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FEB - 1 2017

Version Revised 07/01/16

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S OFFICE  
**BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION**

Application of Pennell & Wiltberger Inc., d/b/a \_\_\_\_\_, for approval to offer, render, furnish, or supply electricity or electric generation services as a(n) [as specified in item #4b below] to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

**1. IDENTIFICATION AND CONTACT INFORMATION**

- a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

Pennell & Wiltberger Inc.  
165 Township Line Road, Suite 2200  
Jenkintown, PA 19046  
www.pwius.com  
215-241-9100

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

N/A

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Lori Van der Voort, Director of Energy  
165 Township Line Road, Suite 2200  
Jenkintown, PA 19046  
(t) 215-241-9100 (f) 215-241-9114  
lvandervoort@pwius.com

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Lamb McErlane PC  
24 East Market Street, Box 565  
West Chester, PA 19381-0565  
Attn: Robert A. Alston, Esq.  
(t) 610-430-8000 (f) 610-692-6210

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: (Required of ALL Applicants)** Provide the name, title, address, telephone number, FAX number, and e-mail **OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED)** responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

Lori Van der Voort, Director of Energy  
165 Township Line Road, Suite 2200  
Jenkintown, PA 19046  
(t) 215-241-9100 (f) 215-241-9114  
lvandervoort@pwius.com

Nate Paist, Energy Procurement Lead  
165 Township Line Road, Suite 2200  
Jenkintown, PA 19046  
(t) 215-241-9100 (f) 215-241-9114  
npaist@pwius.com

## 2. BUSINESS ENTITY FILINGS AND REGISTRATION

a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

**OR**

The Applicant will not be using a fictitious name.

b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**

*(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)*

The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

**OR**

The Applicant is a:

- domestic general partnership (\*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- \* If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

**OR**

The Applicant is a:

- domestic corporation (15 Pa. C.S. §1308)
- foreign corporation (15 Pa. C.S. §4124)
- domestic limited liability company (15 Pa. C.S. §8913)
- foreign limited liability company (15 Pa. C.S. §8981)
- Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.
- Give name and address of officers.

Thomas A. Bathgate  
President/CEO  
1845 Canterbury Road  
Abington, PA 19001

John J. McDevitt  
Vice-President  
53 Lincoln Drive  
Laurel Springs, NJ 08012

### 3. AFFILIATES AND PREDECESSORS

*(both in state and out of state)*

a. **AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

b. **PREDECESSORS:** Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

## 4. OPERATIONS

a. **APPLICANT'S PRESENT OPERATIONS:** *(select and complete the appropriate statement)*

Definitions

- Supplier – an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC.
- Aggregator - an entity that purchases electric energy and takes title to electric energy as an intermediary for sale to retail customers.
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of electric energy but does not take title to electric energy.

The Applicant is presently doing business in Pennsylvania as a

- municipal electric corporation
- electric cooperative
- local gas distribution company
- provider of electric generation, transmission or distribution services
- broker/marketer engaged in the business of supplying electricity services
- Other; Identify the nature of service being rendered.
  - Broker/Marketer of Natural Gas
  - Energy Conservation Initiatives through Engineering Design and Energy Studies of Facilities.

**OR**

The Applicant is not presently doing business in Pennsylvania.

b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a *(may check multiple)*:

- Generator of electricity
- Supplier of electricity
- Aggregator engaged in the business of supplying electricity
- Broker/Marketer engaged in the business of supplying electricity services
  - Check here to verify that your organization will not be taking title to the electricity nor will you be making payments for customers.
- Electric Cooperative and supplier of electric power
- Other (Describe):

**c. PROPOSED SERVICES:** Describe in detail the electric services or the electric generation services which the Applicant proposes to offer.

Pennell & Wiltberger Inc, will be acting as a consulting agent to assist our client's in the process of purchasing electric through suppliers. Pennell & Wiltberger Inc. will not take title of the power nor will we be responsible for any part of the financial transaction.

We will assist our clients with developing a Request for Proposal, (RFP) that will be sent to potential suppliers. In developing this RFP, Pennell & Wiltberger Inc. will be responsible for organizing our client's accounts to develop aggregated usage profiles. We will evaluate the different market products to help clients decipher the best fit. We will also help analyze the bid results and negotiate with the preferred supplier to execute a contract. Post contract award, Pennell & Wiltberger Inc. will perform bill review services to assure accuracy.

**d. PROPOSED SERVICE AREA:** Check the box of each Electric Distribution Company for which the Applicant proposes to provide service.

- |   |   |
|---|---|
| <input type="checkbox"/> Citizens' Electric | <input type="checkbox"/> Pike                                 |
| <input type="checkbox"/> Duquesne Light     | <input type="checkbox"/> PPL                                  |
| <input type="checkbox"/> Met-Ed             | <input type="checkbox"/> UGI Utilities                        |
| <input type="checkbox"/> PECO               | <input type="checkbox"/> Wellsboro                            |
| <input type="checkbox"/> Penelec            | <input type="checkbox"/> West Penn                            |
| <input type="checkbox"/> Penn Power         |   |
|   | <input checked="" type="checkbox"/> Entire Commonwealth of PA |

**e. CUSTOMERS:** Applicant proposes to provide services to:

- Residential Customers
- Small Commercial Customers - (25 kW and Under)
- Residential and Small Commercial as Mixed Meter ONLY (CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE)
- Large Commercial Customers - (Over 25 kW)
- Industrial Customers
- Governmental Customers
- All of above (Except Mixed Meter)
- Other (Describe):

**f. START DATE:** Provide the approximate date the Applicant proposes to actively market within the Commonwealth.

February 1, 2017

## 5. COMPLIANCE

- a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years. NONE

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

- b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above. N/A

- c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. If the Applicant has no actions or complaints to list, explicitly state such.

NONE

- d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

N/A

## 6. PROOF OF SERVICE

**Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.**  
(Example Certificate of Service is attached at Appendix C)

- a. **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Bureau of Investigation & Enforcement  
Pennsylvania Public Utility Commission  
Commonwealth Keystone Building  
400 North Street, 2 West  
Harrisburg, PA 17120

Office of the Attorney General  
Bureau of Consumer Protection  
Strawberry Square, 14th Floor  
Harrisburg, PA 17120

Office of Consumer Advocate  
5th Floor, Forum Place  
555 Walnut Street  
Harrisburg, PA 17120

Commonwealth of Pennsylvania  
Department of Revenue  
Bureau of Compliance  
Harrisburg, PA 17128-0946

Office of the Small Business Advocate  
Commerce Building, Suite 202  
300 North Second Street  
Harrisburg, PA 17101

- b. **EDCs:** Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Electric Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each EDC is as follows.

**Pike County Light & Power Company:**  
Director of Customer Energy Services  
Orange and Rockland Company  
390 West Route 59  
Spring Valley, NY 10977-5300

**West Penn:**  
Legal Department  
West Penn Power d/b/a Allegheny Power  
800 Cabin Hill Drive  
Greensburg, PA 15601-1689

**PECO:**  
Manager Energy Acquisition  
PECO Energy Company  
2301 Market Street  
Philadelphia, PA 19101-8699

**Duquesne Light:**  
Regulatory Affairs  
Duquesne Light Company  
411 Seventh Street, MD 16-4  
Pittsburgh, PA 15219

**PPL:**  
Legal Department  
Attn: Paul Russell  
PPL  
Two North Ninth Street  
Allentown, PA 18108-1179

**Met-Ed, Penelec, and Penn Power:**  
Legal Department  
First Energy  
2800 Pottsville Pike  
Reading PA, 19612

**UGI:**  
UGI Utilities, Inc.  
Attn: Rates Dept. – Choice Coordinator  
2525 N. 12th Street, Suite 360  
Post Office Box 12677  
Reading, Pa 19612-2677

**Citizens' Electric Company:**  
Citizens' Electric Company  
Attn: EGS Coordination  
1775 Industrial Boulevard  
Lewisburg, PA 17837

**Wellsboro Electric Company:**  
Wellsboro Electric Company  
Attn: EGS Coordination  
33 Austin Street  
P. O. Box 138  
Wellsboro, PA 16901

## 7. FINANCIAL FITNESS

a. **BONDING:** In accordance with 66 Pa. C.S. Section 2809(c)(1)(i), the Applicant is required to file a bond or other instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant is...

- Furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.
- Furnishing the **ORIGINAL** of another initial security for Commission approval, to ensure financial responsibility, such as a parental guarantee, in the amount of \$250,000.
- For Marketers and Brokers** - Filing for a modification to the \$250,000 requirement and furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.

### CRITICAL BONDING NOTES:

Applicant is required to maintain a bond or other financial instrument the entire time it maintains an EGS license with the Commonwealth of Pennsylvania. If Applicant's security instrument is not continuous, Applicant **MUST** submit a Rider, Amendment, or Continuation Certificate annually based on the expiration date of its security instrument.

Sixty days (60) prior to the security instrument's expiration date, Applicant should contact Stephen Jakab at [sjakab@pa.gov](mailto:sjakab@pa.gov) to determine the appropriate bonding amount based on a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. Once the amount has been determined, Applicant should overnight the updated security instrument(s) at least thirty (30) days prior to the expiration date to ensure adequate time for staff review and approval of the security instrument(s).

Example version of a bond, letter of credit, and parental guarantee are attached at Appendix D, E, & F, respectively. Applicant's security must follow language from these examples. Any deviation from these examples must be identified in the application and may not be acceptable to the Commission.

**b. FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
- Applicant's accounting statements, including balance sheet and income statements for the past two years.
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements exhibiting accounts over a minimum two year period.
- Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

**c. SUPPLIER FUNDING METHOD:** If Applicant is operating as anything other than **Broker/Marketer only**, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

N/A

**d. BROKER PAYMENT STRUCTURE:** If applicant is a broker/marketer, explain how your organization will be collecting your fees.

Fixed fees contracted with the customer will be added to the negotiated supplier price and paid through the supplier bills.

**e. ACCOUNTING RECORDS CUSTODIAN:** Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

Kenneth Deary, Controller  
10 Melrose Avenue, Suite 430  
Cherry Hill, NJ 08003  
(t)215-241-9100 (f)215-241-9112  
kdeary@pwius.com

**f. TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix G to this application.

*All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.*

*Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.*

**8. TECHNICAL FITNESS:**

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

**a. EXPERIENCE, PLAN, STRUCTURE:** such information may include:

- Applicant's previous experience in the electricity industry.
- Summary and proof of licenses as a supplier of electric services in other states or jurisdictions.
- Type of customers and number of customers Applicant currently serves in other jurisdictions.
- Staffing structure and numbers as well as employee training commitments.
- Business plans for operations within the Commonwealth.
- Documentation of membership in PJM, ECAR, MAAC, other regional reliability councils, or any other membership or certification that is deemed appropriate to justify competency to operate as an EGS within the Commonwealth.
- Any other information appropriate to ensure the technical capabilities of the Applicant.

**b. PROPOSED MARKETING METHOD** *(check all that apply)*

- Internal – Applicant will use its own internal resources/employees for marketing
- External EGS – Applicant will contract with a PUC **LICENSED EGS** broker/marketer
- Affiliate – Applicant will use a **NON-EGS** affiliate marketing company and or individuals.
- External Third-Party – Applicant will contract with a **NON-EGS** third party marketing company and or individuals
- Other (Describe):

**c. DOOR TO DOOR SALES:** Will the Applicant be implementing door to door sales activities?

- Yes
- No

If yes, will the Applicant be using verification procedures?

- Yes
- No

If yes, describe the Applicant's verification procedures.

- d. **OVERSIGHT OF MARKETING:** Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.
- e. **OFFICERS:** Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.
- f. **FERC FILING:** Applicant has:
- Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.
  - Received approval from FERC to be a Power Marketer at Docket or Case Number \_\_\_\_\_.
  - Not applicable

## 9. DISCLOSURE STATEMENTS:

**Disclosure Statements:** If proposing to serve Residential and/or Small Commercial (under 25 kW) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix H to this Application.

- Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

***Not applicable for an applicant applying for a license exclusively as a broker/marketer.***

## 10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. **PJM LOAD SERVING ENTITY REQUIREMENT:** As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:
- proof of registration as a PJM Load Serving Entity (LSE), or
  - proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

*(Select only one of the following)*

- AGREED - Applicant has included compliance with this requirement in the instant application, labeled in correspondence with this section (10).
- AGREED - Applicant will provide compliance with this requirement within 120 days of receiving its license
- ACKNOWLEDGED - Applicant is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information

**b. STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43, as well as any future amendments.

AGREED

**c. REPORTING REQUIREMENTS:** Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:

- Retail Electricity Choice Activity Reports: The regulations at 52 Pa. Code §§ 54.201–54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's internet web site.
- Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
- The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
- Net Metering Reports: Applicant shall be responsible to report any Net Metering per the Standards on [http://www.puc.pa.gov/consumer\\_info/electricity/alternative\\_energy.aspx](http://www.puc.pa.gov/consumer_info/electricity/alternative_energy.aspx). Scroll down to the Net Metering Standards Section.
- Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis per 52 Pa. Code § 54.39(d).
- Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.

AGREED

- d. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.

AGREED

- e. **ANNUAL FEES:** The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling electricity in the Commonwealth of PA, and an annual supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.

ACKNOWLEDGED

- f. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.

AGREED

- g. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

AGREED

- h. **NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within twenty (20) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 54.34.

AGREED

- i. **CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

AGREED

- j. **Electronic Data Interchange:** The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at Appendix K.

AGREED

- k. **FILING FEE:** The Applicant has enclosed or paid the required, non-refundable filing fee by **CERTIFIED CHECK OR MONEY ORDER** in the amount of \$350.00 payable to the Commonwealth of Pennsylvania. The Commission does not accept corporate or personal checks for filing fees.

PAYMENT ENCLOSED

## 11. AFFIDAVITS

**Must be notarized before filing.**

- a. **APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.
- b. **OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.

## 12. NEWSPAPER PUBLICATIONS

**Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.**

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve.

The chart below dictates which newspapers are necessary for each EDC. For example, an applicant that wants to operate in Penn Power would need to run ads in both The Erie Times-News and the Pittsburgh Post-Gazette. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

The only acceptable verification of this requirement is with Notarized Proofs of Publication, which may be requested from each newspaper and must be supplied with this application. Applicants do not need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	Erie Times-News	Harrisburg Patriot-News	Philadelphia Daily News	Pittsburgh Post-Gazette	Scranton Times-Tribune	Williamsport Sun-Gazette	Johnstown Tribune-Democrat
Citizens' Electric						X	
Duquesne				X			
Met Ed		X	X		X		
PECO			X				
Penelec	X	X			X	X	X
Penn Power	X			X			
Pike					X		
PPL		X	X		X	X	
UGI					X		
Wellsboro						X	
West Penn		X		X		X	X
Entire Commonwealth	X	X	X	X	X	X	X

*(Example Publications are provided at Appendices I and J)*

**13. SIGNATURE**

**RECEIVED**

FEB - 1 2017

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

Applicant: Pennell & Wiltberger, Inc.  
 By: *[Signature]*  
 Title: President

**14. CHECKLIST**

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections are complete.

**Applicant:** Pennell & Wiltberger, Inc.

Applicant's Use	X	<b>Signature</b>	
	X	<b>Filing Fee (CERTIFIED CHECK OR MONEY ORDER ONLY)</b>	
	X	<b>Application Affidavit</b>	
	X	<b>Operations Affidavit</b>	
	X	<b>Proof of Publication</b>	
	X	<b>Bond or Letter of Credit</b>	
	X	<b>Tax Certification Statement</b>	
	X	<b>Commonwealth Department of State Verification</b>	
	X	<b>Certificate of Service</b>	

PUC Secretary's Bureau Use

## LIST OF ATTACHMENTS

- 2B Pennell & Wiltberger, Inc. – Articles of Incorporation
- 6. Proof of Service
- 7a. Irrevocable Letter of Credit (copy for reference only, original already received by PUC)
- 7b. Tax Certification Statement
- 7b.1 Credit Rating
- 7b.2 Proof of Insurance
- 7b.3 Pennell & Wiltberger, Inc. Financial Statement
- 8b.1 Resume – Thomas A. Bathgate, President
- 8b.2 Resume – John J. McDevitt, Vice-President
- 10. Payment – Check 400333735-8 - \$350.00
- 11a. Application Affidavit
- 11b. Operations Affidavit
- 11b. Notice of Publication
- 12. Proof of Publication

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SECRETARY'S BUREAU

ATTCHMT 2B

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PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

**PENNELL & WILTBERGER, INC.**

**ARTICLES OF INCORPORATION**

**Pennell  
&  
Wiltberger  
inc.  
engineering**

3-1-59.37 378

Articles  
of  
IncorporationCOMMONWEALTH OF PENNSYLVANIA  
DEPARTMENT OF STATE  
CORPORATION BUREAU

In compliance with the requirements of the Business Corporation Law, approved the 5th day of May, A. D. 1933, P. L. 364, as amended, the undersigned, desiring that they may be incorporated as a business corporation, do hereby certify:

1. The name of the corporation is: Pennell & Wiltberger, Inc.

2. The location and post office address of its initial registered office in this Commonwealth is:

1201 Chestnut StreetPhiladelphiaPhiladelphia

Number

Street

City

County

3. The purpose or purposes of the corporation are:\*

To carry on the business of consulting and contracting engineers, and the preparation of plans and specifications of machinery, ~~structures~~ and works, and the undertaking and performance of contracts for the construction and erection of the same.

4. The term of its existence is: perpetual.

5. The aggregate number of shares which the corporation shall have authority to issue is:\*\*

1000 shares of Common Stock having a par value of \$1. per share.  
900 shares of 6% Noncumulative Preferred Stock having a par value of \$10. per share.

The preferences, qualifications, limitations, restrictions and rights of each class of stock are as follows:

SEE RIDER ATTACHED

\*Note: Do not recite Powers set forth in Section 302 of the Act.

\*\*Note: There should be set forth the number and par value of all shares having par value, the number of shares without par value, and the stated capital applicable thereto. If the shares are to be divided into classes, a description of each class and a statement of the preferences, qualifications, limitations, restrictions, and the special or relative rights granted to, or imposed upon, the shares of each class.

FILING FEE - \$70.00

RIDER

3-1-59.37

324

Articles of Incorporation - Pennell & Wiltberger, Inc.

(a) The 6% Noncumulative Preferred Stock shall entitle the holder thereof to receive out of surplus such noncumulative dividends as may from time to time be declared by the Board of Directors, not to exceed six per cent (6%) per annum. No dividends shall be declared or paid on any common stock of the corporation in any fiscal year unless and until dividends of six per cent (6%) shall have been declared and paid (or sums sufficient to pay the same shall have been set aside for that purpose) in such fiscal year on the issued and outstanding Preferred Stock. If for any period of two fiscal years the earnings of the Company shall be sufficient to permit the Company to declare and pay dividends on the Preferred Stock at the annual rate of six per cent (6%) per annum and the Board of Directors shall fail for such two year period to declare such dividends, then the holders of the Preferred Stock, voting separately as a class, shall be entitled to elect a majority of the Board of Directors. This right to elect a majority of the Board of Directors shall cease at the end of the second fiscal year in which the earnings of the Company are sufficient to permit the Board of Directors to declare and pay the said dividend on Preferred Stock out of surplus.

The Company shall have the right at any time and from time to time to redeem all or any part of the outstanding Preferred Stock. The redemption price shall be \$10. per share. If less than all Preferred Stock is redeemed and the redemption is not pro-rata, the shares to be redeemed shall be selected by lot. Each holder of Preferred Stock called for redemption shall receive written notice of the redemption at least 30 days before the date fixed for redemption. Any shares of Preferred Stock redeemed by the Board of Directors may be cancelled.

The holders of Preferred Stock shall in the case of liquidation or dissolution, whether voluntary or involuntary, be entitled to receive \$10. per share and no more before any distribution shall be made to holders of common stock.

(b) The voting powers of the shareholders shall be vested exclusively in the holders of common stock except (a) voting rights specifically granted by the laws of the Commonwealth of Pennsylvania which may not be surrendered by a shareholder and (b) the limited right of the holders of Preferred Stock to elect a majority of the Board of Directors under the terms and conditions set forth herein.

3-1-59.37 180

6. The names and addresses of each of the first directors are:  
NAME ADDRESS  
(Including street and number, if any)

Mr. Morris Maier 1000 Provident Building, Phila. 3, Penna.  
David A. Karr 1000 Provident Building, Phila. 3, Penna.  
Homer H. Hewitt, 3rd 1000 Provident Building, Phila. 3, Penna.

7. The names and addresses of each of the incorporators and the number and class of shares subscribed by each are:  
NAME ADDRESS NUMBER AND CLASS OF SHARES  
(Including street and number, if any)

Mr. Morris Maier 1000 Provident Bldg., Phila. 3, Pa. 1 Common  
David A. Karr 1000 Provident Bldg., Phila. 3, Pa. 1 Common  
Homer H. Hewitt, 3rd 1000 Provident Bldg., Phila. 3, Pa. 1 Common

\_\_\_\_\_  
(SEAL) *Mr. Morris Maier* (SEAL)  
\_\_\_\_\_  
(SEAL) *David A. Karr* (SEAL)  
\_\_\_\_\_  
(SEAL) *Homer H. Hewitt, 3rd* (SEAL)

Commonwealth of Pennsylvania ss:  
County of Philadelphia

Before me a Notary Public in and for the county aforesaid, personally came the above named, Mr. Morris Maier, David A. Karr and Homer H. Hewitt, 3rd

who, in due form of law, acknowledged the foregoing instrument to be their act and deed for the purposes therein specified.



Witness my hand and seal this 21st day of December A. D. 19 59

*John S. Rice*  
Notary Public  
My commission expires: Notary Public Philadelphia, Pennsylvania Co. My Commission Expires February 2, 1961

Approved and filed in the Department of State on the 23rd day of December A. D. 19 59

*John S. Rice*  
Secretary of the Commonwealth

Note: Articles shall be signed by each of the incorporators and acknowledged by at least two of them.

# Commonwealth of Pennsylvania



## Department of State

To All To Whom These Presents Shall Come, Greeting:

**WHEREAS**, Under the provisions of the Business Corporation Law, approved the 5th day of May, Anno Domini, one thousand nine hundred and thirty-three, P. L. 364 as amended, the Department of State is authorized and required to issue a

### CERTIFICATE of INCORPORATION

evidencing the incorporation of a business corporation organized under the terms of that law.

**And WHEREAS**, The stipulations and conditions of that law have been fully complied with by the persons desiring to incorporate as  
**FISHELL & WILKINSON, INC.**

**Therefore, Know Ye**, That subject to the Constitution of this Commonwealth and under the authority of the Business Corporation Law, I do by these presents, which I have caused to be sealed with the Great Seal of the Commonwealth, create, erect, and incorporate the incorporators of and the subscribers to the shares of the proposed corporation named above, their associates and successors, and also those who may thereafter become subscribers or holders of the shares of such corporation, into a body politic and corporate in deed and in law by the name chosen and heretofore specified, which shall exist perpetually and shall be created with, and have and enjoy all the powers, privileges, and franchises incident to a business corporation and be subject to all the duties, requirements, and restrictions specified and enjoined in and by the Business Corporation Law and all other applicable laws of this Commonwealth.



**Given** under my Hand and the Great Seal of the Commonwealth, at the City of Harrisburg, this  
 23rd day of December

in the year of our Lord one thousand nine hundred and fifty-nine and of the Commonwealth the one hundred and eighty-fourth

*John S. Rice*  
 Secretary of the Commonwealth  
 CP CR

3-1-65.13 573Articles  
of  
AmendmentCOMMONWEALTH OF PENNSYLVANIA  
DEPARTMENT OF STATE  
CORPORATION BUREAU

In compliance with the requirements of Article VIII of the Business Corporation Law approved the 5th day of May, 1933, P.L. 364, as amended, the applicant desiring to amend its Articles hereby certifies, under its corporate seal that:

1. The name of the corporation is:

PENNELL & WILTBERGER, INC.

2. The location of its registered office is:

1201 Chestnut Street, Philadelphia, Pennsylvania, 191073. The corporation was formed under the Act of May 5, 1933, P.L. 364, as amended.4. Its date of incorporation is: December 23, 1959

5. (Strike out (a) or (b) below, whichever is not applicable)

(a) The meeting of the shareholders of the corporation at which the amendment was adopted was held at the time and place and pursuant to the kind and period of notice herein stated.

Time: The 26th day of April, 1965.Place: 1201 Chestnut Street, Philadelphia, PennsylvaniaKind and period of notice: Waiver of Notice signed by all Shareholders.

(b) ~~The amendment was adopted by the shareholders of the corporation at a meeting held at the time and place and pursuant to the kind and period of notice herein stated.~~

6. At the time of the action of the shareholders:

(a) The total number of shares outstanding was 400 common shares(b) The number of shares entitled to vote was\* 400 common shares

7. In the action taken by the shareholders:

(a) The number of shares voted in favor of the amendment was:\*\*

400 common shares

(b) The number of shares voted against the amendment was:\*\*

none

\*If the shares of any class were entitled to vote as a class, the number of shares of each class so entitled and the number of shares of all other classes entitled to vote should be set forth.

\*\*If the shares of any class were entitled to vote as a class, the number of shares of such class and the number of shares of all other classes voted for and against such amendment respectively should be set forth.

NOTE: If the effect of the amendment is to increase the authorized capital stock of the corporation, excise tax at the rate of 1/5 of 1% on the amount of increase will be due and payable with the filing of the amendment.

Registration fee - \$10.00. (In addition to any amount of excise tax due and owing)

3-1-65 13 871

8. The amendment adopted by the shareholders, set forth in full, follows:

RESOLVED, That paragraph 5 of the Articles of Incorporation be and the same hereby is amended to read as follows:

"The aggregate number of shares which the corporation shall have authority to issue is 50,000 shares having a par value of \$1.00 per share."

IN TESTIMONY WHEREOF, the applicant has caused these Articles of Amendment to be signed by its President or Vice President and its corporate seal, duly attested by its Secretary or Treasurer, to be hereunto affixed this 27th day of April, 1965.

By: RENNEL & WILBERSCHER, INC.  
Keith Howe  
President or Vice President,

Attest:  
George W. [unclear]  
(Secretary)

(CORPORATE)  
(SEAL)

Approved and filed in the Department of State on the 29th day of April A. D. 1965.

[Signature]  
Deputy Secretary of the Commonwealth

3-1-65.13 575

DEC-21 (Rev. 3-21)

# Commonwealth of Pennsylvania



## Department of State

To All To Whom These Presents Shall Come, Greeting:

**WHEREAS**, In and by Article VIII of the Business Corporation Law, approved the fifth day of May, Anno Domini one thousand nine hundred and thirty-three, the Department of State is authorized and required to issue a

### CERTIFICATE OF AMENDMENT

evidencing the amendment of the Articles of Incorporation of a business corporation organized under or subject to the provisions of that Law, and

**WHEREAS**, The stipulations and conditions of that Law pertaining to the amendment of Articles of Incorporation have been fully complied with by

PENNELL & WILTBERGER, INC.

**Therefore, Know Ye**, That subject to the Constitution of this Commonwealth and under the authority of the Business Corporation Law, I do by these presents, which I have caused to be Sealed with the Great Seal of the Commonwealth, extend the rights and powers of the corporation named above, in accordance with the terms and provisions of the Articles of Amendment presented by it to the Department of State, with full power and authority to use and enjoy such rights and powers, subject to all the provisions and restrictions of the Business Corporation Law and all other applicable Laws of this Commonwealth.

**Given** under my hand and the Great Seal of the Commonwealth, at the City of Harrisburg, this  
29th day of April  
in the year of our Lord one thousand nine hundred and sixty-five and of the Commonwealth the one hundred and eighty-ninth

Secretary of the Commonwealth

rt

# Commonwealth of Pennsylvania



March 21, 1985

**To All to Whom These Presents Shall Come: Greeting:**

IN RE: "PENNELL & WILTBERGER, INC."

*I, WILLIAM R. DAVIS, Secretary of the Commonwealth of the*

*Commonwealth of Pennsylvania do hereby certify that the foregoing and annexed is a*

*true and correct photocopy of Articles of Incorporation and all Amendments*

*which appear of record in this Department.*

*IN TESTIMONY WHEREOF, I have hereunto set  
my hand and caused the seal of the Secretary's  
Office to be affixed, the day and year above  
written.*

*William R. Davis*  
Secretary of the Commonwealth

**THOMAS A. BATHGATE, P.E.**

PRINCIPAL/PROJECT EXECUTIVE

**Professional Profile & Responsibilities**

Mr. Bathgate has accumulated over 30 years of experience in all phases of the engineering profession with specific expertise in project engineering design, master planning, energy management, and the development of integrated design concepts.

His project experience includes healthcare, research & development, pharmaceutical, educational, and commercial facilities with a major emphasis on energy conservation management, master planning, and state-of-the-art systems technology.

Mr. Bathgate's expertise is in the areas of engineering master planning, energy management, and complex engineering systems design projects, and he has played an active role in most of these types of PWI Engineering projects.

Mr. Bathgate is an alumnus of The Pennsylvania State University, and has been actively involved with alumni organizations. He is a past President of the Penn State Engineering School's Alumni Association, was named 1989 Outstanding Engineering Alumnus, and in 1998 he was presented with the Fellow Award, the University's Alumni Association highest award.

**Years with PWI**

25

**Years within Industry**

36

**Education**

Bachelors of Architectural  
Engineering  
Penn State University  
1970

**Registrations**

Pennsylvania  
New Jersey  
Maryland  
Delaware  
Virginia  
Texas  
New York  
Washington D.C.  
West Virginia  
North Carolina  
Connecticut  
Georgia

**Professional Organizations**

American Society of Heating,  
Refrigeration & Air Conditioning  
Engineering (ASHRAE) – Past  
President, Fellow

American Consulting Engineers  
Council (ACEC)

National Society of Professional  
Engineers (NSPE)

American Institute of Architects  
(AIA) – Affiliate Member

**Selected Project Experience:****Healthcare**

**Yale New Haven Hospital**  
New Haven, Connecticut

Engineering Systems Master Plan  
Strategic Energy Plan

**St. Mary Hospital**  
Langhorne, Pennsylvania

Vertical Expansion  
Emergency Room Department Expansion & Renovation  
PACU Addition  
Operating Room & Cardiac Cath Lab Expansion

**Overlook Hospital**  
Summit, New Jersey

Strategic Energy Plan and Co-Generation Feasibility

**Jersey Shore University Medical Center**  
Neptune, New Jersey

LEED Certified Hospital Design  
Strategic Energy Plan  
CAT – Cogeneration Analysis  
New Construction  
Central Plant  
Low Voltage Project

**Delaware Psychiatric Center**  
New Castle, Delaware

New LEED Certified Psychiatric Center

**Keystone Mercy Health System**  
Philadelphia, Pennsylvania

Facility Systems Assessment

**Williamsport Hospital**  
Williamsport, Pennsylvania

Master Plan Project  
Major ICU Expansion Project  
Strategic Energy Plan

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PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU



# THOMAS A. BATHGATE, P.E.

PRINCIPAL/PROJECT EXECUTIVE

Consortium for the Advancement  
of Building Sciences (CABS) –  
Founder and Past Chairman

## Professional Awards

Outstanding Engineering Award,  
Penn State University, 1988

Alumni Fellow Award, Penn  
State University Alumni  
Association, 1998

**Einstein Healthcare Network/Montgomery Hospital Medical Center**  
Montgomery County, Pennsylvania  
New Regional Medical Center – Greenfield Hospital Development

**Lancaster General Hospital**  
Lancaster, Pennsylvania

Musculoskeletal Center  
Infrastructure Renewal

**Pennsylvania Hospital**  
Philadelphia, Pennsylvania

Utility Services Negotiations  
Master Plan and Strategic Energy Plan  
Various Renewal and Expansion Projects

**Morristown Memorial Hospital**  
Morristown, New Jersey

North Tower Memorial Hospital Expansions & Additions  
Engineering Master Plan  
Radiology Department  
Dialysis Renovations  
Heart Hospital  
Cardiac Access

**University of Pittsburgh Medical Center**  
Pittsburgh, Pennsylvania

New Hillman Cancer Center – Research & Treatment Institute  
Energy Sourcing/Utility Negotiations & Engineering for Co-Gen Energy Plant Expansion  
Clean Room – Class 50,000  
Animal Research Facility

**Duke University Medical Center**  
Durham, North Carolina

Site Utilities & Building Systems Master Planning  
Expansion Master Plan  
Bone Marrow Transplant Unit  
Capital Renewal Program  
Energy Use Reduction Program  
BSL-4, HVAC Systems Renovations  
Renewal of South Hospital Systems  
Duke Clinic  
Numerous Renewal Projects  
Data/Telecommunication Systems – New Dormitory Building

**The Johns Hopkins Hospital**  
Baltimore, Maryland

Site/Building Systems Master Plan  
Comprehensive Cancer Center Engineering Design  
Central Plant Development Planning  
Lighting Energy Use Reduction Program  
Smoke Control

**Thomas Jefferson University Hospital**  
Philadelphia, Pennsylvania

Bone Marrow Transplant Unit  
Capital Renewal Program  
Operating Room Expansion  
Utility Systems Master Planning

**St. Christopher's Hospital for Children**



BUILDING SYSTEMS PLANNING, DESIGN & MANAGEMENT

THOMAS A. BATHGATE, P.E.

PRINCIPAL/PROJECT EXECUTIVE

Philadelphia, Pennsylvania

DOE - Energy Use Reduction Design Study  
Engineering Systems Design for New Replacement Hospital

**Hahnemann University Hospital**  
Philadelphia, Pennsylvania

Central Plant  
Master Plan and Strategic Energy Plan

**Newtown Wellesley**  
Newton, Massachusetts

Campus Screening Study for Utility Energy Systems

**Allegheny General Hospital**  
Philadelphia, Pennsylvania

Competitive Utility Sourcing  
Strategic Energy Plan

**Carolinas Medical Center**  
Charlotte, North Carolina

Infrastructure Engineering Analysis  
Master Plan and Strategic Energy Plan  
Energy Plant Project Design

**Children's Hospital of Philadelphia**  
Philadelphia, Pennsylvania

Master Plan and Strategic Energy Plan  
10-Year Infrastructure Renewal  
Implementation Engineering

**University of North Carolina Hospitals**  
Chapel Hill, North Carolina

Facility Assessments & Master Plans

Higher Education

**Oklahoma State University**  
Stillwater, Oklahoma

Multi-Tenant/Laboratory Building

**Penn State University**  
State College, Pennsylvania

Beaver Stadium Expansion and Renovations  
PSU Innovation Park Environmental Improvements

**University of North Carolina**  
Chapel Hill, North Carolina

Facility Assessment

**Villanova University**  
Villanova, Pennsylvania

Chemical Engineering Building Systems Design  
Engineering Department Master Plan  
Campus Energy Systems Master Plan  
Mendel Hall - University Science Center Expansion & Renewal Program

**Georgetown University Medical School**  
Washington, DC



THOMAS A. BATHGATE, P.E.

PRINCIPAL/PROJECT EXECUTIVE

Animal Research Facility Design

**Princeton University**  
Princeton, New Jersey

Strategic Energy Planning  
Sub-Cooling Study

**University of Delaware**  
Newark, Delaware

Allan P. Colburn Laboratory – Chemical Engineering Research Building  
Steam & Chilled Water Distribution Master Plan  
Strategic Energy Plan

**University of Pennsylvania**  
Philadelphia, Pennsylvania  
School of Veterinary Medicine

**American College of Physicians**  
Philadelphia, Pennsylvania  
New Headquarters Building

Hospitality/Multi-Use

**Pennsylvania Farm Show Complex**  
Harrisburg, Pennsylvania  
Farm Complex Expansion and Renovations

**The Franklin Institute**  
Philadelphia, Pennsylvania  
Infrastructure Assessment Improvements  
Capital Renewal Master Planning

**Trump Taj Mahal Hotel & Casino**  
Atlantic City, New Jersey  
Engineering Systems Master Plan  
Utility Sourcing & Negotiations

**Philadelphia International Airport**  
Philadelphia, Pennsylvania  
HVAC Systems Replacement

**Pennsylvania Convention Center**  
Philadelphia, Pennsylvania  
Convention Center Additions and Renovations  
Chiller  
Energy Systems Master Planning  
New Exhibit Hall  
Terminal Market & Reading Train Shed Restorations

**Phlipps Conservatory & Botanical Gardens**  
Pittsburgh, Pennsylvania  
Renovations and Expansion

Corporate/Commercial

**Lincoln Property Company**  
Pennsylvania  
Chiller Plant Renewal



THOMAS A. BATHGATE, P.E.

PRINCIPAL/PROJECT EXECUTIVE

**First Union Bank**

Spring Ridge & Reading, Pennsylvania

**New Operations Center**

Electrical Power and Data/Telecommunication Systems

Passive Solar Design Study

**Spring Ridge/1 Meridian**

Spring Ridge, Pennsylvania

Site/Facilities Development Master Plan

Building Fit-Out

**MRA Group**

Plymouth Meeting, Pennsylvania

HVAC Building Analysis

**Sorbus, Inc.**

Great Valley, Pennsylvania

Headquarters and Training Center

New Data Center

**Verizon**

Philadelphia, Pennsylvania

New Headquarters Building

**Cigna Corporation**

Philadelphia, Pennsylvania

Corporate Headquarters and Server Center

**Alco Standards**

Chesterbrook, Pennsylvania

New Corporate Headquarters

Data Center Consolidation

**Manufacturing/Industrial**

**Saratoga Technical Energy Park**

Malta, New York

STEP Project, New York State Energy and Research Development Authority

**Rohm & Haas**

Various Sites Worldwide

China Research Lab Design Review

Air Conditioning Control Studies

Energy Management Studies

Cogeneration Feasibility Studies

Energy Plant Renewal Studies/Design

On-Site Electric Generation

Chiller Replacement

Strategic Energy Plan

**Rohm & Haas**

China

China Research Lab Design Review

**Sherwood Davis & Geck**

Norfolk, Nebraska

Energy Management Program



THOMAS A. BATHGATE, P.E.

PRINCIPAL/PROJECT EXECUTIVE

**Polaner Foods, Inc.**  
New Jersey  
Energy Management Program

**American Home Foods, Inc.**  
Milton, Pennsylvania  
Energy Management Program  
Design of Heat Recovery Systems  
Utility Negotiations & Implementation

**American Home Products**  
Richmond, Virginia  
Energy Management Program  
Utility Negotiations & Implementation

**Exxon**  
Florham Park, New Jersey  
New Global Operations Data Center/Office Complex  
Energy Management Program  
Office Systems Renewal Programs

**Pharmaceutical/Biotech**

**Centocor Inc. (Johnson & Johnson)**  
Radnor, Malvern & Great Valley, Pennsylvania  
Unity Project  
Campus Master Plan  
Radnor Laboratories Design  
Great Valley Manufacturing Design

**Wyeth-Ayerst Laboratories**  
Radnor, Pennsylvania  
Biomedical Research Building and Total Retrofit  
Laboratory Programming and Planning  
40,000 SF Animal Research Facility -- Renovation & Commissioning  
Sterile Manufacturing Designs (Class 100 and Class 10,000)  
Energy Use Reduction Program/Comprehensive Energy Management Program (62 sites worldwide)

**Wyeth-Ayerst International**  
Sao Paulo  
Germany

**Elkins-Sinn, Inc.**  
Cherry Hill, New Jersey  
Energy Use Planning and Utility Negotiations

**Wyeth-Ayerst Laboratories**  
Radnor, Pennsylvania  
Biomedical Research Building and Total Retrofit  
Laboratory Programming and Planning  
40,000 SF Animal Facility

**American Cyanamid Co.**  
Hannibal, Missouri  
Energy Management Program

**Lederle Pharmaceutical**



THOMAS A. BATHGATE, P.E.

PRINCIPAL/PROJECT EXECUTIVE

Sanford, North Carolina  
Energy Management Program

McNeil Consumer Co.  
Fort Washington, Pennsylvania  
DI Water System  
Class 100 and Class 10,000 Sterile Manufacturing Design



BUILDING SYSTEMS PLANNING, DESIGN & MANAGEMENT

**JOHN J. McDEVITT, P.E.**

PRINCIPAL/DESIGN TEAM LEADER/PROJECT MANAGER

**Years with PWI**

20

**Years within Industry**

34

**Education**

BS Mechanical Engineering  
New Jersey Institute of  
Technology  
1988

**Professional Registrations**

New Jersey

**Professional Organizations**

American Society of Heating,  
Refrigeration & Air Conditioning  
Engineering (ASHRAE)

**Professional Profile & Responsibilities**

Mr. McDevitt has accumulated over 30 years of experience in all phases of the engineering profession including master utility planning, engineering development and design for HVAC systems including energy use analysis, cost estimating, and preparation of final engineering specifications, and construction drawings and also overall project management.

His project experience includes healthcare, pharmaceutical, research & development, biomedical research, hospitality/multi-use, educational, and commercial facilities.

As a Design Team Leader/Project Director, Mr. McDevitt directs one of PWI Engineering's multi-discipline professional Design Teams. He also has responsibility for quality assurance on projects produced by this team.

**Selected Project Experience:****Healthcare**

**Jersey Shore University Medical Center**  
Neptune, New Jersey

LEED Certified Hospital Design  
Facilities Master Plan  
Various Renovations & New Hospital Construction Project  
Central Plant  
Cooling Tower Modifications  
Co-Generator Heat Recovery Project  
Central Utility Plant – Domestic Hot Water  
CAT Cogen Analysis  
Child Care Center  
IT/Data Center Project and IT Modifications  
Smart Start Program  
Parking Garage  
Air Handling Unit Upgrades  
Pediatric Unit Expansion  
Low Voltage Project  
Cardiac Catheterization Laboratory Modifications

**Morristown Memorial Medical Center – Atlantic Health System**  
Morristown, New Jersey

Campus Master Plan  
North Tower Memorial Hospital Expansions & Additions – 36 beds  
Inpatient Units  
RIMM Master Plan  
Energy Modeling for LEED Certification  
Cardiac Care Unit Renovations  
Ante Partum Room Design  
Ante Partum West Wing Project  
West Tower – Waiting Areas  
West Tower – Doctor's Suite  
West Parking Garage Expansion Project  
West Parking Garage Fire Protection  
Visitor Garage Elevators  
JPC&L Garage  
Franklin Level A Isolation Rooms  
Jefferson 1 Building – Nurse Station  
Fountain Feature Lighting Concepts  
Surgery Renovation Programming  
Operating Room Fit-Outs  
CT Relocation  
Clinical Data Room  
Patient Lifts  
Cardiac Research Suite  
D Level Pre-Op Holding Project  
NICU Renovations Project & Laboratory

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PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU



**JOHN J. McDEVITT, P.E.**

PRINCIPAL/DESIGN TEAM LEADER/PROJECT MANAGER

NICU LEED Design Services  
Obstetrics Department – Level A  
Bio-Medical Engineering Modifications  
Meditation Room  
Pharmacy Renovations  
Medical Records  
Medical Gas Upgrade  
Emergency Generator Plant Modifications  
Plant Engineering Relocation  
Critical Chilled Hot Water and Cooling Tower Modifications  
East Plant Boiler  
West Chiller Plant  
Utility Relocation  
Heart Hospital  
EP Laboratory #2  
OR Locker Room  
NICU Renovations  
Tenant Build-Out  
Anderson Building Chiller Replacement  
Jefferson Building 'C' – Level Central Supply/Decon Air Evaluation  
Gross Room  
Simon Building Addition & Renovations  
Simon Lobby Expansion and Temporary Entrance  
Building III Waiting Room  
5<sup>th</sup> Floor Isolation Exhaust  
Robotic Pharmacy  
Office Building Renovations  
Franklin Building HVAC/MEP Fit-Out  
Chiller System Renovations  
Rehabilitation Institute  
Radiology & Dialysis Renovations  
Cancer Center and Heart Hospital Addition  
PET/CT Addition  
Emergency Department Expansion and Renovation  
Interventional Radiology  
Pre-Op Holding Project  
Capital Renewal Study  
Emergency Generator Plant  
New Boiler System & West Chiller Plant  
Diagnostic Imaging Renovations  
New Operating Rooms & Gene Therapy Facility  
Surgical Training Room  
Cardiac Cath Lab & Oncology Renovations  
Au Bon Pain Bakery  
Anderson Building Command Center

**Einstein Healthcare Network/Montgomery Hospital Medical Center**  
Montgomery County, Pennsylvania

New Regional Medical Center – Greenfield Hospital Development

**Williamsport Hospital**  
Williamsport, Pennsylvania

2 Meg Co-Gen Plant

**Abington Memorial Hospital**  
Abington, Pennsylvania

4.5 Meg Co-Gen Plant

**Atlantic Health System**  
Florham Park, New Jersey

Surgical/Ambulatory Center

**St. Mary Medical Center**



**JOHN J. McDEVITT, P.E.**

PRINCIPAL/DESIGN TEAM LEADER/PROJECT MANAGER

**Langhorne, Pennsylvania**

Medical Office Building  
Emergency Department Expansion (Additions & Renovations)  
PACU Addition  
Operating Room & Cardiac Cath Lab Expansion

**Atlantic City Medical Center**

Atlantic City, New Jersey

Women's and Infant's Service Facilities  
Central Plant Upgrades

**AtlantiCare – Mainland Division**

Pomona, New Jersey

Replacement of ER Department and New Obstetrics Facility  
Oncology and Radiology Renovations  
Central Plant Upgrades  
Emergency Room Expansion  
NICU

**Lancaster General Hospital**

Lancaster, Pennsylvania

New Orthopedic Center  
Musculoskeletal Center

**Mt. Nittany Medical Center**

State College, Pennsylvania

Engineering Support Services

**Pennsylvania Hospital**

Philadelphia, Pennsylvania

Engineering Systems Master Plan  
Women & Infant's Program  
Ambulatory Care Center  
Surgical Suite and ICU Expansion & Fit-Out  
Pine Building – Systems Replacement  
Cathcart Building – Basement Conference Room  
Cardiac Cath Laboratories  
Widener Building Expansion

**University of Pittsburgh Medical Center**

Pittsburgh, Pennsylvania

New Hillman Cancer Center – Research & Treatment Institute  
Clinical Office Building  
Chiller Plant Upgrade & Co-Generation Plant  
PET/CT Scan & MRI Facility  
Central Plant Lighting  
Landscape & Sculpture Circuiting  
Data/Telecommunication Systems & Security Design  
Emergency Generator Plant  
Clean Room – Class 50,000  
Ambulatory Building  
Animal Facility  
Information Desk Modifications  
Energy Sourcing & Utility Negotiations

**Institute of Pennsylvania Hospital**

Philadelphia, Pennsylvania

Kirkbride Building Renovations  
M/E/P Systems Replacement

**Duke University Medical Center**



**JOHN J. McDEVITT, P.E.**

PRINCIPAL/DESIGN TEAM LEADER/PROJECT MANAGER

**Durham, North Carolina**

Bone Marrow Transplant Unit  
Infectious Isolation Exhaust System  
Department of Medicine Renovations  
Central Phlebotomy Laboratory  
South Hospital Engineering Systems Renewal  
Duke Clinic  
Engineering Systems Renewal

**Lehigh Valley Hospital**

Allentown, Pennsylvania

Bone Marrow Transplant Unit  
Capital Renewal Program & Facilities Expansion Master Plan

**Fox Chase Cancer Center**

Philadelphia, Pennsylvania

Laboratory Renovations

**Jeanes Hospital**

Philadelphia, Pennsylvania

Replacement Hospital  
Boiler System  
Clinical Laboratory Development  
Administrative Office Renovations  
Site & Building Systems Master Plan

**The Johns Hopkins Hospital**

Baltimore, Maryland

400,000 SF Comprehensive Cancer Center – Shell Building

**Thomas Jefferson University Hospital**

Philadelphia, Pennsylvania

Bone Marrow Transplant Unit  
Gibbon Building – HVAC Master Plan  
Surgical Suite and ICU Expansion and Renovations

**Fitzgerald Mercy Hospital**

Darby, Pennsylvania

Central Utility Plant

**St. Christopher's Hospital for Children**

Philadelphia, Pennsylvania

Emergency Room Expansion & Renovations  
Oncology Unit  
Medical Gas Code Compliance

**Hahnemann University Hospital**

Philadelphia, Pennsylvania

Ambulatory Care Center

**Children's Hospital of Philadelphia**

Philadelphia, Pennsylvania

Facilities Expansion Master Plan & Building Systems Master Plan  
Telephone/Switchgear Data Center/Network Distribution  
Infrastructure System Upgrades  
Pediatric Bone Marrow Transplant Unit  
MRI Renovations  
Kitchen and Cafeteria Renovations  
Cardiac Registry laboratory  
Wood Center & Children's Seashore House (new)



**JOHN J. McDEVITT, P.E.**

PRINCIPAL/DESIGN TEAM LEADER/PROJECT MANAGER

Sleep Apnea Laboratory  
Blood Bank  
Dental/Office Swing Space – Bed Storage  
Inpatient Units and Patient Support Areas  
NICU Expansion and PICU Renovations  
Energy Use Reduction Planning  
Emergency Generator & Emergency Power Distribution  
Gymnasium  
Cath Lab, Echo and Cardio Offices  
Fire Alarm System Expansion and Distribution  
North Tower Fit-Out/Expansion  
Helipad Walkway  
Air Handling Unit Upgrades  
Cardiac Intensive Care Unit  
Child Guidance Center – Flex Office Space, Design/Build  
Lighting Design  
Chiller Replacement

**Hospital of the University of Pennsylvania**  
Philadelphia, Pennsylvania

ARA Café

**Eastern Pennsylvania Psychiatric Institute (AHERF)**  
Philadelphia, Pennsylvania

High Rise Compliance

**Passaic General Hospital**  
Passaic, New Jersey

Air Handling Unit & Chiller System Improvements

**Suburban General Hospital**  
Bellevue, Pennsylvania

5<sup>th</sup> Floor Surgery Area Renovations

**Taylor Hospital**  
Ridley Park, Pennsylvania

Hospital Expansion and Renovations

**Presbyterian Hospital**  
Philadelphia, Pennsylvania

Chiller Plant

**Higher Education**

**Temple University**  
Philadelphia, Pennsylvania

Student Union Center

**Penn State University**  
State College, Pennsylvania

Safeguard Scientifics Building – consisting of Classrooms, Lobby and Auditorium  
Building Systems Design

**Rutgers University**  
Piscataway, New Jersey

Rutgers' Biomedical Institute

**Villanova University**  
Villanova, Pennsylvania



# JOHN J. McDEVITT, P.E.

PRINCIPAL/DESIGN TEAM LEADER/PROJECT MANAGER

Mendel Hall - University Science Center Expansion & Renewal Program  
MBA Classroom  
Mendel Data Center  
Computer Room HVAC Upgrade

**Lincoln University**  
Lincoln, Pennsylvania

Fuel Oil System Expansion  
Communication Systems Infrastructure  
Electrical Distribution System  
Campus Lighting

**Princeton University**  
Princeton, New Jersey

Thermal Storage

**Oklahoma State University**  
Stillwater, Oklahoma

Multi-Tenant Laboratory Building

**University of Pittsburgh**  
Pittsburgh, Pennsylvania

Scalfe Hall Renovations

**Bryn Mawr College**  
Bryn Mawr, Pennsylvania

Bettws-Y-Coed Addition and Renovations (Classrooms, Dormitories, Labs/Animal Areas)

**Eastern College**  
Radnor, Pennsylvania

Dining Hall Additions & Kitchen Renovations

**Centenary College**  
Hackettstown, New Jersey

Fire Protection - Lotte & Van Winkle Residence Halls

**Schools K-12**

**Friends Select School**  
Philadelphia, Pennsylvania

Friends Center Project

**Hospitality/Multi-Use**

**Pennsylvania Farm Show Complex**  
Harrisburg, Pennsylvania

Farm Complex Expansion and Renovations  
Living Center, Dorms, Conference Room  
Fire Alarm Renovations  
Exterior Lighting

**The Kimmel Center - Regional Performing Arts Center**  
Philadelphia, Pennsylvania

New Performing Arts Center

**Academy of Music**  
Philadelphia, Pennsylvania

Orchestra Shell Replacement



**JOHN J. McDEVITT, P.E.**

PRINCIPAL/DESIGN TEAM LEADER/PROJECT MANAGER

Electrical Panel Replacement  
Fire Alarm Design and Security

**Adath Israel Synagogue**  
Merion Township, Pennsylvania  
Capital Renewal

**Continental Restaurant**  
Philadelphia, Pennsylvania  
Kitchen Exhaust System

**Sansom Common @ University of Pennsylvania**  
Philadelphia, Pennsylvania

Sansom Common – Multi-Use Facilities  
Faculty Club  
Restaurant Vestibule  
Art Installation  
Pedestrian Lights  
NW Expansion Tenant Fit-Out  
Hotel Signage  
Plaza Sculpture Lighting  
New Street & Interim Landscape  
Kitchen Exhaust System  
MEP Reviews & Tenant Fit-Outs – Xando, Steve Madden, Urban Outfitters, Majolie, City Sports,  
Bookstore

**Collingswood Senior Citizens Center**  
Collingswood, New Jersey  
Senior Center Design

**Public/Municipal**

**City of Burlington**  
Burlington, New Jersey  
Senior Housing Renovation

**RECAP Consortium Depository**  
Cambridge, Massachusetts  
Fire Pump and Natural Gas Systems  
Water Service and Sprinkler Analysis/Review  
Data Room Design and Cooling Load

**Corporate/Commercial**

**Penn Mutual Building**  
Philadelphia, Pennsylvania  
Chiller Project  
10<sup>th</sup> Floor Fit-Out

**Jones Apparel Group**  
Bristol, Pennsylvania  
Jones New York Building Expansion

**First Union Plaza Building**  
Philadelphia, Pennsylvania  
Data Center  
Electrical Upgrades



**JOHN J. McDEVITT, P.E.**

PRINCIPAL/DESIGN TEAM LEADER/PROJECT MANAGER

**Manufacturing/Industrial**

**ConEdison Solutions**  
Cherry Hill, New Jersey  
Co-Generation Plant

**Pharmaceutical/Biotech**

**Centocor Inc. (Johnson & Johnson)**  
Radnor & Malvern, Pennsylvania

Laboratory Renovations  
Computer Room Project  
Data Center Expansion Building  
Bio-Reactor Lab  
Occupational Health Facility  
Link Conference Room

**GlaxoSmithKline**  
26 Site Worldwide  
Energy Management

**Boehringer Ingelheim**  
Ridgefield, Connecticut  
Tablet Pressing & Coating Production Facility

**Wyeth-Ayerst Laboratories**  
Radnor, Pennsylvania  
Biomedical Research Building and Total Retrofit  
Laboratory Programming and Planning  
40,000 SF Animal Facility



Appendix A

APPLICATION AFFIDAVIT

[Commonwealth/State] of Pennsylvania :

ss.

County of Montgomery :

Thomas A. Bathgate, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the President (Office of Affiant) of Pennell & Wiltberger, Inc (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein Pennell & Wiltberger, Inc has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

That the Applicant herein Pennell & Wiltberger, Inc has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

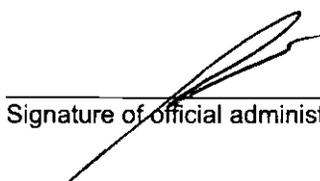
That the Applicant herein Pennell & Wiltberger, Inc acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein Pennell & Wiltberger, Inc acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

  
Signature of Affiant

Sworn and subscribed before me this 30<sup>th</sup> day of January, 2017.

  
Signature of official administering oath

My commission expires Aug 23, 2020.

COMMONWEALTH OF PENNSYLVANIA  
NOTARIAL SEAL  
Juan A. Gamez, Notary Public  
Bristol Twp., Bucks County  
My Commission Expires Aug. 23, 2020  
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

RECEIVED

FEB - 1 2017

Appendix B

OPERATIONS AFFIDAVIT

[Commonwealth/State] of Pennsylvania :

: SS.

County of Montgomery :

Thomas A. Bathgate, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the President (Office of Affiant) of Pennell & Wiltberger, Inc (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That Pennell & Wiltberger, Inc, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That Pennell & Wiltberger, Inc, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Pennell & Wiltberger, Inc, the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2 ), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

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FEB - 1 2017

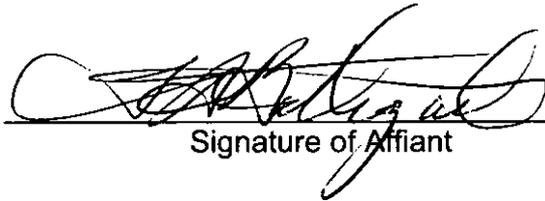
PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

**Appendix B (Continued)**

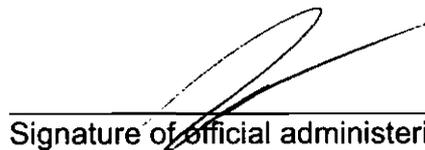
That Pennell & Wiltberger, Inc, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.

  
\_\_\_\_\_  
Signature of Affiant

Sworn and subscribed before me this 30<sup>th</sup> day of January, 2017.

  
\_\_\_\_\_  
Signature of official administering oath

My commission expires AUG 23, 2020.

COMMONWEALTH OF PENNSYLVANIA  
NOTARIAL SEAL  
Juan A. Gamez, Notary Public  
Bristol Twp., Bucks County  
My Commission Expires Aug. 23, 2020  
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

**PENNSYLVANIA  
PUBLIC UTILITY COMMISSION  
NOTICE**

*Application of **Pennell & Wiltberger, Inc.** (d/b/a "**PWI Engineering, Inc.**") For Approval To Offer, Render, Furnish Or Supply Electricity Or Electric Generation Services As A Generator And Supplier Of Electric Power, A Marketer/Broker Engaged In The Business Of Supplying Electricity, And An Aggregator Engaged In The Business Of Supplying Electricity, To The Public In The Commonwealth Of Pennsylvania.*

**Pennell & Wiltberger, Inc.** will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. **Pennell & Wiltberger, Inc.** proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Pennell & Wiltberger, Inc.** may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to **Pennell & Wiltberger's, Inc.** attorney at the address listed below.

By and through Counsel: Robert A Alston, Esq.

**Lamb McErlane PC**

24 E. Market St. Box 565

West Chester, PA

Phone – 610-430-8000

Fax – 610-692-6210

RECEIVED

FEB - 1 2017

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

**Proof of Publication in The Philadelphia Daily News  
Under Act. No 587, Approved May 16, 1929**

**STATE OF PENNSYLVANIA  
COUNTY OF PHILADELPHIA**

Cindy Jakubowski being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

December 29, 2016

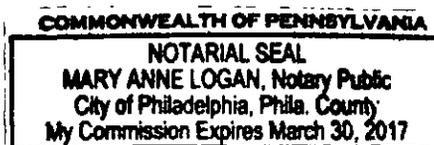
Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

*Cindy Jakubowski*

Sworn to and subscribed before me this 29<sup>th</sup> day of  
December, 2016.

*Mary Anne Logan*  
Notary Public

My Commission Expires:



**Copy of Notice of Publication**

**PENNSYLVANIA  
PUBLIC UTILITY COMMISSION  
NOTICE**

Application of Pennell & Wiltberger, Inc. (d/b/a "PWI Engineering, Inc.") For Approval To Offer, Render, Furnish Or Supply Electricity Or Electric Generation Services As A Generator And Supplier Of Electric Power, A Marketer/ Broker Engaged In The Business Of Supplying Electricity, And An Aggregator Engaged In The Business Of Supplying Electricity, To The Public In The Commonwealth Of Pennsylvania.

Pennell & Wiltberger, Inc. will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/ marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. Pennell & Wiltberger, Inc. proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Pennell & Wiltberger, Inc. may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Pennell & Wiltberger's, Inc. attorney at the address listed below.

By and through Counsel: Robert A Alston, Esq.  
Lamb McErlane PC  
24 E. Market-St. Box 565  
West Chester, PA  
Phone - 610-430-3000  
Fax - 610-692-6210

**RECEIVED**

FEB - 1 2017

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU



Commonwealth of Pennsylvania,) ss  
County of Cumberland)

Victoria Soto being duly sworn, deposes that he/she is principal clerk of PA Media Group; that The Patriot News is a public newspaper published in the city of Mechanicsburg, with general circulation in Cumberland and Dauphin and surrounding counties, and this notice is an accurate and true copy of this notice as printed in said newspaper, was printed and published in the regular edition and issue of said newspaper on the following date(s):

The Patriot News 01/03/2017

Principal Clerk of the Publisher

Sworn to and subscribed before me this 4th day of January 2017

Notary Public

COMMONWEALTH OF PENNSYLVANIA  
NOTARIAL SEAL  
Crystal B. Rosensteel, Notary Public  
Susquehanna Twp., Dauphin County  
My Commission Expires June 27, 2020  
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

**PENNSYLVANIA  
PUBLIC UTILITY COMMISSION  
NOTICE**

Application of **Pennell & Willberger, Inc.** (d/b/a "PWI Engineering, Inc.") For Approval To Offer, Render, Furnish Or Supply Electricity Or Electric Generation Services As A Generator And Supplier Of Electric Power, A Marketer/Broker Engaged In The Business Of Supplying Electricity, And An Aggregator Engaged In The Business Of Supplying Electricity, To The Public In The Commonwealth Of Pennsylvania.

**Pennell & Willberger, Inc.** will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. **Pennell & Willberger, Inc.** proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Pennell & Willberger, Inc.** may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to **Pennell & Willberger's, Inc.** attorney at the address listed below.

By and through Counsel:  
Robert A Alston, Esq.  
Lamb McErlane PC  
24 E. Market St. Box 565  
West Chester, PA  
Phone - 610-430-8000  
Fax - 610-692-6210

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FEB - 1 2017

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

The Scranton Times (Under act P.L. 877 No 160. July 9, 1976)  
Commonwealth of Pennsylvania, County of Lackawanna

PWI ENGINEERING  
NATE PAIST  
165 TOWNSHIP LINE ROAD, STE 22 JENKINTOWN PA 19046

Account # 543203  
Order # 82019733  
Ad Price: 251.15

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FEB - 1 2017

LEGAL NOTICE PENNSYLVANI

Gina Krushinski

Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

12/28/2016

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true Gina Krushinski

Sworn and subscribed to before me  
this 28th day of December A.D., 2016

Carol Demas  
(Notary Public)

COMMONWEALTH OF PENNSYLVANIA  
Notarial Seal  
Carol Demas, Notary Public  
City of Scranton, Lackawanna County  
My Commission Expires Jan. 21, 2018  
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

LEGAL NOTICE

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Application of Pennell & Wittberger, Inc. (d/b/a "PWI Engineering, Inc.") For Approval To Offer, Render, Furnish Or Supply Electricity Or Electric Generation Services As A Generator And Supplier Of Electric Power, A Marketer/ Broker Engaged In The Business Of Supplying Electricity, And An Aggregator Engaged In The Business Of Supplying Electricity, To The Public In The Commonwealth Of Pennsylvania.

Pennell & Wittberger, Inc. will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. Pennell & Wittberger, Inc. proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Pennell & Wittberger, Inc. may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Pennell & Wittberger's, Inc. attorney at the address listed below.

By and through Counsel:  
Robert A Alston, Esq.  
Lamb McErlane PC  
24 E. Market St. Box 568  
West Chester, PA  
Phone 610-430-8000  
Fax 610-692-6210

PROOF OF PUBLICATION OF NOTICE IN THE WILLIAMSPORT SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA  
COUNTY OF LYCOMING

SS:

Bernard A. Oravec Publisher of the Sun-Gazette Company, publishers of the Williamsport, Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport Sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

December 29, 2016

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette Company, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and also declares that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.

*Robert A. Alston*

<p><b>LEGAL NOTICES</b></p> <p><b>PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE</b></p> <p>Application of Pennell &amp; Wiltberger, Inc. (d/b/a "PWI Engineering, Inc.") For Approval To Offer, Render, Furnish Or Supply Electricity Or Electric Generation Services As A Generator And Supplier Of Electric Power, A Marketer/Broker Engaged In The Business Of Supplying Electricity, And An</p>	<p><b>LEGAL NOTICES</b></p> <p>Aggregator Engaged In The Business Of Supplying Electricity, To The Public In The Commonwealth Of Pennsylvania.</p> <p>Pennell &amp; Wiltberger, Inc. will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as (1) a generator and supplier of electric</p>	<p><b>LEGAL NOTICES</b></p> <p>power, (2) a broker / marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. Pennell &amp; Wiltberger, Inc. proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act.</p> <p>The PUC may consider</p>	<p><b>LEGAL NOTICES</b></p> <p>this application without a hearing. Protests directed to the technical or financial fitness of Pennell &amp; Wiltberger, Inc. may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Pennell &amp; Wiltberger's, Inc. attorney at the address listed below.</p> <p>By and through Counsel: Robert A Alston, Esq. Lamb McErlane PC 24 E. Market St. Box 565 West Chester, PA Phone - 610-430-8000 Fax - 610-692-6210</p>	<p><b>SUN-GAZETTE COMPANY</b></p> <p>subscribed before me</p> <p>day of <u>December</u> 20<u>16</u></p> <p><i>Robert A. Alston</i></p> <p>Notary Public</p> <p>OF PENNSYLVANIA</p> <p>AL SEAL A DEWALD Public T. LYCOMING COUNTY pires Apr 18, 2020</p> <p>T OF ADVERTISING COSTS</p>
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RECEIVED

FEB - 1 2017

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

To the Sun-Gazette Company, Dr.:

For publishing the notice attached hereto on the above state dates.....\$ 278.44

Probated same.....\$

Total.....\$ 278.44

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

THE SUN-GAZETTE COMPANY hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

SUN-GAZETTE COMPANY

BY Bernard A. Oravec

COMMONWEALTH OF PENNSYLVANIA }  
 County of Cambria } SS

PENNSYLVANIA  
 PUBLIC UTILITY COMMISSION  
 NOTICE

Application of Pennell & Wittberger, Inc. (d/b/a "PWI Engineering, Inc.") For Approval To Offer, Render, Furnish Or Supply Electricity Or Electric Generation Services As A Generator And Supplier Of Electric Power, A Marketer/Broker Engaged In The Business Of Supplying Electricity, And An Aggregator Engaged In The Business Of Supplying Electricity, To The Public In The Commonwealth Of Pennsylvania.

Pennell & Wittberger, Inc. will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. Pennell & Wittberger, Inc. proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Pennell & Wittberger, Inc. may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Pennell & Wittberger's, Inc. attorney at the address listed below.

By and through Counsel: Robert A. Alston, Esq.  
**Lamb McErlane PC**  
 24 E. Market St. Box 565  
 West Chester, PA  
 Phone - 610-430-8000 Fax - 610-692-6210

published  
 that the  
 of The Ju  
 interestec  
 character

On this 5th day of January A.D. 2017, before me, the subscriber, a Notary Public in and for said County and State, personally appeared Christine Marhefka, who being duly sworn according to law, deposes and says as Classified Advertising Manager of the Tribune-Democrat, Johnstown, PA, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8, 1952, of the Johnstown Tribune, established December 7, 1853; and of the Johnstown Democrat, established March 5, 1863.

of Cambria, and Commonwealth of Pennsylvania and the matter published in said publication in the regular issues, on December 30, 2016; and that the Affiant is not publishing and that all of the allegations as to time, place and

*Christine Marhefka*

STATEMENT OF ADVERTISING COSTS

Sworn and Subscribed before me this 5th day of January, 2017.

*Vivian Ohs*

0.00 Lines @	\$2.50 per line	0.00
7 Inches @	\$25.00 per inch	175.00
Notary Fee		5.00
Clerical Fee		2.50
<b>Total Cost</b>		<b>182.50</b>

COMMONWEALTH OF PENNSYLVANIA  
 NOTARIAL SEAL  
 Vivian Ohs, Notary Public  
 City of Johnstown, Cambria County  
 My Commission Expires Dec. 6, 2020  
 MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

To The Tribune-Democrat, Johnstown, PA  
 For publishing the notice or publication  
 attached hereto on the above stated dates.

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

\_\_\_\_\_ for publisher of \_\_\_\_\_  
 a newspaper of general circulation, hereby acknowledges receipt of the aforesaid  
 and publication costs and certifies that the same has been duly paid.

\_\_\_\_\_  
 (Name of Newspaper)

By \_\_\_\_\_

RECEIVED

FEB - 1 2017

PROOF OF PUBLICATION  
In  
THE ERIE TIMES-NEWS  
COMBINATION EDITION

PWI Engineering  
165 Township Line Road Suite 2200  
Jenkintown PA 19046

REFERENCE: 82958 242050  
PUC Notice

STATE OF PENNSYLVANIA)  
COUNTY OF ERIE ) SS:

Brenda L. Learn, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 12/29/16

TOTAL COST: \$409.00 AD SPACE: 0 Lines

FILED ON: 12/29/16

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FEB - 1 2017

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

**PENNSYLVANIA  
PUBLIC UTILITY COMMISSION  
NOTICE**

Application of **Pennell & Wittberger, Inc.** (d/b/a "**PWI Engineering, Inc.**") For Approval To Offer, Render, Furnish Or Supply Electricity Or Electric Generation Services As A Generator And Supplier Of Electric Power, A Marketer/Broker Engaged In The Business Of Supplying Electricity, And An Aggregator Engaged In The Business Of Supplying Electricity, To The Public In The Commonwealth Of Pennsylvania.

**Pennell & Wittberger, Inc.** will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/ marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. **Pennell & Wittberger, Inc.** proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Pennell & Wittberger, Inc.** may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to **Pennell & Wittberger's, Inc.** attorney at the address listed below.

By and through Counsel: Robert A Alston, Esq.  
**Lamb McErlane PC**  
24 E. Market St. Box 585  
West Chester, PA  
Phone - 610-430-8000  
Fax - 610-692-6210

EP-242050

Sworn to and subscribed before me this 29th day of December 2016

Affiant: Brenda L. Learn

NOTARY: Barbara J. Moore

COMMONWEALTH OF PENNSYLVANIA  
NOTARIAL SEAL  
Barbara J. Moore, Notary Public  
City of Erie, Erie County  
My Commission Expires March 23, 2020  
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

### Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss P. Reed, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the \_\_\_\_\_ regular \_\_\_\_\_ editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

**29 of December, 2016**

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

P. Reed  
PG Publishing Company  
Sworn to and subscribed before me this day of:  
December 29, 2016

**COPY OF NOTICE OR PUBLICATION**

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE  
Application of Pennell & Wiltberger, Inc. (d/b/a "PWI Engineering, Inc.") For Approval To Offer, Render, Furnish Or Supply Electricity Or Electric Generation Services As A Generator And Supplier Of Electric Power, A Marketer/Broker Engaged In The Business Of Supplying Electricity, And An Aggregator Engaged In The Business Of Supplying Electricity, To The Public In The Commonwealth Of Pennsylvania.

Pennell & Wiltberger, Inc. will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. Pennell & Wiltberger, Inc. proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Pennell & Wiltberger, Inc. may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Pennell & Wiltberger's, Inc. attorney at the address listed below.

By and through Counsel: Robert A. Alston, Esq., Lamb McLane PC, 24 E. Market St. Box 565, West Chester, PA, Phone: 610-430-8000, Fax: 610-692-6210

Linda M. Gaertner  
NOTARIAL SEAL  
Linda M. Gaertner, Notary Public  
City of Pittsburgh, Allegheny County  
My Commission Expires Jan. 31, 2019  
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

RECEIVED

FEB - 1 2017

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

**STATEMENT OF ADVERTISING COSTS**

PWI Engineering, Inc  
165 TOWNSHIP LINE RD STE 2200 17  
Attn: Nate  
Jenkintown PA 19046-3545

To PG Publishing Company

Total ----- \$495.00

**Publisher's Receipt for Advertising Costs**

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

Office  
2201 Sweeney Drive  
CLINTON, PA 15026  
Phone 412-263-1338

PG Publishing Company, a Corporation, Publisher of  
Pittsburgh Post-Gazette, a Newspaper of General Circulation

By Samuel J. Arbutine Samuel J. Arbutine

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

Appendix C

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

Example  
CERTIFICATE OF SERVICE

On this the 31 day of JANUARY 2017, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all **NON-CONFIDENTIAL** attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:

Bureau of Investigation & Enforcement  
Pennsylvania Public Utility Commission  
Commonwealth Keystone Building  
400 North Street, 2 West  
Harrisburg, PA 17120

Office of the Attorney General  
Bureau of Consumer Protection  
Strawberry Square, 14th Floor  
Harrisburg, PA 17120

Office of Consumer Advocate  
5th Floor, Forum Place  
555 Walnut Street  
Harrisburg, PA 17120

Commonwealth of Pennsylvania  
Department of Revenue  
Bureau of Compliance  
Harrisburg, PA 17128-0946

Small Business Advocate  
Commerce Building, Suite 202  
300 North Second Street  
Harrisburg, PA 17101

Director of Customer Energy Services  
Orange and Rockland Company  
390 West Route 59  
Spring Valley, NY 10977-5300

Legal Department  
West Penn Power d/b/a Allegheny Power  
800 Cabin Hill Drive  
Greensburg, PA 15601-1689

Manager Energy Acquisition  
PECO Energy Company  
2301 Market Street  
Philadelphia, PA 19101-8699

Regulatory Affairs  
Duquesne Light Company  
411 Seventh Street, MD 16-4  
Pittsburgh, PA 15219

Legal Department  
Attn: Paul Russell  
PPL  
Two North Ninth Street  
Allentown, PA 18108-1179

Legal Department  
First Energy  
2800 Pottsville Pike  
Reading PA, 19612

UGI Utilities, Inc.  
Attn: Rates Dept. – Choice Coordinator  
2525 N. 12th Street, Suite 360  
Post Office Box 12677  
Reading, Pa 19612-2677

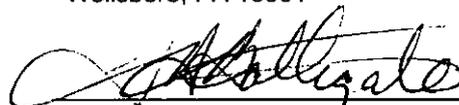
Citizens' Electric Company  
Attn: EGS Coordination  
1775 Industrial Boulevard  
Lewisburg, PA 17837

Wellsboro Electric Company  
Attn: EGS Coordination  
33 Austin Street  
P. O. Box 138  
Wellsboro, PA 16901

RECEIVED

FEB - 1 2017

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU



Thomas A. Bathgate, President  
Pennell & Wiltberger, Inc.

**Domestic Shipments**

To qualify for the Letter rate, UPS Express Envelopes may only contain correspondence, urgent documents, and/or electronic weight 8 oz. or less. UPS Express Envelopes containing those listed or weighing more than 8 oz. will be billed

**International Shipments**

The UPS Express Envelope may be used only for docu value. Certain countries consider electronic media as ups.com/importexport to verify if your shipment is cl

To qualify for the Letter rate, the UPS Express Envelope UPS Express Envelopes weighing more than 8 oz. will

**Note:** Express Envelopes are not recommended for shi containing sensitive personal information or breakable or cash equivalent.

**Reusable Express**

400 NORTH ST  
HARRISBURG PA 17120-1002

P: SILVER S: BBLUE I: B52  
**54B - RDL**  
J4523967859 1030

For example, this envelope is mad material and is both reusable and

**UPS**  
**UPS Next Day Air<sup>®</sup>**  
**UPS Worldwide Express<sup>®</sup>**  
**Shipping Document**

WEIGHT	LTR <input type="checkbox"/>	PAK <input checked="" type="checkbox"/>	WEIGHT	DIMENSIONAL WEIGHT If Applicable	LARGE PACKAGE <input type="checkbox"/>	SHIPPER RELEASE <input type="checkbox"/>
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- EXPRESS (INT'L)
- DOCUMENTS ONLY
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REFERENCE NUMBER  
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NATHANIEL PAIST 215 778 275  
PWI ENGINEERING  
165 TOWNSHIP LINE RD. SUITE 2200  
JENKINTOWN, PA 19046

DELIVERY TO

SECRETARY  
PA PUBLIC UTILITY COMMISSION  
400 NORTH STREET KEYSTONE BUILDING  
HARRISBURG, PA 17120

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J452 396 785 9



J452 396 785 9

DATE OF SHIPMENT  
**2/1/17**