

**MURRAY E. BEVAN**  
mbevan@bmg.law

September 19, 2016

**VIA OVERNIGHT MAIL**

Pennsylvania Public Utility Commission  
Secretary  
400 North Street, Keystone Building  
Harrisburg, PA 17120

**RECEIVED**

SEP 19 2016

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

**Re: Vista Energy Marketing, L.P.  
Electric Generation Supplier License Application**

To Whom it May Concern:

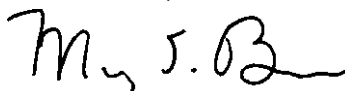
On behalf of our client Vista Energy Marketing, L.P. ("Vista"), enclosed please find an original application for consideration as an Electric Generation Supplier in the Commonwealth of Pennsylvania. Also enclosed is a check for the initial licensing fee in the amount of \$350.00, and an original surety bond in the amount of \$250,000.00. The public version of this application was filed electronically on September 19, 2016.

Vista has requested that certain portions of its application be given confidential treatment. The portions of this application which are confidential are attached and will only be served to the Public Utility Commission.

Vista is a technically qualified and financially strong entity whose officers and staff bring strong experience in the retail electricity industry.

Thank you for your attention to this application.

Very truly yours,

  
Murray E. Bevan

Enclosures

cc: Luke Gottschalk (via electronic mail only, w/ enc.)  
Service List (w/ non-confidential documents ONLY)



16 September 2016

Honorable Rosemary Chiavetta, Secretary  
Pennsylvania Public Utility Commission  
400 North Street, Keystone Building  
Harrisburg, PA 17120

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PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

***Re: Vista Energy Marketing, LP Confidentiality Request***

Dear Secretary Chiavetta,

In accordance with 52 Pa. Code § 54.32, Vista Energy Marketing, LP ("Vista") respectfully requests that the Pennsylvania Public Utility Commission (the "Commission") accord confidential treatment to the documents submitted as Attachments C-1, D, E, F, G, and I, and Appendix D to the Application Form for Parties Wishing to Offer, Render, Furnish or Supply Electricity or Electric Generation Services to the Public in the Commonwealth of Pennsylvania due to the confidential and proprietary financial information contained therein. Such information is not made available to the public. General dissemination of the information contained in Attachments C-1, D, E, F, G, and I, and Appendix D would harm the position of Vista in the marketplace as it would provide its competitors with financial data and other proprietary information critical to their ability to do business in the state. Further, Vista requests that Attachments C-1, D, E, F, G, and I, and Appendix D be perpetually held as confidential due to the presumed perpetual existence of its business.

Copies of Attachments C-1, D, E, F, G, and I, and Appendix D are annexed hereto and are labeled "Confidential." If you have any questions or require further documentation please do not hesitate to contact me.

Respectfully submitted,

  
\_\_\_\_\_  
Luke Gottschalk  
Vice President

Attachment

# BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of Vista Energy Marketing, L.P., d/b/a \_\_\_\_\_, for approval to offer, render, furnish, or supply electricity or electric generation services as a Supplier of electricity to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

## 1. IDENTIFICATION AND CONTACT INFORMATION

a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

Vista Energy Marketing, L.P. Phone: 281-915-3220  
4306 Yoakum, Suite 600 Web: www.vistaenergymarketing.com  
Houston, TX 77006

b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

Registered Agent: InCorp Services, Inc.  
7208 Red Top Road  
Hummelstown, PA 17036  
Phone: 702-866-2500  
Fax: 702-866-2689

c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Maria Sion  
Director of Call Center Interactions and Regulatory  
4306 Yoakum, Suite 600  
Houston, TX 77006  
Phone: 713-877-9904  
Fax: 832-213-0301

d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Murray Bevan  
Bevan, Mosca & Giuditta, P.C.  
222 Mount Airy Road, Suite 200  
Basking Ridge, NJ 07920  
Phone: 908-753-8300  
Fax: 908-848-6419

e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: (Required of ALL Applicants)** Provide the name, title, address, telephone number, FAX number, and e-mail OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED) responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

Primary: Maria Sion  
Director of Call Center Interactions and Regulatory  
4306 Yoakum, Suite 600  
Houston, TX 77006  
Phone: 713-877-9904  
Fax: 832-213-0301

Secondary: Luke Gottschalk  
Vice President - Electric  
4306 Yoakum, Suite 600  
Houston, TX 77006  
Phone: 281-915-3220 x 105  
Fax: 832-213-0301

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PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

## 2. BUSINESS ENTITY FILINGS AND REGISTRATION

a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

**OR**

The Applicant will not be using a fictitious name.

b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**

*(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)*

The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

**OR**

The Applicant is a:

- domestic general partnership (\*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- \* If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

**OR**

The Applicant is a:

- domestic corporation (15 Pa. C.S. §1308)
- foreign corporation (15 Pa. C.S. §4124)
- domestic limited liability company (15 Pa. C.S. §8913)
- foreign limited liability company (15 Pa. C.S. §8981)
- Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.
- Give name and address of officers.

**Please see Attachment A for a copy of the certificate of Limited Partnership filed with the Pennsylvania Department of State Bureau of Corporations and Charitable Organizations.**

**Please see Attachment B for a list of the names and addresses of the limited partners to Vista Energy Marketing, L.P.**

**Please see Attachment C for a copy of the Certificate of Filing of Vista Energy Marketing, L.P., with the Office of the Secretary of State for the State of Texas.**

**Please see CONFIDENTIAL Attachment C-1 for a copy of Vista Energy Marketing, L.P.'s Limited Partnership Agreement and additional documents.**

### **3. AFFILIATES AND PREDECESSORS**

*(both in state and out of state)*

- a. AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

**Applicate does not have any affiliates.**

- b. PREDECESSORS:** Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

**Applicant does not have any predecessor entities.**

#### 4. OPERATIONS

a. **APPLICANT'S PRESENT OPERATIONS:** *(select and complete the appropriate statement)*

Definitions

- Supplier – an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC.
- Aggregator - an entity that purchases electric energy and takes title to electric energy as an intermediary for sale to retail customers.
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of electric energy but does not take title to electric energy.

The Applicant is presently doing business in Pennsylvania as a

- municipal electric corporation
- electric cooperative
- local gas distribution company
- provider of electric generation, transmission or distribution services
- broker/marketer engaged in the business of supplying electricity services
- Other; Identify the nature of service being rendered.

or

The Applicant is not presently doing business in Pennsylvania.

b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a *(may check multiple)*:

- Generator of electricity
- Supplier of electricity
- Aggregator engaged in the business of supplying electricity
- Broker/Marketer engaged in the business of supplying electricity services
  - Check here to verify that your organization will not be taking title to the electricity nor will you be making payments for customers.
- Electric Cooperative and supplier of electric power
- Other (Describe):

c. **PROPOSED SERVICES:** Describe in detail the electric services or the electric generation services which the Applicant proposes to offer.

**Vista Energy Marketing, L.P. intends to provide low-cost energy plans to both residential and commercial customers in Pennsylvania. Vista Energy Marketing, L.P. will procure electricity in the wholesale market and work with PJM to schedule the power to the respective utilities throughout Pennsylvania.**

d. **PROPOSED SERVICE AREA:** Check the box of each Electric Distribution Company for which the Applicant proposes to provide service.

- Citizens' Electric
- Duquesne Light
- Met-Ed
- PECO
- Penelec
- Penn Power

- Pike
- PPL
- UGI Utilities
- Wellsboro
- West Penn

Entire Commonwealth of PA

e. **CUSTOMERS:** Applicant proposes to provide services to:

- Residential Customers
- Small Commercial Customers - (25 kW and Under)
- Residential and Small Commercial as Mixed Meter ONLY (CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE)
- Large Commercial Customers - (Over 25 kW)
- Industrial Customers
- Governmental Customers
- All of above (Except Mixed Meter)
- Other (Describe):

f. **START DATE:** Provide the approximate date the Applicant proposes to actively market within the Commonwealth.

**11/1/2016**

## 5. COMPLIANCE

- a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

**Please see CONFIDENTIAL Attachment D for a response to Item 5a.**

- b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

**Please see CONFIDENTIAL Attachment D for a response to Item 5b.**

- c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. If the Applicant has no actions or complaints to list, explicitly state such.

**Applicant does not have any formal or escalated actions or complaints to identify.**

- d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

**Not applicable.**



## 6. PROOF OF SERVICE

**Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.**  
*(Example Certificate of Service is attached at Appendix C)*

- a. **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Bureau of Investigation & Enforcement  
Pennsylvania Public Utility Commission  
Commonwealth Keystone Building  
400 North Street, 2 West  
Harrisburg, PA 17120

Office of the Attorney General  
Bureau of Consumer Protection  
Strawberry Square, 14th Floor  
Harrisburg, PA 17120

Office of Consumer Advocate  
5th Floor, Forum Place  
555 Walnut Street  
Harrisburg, PA 17120

Commonwealth of Pennsylvania  
Department of Revenue  
Bureau of Compliance  
Harrisburg, PA 17128-0946

Office of the Small Business Advocate  
Commerce Building, Suite 202  
300 North Second Street  
Harrisburg, PA 17101

- b. **EDCs:** Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Electric Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each EDC is as follows.

**Pike County Light & Power Company:**

Director of Customer Energy Services  
Orange and Rockland Company  
390 West Route 59  
Spring Valley, NY 10977-5300

**West Penn:**

Legal Department  
West Penn Power d/b/a Allegheny Power  
800 Cabin Hill Drive  
Greensburg, PA 15601-1689

**PECO:**

Manager Energy Acquisition  
PECO Energy Company  
2301 Market Street  
Philadelphia, PA 19101-8699

**Duquesne Light:**

Regulatory Affairs  
Duquesne Light Company  
411 Seventh Street, MD 16-4  
Pittsburgh, PA 15219

**PPL:**

Legal Department  
Attn: Paul Russell  
PPL  
Two North Ninth Street  
Allentown, PA 18108-1179

**Met-Ed, Penelec, and Penn Power:**  
Legal Department  
First Energy  
2800 Pottsville Pike  
Reading PA, 19612

**UGI:**  
UGI Utilities, Inc.  
Attn: Rates Dept. – Choice Coordinator  
2525 N. 12th Street, Suite 360  
Post Office Box 12677  
Reading, Pa 19612-2677

**Citizens' Electric Company:**  
Citizens' Electric Company  
Attn: EGS Coordination 1775  
Industrial Boulevard  
Lewisburg, PA 17837

**Wellsboro Electric Company:**  
Wellsboro Electric Company  
Attn: EGS Coordination  
33 Austin Street  
P. O. Box 138  
Wellsboro, PA 16901

## 7. FINANCIAL FITNESS

a. **BONDING:** In accordance with 66 Pa. C.S. Section 2809(c)(1)(i), the Applicant is required to file a bond or other instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant is...

- Furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.
- Furnishing the **ORIGINAL** of another initial security for Commission approval, to ensure financial responsibility, such as a parental guarantee, in the amount of \$250,000.
- For Marketers and Brokers** - Filing for a modification to the \$250,000 requirement and furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.

### **CRITICAL BONDING NOTES:**

Applicant is required to maintain a bond or other financial instrument the entire time it maintains an EGS license with the Commonwealth of Pennsylvania. If Applicant's security instrument is not continuous, Applicant **MUST** submit a Rider, Amendment, or Continuation Certificate annually based on the expiration date of its security instrument.

Sixty days (60) prior to the security instrument's expiration date, Applicant should contact Stephen Jakab at [sjakab@pa.gov](mailto:sjakab@pa.gov) to determine the appropriate bonding amount based on a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. Once the amount has been determined, Applicant should overnight the updated security instrument(s) at least thirty (30) days prior to the expiration date to ensure adequate time for staff review and approval of the security instrument(s).

Example version of a bond, letter of credit, and parental guarantee are attached at Appendix D, E, & F, respectively. Applicant's security must follow language from these examples. Any deviation from these examples must be identified in the application and may not be acceptable to the Commission.

b. **FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
- Applicant's accounting statements, including balance sheet and income statements for the past two years.
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements exhibiting accounts over a minimum two year period.
- Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

**Please see CONFIDENTIAL Attachment E for information demonstrating financial fitness commensurate with the service proposed to be provided.**

c. **SUPPLIER FUNDING METHOD:** If Applicant is operating as anything other than **Broker/Marketer only**, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

**Please see CONFIDENTIAL Attachment F for information demonstrating Vista Energy Marketing, L.P.'s funding method.**

d. **BROKER PAYMENT STRUCTURE:** If applicant is a broker/marketer, explain how your organization will be collecting your fees.

Vista Energy Marketing, L.P. will rely on the billing mechanisms of the various EDCs. All prospective customers will be invoiced on our behalf by each EDC. Once the money is collected by the EDC, the monies will be remitted to Vista Energy Marketing, L.P.

**Not applicable.**

e. **ACCOUNTING RECORDS CUSTODIAN:** Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

Evelyn Rodriguez  
Financial Controller 4306  
Yoakum, Suite 600  
Houston, TX 77006  
Phone: 281-684-8500 x 104  
Fax: 832-213-0301  
Email: erodriguez@vistaenergymarketing.com

f. **TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix G to this application.

*All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.*

*Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.*

## 8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

a. **EXPERIENCE, PLAN, STRUCTURE:** such information may include:

- Applicant's previous experience in the electricity industry.
- Summary and proof of licenses as a supplier of electric services in other states or jurisdictions.
- Type of customers and number of customers Applicant currently serves in other jurisdictions.
- Staffing structure and numbers as well as employee training commitments.
- Business plans for operations within the Commonwealth.
- Documentation of membership in PJM, ECAR, MAAC, other regional reliability councils, or any other membership or certification that is deemed appropriate to justify competency to operate as an EGS within the Commonwealth.
- Any other information appropriate to ensure the technical capabilities of the Applicant.

**Please see CONFIDENTIAL Attachment G for information demonstrating technical fitness commensurate with the service proposed to be provided.**

b. **PROPOSED MARKETING METHOD** (*check all that apply*)

- Internal – Applicant will use its own internal resources/employees for marketing External
- EGS – Applicant will contract with a PUC **LICENSED EGS** broker/marketer
- Affiliate – Applicant will use a **NON-EGS** affiliate marketing company and or individuals.
- External Third-Party – Applicant will contract with a **NON-EGS** third party marketing company and or individuals
- Other (Describe):

c. **DOOR TO DOOR SALES:** Will the Applicant be implementing door to door sales activities?

- Yes
- No

If yes, will the Applicant be using verification procedures?

- Yes
- No

If yes, describe the Applicant's verification procedures.

In all cases, Vista Energy Marketing, L.P. will use an independent 3rd party verification service. In addition to an independent verification, the internal staff at Vista Energy Marketing, L.P. will take additional quality control measures to ensure all enrollments are valid.

- d. **OVERSIGHT OF MARKETING:** Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

**Vista Energy Marketing, L.P. will only use experienced employees and reputable vendors to market its services. In all stages of the marketing process, the Applicant will make every effort possible to ensure an enjoyable customer experience. Once a sale has been made, Vista Energy Marketing, L.P. will use a third-party verification service to ensure that all customers fully understand and agree to the proposed terms. Once a customer is enrolled, Vista Energy Marketing, L.P. will adhere to any and all customer notification requirements.**

- e. **OFFICERS:** Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.

**Please see Attachment H for responsive information and resumes of chief officers.**

- f. **FERC FILING:** Applicant has:

- Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.
- Received approval from FERC to be a Power Marketer at Docket or Case Number \_\_\_\_\_.
- Not applicable

## 9. DISCLOSURE STATEMENTS:

**Disclosure Statements:** If proposing to serve Residential and/or Small Commercial (under 25 kW) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix H to this Application.

- Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

*Not applicable for an applicant applying for a license exclusively as a broker/marketer.*

**Please see CONFIDENTIAL Attachment I for a copy of Vista Energy Marketing, L.P.'s Residential and Small Commercial Disclosure Statement.**

## 10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. **PJM LOAD SERVING ENTITY REQUIREMENT:** As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:
- proof of registration as a PJM Load Serving Entity (LSE), or
  - proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

*(Select only one of the following)*

- AGREED - Applicant has included compliance with this requirement in the instant application, labeled in correspondence with this section (10).
- AGREED - Applicant will provide compliance with this requirement within 120 days of receiving its license
- ACKNOWLEDGED - Applicant is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information

**b. STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43, as well as any future amendments.

AGREED

**c. REPORTING REQUIREMENTS:** Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:

- Retail Electricity Choice Activity Reports: The regulations at 52 Pa. Code §§ 54.201--54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's internet web site.
- Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
- The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
- Net Metering Reports: Applicant shall be responsible to report any Net Metering per the Standards on [http://www.puc.pa.gov/consumer info/electricity/alternative energy.aspx](http://www.puc.pa.gov/consumer%20info/electricity/alternative%20energy.aspx). Scroll down to the Net Metering Standards Section.
- Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis per 52 Pa. Code § 54.39(d).
- Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.

AGREED

d. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.

AGREED

e. **ANNUAL FEES:** The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling electricity in the Commonwealth of PA, and an annual supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.

ACKNOWLEDGED

f. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.

AGREED

g. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

AGREED

h. **NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within twenty (20) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 54.34.

AGREED

i. **CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

AGREED

j. **Electronic Data Interchange:** The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at Appendix K.

AGREED

k. **FILING FEE:** The Applicant has enclosed or paid the required, non-refundable filing fee by **CERTIFIED CHECK OR MONEY ORDER** in the amount of \$350.00 payable to the Commonwealth of Pennsylvania. The Commission does not accept corporate or personal checks for filing fees.

PAYMENT ENCLOSED

**11. AFFIDAVITS**

**Must be notarized before filing.**

- a. **APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.

**Please see Attachment J for Vista Energy Marketing, L.P.'s Application Affidavit.**

- b. **OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.

**Please see Attachment K for Vista Energy Marketing, L.P.'s Operations Affidavit.**

**12. NEWSPAPER PUBLICATIONS**

**Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.**

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve.

The chart below dictates which newspapers are necessary for each EDC. For example, an applicant that wants to operate in Penn Power would need to run ads in both The Erie Times-News and the Pittsburgh Post-Gazette. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

The only acceptable verification of this requirement is with Notarized Proofs of Publication, which may be requested from each newspaper and must be supplied with this application. Applicants do not need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	Erie Times-News	Harrisburg Patriot-News	Philadelphia Daily News	Pittsburgh Post-Gazette	Scranton Times-Tribune	Williamsport Sun-Gazette	Johnstown Tribune-Democrat
Citizens' Electric						X	
Duquesne				X			
Met Ed		X	X		X		
PECO			X				
Penelec	X	X			X	X	X
Penn Power	X			X			
Pike					X		
PPL		X	X		X	X	
UGI					X		
Wellsboro						X	
West Penn		X		X		X	X
Entire Commonwealth	X	X	X	X	X	X	X

**Please see Attachment K for Vista Energy Marketing, L.P.'s Proofs of Publication.**



**13. SIGNATURE**

Applicant: *David Ranslem*  
 By: DAVID RANSELM  
 Title: MANAGING PARTNER

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PA PUBLIC UTILITY COMMISSION  
 SECRETARY'S BUREAU

**14. CHECKLIST**

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections are complete.

Applicant: \_\_\_\_\_

Applicant's Use	<b>Signature</b>	PUC Secretary's Bureau Use
	<b>Filing Fee (CERTIFIED CHECK OR MONEY ORDER ONLY)</b>	
	<b>Application Affidavit</b>	
	<b>Operations Affidavit</b>	
	<b>Proof of Publication</b>	
	<b>Bond or Letter of Credit</b>	
	<b>Tax Certification Statement</b>	
	<b>Commonwealth Department of State Verification</b>	
	<b>Certificate of Service</b>	

# Attachment A

Response to Item 2b

Application

of Vista Energy Marketing, L.P.

before the

PENNSYLVANIA PUBLIC UTILITY COMMISSION

For

Approval to Offer, Render, Furnish, or Supply Electricity

To the Public in the

Commonwealth of Pennsylvania

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PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

COMMONWEALTH OF PENNSYLVANIA  
DEPARTMENT OF STATE  
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS  
401 NORTH STREET, ROOM 206  
P.O. BOX 8722  
HARRISBURG, PA 17105-8722  
WWW.CORPORATIONS.PA.GOV

Luke Gottschalk  
4306 Yoakum, Suite 600  
Houston TX 77006

Vista Energy Marketing Limited Partnership

THE BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS IS HAPPY TO SEND YOUR FILED DOCUMENT. THE BUREAU IS HERE TO SERVE YOU AND WE WOULD LIKE TO THANK YOU FOR DOING BUSINESS IN PENNSYLVANIA.

IF YOU HAVE ANY QUESTIONS PERTAINING TO THE BUREAU, PLEASE VISIT OUR WEBSITE AT [www.dos.pa.gov/BusinessCharities](http://www.dos.pa.gov/BusinessCharities) OR YOU MAY CONTACT US BY TELEPHONE AT (717)787-1057. INFORMATION REGARDING BUSINESS AND UCC FILINGS CAN BE FOUND ON OUR SEARCHABLE DATABASE AT [www.corporations.pa.gov/Search/CorpSearch](http://www.corporations.pa.gov/Search/CorpSearch).

ENTITY NUMBER : 6443687

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PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

PENNSYLVANIA DEPARTMENT OF STATE  
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS

Entity# : 6443687  
Date Filed : 08/19/2016  
Pedro A. Cortés  
Secretary of the Commonwealth

Certificate of Limited Partnership  
(15 Pa.C.S. § 8511)

Name <b>Luke Gottschalk</b>		
Address <b>4306 Yoakum, Suite 600,</b>		
City <b>Houston</b>	State <b>TX</b>	Zip Code <b>77006</b>

Document will be returned to the name and address you enter to the left.

Fee: \$125.00

In compliance with the requirements of 15 Pa.C.S. § 8511 (relating to certificate of limited partnership), the undersigned, desiring to form a limited partnership, hereby certifies that:

1. The name of the limited partnership:  
**Vista Energy Marketing Limited Partnership**

2. The (a) address of the limited partnership's initial registered office in this Commonwealth or (b) name of its commercial registered office provider and the county of venue is:

(a) Number and Street	City	State	Zip	County
<b>7208 Red Top Road,</b>	<b>Hummelstown</b>	<b>PA</b>	<b>17036</b>	<b>Dauphin</b>

(b) Name of Commercial Registered Office Provider \_\_\_\_\_ County \_\_\_\_\_

c/o: \_\_\_\_\_

3. The name and business address of each general partner of the partnership is:

Name	Address
<b>David Rauslem</b>	<b>4306 Yoakum , Suite 600 , Houston , Out Of State , TX . United States , 77006</b>
<b>Michael Whalen</b>	<b>4306 Yoakum , Suite 600 , Houston , Out Of State , TX . United States , 77006</b>

4. The specified effective date, if any is:

\_\_\_\_\_

month      day      year      hour, if any

IN TESTIMONY WHEREOF, the undersigned  
general partner(s) of the limited partnership has (have)  
executed this Certificate of Limited Partnership this  
19 day of August, 2016.

David Ranslem

Signature

Michael Whalen

Signature

# **Attachment B**

**Response to Item 2b**

**Application**

of Vista Energy Marketing, L.P.

before the

**PENNSYLVANIA PUBLIC UTILITY COMMISSION**

For

Approval to Offer, Render, Furnish, or Supply Electricity

To the Public in the

Commonwealth of Pennsylvania

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SECRETARY'S BUREAU

**Vista Energy Marketing, L.P.**  
**Application for Approval to Supply Electricity**  
**in the Commonwealth of Pennsylvania**

**Attachment B**

**Item 2b.**

**Vista Energy Marketing, L.P. – Partners**

**General Partner:** Irish Marketing, L.L.C.  
4306 Yoakum, Suite 600  
Houston, TX 77006

**Limited Partners:** Ranslem Capital, L.P.  
4306 Yoakum, Suite 600  
Houston, TX 77006

Whale Capital, L.P.  
4306 Yoakum, Suite 600  
Houston, TX 77006

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SECRETARY'S BUREAU

# **Attachment C**

**Response to Item 2b**

**Application**

of Vista Energy Marketing, L.P.

*before the*

**PENNSYLVANIA PUBLIC UTILITY COMMISSION**

**For**

**Approval to Offer, Render, Furnish, or Supply Electricity**

**To the Public in the**

**Commonwealth of Pennsylvania**

**RECEIVED**

SEP 19 2016

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU



Corporations Section  
P.O.Box 13697  
Austin, Texas 78711-3697



Hope Andrade  
Secretary of State

## Office of the Secretary of State

### CERTIFICATE OF FILING OF

Vista Energy Marketing, L.P.  
File Number: 801071724

The undersigned, as Secretary of State of Texas, hereby certifies that a Certificate of Formation for the above named Domestic Limited Partnership (LP) has been received in this office and has been found to conform to the applicable provisions of law.

ACCORDINGLY, the undersigned, as Secretary of State, and by virtue of the authority vested in the secretary by law, hereby issues this certificate evidencing filing effective on the date shown below.

The issuance of this certificate does not authorize the use of a name in this state in violation of the rights of another under the federal Trademark Act of 1946, the Texas trademark law, the Assumed Business or Professional Name Act, or the common law.

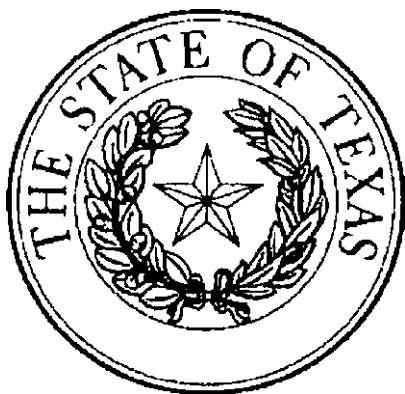
Dated: 01/08/2009

Effective: 01/08/2009

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SEP 19 2016

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU



A handwritten signature in cursive script, appearing to read "Hope Andrade".

Hope Andrade  
Secretary of State

# **Attachment H**

**Response to Item 8e**

**Application**

of Vista Energy Marketing, L.P.

before the

**PENNSYLVANIA PUBLIC UTILITY COMMISSION**

For

Approval to Offer, Render, Furnish, or Supply Electricity

To the Public in the

Commonwealth of Pennsylvania

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SEP 19 2016

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

RECEIVED

SEP 19 2016

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

**Eric Austin Maberry**

824 Ourlane Circle Houston, Texas 77024 ♦ (713) 825-3125 ♦ [ericmab@hotmail.com](mailto:ericmab@hotmail.com)

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**EXPERIENCE**

**Vista Energy Marketing**

*Vice President of Marketing & Business Operations*

**Houston, Texas**

*June 2006 to Present*

- ◆ A founding member of Vista Energy, primarily focused on continued growth and innovation.
- ◆ Responsible for the location and development of new energy gas markets.
- ◆ Build and manage sales channel relationships including commodity broker teams, telesales teams, door to door sales teams, and internet based customer acquisition channels.
- ◆ Expert in all facets of pipeline operations, asset management, and product scheduling for markets served by Vista.
- ◆ Manage daily supply procurement for various market areas.
- ◆ Oversee back and mid office personnel through day to day activities which include customer service and retention, bad debt collections and accounts receivable management, and various internal controls.
- ◆ Manage and track basis and derivative positions to eliminate market risk throughout the company portfolio.
- ◆ Manage Web development and design for online marketing and reputation management.

**Koch Supply & Trade**

*Trading Coordinator: International Crude Trading*

**Houston, Texas**

*April 2005 to April 2006*

- ◆ Served as a front office risk manager and positional expert ensuring that trade positions are absent of unwanted risk while being reflective of the group's market sentiment.
- ◆ Contributed to the day to day management of speculative paper and physical books through recommendations based upon market knowledge.
- ◆ Responsible for execution of NYMEX futures through floor brokers.
- ◆ Monitored trading strategies for entry and exit opportunities throughout the day.
- ◆ Responsible for the synchronization of foreign traders when building international paper positions and hedges within the Brent markets.
- ◆ Served as a primary contact for mid and back offices with regards to verification of daily trade activity and p/l drivers.
- ◆ Supervised those responsible for trade entry while verifying system accuracy.
- ◆ Orchestrated biweekly strategy meetings focusing on the optimization of physical assets and risk aversion.

**Enbridge Energy Marketing U.S.**

*Contract Risk Control Analyst: Gas Marketing*

**Houston, Texas**

*June 2004 to April 2005*

- ◆ Worked as a contractor assigned to help develop and maintain a complete position and valuation framework intended to manage financial risks associated with natural gas marketing and transport assets.
- ◆ Analyzed the systematic modeling of physical and financial positions to ensure all risks and exposures were properly identified and captured within risk systems.

- ◆ Reported and justified daily position through risk metrics while performing scenario analysis and stress testing within various portfolios.
- ◆ Used market research and broker interaction to maintain pricing models and curves used to validate the accurate valuation of all forward positions.
- ◆ Participated in frequent strategy meetings with asset team members as they discussed natural gas allocation to marketing teams through examination of transportation constraints, processing and fractionation forecasts, and transfer prices.

**Transmontaigne Product Services, Inc**

*Bulk Trade Accountant*

**Denver, Colorado**

*June 2003 to June 2004*

- ◆ Managed the reconciliation of all physical, cash, and future positions for petroleum products traded in North American terminal business, Florida residual fuel distribution subsidiary, and speculative trade books.
- ◆ Communicated with traders to execute hedges and pricing strategies while monitoring pipelines cycles, vessel activities, and rack disbursements.
- ◆ Supervised the settlement of over \$20 million per day for product trades and financial instruments while working closely with credit teams to minimize financial risk.
- ◆ Oversaw the activities of bulk accounting team responsible contract administration and trade confirmations.

**Deloitte & Touche, LLP**

*Senior Consultant: Multi-state Tax Solutions*

**Houston, Texas**

*September 2001 to June 2003*

- ◆ Responsible for marketing and providing tax consulting services to energy trading and E&P companies.
- ◆ Targeted and sold services to potential clients and served as a technical lead on a variety of engagements including excise tax advisory, sales/use tax refunds and analysis, and audit defense.
- ◆ Managed an engagement tasked with examining jet fuel delivery and storage methods at airport terminals and identify excise tax savings opportunities and compliance measures for a major airline.
- ◆ Assisted an international client with tax and government regulatory consulting services essential to the timely inception of a US based petroleum products trading subsidiary.
- ◆ Selected by management to organize and administer internal training seminars related to consulting services and revenue opportunities.

**Arthur Andersen, LLP**

*Staff Accountant*

**Houston, Texas**

*Aug 1999 to September 2001*

- ◆ Designed and implemented improved business processes for motor fuel excise tax and accounting functions as member of a transition team responsible for the consolidation of two energy companies.

**EDUCATION**

**University of Texas**

Bachelor of Liberal Arts

Major: Economics

Minor: Business Foundations

**Austin, Texas**

*December 1998*

Paul P. Atha  
5207 Locust Street, Bellaire Texas 77401  
281 236 5131

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### **SUMMARY OF QUALIFICATIONS**

- **Communication:** Excelled in a highly competitive commodities trade floors where my real-time market assessments, decisions, and strategies were openly challenged and refined by peers and senior management for optimal timing and alignment with broader objectives. Examples include cost and allocation of capital, budgets, collateral optimization, financial controls, larger corporate exposure, and risk / reward presentations.
- **Technical:** Skillful application of physical commodity products and financial derivatives for risk management and/or position creation for "at risk" trading books as well as multiple "no risk allowed " assets. Daily financial mark to market profit and loss reconciliation for senior management and financial controls.
- **Business Acumen:** Experienced in presenting and securing approvals from multiple facets of corporate structure: Legal, Contracts, Accounting, Plant Operations, Human Resources, and senior management.
- **Negotiation:** Initiation, development, and presentation of multi-faceted natural gas supply/demand contracts with municipalities, industrials, producers, electric generators, and fellow wholesalers. Clients ranged from Southern California Gas and Electric (California's largest utility) to individual refineries, industrials, or large retail.
- **Teamwork:** Supply portfolio decision authority for multiple company assets optimizing commodity price exposure versus reward versus market penalties. Managed the inherent competing interests between the real-time power trading market requiring significant trading flexibility (adding/removing facilities from the electricity grid, dynamic hourly generation profiles) versus a predetermined and committed gas forward supply portfolio.

### **EXPERIENCE :**

#### **2009 - Present Vista Energy LP, Houston, Texas**

Managing Director

Created Vista Energy in January 2009, including establishing banking relationships, risk management infrastructure, fundamental architecture for customer information system. Registration with utilities, pipelines, utility commissions, and wholesale suppliers. General management of 27 employees with continued growth of customers and financials since inception.

#### **2006 - 2009 Spark Energy Gas LLC, Houston, Texas**

Director, West Gas

Located and procured natural gas supply, pipeline capacity, and risk hedging for western retail book in CO, AZ, and CA. Responsible for ensuring derivative hedges matched customer sales in combination with pipeline capacity acquisition and management.

#### **2005 - 2006 Michael Thurman Custom Homes, Houston, Texas**

Partner

High-end custom home construction. Small independent million dollar plus custom home construction entailing all aspects of land/lot acquisition, plan development, engineering, construction, and sales. High-risk speculative home construction partnership with 3 other partners.

#### **1993 - 2004 Mirant Americas Energy; Atlanta, Georgia**

Trader (1996-2004)

Managed several company natural gas portfolios for power generation facilities as well as non-asset based trading book with budget expectations of 4-10 million dollars. Routinely assessed fundamental and technical market indicators to develop and implement trading and hedging strategies in the competitive deregulated natural gas commodities market. Daily communications with management regarding profit/loss, market dynamics and price assessment.

Scheduler (1995 -1996)

Worked in close contact with company traders and coordinated the execution of their physical natural gas trades as well as deal transfer into the company gas trading system. Captured and articulated to traders physical pipeline dynamics to complement their market assessments for trading strategies.

Human Resources Generalist  
(1993 - 1995)

Broad scope organizational responsibilities including succession planning, organizational union compensation structure, union staffing, performance management, and field and managerial HR support.

**1989 - 1991    Shell Oil Company; Fall River, Massachusetts**

Operations Supervisor  
(1989 - 1991)

All supervisory responsibilities for 8 union plant operators in a marine, tank truck, and pipeline bulk gasoline storage terminal reporting to Plant Manager.

**EDUCATION :**

MBA    1991-1993  
Dual Emphasis: Operations Sciences/Labor  
University of Iowa  
Iowa City, Iowa  
Supplemental Information:

BBA    1985-1989  
Logistics Management  
Iowa State University  
Ames, Iowa

# Luke Gottschalk

5231 Wigton Dr.  
Houston, TX 77096

(713) 819-9106  
lukegottschalk24@gmail.com

## QUALIFICATIONS

- Proven track record of profitably managing diverse electricity and natural gas portfolios consisting of retail, commercial, and wholesale assets
- Extensive trading experience in multiple wholesale and retail electricity markets
- Proficient in developing excellent relationships with clients and coworkers
- Excellent skills in communication, teamwork, leadership, time-management, and problem-solving
- Extensive computer experience in Microsoft Excel, Visual Basic, and SQL

## PROFESSIONAL EXPERIENCE

### Vista Energy Marketing, L.P., August 2016 - Current

#### Vice President - Retail Electricity

- Responsible for all commercial and retail P&L
- Work with extensively with regulatory team to ensure compliance in all electric service territories
- Oversee all electric generation and renewable energy scheduling and procurement activities
- Work with multiple teams within the organization including Sales & Marketing, Accounting, Billing, and Call Center to ensure highest quality customer experience for all existing and prospective customers

### Spark Energy, LLC, August 2006 – August 2016

#### Vice President – Oasis Energy, August 2015 – August 2016

- Responsible for all commercial and retail P&L associated with Oasis Brand
- Actively serving 10 electricity utilities across the United States
- Operate in NYISO, PJM, and ISO-NE
- Developed ancillary products division to enhance customer experience
- Work with senior management to formulate and execute brand strategies

#### Managing Director, November 2011 – August 2015

- Responsible for all P&L associated with the natural gas portfolio
- Managed commercial sales team consisting of both electricity and natural gas business development managers
- Actively managed 34 natural gas utilities across 15 states
- Responsible for maintaining pricing models for all utilities/service territories
- Actively managed multiple transportation, storage, and peaking assets throughout United States and Canada

#### Manager – Natural Gas Operations, September 2009 – November 2011

- Profitably traded West Coast book consisting of firm storage, transportation, retail, and wholesale business
- Supervised scheduling activities around natural gas utilities, interstate pipelines, production gathering systems, and processing plants
- Designed a natural gas balancing tool to be used in conjunction with the company's ETRM system
- Effectively managed a team of 10 natural gas schedulers and analysts

#### Financial/Risk Analyst, August 2006 – September 2009

- Serve as "Super User" for initial implementation and support of Entegrate Application Suite (ETRM)
- Responsible for the daily mark-to-market valuation of financial/physical positions for electricity and natural gas
- Complete company-wide collateral position reports as needed for submission to lending facilities
- Assist with contract negotiations for ISDA, NAESB, and EEL contracts

### Emprise Bank, August 2004 – May 2006

#### Personal Banker

- Assisted customers with banking-related needs and financial services

## COMMUNITY SERVICE

- Volunteer for Child Advocates, Inc. (Houston, TX)
- Big Brothers/Big Sisters Volunteer (Hays, KS)

## EDUCATION

#### M.B.A. – Texas A&M University

May 2016

#### B.B.A. Marketing – Fort Hays State University

May 2006

# Drew Henderson

7806 Overbrook Ln

Houston, TX 77063

713-305-4346

[dhenderson@vistaenergymarketing.com](mailto:dhenderson@vistaenergymarketing.com)

## Work Experience

---

### Vista Energy Marketing, L.P.

Houston, TX

*Vice President – Retail Gas*

*October 2011 – Present*

- Handle day to day operations and management of the Natural Gas book for Vista Energy.
- Annual forecasting for both Gas Load and P/L.
- Risk Management and hedging for the Natural Gas book via NYMEX and Basis.
- Evaluate and propose market expansion and development opportunities.
- Front office monthly P/L evaluation.
- Primary retail product development and management.
- Manage bid week Natural Gas purchases and obligations.
- Helped grow Vista Energy from 20,000 customers to over 110,000 customers.

### Vista Energy Marketing, L.P.

Houston, TX

*Director – Retail Gas Structuring and Pricing*

*November 2004 – November 2011*

- Managed Spark Energy's North American Gas portfolio of over 100,000 retail customers in 13 states and 32 LDC's.
- Managing gas sales through natural gas brokers and other energy marketing firms for Spark Energy Gas, L.P.
- Overseeing bid week gas supply, capacity releases, and retail structuring.
- MTM model development for all markets.
- NYMEX and Basis hedging.
- Monthly P/L reporting, monthly Bank reporting, and forward book P/L forecasting.
- IT steering
- Mass market pricing and product management.

## Education

---

### Texas A&M University

College Station, TX

*Bachelor of Science*

*December 1997*

## Organizations

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### National Energy Marketers Association

Washington, D.C.

*Western Region Advocacy Team*

*2013 – Present*



**Objective**

---

Everywhere I find there are people with business impacting, and often cool problems to solve. Don't sell your skill-set. Sell a solution. This involves using the tools in your current skill-set, research into new technologies, and *most* importantly putting something useful in front of your customer's face that they are comfortable and happy with.

Through affinity and luck, I've worked on projects that touched all areas of the business, including Customer Relationship Management (CRM), Customer Information Systems (CIS), Business-To-Business (B2B), Master Data Management (MDM), Business Intelligence, and Energy Trading and Risk Management (ETRM).

**TECHNICAL SKILLS**

---

<b>Languages / Frameworks</b>	C# / VB.NET, ASP.NET 4.5, ADO.NET, VBA Windows 8 / RT, WPF (Windows Presentation Foundation), Silverlight 4.0 MVC (Model-View-Controller), HTML5, JQuery / Knockout / JqGrid, CSS 3.0 XML, XSLT, XSD, XAML, EDI ANSI x12 MOSS 2007, NUnit, MS Test Java SE 7, Android 2.3 SDK, Objective C 2.0, iOS
<b>Databases / Infrastructure</b>	Microsoft SQL Server 2008, Oracle 11g, MySQL, Raven DB, SQLite Windows Azure VM's, Amazon EC2, AWS (Amazon Web Services)
<b>Project Management and Design</b>	UML, Object-Relational Mapping, Agile Development / SCRUM, Visio 2007, TDD (Test Driven Development)

**CERTIFICATIONS**

---

**MCPD .NET Framework Web Developer** (Exams 70-536, 70-528, 70-547)  
**MCTS .NET Framework Windows Applications** (Exams 70-536, 70-526)  
**MCTS Windows SharePoint Services 3.0 Application Development** (Exam 70-541)

**EXPERIENCE**

---

**Vista Energy, Houston, Texas** June 2012 – Present  
*Vice President, Technology*

Independent of project-work, day-to-day responsibilities include:

- Hold weekly meetings with leadership team to prioritize upcoming IT projects. Special meetings are held each quarter for new initiatives as well as operational projects (i.e. wants and needs) for the next quarter.
- Work with major vendors such as Microsoft for Windows Azure and mobile device management, Amazon for EC2 and AWS services. Others include CDW, B&H, Sync-Fusion, EC Infosystems, Gill Digital.

***Sales Agent Tablet Application (Codename: Courier)***

Technical Environment: .NET 4.5, Windows 8/RT, SQLite, WCF

In the WY / NE market, customers can only enroll through a special 3-week period in which all customers are eligible. Unique profiles are released to all parties, so suppliers compete by pricing all 200k customers and selling customized quotes.

In 6 weeks I worked closely with our Program Director to meet these challenges:

- Sync 200k customer records from the Utility's list. Up-to-date pricing and customer status needed to be downloaded daily
- Capture audio recordings of customer verification, geo-location, ink signature and PDF generation of Service Agreement forms. PDF documents needed to be locked and time-stamped at close of sale
- Provide web service using WCF that was fast, asynchronous, and *always-on*. All enrollment data, audio-files and PDF documents would go through this
- Provide real-time KPI dashboard of incoming enrollments so that our operations team could assist in custom sales and assist with disputes. This often required pulling audio-recordings and responding to the utility with same-day turnaround.

### Aging Reconciliation

Technical Environment: SQL 2008, Excel VBA, SSRS

For the 2012 audit, we provided a dynamic Aging Report for **Gainer Donnelly LLP**. Vista previously attempted to provide an aging report that would receive sign-off by the auditors but never could due to lack of accuracy and the ability to verify it against the Aging Report provided by PG&E (Pacific Gas and Electric) Utility.

- Analysts could run customer aging as of any date and group AR transactions into chronological buckets over time.
- Auditors confirmed the accuracy by tying it directly with the Aging Report from PG&E, as well as comparing total invoices per period against supply-side revenue, and total payments against bank deposits.
- This new reporting capability has been augmented and used as a tool to rigorously manage our bad debt going forward.

### **Spark Energy, Houston, Texas**

February 2009 – June 2012

#### Risk Project - Advanced Application Developer

Technical Environment: .NET 3.5, Win-Forms, SSIS (SQL Server Integration Services) 2008, Oracle SQL Developer

Spark assembled a joint team of mid-office personnel and members of IT. The goal was to provide risk reporting and improve collateral reporting, including WASP (Weighted Average Sales Price) Portfolio, and MTM (Market-To-Market)

My responsibilities included:

- Coordinating efforts across several teams to investigate issues with data quality in an ultimate effort to maximize forward margins for MTM (Market-To-Market)
- Assisting in day-to-day operations with 3<sup>rd</sup> party ETRM systems (i.e. Sun Entegrate), providing tactical reports and assisting in B2B (Business-To-Business) communications with Utility ISO's.

#### Load Forecasting - Advanced Application Developer

Technical Environment: SSIS (SQL Server Integration Services) 2008, SQL Server 2005

Spark is working with a 3<sup>rd</sup>-party vendor to provide non-regression based load-forecasting. The responsibility falls on our development team to provide an accurate historical report of customer profile data at the meter level.

My responsibilities included:

- Joining data across multiple databases and aggregating data across multiple markets with different transaction standards into a common report depicting change-history
- Coordinating across teams and ERCOT (Texas) Utility to retrieve customer "sync-list" 727 AMSIDR data for comparison with actualized usage from our transactional data-store

#### Market Transaction Dashboard (Codename: **Lumina**) - Lead Application Developer

Technical Environment: Silverlight 4.0, MVVM (Model-View-View Model), WCF, Raven DB

The Market Transaction Dashboard began as a side-project and eventually became a powerful visibility tool for KPI reporting. The project was announced in the CIO's address to the company regarding up and coming IT projects.

My responsibilities included:

- Using the MVVM pattern to develop a robust and configurable framework for showing various KPI reports
- (Phase 2) - Using Raven DB as a query-cache store for KPI data-sets

#### Focus – New Market Entry - Lead Application Developer (Team of 3)

Technical Environment: C#.NET 3.5, SQL Server 2005, SSIS, MS Test, EDI Ansi x12

In Q4 of 2010, Spark Energy was ready to enter their first series of mass-markets in over 2 years. IT was challenged in entering more markets than the previous conversion and in less than half the time.

My responsibilities included:

- Designing and Implementing the Automated-Integration Testing framework (Codename: **Arbitor**).
- Designed new common transaction-persistence mechanism to aid in code-reusability and EDI Mapping
- Coordinated with team and IT-Director on a weekly basis for status-updates

Focus – ISTA Conversion - Senior .NET Developer

Technical Environment: Microsoft CRM, VB.NET 2.0 / 3.5, SQL Server 2005, SSIS 2005, EDI Ansi x12

Focus was the new custom-built CIS for mass-market. The ISTA conversion was a project in which all customer data must be migrated from a 3rd party CIS system into the home-grown system.

My responsibilities included:

- Authoring SSIS Packages for ETL of legacy customer data
- Implementing transaction-processing techniques for process optimization efficiency of the load

**Sogeti USA**, Dallas, Texas

August 2006 – February 2009

*Senior Consultant*

**Schlumberger**, Houston, Texas

November 2008 – February 2009

Ocean Application Toolkit Developer's Guide - Senior .NET Developer / Technical Writer

Technical Environment: .NET 2.0 / 3.5 WinForms, Microsoft CAB, WS 2003 R2

The Ocean Application Toolkit is one of many frameworks produced by Schlumberger.

My responsibilities included:

- Co-authoring a developer guide to the Ocean Application Toolkit
- Collaborating with team members in testing and refining the Ocean Application Toolkit

**Zale Corporation**, Dallas, Texas

January 2008 – October 2008

Product Information Worksheet – Developer

Technical Environment: VB ASP.NET 2.0, JavaScript, SQL Server 2000, Visual SourceSafe, WS 2003 R2

The Product Information Worksheet is a web application for use by vendors to submit products to internal buyers. The client specifically asked for me after having worked there before.

My responsibilities included:

- Assuming responsibility for all change requests and new development
- Collaborating with IT managers and database administrators for legacy systems integration

Special Orders – Developer

Technical Environment: C# ASP.NET 3.5, JavaScript, AJAX, SQL Server 2005, Visual SourceSafe, XML, XSD

The Special Orders project provided a streamlined system for price quotes of custom-jewelry

My responsibilities included:

- Designing and deploying the new web application for vendors to fill in jewelry alteration data
- Validating XML using XSD to communicate with a new SKU generation web service

**We Are Microsoft**, Dallas, Texas

January 2008

The *We Are Microsoft – Charity Challenge Weekend* is a non-profit software development competition

CITYHouse SharePoint Portal – Developer

Technical Environment: Windows SharePoint Services 3.0, C# ASP.NET 2.0, CSS, HTML, JavaScript, WS 2003 R2

CITYHouse, a non-profit group needed a new site for content management and scheduling.

My responsibilities included:

- Modifying the site definition (MasterPage, etc.)
- Creating a custom theme to fit the client's desired look and feel and customizing Web Parts

**AAFES (Army & Air Force Exchange Service)**, Dallas, Texas

July 2007 – January 2008

CCDAdmin – Developer

Technical Environment: C# ASP.NET 3.5, SOAP Web Services, AJAX, JavaScript, XML, XSLT, SQL Server 2005

CCDAdmin is an internal site used by the Marketing Department for managing and processing customer records and monitoring the status of back end transactions.

My responsibilities included:

- Authoring all pages implementing the AJAX Control Toolkit to enrich the user experience
- Migrating the existing site from ASP.NET 1.1 to ASP.NET 3.5 using Visual Studio 2008

**Alcon Laboratories**, Dallas, Texas

July 2007 – August 2007

SIA Calculator – Developer

Technical Environment: VBA, Microsoft Excel Macros, Windows ODBC Driver, OS X ODBC Driver, MySQL

The SIA Calculator is an Excel application to assist eye doctors in calculating optical astigmatism.

My responsibilities included:

- Programming VBA Macros to process data entries and calculate astigmatism
- Developing the MySQL database schema, including all stored procedures and tables

**Zale Corporation**, Dallas, Texas

January 2007 – April 2007

Product Information Worksheet – Developer

Technical Environment: VB ASP.NET 2.0, Visual Studio 2005, JavaScript, SQL Server 2000, Visual SourceSafe

The Product Information Worksheet is a web application for use by vendors to submit products to internal buyers.

My responsibilities included:

- Designing and implementing across all 3 major tiers
- Building Web Server Controls as well as User Controls to create a customized reusable user interface

**Compucom**, Dallas, Texas

November 2006 – December 2006

Client Portal/Apps – Developer

Technical Environment: C# ASP.NET 2.0, SQL Server 2005, Oracle, PL/SQL, Visual SourceSafe, WS 2003 R2

The Web Portal consisted of several sub-applications, notably "FSO", a tool used to analyze help desk casework.

My responsibilities included:

- Providing application support by correcting project defects found in QC (Quality Control)
- Editing packages to modify PL/SQL for Oracle database access

**Century Insurance**, Columbus, Ohio

September 2006 – October 2006

EUM(Electronic Underwriting Manual) – Developer

Technical Environment: C# .NET 2.0 WinForms, Visual Studio 2005 Team Systems, SQL Express 2005

The EUM project is a windows desktop-based tool for underwriters to generate required program forms

My responsibilities included:

- Building the application daily and deploying it to Quality Assurance testers
- Performing additional research to improve application performance and customize the installation process

**Mary Kay Inc.**, Dallas, Texas

June 2005 – August 2005

*Intern*

Technical Environment: C# ASP.NET 2.0/1.1, XML, SQL Server 2005, WS 2003 R2

My responsibilities included:

- Coding an ASP.NET based tool for data entry and management of XML configurations
- Creating additional tests against applications using Visual Studio's unit testing tools.

## TRAINING

---

**Sogeti Silverlight Focus Group**, Houston, Texas

October 2008 – Present

- Organized meetings as group founder and leader
- Presented Silverlight Tech-Night introduction and several demo applications

**Sogeti .NET and SharePoint Focus Groups**, Dallas, Texas

August 2006 – October 2008

- Presented individual and group training plan for setting goals and providing training resources
- Developed Silverlight 2.0 prototype of baseline training application

## EDUCATION

---

**University of Texas**, Austin, Texas

January 2002 – May 2006

Bachelor of Science in Computer Science

# Attachment J

Response to Item 11a

Application

of Vista Energy Marketing, L.P.

before the

PENNSYLVANIA PUBLIC UTILITY COMMISSION

For

Approval to Offer, Render, Furnish, or Supply Electricity

To the Public in the

Commonwealth of Pennsylvania

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PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

Appendix A

APPLICATION AFFIDAVIT

[Commonwealth/State] of TEXAS

ss.

County of HARRIS

DAVID RANSLEM, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the MANAGING PARTNER (Office of Affiant) of VISTA ENERGY MARKETING, L.P. (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein Vista Energy Marketing, L.P. has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

That the Applicant herein Vista Energy Marketing, L.P. has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein Vista Energy Marketing, L.P. acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein Vista Energy Marketing, L.P. acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

David Ranslem

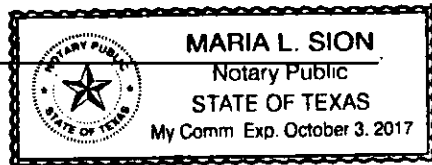
Signature of Affiant

Sworn and subscribed before me this 14<sup>th</sup> day of September, 2016.

Maria L. Sion

Signature of official administering oath

My commission expires



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PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

# Attachment K

Response to Item 11b

Application

of Vista Energy Marketing, L.P.

before the

PENNSYLVANIA PUBLIC UTILITY COMMISSION

For

Approval to Offer, Render, Furnish, or Supply Electricity

To the Public in the

Commonwealth of Pennsylvania

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PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

Appendix B

OPERATIONS AFFIDAVIT

[Commonwealth/State] of TEXAS :

ss.

County of HARRIS :

DAVID RANSUEN, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the MANAGING PARTNER (Office of Affiant) of VISTA ENERGY MARKETING, L.P. (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That Vista Energy Marketing, L.P., the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That Vista Energy Marketing, L.P., the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Vista Energy Marketing, L.P., the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2 ), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

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**Appendix B (Continued)**

That Vista Energy Marketing, L.P., the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

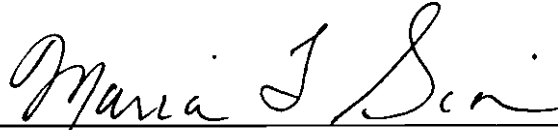
That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.



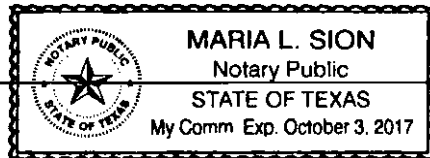
\_\_\_\_\_  
Signature of Affiant

Sworn and subscribed before me this 14<sup>th</sup> day of September, 2014.



\_\_\_\_\_  
Signature of official administering oath

My commission expires \_\_\_\_\_



**RECEIVED**

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SECRETARY'S BUREAU

# Attachment L

Response to Item 12

Application

of Vista Energy Marketing, L.P.

before the

PENNSYLVANIA PUBLIC UTILITY COMMISSION

For

Approval to Offer, Render, Furnish, or Supply Electricity

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Commonwealth of Pennsylvania

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SECRETARY'S BUREAU

**Vista Energy Marketing, L.P.**  
**Application for Approval to Supply Electricity**  
**in the Commonwealth of Pennsylvania**

**Attachment L**

**Item 12. Newspaper Publications**

Attached please find proofs of publication from the Williamsport Sun-Gazette, the Pittsburgh Post-Gazette, the Erie Times-News, and the Philadelphia Daily News. Proofs of publication from the Harrisburg Patriot-News, the Scranton Times-Tribune, and the Johnstown Tribute-Democrat will be filed with the Commission as soon as same are received.

**RECEIVED**

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PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

PROOF OF PUBLICATION OF NOTICE IN THE WILLIAMSPORT SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA  
COUNTY OF LYCOMING

SS:

Bernard A. Oravec Publisher of the Sun-Gazette Company, publishers of the Williamsport, Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport Sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

September 5, 2016

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette Company, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and also declares that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.

PENNSYLVANIA  
PUBLIC UTILITY  
COMMISSION  
NOTICE

Applications of Vista Energy Marketing, L.P. For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

Vista Energy Marketing, L.P. will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a supplier of natural gas. Vista Energy Marketing, L.P. will also be filing an application with the PUC for a license to supply electricity or electric generation services as a generator and supplier of electric power. Vista Energy Marketing, L.P. proposes to sell electricity, natural gas, and related services in Duquesne Light, Met-Ed, PECO, Panelec, Penn Power, PPL, UGI Utilities, West

Penn, Columbia Gas of PA, Inc., National Fuel Gas Distribution Corp., Peoples Gas - Equitable Division, The Peoples Natural Gas Company, Peoples TWP LLC, Philadelphia Gas Works, UGI Central Penn, UGI Penn Natural, UGI Utilities, and Valley Energy Inc. under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Vista Energy Marketing, L.P. may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Vista Energy Marketing, L.P.'s attorney at the address listed below.

By and through Counsel:  
Murray E. Bevan  
Bevan, Mosca, Giuditta & Zarillo, P.C.  
222 Mount Airy Road,  
Suite 200  
Basking Ridge, NJ  
07920  
908-753-8300  
Fax: 908-753-8301

Bernard A. Oravec

SUN-GAZETTE COMPANY

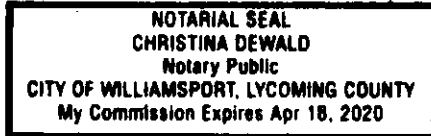
Sworn to and subscribed before me

the 6<sup>th</sup> day of September 2016

Christina Dewald

COMMONWEALTH OF PENNSYLVANIA

Notary Public



STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette Company, Dr.:  
For publishing the notice attached  
hereto on the above state dates.....\$ 347.<sup>32</sup>  
Probated same.....\$  
Total.....\$ 347.<sup>32</sup>

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

THE SUN-GAZETTE COMPANY hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

SUN-GAZETTE COMPANY

RECEIVED

BY Bernard A. Oravec

SEP 19 2016

### Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss P. Reed, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

**03 of September, 2016**

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

P. Reed  
PG Publishing Company  
Sworn to and subscribed before me this day of:  
September 06, 2016

Linda M. Gaertner  
COMMONWEALTH OF PENNSYLVANIA  
NOTARIAL SEAL  
Linda M. Gaertner, Notary Public  
City of Pittsburgh, Allegheny County  
My Commission Expires Jan. 31, 2019  
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU  
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SEP 19 2016

**STATEMENT OF ADVERTISING COSTS**  
Vista Energy Marketing, L.P.  
4306 Yoakum, Suite 600  
Attn: Luke Gottschalk  
HOUSTON, TX 77006

To PG Publishing Company

Total ----- \$562.50

### Publisher's Receipt for Advertising Costs

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

Office  
2201 Sweeney Drive  
CLINTON, PA 15026  
Phone 412-263-1338

PG Publishing Company, a Corporation, Publisher of  
Pittsburgh Post-Gazette, a Newspaper of General Circulation

By Samuel J. Arbutina  
Samuel J. Arbutina

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

### COPY OF NOTICE OR PUBLICATION

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE Applications of Vista Energy Marketing, L.P. For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public in The Commonwealth Of Pennsylvania.

Vista Energy Marketing, L.P. will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a supplier of natural gas. Vista Energy Marketing, L.P. will also be filing an application with the PUC for a license to supply electricity or electric generation services as a generator and supplier of electric power. Vista Energy Marketing, L.P. proposes to sell electricity, natural gas, and related services in Duquesne Light, Met-Ed, PECO, Penelec, Penn Power, PPL, UGI Utilities, West Penn, Columbia Gas of PA, Inc., National Fuel Gas Distribution Corp., Peoples Gas - Equitable Division, The Peoples Natural Gas Company, Peoples TWP LLC, Philadelphia Gas Works, UGI Central Penn, UGI Penn Natural, UGI Utilities, and Valley Energy Inc. under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Vista Energy Marketing, L.P. may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Vista Energy Marketing, L.P.'s attorney at the address listed below.

By and through Counsel: Murray E. Bevan, Bevan, Mosca, Giuditta & Zarillo, P.C., 222 Mount Airy Road, Suite 200, Rasking Ridge, NJ 07920, 908-753-8300, Fax: 908-753-8301

PROOF OF PUBLICATION  
In  
THE ERIE TIMES-NEWS  
COMBINATION EDITION

Vista Enery Marketing, L.P.  
4306 Yoakum Suite 600  
Houston TX 77006

REFERENCE: 91372 222642  
PUC Notice

STATE OF PENNSYLVANIA)  
COUNTY OF ERIE ) SS:

Brenda L. Learn, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 09/07/16

TOTAL COST: \$409.00 AD SPACE: 0 Lines

FILED ON: 09/07/16

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SEP 19 2016

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

PENNSYLVANIA  
PUBLIC UTILITY COMMISSION  
NOTICE

*Applications of Vista Energy Marketing, L.P. For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.*

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The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Vista Energy Marketing, L.P. may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Vista Energy Marketing, L.P.'s attorney at the address listed below.

By and through Counsel: Murray E. Bevan  
**Bevan, Mosca, Giuditta & Zarillo, P.C.**  
222 Mount Airy Road, Suite 200, Basking Ridge, NJ 07920

EP-222642

808-783-8300 • Fax: 808-783-8301

Sworn to and subscribed before me this 7 day of September 2016

Affiant: Brenda L. Learn

NOTARY: Ann K. Wilson

COMMONWEALTH OF PENNSYLVANIA

NOTARIAL SEAL

Ann K. Wilson, Notary Public

City of Erie, Erie County

My Commission Expires March 16, 2019

MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

Proof of Publication in The Philadelphia Daily News  
Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA  
COUNTY OF PHILADELPHIA

Cindy Jakubowski being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

September 6, 2016

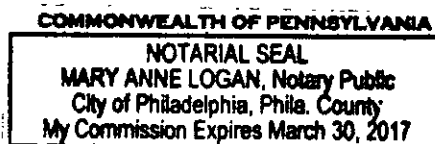
Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

*Cindy Jakubowski*

Sworn to and subscribed before me this 6<sup>th</sup> day of  
September, 2016.

*Mary Anne Logan*  
Notary Public

My Commission Expires:



Copy of Notice of Publication

PENNSYLVANIA  
PUBLIC UTILITY COMMISSION  
NOTICE  
Applications of Vista Energy Marketing, L.P. For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/ Broker Engaged in The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public in The Commonwealth Of Pennsylvania, Vista Energy Marketing, L.P. will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a supplier of natural gas. Vista Energy Marketing, L.P. will also be filing an application with the PUC for a license to supply electricity or electric generation services as a generator and supplier of electric power. Vista Energy Marketing, L.P. proposes to sell electricity, natural gas, and related services in Duquesne Light, Met-Ed, PECO, Penelec, Penn Power, PPL, UGI Utilities, West Penn, Columbia Gas of PA, Inc., National Fuel Gas Distribution Corp., Peoples Gas - Equitabis Division, The Peoples Natural Gas Company, Peoples TWP LLC, Philadelphia Gas Works, UGI Central Penn, UGI Penn Natural, UGI Utilities, and Valley Energy Inc. under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.  
The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Vista Energy Marketing, L.P. may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Vista Energy Marketing, L.P.'s attorney at the address listed below. By and through Counsel: Murray E. Bevan, Bevan, Meese, Gludits & Zarillo, P.C., 222 Mount Airy Road, Suite 200, Basking Ridge, NJ 07620, 908-753-8300, Fax: 908-753-8301

RECEIVED

SEP 19 2016

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

CERTIFICATE OF SERVICE

On this the 19<sup>th</sup> day of September, 2016, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all NON-CONFIDENTIAL attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:

Bureau of Investigation & Enforcement  
Pennsylvania Public Utility Commission  
Commonwealth Keystone Building  
400 North Street, 2 West  
Harrisburg, PA 17120

Office of the Attorney General  
Bureau of Consumer Protection  
Strawberry Square, 14th Floor  
Harrisburg, PA 17120

Office of Consumer Advocate  
5th Floor, Forum Place  
555 Walnut Street  
Harrisburg, PA 17120

Commonwealth of Pennsylvania  
Department of Revenue  
Bureau of Compliance  
Harrisburg, PA 17128-0946

Small Business Advocate  
Commerce Building, Suite 202  
300 North Second Street  
Harrisburg, PA 17101

Director of Customer Energy Services  
Orange and Rockland Company  
390 West Route 59  
Spring Valley, NY 10977-5300

Legal Department  
West Penn Power d/b/a Allegheny Power  
800 Cabin Hill Drive  
Greensburg, PA 15601-1689

Manager Energy Acquisition  
PECO Energy Company  
2301 Market Street  
Philadelphia, PA 19101-8699

Regulatory Affairs  
Duquesne Light Company  
411 Seventh Street, MD 16-4  
Pittsburgh, PA 15219

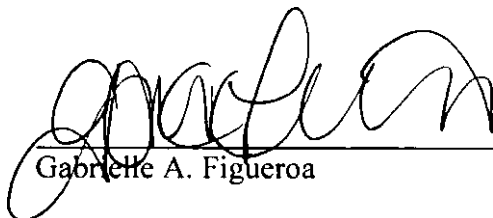
Legal Department  
Attn: Paul Russell PPL  
Two North Ninth Street  
Allentown, PA 18108-1179

Legal Department  
First Energy  
2800 Pottsville Pike  
Reading PA, 19612

UGI Utilities, Inc.  
Attn: Rates Dept. – Choice Coordinator  
2525 N. 12th Street, Suite 360  
Post Office Box 12677  
Reading, Pa 19612-2677

Citizens' Electric Company  
Attn: EGS Coordination  
1775 Industrial Boulevard  
Lewisburg, PA 17837

Wellsboro Electric Company  
Attn: EGS Coordination  
33 Austin Street  
P. O. Box 138  
Wellsboro, PA 16901

  
\_\_\_\_\_  
Gabrielle A. Figueroa

RECEIVED

SEP 19 2016

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU



ORIGIN ID:JVIA (908) 753-8300  
KATHERINE DAILEY  
BEVAN MOSCA GIUDITTA  
222 MOUNT AIRY ROAD  
SUITE 200  
BASKING RIDGE, NJ 07920  
UNITED STATES US

SHIP DATE: 19SEP16  
ACTWGT: 3.00 LB  
CAD: 5099813/NET3790

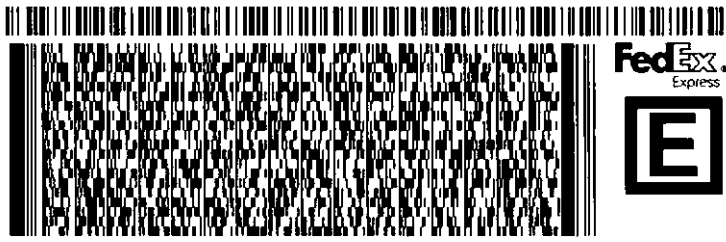
BILL SENDER

TO ROSEMARY CHIAVETTA, SECRETARY  
PA PUBLIC UTILITY COMMISSION  
COMMONWEALTH KEYSTONE BUILDING  
FL 2 RM N201  
HARRISBURG PA 17120

(717) 772-7777  
INV  
PC

REF 000293/16096 MEB

DEPT



544J11A053/14EB

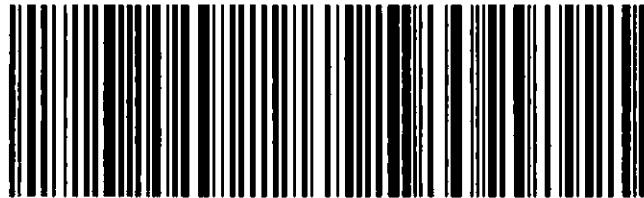
J162187659/1v

TUE - 20 SEP 3:00P  
STANDARD OVERNIGHT

TRK# 7772 6245 9750  
0201

EN MDTA

17120  
PA-US MDT



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