

Docket No. A-2016-2569778
Yes Energy Inc.
Data Request

1. Reference Application, Section 5, Compliance – Applicant stated NONE in response to Items 5a and 5c. If the Applicant has no proceedings to list, Applicant must explicitly state such. Please provide a revised page 9 of your application.
2. Reference Application, Section 7.a, Financial Fitness – Applicant provided a letter of credit with outdated language. Please provide an updated letter of credit.

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NOV 17 2016

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

5. COMPLIANCE

- a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

APPLICANT HAS NO PROCEEDINGS TO LIST

- b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

N/A

- c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. If the Applicant has no actions or complaints to list, explicitly state such.

APPLICANT HAS NO ACTIONS OF COMPLAINTS TO LIST

- d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

N/A

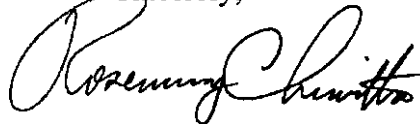
Your answers should be verified per 52 Pa Code § 1.36. Accordingly, you must provide the following statement with your responses:

I, ILA.M.PATEL, hereby state that the facts above set forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa. C.S. § 4904 (relating to unsworn falsification to authorities). *Elai M. Patel.*

The blank should be filled in with the name of the appropriate company representative, and the signature of that representative should follow the statement.

In addition, to expedite completion of the application, please also e-mail the information to Yasmin Snowberger at ysnowberge@pa.gov. Please direct any questions to Yasmin Snowberger, Bureau of Technical Utility Services, at ysnowberge@pa.gov (preferred) or (717) 425-5540.

Sincerely,



Rosemary Chiavetta
Secretary

Enclosure

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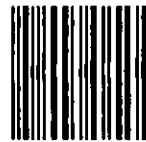
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SECRETARY'S BUREAU



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CUSTOMER USE ONLY

FROM: (PLEASE PRINT) PHONE (215) 538-5230

Yes Energy Inc
139 Red Barn Lane
Quakertown, PA 18951



EL 560841355 US

PAYMENT BY ACCOUNT (if applicable)
SPS* Corporate Acct. No. Federal Agency Acct. No. or Postal Service™ Acct. No.

DELIVERY OPTIONS (Customer Use Only)

SIGNATURE REQUIRED Note: The mailer must check the "Signature Required" box if the mailer: 1) requires the addressee's signature; OR 2) Purchases additional insurance; OR 3) Purchases COO service; OR 4) purchases Return Receipt service. If the box is not checked, the Postal Service will leave the item in the addressee's mail receptacle or other secure location without attempting to obtain the addressee's signature on delivery.

Delivery Options

- No Saturday Delivery (delivered next business day)
 - Sunday/Holiday Delivery Required (additional fee, where available*)
 - 10:30 AM Delivery Required (additional fee, where available*)
- *Refer to USPS.com® or local Post Office™ for availability.

TO: (PLEASE PRINT) PHONE ()

ROSEMARY
CHIAVETTA
PA Public Utility Commission
400 North Street
Harrisburg, PA
ZIP + 4® (U.S. ADDRESSES ONLY)

17120

ORIGIN (PSN)

1-Day

PD ZIP Code

189

Date Accepted (M)

11-1

Time Accepted

330

Weight

lbs.

DELIVERY (PK)

Delivery Attempt (1)

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CMPC

To: CHIAVETA, R. PUC

Agency PUC

Floor:

External Carrier: EXPRESS

11/18/2016 9:52:18 AM



EL560841355US

- For pickup or USPS Tracking™, visit USPS.com or call 800-222-1811.
- \$100.00 Insurance included.

Delivery Attempt (MM/DD/YY) Time AM PM Employee Signature