

CAPTION SHEET

CASE MANAGEMENT SYSTEM

1. REPORT DATE: 00/00/00
2. BUREAU: ALJ
3. SECTION(S):
5. APPROVED BY:
DIRECTOR:
SUPERVISOR:
6. PERSON IN CHARGE:
8. DOCKET NO: Z-0110599

4. PUBLIC MEETING DATE:
00/00/00
7. DATE FILED: 09/09/02
9. EFFECTIVE DATE: 00/00/00

PARTY/COMPLAINANT: YAP, LEONARD
RESPONDENT/APPLICANT: PPL ELECTRIC UTILITIES CORP
COMP/APP COUNTY: UTILITY CODE: 110500

ALLEGATION OR SUBJECT

COMPLAINANT STATES HE REQUESTED FINANCIAL ASSISTANCE FROM THE PUC BECAUSE HE IS EXPERIENCING GREAT DIFFICULTY IN PAYING HIS OUTSTANDING ELECTRIC BILL TO PPL. HE WOULD LIKE THE PUC TO REDUCE THE ORIGINAL \$157 BUDGET AMOUNT TO A LOWER AMOUNT AS SMALL AS POSSIBLE.

DOCUMENT
FOLDER

DOCKETED
SEP 11 2002

FORMAL COMPLAINT FORM

Pennsylvania Public Utility Commission

9/16/02

ORIGINAL
Please Print

2-01110599
110500

ST 1110549
RECEIVED

1. Your Name, Mailing Address and Telephone Number.

Name LEONARD YAP

SEP 09 2002

Street/P.O. Box 144 LINDFIELD CIRCLE Apt/PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

City MACUNGIE State PA Zip 18062

County LEHIGH VALLEY Home Telephone-Area Code (610) 966-0567
Work Telephone-Area Code (212) 681-0011

2. Name of Company your complaint concerns: PPL ELECTRIC UTILITIES

3. What is your complaint?

I had requested financial assistance from PUC because I am experiencing great difficulty in paying my outstanding electric bill to PPL. In July 2002, I received a letter from PUC which stated that I should pay a budget amount each month, of \$157⁰⁰

My complaint is that I still cannot afford to pay so much. This is too expensive for me because I am the only person working in the family. With one income in a family of four, the bills are insurmountable and so overwhelming

that we are barely getting by.

I can provide proof of the bills if necessary.

4. What do you want the Public Utility Commission to do about your complaint?

I would like the PUC to reduce the original
\$157⁰⁰ budget amount to a lower amount
as small as possible. Also please take into
consideration, the fact that I have an asthmatic
daughter who relies on a electric nebulizer
machine to breath easier when she
has an asthma attack.

(If you need more space, use additional paper and attach to this form.)

5. You must sign and date your complaint below.

The information I have placed on this form is true and correct to the best of my knowledge. I understand that I could be punished under Pennsylvania State Law if I purposely give false information.

Leonard Yap
Original Signature of complaining person

9/8/02
Date

6. If you are represented by a lawyer you must provide your lawyer's name, address and telephone number.

Lawyer's Name _____

Street _____

City _____ State _____ Zip _____

Telephone Number-Area Code (_____) _____

Tunally

NOTIFICATION OF INTENT TO APPEAL
(Request For Formal Complaint Forms)

Notice to Customer:

If you sign and return this form, you are notifying the Public Utility Commission that you intend to appeal this informal complaint decision. Do not return this form unless you want to appeal this decision.

If you want to appeal this decision, you must return this Notification of Intent to Appeal form within 20 days of 7/31/2002. The Commission will send you formal complaint forms.

You must comply with the terms of this decision until the Public Utility Commission completes the formal complaint process. You must make all of the required payments or the utility company may pursue the termination of your service.

Thank You
Pennsylvania Public Utility Commission

Yes, I want to appeal the decision of the Bureau of Consumer Services. Please send formal complaint forms to me at the following address:

Customer name and address:
(Please correct any mistakes.)

LEONARD YAP
144 LINDFIELD CIRCLE
MACUNGIE PA 18062

610 966 0567
(Area Code) Telephone Number

Leonard Yap.
Signature

Mail this completed form to:

Secretary
Pennsylvania Public Utility Commission
P. O. Box 3265
Harrisburg, PA 17105-3265

ST Number:	1110599	Date of mailing:	7/31/2002
Company:	PPL UTILITIES	<i>8/15/02</i>	

RECEIVED
REVISED 12/97

AUG 15 2002

PA PUBLIC UTILITY COMMISSIO
SECRETARY'S BUREAU

86



COMMONWEALTH OF PENNSYLVANIA
PENNSYLVANIA PUBLIC UTILITY COMMISSION
P.O. BOX 3265, HARRISBURG, PA 17105-3265

9/10/02

IN REPLY PLEASE
REFER TO OUR FILE

August 21, 2002

ST 1110599

LEONARD YAP
144 LINDFIELD CIRCLE
MACUNGIE PA 18062

Dear Sir/Madam.

We have received your request to appeal the decision of the Bureau of Consumer Services.

We have enclosed one complaint form for you to complete. Please read carefully the instructions to help you complete the form.

**** Please make sure you sign the form. We must receive your original signature in order for us to process your complaint. Your form will be returned to you if an original signature is not received.**

Return the form to us on or before September 10, 2002 to the address listed below:

James J. McNulty, Secretary
Pennsylvania Public Utility Commission
P.O. Box 3265
Harrisburg, PA 17105-3265

While you wait for us to reach a decision on your complaint, you must pay all undisputed bills (ones that are not a part of your complaint). As long as you pay all undisputed bills and return these formal complaint forms to us on time, the company is not permitted to terminate your service.

Commission Procedures for Formal Complaints

- We send a copy of this letter to the company so they know you are appealing the Bureau of Consumer Services' decision;
- We also send the company a copy of your completed formal complaint forms. Once they receive it, they have 20 days to send us an answer to your complaint. The company will send you a copy of their answer.
- Once we receive all the paperwork, we usually will schedule your hearing before an Administrative Law Judge.

- We will notify both you and the company by mail when the hearing date is set.
- If you cannot travel to your hearing, you can request that the hearing be held by phone. This is called a telephonic hearing. If we can, we will schedule a telephonic hearing for you.
- We will most likely schedule your hearing sometime within three months after you file your complaint forms. If you know of certain dates when you will not be available for a hearing, let us know when you file your forms. We will try to work around your schedule.
- If you cannot attend the hearing on the scheduled date, you must request a different time or date. You should request the change at least 5 days before your hearing by writing to:

Office of Administrative Law Judge
Public Utility Commission
P.O. Box 3265
Harrisburg, PA 17105-3265

YOU SHOULD INCLUDE YOUR DAYTIME TELEPHONE NUMBER IN YOUR LETTER. DEPENDING ON YOUR REASON FOR NOT BEING ABLE TO ATTEND THE SCHEDULED HEARING, YOUR REQUEST TO CHANGE THE HEARING MAY OR MAY NOT BE APPROVED. WE WILL LET YOU KNOW OUR DECISION ON YOUR REQUEST FOR CHANGING THE HEARING DATE BEFORE THE DATE OF THE HEARING.

YOU MUST ATTEND SCHEDULED HEARINGS IN PERSON OR BY PHONE. IF YOU DO NOT ATTEND, YOUR COMPLAINT MAY BE DISMISSED (THROWN OUT).

If you have any questions about the complaint process, please call the Bureau of Consumer Services, toll free, at 1-800-782-1110.

Very truly yours,


James J. McNulty
Secretary

nvl

CC: PPL UTILITIES

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

DATE SERVED: SEPTEMBER 11, 2002

LEONARD YAP
Complainant

VS.

PPL ELECTRIC UTILITIES
CORPORATION
Respondent

Complaint Docket
No: Z-01110599

DOCUMENT
FOLDER
DOCKETED
SEP 11 2002

FORMAL COMPLAINT NOTICE TO RESPONDENT TO ANSWER OR SATISFY

TO: PPL ELECTRIC UTILITIES CORPORATION

TAKE NOTICE:

That a complaint in the above entitled matter, of which the attached is a true and correct copy, has been presented and filed of record with the Pennsylvania Public Utility Commission. Section 702 of the Public Utility Code, 66 Pa. C.S. Section 702, requires the Commission to serve on each party named in a complaint a copy of the complaint and notice calling upon each party to satisfy the complaint, or to answer the same in writing within a specified time; THEREFORE,

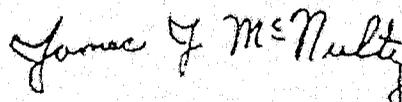
1. You have twenty (20) days from the date on which this complaint is served to either satisfy this complaint or to file with the Secretary of the Pennsylvania Public Utility Commission, P. O. Box 3265, Harrisburg, PA 17120, an answer (original and two copies), in writing, under oath, which, as required by Section 5.61 of the Commission's Rules of Practice and Procedure, 52 Pa. Code Section 5.61, either affirms or specifically denies the allegations in this complaint. You must also serve a copy of the answer upon the complainant. The date of service is the mailing date as indicated by the date at the top of this Notice. Section 1.56(a) of the Commission's Rules of Practice and Procedure, 52 Pa. Code Section 1.56(a).

2. If you fail to either satisfy this complaint or to file answer or other responsive pleading within twenty (20) days, you will be deemed to have admitted all the allegations in this complaint in accordance with Section 5.61 of the Commission's Rules of Administrative Practice and Procedure, 52 Pa. Code Section 5.61. In that event, the Commission may, without hearing, enter an order which either revokes or suspends any certificate or permit held by you or which imposes a fine or any other appropriate penalty or remedy authorized by the Public Utility Code, 66 Pa. C.S. Section 101. et seq., and, if you are a customer of a utility, an order may be entered which prescribes a payment schedule or which authorizes termination of utility services. The Commission is not limited to the relief sought by the complainant in paragraph 4 of the attached complaint.

3 If you elect to satisfy this complaint you must file, within twenty (20) days from the date on which this complaint is served, affidavits executed by each complainant that this complaint has been satisfied. Such affidavits must describe the basis on which this complaint was satisfied; any settlement agreement between the parties must be reduced to writing and attached to the affidavit. Such affidavits are to be filed with the Secretary of the Commission at the address set forth in paragraph 1. Upon receipt of affidavits of satisfaction from all complainants, this complaint may be dismissed by the Commission in accordance with Section 703(a) of the Public Utility Code, 66 Pa. C.S. Section 703(a), unless the Commission determines that such dismissal would be contrary to the public interest, in which event the Commission may direct that hearings be held upon the complaint.

4 If you file an answer which admits the allegations in this complaint, or which fails to specifically deny the allegations in this complaint, the Commission may, without hearing, enter an order which either revokes or suspends any certificate held by you or which imposes a fine or any other appropriate penalty or remedy authorized by the Public Utility Code, 66 Pa. C. S. Section 101, et seq.; and, if you are a customer of a utility, an order may be entered which prescribes a payment schedule or which authorizes termination of utility services. The Commission is not limited to the relief sought by the complainant in paragraph 4 of the attached complaint.

5 If you file a timely answer which specifically denies the allegations in this complaint, or which raises material questions of law or fact, this matter shall be referred to the Office of Administrative Law Judge for hearing and decision. If, after hearing on the issues raised by that answer, you are found to have committed any of the violations alleged in the complaint, the Administrative Law Judge may render a decision which either revokes or suspends any certificate or permit held by you or which imposes a fine or any other appropriate penalty or remedy authorized by the Public Utility Code, 66 Pa. C. S. Section 101, et seq.; and, if you are a customer of a utility, an order may be entered which prescribes a payment schedule or which authorizes termination of utility services. In the imposition of a penalty after a hearing the Administrative Law Judge is not bound by the relief sought by the complainant in paragraph 4 of the attached complaint.



James J. McNulty
Secretary

(SEAL)

Certified Mail
Return Receipt Requested

COMMONWEALTH OF PENNSYLVANIA
PENNSYLVANIA PUBLIC UTILITY COMMISSION
P O BOX 3265, HARRISBURG PA 17105-3265

DATE SERVED: SEPTEMBER 11, 2002

Z-01110599

PPL ELECTRIC UTILITIES CORPORATION
PAUL E RUSSELL GEN COUNSEL
TWO N 9TH ST
ALLENTOWN PA 18101-1179

Dear Sir/Madam

A complaint has been filed against you before the Pennsylvania Public Utility Commission by LEONARD YAP. To defend yourself against the claims stated in the following pages, you must act within twenty (20) days by filing in writing with the Commission, either personally or through your attorney, your defenses or objections to the claims stated against you. Or, you may satisfy the complaint by settling the matter with the Complainant and submitting proof of settlement to the Commission within twenty (20) days.

IF YOU FAIL TO RESPOND WITHIN TWENTY (20) DAYS, THE CASE MAY GO FORWARD IN YOUR ABSENCE AND A JUDGEMENT MAY BE ENTERED AGAINST YOU BY THE COMMISSION WITHOUT FURTHER NOTICE.

CUSTOMER OF A UTILITY

A payment schedule may be prescribed or a termination of utility services may be authorized. You may lose money or property or other rights important to you.

COMPANY/UTILITY

An Administrative Law Judge may revoke or suspend any certificate or permit held by you, or impose a fine, or any other appropriate penalty or remedy authorized by the Public Utility Code. You may lose money or property or other rights important to you.

DOCUMENT
FOLDER

2002

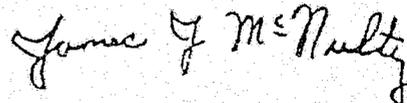
SEPTEMBER 11,

Detailed instructions on how to proceed are contained in the attached pages. You are advised to read them carefully.

Unless you are a corporation or other organization, you may proceed without a lawyer. However, if you want a lawyer and do not have one or cannot afford one, the office listed below can tell you where you can get legal help:

Pennsylvania Lawyer Referral Service
Pennsylvania Bar Association
P O. Box 186
Harrisburg, PA 17108
(800) 692-7375

Very truly yours,



James J. McNulty
Secretary

JH

John F. Gross
Gross McGinley Labarre & Eaton
33 South Seventh Street
Allentown, Pennsylvania 18101
(610) 820-5450

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SRB

OCT 01 2002

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

October 1, 2002

James J. McNulty, Secretary
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street
Harrisburg, Pennsylvania 17120

Leonard Yap v.
PPL Electric Utilities Corporation
Docket No. Z-01110599

Dear Secretary McNulty:

Enclosed for filing in the above-captioned matter are an original and three copies of the "Answer of PPL Electric Utilities Corporation."

Pursuant to 52 Pa. Code § 1.11, the enclosed document is to be deemed filed on October 1, 2002, which is the date it was deposited with an overnight express delivery service as shown on the delivery receipt attached to the mailing envelope.

In addition, please date and time-stamp the enclosed extra copy of this letter and return it to me in the envelope provided.

Very truly yours,


John F. Gross

DOCUMENT
FOLDER

Enclosures

cc. Leonard Yap

ORIGINAL

50

BEFORE THE
PENNSYLVANIA PUBLIC UTILITY COMMISSION

Leonard Yap

v.

PPL Electric Utilities Corporation

Complaint Docket
No. Z-01110599

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OCT 01 2002

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ANSWER OF
PPL ELECTRIC UTILITIES CORPORATION

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

PPL Electric Utilities Corporation (hereafter "PPL"), by its attorney,
hereby answers the Complaint in the above-captioned proceeding as follows:

1. Admitted.
2. Admitted.
3. Denied. PPL denies that it has been unreasonable in billing

Complainant or in any other way in this matter.

By way of further answer, Complainant's current overdue balance is \$1,055.38. Complainant's payments on this account have been sporadic making only 4 payments in 2001 and 6 payments in 2002. PPL has made several reasonable payment arrangements on this account and Complainant has defaulted on all of them.

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PPL denies that Complainant is unable to pay the current budget bill in addition to \$40.00 a month towards the arrearage indicated in the most recent BCS Decision.

PPL does not have sufficient information to either admit or deny Complainant's allegations regarding Complainant's ability to pay. PPL does not have sufficient information to either admit or deny all other allegations in Complainant's Complaint, therefore, all such allegations are denied.

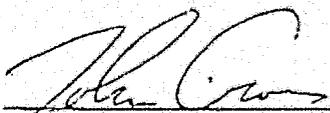
Attached as Exhibit A is a copy of the Bureau of Consumer Services Decision dated July 29, 2002 at Docket Number 1110598.

4. This paragraph constitutes a request for relief to which no answer is required.

WHEREFORE, in view of the foregoing, PPL respectfully requests that the Commission deny the above-captioned Complaint.

Respectfully submitted,

PPL Electric Utilities Corporation
By:



John F. Gross
Its Attorney

Dated: October 1, 2002
at Allentown, Pennsylvania

BEFORE THE
PENNSYLVANIA PUBLIC UTILITY COMMISSION

Leonard Yap

v.

PPL Electric Utilities Corporation

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Complaint Docket
No. Z-01110599

CERTIFICATION OF SERVICE

I hereby certify that I have this day served a true copy of the foregoing document upon the participant(s), listed below, in accordance with the requirements of §1.54 (relating to service by a participant):

Leonard Yap
144 Lindfield Circle
Macungie, PA 18062

Dated this 1st day of October 2002.



John F. Gross

PA. Public Utility Commission
Bureau of Consumer Services

Closing Data

YAP, LEONARD

CASENR: 1110598

ACCOUNTNUMBER: 1022007068

Date Received: 07/29/2002 02:00

CUSTOMERFIRSTNAME: LEONARD

CUSTOMERMIDDLEINITIAL:

CUSTOMERLASTNAME: YAP

SERVADDRESS1: 144 LINDFIELD CIRCLE

SERVADDRESS2:

SERV CITY: MACUNGIE

SERVSTATE: PA

SERVZIP5: 18062

SERVZIP4: 0

BALANCEDATE: 04/04/2002

SERVICERESTOREDPA: \$0.00

UP FRONT AMOUNT: \$0.00

UP FRONT DUE DATE:

TERMS: AUGUST 2002

CURRENTMONTHLYPAYMENT: \$0.00

ENDMONTHLYPAYMENT: \$0.00

HEADDATE:

BILLDATE:

PAYAMOUNT:

RESOLUTION: STRAIGHT PAR-WAIVE LPC'S

LETTERDESCRIPTION: EGW STRAIGHT PAR/NO LPCS/BUDGET +

PARDESCR. YOU ARE ADVISED TO CONTACT THE DEPARTMENT OF PUBLIC WELFARE
OTHER AVAILABLE SOCIAL AGENCIES FOR FINANCIAL ENERGY ASSISTANCE

DECISIONISSUE: Y

ORALWRITTEN: W

VIOLATION: NO

CHAPTER:

SECTIONRULE:

TOTALBALANCE: \$500.51

DATECLOSED: 07/29/2002

SPECIALBUDGETAMOUNT: \$142.00

REGULARBUDGETAMOUNT: \$127.00

ARREARSPAYMENTPLUS: \$15.00

FINALMONTHLYPAYMENT: \$0.00

RECONNECTAMOUNT: 0

BCSINVESTIGATORFNAME: VERNELL

BCSINVESTIGATORLNAME: BRIDELLE

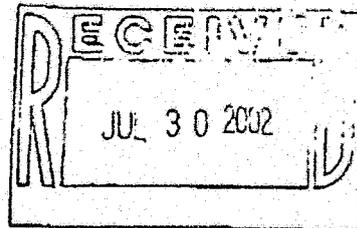
No payments ✓

BB 143 ✓

letter ✓

PIA ✓

Closed Med ✓



3-1

husband - 1280

R- 835

S. Dewar

John F. Gross
Gross McGinley Labarre & Eaton
33 South Seventh Street
Allentown, Pennsylvania 18101
Phone: (610) 820-5450
Fax: (610) 820-6006

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SECRETARY'S BUREAU

2002 OCT 10 AM 9:16

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October 8, 2002

James J. McNulty Secretary
Pennsylvania Public Utility Commission
P. O. Box 3265
Harrisburg, Pennsylvania 17105-3265

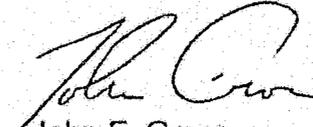
Re: **Leonard Yap v.
PPL Electric Utilities Corporation
Docket No. Z-01110599**

Dear Secretary McNulty:

Enclosed please find for filing in the above-referenced matter an original and three (3) copies of a Certificate of Satisfaction of Complaint under 52 Pa. Code § 5.24(b).

Because all legal and factual issues in this proceeding have been resolved, PPL Electric Utilities Corporation respectfully requests that this docket be terminated.

Very truly yours,


John F. Gross

Enclosures

cc: Mr. Leonard Yap
Ms. Susan Licon

DOCUMENT
FOLDER

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ORIGINAL

BEFORE THE
PENNSYLVANIA PUBLIC UTILITY COMMISSION

Leonard Yap

v.

PPL Electric Utilities Corporation

.....

Complaint Docket
No. Z-01110599

RECEIVED
2002 OCT 10 AM 9:16
SECRETARY'S BUREAU

CERTIFICATE OF SATISFACTION
OF COMPLAINT

DOCUMENT
FOLDER

1. Complainant is Leonard Yap.
2. Respondent is PPL Electric Utilities Corporation (hereafter referred to as "PPL").
3. This Certificate of Satisfaction of Complaint is submitted pursuant to 52 Pa. Code § 5.24(b).
4. PPL hereby certifies that the parties to the above-referenced Formal Complaint, now pending before the Pennsylvania Public Utility Commission, have mutually and voluntarily agreed upon the following terms as full satisfaction of all outstanding legal and factual disputes in this proceeding, and Complainant has acknowledged satisfaction to PPL:

(a) On or before October 3, 2002, Complainant agrees to pay \$140.00.

Beginning with the bill due in or around the end of October 2002, Complainant agrees

DOCUMENT
OCT 11 2002

to pay the current bill plus \$30.00 towards an overdue balance of \$912.38 on Account Number 10220-07068 until said account is paid in full.

(b) PPL agrees to remove Complainant from budget billing beginning with then next billing cycle.

(c) PPL agrees not to apply late payment charges to the amount of the arrearage at Account No. 10220-07068 for as long as the Complainant makes payments as stated in Paragraph 4(a).

(d) PPL agrees not to terminate Complainant's account based on Complainant's arrearage for as long as Complainant makes payments as stated in Paragraph 4(a)

(e) Complainant agrees to withdraw his complaint with prejudice.

WHEREFORE, PPL respectfully requests that the docket be marked closed in this matter.

Respectfully submitted,

PPL Electric Utilities Corporation

By:



John F. Gross

Dated: 10/08/02
at Allentown, Pennsylvania

ORIGINAL

BEFORE THE
PENNSYLVANIA PUBLIC UTILITY COMMISSION

Leonard Yap

v.

PPL Electric Utilities Corporation

.....

Complaint Docket
No. Z-01110599

2002 OCT 10 AM 9:16
SECRETARY'S BUREAU

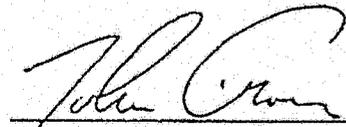
RECEIVED

CERTIFICATE OF SERVICE

I hereby certify that I have this day served a true copy of the foregoing document upon the participant, listed below, in accordance with the requirements of §1.54 (relating to service by a participant).

Leonard Yap
144 Lindfield Circle
Macungie, PA 18062

Dated this 8th Day of October, 2002



John F. Gross

COMMONWEALTH OF PENNSYLVANIA
PUBLIC UTILITY COMMISSION

DATE: October 11, 2002

SUBJECT: Certification of Satisfaction Filed
Z-0110599 Leonard Yap v. PPL Electric Utilities Corporation
Z-0110599

TO: Wanda Zeiders
Docket Management

FROM: Susan Licon, Scheduling Officer
Office of Administrative Law Judge

On October 10, 2002, a Certificate of Satisfaction was filed in the above-captioned proceeding by John F. Gross, Esquire. If no objection is filed to this certificate within 10 days of service, this proceeding will be closed.

All parties should be notified that the case is closed and a copy of that notification placed in the document folder.

Attachment

pc: ALJ (None Assigned)
Beth Plantz
Case File

DOCUMENT
FOLDER

DOCKETED
OCT 21 2002