



COMMONWEALTH OF PENNSYLVANIA
PENNSYLVANIA PUBLIC UTILITY COMMISSION
P.O. BOX 3265, HARRISBURG, PA 17105-3265

January 26, 2017

VIA ELECTRONIC FILING

Rosemary Chiavetta, Secretary
Pennsylvania Public Utility Commission
P.O. Box 3265
Harrisburg, PA 17105-3265

Re: Pennsylvania Public Utility Commission, Bureau of Investigation
and Enforcement v. Celebrity Limousine Service Inc.
Docket No. C-2017-_____

Dear Secretary Chiavetta:

Enclosed for electronic filing please find the Complaint in the above-referenced case on behalf of the Bureau of Investigation and Enforcement of the Pennsylvania Public Utility Commission. Copies have been served on the parties of record in accordance with the Certificate of Service.

Sincerely,

A handwritten signature in blue ink, appearing to read "K. Myers", is written over a light blue circular stamp.

Kourtney L. Myers
Prosecutor
PA Attorney ID No. 316494

Prosecutor for the Bureau of
Investigation and Enforcement

Enclosures

cc: As per Certificate of Service

NOTICE

A. You must file an Answer within 20 days of the date of service of this Complaint.

The date of service is the mailing date as indicated at the top of the Secretarial Letter. *See* 52 Pa. Code §1.56(a). The Answer must raise all factual and legal arguments that you wish to claim in your defense, include the docket number of this Complaint, and be verified. You may file your Answer by mailing an original to:

Rosemary Chiavetta, Secretary
Pennsylvania Public Utility Commission
P.O. Box 3265
Harrisburg, PA 17105-3265

Or, you may eFile your Answer using the Commission's website at www.puc.pa.gov. The link to eFiling is located under the Filing & Resources tab on the homepage. If your Answer is 250 pages or less, you are not required to file a paper copy. If your Answer exceeds 250 pages, you must file a paper copy with the Secretary's Bureau.

Additionally, a copy should either be mailed to:

Michael L. Swindler, Deputy Chief Prosecutor
Pennsylvania Public Utility Commission
Bureau of Investigation and Enforcement
P.O. Box 3265
Harrisburg, PA 17105-3265

Or, emailed to Mr. Swindler at:

RA-PCCmplntResp@pa.gov

B. If you fail to answer this Complaint within 20 days, the Bureau of Investigation and Enforcement will request that the Commission issue an Order imposing the penalty.

C. You may elect not to contest this Complaint by paying the past due assessment and civil penalty within 20 days. Send only a certified check or money order made payable to the "Commonwealth of Pennsylvania" and mail to:

Rosemary Chiavetta, Secretary
Pennsylvania Public Utility Commission
P.O. Box 3265
Harrisburg, PA 17105-3265

D. If you file an Answer which either admits or fails to deny the allegations of the Complaint, the Bureau of Investigation and Enforcement will request the Commission to issue an Order imposing the penalty set forth in this Complaint.

E. If you file an Answer which contests the Complaint, the matter will be assigned to an Administrative Law Judge for hearing and decision. The Judge is not bound by the penalty set forth in the Complaint, and may impose additional and/or alternative penalties as appropriate.

F. If you are a corporation, you must be represented by legal counsel. 52 Pa. Code § 1.21.

G. Alternative formats of this material are available for persons with disabilities by contacting the Commission's ADA Coordinator at 717-787-8714.

**BEFORE THE
PENNSYLVANIA PUBLIC UTILITY COMMISSION**

Pennsylvania Public Utility Commission	:	
Bureau of Investigation and Enforcement	:	
	:	
v.	:	Docket No. C-2017-
	:	
Celebrity Limousine Service Inc.	:	

COMPLAINT

NOW COMES the Bureau of Investigation and Enforcement (I&E) of the Pennsylvania Public Utility Commission (Commission), by its prosecuting attorneys, and files this Complaint against Celebrity Limousine Service Inc. (Respondent), pursuant to Section 701 of the Public Utility Code, 66 Pa.C.S. § 701. In support of its Complaint, I&E respectfully represents the following:

Parties and Jurisdiction

1. The Pennsylvania Public Utility Commission, with a mailing address of P.O. Box 3265, Harrisburg, PA 17105-3265, is a duly constituted agency of the Commonwealth of Pennsylvania empowered to regulate public utilities within the Commonwealth pursuant to the Public Utility Code, 66 Pa.C.S. §§ 101, *et seq.*

2. Complainant is the Commission's Bureau of Investigation and Enforcement and is the entity established by statute to prosecute complaints against public utilities pursuant to 66 Pa.C.S. § 308.2(a)(11).

3. Complainant is represented by:

Kourtney L. Myers
Prosecutor
Pennsylvania Public Utility Commission
Bureau of Investigation and Enforcement
P.O. Box 3265
Harrisburg, PA 17105-3265
717.705.4366
komyers@pa.gov

Michael L. Swindler
Deputy Chief Prosecutor
Pennsylvania Public Utility Commission
Bureau of Investigation and Enforcement
P.O. Box 3265
Harrisburg, PA 17105-3265

4. Respondent is Celebrity Limousine Service Inc. and maintains its principal place of business at 2521 Yellow Springs Road, Suite 3, Malvern, PA 19355, Attention: Dennis B. Adams.

5. Respondent is a “public utility” as that term is defined at 66 Pa.C.S. § 102, as it is engaged in transporting passengers in the Commonwealth of Pennsylvania for compensation.

6. The Commission issued Respondent a Certificate of Public Convenience on or about May 27, 1992, at A-00110054, for limousine, group and party 15 or less, and group and party 16 or greater authority and on July 29, 2011, at A-2011-2237624, for group and party 28 or greater authority.

7. Section 501(a) of the Public Utility Code, 66 Pa.C.S. § 501(a), authorizes and obligates the Commission to execute and enforce the provisions of the Public Utility Code.

8. Section 701 of the Public Utility Code, 66 Pa.C.S. § 701, authorizes the Commission to, *inter alia*, hear and determine complaints against public utilities for a violation of any law or regulation that the Commission has jurisdiction to administer.

9. Section 3301 of the Public Utility Code, 66 Pa.C.S. § 3301, authorizes the Commission to impose civil penalties on any public utility, or any other person or corporation subject to the Commission's authority, for violation(s) of the Public Utility Code and/or Commission regulations.

10. Respondent, in transporting passengers as a common carrier for compensation, is subject to the power and authority of this Commission pursuant to Section 501(c) of the Public Utility Code, 66 Pa.C.S. § 501(c), which requires a public utility to comply with Commission regulations.

11. Pursuant to the provisions of the applicable Commonwealth statutes and regulations, the Commission has jurisdiction over the subject matter of this complaint and the actions of Respondent related thereto.

Factual Background

Prior Complaints

12. On October 27, 2014, I&E filed a Complaint against Respondent at Docket No. C-2014-2449858, alleging that Respondent violated Section 510(c) of the Public Utility Code, 66 Pa.C.S. § 510(c), by failing to pay its assessment to the Commission for the July 1, 2013 to June 30, 2014 Fiscal Year (2013-2014 Fiscal Year) in the amount of \$28,091. In addition to payment of the outstanding assessment for the 2013-2014 Fiscal Year, I&E sought payment of a civil penalty in the amount of \$4,214.

13. On March 11, 2015, I&E filed a Certificate of Satisfaction pursuant to 52 Pa. Code § 5.24, noting that Respondent satisfied the outstanding assessment for the 2013-2014 Fiscal Year and civil penalty sought in I&E's Complaint.

14. On August 18, 2015, I&E filed a Complaint against Respondent at Docket No. C-2015-2498921, alleging that Respondent violated Section 510(c) of the Public Utility Code, 66 Pa.C.S. § 510(c), by failing to pay its assessment to the Commission for the July 1, 2014 to June 30, 2015 Fiscal Year (2014-2015 Fiscal Year) in the amount of \$40,580. In addition to payment of the outstanding assessment for the 2014-2015 Fiscal Year, I&E sought payment of a civil penalty in the amount of \$10,145.

15. The Complaint docketed at C-2015-2498921 was scheduled for an initial hearing on June 16, 2016 before Administrative Law Judge (ALJ) Angela T. Jones.

16. On June 16, 2016, the parties appeared before ALJ Jones and participated in an off-the-record settlement conference in lieu of the initial hearing.

17. At the conclusion of the settlement conference in an on-the-record discussion, ALJ Jones summarized the terms of the settlement that was achieved between the parties and directed the parties to file a Certificate of Satisfaction stating that Respondent agreed, *inter alia*, to pay the remainder of its outstanding assessment to the Commission for the 2014-2015 Fiscal Year in the amount of \$35,580 and the agreed-upon civil penalty in the amount of \$5,073 on or before August 1, 2016.

18. On June 30, 2016, I&E filed the Certificate of Satisfaction noting in its transmittal letter that I&E would generally not file a Certificate of Satisfaction until a formal complaint was satisfied and that the Certificate of Satisfaction was being provided

in accordance with the directives of presiding ALJ Jones as set forth during the on-the-record discussion that took place on June 16, 2016.

19. On August 3, 2016, Respondent submitted payment in the amount of \$23,720 and on November 25, 2016, Respondent submitted payment in the amount of \$16,933, which satisfied the remainder of its outstanding assessment for the 2014-2015 Fiscal Year and the agreed-upon civil penalty.

2015-2016 Fiscal Year Assessment

20. Per the Certificate of Satisfaction at Docket No. C-2015-2498921, Respondent also agreed to pay its outstanding assessment to the Commission for the July 1, 2015 to June 30, 2016 Fiscal Year (2015-2016 Fiscal Year) in the amount of \$27,803 on or before December 31, 2016. In return, I&E agreed to hold in abeyance the filing of any complaint against Respondent related to the 2015-2016 Fiscal Year Assessment if such assessment was paid to the Commission on or before December 31, 2016. It was further agreed that should Respondent fail to make payment of the 2015-2016 Fiscal Year Assessment, I&E reserved the right to institute a formal complaint against Respondent seeking relief, as deemed appropriate.

21. The Commission previously mailed to Respondent, by certified mail, an assessment invoice for the 2015-2016 Fiscal Year on September 10, 2015.

22. Accompanying the assessment invoice was a notice that informed Respondent that it was obligated to pay the amount listed on the assessment invoice within thirty (30) days or file objections within fifteen (15) days.

23. On September 14, 2015, Respondent signed a certified mail card, which indicated that it received the assessment invoice and notice of assessment for the 2015-2016 Fiscal Year.

24. The Commission received no objections from Respondent to the assessment amount set forth in the 2015-2016 Fiscal Year Assessment Invoice.

25. In electronic mail correspondence from I&E to Respondent's owner, Dennis B. Adams, on November 30, 2016 and from I&E to Respondent's attorney, James B. Urie, on December 23, 2016, I&E reminded Respondent of its obligation to pay its 2015-2016 Fiscal Year Assessment to the Commission by December 31, 2016.

26. Respondent failed to submit payment of its 2015-2016 Fiscal Year Assessment to the Commission by December 31, 2016 and as a result, Respondent is in breach of the Certificate of Satisfaction at Docket No. C-2015-2498921.

27. Respondent's outstanding assessment balance related to the 2015-2016 Fiscal Year is \$27,803.

Violation

28. Respondent failed to satisfy its 2015-2016 Fiscal Year Assessment in that it did not pay the amount due by December 31, 2016 as agreed upon in the Certificate of Satisfaction at Docket No. C-2015-2498921. If proven, this is a violation of Section 510(c) of the Public Utility Code, 66 Pa.C.S. § 510(c). The Bureau of Investigation and Enforcement's proposed civil penalty for this violation is 25% of the outstanding assessment balance or \$6,951. This civil penalty is based, in part, on

Respondent's history of noncompliance with the Public Utility Code involving a failure to timely pay assessments, as set forth above.

WHEREFORE, for all the foregoing reasons, the Pennsylvania Public Utility Commission's Bureau of Investigation and Enforcement respectfully requests that:

(a) Respondent be ordered to pay by certified check or money order a total of \$34,754, which consists of its outstanding assessment balance of \$27,803 related to the 2015-2016 Fiscal Year and a civil penalty of \$6,951 for the above-described violation;

(b) the Commission issue an Order to cancel the Certificates of Public Convenience issued to Respondent at A-00110054 and A-2011-2237624 due to Respondent's repeated failure to comply with the Public Utility Code regarding the timely payment of assessments;

(c) the Commission order such other remedy that it may deem appropriate, including the addition of a \$1,000 civil penalty for each and every day that Respondent fails to pay its outstanding assessment to the Commission for the 2015-2016 Fiscal Year; and

(d) If payment of the outstanding assessment and civil penalty is not made, the Bureau of Investigation and Enforcement requests that:

(1) this matter be referred to the Pennsylvania Office of Attorney General for appropriate action; and

(2) the Commission certify Respondent's automobile registrations to the Pennsylvania Department of Transportation for suspension or revocation.

Respectfully submitted,



Kourtney L. Myers
Prosecutor

Pennsylvania Public Utility Commission
Bureau of Investigation and Enforcement
P.O. Box 3265
Harrisburg, PA 17105-3265
717.705.4366
komyers@pa.gov

Date: January 26, 2017

Pennsylvania Public Utility Commission
Bureau of Investigation and Enforcement

v.

Celebrity Limousine Service Inc.


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Docket No. C-2017-

VERIFICATION

I, Yvonne R. Hess, Chief, Bureau of Administrative Services, Financial and Assessment Section, hereby state that the facts above set forth are true and correct to the best of my knowledge, information, and belief and that I expect the Bureau will be able to prove the same at any hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa.C.S. § 4904 relating to unsworn falsification to authorities.

Date: January 26, 2017



Yvonne R. Hess, Chief
Financial and Assessment Section
Bureau of Administrative Services
PA. Public Utility Commission
P.O. Box 3265
Harrisburg, PA 17105-3265

Pennsylvania Public Utility Commission
Bureau of Investigation and Enforcement

v.

Celebrity Limousine Service Inc.

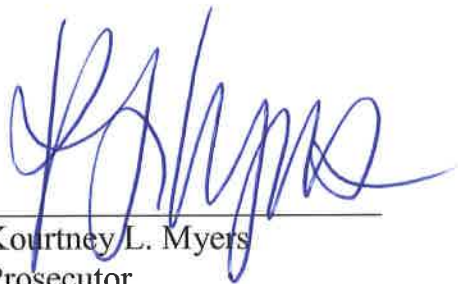
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: Docket No. C-2017-
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CERTIFICATE OF SERVICE

I hereby certify that I have this day served a true copy of the foregoing Complaint upon the parties, listed below, in accordance with the requirements of 52 Pa. Code § 1.54 (relating to service by a party).

Notification by Certified Mail:

Celebrity Limousine Service Inc.
2521 Yellow Springs Road
Malvern, PA 19355



Kourtney L. Myers
Prosecutor
PA Attorney ID No. 316494

Pennsylvania Public Utility Commission
Bureau of Investigation and Enforcement
P.O. Box 3265
Harrisburg, PA 17105-3265
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Dated: January 26, 2017