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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

November 4, 2016

Pennsylvania Public Utility Commission
P.O. Box 3265
Harrisburg, PA 17105

Re: **National Utilities Refund LLC d/b/a National Energy**
Application for Approval to Offer, Render, Furnish, or Supply Electric Services

To Whom It May Concern:

Enclosed please find an **Application for Approval to Offer, Render, Furnish, or Supply Electric Services** for our client, **National Utilities Refund LLC d/b/a National Energy**. Once the application has been processed, please forward evidence of approval to the mailing address on the application. If there is any issue, or if you require any further information, please do not hesitate to contact us.

Thank you,

LicenseLogix
140 Grand Street, Suite 300
White Plains, NY 10601
service@licenselogix.com
(800) 292-0909

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BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of National Utilities Refund LLC, d/b/a National Energy, for approval to offer, render, furnish, or supply electricity or electric generation services as a(n) *[as specified in item #4b below]* to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

a. IDENTITY OF THE APPLICANT: Provide name *(including any fictitious name or d/b/a)*, primary address, web address, and telephone number of Applicant:

National Utilities Refund LLC d/b/a National Energy
6216 Vista Terrace, Orefield, PA 18069
www.nationalenergy.us
1.800.687.1968

b. PENNSYLVANIA ADDRESS / REGISTERED AGENT: If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

N/A

c. REGULATORY CONTACT: Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Vincent DiMaio, President
6216 Vista Terrace, Orefield, PA 18069
Phone:800.687.1968 Fax:800.687.1968
vince@nationalutilityltd.com

d. ATTORNEY: Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Applicant is not using an attorney to file this application.

e. CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: (Required of ALL Applicants) Provide the name, title, address, telephone number, FAX number, and e-mail **OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED)** responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

Vincent DiMaio, President
6216 Vista Terrace, Orefield, PA 18069
Phone: 800.687.1968
Fax: 800.687.1968
vince@nationalutilityltd.com

Ralph DiMaio, Member
6216 Vista Terrace
Orefield, PA 18069
Phone: 800.687.1968
Fax: 800.687.1968
Ralph@nationalutilityltd.com

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2. BUSINESS ENTITY FILINGS AND REGISTRATION

a. FICTITIOUS NAME: *(Select appropriate statement and provide supporting documentation as listed.)*

☒ The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

or

☐ The Applicant will not be using a fictitious name.

b. BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:
(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)

☐ The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

or

☐ The Applicant is a:

☐ domestic general partnership (*)
☐ domestic limited partnership (15 Pa. C.S. §8511)
☐ foreign general or limited partnership (15 Pa. C.S. §4124)
☐ domestic limited liability partnership (15 Pa. C.S. §8201)
☐ foreign limited liability general partnership (15 Pa. C.S. §8211)
☐ foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- * If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

or

☒ The Applicant is a:

- ☐ domestic corporation (15 Pa. C.S. §1308)
- ☐ foreign corporation (15 Pa. C.S. §4124)
- ☒ domestic limited liability company (15 Pa. C.S. §8913)
- ☐ foreign limited liability company (15 Pa. C.S. §8981)
- ☐ Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.
- Give name and address of officers.

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3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

a. AFFILIATES: Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

The applicant does not have any affiliates doing business.

b. PREDECESSORS: Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

The applicant does not have any predecessors that have done business.

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4. OPERATIONS

a. APPLICANT'S PRESENT OPERATIONS: *(select and complete the appropriate statement)*

Definitions

- Supplier – an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC.
- Aggregator - an entity that purchases electric energy and takes title to electric energy as an intermediary for sale to retail customers.
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of electric energy but does not take title to electric energy.

☐ The Applicant is presently doing business in Pennsylvania as a

- ☐ municipal electric corporation
- ☐ electric cooperative
- ☐ local gas distribution company
- ☐ provider of electric generation, transmission or distribution services
- ☐ broker/marketer engaged in the business of supplying electricity services
- ☐ Other; Identify the nature of service being rendered.

or

☒ The Applicant is not presently doing business in Pennsylvania.

b. APPLICANT'S PROPOSED OPERATIONS: The Applicant proposes to operate as a (*may check multiple*):

- ☐ Generator of electricity
- ☐ Supplier of electricity
- ☐ Aggregator engaged in the business of supplying electricity
- ☒ Broker/Marketer engaged in the business of supplying electricity services
  - ☒ Check here to verify that your organization will not be taking title to the electricity nor will you be making payments for customers.
- ☐ Electric Cooperative and supplier of electric power
- ☐ Other (Describe):

c. **PROPOSED SERVICES:** Describe in detail the electric services or the electric generation services which the Applicant proposes to offer.

National Utilities Refund LLC, "NUR", DBA National Energy is seeking an energy broker license in order to provide better customer service to our clientele by brokering electric rates from the industries' most reliable suppliers. NUR will operate under the National Energy name when procuring energy rates from suppliers, and we will market to our current clientele as well as new customers through the means of direct marketing. Our customers will be presented with a report, showing electric rates from multiple suppliers, so they can make an informed decision on their electric supply rates. With an energy broker license, National Energy can have control over which suppliers we represent and recommend to our customers. We will also perform a complimentary, no charge, supplier bill review for our customers after they receive their first invoice from the energy supplier they chose in order to assure a high level of customer satisfaction.

d. **PROPOSED SERVICE AREA:** Check the box of each Electric Distribution Company for which the Applicant proposes to provide service.

- ☐ Citizens' Electric
- ☐ Duquesne Light
- ☐ Met-Ed
- ☐ PECO
- ☐ Penelec
- ☐ Penn Power
- ☐ Pike
- ☐ PPL
- ☐ UGI Utilities
- ☐ Wellsboro
- ☐ West Penn

- ☒ Entire Commonwealth of PA

e. **CUSTOMERS:** Applicant proposes to provide services to:

- ☐ Residential Customers
- ☐ Small Commercial Customers - (25 kW and Under)
- ☐ Residential and Small Commercial as Mixed Meter ONLY **(CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE)**
- ☐ Large Commercial Customers - (Over 25 kW)
- ☐ Industrial Customers
- ☐ Governmental Customers
- ☒ All of above (Except Mixed Meter)
- ☐ Other (Describe):

f. **START DATE:** Provide the approximate date the Applicant proposes to actively market within the Commonwealth.

Upon licensure.

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5. COMPLIANCE

a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

None.

b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

Not applicable.

c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. If the Applicant has no actions or complaints to list, explicitly state such.

None.

d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

Not applicable.

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6. PROOF OF SERVICE

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.
(Example Certificate of Service is attached at Appendix C)

a. STATUTORY AGENCIES: Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2 West
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

Office of the Small Business Advocate
Commerce Building, Suite 202
300 North Second Street
Harrisburg, PA 17101

b. EDCs: Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Electric Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each EDC is as follows.

Pike County Light & Power Company:
Director of Customer Energy Services
Orange and Rockland Company
390 West Route 59
Spring Valley, NY 10977-5300

West Penn:
Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

PECO:
Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Duquesne Light:
Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

PPL:
Legal Department
Attn: Paul Russell
PPL
Two North Ninth Street
Allentown, PA 18108-1179

Met-Ed, Penelec, and Penn Power:
Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

UGI:
UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
2525 N. 12th Street, Suite 360
Post Office Box 12677
Reading, Pa 19612-2677

Citizens' Electric Company:
Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

Wellsboro Electric Company:
Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901

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7. FINANCIAL FITNESS

a. **BONDING**: In accordance with 66 Pa. C.S. Section 2809(c)(1)(i), the Applicant is required to file a bond or other instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant is...

- ☐ Furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of $250,000.

- ☐ Furnishing the **ORIGINAL** of another initial security for Commission approval, to ensure financial responsibility, such as a parental guarantee, in the amount of $250,000.

- ☒ **For Marketers and Brokers** - Filing for a modification to the $250,000 requirement and furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of $10,000. Applicant is required to provide information supporting an amount less than $250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.

CRITICAL BONDING NOTES:

Applicant is required to maintain a bond or other financial instrument the entire time it maintains an EGS license with the Commonwealth of Pennsylvania. If Applicant's security instrument is not continuous, Applicant **MUST** submit a Rider, Amendment, or Continuation Certificate annually based on the expiration date of its security instrument.

Sixty days (60) prior to the security instrument's expiration date, Applicant should contact Stephen Jakab at sjakab@pa.gov to determine the appropriate bonding amount based on a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. Once the amount has been determined, Applicant should overnight the updated security instrument(s) at least thirty (30) days prior to the expiration date to ensure adequate time for staff review and approval of the security instrument(s).

Example version of a bond, letter of credit, and parental guarantee are attached at Appendix D, E, & F, respectively. Applicant's security must follow language from these examples. Any deviation from these examples must be identified in the application and may not be acceptable to the Commission.

b. FINANCIAL RECORDS, STATEMENTS, AND RATINGS: Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
- Applicant's accounting statements, including balance sheet and income statements for the past two years.
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements exhibiting accounts over a minimum two year period.
- Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

c. SUPPLIER FUNDING METHOD: If Applicant is operating as anything other than **Broker/Marketer only**, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

d. BROKER PAYMENT STRUCTURE: If applicant is a broker/marketer, explain how your organization will be collecting your fees.

National Utilities Refund, d/b/a/ National Energy, will collect the broker fee from the supplier.

e. ACCOUNTING RECORDS CUSTODIAN: Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

Vincent DIMaio, President
6216 Vista Terrace, Orefield,PA 18069
Phone: 800.687.1968
Fax: 800.687.1968
vince@nationalutilityltd.com

f. TAXATION: Complete the TAX CERTIFICATION STATEMENT attached as Appendix G to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

a. **EXPERIENCE, PLAN, STRUCTURE:** such information may include:

- Applicant's previous experience in the electricity industry.
- Summary and proof of licenses as a supplier of electric services in other states or jurisdictions.
- Type of customers and number of customers Applicant currently serves in other jurisdictions.
- Staffing structure and numbers as well as employee training commitments.
- Business plans for operations within the Commonwealth.
- Documentation of membership in PJM, ECAR, MAAC, other regional reliability councils, or any other membership or certification that is deemed appropriate to justify competency to operate as an EGS within the Commonwealth.
- Any other information appropriate to ensure the technical capabilities of the Applicant.

b. **PROPOSED MARKETING METHOD** *(check all that apply)*

☒ Internal – Applicant will use its own internal resources/employees for marketing
☐ External EGS – Applicant will contract with a PUC **LICENSED EGS** broker/marketer
☐ Affiliate – Applicant will use a **NON-EGS** affiliate marketing company and or individuals.
☒ External Third-Party – Applicant will contract with a **NON-EGS** third party marketing company and or individuals
☐ Other (Describe):

c. **DOOR TO DOOR SALES:** Will the Applicant be implementing door to door sales activities?

☐ Yes
☒ No

If yes, will the Applicant be using verification procedures?

☐ Yes
☒ No

If yes, describe the Applicant's verification procedures.

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d. OVERSIGHT OF MARKETING: Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

Ralph DiMaio is in charge of sales and marketing training for the company as well as the four independent sales contractors. In order to ensure that all marketing is performed in an ethical manner, Ralph developed a sales system and training manual for our independent contractors. In addition, he continually educates them by hosting bi-weekly sales training calls. Ralph also performs follow-up calls with each customer and asks them how their experience was working with us to see if there is anything we can improve on.

e. OFFICERS: Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.

Vincent DiMaio, President
Ralph DiMaio, Partner

f. FERC FILING: Applicant has:

☐ Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.

☐ Received approval from FERC to be a Power Marketer at Docket or Case Number _____________.

☒ Not applicable

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9. DISCLOSURE STATEMENTS:

Disclosure Statements: If proposing to serve Residential and/or Small Commercial (under 25 kW) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix H to this Application.

- Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

Not applicable for an applicant applying for a license exclusively as a broker/marketer.

10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

a. PJM LOAD SERVING ENTITY REQUIREMENT: As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:

- proof of registration as a PJM Load Serving Entity (LSE), or
- proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

(Select only one of the following)

☐ AGREED - Applicant has included compliance with this requirement in the instant application, labeled in correspondence with this section (10).

☐ AGREED - Applicant will provide compliance with this requirement within 120 days of receiving its license

☒ ACKNOWLEDGED - Applicant is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information

b. **STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43, as well as any future amendments.

☒ AGREED

c. **REPORTING REQUIREMENTS**: Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:

- Retail Electricity Choice Activity Reports: The regulations at 52 Pa. Code §§ 54.201--54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's internet web site.

- Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.

- The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.

- Net Metering Reports: Applicant shall be responsible to report any Net Metering per the Standards on http://www.puc.pa.gov/consumer_info/electricity/alternative_energy.aspx. Scroll down to the Net Metering Standards Section.

- Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis per 52 Pa. Code § 54.39(d).

- Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.

☒ AGREED

d. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.

[X] AGREED

e. **ANNUAL FEES: The Public Utility Code authorizes the PUC to collect an annual fee of $350 from suppliers, brokers, marketers, and aggregators selling electricity in the Commonwealth of PA, and an annual supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.**

[X] ACKNOWLEDGED

f. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.

[X] AGREED

g. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

[X] AGREED

h. **NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within twenty (20) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 54.34.

[X] AGREED

i. **CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

[X] AGREED

j. **Electronic Data Interchange:** The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at Appendix K.

[X] AGREED

k. **FILING FEE: The Applicant has enclosed or paid the required, non-refundable filing fee by CERTIFIED CHECK OR MONEY ORDER in the amount of $350.00 payable to the Commonwealth of Pennsylvania.**
<u>The Commission does not accept corporate or personal checks for filing fees.</u>

[X] PAYMENT ENCLOSED

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11. AFFIDAVITS

Must be notarized before filing.

a. **APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.

b. **OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.

12. NEWSPAPER PUBLICATIONS

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve.

The chart below dictates which newspapers are necessary for each EDC. For example, an applicant that wants to operate in Penn Power would need to run ads in both The Erie Times-News and the Pittsburgh Post-Gazette. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

The only acceptable verification of this requirement is with Notarized Proofs of Publication, which may be requested from each newspaper and must be supplied with this application. Applicants do not need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	Erie Times-News	Harrisburg Patriot-News	Philadelphia Daily News	Pittsburgh Post-Gazette	Scranton Times-Tribune	Williamsport Sun-Gazette	Johnstown Tribune-Democrat
Citizens' Electric						X	
Duquesne				X			
Met Ed		X	X		X		
PECO			X				
Penelec	X	X			X	X	X
Penn Power	X			X			
Pike					X		
PPL		X	X		X	X	
UGI					X		
Wellsboro						X	
West Penn		X		X		X	X
Entire Commonwealth	X	X	X	X	X	X	X

(Example Publications are provided at Appendices I and J)

13. SIGNATURE

Applicant:: National Utilities Refund LLC d/b/a National Energy

By: [signature]

Title: President

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14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections are complete.

Applicant: National Utilities refund LLC d/b/a/National Energy

Applicant's Use		PUC Secretary's Bureau Use
	Signature	✓
	Filing Fee (CERTIFIED CHECK OR MONEY ORDER ONLY)	✓
	Application Affidavit	✓
	Operations Affidavit	✓
	Proof of Publication	✓
	Bond or Letter of Credit	✓
	Tax Certification Statement	✓
	Commonwealth Department of State Verification	✓
	Certificate of Service	✓

Appendix A

APPLICATION AFFIDAVIT

[Commonwealth/State] of Pennsylvania :

: ss.

County of Lehigh :

Vincent DiMaio, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the President (Office of Affiant) of National Utilities Refund LLC (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein National Utilities Refund LLC has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

That the Applicant herein National Utilities Refund LLC has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein National Utilities Refund LLC acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein Natioanl Utilities Refund LLC acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

Signature of Affiant

Sworn and subscribed before me this 1 day of November, 2016.

Andrea Hemphill

Signature of official administering oath

My commission expires Aug 12, 2020.

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Andrea Hemphill, Notary Public
Lower Macungie Twp., Lehigh County
My Commission Expires Aug. 12, 2020
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

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SECRETARY'S BUREAU

Appendix B

OPERATIONS AFFIDAVIT

[Commonwealth/State] of Pennsylvania :

: ss.

County of Lehigh :

Vincent DiMaio, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the President (Office of Affiant) of National Utilities Refund LLC (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That National Utilities Refund LLC, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That National Utilities Refund LLC, *the Applicant herein, asserts that [he/she/it] possesses the requisite* technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That National Utilities Refund LLC, the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

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SECRETARY'S BUREAU

Appendix B (Continued)

That Natioanl Utilities Refund LLC, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.

Signature of Affiant

Sworn and subscribed before me this 1 day of November, 2016.

Andrea Hemphill
Signature of official administering oath

My commission expires Aug 12, 2020.

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Andrea Hemphill, Notary Public
Lower Macungie Twp., Lehigh County
My Commission Expires Aug. 12, 2020
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

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Appendix K

Electronic Data Interchange and Internet Requirements

Prior to doing business in an EDC service territory, all EGS suppliers, and any broker/marketers that wish to use the Electronic Data Interchange (EDI), must meet the EDI certification requirements of the EDC. Certification is a testing process using the Commission approved Internet protocol. To initiate this process, the EGS is encouraged to contact the EDC as early as possible after filing an application for a license with the Commission, since certification may require as many as four months to complete and customer service contract dates may not commence prior to certification. EDC requirements of new suppliers may be found on the respective EDC home web page. Pennsylvania's industry stakeholder group the Electronic Data Exchange Working Group (EDEWG) develops and maintains the EDI transactions and related business practices, which are found on the Pa. PUC website at http://www.puc.pa.gov/filing_resources/issues_laws_regulations/electronic_data_exchange.aspx. The EDEWG meets telephonically the first Thursday of each month at 2:00pm ET to discuss EDI change control requests and other issues.

To keep current with Pennsylvania EDI practices and policies, a newly licensed EGS is strongly encouraged to participate in the EDEWG by contacting the following:

PA EDEWG EDI Contacts

Entity Name	Contact Name	Telephone	Email	Preference
PA PUC PA PUC	Jeff McCracken Lee Yalcin	717-783-6163 717-787-6723	jmccracken@pa.gov lyalcin@pa.gov	Email Email
PA EDEWG LDC Co-Chair	Sue Scheetz	610-774-3616	smscheetz@pplweb.com	Email
PA EDEWG ESP Co-Chair	Christine Hughey	713-401-2296	Christine.Hughey@Constellation.com	Email
PA EDEWG Secretary & Regional EDI Change Control Manager	Brandon Siegel	412-817-8004	brandon.siegel@intelometry.com	Email

PA EDC EDI Contacts

Company Name	Contact Name	Telephone	Email	Preference
Citizens' Electric	John Kelchner	570-524-2231	kelchnerj@citizenselectric.com	Email
	Chrissy DeLoughrey	781-347-9022	Implementation@EnergyServicesGroup.net	Email
Duquesne Light Co	Supplier Service Center	412-393-6282	DLC_SSC@duqlight.com	Email
FirstEnergy - Metropolitan Edison Co, Pennsylvania Electric, Penn Power, JCP&L, WPP & Potomac Edison	Supplier Support	330-761-4348	SupplierSupport@firstenergycorp.com	Email
PECO	Electric & Gas Choice Hotline	215-841-3700	egc@exeloncorp.com	Email
PPL Electric Utilities	Supplier Support	610-774-6396	PPLUtilitiesSupplier@pplweb.com	Email
	EDI Team	610-774-5757	EDIUtilAdm@pplweb.com	Email
UGI Utilities Inc.	EDI Technical Support	610-736-5784	edi@ugi.com	Email
Wellsboro Electric	Danette Kerestes	570-724-3516	danettek@ctenterprises.org	Email
	Chrissy DeLoughrey	781-347-9022	Implementation@EnergyServicesGroup.net	Email

ARTICLES OF ORGANIZATION

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF STATE
CORPORATION BUREAU
401 NORTH STREET, ROOM 206
P.O. BOX 8722
HARRISBURG, PA 17105-8722
WWW.CORPORATIONS.STATE.PA.US/CORP

NATIONAL UTILITIES REFUND, LLC

THE CORPORATION BUREAU IS HAPPY TO SEND YOU YOUR FILED DOCUMENT. THE CORPORATION BUREAU IS HERE TO SERVE YOU AND WANTS TO THANK YOU FOR DOING BUSINESS IN PENNSYLVANIA.

IF YOU HAVE ANY QUESTIONS PERTAINING TO THE CORPORATION BUREAU, PLEASE VISIT OUR WEB SITE LOCATED AT WWW.CORPORATIONS.STATE.PA.US/CORP OR PLEASE CALL OUR MAIN INFORMATION TELEPHONE NUMBER (717)787-1057. FOR ADDITIONAL INFORMATION REGARDING BUSINESS AND / OR UCC FILINGS, PLEASE VISIT OUR ONLINE "SEARCHABLE DATABASE" LOCATED ON OUR WEB SITE.

ENTITY NUMBER: 4062654

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

National Utilities Refund LLC
6216 Vista Terrace
Orefield, PA 18069

Entity #: 4062654
Date Filed: 09/21/2012
Carol Aichele
Secretary of the Commonwealth

PENNSYLVANIA DEPARTMENT OF STATE
CORPORATION BUREAU

Statement of Change of Registered Office (15 Pa.C.S.)

✓ Domestic Business Corporation (§ 1507)
____ Foreign Business Corporation (§ 4144)
____ Domestic Nonprofit Corporation (§ 5507)
____ Foreign Nonprofit Corporation (§ 6144)
____ Domestic Limited Partnership (§ 8506)

Name: National Utilities Refund, LLC
Address: 6216 Vista Terrace
City: Orefield State: Pa Zip Code: 18069

Document will be returned to the name and address you enter to the left. ⇐

Commonwealth of Pennsylvania
DOMESTIC - CHANGE OF REGISTERED OFFICE 2 Page(s)

T1226847050

Fee: $70

In compliance with the requirements of the applicable provisions of 15 Pa.C.S. (relating to corporations and unincorporated associations), the undersigned corporation or limited partnership, desiring to effect a change of registered office, hereby states that:

1. The name is: National Utilities Refund, LLC

2. The (a) address of its initial registered office in this Commonwealth or (b) name of its commercial registered office provider and the county of venue is:

(a) Number and street | City | State | Zip | County

(b) Name of Commercial Registered Office Provider | County
c/o: Corporation services company 9504998-001 jys | Dauphin

3. *Complete part (a) or (b):*

(a) The address to which the registered office of the corporation or limited partnership in this Commonwealth is to be changed is:

Number and street	City	State	Zip	County
6216 Vista Terrace	Orefield	Pa.	18069	lehigh

(b) The registered office of the corporation or limited partnership shall be provided by:

c/o:
Name of Commercial Registered Office Provider | County

PA DEPT. OF STATE
SEP 21 2012

DSCB:15-1507/4144/5507/6144/8506-2

4. *Strike out if a limited partnership:*

~~Such change was authorized by the Board of Directors of the corporation.~~

IN TESTIMONY WHEREOF, the undersigned has caused this Statement of Change of Registered Office to be signed by a duly authorized officer thereof this

30 day of August 2012

National Utilities Return
Name of Corporation/Limited Partnership

[signature]
Signature

President
Title

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

BUREAU OF CORPORATION TAXES
PO BOX 280705
HARRISBURG PA 17128-0705

pennsylvania
DEPARTMENT OF REVENUE

NOTICE #: 01360055
DATE OF NOTICE: 11/07/2011
ACCOUNT ID: 1298-567
FISCAL YEAR END: DEC
FEDERAL EIN #: PA-9566194
INCORPORATION DATE: 10/20/2011
TAXES SUBJECT: DF

NATIONAL UTILITIES REF
6216 VISTA TER
OREFIELD PA 18069

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

CAPITAL STOCK
LOANS
CORPORATE NET INCOME

Dear Taxpayer,

Welcome to Pennsylvania's business community. The Department of Revenue has been advised that you are authorized to conduct business in Pennsylvania.

The above Corporate Account ID number has been assigned to your business for tax reporting purposes. Please reference this number on all correspondence with the department. The taxes you are required to report annually are also listed. Carefully review this information and make sure your name, address and other tax information is complete and accurate.

If no federal employer identification number (EIN) is indicated above, please provide this number as soon as it is available to you from the federal government. Write the federal EIN in the top right-hand corner in the blank space and return this letter to us.

If there are changes or additions to be made regarding your account, make the appropriate adjustments on a copy of this letter and return it promptly to:

PA DEPARTMENT OF REVENUE
PO BOX 280705
HARRISBURG PA 17128-0705

PLEASE NOTE: Section 601 of the Tax Reform Code of 1971 includes limited liability companies and business trusts in the definition of a corporation for capital stock/foreign franchise tax purposes, regardless of how an entity files with the Internal Revenue Service (IRS). Under Section 401, any entity that elects to file as a corporation with the IRS is subject to corporate net income tax. In the case of limited liability companies and business trusts, this determination will be made upon review of the PA Corporation Tax Report.

Pay particular attention to the month your business or fiscal year ends for the following reasons:

- For most taxes, the annual report must be filed within 105 days after the close of the tax year.
- For capital stock/foreign franchise, corporate net income and mutual thrift taxes, the first quarterly estimated payments are due within 75 days following the incorporation/authority date.
- A federal sub-chapter S corporation desiring not to be taxed as a PA S corporation is required to file form REV-976 on or before the due date or extended due date of the first tax period for which it is to be in effect. REV-976 is available at **www.revenue.state.pa.us.**

Over ...

Entity #: 4153205
Date Filed: 12/17/2012
Carol Aichele
Secretary of the Commonwealth

PENNSYLVANIA DEPARTMENT OF STATE
CORPORATION BUREAU

Entity Number

Application for Registration of Fictitious Name

54 Pa.C.S. § 311

Name
Vincent DiMaio
Address
6216 Vista Terrace

City	State	Zip Code
Orefield	PA	18069

Commonwealth of Pennsylvania
FICTITIOUS NAME 2 Page(s)

T1235411082

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SECRETARY'S BUREAU

Fee: $70

Filed in the Department of State on ____________

Secretary of the Commonwealth

In compliance with the requirements of 54 Pa.C.S. § 311 (relating to registration), the undersigned entity(ies) desiring to register a fictitious name under 54 Pa.C.S. Ch. 3 (relating to fictitious names), hereby state(s) that:

1. The fictitious name is:
National Energy

2. A brief statement of the character or nature of the business or other activity to be carried on under or through the fictitious name is:
Energy procurement services and consulting.

3. The address, including number and street, if any, of the principal place of business (P.O. Box alone is not acceptable):

Number and street	City	State	Zip	County
6216 Vista Terrace	Orefield	PA	18069	Lehigh

4. The name and address, including number and street, if any, of each individual interested in the business is:

Name	Number and Street	City	State	Zip
Vincent DiMaio	567 Ponds Edge Lane	Allentown	PA	18104
Ralph DiMAio	6216 Vista Terrace	Orefield	PA	18069

PA DEPT. OF STATE

DEC 17 2012

5. Each entity, other than an individual, interested in such business is (are):

Name	Form of Organization	Organizing Jurisdiction
National Utilities Refund LLC	LLC-Partnerhship	PA

6216 Vista Terrace, Orefield PA 18069
Principal Office Address

--
PA Registered Office, if any

Name	Form of Organization	Organizing Jurisdiction

--
Principal Office Address

--
PA Registered Office, if any

6. The applicant is familiar with the provisions of 54 Pa.C.S. § 332 (relating to effect of registration) and understands that filing under the Fictitious Names Act does not create any exclusive or other right in the fictitious name.

7. Optional): The name(s) of the agent(s), if any, any one of whom is authorized to execute amendments to, withdrawals from or cancellation of this registration in behalf of all then existing parties to the registration, is (are):
Vincent DiMaio

IN TESTIMONY WHEREOF, the undersigned have caused this Application for Registration of Fictitious Name to be executed this

18 day of December, 2012.

[signature] Individual Signature	Individual Signature
[signature] Individual Signature	Individual Signature
National Utilities Refund LLC LLC Partnerhship PA Entity Name	Entity Name
[signature] Signature	Signature
President Title	Title

Section 8

Technical Fitness

Experience, Plan, Structure

National Utilities Refund

DBA National Energy

Broker / Marketer Natural Gas Application

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SECRETARY'S BUREAU

Section 8
Technical Fitness
Experience, Plan, Structure

Index

Applicant's Previous Experience in Electric Industry

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Company Background & Electric Industry Experience

National Utilities Refund LLC, "NUR", DBA National Energy, is a utility bill auditing firm that uncovers billing discrepancies within the utility invoices for commercial organizations. NUR has experience verifying electric and natural gas tariffs/rate schedules for a wide range of businesses across the country; from Fortune 500 companies to local government entities and small businesses. NUR currently has around 150 active customers across the country, 50 of which reside in Pennsylvania. Our utility bill audit will verify the most cost efficient rate schedule for a business, determine the accuracy of their historical meter reads, confirm whether or not a meter was properly installed, and uncover sales tax exemptions for eligible organizations. In addition, NUR verifies the accuracy of 3rd party energy supplier bills and agreements. We'll verify that an energy supplier met the contracted start/end dates, audit the contract supply rate versus the actual billed rate, uncover any hidden pass-through charges, and rectify sales tax billed to exempt organizations. Having this type of knowledge is crucial to be a successful energy broker. However, in our experience, having worked with several energy brokers throughout the years, very few are truly able to verify if a customer is being incorrectly billed by a supplier.

National Utilities Refund LLC, DBA National Energy is seeking an energy broker license in order to provide better customer service to our clientele. NUR will operate under the National Energy name when procuring energy rates in order to eliminate any potential customer confusion between our other services. Currently, National Energy works with an established licensed energy broker to procure rates from multiple energy suppliers; however, we also perform a complimentary review for our customers after they receive their first supply bill from the supplier they chose. The "post procurement review" helps ensure that the customer's bill coincides with the supplier agreement they signed. Unfortunately, some energy suppliers seem to be more error prone than others, which is another reason why National Energy is seeking an energy broker license. With an energy broker license, National Energy can have control over which suppliers we represent and recommend to our customers. Currently, National Energy does not have an energy broker license in any other state or jurisdiction.

Staffing Structure

Vincent DiMaio and Ralph DiMaio are both members of the company and there are currently four active independent sales contractors that also offer the company's services.

Sales & Marketing Training

The majority of NUR's new business is derived from word of mouth and customer referrals. In return, customer satisfaction is our number one priority. And, as our client's utility advocate, we are fully aware of the importance of delivering on our promises – it's key to maintaining our reputation as an industry leader in customer satisfaction. We strive to develop longstanding business relationships and our vision is to consistently meet the evolving needs of our customer's.

Once National Utilities Refund LLC, "NUR", DBA National Energy becomes a licensed energy broker, we will offer electric supply contracts to our current clientele and prospect new customers through our established marketing techniques. We currently acquire new clients through face-to-face direct marketing and we will continue to prospect customers through the same means as a licensed electric broker. Currently, Ralph DiMaio and Vincent DiMaio actively pursue new customers by attending trade shows, industry associations, and local networking events. In addition, we have four independent sales contractors that perform the same sales techniques in the tristate area.

Ralph DiMaio is in charge of sales and marketing training for the company as well as the independent sales contractors. In order to ensure that all marketing is performed in an ethical manner, Ralph developed a sales system and training manual for our independent contractors. In addition, he continually educates them by hosting bi-weekly sales training calls to disc. Ralph also performs follow-up calls with each customer and asks them how their experience was working with us to see if there is anything we can improve on.

Business Operation Plans within the Commonwealth

Electric Procurement

Prospecting

- *New Customers:* Direct Marketing Techniques IE: Networking events, referrals, and trade shows. No mass marketing techniques or mailers, only direct forms of communication through phone and email. Schedule meeting for a sales presentation.
- *Current Clients:* Inform current clientele that National Energy is now a licensed broker and request an appointment to go over the client's current energy procurement needs.

Sales Presentation

- Introduce National Energy, understand customer's needs, and go over various electric rate options; variable, fixed, block & index.
- Identify customer's current contract end date or obtain a copy of the customer's current agreement if the customer is unsure.
- Present a letter of authorization for the customer to grant National Energy permission to obtain pricing from various electric suppliers.
- Obtain a copy of the customer's energy bills and/or list of accounts to submit for pricing.
- Schedule an appointment on a future date to go over the rates with the customer.

Procure Electric Rates

- Compile the customer's accounts and billing information into an excel document and note any specific pricing requests.
- Send the customer's account data to various electric suppliers who can fulfill the customer's specific needs and rate requests.
- Compile the supplier's rates into a proposal as we receive them.
- Verify whether or not each supplier's rate can be compared apples-to-apples. If not, note the difference between the rates; does not include pass-throughs, GRT, and/or etc.
- Obtain a copy of the supplier's electric agreement for the customer to review.

Present Supplier Rates

- Present a report to the customer that compares all of the supplier rate options so they can make an informed decision.
- If the customer chooses to move forward with an electric rate, help the customer complete the supplier's agreement.
- Send the supplier a copy of the executed agreement and any other required documents.
- If the customer declines the rates, inform the suppliers and schedule a date to refresh the rates for the customer, unless they chose another supplier.

Post-procurement Review (Optional)

- Per the customer's request, obtain a copy of their supplier bill to verify that it is being billed in accordance with the supplier agreement and make sure the tax exemption certificates properly transferred over, where applicable.
- If a billing error is uncovered on the supply charges, notify the supplier and perform the necessary follow-up work to get the error corrected and credited on the customer's invoice.
- Note the customer's contract end date and update CRM for renewal follow-up.

Vincent A. DiMaio

143 Susquehanna Trail • Allentown, PA 18104
Phone: 610.462.9818 Email: vince@nationalutilityltd.com

SUMMARY

President and lead utility bill auditor at National Utilities Refund, LLC, DBA National Energy, performed hundreds of utility audits for a wide range of businesses, including fortune 500 companies and local government entities. He holds a BSBA in Entrepreneurship and Marketing from John L Grove School of Business at Shippensburg University. Vincent is experienced in analyzing and verifying electric and gas tariffs in over 40 states including Canada. Vincent has experience working with public, private, and municipally owned utilities, and he has uncovered errors billed by some of the largest utility companies in the country as well as the fly-by-night ESCOs. Vincent has a proven track record auditing commercial customers and he untangled extremely complex energy billing errors, resulting in high five-figure recoveries for our clients.

PROFESSIONAL EXPERIENCE

National Utilities Refund LLC - President **Nov 2011 – Present**
1717 Main Street, 2nd Floor, Northampton PA 18067
6216 Vista Terrace, Orefield PA 18069

- **Operations**
  - Identify the most cost efficient electric, natural gas, and water/sewer rate classification
  - Determine whether or not a time-of-use rate schedule is advantageous for a business
  - Verify historical meter reads for demand errors, skipped meters, and additional overcharges
  - Implement and present findings to the utility and customer
  - File state sales tax overpayments with the State for exempt organizations

- **Sales & Marketing**
  - Increased Sales by 49% from 2014 to 2015 through direct-to-consumer online marketing

- **Product Development**
  - Developed and programmed an in-house platform for utility bill auditing and rate schedule verification

- **Audit Abilities**
  - Solid understanding of electric and gas tariffs
  - Experienced verifying energy supplier agreements, assuring proper contract start and end dates
  - Thoroughly educated on the state sales tax exemption requirements for qualified government, nonprofit, and educational institutions.
  - Knowledgeable on the various 3rd party supplier contracts: fixed, variable, index, and block & index

- **Key Accomplishments**
  - 100% success rate filing refunds through the State for utility state sales tax exemptions
  - Uncovered, $60,000 in LG&E electric rate schedule errors for a large insurance company in 2015
  - Identified errors and recovered funds for 80% of the municipalities NUR audited in Pennsylvania
  - Implemented a time-of-use rate schedule for Little Caesar's restaurants.

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Ralph R. DiMaio

6216 Vista Terrace ▪ Orefield, PA 18069
Phone: 610.440.2300 Email: Ralph@nationalutilityltd.com

Company Biography

Member of National Utilities Refund, LLC, DBA National Energy, and lead auditor on energy efficiency, bringing over 30+ years as a consultant within the utility and construction industry. Ralph has a thorough background in water, sewer, and energy tariffs and he has broken down and redesigned some of the most complex manufacturing and waste water energy projects in the Northeast. With this experience, Ralph is able to bridge a connection between a client's energy consumption and the most cost efficient rate option by analyzing their run hours and machine use. In most states, a manufacturer may be entitled to sales tax exemption on their electric and natural gas; Ralph is experienced in calculating and filing the necessary documentation for a manufacturer to properly claim a partial or full state tax exemption on the utilities.

Company Experience

National Utilities Refund LLC - Partner **Nov 2011 – Present**
1717 Main Street, 2nd Floor, Northampton PA 18067
6216 Vista Terrace, Orefield PA 18069

- **Operations**
  - Designing new sales and marketing material to expand into new markets
  - Onsite customer visits to collect data on energy consumption
  - Develop and engineer manufacturing energy tax exemptions

- **Sales & Marketing**
  - Track and Record sales results
  - Post audit follow-up for customer satisfaction
  - Develop new customer relationships and expand sales through trade associations

- **Product Development**
  - Capable of performing onsite visits to analyze energy consumption for lighting efficiency

- **Audit Abilities**
  - Engineer detailed energy consumption reports in order to show the electric or gas usage attributable to a plant's manufacturing process.
  - Experienced verifying 3rd party energy supplier agreements to compare contractual rates to billed rates.
  - Thoroughly educated on the state sales tax exemptions requirements for manufacturers in over 20 States, including Pennsylvania.
  - Analyzes energy consumption at a facility to determine the best supply contract for the customer: fixed, variable, index, and block & index.

- **Key Accomplishments**
  - Audit with **Ultrasep** on water filtration technologies on separating contaminants from manufacturing processes.
  - Designed drainage systems to handle drillings and steel scale for **Carpenter** technologies- reclassified rate schedule.
  - Implemented digestion tanks for **Armstrong Flooring** to dispose toxins created from their manufacturing process.
  - Consulting **The H&K Group** on controlling costs within their manufacturing processes.
  - Identified a major rate schedule error on a **3M** modified Ink plant.

PUBLICATIONS

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The Scranton Times (Under act P.L. 877 No 160. July 9,1976)

Commonwealth of Pennsylvania, County of Lackawanna

LICENSELOGIX
SHAYNA DESAI
140 GRAND ST. STE. 300 WHITE PLAINS NY 10601

Account # 570967
Order # 81961895
Ad Price: 269.35

NATIONAL UTILITIES REFUND
Gina Krushinski
Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

08/10/2016

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true Gina Krushinski

Sworn and subscribed to before me
this 10th day of August A.D., 2016

Sharon Venturi
(Notary Public)

COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Sharon Venturi, Notary Public
City of Scranton, Lackawanna County
My Commission Expires Feb. 12, 2018
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

LEGAL NOTICE

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Applications of ***National Utilities Refund, LLC*** *(d/b/a* ***National Energy****) For Approval To Offer, Render, or Furnish Services as a* ***Supplier, Aggregator, and Marketer/Broker*** *Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.*

National Utilities Refund, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. **National Utilities Refund, LLC** will also be filing an application with the PUC for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/ marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. **National Utilities Refund, LLC** proposes to sell electricity, natural gas, and related services throughout all of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **National Utilities Refund, LLC** may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to **National Utilities Refund, LLC** at the address listed below.

National Utilities Refund, LLC
6216 Vista Terrace
Orefield, PA 18069
800-687-1968
800-687-1968

PROOF OF PUBLICATION
In
THE ERIE TIMES-NEWS

COMBINATION EDITION

LICENSE LOGIX
140 GRAND ST SUITE 300
WHITE PLAINS NY 10601

REFERENCE: 122994 218023
PUC Notice

STATE OF PENNSYLVANIA)
COUNTY OF ERIE) SS:
Tom Mezler, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, a true and correct copy of which is attached, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 08/11/16

TOTAL COST: $409.00 AD SPACE: 0 Lines

FILED ON: 08/11/16

RECEIVED

NOV - 4 2016

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE

*Applications of **National Utilities Refund, LLC** (d/b/a "National Energy") For Approval To Offer, Render, or Furnish Services as a **Supplier, Aggregator, and Marketer/Broker** Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.*

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National Utilities Refund, LLC
6216 Vista Terrace • Orefield, PA 18069
800-687-1968
800-687-1968

adno=218023

Sworn to and subscribed before me this 11 day of August 2016

Affiant: Tom M

NOTARY: Ann K. Wilson

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Ann K. Wilson, Notary Public
City of Erie, Erie County
My Commission Expires March 16, 2019
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

No. Term, 19

PROOF OF PUBLICATION OF NOTICE IN THE WILLIAMSPORT SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA
COUNTY OF LYCOMING SS:

Bernard A. Oravec Publisher of the Sun-Gazette Company, publishers of the Williamsport, Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport Sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

August 11th, 2016

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette Company, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and also declares that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

*Applications of **National Utilities Refund, LLC** (d/b/a "National Energy") For Approval To Offer, Render, or Furnish Services as a **Supplier, Aggregator, and Marketer/Broker** Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.*

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National Utilities Refund, LLC
6216 Vista Terrace
Orefield, PA 18069
800-687-1968
800-687-1968

Bernd A. Oravec

SUN-GAZETTE COMPANY

Sworn to and subscribed before me

the 11th day of August 2016

Christina Dewald

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
CHRISTINA DEWALD
Notary Public
CITY OF WILLIAMSPORT, LYCOMING COUNTY
My Commission Expires Apr 18, 2020

Notary Public

STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette Company, Dr.:

For publishing the notice attached hereto on the above state dates $320.84

Probated same .... $

Total $320.84

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

THE SUN-GAZETTE COMPANY hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

RECEIVED

SUN-GAZETTE COMPANY

BY Bernard A. Oravec

NOV - 4 2016

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Proof of Publication in The Philadelphia Daily News
Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA
COUNTY OF PHILADELPHIA

Cindy Jakubowski being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

July 27, 2016

Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

Cindy Jakubowski

Sworn to and subscribed before me this 27th day of July, 2016.

Mary Anne Logan
Notary Public

My Commission Expires:

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
MARY ANNE LOGAN, Notary Public
City of Philadelphia, Phila. County
My Commission Expires March 30, 2017

Copy of Notice of Publication

PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE

Applications of **National Utilities Refund, LLC** (d/b/a "**National Energy**") For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

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National Utilities Refund, LLC
6216 Vista Terrace
Orefield, PA 18069
800-687-1968
800-687-1968

RECEIVED

NOV - 4 [illegible]

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

COMMONWEALTH OF PENNSYLVANIA }
County of Cambria } SS

PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE

*Applications of **National Utilities Refund, LLC** (d/b/a **"National Energy"**) For Approval To Offer, Render, or Furnish Services as a **Supplier, Aggregator, and Marketer/Broker** Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.*

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National Utilities Refund, LLC
6216 Vista Terrace, Orefield, PA 18069
800-687-1968
800-687-1968

On this 15th day of August A.D. 2016, before me, the subscriber, a Notary Public in and for said County and State, personally appeared Christine Marhefka, who being duly sworn according to law, deposes and says as Classified Advertising Manager of the Tribune-Democrat, Johnstown, PA, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8, 1952, of the Johnstown Tribune, established December 7, 1853; and of the Johnstown Democrat, established March 5, 1863,

publishec ... y of Cambria, and Commonwealth of Pennsylvania and that the c ... matter published in said publication in the regular issues of The Jo ... on August 5, 2016; and that the Affiant is not interested in the sul ... that all of the allegations as to time, place and character of said pı

Christine Marhefka

STATEMENT OF ADVERTISING COSTS

Sworn and Subscribed before me this 15th day of August, 2016.

0.00 Lines @ $2.50 per line	0.00
7.5 Inches @ $25.00 per inch	187.50
Notary Fee	5.00
Clerical Fee	2.50
Total Cost	195.00

COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Vivian Ohs, Notary Public
City of Johnstown, Cambria County
My Commission Expires Dec. 6, 2016
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

To The Tribune-Democrat, Johnstown, PA
For publishing the notice or publication attached hereto on the above stated dates.

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

________________ for publisher of ________________
a newspaper of general circulation, hereby acknowledges receipt of the aforesaid and publication costs and certifies that the same has been duly paid.

____
(Name of Newspaper)

By________________

RECEIVED

NOV - 4 2016

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

No.________________________ Term,__________

Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss M. Goodwin, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

10 of August, 2016

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

M. Goodwin

PG Publishing Company

Sworn to and subscribed before me this day of:
August 10, 2016

Linda M. Gaertner

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Linda M. Gaertner, Notary Public
City of Pittsburgh, Allegheny County
My Commission Expires Jan. 31, 2019
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

RECEIVED
NOV - 4 2016
PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

COPY OF NOTICE OR PUBLICATION

PENNSYLVANIA
PUBLIC UTILITY
COMMISSION NOTICE

Applications of National Utilities Refund, LLC (d/b/a "National Energy") For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

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National Utilities Refund, LLC, 6216 Vista Terrace, Orefield, PA 18069, 800-687-1968, 800-687-1968

STATEMENT OF ADVERTISING COSTS

LicenseLogix LLC
140 GRAND ST STE 300
Attn: Thomas O'Neill
WHITE PLAINS NY 10601-4840

To PG Publishing Company

Total -- $540.00

Publisher's Receipt for Advertising Costs

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforsaid advertising and publication costs and certifies that the same have been fully paid.

Office
2201 Sweeney Drive
CLINTON, PA 15026
Phone 412-263-1338

PG Publishing Company, a Corporation, Publisher of Pittsburgh Post-Gazette, a Newspaper of General Circulation

By ______________ Samuel J. Arbutina

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

____
Attorney For

PA MEDIA GROUP

The Patriot News

LEGAL AFFIDAVIT

AD#: 0007781427

Commonwealth of Pennsylvania,) ss
County of Cumberland)
Victoria Soto being duly sworn, deposes that he/she is principal clerk of the PA Media Group; that The Patriot News is a public newspaper published in the city of Mechanicsburg, with general circulation in Cumberland and Dauphin and surrounding counties, and this notice is an accurate and true copy of ad(s) as printed in said newspaper, was printed and published in the regular edition and issue of said newspaper on the following date(s):

The Patriot News 08/16/2016

Sworn to and subscribed before me this 17th day of August 2016

Donna M. Maldonado

COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Donna M. Maldonado, Notary Public
Susquehanna Twp., Dauphin County
My Commission Expires Nov. 5, 2017
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

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National Utilities Refund, LLC
6216 Vista Terrace
Orefield, PA 18069
800-687-1968
800-687-1968

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NOV - 4 2016

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

PROFIT & LOSS STATEMENTS / BANK STATEMENTS / FORECASTED FINANCIAL STATEMENTS

RECEIVED

NOV - 4 2016

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

National Utilities Refund LLC

2015 Tax Returns

RECEIVED

NOV - 4 2016

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

CAPITALIZATION POLICY
(Effective 1/1/2016)

1. Purpose
This accounting policy establishes the minimum cost (capitalization amount) that shall be used to determine the capital assets that are to be recorded in **National Utilities Refund's** annual financial statements (or books).

2. Materials and Supplies definition
A "material or supply" is generally considered to be tangible property that is used or consumed in the business within twelve months of acquisition, is not inventory, or has a unit cost of less than $200. Materials and supplies meeting this definition may be expensed.

3. Capital Asset definition
A "Capital Asset" is defined as a unit of tangible property that: (1) has an economic useful life that extends beyond 12 months; **and** (2) was acquired or produced for a cost of more than $2,500 (or an amount up to $5,000 if the business has annual audited financial statements), including acquisition and installation costs on the same invoice.. Capital Assets must be capitalized and depreciated for financial statement (or bookkeeping) purposes.

4. Capitalization thresholds
National Utilities Refund establishes $2,500 as the threshold amount for minimum capitalization. Any items costing below this amount should be expensed in **National Utilities Refund's** financial statements (or books).

5. Capitalization method and procedure
All Capital Assets are recorded at historical cost as of the date acquired.

Tangible assets costing below the aforementioned threshold amount are recorded as an expense for **National Utilities Refund's** annual financial statements. Alternatively, assets with an economic useful life of 12 months or less are required to be expensed for financial statement purposes, regardless of the acquisition or production cost.

6. Routine Maintenance definition
Routine maintenance that **National Utilities Refund** reasonably expects to make more than once to a unit of property, including buildings, over a 10-year period is not a capital asset and will be expensed.

7. Optional Election to Capitalize Routine Maintenance
Routine maintenance that would be expensed under the definition above, may be capitalized and depreciated with the following provisions: The financial statements (or books) and the tax return must reflect the same method; an election must be made with the annual tax return and the election applies to all amounts paid for repairs and maintenance to tangible property that **National Utilities Refund** treats as capital expenditures on its books and records for that tax year.

8. Recordkeeping
Invoice substantiating an acquisition cost of each unit of property shall be retained for a minimum of four years.

____

Signature/Title

533900

Form **8879-PE**

Department of the Treasury
Internal Revenue Service

IRS e-file Signature Authorization for Form 1065

▶ Do not send to the IRS. Keep for your records.

▶ Information about Form 8879-PE and its Instructions is at www.irs.gov/form8879pe.

For calendar year 2015, or tax year beginning , and ending .

OMB No. 1545-0123

2015

Name of partnership: NATIONAL UTILITIES REFUND

Employer identification number: **-***5531

Part I **Return Information** (Whole dollars only)

1	Gross receipts or sales less returns and allowances (Form 1065, line 1c)	1	220,591
2	Gross profit (Form 1065, line 3)	2	220,591
3	Ordinary business income (loss) (Form 1065, line 22)	3	17,901
4	Net rental real estate income (loss) (Form 1065, Schedule K, line 2)	4	
5	Other net rental income (loss) (Form 1065, Schedule K, line 3c)	5	

Part II **Declaration and Signature Authorization of General Partner or Limited Liability Company Member Manager (Be sure to get a copy of the partnership's return)**

Under penalties of perjury, I declare that I am a general partner or limited liability company member manager of the above partnership and that I have examined a copy of the partnership's 2015 electronic return of partnership income and accompanying schedules and statements and to the best of my knowledge and belief, it is true, correct, and complete. I further declare that the amounts in Part I above are the amounts shown on the copy of the partnership's electronic return of partnership income. I consent to allow my electronic return originator (ERO), transmitter, or intermediate service provider to send the partnership's return to the IRS and to receive from the IRS **(a)** an acknowledgement of receipt or reason for rejection of the transmission and **(b)** the reason for any delay in processing the return. I have selected a personal identification number (PIN) as my signature for the partnership's electronic return of partnership income.

General Partner or Limited Liability Company Member Manager's PIN: check one box only

[X] I authorize BUCKNO LISICKY & COMPANY, P.C. (ERO firm name) to enter my PIN 25531 (do not enter all zeros) as my signature on the partnership's 2015 electronically filed return of partnership income.

[ ] As a general partner or limited liability company member manager of the partnership, I will enter my PIN as my signature on the partnership's 2015 electronically filed return of partnership income.

General partner or limited liability company member manager's signature ▶

Title ▶ PRESIDENT VINCENT DIMAIO Date ▶ 01/28/16

Part III **Certification and Authentication**

ERO's EFIN/PIN. Enter your six-digit EFIN followed by your five-digit self-selected PIN. *********** (do not enter all zeros)

I certify that the above numeric entry is my PIN, which is my signature on the 2015 electronically filed return of partnership income for the partnership indicated above. I confirm that I am submitting this return in accordance with the requirements of **Pub. 3112,** IRS e-file Application and Participation, and **Pub. 4163,** Modernized e-File (MeF) Information for Authorized IRS e-file Providers for Business Returns.

ERO's signature ▶ Date ▶ 01/28/16

ERO Must Retain This Form — See Instructions
Do Not Submit This Form to the IRS Unless Requested To Do So

For Paperwork Reduction Act Notice, see instructions. Form **8879-PE** (2015)

RECEIVED

NOV - 4 20

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

DAA

533900

Electronic Filing - PDF Attachment Report

Form 1065

For calendar year 2015, or tax year beginning , and ending

2015

Partnership Name: NATIONAL UTILITIES REFUND

Employer Identification Number: **-***5531

Title	Attachment Source	Proforma
PARTNER K-1 ACTIVITY WORKSHEET	(AUTOMATICALLY ATTACHED)	N/A

533900

Form **1065**
Department of the Treasury
Internal Revenue Service

U.S. Return of Partnership Income

For calendar year 2015, or tax year beginning , ending
▶ Information about Form 1065 and its separate instructions is at www.irs.gov/form1065.

OMB No. 1545-0123
2015

A Principal business activity	Name of partnership: NATIONAL UTILITIES REFUND	D Employer identification number: **-***5531
B Principal product or service	Type or Print — Number, street, and room or suite no. If a P.O. box, see the instructions: 6216 VISTA TERRACE	E Date business started: 10/20/2011
C Business code number: 541990	City or town, state or province, country, and ZIP or foreign postal code: OREFIELD PA 18069	F Total assets (see the instructions) $ 53,164

G Check applicable boxes: (1) ☐ Initial return (2) ☐ Final return (3) ☐ Name change (4) ☐ Address change (5) ☐ Amended return
(6) ☐ Technical termination - also check (1) or (2)
H Check accounting method: (1) ☒ Cash (2) ☐ Accrual (3) ☐ Other (specify) ▶
I Number of Schedules K-1. Attach one for each person who was a partner at any time during the tax year ▶ 2
J Check if Schedules C and M-3 are attached ☐

Caution. Include **only** trade or business income and expenses on lines 1a through 22 below. See the instructions for more information.

Income	1a	Gross receipts or sales	1a	220,591		
	b	Returns and allowances	1b			
	c	Balance. Subtract line 1b from line 1a			1c	220,591
	2	Cost of goods sold (attach Form 1125-A)			2	
	3	Gross profit. Subtract line 2 from line 1c			3	220,591
	4	Ordinary income (loss) from other partnerships, estates, and trusts (attach statement)			4	
	5	Net farm profit (loss) (attach Schedule F (Form 1040))			5	
	6	Net gain (loss) from Form 4797, Part II, line 17 (attach Form 4797)			6	
	7	Other income (loss) (attach statement)			7	
	8	**Total income (loss).** Combine lines 3 through 7			8	220,591
Deductions (see the instructions for limitations)	9	Salaries and wages (other than to partners) (less employment credits)			9	
	10	Guaranteed payments to partners			10	86,613
	11	Repairs and maintenance			11	82
	12	Bad debts			12	
	13	Rent			13	5,400
	14	Taxes and licenses			14	
	15	Interest			15	500
	16a	Depreciation (if required, attach Form 4562)	16a			
	b	Less depreciation reported on Form 1125-A and elsewhere on return	16b		16c	
	17	Depletion **(Do not deduct oil and gas depletion.)**			17	
	18	Retirement plans, etc.			18	
	19	Employee benefit programs			19	
	20	Other deductions (attach statement) SEE STATEMENT 1			20	110,095
	21	**Total deductions.** Add the amounts shown in the far right column for lines 9 through 20			21	202,690
	22	**Ordinary business income (loss).** Subtract line 21 from line 8			22	17,901

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than general partner or limited liability company member manager) is based on all information of which preparer has any knowledge.

▶ Signature of general partner or limited liability company member manager ▶ 1/29/16 Date

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only				
Print/Type preparer's name: CYNTHIA L. MERRITT	Preparer's signature	Date: 01/29/16	Check ☐ if self-employed	PTIN: *********
Firm's name ▶ BUCKNO LISICKY & COMPANY, P.C.			Firm's EIN ▶ **-***6656	
Firm's address ▶ 645 HAMILTON ST SUITE 204 ALLENTOWN, PA 18101-2108			Phone no. 610-821-8580	

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Schedule B Other Information

		Yes	No
1	What type of entity is filing this return? Check the applicable box: a [X] Domestic general partnership b [ ] Domestic limited partnership c [ ] Domestic limited liability company d [ ] Domestic limited liability partnership e [ ] Foreign partnership f [ ] Other ▶		
2	At any time during the tax year, was any partner in the partnership a disregarded entity, a partnership (including an entity treated as a partnership), a trust, an S corporation, an estate (other than an estate of a deceased partner), or a nominee or similar person?		X
3	At the end of the tax year:		
a	Did any foreign or domestic corporation, partnership (including any entity treated as a partnership), trust, or tax-exempt organization, or any foreign government own, directly or indirectly, an interest of 50% or more in the profit, loss, or capital of the partnership? For rules of constructive ownership, see instructions. If "Yes," attach Schedule B-1, Information on Partners Owning 50% or More of the Partnership		X
b	Did any individual or estate own, directly or indirectly, an interest of 50% or more in the profit, loss, or capital of the partnership? For rules of constructive ownership, see instructions. If "Yes," attach Schedule B-1, Information on Partners Owning 50% or More of the Partnership	X	
4	At the end of the tax year, did the partnership:		
a	Own directly 20% or more, or own, directly or indirectly, 50% or more of the total voting power of all classes of stock entitled to vote of any foreign or domestic corporation? For rules of constructive ownership, see instructions. If "Yes," complete (i) through (iv) below		X

(i) Name of Corporation	(ii) Employer Identification Number (if any)	(iii) Country of Incorporation	(iv) Percentage Owned in Voting Stock

		Yes	No
b	Own directly an interest of 20% or more, or own, directly or indirectly, an interest of 50% or more in the profit, loss, or capital in any foreign or domestic partnership (including an entity treated as a partnership) or in the beneficial interest of a trust? For rules of constructive ownership, see instructions. If "Yes," complete (i) through (v) below		X

(i) Name of Entity	(ii) Employer Identification Number (if any)	(iii) Type of Entity	(iv) Country of Organization	(v) Maximum Percentage Owned in Profit, Loss or Capital

		Yes	No
5	Did the partnership file Form 8893, Election of Partnership Level Tax Treatment, or an election statement under section 6231(a)(1)(B)(ii) for partnership-level tax treatment, that is in effect for this tax year? See Form 8893 for more details		X
6	Does the partnership satisfy **all four** of the following conditions?		
a	The partnership's total receipts for the tax year were less than $250,000.		
b	The partnership's total assets at the end of the tax year were less than $1 million.		
c	Schedules K-1 are filed with the return and furnished to the partners on or before the due date (including extensions) for the partnership return.		
d	The partnership is not filing and is not required to file Schedule M-3	X	
	If "Yes," the partnership is not required to complete Schedules L, M-1, and M-2; Item F on page 1 of Form 1065; or Item L on Schedule K-1.		
7	Is this partnership a publicly traded partnership as defined in section 469(k)(2)?		X
8	During the tax year, did the partnership have any debt that was cancelled, was forgiven, or had the terms modified so as to reduce the principal amount of the debt?		X
9	Has this partnership filed, or is it required to file, Form 8918, Material Advisor Disclosure Statement, to provide information on any reportable transaction?		X
10	At any time during calendar year 2015, did the partnership have an interest in or a signature or other authority over a financial account in a foreign country (such as a bank account, securities account, or other financial account)? See the instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country. ▶		X

Schedule B Other Information (continued)

		Yes	No
11	At any time during the tax year, did the partnership receive a distribution from, or was it the grantor of, or transferor to, a foreign trust? If "Yes," the partnership may have to file Form 3520, Annual Return To Report Transactions With Foreign Trusts and Receipt of Certain Foreign Gifts. See instructions		X
12a	Is the partnership making, or had it previously made (and not revoked), a section 754 election? See instructions for details regarding a section 754 election.		X
b	Did the partnership make for this tax year an optional basis adjustment under section 743(b) or 734(b)? If "Yes," attach a statement showing the computation and allocation of the basis adjustment. See instructions		X
c	Is the partnership required to adjust the basis of partnership assets under section 743(b) or 734(b) because of a substantial built-in loss (as defined under section 743(d)) or substantial basis reduction (as defined under section 734(d))? If "Yes," attach a statement showing the computation and allocation of the basis adjustment. See instructions		X
13	Check this box if, during the current or prior tax year, the partnership distributed any property received in a like-kind exchange or contributed such property to another entity (other than disregarded entities wholly owned by the partnership throughout the tax year) ▶ ☐		
14	At any time during the tax year, did the partnership distribute to any partner a tenancy-in-common or other undivided interest in partnership property?		X
15	If the partnership is required to file Form 8858, Information Return of U.S. Persons With Respect To Foreign Disregarded Entities, enter the number of Forms 8858 attached. See instructions ▶		
16	Does the partnership have any foreign partners? If "Yes," enter the number of Forms 8805, Foreign Partner's Information Statement of Section 1446 Withholding Tax, filed for this partnership. ▶		X
17	Enter the number of Forms 8865, Return of U.S. Persons With Respect to Certain Foreign Partnerships, attached to this return. ▶		
18a	Did you make any payments in 2015 that would require you to file Form(s) 1099? See instructions	X	
b	If "Yes," did you or will you file required Form(s) 1099?	X	
19	Enter the number of Form(s) 5471, Information Return of U.S. Persons With Respect To Certain Foreign Corporations, attached to this return. ▶ 0		
20	Enter the number of partners that are foreign governments under section 892. ▶ 0		

Designation of Tax Matters Partner (see instructions)

Enter below the general partner or member-manager designated as the tax matters partner (TMP) for the tax year of this return:

Name of designated TMP ▶	VINCENT DIMAIO	Identifying number of TMP ▶	***-**-6279
If the TMP is an entity, name of TMP representative ▶		Phone number of TMP ▶	
Address of designated TMP ▶	143 SUSQUEHANNA TRAIL ALLENTOWN PA 18104		

Schedule K Partners' Distributive Share Items

					Total amount
Income (Loss)	1	Ordinary business income (loss) (page 1, line 22)		1	17,901
	2	Net rental real estate income (loss) (attach Form 8825)		2	
	3a	Other gross rental income (loss)	3a		
	b	Expenses from other rental activities (attach statement)	3b		
	c	Other net rental income (loss). Subtract line 3b from line 3a		3c	
	4	Guaranteed payments		4	86,613
	5	Interest income		5	
	6	Dividends: a Ordinary dividends		6a	
		b Qualified dividends	6b		
	7	Royalties		7	
	8	Net short-term capital gain (loss) (attach Schedule D (Form 1065))		8	
	9a	Net long-term capital gain (loss) (attach Schedule D (Form 1065))		9a	
	b	Collectibles (28%) gain (loss)	9b		
	c	Unrecaptured section 1250 gain (attach statement)	9c		
	10	Net section 1231 gain (loss) (attach Form 4797)		10	
	11	Other income (loss) (see instructions) Type ▶		11	
Deductions	12	Section 179 deduction (attach Form 4562)		12	
	13a	Contributions		13a	
	b	Investment interest expense		13b	
	c	Section 59(e)(2) expenditures: (1) Type ▶ (2) Amount ▶		13c(2)	
	d	Other deductions (see instructions) Type ▶ SEE STATEMENT 2		13d	1,905
Self-Employment	14a	Net earnings (loss) from self-employment		14a	104,514
	b	Gross farming or fishing income		14b	
	c	Gross nonfarm income		14c	220,591
Credits	15a	Low-income housing credit (section 42(j)(5))		15a	
	b	Low-income housing credit (other)		15b	
	c	Qualified rehabilitation expenditures (rental real estate) (attach Form 3468, if applicable)		15c	
	d	Other rental real estate credits (see instructions) Type ▶		15d	
	e	Other rental credits (see instructions) Type ▶		15e	
	f	Other credits (see instructions) Type ▶		15f	
Foreign Transactions	16a	Name of country or U.S. possession ▶			
	b	Gross income from all sources		16b	
	c	Gross income sourced at partner level		16c	
		Foreign gross income sourced at partnership level			
	d	Passive category ▶ e General category ▶ f Other ▶		16f	
		Deductions allocated and apportioned at partner level			
	g	Interest expense ▶ h Other ▶		16h	
		Deductions allocated and apportioned at partnership level to foreign source income			
	i	Passive category ▶ j General category ▶ k Other ▶		16k	
	l	Total foreign taxes (check one): ▶ Paid ☐ Accrued ☐		16l	
	m	Reduction in taxes available for credit (attach statement)		16m	
	n	Other foreign tax information (attach statement)			
Alternative Minimum Tax (AMT) Items	17a	Post-1986 depreciation adjustment		17a	
	b	Adjusted gain or loss		17b	
	c	Depletion (other than oil and gas)		17c	
	d	Oil, gas, and geothermal properties – gross income		17d	
	e	Oil, gas, and geothermal properties – deductions		17e	
	f	Other AMT items (attach statement)		17f	
Other Information	18a	Tax-exempt interest income		18a	
	b	Other tax-exempt income		18b	
	c	Nondeductible expenses SEE STATEMENT 3		18c	444
	19a	Distributions of cash and marketable securities		19a	
	b	Distributions of other property		19b	
	20a	Investment income		20a	
	b	Investment expenses		20b	
	c	Other items and amounts (attach statement)			

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Analysis of Net Income (Loss)

1	Net income (loss). Combine Schedule K, lines 1 through 11. From the result, subtract the sum of Schedule K, lines 12 through 13d, and 16l	1 102,609

2 Analysis by partner type:	(i) Corporate	(ii) Individual (active)	(iii) Individual (passive)	(iv) Partnership	(v) Exempt Organization	(vi) Nominee/Other
a General partners		102,609				
b Limited partners						

Schedule L Balance Sheets per Books

	Beginning of tax year		End of tax year	
Assets	(a)	(b)	(c)	(d)
1 Cash		22,976		5,781
2a Trade notes and accounts receivable				
b Less allowance for bad debts				
3 Inventories				
4 U.S. government obligations				
5 Tax-exempt securities				
6 Other current assets (attach statement)				
7a Loans to partners (or persons related to partners)				30,394
b Mortgage and real estate loans				
8 Other investments (attach statement)				
9a Buildings and other depreciable assets	1,505		1,505	
b Less accumulated depreciation	1,505	0	1,505	0
10a Depletable assets				
b Less accumulated depletion				
11 Land (net of any amortization)				
12a Intangible assets (amortizable only)	22,000		22,000	
b Less accumulated amortization	3,544	18,456	5,011	16,989
13 Other assets (attach statement)				
14 Total assets		41,432		53,164
Liabilities and Capital				
15 Accounts payable				
16 Mortgages, notes, bonds payable in less than 1 year				
17 Other current liabilities (attach statement)				
18 All nonrecourse loans				
19a Loans from partners (or persons related to partners)		20,155		14,430
b Mortgages, notes, bonds payable in 1 year or more		1,200		1,200
20 Other liabilities (attach statement)				
21 Partners' capital accounts		20,077		37,534
22 Total liabilities and capital		41,432		53,164

Schedule M-1 Reconciliation of Income (Loss) per Books With Income (Loss) per Return

Note. The partnership may be required to file Schedule M-3 (see instructions).

1 Net income (loss) per books	17,457	6 Income recorded on books this year not included on Schedule K, lines 1 through 11 (itemize):	
2 Income included on Schedule K, lines 1, 2, 3c, 5, 6a, 7, 8, 9a, 10, and 11, not recorded on books this year (itemize):		a Tax-exempt interest $	
3 Guaranteed payments (other than health insurance)	84,708	7 Deductions included on Schedule K, lines 1 through 13d, and 16l, not charged against book income this year (itemize):	
4 Expenses recorded on books this year not included on Schedule K, lines 1 through 13d, and 16l (itemize):		a Depreciation $	
a Depreciation $			
b Travel and entertainment $ 444		8 Add lines 6 and 7	
	444	9 Income (loss) (Analysis of Net Income (Loss), line 1). Subtract line 8 from line 5	102,609
5 Add lines 1 through 4	102,609		

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Schedule M-2 Analysis of Partners' Capital Accounts

1 Balance at beginning of year	20,077	6 Distributions: a Cash	
2 Capital contributed: a Cash		b Property	
b Property			
3 Net income (loss) per books	17,457	7 Other decreases (itemize):	
4 Other increases (itemize)			
		8 Add lines 6 and 7	
5 Add lines 1 through 4	37,534	9 Balance at end of year. Subtract line 8 from line 5	37,534

SCHEDULE B-1 (Form 1065)
(Rev. December 2011)
Department of the Treasury
Internal Revenue Service

Information on Partners Owning 50% or More of the Partnership

▶ Attach to Form 1065. See instructions on back.

OMB No. 1545-0099

Name of partnership	Employer identification number (EIN)
NATIONAL UTILITIES REFUND	**-***5531

Part I Entities Owning 50% or More of the Partnership (Form 1065, Schedule B, Question 3a)

Complete columns (i) through (v) below for any foreign or domestic corporation, partnership (including any entity treated as a partnership), trust, tax-exempt organization, or any foreign government that owns, directly or indirectly, an interest of 50% or more in the profit, loss, or capital of the partnership (see instructions).

(i) Name of Entity	(ii) Employer Identification Number (if any)	(iii) Type of Entity	(iv) Country of Organization	(v) Maximum Percentage Owned in Profit, Loss, or Capital

Part II Individuals or Estates Owning 50% or More of the Partnership (Form 1065, Schedule B, Question 3b)

Complete columns (i) through (iv) below for any individual or estate that owns, directly or indirectly, an interest of 50% or more in the profit, loss, or capital of the partnership (see instructions).

(i) Name of Individual or Estate	(ii) Identifying Number (if any)	(iii) Country of Citizenship (see instructions)	(iv) Maximum Percentage Owned in Profit, Loss, or Capital
VINCENT DIMAIO	***-**-6279	UNITED STATES	90.000000

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533900

651113

PARTNER# 1

Schedule K-1 (Form 1065)

2015

Department of the Treasury
Internal Revenue Service

For calendar year 2015, or tax year beginning ______ ending ______

☐ Final K-1 ☐ Amended K-1 OMB No. 1545-0123

Partner's Share of Income, Deductions, Credits, etc.

▶ See back of form and separate instructions.

Part I Information About the Partnership

A Partnership's employer identification number
-*5531

B Partnership's name, address, city, state, and ZIP code
NATIONAL UTILITIES REFUND
6216 VISTA TERRACE
OREFIELD PA 18069

C IRS Center where partnership filed return
E-FILE

D ☐ Check if this is a publicly traded partnership (PTP)

Part II Information About the Partner

E Partner's identifying number
***-**-6279

F Partner's name, address, city, state, and ZIP code
VINCENT DIMAIO
143 SUSQUEHANNA TRAIL
ALLENTOWN PA 18104

G ☒ General partner or LLC member-manager ☐ Limited partner or other LLC member

H ☒ Domestic partner ☐ Foreign partner

I1 What type of entity is this partner? INDIVIDUAL

I2 If this partner is a retirement plan (IRA/SEP/Keogh/etc.), check here ☐

J Partner's share of profit, loss, and capital (see instructions)

	Beginning	Ending
Profit	90.000000 %	90.000000 %
Loss	90.000000 %	90.000000 %
Capital	90.000000 %	90.000000 %

K Partner's share of liabilities at year end

Nonrecourse	$
Qualified nonrecourse financing	$
Recourse	$ 14,067

L Partner's capital account analysis:

Beginning capital account	$ 10,918
Capital contributed during the year	$
Current year increase (decrease)	$ 15,711
Withdrawals & distributions	$ ( )
Ending capital account	$ 26,629

☒ Tax basis ☐ GAAP ☐ Section 704(b) book
☐ Other (explain)

M Did the partner contribute property with a built-in gain or loss?
☐ Yes ☒ No
If "Yes," attach statement (see instructions)

Part III Partner's Share of Current Year Income, Deductions, Credits, and Other Items

1	Ordinary business income (loss)	16,111
2	Net rental real estate income (loss)	
3	Other net rental income (loss)	
4	Guaranteed payments	86,613
5	Interest income	
6a	Ordinary dividends	
6b	Qualified dividends	
7	Royalties	
8	Net short-term capital gain (loss)	
9a	Net long-term capital gain (loss)	
9b	Collectibles (28%) gain (loss)	
9c	Unrecaptured section 1250 gain	
10	Net section 1231 gain (loss)	
11	Other income (loss)	
12	Section 179 deduction	
13	Other deductions	
M		1,905
14	Self-employment earnings (loss)	
A		102,724
C		198,532
15	Credits	
16	Foreign transactions	
17	Alternative minimum tax (AMT) items	
18	Tax-exempt income and nondeductible expenses	
C*		400
19	Distributions	
20	Other information	

*See attached statement for additional information.

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PA PUBLIC UTILITY COMMISSION
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533900

651113

PARTNER# 2

Schedule K-1 (Form 1065) **2015**

Department of the Treasury
Internal Revenue Service

For calendar year 2015, or tax year beginning ______ ending ______

☐ Final K-1 ☐ Amended K-1 OMB No. 1545-0123

Partner's Share of Income, Deductions, Credits, etc.

▶ See back of form and separate instructions.

Part I Information About the Partnership

A Partnership's employer identification number
-*5531

B Partnership's name, address, city, state, and ZIP code
NATIONAL UTILITIES REFUND
6216 VISTA TERRACE
OREFIELD PA 18069

C IRS Center where partnership filed return
E-FILE

D ☐ Check if this is a publicly traded partnership (PTP)

Part II Information About the Partner

E Partner's identifying number
***-**-9459

F Partner's name, address, city, state, and ZIP code
RALPH DIMAIO
6216 VISTA TERRACE
OREFIELD PA 18069

G ☒ General partner or LLC member-manager ☐ Limited partner or other LLC member

H ☒ Domestic partner ☐ Foreign partner

I1 What type of entity is this partner? INDIVIDUAL

I2 If this partner is a retirement plan (IRA/SEP/Keogh/etc.), check here ☐

J Partner's share of profit, loss, and capital (see instructions)

	Beginning	Ending
Profit	10.000000 %	10.000000 %
Loss	10.000000 %	10.000000 %
Capital	10.000000 %	10.000000 %

K Partner's share of liabilities at year end

Nonrecourse	$
Qualified nonrecourse financing	$
Recourse	$ 1,563

L Partner's capital account analysis

Beginning capital account	$ 9,159
Capital contributed during the year	$
Current year increase (decrease)	$ 1,746
Withdrawals & distributions	$ ( )
Ending capital account	$ 10,905

☒ Tax basis ☐ GAAP ☐ Section 704(b) book
☐ Other (explain)

M Did the partner contribute property with a built-in gain or loss?
☐ Yes ☒ No
If "Yes," attach statement (see instructions)

Part III Partner's Share of Current Year Income, Deductions, Credits, and Other Items

1	Ordinary business income (loss) 1,790	15	Credits
2	Net rental real estate income (loss)		
3	Other net rental income (loss)	16	Foreign transactions
4	Guaranteed payments		
5	Interest income		
6a	Ordinary dividends		
6b	Qualified dividends		
7	Royalties		
8	Net short-term capital gain (loss)		
9a	Net long-term capital gain (loss)	17	Alternative minimum tax (AMT) items
9b	Collectibles (28%) gain (loss)		
9c	Unrecaptured section 1250 gain		
10	Net section 1231 gain (loss)	18	Tax-exempt income and nondeductible expenses
11	Other income (loss)	C*	44
		19	Distributions
12	Section 179 deduction		
13	Other deductions		
		20	Other information
14	Self-employment earnings (loss)		
A	1,790		
C	22,059		

*See attached statement for additional information.

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For Paperwork Reduction Act Notice, see Instructions for Form 1065. IRS.gov/form1065 Schedule K-1 (Form 1065) 2015

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This list identifies the codes used on Schedule K-1 for all partners and provides summarized reporting information for partners who file Form 1040. For detailed reporting and filing information, see the separate Partner's Instructions for Schedule K-1 and the instructions for your income tax return.

1. Ordinary business income (loss). Determine whether the income (loss) is passive or nonpassive and enter on your return as follows.

	Report on
Passive loss	See the Partner's Instructions
Passive income	Schedule E, line 28, column (g)
Nonpassive loss	Schedule E, line 28, column (h)
Nonpassive income	Schedule E, line 28, column (j)

		Report on
2.	**Net rental real estate income (loss)**	See the Partner's Instructions
3.	**Other net rental income (loss)**	
	Net income	Schedule E, line 28, column (g)
	Net loss	See the Partner's Instructions
4.	**Guaranteed payments**	Schedule E, line 28, column (j)
5.	**Interest income**	Form 1040, line 8a
6a.	**Ordinary dividends**	Form 1040, line 9a
6b.	**Qualified dividends**	Form 1040, line 9b
7.	**Royalties**	Schedule E, line 4
8.	**Net short-term capital gain (loss)**	Schedule D, line 5
9a.	**Net long-term capital gain (loss)**	Schedule D, line 12
9b.	**Collectibles (28%) gain (loss)**	28% Rate Gain Worksheet, line 4 (Schedule D instructions)
9c.	**Unrecaptured section 1250 gain**	See the Partner's Instructions
10.	**Net section 1231 gain (loss)**	See the Partner's Instructions
11.	**Other income (loss)**	

Code		
A	Other portfolio income (loss)	See the Partner's Instructions
B	Involuntary conversions	See the Partner's Instructions
C	Sec. 1256 contracts & straddles	Form 6781, line 1
D	Mining exploration costs recapture	See Pub. 535
E	Cancellation of debt	Form 1040, line 21 or Form 982
F	Other income (loss)	See the Partner's Instructions

12.	**Section 179 deduction**	See the Partner's Instructions
13.	**Other deductions**	

A	Cash contributions (50%)	See the Partner's Instructions
B	Cash contributions (30%)	See the Partner's Instructions
C	Noncash contributions (50%)	See the Partner's Instructions
D	Noncash contributions (30%)	See the Partner's Instructions
E	Capital gain property to a 50% organization (30%)	See the Partner's Instructions
F	Capital gain property (20%)	See the Partner's Instructions
G	Contributions (100%)	
H	Investment interest expense	Form 4952, line 1
I	Deductions—royalty income	Schedule E, line 19
J	Section 59(e)(2) expenditures	See the Partner's Instructions
K	Deductions—portfolio (2% floor)	Schedule A, line 23
L	Deductions—portfolio (other)	Schedule A, line 28
M	Amounts paid for medical insurance	Schedule A, line 1 or Form 1040, line 29
N	Educational assistance benefits	See the Partner's Instructions
O	Dependent care benefits	Form 2441, line 12
P	Preproductive period expenses	See the Partner's Instructions
Q	Commercial revitalization deduction from rental real estate activities	See Form 8582 instructions
R	Pensions and IRAs	See the Partner's Instructions
S	Reforestation expense deduction	See the Partner's Instructions
T	Domestic production activities information	See Form 8903 instructions
U	Qualified production activities income	Form 8903, line 7b
V	Employer's Form W-2 wages	Form 8903, line 17
W	Other deductions	See the Partner's Instructions

14. Self-employment earnings (loss)

Note: If you have a section 179 deduction or any partner-level deductions, see the Partner's Instructions before completing Schedule SE.

A	Net earnings (loss) from self-employment	Schedule SE, Section A or B
B	Gross farming or fishing income	See the Partner's Instructions
C	Gross non-farm income	See the Partner's Instructions

15. Credits

A	Low-income housing credit (section 42(j)(5)) from pre-2008 buildings	See the Partner's Instructions
B	Low-income housing credit (other) from pre-2008 buildings	See the Partner's Instructions
C	Low-income housing credit (section 42(j)(5)) from post-2007 buildings	See the Partner's Instructions
D	Low-income housing credit (other) from post-2007 buildings	See the Partner's Instructions
E	Qualified rehabilitation expenditures (rental real estate)	See the Partner's Instructions
F	Other rental real estate credits	See the Partner's Instructions
G	Other rental credits	See the Partner's Instructions
H	Undistributed capital gains credit	Form 1040, line 73; check box a
I	Biofuel producer credit	See the Partner's Instructions
J	Work opportunity credit	See the Partner's Instructions
K	Disabled access credit	See the Partner's Instructions

Code		Report on
L	Empowerment zone employment credit	See the Partner's Instructions
M	Credit for increasing research activities	See the Partner's Instructions
N	Credit for employer social security and Medicare taxes	See the Partner's Instructions
O	Backup withholding	See the Partner's Instructions
P	Other credits	See the Partner's Instructions

16. Foreign transactions

A	Name of country or U.S. possession	Form 1116, Part I
B	Gross income from all sources	Form 1116, Part I
C	Gross income sourced at partner level	Form 1116, Part I
	Foreign gross income sourced at partnership level	
D	Passive category	Form 1116, Part I
E	General category	Form 1116, Part I
F	Other	Form 1116, Part I
	Deductions allocated and apportioned at partner level	
G	Interest expense	Form 1116, Part I
H	Other	Form 1116, Part I
	Deductions allocated and apportioned at partnership level to foreign source income	
I	Passive category	Form 1116, Part I
J	General category	Form 1116, Part I
K	Other	Form 1116, Part I
	Other information	
L	Total foreign taxes paid	Form 1116, Part II
M	Total foreign taxes accrued	Form 1116, Part II
N	Reduction in taxes available for credit	Form 1116, line 12
O	Foreign trading gross receipts	Form 8873
P	Extraterritorial income exclusion	Form 8873
Q	Other foreign transactions	See the Partner's Instructions

17. Alternative minimum tax (AMT) items

A	Post-1986 depreciation adjustment	See the Partner's Instructions and the Instructions for Form 6251
B	Adjusted gain or loss	See the Partner's Instructions and the Instructions for Form 6251
C	Depletion (other than oil & gas)	See the Partner's Instructions and the Instructions for Form 6251
D	Oil, gas, & geothermal—gross income	See the Partner's Instructions and the Instructions for Form 6251
E	Oil, gas, & geothermal—deductions	See the Partner's Instructions and the Instructions for Form 6251
F	Other AMT items	See the Partner's Instructions and the Instructions for Form 6251

18. Tax-exempt income and nondeductible expenses

A	Tax-exempt interest income	Form 1040, line 8b
B	Other tax-exempt income	See the Partner's Instructions
C	Nondeductible expenses	See the Partner's Instructions

19. Distributions

A	Cash and marketable securities	See the Partner's Instructions
B	Distribution subject to section 737	See the Partner's Instructions
C	Other property	See the Partner's Instructions

20. Other information

A	Investment income	Form 4952, line 4a
B	Investment expenses	Form 4952, line 5
C	Fuel tax credit information	Form 4136
D	Qualified rehabilitation expenditures (other than rental real estate)	See the Partner's Instructions
E	Basis of energy property	See the Partner's Instructions
F	Recapture of low-income housing credit (section 42(j)(5))	Form 8611, line 8
G	Recapture of low-income housing credit (other)	Form 8611, line 8
H	Recapture of investment credit	See Form 4255
I	Recapture of other credits	See the Partner's Instructions
J	Look-back interest—completed long-term contracts	See Form 8697
K	Look-back interest—income forecast method	See Form 8866
L	Dispositions of property with section 179 deductions	See the Partner's Instructions
M	Recapture of section 179 deduction	See the Partner's Instructions
N	Interest expense for corporate partners	See the Partner's Instructions
O	Section 453(l)(3) information	See the Partner's Instructions
P	Section 453A(c) information	See the Partner's Instructions
Q	Section 1260(b) information	See the Partner's Instructions
R	Interest allocable to production expenditures	See the Partner's Instructions
S	CCF nonqualified withdrawals	See the Partner's Instructions
T	Depletion information—oil and gas	See the Partner's Instructions
U	Reserved	See the Partner's Instructions
V	Unrelated business taxable income	See the Partner's Instructions
W	Precontribution gain (loss)	See the Partner's Instructions
X	Section 108(i) information	See the Partner's Instructions
Y	Net investment income	See the Partner's Instructions
Z	Other information	See the Partner's Instructions

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This list identifies the codes used on Schedule K-1 for all partners and provides summarized reporting information for partners who file Form 1040. For detailed reporting and filing information, see the separate Partner's Instructions for Schedule K-1 and the instructions for your income tax return.

1. Ordinary business income (loss). Determine whether the income (loss) is passive or nonpassive and enter on your return as follows

	Report on
Passive loss	See the Partner's Instructions
Passive income	Schedule E, line 28, column (g)
Nonpassive loss	Schedule E, line 28, column (h)
Nonpassive income	Schedule E, line 28, column (j)

		Report on
2.	**Net rental real estate income (loss)**	See the Partner's Instructions
3.	**Other net rental income (loss)**	
	Net income	Schedule E, line 28, column (g)
	Net loss	See the Partner's Instructions
4.	**Guaranteed payments**	Schedule E, line 28, column (j)
5.	**Interest income**	Form 1040, line 8a
6a.	**Ordinary dividends**	Form 1040, line 9a
6b.	**Qualified dividends**	Form 1040, line 9b
7.	**Royalties**	Schedule E, line 4
8.	**Net short-term capital gain (loss)**	Schedule D, line 5
9a.	**Net long-term capital gain (loss)**	Schedule D, line 12
9b.	**Collectibles (28%) gain (loss)**	28% Rate Gain Worksheet, line 4 (Schedule D instructions)
9c.	**Unrecaptured section 1250 gain**	See the Partner's Instructions
10.	**Net section 1231 gain (loss)**	See the Partner's Instructions
11.	**Other income (loss)**	

Code		
A	Other portfolio income (loss)	See the Partner's Instructions
B	Involuntary conversions	See the Partner's Instructions
C	Sec. 1256 contracts & straddles	Form 6781, line 1
D	Mining exploration costs recapture	See Pub 535
E	Cancellation of debt	Form 1040, line 21 or Form 982
F	Other income (loss)	See the Partner's Instructions

12. Section 179 deduction — See the Partner's Instructions

13. Other deductions

A	Cash contributions (50%)	See the Partner's Instructions
B	Cash contributions (30%)	See the Partner's Instructions
C	Noncash contributions (50%)	See the Partner's Instructions
D	Noncash contributions (30%)	See the Partner's Instructions
E	Capital gain property to a 50% organization (30%)	See the Partner's Instructions
F	Capital gain property (20%)	See the Partner's Instructions
G	Contributions (100%)	
H	Investment interest expense	Form 4952, line 1
I	Deductions—royalty income	Schedule E, line 19
J	Section 59(e)(2) expenditures	See the Partner's Instructions
K	Deductions—portfolio (2% floor)	Schedule A, line 23
L	Deductions—portfolio (other)	Schedule A, line 28
M	Amounts paid for medical insurance	Schedule A, line 1 or Form 1040, line 29
N	Educational assistance benefits	See the Partner's Instructions
O	Dependent care benefits	Form 2441, line 12
P	Preproductive period expenses	See the Partner's Instructions
Q	Commercial revitalization deduction from rental real estate activities	See Form 8582 instructions
R	Pensions and IRAs	See the Partner's Instructions
S	Reforestation expense deduction	See the Partner's Instructions
T	Domestic production activities information	See Form 8903 instructions
U	Qualified production activities income	Form 8903, line 7b
V	Employer's Form W-2 wages	Form 8903, line 17
W	Other deductions	See the Partner's Instructions

14. Self-employment earnings (loss)

Note: If you have a section 179 deduction or any partner-level deductions, see the Partner's Instructions before completing Schedule SE.

A	Net earnings (loss) from self-employment	Schedule SE, Section A or B
B	Gross farming or fishing income	See the Partner's Instructions
C	Gross non-farm income	See the Partner's Instructions

15. Credits

A	Low-income housing credit (section 42(j)(5)) from pre-2008 buildings	See the Partner's Instructions
B	Low-income housing credit (other) from pre-2008 buildings	See the Partner's Instructions
C	Low-income housing credit (section) 42(j)(5)) from post-2007 buildings	See the Partner's Instructions
D	Low-income housing credit (other) from post-2007 buildings	See the Partner's Instructions
E	Qualified rehabilitation expenditures (rental real estate)	See the Partner's Instructions
F	Other rental real estate credits	See the Partner's Instructions
G	Other rental credits	See the Partner's Instructions
H	Undistributed capital gains credit	Form 1040, line 73; check box a
I	Biofuel producer credit	See the Partner's Instructions
J	Work opportunity credit	See the Partner's Instructions
K	Disabled access credit	See the Partner's Instructions
L	Empowerment zone employment credit	See the Partner's Instructions
M	Credit for increasing research activities	See the Partner's Instructions
N	Credit for employer social security and Medicare taxes	See the Partner's Instructions
O	Backup withholding	See the Partner's Instructions
P	Other credits	See the Partner's Instructions

16. Foreign transactions

Code		Report on
A	Name of country or U.S. possession	Form 1116, Part I
B	Gross income from all sources	Form 1116, Part I
C	Gross income sourced at partner level	Form 1116, Part I
	Foreign gross income sourced at partnership level	
D	Passive category	Form 1116, Part I
E	General category	Form 1116, Part I
F	Other	Form 1116, Part I
	Deductions allocated and apportioned at partner level	
G	Interest expense	Form 1116, Part I
H	Other	Form 1116, Part I
	Deductions allocated and apportioned at partnership level to foreign source income	
I	Passive category	Form 1116, Part I
J	General category	Form 1116, Part I
K	Other	Form 1116, Part I
	Other information	
L	Total foreign taxes paid	Form 1116, Part II
M	Total foreign taxes accrued	Form 1116, Part II
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O	Foreign trading gross receipts	Form 8873
P	Extraterritorial income exclusion	Form 8873
Q	Other foreign transactions	See the Partner's Instructions

17. Alternative minimum tax (AMT) items

A	Post-1986 depreciation adjustment	See the Partner's Instructions and the Instructions for Form 6251
B	Adjusted gain or loss	See the Partner's Instructions and the Instructions for Form 6251
C	Depletion (other than oil & gas)	See the Partner's Instructions and the Instructions for Form 6251
D	Oil, gas, & geothermal—gross income	See the Partner's Instructions and the Instructions for Form 6251
E	Oil, gas, & geothermal—deductions	See the Partner's Instructions and the Instructions for Form 6251
F	Other AMT items	See the Partner's Instructions and the Instructions for Form 6251

18. Tax-exempt income and nondeductible expenses

A	Tax-exempt interest income	Form 1040, line 8b
B	Other tax-exempt income	See the Partner's Instructions
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19. Distributions

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C	Other property	See the Partner's Instructions

20. Other information

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H	Recapture of investment credit	See Form 4255
I	Recapture of other credits	See the Partner's Instructions
J	Look-back interest—completed long-term contracts	See Form 8697
K	Look-back interest—income forecast method	See Form 8866
L	Dispositions of property with section 179 deductions	See the Partner's Instructions
M	Recapture of section 179 deduction	See the Partner's Instructions
N	Interest expense for corporate partners	See the Partner's Instructions
O	Section 453(l)(3) information	See the Partner's Instructions
P	Section 453A(c) information	See the Partner's Instructions
Q	Section 1260(b) information	See the Partner's Instructions
R	Interest allocable to production expenditures	See the Partner's Instructions
S	CCF nonqualified withdrawals	See the Partner's Instructions
T	Depletion information—oil and gas	See the Partner's Instructions
U	Reserved	See the Partner's Instructions
V	Unrelated business taxable income	See the Partner's Instructions
W	Precontribution gain (loss)	See the Partner's Instructions
X	Section 108(i) information	See the Partner's Instructions
Y	Net investment income	See the Partner's Instructions
Z	Other information	See the Partner's Instructions

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PA PUBLIC UTILITY COMMISSION

PARTNER# 1

Partner's Schedule K-1 Activity Worksheet

Schedule **K-1**

For calendar year 2015, or tax year beginning , and ending

2015

Partnership Name	Employer Identification Number
NATIONAL UTILITIES REFUND	**-***5531
Partner's Name	**Taxpayer Identification Number**
VINCENT DIMAIO	***-**-6279

	Activity Description	Activity Disposed	Schedule K-1 Passthrough EIN	Entity Type	PTP
A	PAGE 1 AMOUNTS				
B	MISC AMOUNTS				
C					

Schedule K-1 Box	Code	Description	A	B	C
K		RECOURSE LIABILITIES - AT RISK		14,067	
1		ORDINARY BUSINESS INCOME (LOSS)	16,111		
4		GUARANTEED PAYMENTS	86,613		
13	M	AMOUNTS PAID FOR MEDICAL INSURANCE		1,905	
14	A	NET EARNINGS (LOSS) FROM SELF-EMPLOYMENT		102,724	
14	C	GROSS NONFARM INCOME		198,532	
18	C	NONDEDUCTIBLE EXPENSES		400	

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Note: The amounts included on this worksheet are already included on Schedule K-1 and are provided for informational purposes only.

PARTNER# 2

Partner's Schedule K-1 Activity Worksheet

Schedule **K-1** For calendar year 2015, or tax year beginning , and ending **2015**

Partnership Name	Employer Identification Number
NATIONAL UTILITIES REFUND	**-***5531
Partner's Name	Taxpayer Identification Number
RALPH DIMAIO	***-**-9459

	Activity Description	Activity Disposed	Schedule K-1 Passthrough EIN	Entity Type	PTP
A	PAGE 1 AMOUNTS				
B	MISC AMOUNTS				
C					

Schedule K-1 Box	Code	Description	A	B	C
K		RECOURSE LIABILITIES - AT RISK		1,563	
1		ORDINARY BUSINESS INCOME (LOSS)	1,790		
14	A	NET EARNINGS (LOSS) FROM SELF-EMPLOYMENT		1,790	
14	C	GROSS NONFARM INCOME		22,059	
18	C	NONDEDUCTIBLE EXPENSES		44	

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Note: The amounts included on this worksheet are already included on Schedule K-1 and are provided for informational purposes only.

Federal Statements

Statement 1 - Form 1065, Page 1, Line 20 - Other Deductions

Description	Amount
ADVERTISING	$
BANK AND CREDIT CARD CHARGES	58
COMMISSIONS	98,232
AUTO	1,574
OFFICE SUPPLIES	1,284
POSTAGE	340
PROFESSIONAL FEES	1,287
UTILITIES	2,953
WEBSITE	2,456
MEALS AND ENTERTAIN (50%)	444
AMORTIZATION	1,467
TOTAL	$ 110,095

Statement 2 - Form 1065, Schedule K, Line 13d - Other Deductions

Description	Amount
HEALTH INSURANCE FOR PARTNERS	$ 1,905
TOTAL	$ 1,905

Statement 3 - Form 1065, Schedule K, Line 18c - Nondeductible Expenses

Description	Amount
NONDEDUCTIBLE MEALS AND ENTERTAINMENT	$ 444
TOTAL	$ 444

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Federal Statements

Vincent DiMaio
***-**-6279

Schedule K-1, Line 18 - Tax-Exempt Income and Nondeductible Expenses

Code	Description	Amount
C	NONDEDUCTIBLE MEALS AND ENTERTAINMENT	$ 400

533900 National Utilities Refund
-*5531
FYE: 12/31/2015

Federal Statements

Ralph DiMaio
*****-**-9459**

Schedule K-1, Line 18 - Tax-Exempt Income and Nondeductible Expenses

Code	Description	Amount
C	NONDEDUCTIBLE MEALS AND ENTERTAINMENT	$ 44

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533900

Year Ending: December 31, 2015 **-***5531

National Utilities Refund
6216 Vista Terrace
Orefield, PA 18069

Section 1.263(a)-3(h) Safe Harbor Election for Small Taxpayers

Under Regulation 1.263(a)-3(h), the taxpayer elects the small taxpayer safe harbor election to deduct the costs of repairs, maintenance, improvements, and similar activities performed on the following eligible building(s).

Profit and Loss Statement

National Utilities Refund DBA National Energy

January 1, 2016 to June 7, 2016

Gross Margin	69%		
Return on Sales	41%		
Gross Income			
Gross Sales		$ 123,295.87	
Total Gross Revenue			$ 123,295.87
Cost of Sales			
3rd Party Commissions	(Independent Contractors)	$ 38,432.47	
Total Cost of Sales			$ (38,432.47)
Gross Profit			$ 84,863.40
Expenses			
Advertising		$ -	
Bank Charges		$ 30.00	
Business Meal		$ 252.40	
Car Insurance Policy		$ -	
Car Lease		$ -	
Charitable Contributions		$ -	
Computer Repair		$ -	
Legal and Professional Fees		$ 1,450.00	
Licenses and Fees		$ -	
Misc. Expense		$ -	
Office Equipment		$ -	
Office Rent		$ 2,250.00	
Office Supplies		$ 263.64	
Postage		$ 196.53	
Repairs and Maintenance		$ -	
Telephone/Utility		$ 1,007.91	
Travel		$ -	
Website		$ 361.21	
Total Expenses			($5,811.69)
Officer Wages and Salaries			
Ralph DiMaio		$ -	
Vincent DiMaio		$ 28,726.27	
Total Officer Wages and Salaries			($28,726.27)
Total Operating Expenses			($34,537.96)
Net Income			
Net Income			$ 50,325.44

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SECRETARY'S BUREAU

Bank

America's Most Convenient Bank®

E STATEMENT OF ACCOUNT

NATIONAL UTILITIES REFUND
6216 VISTA TER
OREFIELD PA 18069

Statement Period: May 01 2016-May 31 2016
Cust Ref #: 4258162969-720-E-***
Primary Account #.

Business Convenience Checking

NATIONAL UTILITIES REFUND

ACCOUNT SUMMARY

Beginning Balance	13,588.17	Average Collected Balance	20,167.30
Deposits	15,313.87	Annual Percentage Yield Earned	0.00%
Electronic Deposits	25,348.72	Days in Period	31
Checks Paid	15,440.18		
Electronic Payments	7,304.72		
Ending Balance	31,505.86		

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SECRETARY'S BUREAU

DAILY ACCOUNT ACTIVITY

Deposits

POSTING DATE	DESCRIPTION	AMOUNT
5/13	DEPOSIT	11,611.79
5/20	DEPOSIT	2,909.89
5/27	DEPOSIT	792.19
	Subtotal:	15,313.87

Electronic Deposits

POSTING DATE	DESCRIPTION	AMOUNT
5/3	CTX DEPOSIT, CENTERPOINT ENER PAYMENTS	334.50
5/5	CTX DEPOSIT, HUMANA-EFT PO/REMIT	6,334.82
5/6	ACH DEPOSIT, BROKER ONLINE EX BILL PMT 4258162969	224.64
5/9	CCD DEPOSIT, LP HOLDING OPER CORP PAY	1,798.63
5/13	CTX DEPOSIT, HUMANA-EFT PO/REMIT	2,995.43
5/16	CTX DEPOSIT, HUMANA-EFT PO/REMIT	1,499.71
5/18	CCD DEPOSIT, ASA ELECTRONICS, PAYMENTS 000000000243475	206.91
5/20	CCD DEPOSIT, MINT ENERGY LLC MTHLY FEE NATIONAL UTILIT	751.42
5/20	CTX DEPOSIT, DIR ENERGY 6155 EDI PAYMNT	528.76
5/23	CCD DEPOSIT, LP HOLDING OPER CORP PAY	10,306.63
5/27	CTX DEPOSIT, DIR ENERGY 6289 EDI PAYMNT	50.77
5/31	CTX DEPOSIT, CENTERPOINT ENER PAYMENTS	316.50
	Subtotal:	25,348.72

Checks Paid No. Checks: 10 *Indicates break in serial sequence or check processed electronically and listed under Electronic Payments

DATE	SERIAL NO.	AMOUNT	DATE	SERIAL NO.	AMOUNT
5/3	579	258.11	5/9	585	450.00
5/10	581*	2,499.50	5/13	586	1,672.41
5/27	582	917.68	5/16	587	6,967.89
5/11	583	173.36	5/9	901*	2,203.92
5/9	584	147.31	5/16	902	150.00
				Subtotal:	15,440.18

How to Balance your Account

Begin by adjusting your account register as follows:

- Subtract any services charges shown on this statement.
- Subtract any automatic payments, transfers or other electronic withdrawals not previously recorded.
- Add any interest earned if you have an interest-bearing account.
- Add any automatic deposit or overdraft line of credit.
- Review all withdrawals shown on this statement and check them off in your account register.
- Follow instructions 2-5 to verify your ending account balance.

1. Your ending balance shown on this statement is:
2. List below the amount of deposits or credit transfers which do not appear on this statement. Total the deposits and enter on Line 2.
3. Subtotal by adding lines 1 and 2.
4. List below the total amount of withdrawals that do not appear on this statement. Total the withdrawals and enter on Line 4.
5. Subtract Line 4 from 3. This adjusted balance should equal your account balance.

①	Ending Balance	31,505.86
②	Total Deposits	+
③	Sub Total	
④	Total Withdrawals	-
⑤	Adjusted Balance	

②

DEPOSITS NOT ON STATEMENT	DOLLARS	CENTS
Total Deposits		②

④

WITHDRAWALS NOT ON STATEMENT	DOLLARS	CENTS

WITHDRAWALS NOT ON STATEMENT	DOLLARS	CENTS
Total Withdrawals		④

FOR CONSUMER ACCOUNTS ONLY — IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS:

If you need information about an electronic fund transfer or if you believe there is an error on your bank statement or receipt relating to an electronic fund transfer, telephone the bank immediately at the phone number listed on the front of your statement or write to.

TD Bank, N.A., Deposit Operations Dept, P.O. Box 1377, Lewiston, Maine 04243-1377

We must hear from you no later than sixty (60) calendar days after we sent you the first statement upon which the error or problem first appeared When contacting the Bank, please explain as clearly as you can why you believe there is an error or why more information is needed Please include

- Your name and account number
- A description of the error or transaction you are unsure about
- The dollar amount and date of the suspected error.

When making a verbal inquiry, the Bank may ask that you send us your complaint in writing within ten (10) business days after the first telephone call

We will investigate your complaint and will correct any error promptly. If we take more than ten (10) business days to do this, we will credit your account for the amount you think is in error, so that you have the use of the money during the time it takes to complete our investigation

INTEREST NOTICE

Total interest credited by the Bank to you this year will be reported by the Bank to the Internal Revenue Service and State tax authorities The amount to be reported will be reported separately to you by the Bank.

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

FOR CONSUMER LOAN ACCOUNTS ONLY - BILLING RIGHTS SUMMARY

In case of Errors or Questions About Your Bill.

If you think your bill is wrong, or if you need more information about a transaction on your bill, write us at P.O. Box 1377, Lewiston, Maine 04243-1377 as soon as possible. We must hear from you no later than sixty (60) days after we sent you the FIRST bill on which the error or problem appeared. You can telephone us, but doing so will not preserve your rights In your letter, give us the following information:

- Your name and account number.
- The dollar amount of the suspected error.
- Describe the error and explain, if you can, why you believe there is an error If you need more information, describe the item you are unsure about

You do not have to pay any amount in question while we are investigating, but you are still obligated to pay the parts of your bill that are not in question While we investigate your question, we cannot report you as delinquent or take any action to collect the amount you question.

FINANCE CHARGES Although the Bank uses the Daily Balance method to calculate the finance charge on your Moneyline/Overdraft Protection account (the term "ODP" or "OD" refers to Overdraft Protection), the Bank discloses the Average Daily Balance on the periodic statement as an easier method for you to calculate the finance charge The finance charge begins to accrue on the date advances and other debits are posted to your account and will continue until the balance has been paid in full. To compute the finance charge, multiply the Average Daily Balance times the Days in Period times the Daily Periodic Rate (as listed in the Account Summary section on the front of the statement) The Average Daily Balance is calculated by adding the balance for each day of the billing cycle, then dividing the total balance by the number of Days in the Billing Cycle. The daily balance is the balance for the day after advances have been added and payments or credits have been subtracted plus or minus any other adjustments that might have occurred that day. There is no grace period during which no finance charge accrues Finance charge adjustments are included in your total finance charge

TD Bank

America's Most Convenient Bank®

STATEMENT OF ACCOUNT

NATIONAL UTILITIES REFUND

Statement Period: May 01 2016-May 31 2016
Cust Ref #:
Primary Account #:

DAILY ACCOUNT ACTIVITY

Electronic Payments

POSTING DATE	DESCRIPTION	AMOUNT
5/2	ACH DEBIT, CALIBER HOME LOA DRAFT PMT 9701874829	1,752.30
5/4	ELECTRONIC PMT-WEB, CHASE EPAY 2615104150	1,300.36
5/9	ACH DEBIT, FEDLOANSERVICING STDNT LOAN 0000	258.62
5/11	ACH DEBIT, WELLS FARGO DLR DRAFT 517790389066	408.47
5/13	ACH DEBIT, CITIBANK,N.A. SLPAYMENTS 7906479442820	300.00
5/16	NONTD ATM DEBIT, AUT 051416 DDA WITHDRAW 7572 SCHANTZ RD ALLENTOWN * PA 4085404005375906	140.00
5/16	NONTD ATM FEE	3.00
5/18	CCD DEBIT, PPL EU ELEC SVC 5336014071ATN	59.68
5/19	ELECTRONIC PMT-WEB, DISCOVER E-PAYMENT 5787	1,903.84
5/19	NONTD ATM DEBIT, AUT 051916 DDA WITHDRAW 7572 SCHANTZ RD ALLENTOWN * PA 4085404005375906	220.00
5/19	NONTD ATM FEE	3.00
5/24	ELECTRONIC PMT-WEB, CHASE EPAY 2638308406	879.98
5/26	ELECTRONIC PMT-WEB, UGI-UTILITIE IMG UTIL. PYMT 2651510	75.47
	Subtotal:	7,304.72

DAILY BALANCE SUMMARY

DATE	BALANCE	DATE	BALANCE
4/30	13,588.17	5/16	19,702.44
5/2	11,835.87	5/18	19,849.67
5/3	11,912.26	5/19	17,722.83
5/4	10,611.90	5/20	21,912.90
5/5	16,946.72	5/23	32,219.53
5/6	17,171.36	5/24	31,339.55
5/9	15,910.14	5/26	31,264.08
5/10	13,410.64	5/27	31,189.36
5/11	12,828.81	5/31	31,505.86
5/13	25,463.62		

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SECRETARY'S BUREAU

National Utilities Refund LLC

2014 Tax Returns

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

533900

Form **1065**

Department of the Treasury
Internal Revenue Service

U.S. Return of Partnership Income

For calendar year 2014, or tax year beginning , ending

▶ Information about Form 1065 and its separate instructions is at www.irs.gov/form1065.

OMB No 1545-0123

2014

A Principal business activity	Name of partnership: NATIONAL UTILITIES REFUND	D --
B Principal product or service	Number, street, and room or suite no. If a P.O. box, see the instructions: 6216 VISTA TERRACE	E Date business started: 10/20/2011
C Business code number: 541990	City or town, state or province, country, and ZIP or foreign postal code: OREFIELD PA 18069	F Total assets (see the instructions) $ 41,432

Type or Print

G Check applicable boxes: (1) ☐ Initial return (2) ☐ Final return (3) ☐ Name change (4) ☐ Address change (5) ☐ Amended return
(6) ☐ Technical termination - also check (1) or (2)

H Check accounting method: (1) ☒ Cash (2) ☐ Accrual (3) ☐ Other (specify) ▶

I Number of Schedules K-1. Attach one for each person who was a partner at any time during the tax year ▶ 2

J Check if Schedules C and M-3 are attached ☐

Caution. Include only trade or business income and expenses on lines 1a through 22 below. See the instructions for more information.

Income

1a	Gross receipts or sales	1a	147,953		
b	Returns and allowances	1b			
c	Balance. Subtract line 1b from line 1a			1c	147,953
2	Cost of goods sold (attach Form 1125-A)			2	
3	Gross profit. Subtract line 2 from line 1c			3	147,953
4	Ordinary income (loss) from other partnerships, estates, and trusts (attach statement)			4	
5	Net farm profit (loss) (attach Schedule F (Form 1040))			5	
6	Net gain (loss) from Form 4797, Part II, line 17 (attach Form 4797)			6	
7	Other income (loss) (attach statement)			7	
8	**Total income (loss).** Combine lines 3 through 7			8	147,953

Deductions (see the instructions for limitations)

9	Salaries and wages (other than to partners) (less employment credits)			9	
10	Guaranteed payments to partners			10	46,588
11	Repairs and maintenance			11	256
12	Bad debts			12	
13	Rent			13	4,950
14	Taxes and licenses			14	75
15	Interest			15	
16a	Depreciation (if required, attach Form 4562)	16a			
b	Less depreciation reported on Form 1125-A and elsewhere on return	16b		16c	
17	Depletion (**Do not deduct oil and gas depletion.**)			17	
18	Retirement plans, etc.			18	
19	Employee benefit programs			19	
20	Other deductions (attach statement)	SEE STATEMENT 1		20	74,514
21	**Total deductions.** Add the amounts shown in the far right column for lines 9 through 20			21	126,383
22	**Ordinary business income (loss).** Subtract line 21 from line 8			22	21,570

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than general partner or limited liability company member manager) is based on all information of which preparer has any knowledge.

▶ Signature of general partner or limited liability company member manager ▶ 2/14/15 Date

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check if self-employed
CYNTHIA L. MERRITT		02/14/15	

Firm's name ▶ BUCKNO LISICKY & COMPANY, P.C. Firm's EIN ▶

Firm's address ▶ 645 HAMILTON ST SUITE 204
ALLENTOWN, PA 18101-2108 Phone no. 610-821-8580

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Schedule B Other Information

		Yes	No
1	What type of entity is filing this return? Check the applicable box: a [X] Domestic general partnership b [ ] Domestic limited partnership c [ ] Domestic limited liability company d [ ] Domestic limited liability partnership e [ ] Foreign partnership f [ ] Other ▶		
2	At any time during the tax year, was any partner in the partnership a disregarded entity, a partnership (including an entity treated as a partnership), a trust, an S corporation, an estate (other than an estate of a deceased partner), or a nominee or similar person?		X
3	At the end of the tax year:		
a	Did any foreign or domestic corporation, partnership (including any entity treated as a partnership), trust, or tax-exempt organization, or any foreign government own, directly or indirectly, an interest of 50% or more in the profit, loss, or capital of the partnership? For rules of constructive ownership, see instructions. If "Yes," attach Schedule B-1, Information on Partners Owning 50% or More of the Partnership		X
b	Did any individual or estate own, directly or indirectly, an interest of 50% or more in the profit, loss, or capital of the partnership? For rules of constructive ownership, see instructions. If "Yes," attach Schedule B-1, Information on Partners Owning 50% or More of the Partnership	X	
4	At the end of the tax year, did the partnership:		
a	Own directly 20% or more, or own, directly or indirectly, 50% or more of the total voting power of all classes of stock entitled to vote of any foreign or domestic corporation? For rules of constructive ownership, see instructions. If "Yes," complete (i) through (iv) below		X

(i) Name of Corporation	(ii) Employer Identification Number (if any)	(iii) Country of Incorporation	(iv) Percentage Owned in Voting Stock

		Yes	No
b	Own directly an interest of 20% or more, or own, directly or indirectly, an interest of 50% or more in the profit, loss, or capital in any foreign or domestic partnership (including an entity treated as a partnership) or in the beneficial interest of a trust? For rules of constructive ownership, see instructions. If "Yes," complete (i) through (v) below		X

(i) Name of Entity	(ii) Employer Identification Number (if any)	(iii) Type of Entity	(iv) Country of Organization	(v) Maximum Percentage Owned in Profit, Loss, or Capital

		Yes	No
5	Did the partnership file Form 8893, Election of Partnership Level Tax Treatment, or an election statement under section 6231(a)(1)(B)(ii) for partnership-level tax treatment, that is in effect for this tax year? See Form 8893 for more details		X
6	Does the partnership satisfy **all four** of the following conditions?		
a	The partnership's total receipts for the tax year were less than $250,000		
b	The partnership's total assets at the end of the tax year were less than $1 million		
c	Schedules K-1 are filed with the return and furnished to the partners on or before the due date (including extensions) for the partnership return		
d	The partnership is not filing and is not required to file Schedule M-3	X	
	If "Yes," the partnership is not required to complete Schedules L, M-1, and M-2; Item F on page 1 of Form 1065; or Item L on Schedule K-1.		
7	Is this partnership a publicly traded partnership as defined in section 469(k)(2)?		X
8	During the tax year, did the partnership have any debt that was cancelled, was forgiven, or had the terms modified so as to reduce the principal amount of the debt?		X
9	Has this partnership filed, or is it required to file, Form 8918, Material Advisor Disclosure Statement, to provide information on any reportable transaction?		X
10	At any time during calendar year 2014, did the partnership have an interest in or a signature or other authority over a financial account in a foreign country (such as a bank account, securities account, or other financial account)? See the instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ▶		X

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Schedule B Other Information (continued)

		Yes	No
11	At any time during the tax year, did the partnership receive a distribution from, or was it the grantor of, or transferor to, a foreign trust? If "Yes," the partnership may have to file Form 3520, Annual Return To Report Transactions With Foreign Trusts and Receipt of Certain Foreign Gifts See instructions		X
12a	Is the partnership making, or had it previously made (and not revoked), a section 754 election? See instructions for details regarding a section 754 election		X
b	Did the partnership make for this tax year an optional basis adjustment under section 743(b) or 734(b)? If "Yes," attach a statement showing the computation and allocation of the basis adjustment See instructions		X
c	Is the partnership required to adjust the basis of partnership assets under section 743(b) or 734(b) because of a substantial built-in loss (as defined under section 743(d)) or substantial basis reduction (as defined under section 734(d))? If "Yes," attach a statement showing the computation and allocation of the basis adjustment. See instructions		X
13	Check this box if, during the current or prior tax year, the partnership distributed any property received in a like-kind exchange or contributed such property to another entity (other than disregarded entities wholly owned by the partnership throughout the tax year) ▶ ☐		
14	At any time during the tax year, did the partnership distribute to any partner a tenancy-in-common or other undivided interest in partnership property?		X
15	If the partnership is required to file Form 8858, Information Return of U.S. Persons With Respect To Foreign Disregarded Entities, enter the number of Forms 8858 attached. See instructions ▶		
16	Does the partnership have any foreign partners? If "Yes," enter the number of Forms 8805, Foreign Partner's Information Statement of Section 1446 Withholding Tax, filed for this partnership. ▶		X
17	Enter the number of Forms 8865, Return of U.S. Persons With Respect to Certain Foreign Partnerships, attached to this return ▶		
18a	Did you make any payments in 2014 that would require you to file Form(s) 1099? See instructions	X	
b	If "Yes," did you or will you file required Form(s) 1099?	X	
19	Enter the number of Form(s) 5471, Information Return of U.S Persons With Respect To Certain Foreign Corporations, attached to this return ▶ 0		
20	Enter the number of partners that are foreign governments under section 892. ▶ 0		

Designation of Tax Matters Partner (see instructions)

Enter below the general partner or member-manager designated as the tax matters partner (TMP) for the tax year of this return.

Name of designated TMP ▶	VINCENT DIMAIO	Identifying number of TMP ▶	
If the TMP is an entity, name of TMP representative ▶		Phone number of TMP ▶	
Address of designated TMP ▶	567 PONDS EDGE LANE ALLENTOWN PA 18104		

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Form 1065 (2014) NATIONAL UTILITIES REFUND

Schedule K Partners' Distributive Share Items

					Total amount
Income (Loss)	1	Ordinary business income (loss) (page 1, line 22)		1	21,570
	2	Net rental real estate income (loss) (attach Form 8825)		2	
	3a	Other gross rental income (loss)	3a		
	b	Expenses from other rental activities (attach statement)	3b		
	c	Other net rental income (loss). Subtract line 3b from line 3a		3c	
	4	Guaranteed payments		4	46,588
	5	Interest income		5	
	6	Dividends: a Ordinary dividends		6a	
		b Qualified dividends	6b		
	7	Royalties		7	
	8	Net short-term capital gain (loss) (attach Schedule D (Form 1065))		8	
	9a	Net long-term capital gain (loss) (attach Schedule D (Form 1065))		9a	
	b	Collectibles (28%) gain (loss)	9b		
	c	Unrecaptured section 1250 gain (attach statement)	9c		
	10	Net section 1231 gain (loss) (attach Form 4797)		10	
	11	Other income (loss) (see instructions) Type ▶		11	
Deductions	12	Section 179 deduction (attach Form 4562)		12	
	13a	Contributions		13a	
	b	Investment interest expense		13b	
	c	Section 59(e)(2) expenditures: (1) Type ▶ (2) Amount ▶		13c(2)	
	d	Other deductions (see instructions) Type ▶		13d	
Self-Employment	14a	Net earnings (loss) from self-employment		14a	68,158
	b	Gross farming or fishing income		14b	
	c	Gross nonfarm income		14c	147,953
Credits	15a	Low-income housing credit (section 42(j)(5))		15a	
	b	Low-income housing credit (other)		15b	
	c	Qualified rehabilitation expenditures (rental real estate) (attach Form 3468, if applicable)		15c	
	d	Other rental real estate credits (see instructions) Type ▶		15d	
	e	Other rental credits (see instructions) Type ▶		15e	
	f	Other credits (see instructions) Type ▶		15f	
Foreign Transactions	16a	Name of country or U.S. possession ▶			
	b	Gross income from all sources		16b	
	c	Gross income sourced at partner level		16c	
		Foreign gross income sourced at partnership level			
	d	Passive category ▶ e General category ▶ f Other ▶		16f	
		Deductions allocated and apportioned at partner level			
	g	Interest expense ▶ h Other ▶		16h	
		Deductions allocated and apportioned at partnership level to foreign source income			
	i	Passive category ▶ j General category ▶ k Other ▶		16k	
	l	Total foreign taxes (check one): ▶ Paid ☐ Accrued ☐		16l	
	m	Reduction in taxes available for credit (attach statement)		16m	
	n	Other foreign tax information (attach statement)			
Alternative Minimum Tax (AMT) Items	17a	Post-1986 depreciation adjustment		17a	
	b	Adjusted gain or loss		17b	
	c	Depletion (other than oil and gas)		17c	
	d	Oil, gas, and geothermal properties - gross income		17d	
	e	Oil, gas, and geothermal properties - deductions		17e	
	f	Other AMT items (attach statement)		17f	
Other Information	18a	Tax-exempt interest income		18a	
	b	Other tax-exempt income		18b	
	c	Nondeductible expenses SEE STATEMENT 2		18c	747
	19a	Distributions of cash and marketable securities		19a	
	b	Distributions of other property		19b	
	20a	Investment income		20a	
	b	Investment expenses		20b	
	c	Other items and amounts (attach statement)			

PA PUBLIC UTILITY COMMISSION
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Analysis of Net Income (Loss)

1	Net income (loss) Combine Schedule K, lines 1 through 11 From the result, subtract the sum of Schedule K, lines 12 through 13d, and 16l					1	68,158
2	Analysis by partner type:	(i) Corporate	(ii) Individual (active)	(iii) Individual (passive)	(iv) Partnership	(v) Exempt Organization	(vi) Nominee/Other
a	General partners		68,158				
b	Limited partners						

Schedule L Balance Sheets per Books

		Beginning of tax year (a)	Beginning of tax year (b)	End of tax year (c)	End of tax year (d)
	Assets				
1	Cash		3,639		22,976
2a	Trade notes and accounts receivable				
b	Less allowance for bad debts				
3	Inventories				
4	U.S. government obligations				
5	Tax-exempt securities				
6	Other current assets (attach statement)				
7a	Loans to partners (or persons related to partners)				
b	Mortgage and real estate loans				
8	Other investments (attach statement)				
9a	Buildings and other depreciable assets	1,505		1,505	
b	Less accumulated depreciation	1,505	0	1,505	0
10a	Depletable assets				
b	Less accumulated depletion				
11	Land (net of any amortization)				
12a	Intangible assets (amortizable only)	22,000		22,000	
b	Less accumulated amortization	2,078	19,922	3,544	18,456
13	Other assets (attach statement)				
14	Total assets		23,561		41,432
	Liabilities and Capital				
15	Accounts payable				
16	Mortgages, notes, bonds payable in less than 1 year				
17	Other current liabilities (attach statement)				
18	All nonrecourse loans				
19a	Loans from partners (or persons related to partners)		23,107		20,155
b	Mortgages, notes, bonds payable in 1 year or more		1,200		1,200
20	Other liabilities (attach statement)				
21	Partners' capital accounts		-746		20,077
22	Total liabilities and capital		23,561		41,432

Schedule M-1 Reconciliation of Income (Loss) per Books With Income (Loss) per Return

Note. The partnership may be required to file Schedule M-3 (see instructions)

1	Net income (loss) per books	20,823	6	Income recorded on books this year not included on Schedule K, lines 1 through 11 (itemize)	
2	Income included on Schedule K, lines 1, 2, 3c, 5, 6a, 7, 8, 9a, 10, and 11, not recorded on books this year (itemize).		a	Tax-exempt interest $	
3	Guaranteed payments (other than health insurance)	46,588	7	Deductions included on Schedule K, lines 1 through 13d, and 16l, not charged against book income this year (itemize)	
4	Expenses recorded on books this year not included on Schedule K, lines 1 through 13d, and 16l (itemize): a Depreciation $ b Travel and entertainment $ 747		a	Depreciation $	
			8	Add lines 6 and 7	
		747	9	Income (loss) (Analysis of Net Income (Loss), line 1). Subtract line 8 from line 5	68,158
5	Add lines 1 through 4	68,158			

Schedule M-2 Analysis of Partners' Capital Accounts

1	Balance at beginning of year	-746	6	Distributions: a Cash	
2	Capital contributed: a Cash			b Property	
	b Property				
3	Net income (loss) per books	20,823	7	Other decreases (itemize)	
4	Other increases (itemize)				
			8	Add lines 6 and 7	
5	Add lines 1 through 4	20,077	9	Balance at end of year. Subtract line 8 from line 5	20,077

SCHEDULE B-1
(Form 1065)
(Rev. December 2011)
Department of the Treasury
Internal Revenue Service

Information on Partners Owning 50% or More of the Partnership

▶ Attach to Form 1065. See instructions on back.

OMB No 1545-0099

Name of partnership
NATIONAL UTILITIES REFUND

Part I Entities Owning 50% or More of the Partnership (Form 1065, Schedule B, Question 3a)

Complete columns (i) through (v) below for any foreign or domestic corporation, partnership (including any entity treated as a partnership), trust, tax-exempt organization, or any foreign government that owns, directly or indirectly, an interest of 50% or more in the profit, loss, or capital of the partnership (see instructions).

(i) Name of Entity	(ii) Employer Identification Number (if any)	(iii) Type of Entity	(iv) Country of Organization	(v) Maximum Percentage Owned in Profit, Loss, or Capital

Part II Individuals or Estates Owning 50% or More of the Partnership (Form 1065, Schedule B, Question 3b)

Complete columns (i) through (iv) below for any individual or estate that owns, directly or indirectly, an interest of 50% or more in the profit, loss, or capital of the partnership (see instructions).

(i) Name of Individual or Estate	(ii) Identifying Number (if any)	(iii) Country of Citizenship (see instructions)	(iv) Maximum Percentage Owned in Profit, Loss or Capital
VINCENT DIMAIO		JNITED STATES	90.000000

For Paperwork Reduction Act Notice, see the Instructions for Form 1065.

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533900

651113

PARTNER# 1

Final K-1 | Amended K-1 | OMB No 1545-0123

Schedule K-1 (Form 1065) **2014**

Department of the Treasury
Internal Revenue Service

For calendar year 2014, or tax year beginning ______ ending ______

Partner's Share of Income, Deductions, Credits, etc.

▶ See back of form and separate instructions.

Part I Information About the Partnership

A Partnership's employer identification number

B Partnership's name, address, city, state, and ZIP code

NATIONAL UTILITIES REFUND

6216 VISTA TERRACE
OREFIELD PA 18069

C IRS Center where partnership filed return

E-FILE

D [ ] Check if this is a publicly traded partnership (PTP)

Part II Information About the Partner

E Partner's identifying number

F Partner's name, address, city, state, and ZIP code

VINCENT DIMAIO

567 PONDS EDGE LANE
ALLENTOWN PA 18104

G [X] General partner or LLC member-manager [ ] Limited partner or other LLC member

H [X] Domestic partner [ ] Foreign partner

I1 What type of entity is this partner? INDIVIDUAL

I2 If this partner is a retirement plan (IRA/SEP/Keogh/etc.), check here [ ]

J Partner's share of profit, loss, and capital (see instructions)

	Beginning	Ending
Profit	90.000000 %	90.000000 %
Loss	90.000000 %	90.000000 %
Capital	90.000000 %	90.000000 %

K Partner's share of liabilities at year end

Nonrecourse	$
Qualified nonrecourse financing	$
Recourse	$ 18,930

L Partner's capital account analysis

Beginning capital account	$ -7,823
Capital contributed during the year	$
Current year increase (decrease)	$ 18,741
Withdrawals & distributions	$ ( )
Ending capital account	$ 10,918

[X] Tax basis [ ] GAAP [ ] Section 704(b) book
[ ] Other (explain)

M Did the partner contribute property with a built-in gain or loss?
[ ] Yes [X] No
If "Yes," attach statement (see instructions)

Part III Partner's Share of Current Year Income, Deductions, Credits, and Other Items

1	Ordinary business income (loss) 19,413	15	Credits
2	Net rental real estate income (loss)		
3	Other net rental income (loss)	16	Foreign transactions
4	Guaranteed payments 42,588		
5	Interest income		
6a	Ordinary dividends		
6b	Qualified dividends		
7	Royalties		
8	Net short-term capital gain (loss)		
9a	Net long-term capital gain (loss)	17	Alternative minimum tax (AMT) items
9b	Collectibles (28%) gain (loss)		
9c	Unrecaptured section 1250 gain		
10	Net section 1231 gain (loss)	18	Tax-exempt income and nondeductible expenses
11	Other income (loss)	C*	672
		19	Distributions
12	Section 179 deduction		
13	Other deductions		
		20	Other information
14	Self-employment earnings (loss)		
A	62,001		
C	133,158		

*See attached statement for additional information.

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651113

PARTNER# 2

Final K-1 | Amended K-1 | OMB No. 1545-0123

Schedule K-1 (Form 1065) 2014

Department of the Treasury
Internal Revenue Service

For calendar year 2014, or tax year beginning ______ ending ______

Partner's Share of Income, Deductions, Credits, etc.

▶ See back of form and separate instructions.

Part I About the Partnership

A ...umber

B Partnership's name, address, city, state, and ZIP code
NATIONAL UTILITIES REFUND
6216 VISTA TERRACE
OREFIELD PA 18069

C IRS Center where partnership filed return
E-FILE

D ☐ Check if this is a publicly traded partnership (PTP)

Part II About the Partner

E

F Partner's name, address, city, state, and ZIP code
RALPH DIMAIO
6216 VISTA TERRACE
OREFIELD PA 18069

G [X] General partner or LLC member-manager ☐ Limited partner or other LLC member

H [X] Domestic partner ☐ Foreign partner

I1 What type of entity is this partner? INDIVIDUAL

I2 If this partner is a retirement plan (IRA/SEP/Keogh/etc.), check here

J Partner's share of profit, loss, and capital (see instructions)

	Beginning	Ending
Profit	10.000000 %	10.000000 %
Loss	10.000000 %	10.000000 %
Capital	10.000000 %	10.000000 %

K Partner's share of liabilities at year end

Nonrecourse	$
Qualified nonrecourse financing	$
Recourse	$ 6,473

L Partner's capital account analysis

Beginning capital account	$ 7,077
Capital contributed during the year	$
Current year increase (decrease)	$ 2,082
Withdrawals & distributions	$ ( )
Ending capital account	$ 9,159

[X] Tax basis ☐ GAAP ☐ Section 704(b) book
Other (explain)

M Did the partner contribute property with a built-in gain or loss?
☐ Yes [X] No
If "Yes," attach statement (see instructions)

Part III Partner's Share of Current Year Income, Deductions, Credits, and Other Items

1	Ordinary business income (loss)	2,157	15	Credits	
2	Net rental real estate income (loss)				
3	Other net rental income (loss)		16	Foreign transactions	
4	Guaranteed payments	4,000			
5	Interest income				
6a	Ordinary dividends				
6b	Qualified dividends				
7	Royalties				
8	Net short-term capital gain (loss)				
9a	Net long-term capital gain (loss)		17	Alternative minimum tax (AMT) items	
9b	Collectibles (28%) gain (loss)				
9c	Unrecaptured section 1250 gain				
10	Net section 1231 gain (loss)		18	Tax-exempt income and nondeductible expenses	
11	Other income (loss)		C*		75
			19	Distributions	
12	Section 179 deduction				
13	Other deductions		20	Other information	
14	Self-employment earnings (loss)				
A		6,157			
C		14,795			

*See attached statement for additional information.

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For Paperwork Reduction Act Notice, see Instructions for Form 1065. IRS.gov/form1065 Schedule K-1 (Form 1065) 2014

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This list identifies the codes used on Schedule K-1 for all partners and provides summarized reporting information for partners who file Form 1040. For detailed reporting and filing information, see the separate Partner's Instructions for Schedule K-1 and the Instructions for your income tax return.

1. Ordinary business income (loss). Determine whether the income (loss) is passive or nonpassive and enter on your return as follows.

	Report on
Passive loss	See the Partner's Instructions
Passive income	Schedule E, line 28, column (g)
Nonpassive loss	Schedule E, line 28, column (h)
Nonpassive income	Schedule E, line 28, column (j)

		Report on
2.	**Net rental real estate income (loss)**	See the Partner's Instructions
3.	**Other net rental income (loss)**	
	Net income	Schedule E, line 28, column (g)
	Net loss	See the Partner's Instructions
4.	**Guaranteed payments**	Schedule E, line 28, column (j)
5.	**Interest income**	Form 1040, line 8a
6a.	**Ordinary dividends**	Form 1040, line 9a
6b.	**Qualified dividends**	Form 1040, line 9b
7.	**Royalties**	Schedule E, line 4
8.	**Net short-term capital gain (loss)**	Schedule D, line 5
9a.	**Net long-term capital gain (loss)**	Schedule D, line 12
9b.	**Collectibles (28%) gain (loss)**	28% Rate Gain Worksheet, line 4 (Schedule D instructions)
9c	**Unrecaptured section 1250 gain**	See the Partner's Instructions
10.	**Net section 1231 gain (loss)**	See the Partner's Instructions
11.	**Other income (loss)**	

Code		
A	Other portfolio income (loss)	See the Partner's Instructions
B	Involuntary conversions	See the Partner's Instructions
C	Sec. 1256 contracts & straddles	Form 6781, line 1
D	Mining exploration costs recapture	See Pub. 535
E	Cancellation of debt	Form 1040, line 21 or Form 982
F	Other income (loss)	See the Partner's Instructions

12.	**Section 179 deduction**	See the Partner's Instructions
13.	**Other deductions**	
A	Cash contributions (50%)	See the Partner's Instructions
B	Cash contributions (30%)	See the Partner's Instructions
C	Noncash contributions (50%)	See the Partner's Instructions
D	Noncash contributions (30%)	See the Partner's Instructions
E	Capital gain property to a 50% organization (30%)	See the Partner's Instructions
F	Capital gain property (20%)	See the Partner's Instructions
G	Contributions (100%)	
H	Investment interest expense	Form 4952, line 1
I	Deductions—royalty income	Schedule E, line 19
J	Section 59(e)(2) expenditures	See the Partner's Instructions
K	Deductions—portfolio (2% floor)	Schedule A, line 23
L	Deductions—portfolio (other)	Schedule A, line 28
M	Amounts paid for medical insurance	Schedule A, line 1 or Form 1040, line 29
N	Educational assistance benefits	See the Partner's Instructions
O	Dependent care benefits	Form 2441, line 12
P	Preproductive period expenses	See the Partner's Instructions
Q	Commercial revitalization deduction from rental real estate activities	See Form 8582 instructions
R	Pensions and IRAs	See the Partner's Instructions
S	Reforestation expense deduction	See the Partner's Instructions
T	Domestic production activities information	See Form 8903 instructions
U	Qualified production activities income	Form 8903, line 7b
V	Employer's Form W-2 wages	Form 8903, line 17
W	Other deductions	See the Partner's Instructions
14.	**Self-employment earnings (loss)**	

Note. If you have a section 179 deduction or any partner-level deductions, see the Partner's Instructions before completing Schedule SE.

A	Net earnings (loss) from self-employment	Schedule SE, Section A or B
B	Gross farming or fishing income	See the Partner's Instructions
C	Gross non-farm income	See the Partner's Instructions
15.	**Credits**	
A	Low-income housing credit (section 42(j)(5)) from pre-2008 buildings	See the Partner's Instructions
B	Low-income housing credit (other) from pre-2008 buildings	See the Partner's Instructions
C	Low-income housing credit (section 42(j)(5)) from post-2007 buildings	See the Partner's Instructions
D	Low-income housing credit (other) from post-2007 buildings	See the Partner's Instructions
E	Qualified rehabilitation expenditures (rental real estate)	See the Partner's Instructions
F	Other rental real estate credits	See the Partner's Instructions
G	Other rental credits	See the Partner's Instructions
H	Undistributed capital gains credit	Form 1040, line 73; check box a
I	Biofuel producer credit	See the Partner's Instructions
J	Work opportunity credit	See the Partner's Instructions
K	Disabled access credit	See the Partner's Instructions
L	Empowerment zone employment credit	See the Partner's Instructions
M	Credit for increasing research activities	See the Partner's Instructions
N	Credit for employer social security and Medicare taxes	See the Partner's Instructions
O	Backup withholding	See the Partner's Instructions
P	Other credits	See the Partner's Instructions
16.	**Foreign transactions**	
A	Name of country or U.S. possession	Form 1116, Part I
B	Gross income from all sources	Form 1116, Part I
C	Gross income sourced at partner level	Form 1116, Part I
	Foreign gross income sourced at partnership level	
D	Passive category	Form 1116, Part I
E	General category	Form 1116, Part I
F	Other	Form 1116, Part I
	Deductions allocated and apportioned at partner level	
G	Interest expense	Form 1116, Part I
H	Other	Form 1116, Part I
	Deductions allocated and apportioned at partnership level to foreign source income	
I	Passive category	Form 1116, Part I
J	General category	Form 1116, Part I
K	Other	Form 1116, Part I
	Other information	
L	Total foreign taxes paid	Form 1116, Part II
M	Total foreign taxes accrued	Form 1116, Part II
N	Reduction in taxes available for credit	Form 1116, line 12
O	Foreign trading gross receipts	Form 8873
P	Extraterritorial income exclusion	Form 8873
Q	Other foreign transactions	See the Partner's Instructions
17.	**Alternative minimum tax (AMT) items**	
A	Post-1986 depreciation adjustment	See the Partner's Instructions and the Instructions for Form 6251
B	Adjusted gain or loss	See the Partner's Instructions and the Instructions for Form 6251
C	Depletion (other than oil & gas)	See the Partner's Instructions and the Instructions for Form 6251
D	Oil, gas, & geothermal—gross income	See the Partner's Instructions and the Instructions for Form 6251
E	Oil, gas, & geothermal—deductions	See the Partner's Instructions and the Instructions for Form 6251
F	Other AMT items	See the Partner's Instructions and the Instructions for Form 6251
18.	**Tax-exempt income and nondeductible expenses**	
A	Tax-exempt interest income	Form 1040, line 8b
B	Other tax-exempt income	See the Partner's Instructions
C	Nondeductible expenses	See the Partner's Instructions
19.	**Distributions**	
A	Cash and marketable securities	See the Partner's Instructions
B	Distribution subject to section 737	See the Partner's Instructions
C	Other property	See the Partner's Instructions
20.	**Other information**	
A	Investment income	Form 4952, line 4a
B	Investment expenses	Form 4952, line 5
C	Fuel tax credit information	Form 4136
D	Qualified rehabilitation expenditures (other than rental real estate)	See the Partner's Instructions
E	Basis of energy property	See the Partner's Instructions
F	Recapture of low-income housing credit (section 42(j)(5))	Form 8611, line 8
G	Recapture of low-income housing credit (other)	Form 8611, line 8
H	Recapture of investment credit	See Form 4255
I	Recapture of other credits	See the Partner's Instructions
J	Look-back interest—completed long-term contracts	See Form 8697
K	Look-back interest—income forecast method	See Form 8866
L	Dispositions of property with section 179 deductions	See the Partner's Instructions
M	Recapture of section 179 deduction	See the Partner's Instructions
N	Interest expense for corporate partners	See the Partner's Instructions
O	Section 453(l)(3) information	See the Partner's Instructions
P	Section 453A(c) information	See the Partner's Instructions
Q	Section 1260(b) information	See the Partner's Instructions
R	Interest allocable to production expenditures	See the Partner's Instructions
S	CCF nonqualified withdrawals	See the Partner's Instructions
T	Depletion information—oil and gas	See the Partner's Instructions
U	Reserved	See the Partner's Instructions
V	Unrelated business taxable income	See the Partner's Instructions
W	Precontribution gain (loss)	See the Partner's Instructions
X	Section 108(i) information	See the Partner's Instructions
Y	Net investment income	See the Partner's Instructions
Z	Other information	See the Partner's Instructions

This list identifies the codes used on Schedule K-1 for all partners and provides summarized reporting information for partners who file Form 1040. For detailed reporting and filing information, see the separate Partner's Instructions for Schedule K-1 and the instructions for your income tax return.

1. Ordinary business income (loss). Determine whether the income (loss) is passive or nonpassive and enter on your return as follows.

	Report on
Passive loss	See the Partner's Instructions
Passive income	Schedule E, line 28, column (g)
Nonpassive loss	Schedule E, line 28, column (h)
Nonpassive income	Schedule E, line 28, column (j)
2. Net rental real estate income (loss)	See the Partner's Instructions
3. Other net rental income (loss)	
Net income	Schedule E, line 28, column (g)
Net loss	See the Partner's Instructions
4. Guaranteed payments	Schedule E, line 28, column (j)
5. Interest income	Form 1040, line 8a
6a. Ordinary dividends	Form 1040, line 9a
6b. Qualified dividends	Form 1040, line 9b
7. Royalties	Schedule E, line 4
8. Net short-term capital gain (loss)	Schedule D, line 5
9a. Net long-term capital gain (loss)	Schedule D, line 12
9b. Collectibles (28%) gain (loss)	28% Rate Gain Worksheet, line 4 (Schedule D instructions)
9c. Unrecaptured section 1250 gain	See the Partner's Instructions
10. Net section 1231 gain (loss)	See the Partner's Instructions
11. Other income (loss)	
Code	
A Other portfolio income (loss)	See the Partner's Instructions
B Involuntary conversions	See the Partner's Instructions
C Sec. 1256 contracts & straddles	Form 6781, line 1
D Mining exploration costs recapture	See Pub. 535
E Cancellation of debt	Form 1040, line 21 or Form 982
F Other income (loss)	See the Partner's Instructions
12. Section 179 deduction	See the Partner's Instructions
13. Other deductions	
A Cash contributions (50%)	See the Partner's Instructions
B Cash contributions (30%)	See the Partner's Instructions
C Noncash contributions (50%)	See the Partner's Instructions
D Noncash contributions (30%)	See the Partner's Instructions
E Capital gain property to a 50% organization (30%)	See the Partner's Instructions
F Capital gain property (20%)	See the Partner's Instructions
G Contributions (100%)	
H Investment interest expense	Form 4952, line 1
I Deductions—royalty income	Schedule E, line 19
J Section 59(e)(2) expenditures	See the Partner's Instructions
K Deductions—portfolio (2% floor)	Schedule A, line 23
L Deductions—portfolio (other)	Schedule A, line 28
M Amounts paid for medical insurance	Schedule A, line 1 or Form 1040, line 29
N Educational assistance benefits	See the Partner's Instructions
O Dependent care benefits	Form 2441, line 12
P Preproductive period expenses	See the Partner's Instructions
Q Commercial revitalization deduction from rental real estate activities	See Form 8582 instructions
R Pensions and IRAs	See the Partner's Instructions
S Reforestation expense deduction	See the Partner's Instructions
T Domestic production activities information	See Form 8903 instructions
U Qualified production activities income	Form 8903, line 7b
V Employer's Form W-2 wages	Form 8903, line 17
W Other deductions	See the Partner's Instructions
14. Self-employment earnings (loss)	

Note. If you have a section 179 deduction or any partner-level deductions, see the Partner's Instructions before completing Schedule SE.

	Report on
A Net earnings (loss) from self-employment	Schedule SE, Section A or B
B Gross farming or fishing income	See the Partner's Instructions
C Gross non-farm income	See the Partner's Instructions
15. Credits	
A Low-income housing credit (section 42(j)(5)) from pre-2008 buildings	See the Partner's Instructions
B Low-income housing credit (other) from pre-2008 buildings	See the Partner's Instructions
C Low-income housing credit (section 42(j)(5)) from post-2007 buildings	See the Partner's Instructions
D Low-income housing credit (other) from post-2007 buildings	See the Partner's Instructions
E Qualified rehabilitation expenditures (rental real estate)	See the Partner's Instructions
F Other rental real estate credits	See the Partner's Instructions
G Other rental credits	See the Partner's Instructions
H Undistributed capital gains credit	Form 1040, line 73; check box a
I Biofuel producer credit	See the Partner's Instructions
J Work opportunity credit	See the Partner's Instructions
K Disabled access credit	See the Partner's Instructions
L Empowerment zone employment credit	See the Partner's Instructions
M Credit for increasing research activities	See the Partner's Instructions
N Credit for employer social security and Medicare taxes	See the Partner's Instructions
O Backup withholding	See the Partner's Instructions
P Other credits	See the Partner's Instructions
16. Foreign transactions	
A Name of country or U.S. possession	Form 1116, Part I
B Gross income from all sources	Form 1116, Part I
C Gross income sourced at partner level	Form 1116, Part I
Foreign gross income sourced at partnership level	
D Passive category	Form 1116, Part I
E General category	Form 1116, Part I
F Other	Form 1116, Part I
Deductions allocated and apportioned at partner level	
G Interest expense	Form 1116, Part I
H Other	Form 1116, Part I
Deductions allocated and apportioned at partnership level to foreign source income	
I Passive category	Form 1116, Part I
J General category	Form 1116, Part I
K Other	Form 1116, Part I
Other information	
L Total foreign taxes paid	Form 1116, Part II
M Total foreign taxes accrued	Form 1116, Part II
N Reduction in taxes available for credit	Form 1116, line 12
O Foreign trading gross receipts	Form 8873
P Extraterritorial income exclusion	Form 8873
Q Other foreign transactions	See the Partner's Instructions
17. Alternative minimum tax (AMT) items	
A Post-1986 depreciation adjustment	See the Partner's Instructions and the Instructions for Form 6251
B Adjusted gain or loss	See the Partner's Instructions and the Instructions for Form 6251
C Depletion (other than oil & gas)	See the Partner's Instructions and the Instructions for Form 6251
D Oil, gas, & geothermal—gross income	See the Partner's Instructions and the Instructions for Form 6251
E Oil, gas, & geothermal—deductions	See the Partner's Instructions and the Instructions for Form 6251
F Other AMT items	See the Partner's Instructions and the Instructions for Form 6251
18. Tax-exempt income and nondeductible expenses	
A Tax-exempt interest income	Form 1040, line 8b
B Other tax-exempt income	See the Partner's Instructions
C Nondeductible expenses	See the Partner's Instructions
19. Distributions	
A Cash and marketable securities	See the Partner's Instructions
B Distribution subject to section 737	See the Partner's Instructions
C Other property	See the Partner's Instructions
20. Other information	
A Investment income	Form 4952, line 4a
B Investment expenses	Form 4952, line 5
C Fuel tax credit information	Form 4136
D Qualified rehabilitation expenditures (other than rental real estate)	See the Partner's Instructions
E Basis of energy property	See the Partner's Instructions
F Recapture of low-income housing credit (section 42(j)(5))	Form 8611, line 8
G Recapture of low-income housing credit (other)	Form 8611, line 8
H Recapture of investment credit	See Form 4255
I Recapture of other credits	See the Partner's Instructions
J Look-back interest—completed long-term contracts	See Form 8697
K Look-back interest—income forecast method	See Form 8866
L Dispositions of property with section 179 deductions	See the Partner's Instructions
M Recapture of section 179 deduction	See the Partner's Instructions
N Interest expense for corporate partners	See the Partner's Instructions
O Section 453(l)(3) information	See the Partner's Instructions
P Section 453A(c) information	See the Partner's Instructions
Q Section 1260(b) information	See the Partner's Instructions
R Interest allocable to production expenditures	See the Partner's Instructions
S CCF nonqualified withdrawals	See the Partner's Instructions
T Depletion information—oil and gas	See the Partner's Instructions
U Reserved	See the Partner's Instructions
V Unrelated business taxable income	See the Partner's Instructions
W Precontribution gain (loss)	See the Partner's Instructions
X Section 108(i) information	See the Partner's Instructions
Y Net investment income	See the Partner's Instructions
Z Other information	See the Partner's Instructions

Federal Statements

Statement 1 - Form 1065, Page 1, Line 20 - Other Deductions

Description		Amount
ADVERTISING	$	3,758
BANK AND CREDIT CARD CHARGES		93
COMMISSIONS		55,162
AUTO		3,990
OFFICE SUPPLIES		3,728
POSTAGE		325
PROFESSIONAL FEES		1,750
UTILITIES		1,993
WEBSITE		1,501
MEALS AND ENTERTAIN (50%)		748
AMORTIZATION		1,466
TOTAL	$	74,514

Statement 2 - Form 1065, Schedule K, Line 18c - Nondeductible Expenses

Description		Amount
NONDEDUCTIBLE MEALS AND ENTERTAINMENT	$	747
TOTAL	$	747

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

533900 National Utilities Refund

Federal Statements

Vincent DiMaio

Schedule K-1, Line 18 - Tax-Exempt Income and Nondeductible Expenses

Code	Description	Amount
C	NONDEDUCTIBLE MEALS AND ENTERTAINMENT	$ 672

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SECRETARY'S BUREAU

Federal Statements
Ralph DiMaio

Schedule K-1, Line 18 - Tax-Exempt Income and Nondeductible Expenses

Code	Description	Amount
C	NONDEDUCTIBLE MEALS AND ENTERTAINMENT	$ 75

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

533900

Year Ending: December 31, 2014

National Utilities Refund
6216 Vista Terrace
Orefield, PA 18069

Section 1.263(a)-3(h) Safe Harbor Election for Small Taxpayers

Under Regulation 1.263(a)-3(h), the taxpayer elects the small taxpayer safe harbor election to deduct the costs of repairs, maintenance, improvements, and similar activities performed on the following eligible building(s).

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Schedule K-1 Percentages Summary Worksheet

Form **1065** | For calendar year 2014, or tax year beginning , and ending | **2014**

Partnership Name

NATIONAL UTILITIES REFUND

Partner Number	Partner Name	Profit Beginning	Profit Ending	Loss Beginning	Loss Ending	Capital Beginning	Capital Ending
1	VINCENT DIMAIO	90.000000	90.000000	90.000000	90.000000	90.000000	90.000000
2	RALPH DIMAIO	10.000000	10.000000	10.000000	10.000000	10.000000	10.000000

533900

Reconciliation of Partners' Basis Worksheet

Form **1065**

For calendar year 2014, or tax year beginning , and ending

2014

Partnership Name

NATIONAL UTILITIES REFUND

Partner Number	Partner Name	Beginning Basis	Increases	Distribution in Excess of Basis	Allowed Decreases	Ending Basis
1	VINCENT DIMAIO	0	20,613	0	3,107	17,506
2	RALPH DIMAIO	23,291	3,253	0	75	26,469
	Total this page	23,291	23,866	0	3,182	43,975
	Total all pages	23,291	23,866	0	3,182	43,975

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Form 1065, Page 1, Line 14 - Taxes

Description	Amount
LICENSE AND FEES	$ 75
TOTAL	$ 75

Form 1065, Schedule L, Line 19a - Loans from Partners

Description	Beginning of Year	End of Year
RALPH DIMAIO	$ 17,730	$ 18,930
VINCE DIMAIO	5,377	1,225
TOTAL	$ 23,107	$ 20,155

Form 1065, Schedule L, Line 19b - Mortgage, Notes, Bonds Payable in 1 Yr or More

Description	Beginning of Year	End of Year
	$ 1,200	$ 1,200
TOTAL	$ 1,200	$ 1,200

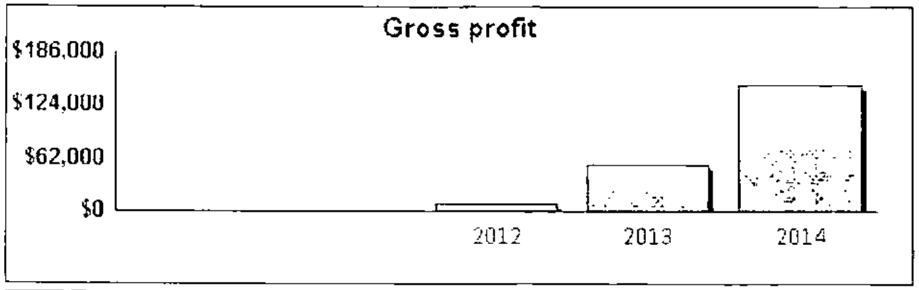
535900

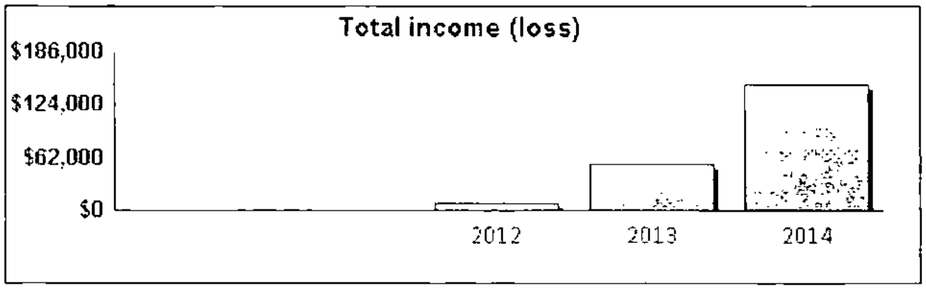
Form 1065	Tax Return History Report, Page 1	2014

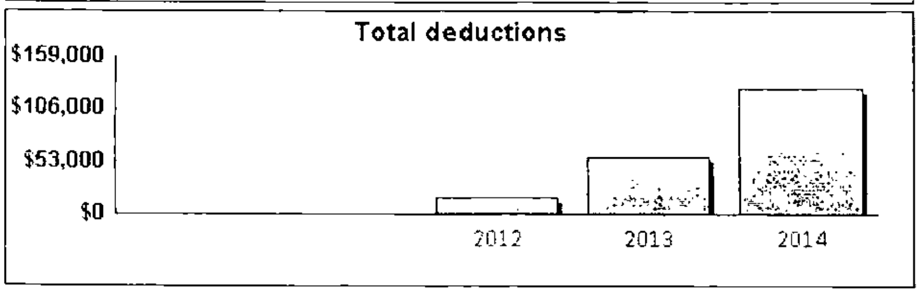
Partnership Name

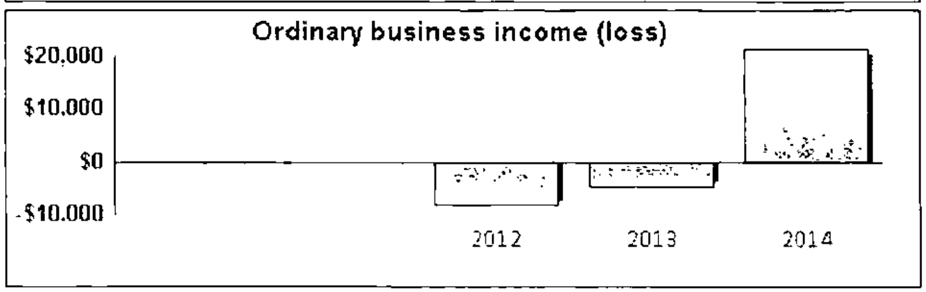
NATIONAL UTILITIES REFUND

			2012	2013	2014
Net gross receipts			8,934	53,729	147,953
Cost of goods sold					
Gross profit			8,934	53,729	147,953
Gross profit percentage			100.0000	100.0000	100.0000
Other trade or business income (loss)					
Total income (loss)			8,934	53,729	147,953
Salaries and wages			7,897	4,514	
Guaranteed payments to partners				22,219	46,588
Bad debts					
Rent				5,750	4,950
Taxes and licenses			1,009	665	75
Interest			71		
Depreciation					
Other trade or business deductions			8,087	25,049	74,770
Total deductions			17,064	58,197	126,383
Ordinary business income (loss)			-8,130	-4,468	21,570









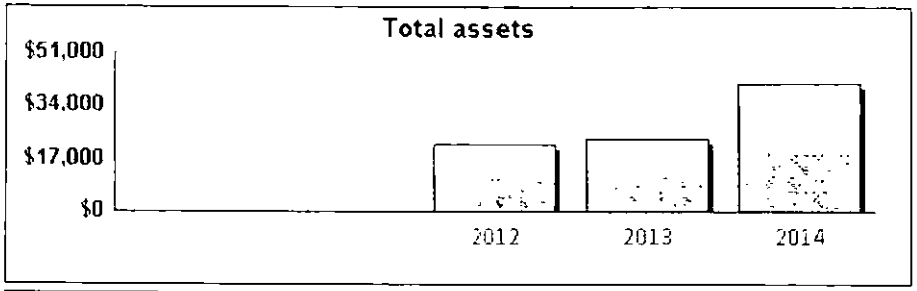
Form 1065	Tax Return History Report, Page 2	2014

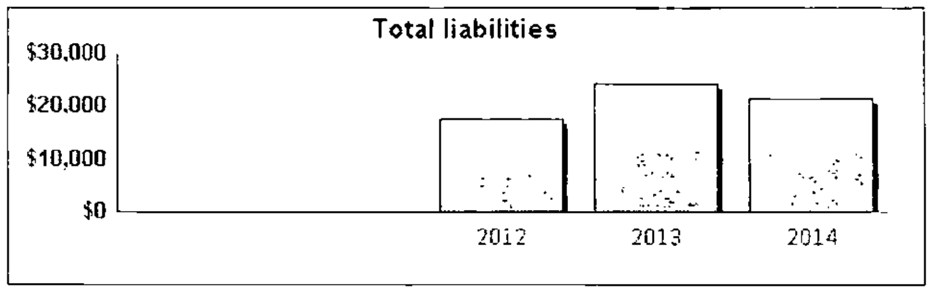
Partnership Name

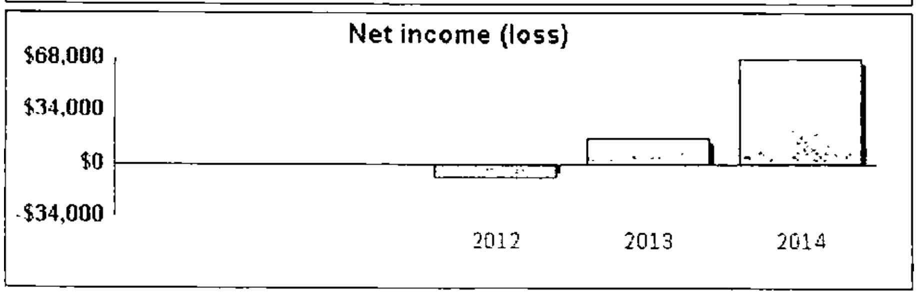
Employer Identification Number

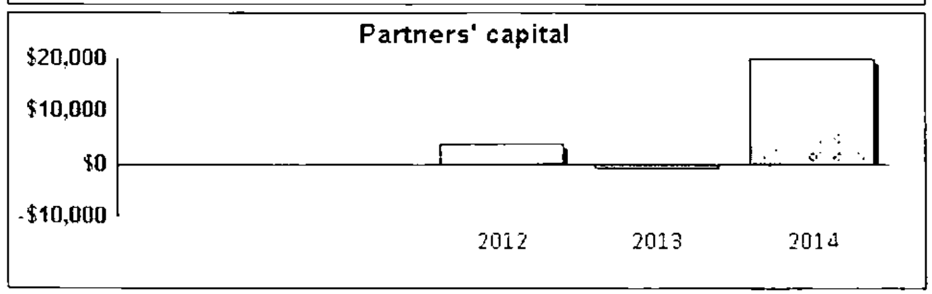
NATIONAL UTILITIES REFUND

			2012	2013	2014
Ordinary business income (loss)			-8,130	-4,468	21,570
Net rental real estate income (loss)					
Other net rental income (loss)					
Guaranteed payments				22,219	46,588
Interest, dividends, and royalties					
Total capital gain (loss)					
Net section 1231 gain (loss)					
Other income (loss)					
Section 179 deduction				1,505	
Contributions					
Other Schedule K deductions					
Total foreign taxes					
Net income (loss)			-8,130	16,246	68,158
Schedule L, Total assets			21,606	23,561	41,432
Schedule L, Total liabilities			17,741	24,307	21,355
Schedule M-2, Capital contributed			13,500		
Schedule M-2, Net income per books			-9,635	-4,611	20,823
Schedule M-2, Distributions					
Schedule M-2, Ending partners' capital			3,865	-746	20,077









Form 1065 Return Summary

For calendar year 2014, or tax year beginning ____________, and ending ____________

NATIONAL UTILITIES REFUND

Ordinary Business Income (Loss)		
Total income	147,953	
Total deductions	(126,383)	
Ordinary Business Income (Loss)		21,570
Analysis of Net Income (Loss), Line 1		
Ordinary business income (loss)	21,570	
Net rental real estate income (loss)		
Other net rental income (loss)		
Guaranteed payments	46,588	
Interest income		
Ordinary dividends		
Royalties		
Net short-term capital gain (loss)		
Net long-term capital gain (loss)		
Net section 1231 gain (loss)		
Other income (loss)		
Section 179 deduction	( )	
Contributions	( )	
Investment interest expense	( )	
Section 59(e)(2) expenditures	( )	
Other deductions	( )	
Total foreign taxes paid / accrued	( )	
Analysis of Net Income (Loss), Line 1		68,158

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Form 8804 - Foreign Partner Withholding		
Total number of foreign partners		
Effectively connected taxable income		
Total withholding tax		
Payments	( )	
Estimated tax penalty		
Withholding Tax Due (Overpaid)		0

Analysis of Net Income (Loss)

Analysis, line 1	68,158
Analysis, line 2	68,158
Difference	0

Schedule L	Beginning of Year	End of Year
Assets	23,561	41,432
Liabilities	24,307	21,355
Capital	-746	20,077
Liabilities and capital	23,561	41,432
Difference	0	0

Analysis of Net Income (Loss) and M-1/M-3 Reconciliation

Analysis, line 1	68,158
Schedule M-1, line 9	68,158
Schedule M-3, page 2, line 26(d)	
Difference	0

Partners' Capital	Schedule M-2	Schedule K-1
Beginning balance	-746	-746
Contributions	0	0
Lines 3, 4, and 7	20,823	20,823
Distributions	(0)	(0)
Ending balance	20,077	20,077

Appendix C

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

Example
CERTIFICATE OF SERVICE

On this the _____ day of ___________ 20__, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all **NON-CONFIDENTIAL** attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2 West
Harrisburg, PA 17120

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Small Business Advocate
Commerce Building, Suite 202
300 North Second Street
Harrisburg, PA 17101

Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

Director of Customer Energy Services
Orange and Rockland Company
390 West Route 59
Spring Valley, NY 10977-5300

Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Legal Department
Attn: Paul Russell
PPL
Two North Ninth Street
Allentown, PA 18108-1179

UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
2525 N. 12th Street, Suite 360
Post Office Box 12677
Reading, Pa 19612-2677

Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901

Vincent DiMaio, President, National Utilities Refund LLC

ORIGIN ID:NESA (800) 292-0909
DAVID YOUNT
DAVID YOUNT
140 GRAND STREET
SUITE 300
WHITE PLAINS, NY 10601
UNITED STATES US

SHIP DATE: 04NOV16
ACTWGT: 0.10 LB
CAD: 103000896/INET3790

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TO **PENNSYLVANIA PUBLIC UTILITY COMMISS**
COMMISSION SECRETARY
KEYSTONE BUILDING
2ND FLOOR, ROOM N201
HARRISBURG PA 17120
(800) 692-7380 REF NATIONAL UTILITIES REFUND (PA)
INV
PO DEPT

544J2/2506/14EB

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3. Place label in shipping pouch and affix it to your shipment so that the barcode portion of the label can be read and scanned.

Warning: Use only the printed original label for shipping. Using a photocopy of this label for shipping purposes is fraudulent and could result in additional billing charges, along with the cancellation of your FedEx account number.
Use of this system constitutes your agreement to the service conditions in the current FedEx Service Guide, available on fedex.com.FedEx will not be responsible for any claim in excess of $100 per package, whether the result of loss, damage, delay, non-delivery,misdelivery,or misinformation, unless you declare a higher value, pay an additional charge, document your actual loss and file a timely claim.Limitations found in the current FedEx Service Guide apply. Your right to recover from FedEx for any loss, including intrinsic value of the package, loss of sales, income interest, profit, attorney's fees, costs, and other forms of damage whether direct, incidental,consequential, or special is limited to the greater of $100 or the authorized declared value. Recovery cannot exceed actual documented loss.Maximum for items of extraordinary value is $1,000, e.g. jewelry precious metals, negotiable instruments and other items listed in our ServiceGuide. Written claims must be filed within strict time limits, see current FedEx Service Guide.