2016
PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

EXHIBIT F (CONTINUED)
COMMONWEALTH DEPARTMENT STATE VERIFICATION

Entity #: 4042470
 Date Filed: 06/27/2011
 Carol Aichele
 Secretary of the Commonwealth

PENNSYLVANIA DEPARTMENT OF STATE CORPORATION BUREAU	
Articles of Incorporation-For Profit (15 Pa.C.S.)	
Entity Number <hr/>	<input checked="" type="checkbox"/> Business-stock (§ 1306) <input type="checkbox"/> Management (§ 2703) <input type="checkbox"/> Business-nonstock (§ 2102) <input type="checkbox"/> Professional (§ 2903) <input type="checkbox"/> Business-statutory close (§ 2303) <input type="checkbox"/> Insurance (§ 3101) <input type="checkbox"/> Cooperative (§ 7102)
Name ILA PATEL <hr/> Address 1139 RED BARN LN <hr/> City State Zip Code QUACKERTOWN, PA 18951	Document will be returned to the name and address you enter to the left. ←

Fee: \$125



In compliance with the requirements of the applicable provisions (relating to corporations and unincorporated associations), the undersigned, desiring to incorporate a corporation for profit, hereby states that:

1. The name of the corporation (*corporate designator required, i.e., "corporation", "incorporated", "limited" "company" or any abbreviation, "Professional corporation" or "P.C."*):

YES ENERGY INC.

2. The (a) address of this corporation's current registered office in this Commonwealth (*post office box, alone, is not acceptable*) or (b) name of its commercial registered office provider and the county of venue is:

(a) Number and Street	City	State	Zip	County
1139 RED BARN LN	QUACKERTOWN	PA	18951	BUCKS

(b) Name of Commercial Registered Office Provider _____ County _____

c/o: _____

3. The corporation is incorporated under the provisions of the Business Corporation Law of 1988.

PA DEPT. OF STATE

JUN 27 2011 The aggregate number of shares authorized: 100

PA DEPT. OF STATE

JUL 08 2011

EXHIBIT F (CONTINUED)
COMMONWEALTH DEPARTMENT STATE VERIFICATION

DS&B:15-1306,2102/2303/2702/2903/3101/7102A-2

5. The name and address, including number and street, if any, of each incorporator (all incorporators must sign below):

Name	Address
ILA PATEL	1139 RED BARN LN, QUAKERTOWN PA 18951

6. The specified effective date, if any: _____
month/day/year hour, if any

7. Additional provisions of the articles, if any, attach an 8½ by 11 sheet.

8. *Statutory close corporation only:* Neither the corporation nor any shareholder shall make an offering of any of its shares of any class that would constitute a "public offering" within the meaning of the Securities Act of 1933 (15 U.S.C. 77a et seq.)

9. *Cooperative corporations only:* Complete and strike out inapplicable term:

The common bond of membership among its members/shareholders is: NA

IN TESTIMONY WHEREOF, the incorporator(s)
has/have signed these Articles of Incorporation this

25 day of JUNE, 2011.

X Elex M. Patel
Signature

X Elex M. Patel
Signature

EXHIBIT G
CERTIFICATE OF SERVICE

CERTIFICATE OF SERVICE

On this the 27th day of September 2016, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all **NON-CONFIDENTIAL** attachments have been served as a searchable PDF version on a cd-rom, upon the following:

Bureau of Investigation & Enforcement Pennsylvania Public Utility Commission Commonwealth Keystone Building 400 North Street, 2 West Harrisburg, PA 17120	Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120
Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120	Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946
Small Business Advocate Commerce Building, Suite 202 300 North Second Street Harrisburg, PA 17101	Director of Customer Energy Services Orange and Rockland Company 390 West Route 59 Spring Valley, NY 10977-5300
Legal Department West Penn Power d/b/a Allegheny Power 800 Cabin Hill Drive Greensburg, PA 15601-1689	Manager Energy Acquisition PECO Energy Company 2301 Market Street Philadelphia, PA 19101-8699
Regulatory Affairs Duquesne Light Company 411 Seventh Street, MD 16-4 Pittsburgh, PA 15219	Legal Department Attn: Paul Russell PPL Two North Ninth Street Allentown, PA 18108-1179
Legal Department First Energy 2800 Pottsville Pike Reading PA, 19612	UGI Utilities, Inc. Attn: Rates Dept. – Choice Coordinator 2525 N. 12th Street, Suite 360 Post Office Box 12677 Reading, Pa 19612-2677
Citizens' Electric Company Attn: EGS Coordination 1775 Industrial Boulevard Lewisburg, PA 17837	Wellsboro Electric Company Attn: EGS Coordination 33 Austin Street P. O. Box 138 Wellsboro, PA 16901

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SEP 27 2016

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Ilia M. Patel

ILIA M. PATEL, PRESIDENT
YES ENERGY INC

Documents deemed confidential have been submitted exclusively to the Secretary of the PUC in a separate envelop and excluded from this copy of the application per PUC instructions (52 Pa. Code § 54.32 and Application Instructions, Confidential Documents, Page 3).

EXHIBIT H
FINANCIAL RECORDS

The applicant's **Tax Returns** and **Bank Statements** are considered **CONFIDENTIAL**. Therefore, they have been submitted to the Secretary of the PUC in a separate envelop per PUC instructions (52 Pa. Code § 54.32 and Application Instructions, Confidential Documents, Page 3).

EXHIBIT H content:

1. Income Statement (2014-2015)
2. 2014 Tax Return (Confidential)
3. 2015 Tax Return (Confidential)
4. Bank Statements (Confidential)

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

EXHIBIT H (CONTINUED)
FINANCIAL RECORDS

Yes Energy Inc
Income Statement 2014-2015

Time Period		2014		2015
Gross Income		\$ 22,550.00		\$ 12,961.00
Expenses				
	Accounting	\$ 650.00		\$ 850.00
	Automobile	\$ 3,425.00		\$ 4,585.00
	Cell Phone	\$ 650.00		\$ 650.00
	Marketing/Supplies	\$ 1,450.00		\$ 1,020.00
	Office Expenses	\$ 1,254.00		\$ 650.00
	Travel	\$ 1,850.00		\$ -
Total Expenses		\$ 9,279.00		\$ 7,755.00
Net Profit:		\$ 13,271.00		\$ 5,206.00

EXHIBIT I
BUSINESS MODEL

EXHIBIT I content:

1. Yes Energy Inc Business Plan
2. Manu Patel (Vice President) Resume
3. Ila Patel (President) Resume

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EXHIBIT I (CONTINUED)
BUSINESS MODEL

YES ENERGY INC
BUSINESS PLAN

1.0 Executive Summary

Yes Energy Inc is a domestic corporation seeking to act as a **broker/marketer** in the energy supply industry. Due to the deregulation of energy industry, there is an opportunity to fill a need by negotiating better electric rates for customers in our market area. The main focus of Yes Energy Inc is to work with small and large Commercial and Industrial clients whose usage is higher than residential clients and reduce their expenses. However, we will also service residential clients on a smaller scale.

1.1 Mission

The mission of Yes Energy Inc is to help business owners reduce their overhead in the area of energy. We hope this will provide some measure of relief to them in times where dollars need to be stretched.

1.2 Keys to Success

1. Finding eager business owners able to see the value of reviewing their energy use in a purposeful way.
2. Showing these owners that they can save money by allowing us to negotiate their rate for them.
3. Continuing to find new potential clients in an expanding sphere.
4. Offering clear and easily understandable information to clients so they can see for themselves the savings they can obtain.

2.0 Company Summary

Yes Energy Inc is a privately owned Pennsylvania company dedicated to integrity in our business model. We want to deliver strong personal service by watching out for our clients' bottom line as if it were our own money.

2.1 Start-up Summary

The company is a single owner corporation started by Ila M. Patel. The company employs a Technical and Business Development Specialist, Manu R. Patel.

2.2 Company Ownership

Yes Energy Inc is a privately owned domestic corporation with a single owner.

EXHIBIT I (CONTINUED)

BUSINESS MODEL

3.0 Strategy and Implementation Summary

The strategy of Yes Energy Inc will be to seek out companies and individual in order to teach them the value of shopping for their energy rates in this new market. Then providing them with a clear and understandable quote showing them what they are saving in energy over a 12 month period. 5. We will capitalize on the cordial relationship with existing customers from the hospitality supply business (Yes Towels Inc) to allow us to render these services.

4.0 Long Term Strategy

Yes Energy Inc will initially serve as an energy **broker** to hotel/motel owners. Marketing and administration will be handled primarily by the officers of the company. Once company generates sufficient revenue, company will also serve as a **marketer** in the energy supply business. Company will expand its technical and marketing staff under the supervision of the Vice President to ensure it can efficiently comply with regulatory demands and meet customers' needs.

EXHIBIT I (CONTINUED)
BUSINESS MODEL

Manu R. Patel
1139 Red Barn Lane
Quakertown, PA 18951
(215) 538-5230
yestowels@gmail.com

Comprehensive Work Experience

1. Started work with Arvind Mills, Ahmedabad, India and thereafter moved to Mafatlal Group, Nadiad, India as deputy manager in charge of Project Management. At the New Shorrock Mills, erected and commissioned 170 Piacnol looms along with engineers from Belgium (1985-1993)
2. Moved to Dhar Textiles in Indore, India as the plant superintendent and commissioned a battery of Picanol airjet looms in record time and within budget (1993-1994)
3. As general manager at Maruti Cotex in Hyderabad, acted as leader of production group of 100 technicians; successfully liaised with purchase and marketing groups to commission a comprehensive modern weaving plant (1995-1996)
4. Upon migration to the United States in 1999, pending formation of my own textile company, started work with Fabric Development Inc, Quakertown, PA. In this company, oversaw development of custom fabrics produced from Carbon, Ceramic, Vectran, PTFE, Nomex and Spectra.
5. Taking advantage of my connections with several owners of hotels in the United States, decided to focus on my own business. This led to the formation of Yes Towels Inc in year 2000. This was conceived as a compressive supplier of materials to hospitality industry. Accordingly:
 - a) Established a large warehouse in Quakertown, PA
 - b) Interacted with overseas suppliers in India, Nepal and China and started to import as well as buy locally all requirements of the hospitality industry in bulk.
 - c) Started a customer contact campaign; starting with single customer in Denver, Colorado, Yes Towels Inc now has over 2000 customers (hotel owners) all over the United States. Emphasis, however, remains in the eastern seaboard states.
 - d) Managed purchasing, banking and business finances, marketing and distribution of products to customers
 - e) Have maintained a steady growth of profitability in business transactions

EXHIBIT I (CONTINUED)
BUSINESS MODEL

7. Purchased a 17 room hotel in Kentucky in August 2011. Worked with local contractors and county officials to rebuild the property and had it operational within 3 months. Supervised construction, marketing, and employee & management acquisition.

9. Joined Yes Energy Inc following the deregulation of energy Industry in Pennsylvania. Served as a consult to my existing customers from Yes Towels Inc to facilitate energy acquisition for their properties. Protected customers' interests as they negotiated with energy suppliers and brokers. Educated and guided many hotel owners to retain the most competitive energy rates in the market.

8. Acquired a 68 room hotel in Ohio in October 2012. Spearheaded the renovation project and had the property operational within 6 months. Negotiated with contractors and government officials to meet construction and safety standards. Directed general and electrical contractor to expedite the renovation. Hired the entire hotel staff to ensure professional property management and customer care.

Special Skill

- a) Engineering expert in production and manufacturing
- b) Comprehensive knowledge of energy industry and customer demands
- c) Extensive experience in importing, warehousing, marketing and distribution of merchandise
- d) Excellent at dealing with customers of diverse backgrounds and requirements (currently servicing over 2000 customers in the hospitality industry)
- e) Rendered consultancy to over 20 new start-up hotels from breaking ground to completion including construction details.

Education

M.S. University, India	1981
Bachelor of Science in Technical Engineering	
South Gujarat University, India	1996
Post Graduate Diploma in Marketing	

**EXHIBIT I (CONTINUED)
BUSINESS MODEL**

Ila M. Patel
1139 Red Barn Lane
Quakertown, PA 18951
(215) 538-5230
yesila2@gmail.com

Work Experience

Yes Energy Inc

Quakertown, PA

Started Yes Energy Inc in 2011 after energy industry was deregulated in Pennsylvania. Managed company office, employees, contractors as well as banking. Capitalizing on connections with customers of Yes Towels Inc, served as consultant for hotel owners and energy brokers/suppliers.

Yes Towels Inc

Quakertown, PA

Joined Yes Towels Inc in 2007 as a manager. Responsibilities included business finance, billing and banking as well as software management. Modified marketing practices as well as payment collection procedures. Also managed the warehouse, shipping & transportation as well as inventory accountability.

Walmart

Pennsburg, PA

Work at Walmart in 2007 in inventory management. Kept detailed accounts of stocked items and tracked re-supply on a daily basis.

Arby's USA

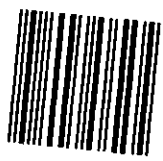
Quakertown, PA

Worked at Quakertown Arby's from 2001 to 2007. As a store manager, transferred daily financial transactions to the regional office and submitted bank deposits. Duties included ordering supplies, managing store finances, counseling employees and ensure implementation of store policy. Improved customer satisfaction and employee morale through series of team building exercises and programs.

Education

Baroda, India

Studied history and arts at M.S. University from 1981 to 1983



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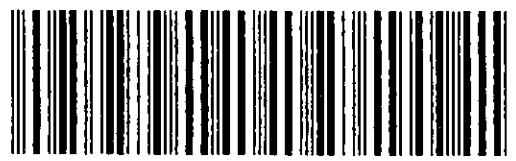
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1139 Red Barn Lane
Quakertown, PA 18951

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