

JAN 1 1 2017

Version Revised 12/12/16

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

#### **BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION**

Application of <u>Energy Paradigm, LLC</u>, d/b/a <u>Energy Paradigm, LLC</u>, for approval to offer, render, furnish, or supply electricity or electric generation services as a(n) <u>Braket</u> to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

#### 1. IDENTIFICATION AND CONTACT INFORMATION

a. IDENTITY OF THE APPLICANT: Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

Energy Paradigm, LLC 6533 Virginia Square Arlington, TX 76017 www.energyparadigmllc.com Tel: 817.539.0408

b. PENNSYLVANIA ADDRESS / REGISTERED AGENT: If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

InCorp Services, Inc. 7208 Red Top Road Hummelstown, PA 17036 Tel: 702-866-2500 Fax: 703-866-2689

c. REGULATORY CONTACT: Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Eric Thames	Tel: 817.539.0408
President	Fax: 888.816.2712
6533 Virginia Square	E-mail: consult@energyparadigmllc.com
Arlington, Texas 76017	
www.energyparadigmllc.com	

d. ATTORNEY: Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

I don't have an attorney

e. CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: (Required of ALL Applicants) Provide the name, title, address, telephone number, FAX number, and e-mail <u>OF\_THE PERSON AND AN ALTERNATE</u> <u>PERSON (2 REQUIRED)</u> responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

Eric Thames President 6533 Virginia Square Arlington, TX 76017 www.energyparadigmllc.com Tel: 817.539.0408 Fax: 888.816.2712 consult: Dence Marchism. Arc Gina Thames Owner 6533 Virginia Square Arlington, TX 76017 4 Tel: 817.269.1503 Fax: 888.816.2712 E-mail: thamesgina@gmail.com

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#### PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

#### 2. BUSINESS ENTITY FILINGS AND REGISTRATION

a. FICTITIOUS NAME: (Select appropriate statement and provide supporting documentation as listed.)

The Applicant will be using a fictitious name or doing business as ("d/b/a")
Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.
or
The Applicant will not be using a fictitious name.
BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:
(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)
The Applicant is a sole proprietor.

If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa.
 C.S. §4124 relating to Department of State filing requirements.

#### or

The Applicant is a:

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Ō	

b.

domestic general partnership (\*)

domestic limited partnership (15 Pa. C.S. §8511)

foreign general or limited partnership (15 Pa. C.S. §4124)

domestic limited liability partnership (15 Pa. C.S. §8201)

foreign limited liability general partnership (15 Pa. C.S. §8211)

foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- \* If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

- The Applicant is a:
- domestic corporation (15 Pa. C.S. §1308)
  - foreign corporation (15 Pa. C.S. §4124)
  - domestic limited liability company (15 Pa. C.S. §8913)
  - foreign limited liability company (15 Pa. C.S. §8981)
    - Other (Describe):
    - Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
       SEE ATTACHMENT A
    - Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation. TEXAS
       SEE ATTACHMENT B
    - Give name and address of officers.

Eric Thames President 6533 Virginia Square Arlington, Texas 76017 Gina Thames Owner 6533 Virginia Square Arlington, Texas 76017

#### 3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

**a.** AFFILIATES: Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

Applicant does not have only affiliates loingbessiness not his affiliates selaning to do bisiness in Pennsylvania

**b. PREDECESSORS**: Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

APPLICANT DOES NOT HAVE ANY PREDECESSORS DOING BUSINESS NOR HAS PREDECESSORS PLANNING TO DO BUSINESS IN PENNSYLVANIA

#### 4. <u>OPERATIONS</u>

#### a. APPLICANT'S PRESENT OPERATIONS: (select and complete the appropriate statement)

#### **Definitions**

- Supplier an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC.
- Aggregator an entity that purchases electric energy and <u>takes title to electric energy</u> as an intermediary for sale to retail customers.
- Broker/Marketer an entity that acts as an intermediary in the sale and purchase of electric energy but does not take title to electric energy.

The Applicant is presently doing business in Pennsylvania as a

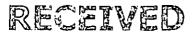
- municipal electric corporation
- electric cooperative
- local gas distribution company
- provider of electric generation, transmission or distribution services
- broker/marketer engaged in the business of supplying electricity services
- Other; Identify the nature of service being rendered.

#### or

The Applicant is not presently doing business in Pennsylvania.

#### b. APPLICANT'S PROPOSED OPERATIONS: The Applicant proposes to operate as a (may check multiple):

- Generator of electricity
- Supplier of electricity
- Aggregator engaged in the business of supplying electricity
- Broker/Marketer engaged in the business of supplying electricity services
  - Check here to verify that your organization will not be taking title to the electricity nor will you be making payments for customers.
- Electric Cooperative and supplier of electric power
- Other (Describe):



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c. **PROPOSED SERVICES:** Describe in detail the electric services or the electric generation services which the Applicant proposes to offer.

Energy Paradigm, LLC plans to broker electricity only to commercial & industrial customers

d. PROPOSED SERVICE AREA: Check the box of each Electric Distribution Company for which the Applicant proposes to provide service.

Citizens' Electric	D Pike
Duquesne Light	
Met-Ed	🖵 UGI Utilities
PECO	Wellsboro
	West Penn
Penn Power	
	Entire Commonwealth of PA

- e. CUSTOMERS: Applicant proposes to provide services to:
  - Residential Customers
    Small Commercial Customers (25 kW and Under)
    Residential and Small Commercial as Mixed Meter ONLY (CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE)
     Large Commercial Customers - (Over 25 kW)
     Industrial Customers
     Governmental Customers
     All of above (Except Mixed Meter)
     Other (Describe):
- f. START DATE: Provide the approximate date the Applicant proposes to <u>actively market</u> within the Commonwealth. QUARTER 1 2017

#### 5. <u>COMPLIANCE</u>

a. CRIMINAL/CIVIL PROCEEDINGS: State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

NONE

**b. SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

NA

c. CUSTOMER/REGULATORY/PROSECUTORY ACTIONS: Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. If the Applicant has no actions or complaints to list, explicitly state such.

NONE

d. SUMMARY: If applicable; provide a statement as to the resolution or present status of any actions listed above.

NA

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#### 6. **PROOF OF SERVICE**

#### Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator. (Example Certificate of Service is attached at Appendix C)

a. STATUTORY AGENCIES: Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Bureau of Investigation & Enforcement Pennsylvania Public Utility Commission Commonwealth Keystone Building 400 North Street, 2 West Harrisburg, PA 17120

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120

Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

Commonwealth of Pennsylvania Department of Revenue **Bureau of Compliance** Harrisburg, PA 17128-0946

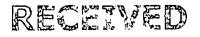
Office of the Small Business Advocate Commerce Building, Suite 202 300 North Second Street Harrisburg, PA 17101

b. EDCs: Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58. provide Proof of Service of the Application and attachments upon each of the Electric Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each EDC is as follows.

> **Director of Customer Energy Services** Orange and Rockland Company 390 West Route 59 Spring Valley, NY 10977-5300

West Penn: Legal Department West Penn Power d/b/a Allegheny Power 800 Cabin Hill Drive Greensburg, PA 15601-1689

**Duquesne Light:** Regulatory Affairs Duquesne Light Company 411 Seventh Street, MD 16-4 Pittsburgh, PA 15219



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Pike County Light & Power Company:

PECO: Manager Energy Acquisition PECO Energy Company 2301 Market Street Philadelphia, PA 19101-8699

PPL: Office of General Counsel Attn: Kimberly A. Klock PPL Two North Ninth Street (GENTW3) Allentown, PA 18101-1179

Met-Ed, Penelec, and Penn Power: Legal Department First Energy 2800 Pottsville Pike Reading PA, 19612

#### **Citizens' Electric Company:** Citizens' Electric Company Attn: EGS Coordination 1775 Industrial Boulevard Lewisburg, PA 17837

UGI: UGI Utilities, Inc. Attn: Rates Dept. – Choice Coordinator 2525 N. 12th Street, Suite 360 Post Office Box 12677 Reading, Pa 19612-2677

Wellsboro Electric Company:

Wellsboro Electric Company Attn: EGS Coordination 33 Austin Street P. O. Box 138 Wellsboro, PA 16901

#### 7. <u>FINANCIAL FITNESS</u>

- a. BONDING: In accordance with 66 Pa. C.S. Section 2809(c)(1)(i), the Applicant is required to file a bond or other instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant is...
  - Furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.
  - Furnishing the <u>ORIGINAL</u> of another initial security for Commission approval, to ensure financial responsibility, such as a parental guarantee, in the amount of \$250,000.
  - For Marketers and Brokers Filing for a modification to the \$250,000 requirement and furnishing the ORIGINAL of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.

SEE ATTACHMENT C

#### **CRITICAL BONDING NOTES:**

Applicant is required to maintain a bond or other financial instrument the entire time it maintains an EGS license with the Commonwealth of Pennsylvania. If Applicant's security instrument is not continuous, Applicant <u>MUST</u> submit a Rider, Amendment, or Continuation Certificate annually based on the expiration date of its security instrument.

Sixty days (60) prior to the security instrument's expiration date, Applicant should contact Stephen Jakab at <u>sjakab@pa.gov</u> to determine the appropriate bonding amount based on a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. Once the amount has been determined, Applicant should overnight the updated security instrument(s) at least thirty (30) days prior to the expiration date to ensure adequate time for staff review and approval of the security instrument(s).

Example version of a bond, letter of credit, and parental guarantee are attached at Appendix D, E, & F, respectively. Applicant's security must follow language from these examples. Any deviation from these examples must be identified in the application and may not be acceptable to the Commission.

- b. FINANCIAL RECORDS, STATEMENTS, AND RATINGS: Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following: SEE ATTACHMENT D
  - Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
  - Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
  - Applicant's accounting statements, including balance sheet and income statements for the past two years.
  - Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
  - A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
  - Audited financial statements exhibiting accounts over a minimum two year period.
  - Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.
- c. SUPPLIER FUNDING METHOD: If Applicant is operating as anything other than <u>Broker/Marketer only</u>, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

NA

d. BROKER PAYMENT STRUCTURE: If applicant is a broker/marketer, explain how your organization will be collecting your fees.

SUPPLY ADDER

e. ACCOUNTING RECORDS CUSTODIAN: Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

Eric Thames	www.energyparadigmllc.com
President	Tel: 817.539.0408
6533 Virginia Square	Fax: 888.816.2712
Arlington, TX 76017	E-mail: consult@energyparadigmllc.com

f. TAXATION: Complete the TAX CERTIFICATION STATEMENT attached as Appendix G to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

#### 8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

- a. EXPERIENCE, PLAN, STRUCTURE: such information may include:
  - Applicant's previous experience in the electricity industry. SEE ATTACHMENT E
  - Summary and proof of licenses as a supplier of electric services in other states or jurisdictions.
    SEE ATTACHMENT F
  - Type of customers and number of customers Applicant currently serves in other jurisdictions.
    COMMERCIAL CUSTOMERS ONLY
  - Staffing structure and numbers as well as employee training commitments.
  - i active employee have set is field state requirements for Jusiness plans for operations within the common wealth.
  - BROKER ELECTRICITY TO COMMERCIAL CUSTOMERS
    Documentation of membership in PJM, ECAR, MAAC, other regional reliability councils, or any other membership or certification that is deemed appropriate to justify competency to operate as an EGS within the Commonwealth. NA
  - Any other information appropriate to ensure the technical capabilities of the Applicant.

NIA

b. PROPOSED MARKETING METHOD (check all that apply)

Internal – Applicant will use its own internal resources/employees for marketing

- External EGS Applicant will contract with a PUC LICENSED EGS broker/marketer
- Affiliate Applicant will use a NON-EGS affiliate marketing company and or individuals.
- External Third-Party Applicant will contract with a **NON-EGS** third party marketing company and or individuals
- Other (Describe):
- c. DOOR TO DOOR SALES: Will the Applicant be implementing door to door sales activities?



If yes, will the Applicant be using verification procedures?

$\Box$	

Yes No

If yes, describe the Applicant's verification procedures.

d. OVERSIGHT OF MARKETING: Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

WILLS UTILIZE INTERNAL RESOURCES TO ENSURE EHTICAL STANDARDS ARE EMPLOYED

e. OFFICERS: Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.

I AM THE ONLY ACTIVE OFFICER AND EMPLOYEE PLEASE SEE ATATCHED RESUME UNDER SECTION 8A

f. FERC FILING: Applicant has:

Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.

Received approval from FERC to be a Power Marketer at Docket or Case Number

Not applicable

#### 9. DISCLOSURE STATEMENTS:

**Disclosure Statements:** If proposing to serve Residential and/or Small Commercial (under 25 kW) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix H to this Application.

 Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated.
 Penalties and procedures for ending contracts should be clearly communicated.

Not applicable for an applicant applying for a license exclusively as a broker/marketer.

#### 10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. PJM LOAD SERVING ENTITY REQUIREMENT: As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:
  - proof of registration as a PJM Load Serving Entity (LSE), or
  - proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

(Select only one of the following)



AGREED - Applicant has included compliance with this requirement in the instant application, labeled in correspondence with this section (10).



AGREED - Applicant will provide compliance with this requirement within 120 days of receiving its license

ACKNOWLEDGED - Applicant is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information

b. STANDARDS OF CONDUCT AND DISCLOSURE: As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43, as well as any future amendments.



- c. REPORTING REQUIREMENTS: Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:
  - Retail Electricity Choice Activity Reports: The regulations at 52 Pa. Code §§ 54.201--54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's internet web site.
  - Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
  - The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
  - Net Metering Reports: Applicant shall be responsible to report any Net Metering per the Standards on <a href="http://www.puc.pa.gov/consumer\_info/electricity/alternative\_energy.aspx">http://www.puc.pa.gov/consumer\_info/electricity/alternative\_energy.aspx</a>. Scroll down to the Net Metering Standards Section.
  - Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis per 52 Pa. Code § 54.39(d).
  - Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.

AGREED

d. TRANSFER OF LICENSE: The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Sectior 2809(D). Transferee will be required to file the appropriate licensing application.



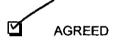
e. ANNUAL FEES: The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling electricity in the Commonwealth of PA, and an annual supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.



f. FURTHER DEVELOPMENTS: Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 4.34.



g. FALSIFICATION: The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.



h. NOTIFICATION OF CHANGE: If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within twenty (20) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 54.34.



i. CEASING OF OPERATIONS: Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.



j. Electronic Data Interchange: The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at Appendix K.



k. FILING FEE: The Applicant has enclosed or paid the required, non-refundable filing fee by CERTIFIED CHECK OR MONEY ORDER in the amount of \$350.00 payable to the Commonwealth of Pennsylvania. The Commission does not accept corporate or personal checks for filing fees.



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PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

#### 11. AFFIDAVITS

#### Must be notarized before filing.

- a. APPLICATION AFFIDAVIT: Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A. SEE ATTACHED
- b. OPERATIONS AFFIDAVIT: Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.

#### SEE ATTACHED

#### 12. NEWSPAPER PUBLICATIONS

#### Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

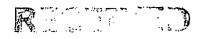
Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve.

The chart below dictates which newspapers are necessary for each EDC. For example, an applicant that wants to operate in Penn Power would need to run ads in both The Erie Times-News and the Pittsburgh Post-Gazette. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

The only acceptable verification of this requirement is with Notarized Proofs of Publication, which may be requested from each newspaper and must be supplied with this application. Applicants do not need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	Erie Times- News	Harrisburg Patriot- News	Philadelphia Daily News	Pittsburgh Post- Gazette	Scranton Times- Tribune	Williamsport Sun-Gazette	Johnstown Tribune- Democrat
Citizens' Electric						X	
Duquesne				X			
Met Ed		X	x		X		
PECO			x		l		]
Penelec	x	X			X	X	X
Penn Power	x			x			
Pike					x		
PPL		X	X		X	X	
UGI					X		
Wellsboro						x	
West Penn		x	· 	x		X	x
Entire						<u> </u>	
Commonwealth	X	x	x	X	x	x	x

(Example Publications are provided at Appendices I and J)



#### 13. SIGNATURE

JAN 11 2017

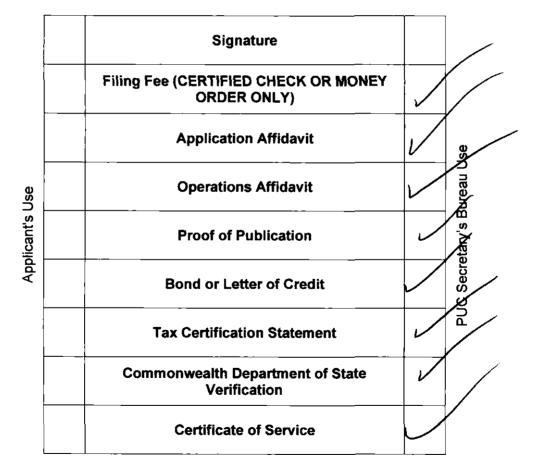
PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

eredigm, LLC Applicant: Βy Title:

#### 14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections are complete.





### Appendix A

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## **APPLICATION AFFIDAVIT**

\_

\_\_\_\_\_

\_

[Commonwealth/State] of
: SS.
County of Takera
Fire Thund, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:
[He/she is the <u>Fric</u> Chand (Office of Affiant) of <u>Encry Per Lish</u> (Name of Applicant);]
[That he/she is authorized to and does make this affidavit for said Applicant;]
That the Applicant herein $\underline{\in e_{1}}$ $\underline{h_{1}}$ has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. 2809 (B).
That the Applicant herein Every factory has answered the questions on the application correctly, truthfully, an completely and provided supporting documentation as required.
That the Applicant herein $\int a \int g y \int g d y d y d y$ acknowledges that it is under a duty to update information provided i answer to questions on this application and contained in supporting documents.
That the Applicant herein $\underline{F_{action}} f_{act} \underline{f}_{act}$ acknowledges that it is under a duty to supplement information provide in answer to questions on this application and contained in supporting documents as requested by the Commission.
That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/sh expects said Applicant to be able to prove the same at hearing.
RECEIVED 4
JAN 1 1 2017 Signature of Affiant
PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU
Sworn and subscribed before me this 20 <sup>th</sup> day of December , 20 10
Signature of official administering oath
My commission expires 7/12/2020
LESLY JAIME Notary Public, State of Texas Comm. Expires 07-12-2020 Notary ID 130735132
DEC 26 2016
PA PUBLIC UTILITY COMMISSION SECKETARY'S BUREAU

#### **Appendix B**

### **OPERATIONS AFFIDAVIT**

	UEL 2016
[Commonwealth/State] of <u>TCxcS</u>	PA PUBLIC UTILITY OMMISSION SECRETARY'S BUREAU
:	SS.
County of Tallant	
<u> </u>	ned] according to law,

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deposes and says that:

[He/she is the _	Elic	Thomas	(Office of Affiai	nt) of <u>Fres</u> a	y Paredigm,	Lic
(Name of Applie	cant);]				· [] ·	

[That he/she is authorized to and does make this affidavit for said Applicant;]

That  $\underbrace{\operatorname{Energy}}_{\operatorname{Legy}}$  the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That  $\underline{Surger}_{1,2}$ , the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That <u>Energy</u> <u>find</u>, <u>n</u>, the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.



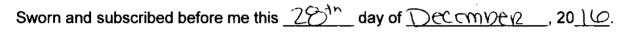
#### JAN 1 1 2017

#### **Appendix B (Continued)**

That <u>Eneloy foredism</u>, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

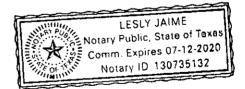
That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.



Signature of official administering oath

7 2021 My commission expires



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## ATTACHMENT A

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JAN 11 2017

#### COMMONWEALTH OF PENNSYLVANIA

#### DEPARTMENT OF STATE

#### 11/17/2016

#### TO ALL WHOM THESE PRESENTS SHALL COME, GREETING:

#### Energy Paradigm, LLC

I, Pedro A. Cortés, Secretary of the Commonwealth of Pennsylvania, do hereby certify that the foregoing and annexed is a true and correct copy of

Creation Filing filed on Nov 7, 2011 - Pages (2)

which appear of record in this department.



IN TESTIMONY WHEREOF, I have hereunto set my hand and caused the Seal of the Secretary's Office to be affixed, the day and year above written

. Contes

Secretary of the Commonwealth

Certification Number: TSC161027181784-1

Verify this certificate online at http://www.corporations.pa.gov/orders/verify.aspx

PENNSYLVANIA D	<b>EPARTMENT</b> OF C	rate:			
CORPORATION BU					
	Registered Limit	r Registration - Fo (15 Pa.C.S.) and Liability General Par- and Liability Limited Par- ship (§ 8582) y Company (§ 8981)	- taership (§ 8213)	}	
Energy	Peradian, L	LC			h of Pennsylvania REGISTRATION 3 Page(s)
1626 Fri Menstic	Drive	2063			
Fce: \$250				1113	50055
In compliance wi register to do business in this	th the requirements of the a s Communwealth, heroby st	pplicable provisions (rel ates that:	ating to registrat	ion), the undersig	ned, destring to
1. The name of the lin which it is formed:	nited lisbility company/tim	ited lisbility pertnorship/	limitod p <del>artnersk</del>	ip in the jurisdict	ion in
Ener	y laradig	m. LLC			
2. The name under we register and do bus	hich the limited Hubility con tress in this Commonwealt	apany/fimited liability p b is:	armership/limite	l parmenship prop	ueco to -
( <u></u> )					
	risdiction under the baws of		and the date of it	s formation:	
	utsdiction under the laws of <u>Mas</u> Date of Formation		and the date of it	* formation:	
Jurisdiction: <u>T</u>		<u>-7/16/20</u> 09			ercd
Jurisdiction: <u>T</u>	<u>KaS</u> Date of Formation its initial registered office is the county of venue is:	n this Commonwallh or			ered
Jurisdiction: <u>T</u> 4. The (a) address of office provider and (a) Number and su	<u>KaS</u> Duic of Formation its initial registered office i the county of venue is: reat Ci moreini Registered Office i	r: 7/16/2009 n this Commonwealth or ty State	(b) neme of its (	tommercial regist	ered
Jurisdiction: <u>T</u> 4. The (a) address of office provider and (a) Number and au (b) Nume of Com	ta initial registered office is the county of venue is: rout Ci mortial Registered Office i	r: 7/16/2009 n this Commonwealth or ty State	(b) neme of its (	County	ered
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PA. DEPT. OF STATE

PSCB:1	5-8981	/1211	/\$5\$2-2
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5. Check and complete one of the following:					
Nymber and street	City.	Stato	Zip		
Lt is not required by the laws of of its principal office is:	its jurisdiction of organizatio	n to maintain an oi	flice therein and the address		
_ 1606 Fern Dine	Mansfield	Tem	76063		
Number and street	Chy	State	Zip		

6. For Restricted Professional Limited Liability Company Only: Strike out if inapplicable: The company is a restricted professional company digenteed or reliant the following professional service(a):

Limited Linbility Partnership and Limited Partnership: Complete paragraphs 7 and 8

7. The name and business address of each general partner. Name Business Address

-

 The address of the office at which is kept a list of the manes and addresses of the limited partners and their capital contribution is:

Number and strout

City

Ζίρ

The registered partnership hereby undertakes to keep these recents until its registration to do business in the Commonwealth is canceled or withdrawn.

State

IN TESTIMONY WHEREOF, the undersigned has award this Application for Registration to be signed by a duty sutherized officer/member or manager thereof this

County

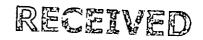
2 ayor Nov. 2011

of Peter f<u>res</u> Tine

Her. 11/2010

#### RECEIVED TIME NOV. 14. 11:40AM

## ATTACHMENT B



JAN 1 1 2017

Corporations Section P.O.Box 13697 Austin, Texas 78711-3697



Carlos Cascos Secretary of State

### Office of the Secretary of State

### **Certificate of Fact**

The undersigned, as Secretary of State of Texas, does hereby certify that the document, Certificate of Formation for Energy Paradigm, LLC (file number 801146936), a Domestic Limited Liability Company (LLC), was filed in this office on July 16, 2009.

It is further certified that the entity status in Texas is in existence.

In testimony whereof, I have hereunto signed my name officially and caused to be impressed hereon the Seal of State at my office in Austin, Texas on March 30, 2015.



Cull

Carlos Cascos Secretary of State

Phone: (512) 463-5555 Prepared by: SOS-WEB Come visit us on the internet at http://www.sos.state.tx.us/ Fax: (512) 463-5709 TID: 10264

Dial: 7-1-1 for Relay Services Document: 598714620003

## ATTACHMENT C

# CONFIDENTIAL

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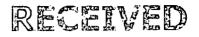
## ATTACHMENT D

# CONFIDENTIAL

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JAN 11 2017

## ATTACHMENT E



JAN 1 1 2017

#### Eric Thames 6533 Virginia Square Arlington, Texas 76017 Cell: (972) 989-8068 E-mail: consult@energyparadigmllc.com

Telephone: (817) 539-0408

#### Managing Partner

Energy Paradigm, LLC

July 2009 – Present Dallas/Fort Worth Area

Energy Risk Management, Utility Optimization, Energy Efficiency, & Sustainability cost-cutting solutions for multi-site national firms with greater than \$1 million in annual energy expenses. Energy Paradigm is currently managing over 5,000 locations for a number of Fortune 500 companies with aggregate annual energy spends in excess of \$50 million.

#### **Director of Sales**

#### Advantage IQ (Now Ecova)

#### June 2008 - April 2009 (11 months) Dallas/Fort Worth Area

Responsible for crafting and implementing acquisition-based campaigns and lead generation initiatives that generated a 5% response rate. Developed market segmentation strategies and competitive analysis to target multi-site national accounts in the energy management space.

#### **Product Marketing Manager**

#### Cadence Network (Acquired by Advantage IQ)

#### January 2007 - June 2008 (1 year 6 months) Dallas/Fort Worth Area

Designed campaign management initiatives, conducted primary & secondary market research & focus groups, managed commodity aggregation programs, and implemented trade show promotion. These initiatives resulted in re-defining Cadence Network's value proposition, overall marketing strategy, and service offerings.

#### **Director of Sales**

#### Cadence Network

#### February 2006 – December 2006 (11 months) Dallas/Fort Worth Area

Charged with marketing electricity procurement & risk management services, utility rate analysis, bill management solutions, and lease auditing for multi-site national accounts with >\$20 million in annual energy spends.

#### **Business Development Manager**

### Strategic Energy (Acquired by Direct Energy)

September 2004 – October 2005 (1 year 2 months) Dallas/Fort Worth Area Responsible for identifying and securing commercial fixed-price and derivative-based electricity product structures >25,000,000 kWh in the ERCOT market.

#### **Major Accounts Manager**

#### **TXU Corporation**

May 2001 - October 2004 (3 years 6 months)

Developed and forged relationships with politically-sensitive electricity clients in the ERCOT market. Pioneered training and pilot product initiatives with TXU's most profitable market segments including the middle market and residential high volume builders.

EDUCATION	
UNIVERSITY OF TEXAS AT DALLAS; Dallas, Texas	1993-1996
M.A.; International Management	
TEXAS CHRISTIAN UNIVERSITY; Ft. Worth, Texas	1983-1987
B.B.A.; Marketing	

## ATTACHMENT F



JAN 11 2017

#### STATE OF ILLINOIS

#### ILLINOIS COMMERCE COMMISSION

Energy Paradigm, LLC	•	
	:	
Application for Licensure of	:	
Agents, Brokers and Consultants	:	09-0488
under Section 16-115C of the	:	
Public Utilities Act.	:	

#### ORDER

By the Commission:

#### I. PRELIMINARY MATTERS

On November 2, 2009, Energy Paradigm, LLC ("Applicant") filed a verified application with the Illinois Commerce Commission ("Commission") requesting a certificate of service authority to operate as an agent, broker or consultant ("ABC") in Illinois pursuant to Section 16-115C of the Public Utilities Act ("Act"), 220 ILCS 5/1-101 <u>et seq.</u>, and 83 Ill. Adm. Code 454 ("Part 454"). The Administrative Law Judge requested clarification of certain matters contained in the application. Applicant filed verified responses with the Administrative Law Judge on December 7, 2009. Applicant requests authority to offer services as an ABC for the procurement or sale of retail electricity supply for third parties in the State of Illinois.

## II. REQUIREMENTS FOR ALL APPLICANTS UNDER SECTION 16-115C OF THE ACT

Applicant is a corporation organized under the laws of Texas and licensed to transact business in Illinois. Applicant has certified that it will comply with all applicable regulations; that it will comply with informational and reporting requirements established by Commission rule; that it will comply with informational and reporting requirements pursuant to Section 16-115C of the Act; and that it will comply with all other applicable laws, regulations, terms, and conditions required to the extent they have application to the services being offered by an ABC. Applicant has agreed to ensure that any person who acts on its behalf will comply with all applicable sections of Part 454. Applicant agrees that it will remain in compliance with the provisions of the Act and Part 454, and will ensure that authorizations received from customers, and all other applicable records are retained for a period of not less than three calendar years after the calendar year in which they were created. Applicant has agreed to adopt and follow rules and procedures to preserve the confidentiality of its customers' data.

## III. FINANCIAL, TECHNICAL, AND MANAGERIAL REQUIREMENTS OF SECTION 16-115C

Pursuant to the requirements of Section 454.80 of Part 454, Applicant provided a surety bond in the amount of \$5,000 issued by a qualifying surety authorized to transact business in Illinois. Applicant submitted an original surety bond in satisfaction of Section 454.80.

Applicant is required by Part 454.60 to demonstrate that it meets the managerial qualifications necessary to provide services as an ABC. Applicant must further provide an organizational chart that indicates the position of persons which satisfy the managerial qualification. Applicant has demonstrated that it meets the managerial qualifications set forth in Part 454.60, as demonstrated in Attachment A. The response to the Administrative Law Judge includes an exhibit containing a corporate organizational chart and identifying the persons who are being used to meet the requirements of Part 454.60(a). Attachment 1 includes an exhibit containing occupational background information on the person or persons who are being used to meet the requirements of Part 454.60(a).

Applicant is required by Part 454.70 to demonstrate that it meets the technical qualifications necessary to provide services as an ABC. Applicant has demonstrated that it meets the technical qualifications set forth in Part 454.70 with the information provided, including the information included in Attachment A. Attachment A includes an exhibit containing occupational background information on the person or persons who are being used to meet the requirements of Part 454.70(a).

Applicant further agrees to comply with the Code of Conduct for ABC's contained in Section 454.90.

#### IV. COMMISSION CONCLUSION AND CERTIFICATE OF SERVICE AUTHORITY

The Commission has reviewed the application and attachments along with the supplementary information provided by Applicant regarding the technical and managerial requirements and all other requirements of the Act and Part 454 and finds that the Applicant sufficiently demonstrates compliance with the requirements.

The Commission concludes, therefore, that Applicant's request for a certificate of service authority to operate as an ABC in Illinois should be granted and should include the following authority:

#### CERTIFICATE OF SERVICE AUTHORITY

IT IS CERTIFIED that Energy Paradigm, LLC is granted service authority to operate as an agent, broker or consultant for the procurement or sale of retail electricity supply for third parties in the State of Illinois.

#### V. FINDINGS AND ORDERING PARAGRAPHS

The Commission, having reviewed the entire record, is of the opinion and finds that:

- (1) Applicant, Energy Paradigm, a business organized under the laws of Texas, seeks a certificate of service authority to operate as an agent, broker or consultant under Section 16-115C of the Act;
- (2) the Commission has jurisdiction over the party hereto and the subject matter hereof;
- (3) the recitals of fact and conclusions reached in the prefatory portion of this Order are supported by the record and are hereby adopted as findings of fact;
- (4) Applicant has demonstrated that it possesses sufficient financial, managerial and technical resources and abilities to provide services as an agent, broker or consultant for the procurement or sale of retail electricity supply to third parties in the State of Illinois;
- (5) Applicant has complied with Section 16-115C of the Act and Part 454; and
- (6) Energy Paradigm, LLC should be granted the certificate of service authority to operate as an agent, broker or consultant as specified in this Order.

IT IS THEREFORE ORDERED by the Illinois Commerce Commission that Energy Paradigm, LLC is hereby granted a Certificate of Service Authority authorizing it to operate as an agent, broker or consultant; said Certificate of Service Authority shall read as that set forth in Section IV of this Order.

IT IS FURTHER ORDERED that Energy Paradigm, LLC shall comply with all applicable Commission rules and orders now and as hereafter amended.

IT IS FURTHER ORDERED that, subject to the provisions of Section 10-113 of the Public Utilities Act and 83 III. Adm. Code 200.880, this Order is final; it is not subject to the Administrative Review Law.

By order of the Commission this 6th day of January, 2010.

(SIGNED) CHARLES E. BOX

Chairman

### CRES AUTOMATIC CASE ACTION FORM

Date:	11.	-22-	16
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Case Number: 13-1784-EL-AGG

Company Name and Company Name d/b/a: Energy Paradigm LLC

Company Address: 6533 Virginia Square

Company City/State/Zip: Arlington TX 76017

**Regulatory Contact: Eric Thames** 

Regulatory Email: consult@energyparadigmlic.com

Regulatory Phone: 817-539-0408

Renewal
Renewal

#### Action Needed:

Issue Certificate Number to:

Effective Date of Certificate:

Renew Certificate Number from: to:

Effective Date of Certificate: Certificate Expires:

Certified To Provide the Following Services:

Retail	Generation

- Aggregation
- Power Marketer
- Power Broker
- Governmental Aggregation

Revise Certificate Number: 13-737E (2) to (check all applicable):

Reflect name change from: to

Reflect address change from: 1606 Fern Dr, Mansfield TX 76063 to 6533 Virginia Square, Arlington TX 76017

Certificate Expires:

- Correct Administrative Error:
- Reflect Change of Ownership to:

Cancel Certificate Number:

Protect Un-redacted copies until:

Close Case File, Case Withdrawn at Applicant's Request

Close Case File

11/22/2016

Service Notice For Case: 13-1784-EL-AGG

..

CASE NUMBER:	13-1784-EL-AGG
CASE DESCRIPTION:	ENERGY PARADIGM LLC
DATE OF SERVICE:	11/22/2016
<b>DOCUMENT SIGNED ON:</b>	1122116
T	$\sum_{n}$
Sign Here:	10

#### APPLICANT

#### PARTY OF RECORD

#### ATTORNEY

NONE

ENERGY PARADIGM LLC PRES ERIC THAMES 6533 VIRGINIA SQUARE ARLINGTON,TX 76019 Phone:(817) 539-0408 Fax:(888) 816-2712 Email:ERICTHAMES10@YAHOO.COM



Commissioners Lynn Slaby M. Beth Trombold Thomas W. Johnson M. Howard Petricoff

### **PUBLIC UTILITIES COMMISSION OF OHIO**

Certified as a Competitive Retail Electric Service Provider

#### **REVISED RENEWAL**

Certificate Number: <u>13-737E (2)</u>

Issued Pursuant to Case Number(s):

#### <u>13-1784-EL-AGG</u>

A certificate as a Competitive Retail Electric Service Provider is hereby granted to Energy Paradigm, LLC whose office or principal place of business is located at 6533 Virginia Square, Arlington, TX 76017 to provide <u>aggregation and power broker</u> services within the State of Ohio effective September 15, 2015.

The certification of competitive retail electric suppliers is governed by Section 4901:1-24-(01-13) of the Ohio Administrative Code, Section 4901:1-21-(01-15) of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

Subject to all rules and regulations of the Commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Public Utilities Commission

John Kasich, Governor Asim Z. Hague, Chairman

Dated: November 21, 2016

By Order of

PUBLIC UTILITIES COMMISSION OF OHIO

Bucket

Barcy F. McNeal, Secretary Tanowa M. Troupe, Acting Secretary Felecia D. Burdett, Acting Secretary

Certificate Expires: September 15, 2017

This is to certify that the images appearing are an accurate and complete reproduction of a club file focument delivered in the regular course of business rechnician \_\_\_\_\_ Date Processed \_\_\_\_\_\_ Date Processed

180 East Broad Street Columbus, Ohio 43215-3793

(614) 466-3016 www.PUCO.ohio.gov



CHARLES D. BAKER GOVERNOR

KARYN E. POLITO LIEUTENANT GOVERNOR

MATTHEW A. BEATON SECRETARY OF ENERGY AND ENVIRONMENTAL AFFAIRS

• -

## THE COMMONWEALTH OF MASSACHUSETTS DEPARTMENT OF PUBLIC UTILITIES

ONE SOUTH STATION BOSTON, MA 02110 (617) 305-3500 ANGELA M. O'CONNOR CHAIRMAN

JOLETTE A. WESTBROOK COMMISSIONER

> ROBERT E. HAYDEN COMMISSIONER

December 21, 2016

Eric Thames, President Energy Paradigm, LLC 6533 Virginia Square Arlington, TX 76017

Dear Mr. Thames,

The Department of Public Utilities ("Department") has reviewed your application for renewal of your **Electricity Broker License**, **EB-320**, and is pleased to inform you that your application for renewal has been approved.

As a condition of maintaining this license, you must file updated information within 30 days of any material or organic change in the information required by 220 C.M.R. § 11.05(2), and you must comply with all relevant requirements of G.L. c. 164 and the regulations promulgated thereunder, including 220 C.M.R. §§ 11.00, 12.00 <u>et seq</u>. Consistent with the information included with Energy Paradigm, LLC's application, the activities the company is licensed to provide are limited to electricity broker services to commercial and Industrial customers. If, at a later date, the Company seeks to provide electricity broker services to residential customers, it must first seek and obtain Department approval at the time of renewal of its license. If you decide to request renewal of your license next year, please submit renewal application no later than May 1, 2017. The renewal application is available at: www.mass.gov/dpu/suppliersandbrokers.

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JAN 11 2017

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Mark D. Marini, Secretary

EAX: (617) 345-9101 www.mass.gov/dpu

State of New Jersey

Board of Public Utilities

44 South Clinton Avenue, 3rd Floor, Suite 314, P.O. Box 350, Trenton, New Jersey 08625-0350

#### **HEREBY REGISTERS**

Energy Paradigm, LLC 6533 Virginia Square Arlington, Texas 76017



To conduct business in the State of New Jersey as an

hune Kim Action

Irene Kim Asbury Secretary of the Board Registration No.EA-0388Effective Date:June 29, 2016Expiration Date:June 28, 2017

#### PROOF OF PUBLICATION In THE ERIE TIMES-NEWS

#### COMBINATION EDITION

Energy Paradigm LLC 6533 Virginia Square Arlington TX 76017

REFERENCE: 91826 230483 PUC Notice

STATE OF PENNSYLVANIA) COUNTY OF ERIE ) SS:

Tom Mezler, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, a true and correct copy of which is attached, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

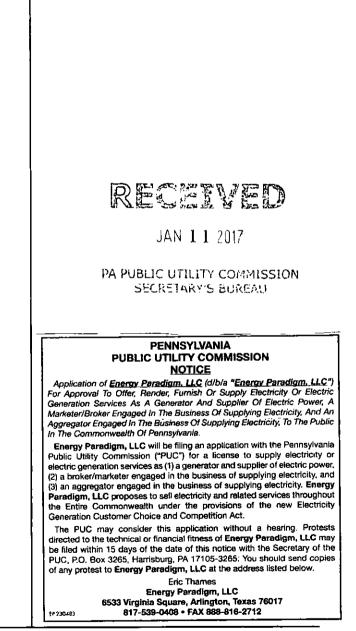
PUBLISHED ON: 10/19/16

TOTAL COST: \$409.00

AD SPACE: 0 Lines

FILED ON: 10/19/16

Sworn to and subscriped before me this 20th day of October	_2016
Affiant:	COMMONWEALTH OF PENNSYLVANIA NOTARIAL SEAL Barbara J. Moore, Notary Public
NOTARY: Randman Mare	City of Erie, Erie County My Commission Expires March 23, 2020 MEMSEN, PETINSYLVANIA ASSOCIATION OF NOTARIES





## The Patriot News

LEGAL AFFIDAVIT

AD#: 0007871830

Commonwealth of Pennsylvania,) ss

#### County of Cumberland)

Shannon Wass being duly sworn, deposes that he/she is principal clerk of PA Media Group; that The Patriot News is a public newspaper published in the city of Mechanicsburg, with general circulation in Cumberland and Dauphin and surrounding counties, and this notice is an accurate and true copy of this notice as printed in said newspaper, was printed and published in the regular edition and issue of said newspaper on the following date(s):

The Patriot News 10/18/2016

Principal Clerk of the Publisher

Sworn to and subscribed before me this 19th day of October 2016

Maidonado

Notary Public

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE Application of Energy Paradigm,

Application of Energy Paradigm, LLC (d/b/a "Energy Paradigm, LLC ") For Approval To Offer, Render, Furnish Or Supply Electricity Or Electric Generation Services As A Generator And Supplier Of Electric Power, A Marketer/Broker Engaged in The Business Of Supplying Electricity, And An Aggregator Engaged in The Business Of Supplying Electricity, To The Public In The Commonwealth Of Pennsylvania.

Energy Paradigm, LLC will be filing an application with the Pennsylvanio Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. Energy Paradigm, LLC proposes to sell electricity and related services throughout the Entire Commonwealth under the provisions of the new Electricity Generation Act. The PIC commonweal this

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Energy Paradigm**, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to **Energy Paradigm**, LLC at the address listed below.

Eric Thames Energy Paradigm, LLC 6533 Virginia Square Arlington Texas 76017 817-539-0408 888-816-2712 COMMONWEALTH OF PENNSYLVANIA Notariai Seal Donna M. Maidonado, Notary Public Susquehanna Twp., Dauphin County My Commission Expires Nov. 5, 2017 MENBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

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#### JAN 11 2017

#### PROOF OF PUBLICATION OF NOTICE IN THE WILLIAMSPORT SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

#### STATE OF PENNSYLVANIA COUNTY OF LYCOMING

SS:

Bernard A. Oravec \_ Publisher of the Sun-Gazette Company, publishers of the Williamsport, Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport Sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

tstien 17 2016 Affiant further deposes that he is an officer daily authorized by the Sun-Gazette Company, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and also declares that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true. Burt A. On LEGAL NOTICES SUN-GAZETTE COMPANY LEGAL NOTICES LEGAL NOTICES PENNSYLVANIA PUBLIC UTILITY Sworn to and subscribed before me Customer Choice and vices as (1) a generator Competition Act. COMMISSION and supplier of electric the 17th day of October NOTICE power, (2) a broker / mark-2016 The PUC may consider Application of Energy eter engaged in the business of supplying electrici-ty, and (3) an aggregator engaged in the business of supplying electricity. Energy Paradigm, LLC this application without a hearing. Protests directed to the technical or financial (d/b/a mating Dewald to the technical or financial fitness of Energy Para-digm, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3285, Harris-burg, PA 17105-3265. You should send copies of any protest to Energy Para-digm, LLC at the address listed below. Notary Public COMMONWEALTH OF PENNSYLVANIA proposes to sell electricity NOTARIAL SEAL and related services the throughout Entire CHRISTINA DEWALD Commonwealth under the **Notary Public** provisions of the new Electricity Generation CITY OF WILLIAMSPORT, LYCOMING COUNTY Generation My Commission Expires Apr 18, 2020 Enc Thames Energy Paradigm, LLC 6533 Virginia Square Arlington, Texas 76017 817-539-0408 STATEMENT OF ADVERTISING COSTS Energy Paradigm, 888-816-2712 To the Sun-Gazette Company, Dr.: For publishing the notice attached hereto on the above state dates.....\$ 2738 Probated same.....\$ PUBLISHER'S RECEIPT FOR ADVERTISING COSTS THE SUN-GAZETTE COMPANY hereby acknowledges receipt of the aforesaid advertising and publication costs

and certifies that the same have been fully paid.

ECHIND

JAN 11 2017

#### SUN-GAZETTE COMPANY

BY Bernard A. Oravec

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Paradigm. LLC Energy Paradigm, LLC For Ap-proval To Offer, Render, Furnish Or Sup-ply Electricity Or Electric Generation Services As A Generator- And Supplier Of Electric Power, A Marketer / Broker Engaged In The Business Of Supply-Ing Electricity, And An Aggregator Engaged In The Business Of Supply-ing Electricity, To The Public In The Com-monwealth Of Pennsylva-nia піа.

LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation ser-

No.

#### No.

Term,

### Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss <u>P. Reed</u>, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the <u>regular</u> editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

#### 15 of October, 2016

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PG Publishing Company Sworn to and subscribed before me this day of: October 17, 2016 PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU COMMONWEALTH OF PENNSYLVANIA NOTARIAL SEAL jan o Linda M. Gaertner, Notary Public I N I City of Pittsburgh, Allegheny County My Commission Expires Jan. 31, 2019 MEMBER, PENNSYLVANIA ASSOCIATION OF HOTARIES STATEMENT OF ADVERTISING COSTS Energy Paradigm, LLC 6533 VIRGINIA SOUARE ATTN: Eric Thames

ARLINGTON

To PG Publishing Company

TX 76017-4948

Total ----- \$450.00

### **Publisher's Receipt for Advertising Costs**

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforsaid advertising and publication costs and certifies that the same have been fully paid.

Office 2201 Sweeney Drive CLINTON, PA 15026 Phone 412-263-1338 PG Publishing Company, a Corporation, Publisher of Pittsburgh Post-Gazette, a Newspaper of General Circulation

64 Samuel J. Arbutina By

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

#### COPY OF NOTICE OR PUBLICATION

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE Application of Energy Paradigm, LLC (d/b/a Energy Paradigm, LLC) For Ap-proval To Offer, Render, Furnish Or Supply Electricity Or Electric Generation Services As A Generator And Supplier Of Electric Power, A Marketer / Bro-Power, A Marketer 7 Bro-ker Engaged in The Busi-ness of Supplying Electrici-ty, And An Aggregator Engaged in The Business of Supplying Electricity, To The Public In The Com-monwealth Of Pennsylva-be Correct Deceding ULC nia. Energy Paradigm, LLC will be filing an application with the Pennsylvania **Public Utility Commission** (PUC) for a license to sup-ply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a bro-ker / marketer engaged in the business of supplying electricity, and (3) an ag-gregator engaged in the business of supplying electricity. Energy Paradigm, LLC proposes to sell electricity and related services throughout the Entire Commonwealth under the provisions of the new Electricity Generation Custom-er Choice and Competition Act. The PUC may consider this application without a hearing. Protests direct-ed to the technical or fi-Paradigm, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265, You should send copies of any protest to Energy Paradigm, LLC at the address listed below. Eric Thames Energy Paradigm, LLC 6533 Virginia Square, Texas 76017 817-539-0408, fax: 883-816-2712

(Under act P.L. 877 No 160. July 9,1976) The Scranton Times Commonwealth of Pennsylvania, County of Lackawanna

ENERGY PARADIGM LLC ERIC THOMAS 6533 VIRGINIA SQUARE ARLINGTON TX 76017

Account # 552545 Order # 82000669 Ad Price: 237.50

LEGAL NOTICE PENNSYLVANI

Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

10/17/2016

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true

Sworn and subscribed to before me this 17th day of October A.D., 2016

(Notary Public)

COMMONWEALTH OF PENNSYLVANIA Notabul Scal Sharon Ventury, Metary Public City of Screnton, Lackhwanna County My Commission Expires Feb. 12, 2018 HEMBER, PERNSYLVANIA LELOCIATION OF HOTALLE

RECEIVED

JAN 1 1 2017

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

LEGAL NOTICE

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE Application of Energy Paradigm, LLC (d/b/a "Energy Paradigm, LLC") For Approval To Offer, Render, Furnish Or Supply Electricity Or Electric Gener-ation Services As A Generator And Supplier Of Electric Power, A Marketery Broker Engaged In The Business Of Supplying Electricity, And An Aggre-gator Engaged In The Business Of Supplying Electricity, To The Public In

The Commonwealth Of Pennsylvania.

Energy Paradigm, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a brokar/marketer engaged in the busi-ness of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. Energy Paradigm, LLC proposes to sell elec-tricity and related services throughout the Entire Commonwealth under the provisions of the new Electricity Gen-eration Customer Choice and Competi-tion Act. tion Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of En-ergy Paradigm, LLC may be filed within 15 days of the date of this no-tice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Energy Paradigm, LLC at the ad-dress listed below.

Eric Thames Eric Inames Energy Paradigm, LLC 6533 Virginia Square Arlington, Texas 76017 817-539-0408 888-816-2712

#### **Proof of Publication in The Philadelphia Daily News** Under Act. No 587, Approved May 16, 1929

#### STATE OF PENNSYLVANIA **COUNTY OF PHILADELPHIA**

Cindy Jakubowski being duly sworn, deposes and says that The Philadelphia Daily News is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

October 17, 2016

Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

Cindy Jabulrowski

Sworn to and subscribed before me this 17<sup>th</sup> day of October, 2016.

1 Kory and

My Commission Expires:

COMMONWEALTH OF PENNSYLVANIA

Copy of Notice of Publication

PENNSYLVANIA PUBLIC UTILITY COMMIS-SION NOTICE Application of Energy Paradigm, LLC (d/b/a Energy Paradigm, LLC) for Appro-val To Offer, Render, Furnish Or Supply Elec-tricity Or Electric Generation Services As A Generator And Supplier Of Electric Power, A Marketer / Broker Engaged in The Business Of Supplying Electricity, And An Aggregator En-gaged in The Business Of Supplying Electricity, To The Public in The Commonwealth Of Penn-sylvania. Energy Paradigm, LLC will be filling an application with the Pennsylvania Public Utility Commission (PUC) for a license to supply elec-tricity or electric generation services as (1) a generator and suppler of electric by electricity. To The Public of a license to supply elec-tricity or electric generation services as (1) a generator and suppler of electric by the generator and suppler of electric by the sublecutility. Energy Paradigm, LLC propess to suble elec-tricity and related services throughout the Entire Commonwealth under the propess to suble elec-tricition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Energy Paradigm, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3285, Harrisburg, PA 17105-3265. You should send copies of any protest to Energy Paradigm, LLC at the address listed below. Eric Thannes, Energy Paradigm, LLC 8533 Virginia Square, Arlington, Texas 76017, 817-539-0408 Fax 688-818-2712 RECEIVED JAN 11 2017 PA PUBLIC UTILITY COMMISSION

SECRETARY'S BUREAU

NOTARIAL SEAL MARY ANNE LOGAN, Notary Public City of Philadelphia, Phila, County My Commission Expires March 30, 2017

#### **COMMONWEALTH OF PENNSYLVANIA County of Cambria** SS

### PENNSYLVANIA PUBLIC UTILITY COMMISSION

NOTICE Application of Energy Paradigm, LLC (d/b/a Energy Paradigm, LLC) For Approval To Offer, Render, Furnish Or Supply Electricity Or Electric Generation Services As A Generator And Supplier Of Electric Power, A Marketer / Broker Engaged In The Business Of Supplying Electricity, And An Aggregator Engaged In The Business Of Supplying Electricity, To The Public In The Commonwealth Of Pennsylvania. Energy Paradigm, LLC will be filing an application with the Pennsylvania Public Utility Commission (PUC) for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker / marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. Energy business of supplying electricity, and (3) an aggregator lengaged in the business of supplying electricity. Energy Paradigm, LLC proposes to sell electricity and related services throughout the Entire Commonwealth under the provisions of the new Electricity Generation Customer Choice and Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Energy Paradigm, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Energy Paradigm, LLC at the address listed below. Eric Thames Energy Paradigm, LLC 6533 Virginia Square, public Arington, Texas 76017 817-539-0408 Fax 888-816-2712

On this 24th day of October A.D. 2016, before me, the subscriber, a Notary Public in and for said County and State, personally appeared Christine Marhefka, who being duly sworn according to law, deposes and says as Classified Advertising Manager of the Tribune-Democrat, Johnstown, PA, a newspaper of general circulation September 8, 1952, of the Johnstown Johnstown Democrat, established

that the annexea is a true copy of a norme in the above matter published in said publication in the regular issues of The Johnstown Tribune-Democrat, Johnstown, PA, on October 19, 2016; and that the Affiant is not interested in the subject matter of said notice or advertising and that all of the allegations as to time, place and character of said publication are true.

#### STATEMENT OF ADVERTISING COSTS

Sworn and Subscribed before me this 24th day of October, 2016.

luni

0.00 Lines @ \$2.50 per line	0.00
5.5 Inches @ \$25.00 per inch	137.50
Notary Fee	5.00
Clerical Fee	2.50
Total Cost	145.00

COMMONWEALTH OF PENNSYLVANIA Notarial Seal Vivian Ohs, Notary Public City of Johnstown, Cambria County My Commission Expires Dec. 6, 2016 MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

To The Tribune-Democrat, Johnstown, PA For publishing the notice or publication attached hereto on the above stated dates.

#### PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

for publisher of \_\_\_\_

a newspaper of general circulation, hereby acknowledges receipt of the aforesaid and publication costs and certifies that the same has been duly paid.

RECIE

(Name of Newspaper)

By

JAN 11 2017

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	ENERGY PARADIGM, LLC ERIC THAMES 6533 VIRGINIA SQUARE ARLINGTON , TX 76017			Acct #: Ad #: Phone: Date: Ad taker: v	10/18/2016	son:
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Ad Text PENNS NOTICE	YLVANIA PUBLIC UTILITY CO	MMISSION		Payr	ment Reference:	
Approva Genera Markete And An To The Paradig Utility C	tion of Energy Paradigm, LLC ( al To Offer, Render, Furnish Or tion Services As A Generator A er / Broker Engaged In The Bus Aggregator Engaged In The B Public In The Commonwealth ( m, LLC will be filing an applicat commission (PUC) for a license t ion services as (1) a generator	Supply Electricity and Supplier Of Ele- siness Of Supplyin usiness Of Supply Of Pennsylvania. I tion with the Penn to supply electricity	Or Electric ectric Power, A og Electricity, ying Electricity, Energy sylvania Public y or electric		Tota Tax Ne Prepaic Total Du	<b>c:</b> 0.00 <b>t:</b> 145.00 <b>d:</b> -145.00

#### **Appendix C**

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

#### Example CERTIFICATE OF SERVICE

On this the <u>Jan</u> day of <u>20</u>  $20_{17}$  | certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all <u>NON-CONFIDENTIAL</u> attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:

Bureau of Investigation & Enforcement Pennsylvania Public Utility Commission Commonwealth Keystone Building 400 North Street, 2 West Harrisburg, PA 17120

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120

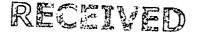
Small Business Advocate Commerce Building, Suite 202 300 North Second Street Harrisburg, PA 17101

Legal Department West Penn Power d/b/a Allegheny Power 800 Cabin Hill Drive Greensburg, PA 15601-1689

Regulatory Affairs Duquesne Light Company 411 Seventh Street, MD 16-4 Pittsburgh, PA 15219

Legal Department First Energy 2800 Pottsville Pike Reading PA, 19612

Citizens' Electric Company Attn: EGS Coordination 1775 Industrial Boulevard Lewisburg, PA 17837



JAN 1 1 2017

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946

Director of Customer Energy Services Orange and Rockland Company 390 West Route 59 Spring Valley, NY 10977-5300

Manager Energy Acquisition PECO Energy Company 2301 Market Street Philadelphia, PA 19101-8699

Office of General Counsel Attn: Kimberly A. Klock PPL Two North Ninth Street (GENTW3) Allentown, PA 18101-1179

UGI Utilities, Inc. Attn: Rates Dept. – Choice Coordinator 2525 N. 12th Street, Suite 360 Post Office Box 12677 Reading, Pa 19612-2677

Wellsboro Electric Company Attn: EGS Coordination 33 Austin Street P. O. Box 138 Wellsboro, PA 16901

Eric Thomas Presdient Energy Prictign, Lic

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Energy Paradign, LLC 6533 Uirginic Square

Allington, Texes 76017

ERIC THAMES 6533 VIRGINIA SQUARE Arlington TX 76017

SHIP TO:

COMMONWEALTH OF PENSYLVANIA PENNSYLVANIA PUBLIC UTIL COMMIS PO BOX 3265 ATTN AMANDA EAGLE HARRISBURG PA 17105 – 3265



Conmonwealth of Pennsylvania Pennsylvenia Public Utility Commission P.O. Box 3265 Harrisburg, PA 17105-3265 Arn' Amarda Eagle