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September 20, 2016

VIA ELECTRONIC FILING, FIRST CLASS MAIL

Ms. Rosemary Chiavetta
Secretary
Rosemary Chiavetta
P.O. Box 3265
Harrisburg, PA 17105-3265
(717) 772-7777

Re: *Application Form for Parties Wishing to Offer, Render, Furnish, or Supply Electricity or Electric Generation Services to the Public in the Commonwealth of Pennsylvania*

Dear Rosemary Chiavetta:

Enclosed please find the completed License Application, Exhibits, Affidavits and Fee, as required.

I certify that a copy hereof has been furnished on this date via electronic mail and first class mail, postage prepaid. A copy has also been filed with the Pennsylvania Public Utility Commission as an electronic web filing and is complete.

Vervantis is an energy consulting firm providing a variety of business consulting services. The primary role of Vervantis is to provide information and energy-related analyses to our clients. Client retains and compensates Vervantis to provide the aforementioned services.

Please contact me at (480)550-9225 x102 should you have any questions related to the application.

Sincerely,

John Warrick
VP, Operations
Vervantis, Inc.
john.warrick@vervantis.com

Enclosure

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Version Revised 07/01/16

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of Vervantis Inc., d/b/a Vervantis, for approval to offer, render, furnish, or supply electricity or electric generation services as a(n) broker/marketer to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

Vervantis, Inc.
1334 E. Chandler Blvd. Suite #5 A-29
Phoenix, AZ 85048-6268
http://www.vervantis.com
480-550-9225

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

InCorp Services, Inc.
7208 Red Top Road
Hummelstown, PA 17036

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

John Warrick
VP, Operations
1334 E. Chandler Blvd. Suite #5 A-29
Phoenix, AZ 85048-6268
Phone: 480-550-9225
Fax: 480-336-2887
john.warrick@vervantis.com

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Not using an attorney.

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: (Required of ALL Applicants)** Provide the name, title, address, telephone number, FAX number, and e-mail **OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED)** responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSS.

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1334 E. Chandler Blvd. Suite #5 A-29
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Phone: 888-988-5474
Fax: 480-336-2887
john.warrick@vervantis.com

Dan Moat
VP, Business Development
1334 E. Chandler Blvd. Suite #5 A-29
Phoenix, AZ 85048-6268
Phone: 480-336-2891
Fax: 480-336-2887
dan.moat@vervantis.com

2. BUSINESS ENTITY FILINGS AND REGISTRATION

a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

OR

The Applicant will not be using a fictitious name.

b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**

(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)

The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

OR

The Applicant is a:

- domestic general partnership (*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.

- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.

- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.

- * If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

OR

The Applicant is a:

- domestic corporation (15 Pa. C.S. §1308)
- foreign corporation (15 Pa. C.S. §4124)
- domestic limited liability company (15 Pa. C.S. §8913)
- foreign limited liability company (15 Pa. C.S. §8981)
- Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above. Exhibit 2
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation. Nevada, Exhibit 1
- Give name and address of officers.

John Warrick
VP Operations
1334 E. Chandler Blvd. Suite #5 A-29
Phoenix, AZ 85048-6268

Dan Moat
VP Business Development
1334 E. Chandler Blvd. Suite #5 A-29
Phoenix, AZ 85048-6268

Mark Dickinson
Chairman
1334 E. Chandler Blvd. Suite #5 A-29
Phoenix, AZ 85048-6268

3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

- a. **AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

There are no affiliates.

- b. **PREDECESSORS:** Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

There are no predecessors.

4. OPERATIONS

a. **APPLICANT'S PRESENT OPERATIONS:** *(select and complete the appropriate statement)*

Definitions

- Supplier – an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC.
- Aggregator - an entity that purchases electric energy and takes title to electric energy as an intermediary for sale to retail customers.
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of electric energy but does not take title to electric energy.

- The Applicant is presently doing business in Pennsylvania as a
- municipal electric corporation
 - electric cooperative
 - local gas distribution company
 - provider of electric generation, transmission or distribution services
 - broker/marketer engaged in the business of supplying electricity services
 - Other; Identify the nature of service being rendered.

or

- The Applicant is not presently doing business in Pennsylvania.

b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a *(may check multiple)*:

- Generator of electricity
- Supplier of electricity
- Aggregator engaged in the business of supplying electricity
- Broker/Marketer engaged in the business of supplying electricity services
 - Check here to verify that your organization will not be taking title to the electricity nor will you be making payments for customers.
- Electric Cooperative and supplier of electric power
- Other (Describe):

- c. **PROPOSED SERVICES:** Describe in detail the electric services or the electric generation services which the Applicant proposes to offer.

Vervantis Inc. provides consulting services to industrial and commercial energy consumers. Vervantis acts as an agent on behalf of the consumer, as an extension of their internal teams. Vervantis does not take ownership of any assets at any time.

Services include: electricity and natural gas procurement advisory, budgeting/forecasting energy consumption/cost, sustainability strategy and invoice processing. Vervantis is not involved directly in buying or selling of energy as it would create a conflict of interest for our clients.

- d. **PROPOSED SERVICE AREA:** Check the box of each Electric Distribution Company for which the Applicant proposes to provide service.

- | | |
|---|---|
| <input type="checkbox"/> Citizens' Electric | <input type="checkbox"/> Pike |
| <input type="checkbox"/> Duquesne Light | <input type="checkbox"/> PPL |
| <input type="checkbox"/> Met-Ed | <input type="checkbox"/> UGI Utilities |
| <input type="checkbox"/> PECO | <input type="checkbox"/> Wellsboro |
| <input type="checkbox"/> Penelec | <input type="checkbox"/> West Penn |
| <input type="checkbox"/> Penn Power | <input checked="" type="checkbox"/> Entire Commonwealth of PA |

- e. **CUSTOMERS:** Applicant proposes to provide services to:

- | | |
|-------------------------------------|--|
| <input type="checkbox"/> | Residential Customers |
| <input checked="" type="checkbox"/> | Small Commercial Customers - (25 kW and Under) |
| <input type="checkbox"/> | Residential and Small Commercial as Mixed Meter ONLY (CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE) |
| <input checked="" type="checkbox"/> | Large Commercial Customers - (Over 25 kW) |
| <input checked="" type="checkbox"/> | Industrial Customers |
| <input type="checkbox"/> | Governmental Customers |
| <input type="checkbox"/> | All of above (Except Mixed Meter) |
| <input type="checkbox"/> | Other (Describe): |

- f. **START DATE:** Provide the approximate date the Applicant proposes to actively market within the Commonwealth.

After application is approved.

5. COMPLIANCE

- a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

None

- b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

N/A

- c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. If the Applicant has no actions or complaints to list, explicitly state such.

None

- d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

N/A

6. PROOF OF SERVICE

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.
(Example Certificate of Service is attached at Appendix C)

- a. **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2 West
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

Office of the Small Business Advocate
Commerce Building, Suite 202
300 North Second Street
Harrisburg, PA 17101

Exhibit 12

- b. **EDCs:** Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Electric Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each EDC is as follows.

Pike County Light & Power Company:
Director of Customer Energy Services
Orange and Rockland Company
390 West Route 59
Spring Valley, NY 10977-5300

West Penn:
Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

PECO:
Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Duquesne Light:
Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

PPL:
Legal Department
Attn: Paul Russell
PPL
Two North Ninth Street
Allentown, PA 18108-1179

Met-Ed, Penelec, and Penn Power:
Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

UGI:
UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
2525 N. 12th Street, Suite 360
Post Office Box 12677
Reading, Pa 19612-2677

Citizens' Electric Company:
Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

Wellsboro Electric Company:
Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901

Exhibit 12

7. FINANCIAL FITNESS

- a. **BONDING:** In accordance with 66 Pa. C.S. Section 2809(c)(1)(i), the Applicant is required to file a bond or other instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant is
- Furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.
 - Furnishing the **ORIGINAL** of another initial security for Commission approval, to ensure financial responsibility, such as a parental guarantee, in the amount of \$250,000.
 - For Marketers and Brokers** - Filing for a modification to the \$250,000 requirement and furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.

CRITICAL BONDING NOTES:

Applicant is required to maintain a bond or other financial instrument the entire time it maintains an EGS license with the Commonwealth of Pennsylvania. If Applicant's security instrument is not continuous, Applicant **MUST** submit a Rider, Amendment, or Continuation Certificate annually based on the expiration date of its security instrument.

Sixty days (60) prior to the security instrument's expiration date, Applicant should contact Stephen Jakab at sjakab@pa.gov to determine the appropriate bonding amount based on a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. Once the amount has been determined, Applicant should overnight the updated security instrument(s) at least thirty (30) days prior to the expiration date to ensure adequate time for staff review and approval of the security instrument(s).

Example version of a bond, letter of credit, and parental guarantee are attached at Appendix D, E, & F, respectively. Applicant's security must follow language from these examples. Any deviation from these examples must be identified in the application and may not be acceptable to the Commission.

b. **FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
- Applicant's accounting statements, including balance sheet and income statements for the past two years.
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements exhibiting accounts over a minimum two year period.
- Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

Exhibit 8, 13

c. **SUPPLIER FUNDING METHOD:** If Applicant is operating as anything other than **Broker/Marketer only**, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

N/A

d. **BROKER PAYMENT STRUCTURE:** If applicant is a broker/marketer, explain how your organization will be collecting your fees.

Clients are retained for a monthly consulting fee. A discounted monthly fee option is available when combined with a \$/kWh broker fee for active completed energy contracts.

e. **ACCOUNTING RECORDS CUSTODIAN:** Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

John Warrick
VP, Operations
1334 E. Chandler Blvd. Suite #5 A-29
Phoenix, AZ 85048-6268
Phone: 480-550-9225
Fax: 480-336-2887
john.warrick@vervantis.com

f. **TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix G to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

Exhibit 9

8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

a. **EXPERIENCE, PLAN, STRUCTURE:** such information may include:

- Applicant's previous experience in the electricity industry.
- Summary and proof of licenses as a supplier of electric services in other states or jurisdictions.
- Type of customers and number of customers Applicant currently serves in other jurisdictions.
- Staffing structure and numbers as well as employee training commitments.
- Business plans for operations within the Commonwealth.
- Documentation of membership in PJM, ECAR, MAAC, other regional reliability councils, or any other membership or certification that is deemed appropriate to justify competency to operate as an EGS within the Commonwealth.
- Any other information appropriate to ensure the technical capabilities of the Applicant.

Exhibit 6, 10

b. **PROPOSED MARKETING METHOD** (*check all that apply*)

- Internal – Applicant will use its own internal resources/employees for marketing
- External EGS – Applicant will contract with a PUC **LICENSED EGS** broker/marketer
- Affiliate – Applicant will use a **NON-EGS** affiliate marketing company and or individuals.
- External Third-Party – Applicant will contract with a **NON-EGS** third party marketing company and or individuals
- Other (Describe):

c. **DOOR TO DOOR SALES:** Will the Applicant be implementing door to door sales activities?

- Yes
- No

If yes, will the Applicant be using verification procedures?

N/A

- Yes
- No

If yes, describe the Applicant's verification procedures.

- d. **OVERSIGHT OF MARKETING:** Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

We will be operating strictly business to business.
Marketing campaigns will have unsubscribe features.

- e. **OFFICERS:** Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.

John Warrick, Dan Moat, Mark Dickinson resumes included.

Exhibit 10

- f. **FERC FILING:** Applicant has:

- Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.
- Received approval from FERC to be a Power Marketer at Docket or Case Number _____.
- Not applicable

9. DISCLOSURE STATEMENTS:

Disclosure Statements: If proposing to serve Residential and/or Small Commercial (under 25 kW) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix H to this Application.

- Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

Not applicable for an applicant applying for a license exclusively as a broker/marketer.

N/A

10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. **PJM LOAD SERVING ENTITY REQUIREMENT:** As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:

- proof of registration as a PJM Load Serving Entity (LSE), or
- proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

(Select only one of the following)

- AGREED - Applicant has included compliance with this requirement in the instant application, labeled in correspondence with this section (10).
- AGREED - Applicant will provide compliance with this requirement within 120 days of receiving its license
- ACKNOWLEDGED - Applicant is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information

b. STANDARDS OF CONDUCT AND DISCLOSURE: As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43, as well as any future amendments.

AGREED

c. REPORTING REQUIREMENTS: Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:

- Retail Electricity Choice Activity Reports: The regulations at 52 Pa. Code §§ 54.201--54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's internet web site.
- Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
- The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
- Net Metering Reports: Applicant shall be responsible to report any Net Metering per the Standards on http://www.puc.pa.gov/consumer_info/electricity/alternative_energy.aspx. Scroll down to the Net Metering Standards Section.
- Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis per 52 Pa. Code § 54.39(d).
- Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.

AGREED

d. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.

AGREED

e. **ANNUAL FEES:** The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling electricity in the Commonwealth of PA, and an annual supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.

ACKNOWLEDGED

f. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.

AGREED

g. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

AGREED

h. **NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within twenty (20) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 54.34.

AGREED

i. **CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

AGREED

j. **Electronic Data Interchange:** The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at Appendix K.

AGREED

k. **FILING FEE:** The Applicant has enclosed or paid the required, non-refundable filing fee by **CERTIFIED CHECK OR MONEY ORDER** in the amount of \$350.00 payable to the Commonwealth of Pennsylvania. The Commission does not accept corporate or personal checks for filing fees.

PAYMENT ENCLOSED

11. AFFIDAVITS

Must be notarized before filing.

- a. APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.

Exhibit 4

- b. OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.

Exhibit 5

12. NEWSPAPER PUBLICATIONS

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve.

The chart below dictates which newspapers are necessary for each EDC. For example, an applicant that wants to operate in Penn Power would need to run ads in both The Erie Times-News and the Pittsburgh Post-Gazette. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

The only acceptable verification of this requirement is with Notarized Proofs of Publication, which may be requested from each newspaper and must be supplied with this application. Applicants do not need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

Exhibit 11

	Erie Times-News	Harrisburg Patriot-News	Philadelphia Daily News	Pittsburgh Post-Gazette	Scranton Times-Tribune	Williamsport Sun-Gazette	Johnstown Tribune-Democrat
Citizens' Electric						X	
Duquesne				X			
Met Ed		X	X		X		
PECO			X				
Penelec	X	X			X	X	X
Penn Power	X			X			
Pike					X		
PPL		X	X		X	X	
UGI					X		
Wellsboro						X	
West Penn		X		X		X	X
Entire Commonwealth	X	X	X	X	X	X	X

(Example Publications are provided at Appendices I and J)

13. SIGNATURE



Applicant: Vervantis Inc

By: John Warrick

Title: Vice President, Operations

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14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections are complete.

Applicant: Vervantis Inc

	Signature	
	Filing Fee (CERTIFIED CHECK OR MONEY ORDER ONLY)	
	Application Affidavit	
	Operations Affidavit	
	Proof of Publication	
	Bond or Letter of Credit	
	Tax Certification Statement	
	Commonwealth Department of State Verification	
	Certificate of Service	

Applicant's Use

PUC Secretary's Bureau Use

List of Exhibits

- Exhibit 1:**
Articles of Incorporation,
Nevada Registered Agent,
Corporate Charter,
Certificate of Existence with Status in Good Standing
- Exhibit 2:**
Pennsylvania Foreign Qualification
- Exhibit 3:**
Pennsylvania Registered Agent
- Exhibit 4:**
Application Affidavit
- Exhibit 5:**
Operations Affidavit
- Exhibit 6:**
Vervantis Organizational Chart
- Exhibit 7:**
License and Permit Bond
- Exhibit 8:**
MidFirst Bank Letter
Statement of Cash Flows
Balance Sheet
Income Statement
- Exhibit 9:**
Tax Certification Statement
- Exhibit 10:**
Resumes of Executive Team
- Exhibit 11:**
Notice Publication

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Exhibit 12:
Certificate of Service

Exhibit 13:
Commercial General Liability Insurance

Exhibit 14:
Vervantis Description of services

Exhibit 1

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SEP 27 2016



BARBARA K. CEGAVSKE
Secretary of State
202 North Carson Street
Carson City, Nevada 89701-4201
(775) 684-5708
Website: www.nvsos.gov

A PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Articles of Incorporation
(PURSUANT TO NRS CHAPTER 78)

Table with filing information: Filed in the office of Barbara K. Cegavske, Document Number 20150151143-43, Filing Date and Time 04/01/2015 1:24 PM, Entity Number E0162412015-5

(This document was filed electronically.)

ABOVE SPACE IS FOR OFFICE USE ONLY

USE BLACK INK ONLY - DO NOT HIGHLIGHT

Main form sections: 1. Name of Corporation: VERVANTIS INC; 2. Registered Agent for Service of Process: THE CORPORATION TRUST COMPANY OF NEVADA; 3. Authorized Stock: 100000 shares; 4. Names and Addresses of the Board of Directors/Trustees: DAN MOAT; 5. Purpose: ANY LEGAL PURPOSE; 6. Benefit Corporation: No; 7. Name, Address and Signature of Incorporator: CAG; 8. Certificate of Acceptance of Appointment of Registered Agent: THE CORPORATION TRUST COMPANY OF NEVADA

This form must be accompanied by appropriate fees.

Nevada Secretary of State NRS 78 Articles
Revised: 1-5-15



BARBARA K. CEGAYSKA
 Secretary of State
 802 North Carson Street
 Carson City, Nevada 89701-4201
 (775) 684-5700
 Website: www.nvssa.gov



SECRET

**Registered Agent
 Acceptance**
 (PURSUANT TO NRS 77.310)

This form may be submitted by: a Commercial Registered Agent, Noncommercial Registered Agent or Represented Entity. For more information please visit <http://www.nvssa.gov/index.aspx?page=141>

USE BLACK INK ONLY. DO NOT HIGHLIGHT

ABOVE SPACE IS FOR OFFICE USE ONLY

Certificate of Acceptance of Appointment by Registered Agent

In the matter of Vervantis Inc.

Name of Represented Business Entity

The Corporation Trust Company of Nevada

and as:

Name of Appointed Registered Agent OR Represented Entity Serving as Own Agent

(complete only one)

- a) commercial registered agent listed with the Nevada Secretary of State,
- b) noncommercial registered agent with the following address for service of process:

Street Address	City	Nevada	Zip Code
Mailing Address (if different from street address)	City	Nevada	Zip Code

- c) represented entity accepting own service of process at the following address:

Title of Office or Position of Person in Represented Entity

Street Address	City	Nevada	Zip Code
Mailing Address (if different from street address)	City	Nevada	Zip Code

and hereby state that on 4/1/2015 I accepted the appointment as registered agent for the above named business entity. Date

Jennifer Vincent 4/1/2015
 Vice President & Assistant Secretary Date
 Authorized Signatory of R.A. or On Behalf of R.A. Company

Changing Registered Agent when reinstating, officer's signature required.

X _____ Date
 Signature of Officer

SECRETARY OF STATE



CORPORATE CHARTER

I, BARBARA K. CEGAVSKE, the duly elected and qualified Nevada Secretary of State, do hereby certify that **VERVANTIS INC**, did on April 1, 2015, file in this office the original Articles of Incorporation; that said Articles of Incorporation are now on file and of record in the office of the Secretary of State of the State of Nevada, and further, that said Articles contain all the provisions required by the law of said State of Nevada.



IN WITNESS WHEREOF, I have hereunto set my hand and affixed the Great Seal of State, at my office on April 1, 2015.

Barbara K. Cegavske

BARBARA K. CEGAVSKE
Secretary of State

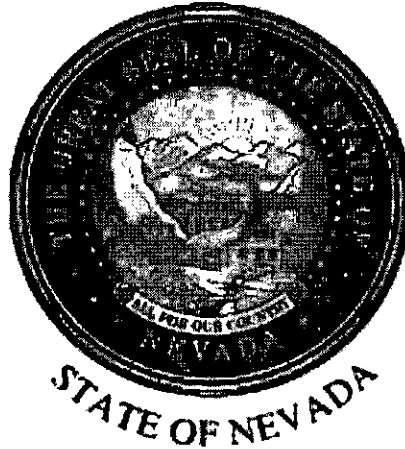
Certified By: Electronic Filing
Certificate Number: C20150401-1853
You may verify this certificate
online at <http://www.nvsos.gov/>

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PA PUBLIC UTILITY COMMISSION
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SECRETARY OF STATE



CERTIFICATE OF EXISTENCE WITH STATUS IN GOOD STANDING

I, BARBARA K. CEGAVSKE, the duly elected and qualified Nevada Secretary of State, do hereby certify that I am, by the laws of said State, the custodian of the records relating to filings by corporations, non-profit corporations, corporation soles, limited-liability companies, limited partnerships, limited-liability partnerships and business trusts pursuant to Title 7 of the Nevada Revised Statutes which are either presently in a status of good standing or were in good standing for a time period subsequent of 1976 and am the proper officer to execute this certificate.

I further certify that the records of the Nevada Secretary of State, at the date of this certificate, evidence, **VERVANTIS INC**, as a corporation duly organized under the laws of Nevada and existing under and by virtue of the laws of the State of Nevada since April 1, 2015, and is in good standing in this state.



IN WITNESS WHEREOF, I have hereunto set my hand and affixed the Great Seal of State, at my office on September 19, 2016.

Barbara K. Cegavske

BARBARA K. CEGAVSKE
Secretary of State

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Electronic Certificate
Certificate Number: C20160919-1120
You may verify this electronic certificate
online at <http://www.nvsos.gov/>

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Exhibit 2

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COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF STATE

09/21/2016

TO ALL WHOM THESE PRESENTS SHALL COME, GREETING:

I DO HEREBY CERTIFY THAT,

Vervantis Inc

is duly registered to do business under the laws of the Commonwealth of Pennsylvania and remains a registered Foreign Business Corporation so far as the records of this office show, as of the date herein.

I DO FURTHER CERTIFY THAT this Certificate of Registration shall not imply that all fees, taxes and penalties owed to the Commonwealth of Pennsylvania are paid.



IN TESTIMONY WHEREOF, I have hereunto set my hand and caused the Seal of the Secretary's Office to be affixed, the day and year above written

Pedro A. Contes

Secretary of the Commonwealth

Certification Number: TSC160921131023-1

Verify this certificate online at <http://www.corporations.pa.gov/orders/verify.aspx>

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF STATE
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS
401 NORTH STREET, ROOM 206
P.O. BOX 8722
HARRISBURG, PA 17105-8722
WWW.CORPORATIONS.PA.GOV

CAPITAL ACCOUNTING PC
15215 S. 48TH ST SUITE 139
PHOENIX AZ 85044

Vervantis Inc


THE BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS IS HAPPY TO SEND YOUR FILED DOCUMENT. THE BUREAU IS HERE TO SERVE YOU AND WE WOULD LIKE TO THANK YOU FOR DOING BUSINESS IN PENNSYLVANIA.

IF YOU HAVE ANY QUESTIONS PERTAINING TO THE BUREAU, PLEASE VISIT OUR WEBSITE AT www.dos.pa.gov/BusinessCharities OR YOU MAY CONTACT US BY TELEPHONE AT (717)787-1057. INFORMATION REGARDING BUSINESS AND UCC FILINGS CAN BE FOUND ON OUR SEARCHABLE DATABASE AT www.corporations.pa.gov/Search/CorpSearch .

ENTITY NUMBER : 6414913

Entity# : 6414913
Date Filed : 06/03/2016
Pedro A. Cortés
Secretary of the Commonwealth

**PENNSYLVANIA DEPARTMENT OF STATE
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS**

<input checked="" type="checkbox"/> Return document by mail to: Capital Accounting Name 16216 S. 48th Street, Suite 139 Address Phoenix, AZ 85044 City State Zip Code <input checked="" type="checkbox"/> Return document by email to: bat.woolsey@capitalacctpgg	Foreign Registration Statement DSCR:15-412  TFA160607MC0852
---	---

Read all instructions prior to completing. This form may be s

Fee: \$250

In compliance with the requirements of the applicable provisions of 15 Pa.C.S. § 412 (relating to foreign registration statement), the undersigned foreign association hereby states that:

1. The type of association is (check only one):

- Business Corporation
- Nonprofit Corporation
- Limited Liability Company
- Limited Partnership
- Limited Liability (General) Partnership
- Limited Liability Limited Partnership
- Business Trust
- Professional Association

2. The full and proper name of the foreign association as registered in its jurisdiction of formation is:

Vervantia Inc

2A. If the name in 2 does not contain a required designator or if the name in 2 is not available for use in the Commonwealth, the alternate name under which the association is registering in this Commonwealth is:

A resolution of the governors adopting the name in 2A for use in registering to do business in this Commonwealth must be attached.

3. The jurisdiction of formation is: Nevada

4. The street and mailing address of the association's principal office.

<u>16216 S. 48th Street, Suite 139</u>	<u>Phoenix,</u>	<u>AZ</u>	<u>85044</u>
Number and street	City	State	Zip

4A. The street and mailing address of the office, if any, required to be maintained by the law of the association's jurisdiction of formation in that jurisdiction:

<u>16216 S. 48th Street, Suite 139</u>	<u>Phoenix,</u>	<u>AZ</u>	<u>85044</u>
Number and street	City	State	Zip

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MAR 31 2016

PA DEPT. OF STATE

JUN - 9 2016

DSCB:15-412 - 2

5. The (a) address of the association's proposed registered office in this Commonwealth or (b) name of its Commercial Registered Office Provider and the county of venue is:

Complete part (a) OR (b) - not both:

(a) _____
Number and street City OR State Zip County

(b) w/o: InCorp Services, Inc. _____
Name of Commercial Registered Office Provider Dauphin County

6. Check one of the following:

- The association may not have series.
- The association may have one or more series.

7. Effective date of registration of foreign association (check, and if appropriate complete, one of the following):

- The Foreign Registration Statement shall be effective upon filing in the Department of State.
- The Foreign Registration Statement shall be effective on: _____ at _____
Date (MM/DD/YYYY) Hour (if any)

8. To be completed by Limited Liability Companies only. Check, and if appropriate complete, one of the following:

- The association is a limited liability company which is not organized to render any of the below professional service(s).
- The association is a restricted professional limited liability company organized to render one or more of the following professional service(s): (If this box is checked, one or more of the fields below must be checked.)

<input type="checkbox"/> Chiropractic	<input type="checkbox"/> Dentistry	<input type="checkbox"/> Law	<input type="checkbox"/> Medicine and surgery
<input type="checkbox"/> Optometry	<input type="checkbox"/> Osteopathic medicine and surgery	<input type="checkbox"/> Podiatric medicine	<input type="checkbox"/> Public accounting
<input type="checkbox"/> Psychology	<input type="checkbox"/> Veterinary medicine		

IN TESTIMONY WHEREOF, the undersigned association has caused this Foreign Registration Statement to be signed by a duly authorized representative thereof this 25th day of March, 20 15.

Vervantis Inc
Name of Association


Signature

Director
Title

Exhibit 3

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Registered Agent

Jurisdiction: Pennsylvania

Entity Name: Vervantis Inc.

Entity Type: Foreign Profit Corporation

Agent Information: InCorp Services, Inc.
7208 Red Top Road
Hummelstown, PA 17036

Ph: 702-866-2500
Toll Free: 800-246-2677
Fax: 702-866-2689

Exhibit 4

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SECRETARY'S BUREAU

Appendix A

APPLICATION AFFIDAVIT

[Commonwealth/State] of Arizona :

ss.

County of Maricopa :

John Warrick, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the Vice President, Operations (Office of Affiant) of Vervantis Inc (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein Vervantis Inc has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

That the Applicant herein Vervantis Inc has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

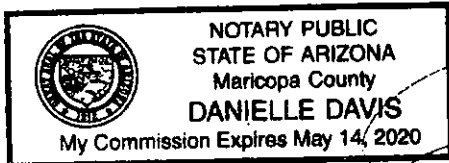
That the Applicant herein Vervantis Inc acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein Vervantis Inc acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

[Signature]
Signature of Affiant

Sworn and subscribed before me this 23 day of September, 2016.



[Signature]
Signature of official administering oath

My commission expires May 14, 2020

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Appendix B

OPERATIONS AFFIDAVIT

[Commonwealth/State] of Arizona :

ss.

County of Maricopa :

John Warrick, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the Vice President, Operations (Office of Affiant) of Vervantis Inc (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That Vervantis Inc, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That Vervantis Inc, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Vervantis Inc, the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

SECRETARY'S BUREAU
P.A.P.U.C.

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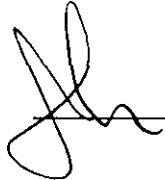
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Appendix B (Continued)

That Vervantis Inc, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

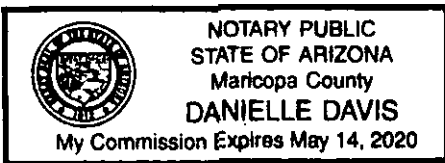
That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.



Signature of Affiant

Sworn and subscribed before me this 23 day of September, 2016.





Signature of official administering oath

My commission expires May 14, 2020.

SECRETARY'S BUREAU
P.A.P.U.C.

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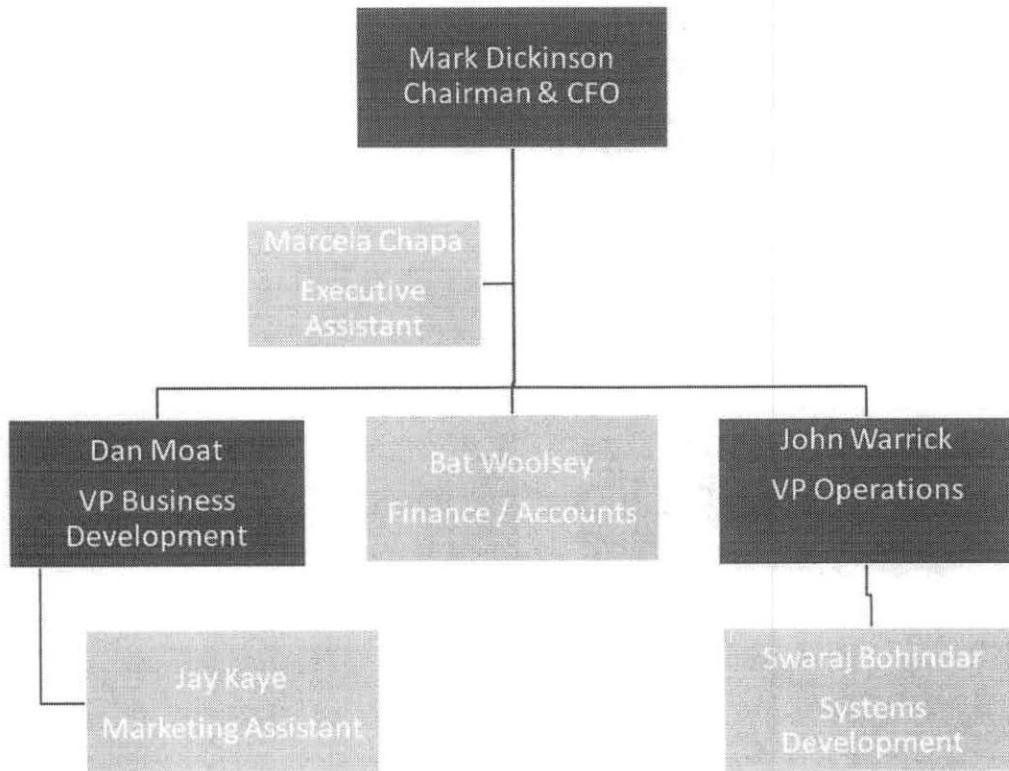
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VERVANTIS INC. ORGANIZATIONAL CHART



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Exhibit 7

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Exhibit 8

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SECRETARY'S BUREAU



January 14, 2016

To whom it may concern:

Vervantis Inc has been a customer of MidFirst Bank for 10 months; maintains a Basic Business Checking and Business Money Market Savings account's with us. The level of account balances maintained in the accounts are in the six figures. If you have any other questions please feel free to let me know.

Regards,

A handwritten signature in black ink, appearing to read 'Pete Nosratsangar', written over a horizontal line.

Pete Nosratsangar

MidFirst Bank

4750 E Chandler Blvd

Phoenix, AZ 85048

480-755-0937



James R. Laubham, CPA

James B. Laubham, CPA

Diane Wagner, Enrolled Agent

Krehl Todd, QuickBooks ProAdvisor

15215 S. 48th Street, Ste.139
Phoenix, AZ 85044

Office: 480.783.8870

Fax: 480.783.8878

Member: AICPA, ASCPA

August 26, 2016

To the Board of Directors
Vervantis Inc.
1334 E. Chandler Blvd., Suite 5-A29
Phoenix, AZ 85048

I have compiled the accompanying balance sheet of Vervantis Inc as of March 31, 2016, and the related statement of income and retained earnings for the three months then ended. I have not audited or reviewed the accompanying financial statements and, accordingly, do not express an opinion or provide any assurance about whether the financial statements are in accordance with accounting principles generally accepted in the United States of America.

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America and for designing, implementing, and maintaining internal control relevant to the preparation and fair presentation of the financial statements.

My responsibility is to conduct the compilation in accordance with Statements on Standards for Accounting and Review Services issued by the American Institute of Certified Public Accountants. The objective of a compilation is to assist management in presenting financial information in the form of financial statements without undertaking to obtain or provide any assurance that there are no material modifications that should be made to the financial statements.

Management has elected to omit substantially all of the disclosures and the statement of cash flows required by accounting principles generally accepted in the United States of America. If the omitted disclosures and the statement of cash flows were included in the financial statements, they might influence the user's conclusions about the Company's financial position, results of operations, and cash flows. Accordingly, the financial statements are not designed for those who are not informed about such matters.

Sincerely,


James Laubham, CPA

Vervantis Inc.
Statement of Cash Flows
January through December 2015

	<u>Jan - Dec 15</u>
OPERATING ACTIVITIES	
Net Income	-11,712.72
Net cash provided by Operating Activities	-11,712.72
INVESTING ACTIVITIES	
Furniture and Equipment: Computer Equipment	-5,763.39
Net cash provided by Investing Activities	-5,763.39
FINANCING ACTIVITIES	
Capital Stock: 1st Shareholder DM	162,151.03
Net cash provided by Financing Activities	162,151.03
Net cash increase for period	144,674.92
Cash at end of period	<u>144,674.92</u>

Vervantis Inc.
Statement of Cash Flows
January through March 2016

	<u>Jan - Mar 16</u>
OPERATING ACTIVITIES	
Net Income	<u>-44,525.39</u>
Net cash provided by Operating Activities	<u>-44,525.39</u>
FINANCING ACTIVITIES	
Capital Stock:1st Shareholder DM	<u>6,605.34</u>
Net cash provided by Financing Activities	<u>6,605.34</u>
Net cash increase for period	-37,920.05
Cash at beginning of period	<u>144,674.92</u>
Cash at end of period	<u><u>106,754.87</u></u>

Vervantis Inc.
Balance Sheet
As of December 31, 2015

	Dec 31, 15
ASSETS	
Current Assets	
Checking/Savings	
MidFirst Bank Checking 2427	13,281.54
Midfirst Bank MM 2435	131,393.38
Total Checking/Savings	144,674.92
Total Current Assets	144,674.92
Fixed Assets	
Furniture and Equipment	
Computer Equipment	5,763.39
Total Furniture and Equipment	5,763.39
Total Fixed Assets	5,763.39
TOTAL ASSETS	150,438.31
LIABILITIES & EQUITY	
Equity	
Capital Stock	
1st Shareholder DM	162,151.03
Total Capital Stock	162,151.03
Net Income	-11,712.72
Total Equity	150,438.31
TOTAL LIABILITIES & EQUITY	150,438.31

Vervantis Inc.
Balance Sheet
As of March 31, 2016

	Mar 31, 16
ASSETS	
Current Assets	
Checking/Savings	
MidFirst Bank Checking 2427	6,717.43
Midfirst Bank MM 2435	100,037.44
Total Checking/Savings	106,754.87
Total Current Assets	106,754.87
Fixed Assets	
Furniture and Equipment	
Computer Equipment	5,763.39
Total Furniture and Equipment	5,763.39
Total Fixed Assets	5,763.39
TOTAL ASSETS	112,518.26
LIABILITIES & EQUITY	
Equity	
Capital Stock	
1st Shareholder DM	168,756.37
Total Capital Stock	168,756.37
Retained Earnings	-11,712.72
Net Income	-44,525.39
Total Equity	112,518.26
TOTAL LIABILITIES & EQUITY	112,518.26

Vervantis Inc.
Profit & Loss
January through December 2015

	<u>Jan - Dec 15</u>
Ordinary Income/Expense	
Expense	
Advertising and Promotion	508.00
Bank Service Charges	21.74
Computer and Internet Expenses	53.69
Dues and Subscriptions	129.96
Office Supplies	30.25
Professional Fees	
Corporation	4,290.00
Developer	6,600.00
Total Professional Fees	<u>10,890.00</u>
Telephone Expense	<u>175.38</u>
Total Expense	<u>11,809.02</u>
Net Ordinary Income	-11,809.02
Other Income/Expense	
Other Income	
Interest Income	96.30
Total Other Income	<u>96.30</u>
Net Other Income	<u>96.30</u>
Net Income	<u><u>-11,712.72</u></u>

Vervantis Inc.
Profit & Loss
January through March 2016

	Jan - Mar 16
Ordinary Income/Expense	
Expense	
Advertising and Promotion	4,414.12
Bank Service Charges	15.05
Computer and Internet Expenses	234.97
Dues and Subscriptions	420.21
Insurance Expense	
General Liability Insurance	600.00
Total Insurance Expense	600.00
Meals and Entertainment	48.00
Office Supplies	17.90
Payroll Expenses	35,730.60
Printing & Reproduction	218.31
Professional Fees	
Developer	2,200.00
Total Professional Fees	2,200.00
Telephone Expense	685.86
Total Expense	44,585.02
Net Ordinary Income	-44,585.02
Other Income/Expense	
Other Income	
Interest Income	59.63
Total Other Income	59.63
Net Other Income	59.63
Net Income	-44,525.39

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Exhibit 9

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Exhibit 10

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Name: Dan Moat
Email: dan.moat@vervantis.com
Mobile: 480 543 7660

Curriculum Vitae

Profile

"Dan has been helping enterprise clients realize their energy goals for over 20 years. Dan has an incredible reputation for engaging client teams at all levels, ensuring management and c-suite align on deliverable strategies. He is a leader, dramatically improving energy processes and profitability for some of the world's largest corporations. Dan is an experienced practitioner in established and emerging markets across the Americas with an impressive list of personal testimonials. Prior to Vervantis, in 2004 Dan joined Encore International, an energy advisor specializing in energy price risk management. As a main shareholder and VP of Sales & Marketing, Dan developed and grew this fledgling business into the largest independent advisor by volume in the UK in six years"

Skills

Leadership

Communicates at all levels to understand, develop and implement client focused strategies. Builds strong teams who share his passionate and energetic approach. Leads both client and internal teams to achieve their goals.

Communication

A person with the highest values and integrity, culturally aware, highly productive and hard working. An accomplished communicator and exceptional presenter.

Negotiation

A proven negotiator, achieves win win results while establishing excellent working and long lasting relationships.

Risk Management

A recognised industry expert in energy price risk management techniques for industrial and commercial energy consumers.

Coaching

Accomplished builder of highly motivated teams. Nurtures talent to achieve career goals while focused on the improvement of company and client.

Interests

Various Interests including:

Mountain Biking

Rugby Union / Football (Arsenal FC)

Hiking

Cooking

Experience

June 2012 to Oct 2015: Schneider Electric Client Development Manager - Consulting

- Led the food and beverage supply side vertical taking it from zero to over \$1m p.a. in new business sales in two years
- Developed an internal network of experts to collaborate on client service requirements – Clean Tech, Energy Efficiency, BMS systems and controls
- Won several key target accounts including – Nestle, Kellogg's, SAB Miller, Weston Foods

June 2010 to June 2012: M&C Energy Group VP Client Development - Americas

- Deployment to set up a US based consulting arm for M&C Energy Group
- Developed key consumer relationships while conducting due diligence for target acquisitions
- Acquired and integrated Coleman Hines Inc., an Arizona based energy consultant in early 2012, prior to Schneider Electric acquisition of M&C Energy Group later that year.

Oct 2004 to June 2010: Encore International Limited VP Sales & Marketing / Owner

- Grew the business from 5 people to 90 people serving 200 of the best known brand names in the world representing £2bn of energy spend
- Grew the sales team from 1 to 15 in 4 years
- Grew revenues from \$150k to \$7.5m with \$1.75m EBITDA in 6 years

Apr 2003 to Oct 2004: Utiy Energy Consultants Client Development Manager

Oct August 2002 to April 2003: TXU Europe Energy Social Energy Efficiency Grant Manager

Feb 2002 to August 2002: Sempra Energy Trading Industrial Structured Products Manager

Feb 1995 to Feb 2002: TXU Europe Energy Trading Partnerships – I&C Retail

Name: John Warrick
Email: john.warrick@vervantis.com
Mobile: 480 635 2125

Curriculum Vitae

Profile

"John has an impressive track record of combining financial and business planning with tactical execution to optimize long term gains in performance, revenues and profitability. A proactive results orientated executive with ten years' experience in energy operations in various capacities. His leadership philosophy promotes employee participation and creative problem solving to contribute to the success of clients and the organizations they work for. He has both a BBA and MBA in business administration, graduating the later from Arizona State University. As VP operations for Vervantis Inc. John brings a deep understanding of the markets, project management, business processes and application architecture design. As a result, Vervantis has become a technology led operation."

Skills

Operations

Leader of producer services segment. Offered long-term supply acquisition for Natural Gas and other fuels. Market intelligence, strategy development and structured financing options.
Leader of Clean Tech segment. Segment offers off-take, financing, transmission and feasibility analysis for renewable energy developers.

Communication

A great communicator of business needs and solution application. Culturally aware, working with developers in US and India while joining internal teams to upgrade software systems.

Negotiation

Negotiating innovative energy supply agreements is a cornerstone of his skill set. Flexible, risk managed supply agreements which help consumers manage market price risk have been an innovation and valued addition to client solutions.

Risk Management

Developed Vervantis price risk and commodity analysis models, using Value at Risk, a key measurable in the management of consumer energy agreements.

Interests

Various Interests including:

Hiking

Mountain Biking

Home Automation and Efficiency

DIY (home renovation)

Experience

June 2012 to Jan 2016: Schneider Electric

Project Manager

- Versatile and accomplished Project Manager with a verifiable track record of managing multiple complex projects and exceeding expectations.
- Practiced in clarifying business requirements, performing gap analysis between goals and existing procedures/skill sets, and designing process and system improvements to increase productivity and reduce costs.
- Extensive experience in the implementation of financial analysis and risk management to facilitate business decisions.
- Strong interpersonal skills, highly adept at diplomatically facilitating discussions and negotiations with stakeholders.
- Recognized project management skills, consistently deliver complex, large-scale projects on time and within budget.

Nov 2010 to June 2012: Coleman Hines Inc. /M&C Energy

Business Analyst

- Proactively developed strategies and leveraged opportunities for process improvement, identified and prioritized business and systems problems and conducted impact analysis.
- Served as liaison with business and functional owners during high-level review sessions to derive action plans, deadlines and standards.
- Managed the development of a CRM system, standardized data from multiple sources for internal clients. Created a new SQL database to track and query historical data for improved reporting.
- Conducted User Acceptance Testing (UAT) for projects, implemented, system fixes and enhancements.

Mar 2007 to November 2010: Coleman Hines Inc.

Commodity Market Analyst / Procurement Analyst

- Provided energy procurement services to Fortune 500 clients including: McDonald's, Best Buy, Staples, Barnes & Noble and Luxottica.
- Managed RFP processes, working directly with energy suppliers to determine product and pricing opportunities for our clients.
- Arbitrated contract negotiations between clients and suppliers. Provided legal review/advice and contract redlines for clients. Interpreted legal and energy terminology for clients.
- Researched and reported compliance requirements (laws, regulations, contractual, policies and procedures) through audit and review of procurement transactions and processes.

Name: Mark Dickinson
Email: mark.dickinson@vervantis.com
Mobile: +44 (0) 7773 429438

Curriculum Vitae

Profile

"An innovative Energy professional with 20 years' experience across the energy value chain. A creative thinker with a track record of adding exceptional value to energy consumers I have had responsibility for the risk management £12bn of energy spend for over 1,000 of the world's largest consumers. With significant experience in deal structuring and asset management I have negotiated energy infrastructure transactions from the acquisition disposal of Power Stations, wind farms and gas fields through to the optimisation of O&M contracts for existing infrastructure. With a Masters in Finance from the London Business School, I was delighted to win their Accomplished Entrepreneur of the year award 2012. At Vervantis Inc. I am chairman and a primary investor."

Skills

Leadership

Ability to craft and communicate a strategic vision, creating an appropriate sense of urgency whilst aligning company values, culture and incentive plans to the same

Financial Analysis

Ability to rationalise and focus on the relevant financial and business performance metrics that drive the commercial engine of a given entity

Negotiation

A proven negotiator at all levels from energy asset purchases and disposals through to acquisitions and disposal of businesses

Risk Management

A recognised industry expert in risk management with a particular focus on commodity prices from energy to softs and metals

Coaching

Successfully nurtured and developed talent across 5+ businesses from 'G-Suite' succession to graduate programmes through the senior management

Interests

Various Interests including:

Running

Football (Tottenham Hotspur)

Collecting Signed First Editions

Children's Charities

Experience

June 2012 to April 2013: Schneider Electric Client Services Director (EU & APAC)

- Led the integration of the M&C Energy Group into the newly formed Professional Services Division within Schneider Electric

Jan 2011 to June 2012: M&C Energy Group CEO

- Led the 'Buy and Build' strategy to globalise the Platform Business; doubling revenues and increasing EBITDA by 300%
- Integrated 4 acquisitions across three continents creating a business serving 4,000 clients and representing £8bn of Energy Spend
- Delivered underlying 10% organic growth
- Achieved "Investors in People" Bronze award from a standing start
- Delivered a successful Exit for shareholders delivering 2.8 times money

Jun 2010 to Jan 2011: M&C Energy Group Operations Director

- Facilitated the Integration of Encore International Limited into the M&C Energy Group

Jan 2001 to June 2010: Encore International Limited Managing Director / Owner

- Created a bootstrap financed energy risk management business
- Grew the business from 4 people to 90 people serving 200 of the best known brand names in the world representing £2bn of energy spend
- Grew revenues from zero to £5m with £1.25m EBITDA
- Delivered a successful exit for the Entrepreneurs including further equity participation in the M&C Energy Group

Jan 1999 to Jan 2001: Sempra Energy Trading Europe Structured Products Manager

Oct 1998 to Jan 1999: BG Group Negotiator (Interconnector)

May 1995 to Oct 1998: Eastern Electricity Various Roles (Operations, I&C Pricing, Commercial, Risk Management)

Exhibit 11

RECEIVED

2016 SEP 27 AM 10:43

PA.P.U.C.
SECRETARY'S BUREAU

PROOF OF PUBLICATION
In
THE ERIE TIMES-NEWS
COMBINATION EDITION

Vervantis
1334 E Chandler Blvd. #5-A29
Phoenix AZ 85048

REFERENCE: 90602 211142
PUC Notice

STATE OF PENNSYLVANIA)
COUNTY OF ERIE) SS:

Tom Mezler, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, a true and correct copy of which is attached, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 07/06/16

TOTAL COST: \$409.00 AD SPACE: 0 Lines

FILED ON: 07/06/16

RECEIVED
2016 SEP 27 AM 10:44
PA.P.U.C.
SECRETARY'S BUREAU

PA PUC NOTICE: Applications of **Vervantis, Inc.** For Approval To Offer, Render, or Furnish Services as a **Supplier, Aggregator, and Marketer/ Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To Public In The Commonwealth Of Pennsylvania.** **Vervantis** will be filing an application with the PA Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. **Vervantis** will also be filing an application with the PUC for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. **Vervantis** proposes to sell electricity, natural gas, and related services in West Penn Duquesne Light, Pike County L&P, PECO, PPL, Merc, Penelec, Penn Power, Citizens Electric, UGI, Wellborn Electric under the provisions of new Natural Gas Choice & Competition Act and Electricity Generation Customer Choice & Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Vervantis** may be filed within 15 days of the date of this notice with the Secretary of the PUC, PO Box 3265, Harrisburg, PA 17103-3265. You should send copies of any protest to **Vervantis, Inc.**, 1334 E Chandler Blvd, Suite #5-A-29, Phoenix, AZ 85048, PH: 480-350-9225, Fax: 480-350-2887.

Sworn to and subscribed before me this 6th day of July 2016

Affiant: [Signature]

NOTARY: [Signature]

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Barbara J. Moore, Notary Public
City of Erie, Erie County
My Commission Expires March 23, 2020
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES



The Patriot News

LEGAL AFFIDAVIT

AD#: 0007725905

Commonwealth of Pennsylvania,) ss
County of Cumberland)

Shannon Wass being duly sworn, deposes that he/she is principal clerk of the PA Media Group; that The Patriot News is a public newspaper published in the city of Mechanicsburg, with general circulation in Cumberland and Dauphin and surrounding counties, and this notice is an accurate and true copy of ad(s) as printed in said newspaper, was printed and published in the regular edition and issue of said newspaper on the following date(s):

The Patriot News 07/05/2016

Donna M. Maldonado

Shannon Wass

Sworn to and subscribed before me this 6th day of July 2016

COMMONWEALTH OF PENNSYLVANIA

Notarial Seal

Donna M. Maldonado, Notary Public
Susquehanna Twp., Dauphin County
My Commission Expires Nov. 5, 2017

MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

PA PUC NOTICE : Applications of Vervantis, Inc. For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To Public In The Commonwealth Of Pennsylvania. Vervantis will be filing an application with the PA Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. Vervantis will also be filing an application with the PUC for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. Vervantis proposes to sell electricity, natural gas, and related services in West Penn, Duquesne Light, Pike County L&P, PECO, PPL, Met-Ed, Penelec, Penn Power, Citizens Electric, UGI, Wellsboro Electric under the provisions of new Natural Gas Choice & Competition Act and Electricity Generation Customer Choice & Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Vervantis may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Vervantis, Inc., 1334 E. Chandler Blvd. Suite #5 A-29, Phoenix, AZ, 85048, PH: 480-550-9225, Fax: 480-336-2887

RECEIVED
2016 SEP 27 AM 10:44
P.A.P.U.C.
SECRETARY'S BUREAU

Proof of Publication in The Philadelphia Daily News
Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA
COUNTY OF PHILADELPHIA

Cindy Jakubowski being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

July 7, 2016

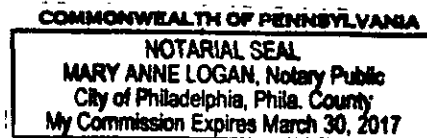
Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

Cindy Jakubowski

Sworn to and subscribed before me this 7th day of
July, 2016.

Mary Anne Logan
Notary Public

My Commission Expires:



Copy of Notice of Publication

PA PUC NOTICE: Applications of Verwante, Inc. For Approval To Offer, Render, or Furnish Service as a Supplier, Aggregator, and Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services To Public in The Commonwealth Of Pennsylvania. Verwante will be filing an application with the PA Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. Verwante will also be filing an application with the PUC for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. Verwante proposes to sell electricity, natural gas, and related services in West Penn, Duquesne Light, Pike County L&P, PECO, PPL, Met-Ed, Penelec, Ray Power, Citizens' Electric, UGL, Wallisboro Electric under the provisions of new Natural Gas Choice & Competition Act and Electricity Generation Customer Choice & Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Verwante may be filed within 16 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Verwante, Inc., 1334 E. Chandler Blvd., Suite #5, Phoenix, AZ 85046, PH: 480-550-9226, Fax: 480-536-2887.

RECEIVED
2016 SEP 27 AM 10:44
PA PUC
SECRETARY'S BUREAU

Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss P. Reed, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the _____ regular _____ editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

06 of July, 2016

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

P. Reed

PG Publishing Company

Sworn to and subscribed before me this day of:
July 06, 2016

Melanie L. Goodwin

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Melanie L. Goodwin, Notary Public
City of Pittsburgh, Allegheny County
My Commission Expires May 12, 2018
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

STATEMENT OF ADVERTISING COSTS
Vervantis, Inc.
1334 E. CHANDLER BLVD STE 5A-29
ATTN: JOHN WARRICK
PHOENIX AZ 85048-6267

To PG Publishing Company

Total ----- \$465.00

Publisher's Receipt for Advertising Costs

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

Office
2201 Sweeney Drive
CLINTON, PA 15026
Phone 412-263-1338

PG Publishing Company, a Corporation, Publisher of
Pittsburgh Post-Gazette, a Newspaper of General Circulation

By *[Signature]*

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

Attorney For

COPY OF NOTICE OR PUBLICATION

PA PUC NOTICE: Applications of Vervantis, Inc. For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services. To Public in The Commonwealth Of Pennsylvania. Vervantis will be filing an application with the PA Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. Vervantis will also be filing an application with the PUC for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. Vervantis proposes to sell electricity, natural gas, and related services in West Penn, Duquesne Light, Pike County L&P, PECO, PPL, Met-Ed, Penelec, Penn Power, Citizens' Electric, UGI, Wellston Electric under the provisions of new Natural Gas Choice & Competition Act and Electricity Generation Customer Choice & Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Vervantis may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Vervantis, Inc., 1334 E. Chandler Blvd, Suite #5 A-29, Phoenix, AZ, 85048, PH: 480-550-9225, Fax: 480 336-2887

RECEIVED

SEP 27 2016

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

The Scranton Times (Under act P.L. 877 No 160. July 9, 1976)
Commonwealth of Pennsylvania, County of Lackawanna

VERVANTIS
JOHN WARRICK
1334 E CHANDLER BLVD, #5-A29 PHOENIX AZ 85048

Account # 617744
Order # 81952191
Ad Price: 223.85

LEGAL NOTICE PA PUC NOTI

Gina Krushinski

Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

07/02/2016

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true Gina Krushinski

Sworn and subscribed to before me
this 5th day of July A.D., 2016

Sharon Venturi
(Notary Public)

COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Sharon Venturi, Notary Public
City of Scranton, Lackawanna County
My Commission Expires Feb. 12, 2018
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

LEGAL NOTICE
PA PUC NOTICE: Applications of Vervantis, Inc. For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services To Public In The Commonwealth Of Pennsylvania. Vervantis will be filing an application with the PA Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier of natural gas, and (2) a broker/marketor engaged in the business of providing natural gas services. Vervantis will also be filing an application with the PUC for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/marketor engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. Vervantis proposes to sell electricity, natural gas, and related services in West Penn, Duquesne Light, Pike County L&P, PECO, PPL, Met-Ed, Penslac, Penn Power, Citizens Electric, UGI, Walleboro Electric under the provisions of new Natural Gas Choice &

Competition Act and Electricity Generation Customer Choice & Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Vervantis may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3266, Harrisburg, PA 17105-3266. You should send copies of any protest to Vervantis, Inc., 1334 E. Chandler Blvd. Suite #5 A-29, Phoenix, AZ 85048, Phone: 480-550-9225, Fax: 480-336-2887.

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2016 SEP 27 AM 10:44
PA.P.U.C.
SECRETARY'S BUREAU

PROOF OF PUBLICATION OF NOTICE IN THE WILLIAMSPORT SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA
COUNTY OF LYCOMING

SS:

Bernard A. Oravec Publisher of the Sun-Gazette Company, publishers of the Williamsport, Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport Sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

July 4, 2016

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette Company, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and also declares that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.

LEGAL NOTICES

PA PUC NOTICE: Applications of Vervantia, Inc. For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer / Broker Engaged in

LEGAL NOTICES

The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To Public In The Commonwealth Of Pennsylvania. Vervantia will be filing an application with the PA Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. Vervantia will also be filing an application with the PUC for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker / marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. Vervantia proposes to sell electricity, natural gas, and related services in West Penn, Duquesne Light, Pike County L&P, PECO, PPL, Met-Ed, Penelec, Penn Power, Citizens' Electric, UGI, Wellsboro Electric under the provisions of new Natural Gas Choice & Competition Act and Electricity Generation Customer Choice & Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Vervantia may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3285, Harrisburg, PA 17105-3285. You should send copies of any protest to Vervantia, Inc., 1334 E. Chandler Blvd., Suite #5 A-29, Phoenix, AZ, 85048, PH: 480-550-9225, Fax: 480-336-2887.

RECEIVED

SEP 27 2016

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

THE SUN-GAZETTE
and certifies that the

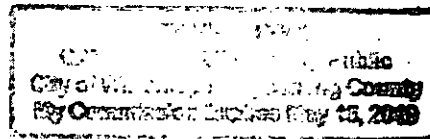
Bernard A. Oravec

SUN-GAZETTE COMPANY

Sworn to and subscribed before me

the 7th day of July 2016

Cathy A. Billey
Notary Public



STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette Company, Dr.:	
For publishing the notice attached	
hereto on the above state dates.....	\$ 253.88
Probated same.....	\$
Total.....	\$ 253.88

RECEIPT FOR ADVERTISING COSTS

I hereby acknowledge receipt of the aforesaid advertising and publication costs

paid.

SUN-GAZETTE COMPANY
BY Bernard A. Oravec

COMMONWEALTH OF PENNSYLVANIA }
 County of Cambria } SS

PA PUC NOTICE

Applications of Vervantis, Inc. For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services. To Public in The Commonwealth Of Pennsylvania. Vervantis will be filing an application with the PA Public Utility Commission ("PUC")

for a license to provide natural gas supply services as (1) a supplier of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. Vervantis will also be filing an application with the PUC for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power; (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. Vervantis proposes to sell electricity, natural gas, and related services in West Penn, Duquesne Light, Pike County L&P, PECO, PPL, Met-Ed, Penelec, Penn Power, Citizens Electric, UGI, Wellsboro Electric under the provisions of new Natural Gas Choice & Competition Act and Electricity Generation Customer Choice & Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Vervantis may be filed within 16 days of the date of this notice with the

publish that th of The the sub said pu

Secretary of the PUC,
 P.O. Box 3268,
 Harrisburg, PA 17108-3268.
 You should send copies of any protest to
 Vervantis, Inc.,
 1234 E. Chandler Blvd. Suite #6 A-29,
 Phoenix, AZ, 85048.
 PH: 480-850-9228, Fax: 480-336-2887

On this 11th day of July A.D. 2016, before me, the subscriber, a Notary Public in and for said County and State, personally appeared Christine Marhefka, who being duly sworn according to law, deposes and says as Classified Advertising Manager of the Tribune-Democrat, Johnstown, PA, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8, 1952, of the Johnstown Tribune, established December 7, 1853; and of the Johnstown Democrat, established March 5, 1863,

of Cambria, and Commonwealth of Pennsylvania and the matter published in said publication in the regular issues A, on July 7, 2016; and that the Affiant is not interested in hat all of the allegations as to time, place and character of

Christine Marhefka

STATEMENT OF ADVERTISING COSTS

Sworn and Subscribed before me this 11th day of July, 2016.

Jivian Ohs

0.00 Lines @	\$2.50 per line	0.00
7 Inches @	\$25.00 per inch	175.00
Notary Fee		5.00
Clerical Fee		2.50
Total Cost		182.50

COMMONWEALTH OF PENNSYLVANIA
 Notarial Seal
 Vivian Ohs, Notary Public
 City of Johnstown, Cambria County
 My Commission Expires Dec. 6, 2016
 MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

To The Tribune-Democrat, Johnstown, PA
 For publishing the notice or publication
 attached hereto on the above stated dates.

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

_____ for publisher of _____
 a newspaper of general circulation, hereby acknowledges receipt of the aforesaid
 and publication costs and certifies that the same has been duly paid.

(Name of Newspaper)

By _____

SECRETARY'S BUREAU
 P.A.P.U.C.

2016 SEP 27 AM 10:45

RECEIVED

Exhibit 13

RECEIVED

2016 SEP 27 AM 10:45

PA.P.U.C.
SECRETARY'S BUREAU

A STOCK COMPANY



ESSEX INSURANCE COMPANY

1209 Orange Street
Wilmington, DE 19801

INSURANCE POLICY

Coverage afforded by this policy is provided by the Company (Insurer) and named in the Declarations.

In Witness Whereof, the company (Insurer) has caused this policy to be executed and attested and countersigned by a duly authorized representative of the company (Insurer) identified in the Declarations.

Kathleen Anne Sturgeon

Secretary

James Albano Jr.

President



ESSEX INSURANCE COMPANY

COMMERCIAL GENERAL LIABILITY COVERAGE PART DECLARATIONS

POLICY NUMBER: 3KD5540

X If Supplemental Declarations Is Attached

RETROACTIVE DATE	
THIS INSURANCE DOES NOT APPLY TO "BODILY INJURY", "PROPERTY DAMAGE" OR "PERSONAL AND ADVERTISING INJURY" WHICH OCCURS BEFORE THE RETROACTIVE DATE, IF ANY, SHOWN BELOW.	
RETROACTIVE DATE:	NONE
(ENTER DATE OR "NONE" IF NO RETROACTIVE DATE APPLIES)	

LIMITS OF INSURANCE		
General Aggregate Limit (other than Products/Completed Operations)	\$2,000,000	
Products/Completed Operations Aggregate Limit	\$EXCLUDED	
Personal and Advertising Injury Limit	\$EXCLUDED	Any One Person or Organization
Each Occurrence Limit	\$1,000,000	
Damage to Premises Rented to You Limit	\$100,000	Any One Premises
Medical Expense Limit	\$5,000	Any One Person

ALL PREMISES YOU OWN, RENT OR OCCUPY			
Loc No: ADDRESS OF ALL PREMISES YOU OWN, RENT OR OCCUPY			
01	1334 E. Chandler Blvd. Suite 5-A29	Phoenix	AZ 85048

CLASSIFICATION AND PREMIUM								
Loc No.	Code No. Classification	Rating Basis	Premium Basis	Other Basis	Rate		Advance Premium	
					Pr/Co	All Other	Pr/Co	All Other
1	61226 Area* BUILDINGS OR PREMISES - OFFICE - NOT OTHERWISE CLASSIFIED (FOR-PROFIT)		1,000		EXCLUDED	124.87	\$EXCLUDED	\$ 125.00
							\$	\$
							\$	\$
*(a) Area *(c) Total Cost *(m) Admissions *(p) Payroll *(s) Gross Sales (u) Units *(r) Gross Receipts (e) Each (o) Other Premium Basis identified with a "*" is per 1000 of selected basis.							Total Advance Premium	\$ 500.00 MP

These declarations, together with the Common Policy Conditions and Coverage Form(s) and any Endorsement(s), complete the above numbered policy.

FORMS AND ENDORSEMENTS	
SEE FORMS SCHEDULE - MDIL 1001	



ESSEX INSURANCE COMPANY

COMMON POLICY DECLARATIONS

POLICY NUMBER: 3ED5640

RENEWAL OF POLICY: NEW

Named Insured and Mailing Address (No., Street, Town or City, County, State, Zip Code)

Vervantis, Inc; Dan Most

1334 E. Chandler Blvd. Suite 5-A29

Phoenix

AZ

85948

Policy Period: From 02/11/2016 to 02/11/2017 at 12:01 A.M. Standard Time at your mailing address shown above.

BUSINESS DESCRIPTION: Energy Supply Contract

FORM OF BUSINESS

- Individual
 Joint Venture
 Partnership
 Organization (other than Partnership or Joint Venture)

 Limited Liability Company
 Corporation
 Other

Audit Period: Annual unless otherwise stated: ANNUAL

FTZ Code:

IN RETURN FOR THE PAYMENT OF THE PREMIUM, AND SUBJECT TO ALL THE TERMS OF THIS POLICY, WE AGREE WITH YOU TO PROVIDE THE INSURANCE AS STATED IN THIS POLICY.

THIS POLICY CONSISTS OF THE FOLLOWING COVERAGE PART(S), BUT ONLY FOR WHICH A PREMIUM IS INDICATED. THIS PREMIUM MAY BE SUBJECT TO ADJUSTMENT.

Commercial Property Coverage Part	\$ NOT COVERED
Commercial General Liability Coverage Part	\$ 500.00
Commercial Inland Marine Coverage Part	\$ NOT COVERED
Commercial Ocean Marine Coverage Part	\$ NOT COVERED
Commercial Professional Liability Coverage Part	\$ NOT COVERED
Commercial Automobile Liability Coverage Part	\$ NOT COVERED
Liquor Liability Coverage Part	\$ NOT COVERED
Crime Coverage Part:	\$ NOT COVERED
Other Coverages: Terrorism	\$ NOT COVERED
	\$
Premium Total	\$ 500.00
Other Charges: Broker Fee	\$ 80.00
AZ Surplus Lines Tax	\$ 17.40
AZ Stamping Office Fee	\$ 1.16
	\$
State Surplus Lines License #	GRAND TOTAL \$ 598.56

PURSUANT TO ARIZONA REVISED STATUTES SECTION 20-401.01, SUBSECTION B, PARAGRAPH 1, THIS POLICY IS ISSUED BY AN INSURER THAT DOES NOT POSSESS A CERTIFICATE OF AUTHORITY FROM THE DIRECTOR OF THE ARIZONA DEPARTMENT OF INSURANCE. IF THE INSURER THAT ISSUED THIS POLICY BECOMES INSOLVENT, INSURED OR CLAIMANTS WILL NOT BE ELIGIBLE FOR INSURANCE GUARANTY FUND PROTECTION PURSUANT TO ARIZONA REVISED STATUTES TITLE 20.

To Report a Loss

- Dial toll-free #1 (844)777-8323 or visit our
- Website: <https://my.rpalns.com/claimsfnol>
- Contact insurer directly (see policy section)

RPSSCOT/SC/2016.02.22

MDL 1000 08 11

Page 1 of 2

Exhibit 14

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PA.P.U.C.
SECRETARY'S BUREAU



Description of Services

Vervantis Inc. provides consulting services to industrial and commercial energy consumers. Vervantis acts as an agent on behalf of the consumer, as an extension of their internal teams and does not take ownership of any assets at any time.

Advisory services include: electricity and natural gas procurement, budgeting/forecasting of energy consumption and cost, sustainability strategy and invoice processing.

Vervantis is not involved directly in buying or selling of energy as it would create a conflict of interest for our clients. Vervantis will not act as a supplier of energy to any consumer (Industrial / Commercial or Residential) and will not act as a shipper or transporter of energy.

Exhibit 12

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PA.P.U.C.
SECRETARY'S BUREAU

Appendix C

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

Example CERTIFICATE OF SERVICE

On this the 29 day of August 2016, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all **NON-CONFIDENTIAL** attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2 West
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

Small Business Advocate
Commerce Building, Suite 202
300 North Second Street
Harrisburg, PA 17101

Director of Customer Energy Services
Orange and Rockland Company
390 West Route 59
Spring Valley, NY 10977-5300

Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

Legal Department
Attn: Paul Russell
PPL
Two North Ninth Street
Allentown, PA 18108-1179

Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
2525 N. 12th Street, Suite 360
Post Office Box 12677
Reading, Pa 19612-2677

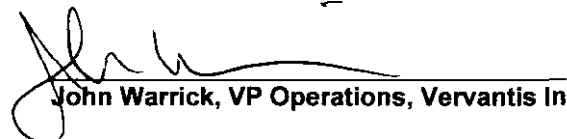
Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901

SECRETARY'S BUREAU
PA.P.U.C.

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John Warrick, VP Operations, Vervantis Inc

John Warrick
1334 E. Chandler Blvd. Suite #5 A-29
Phoenix, AZ 85048-6268

Pennsylvania Public Utility Commission
Secretary
400 North Street, Keystone Building
Harrisburg, PA 17120

F



U.S. POSTAGE
\$3.46
FCM LG ENV
85258
Date of Sale
09/23/16
06 2S00
08259798 **55K**

USPS® FIRST-CLASS MAIL®

SHIP
TO:

0 lb. 12.30 oz.

HARRISBURG PA 17120

ZIP



(420) 17120

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