

 **URBAN & BLATTENBERGER** P.C.
ATTORNEYS AT LAW

February 1, 2017

Pennsylvania Public Utility Commission
Secretary
400 North Street, Keystone Building
Harrisburg, PA 17120

Re: Northeast Energy Advisors, LLC – NGS License Application

To Whom It May Concern,

Enclosed for filing, please find an original, one hard copy and one CD-ROM containing the NGS License Application of Northeast Energy Advisors, LLC, with the confidential documents relating thereto contained within the enclosed envelope marked as “Confidential”.

I have also enclosed a money order in the amount of \$350.00 made payable to the “Commonwealth of PA” to pay the applicable filing fee.

Please time-stamp the copy and return it to me in the enclosed self-addressed stamped envelope. Should you have any questions or wish to discuss this matter, please feel free to contact me.

Sincerely,

URBAN & BLATTENBERGER, P.C.


Andrew L. Blattenberger, Esquire

Enclosures

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BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of **Northeast Energy Advisors, LLC** for approval to offer, render, furnish, or supply natural gas supply services as a **Broker/Marketer and/or Energy Consultant** to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (*including any fictitious name or d/b/a*), primary address, web address, and telephone number of Applicant:

Northeast Energy Advisors, LLC
10900 Perry Highway, #210
Pittsburgh, PA 15090
www.northeastenergyadvisors.com
Phone (412) 337-6099 or (412) 389-7290

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

Not applicable.

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Dave Mikula, President
Northeast Energy Advisors, LLC
10900 Perry Highway, #210
Pittsburgh, PA 15090
Phone: (412) 337-6099
Facsimile: (412) 202-4233
Email: dmikula@northeastenergyadvisors.com

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Andrew L. Blattenberger, Esquire
Urban & Blattenberger, P.C.
513 Allegheny Street
Hollidaysburg, PA 16648
Phone: (814) 695-7898
Facsimile: (814) 695-8757
Email: ablattenberger@urban-law.com

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- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS:** Provide the name, title, address, telephone number, fax number, and e-mail **OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED)** responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Natural Gas Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed NGSS.

MAIN CONTACT

Dave Mikula, President
Northeast Energy Advisors, LLC
10900 Perry Highway, #210
Pittsburgh, PA 15090
Phone: (412) 337-6099
Facsimile: (412) 202-4233
Email: dmikula@northeastenergyadvisors.com

ALTERNATE

Erich Landis, Vice President
Northeast Energy Advisors, LLC
10900 Perry Highway, #210
Pittsburgh, PA 15090
Phone: (412) 337-6099
Facsimile: (412) 202-4233
Email: elandis@northeastenergyadvisors.com

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2. BUSINESS ENTITY FILINGS AND REGISTRATION

- a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State Pursuant to 54 Pa. C.S. §311.

Or

X The Applicant will not be using a fictitious name.

- b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**
(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)

The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

Or

The Applicant is a:

- domestic general partnership (*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)

- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- * If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

or

The Applicant is a:

- domestic corporation (15 Pa. C.S. §1308)
- foreign corporation (15 Pa. C.S. §4124)
- domestic limited liability company (15 Pa. C.S. §8913)**
- foreign limited liability company (15 Pa. C.S. §8981)
- Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.

See attached Pennsylvania Department of State Certificate of Organization and Certificate of Amendment.

- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.

See attached Pennsylvania Department of State Certificate of Organization and Certificate of Amendment.

- Give name and address of officers.

**Dave Mikula, President and Secretary
Northeast Energy Advisors, LLC
10900 Perry Highway, #210
Pittsburgh, PA 15090**

**Erich Landis, Vice President and Treasurer
Northeast Energy Advisors, LLC
10900 Perry Highway, #210
Pittsburgh, PA 15090**

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3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

- a. **AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

Applicant does not have any affiliates doing business or applying to do business.

- b. **PREDECESSORS:** Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

Applicant does not have any predecessors that have done business,

4. OPERATIONS

- a. **APPLICANT'S PRESENT OPERATIONS:** (select and complete the appropriate statement)

Definitions

- Supplier – an entity which provides natural gas supply services to retail gas customers utilizing the jurisdictional facilities of a natural gas distribution company
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of natural gas but does not take title to the natural gas.

- X The Applicant is presently doing business in Pennsylvania as a

- natural gas interstate pipeline
- municipality providing service outside its municipal limits
- local gas distribution company
- retail supplier of natural gas services in the Commonwealth
- a natural gas producer
- X a broker/marketer engaged in the business of supplying natural gas services
- X Other. (Identify the nature of service being rendered)

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Applicant currently provides consulting services, energy supply advice, analysis services and management tools. Applicant is also licensed in Pennsylvania as an EGS broker/marketer.

or

- The Applicant is not presently doing business in Pennsylvania.

- b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a:

- Supplier or Aggregator of natural gas services
- Municipal supplier of natural gas services
- Cooperative supplier of natural gas services
- X Broker/Marketer engaged in the business of supplying natural gas services
 - X Check here to verify that your organization will not be taking title to the natural gas nor will you be making payments for customers.
- X Other (Describe): Energy Broker and/or Energy Consultant providing price negotiation and risk management services with respect to the purchase of natural gas.

- c. **PROPOSED SERVICES:** Describe in detail the natural gas supply services which the Applicant proposes to offer.

Applicant will provide consulting services regarding a retail customer's selection of a retail natural gas provider, contract negotiations with the selected retail natural gas provider and management of price risk with respect to energy prices under the customer's contract for retail natural gas.

- d. **PROPOSED SERVICE AREA:** Check the box of each Natural Gas Distribution Company for which the Applicant proposes to provide service.

- | | |
|---|--|
| <input type="checkbox"/> Columbia | <input type="checkbox"/> Philadelphia Gas Works |
| <input type="checkbox"/> National Fuel Gas | <input type="checkbox"/> UGI Central Penn |
| <input type="checkbox"/> PECO | <input type="checkbox"/> UGI Penn natural |
| <input type="checkbox"/> Peoples Gas – Equitable Div. | <input type="checkbox"/> UGI Utilities |
| <input type="checkbox"/> Peoples Natural Gas | <input type="checkbox"/> Valley Energy |
| <input type="checkbox"/> Peoples TWP | <input checked="" type="checkbox"/> All of the above |

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- e. **CUSTOMERS:** Applicant proposes to provide services to:

- Residential Customers
 Small Commercial Customers - (Less than 6,000 Mcf annually)
 Residential and Small Commercial as Mixed Meter ONLY (CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE)
 Large Commercial Customers - (6,000 Mcf or more annually)
 Industrial Customers
 Governmental Customers
 All of above (Except Mixed Meter)
 Other (Describe):

- f. **START DATE:** Provide the approximate date the Applicant proposes to actively market within the Commonwealth.

Applicant does not intend to "actively market." Applicant intends to offer services to select customers upon issuance of a license.

5. COMPLIANCE

- a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

Applicant was named as an additional defendant in a civil suit brought by First Energy Solutions, Inc. against JG Service Stations, Inc. in the Court of Common Pleas of Fayette, County, Pennsylvania at Docket No. 2014 GD 1681.

- b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

The above-referenced litigation has been settled and discontinued.

- c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. If the Applicant has no actions or complaints to list, explicitly state such.

No such complaints or formal or escalated actions. However, as part of Applicant's application to provide electricity supply broker services in Maryland, Applicant agreed to pay a \$1,000 civil penalty for providing services to Pennsylvania and/or Ohio clients that also had a small number of physical locations in Maryland.

- d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

See above.

6. PROOF OF SERVICE

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.
(Example Certificate of Service is attached at Appendix C)

- a.) **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of the Small Business Advocate
Commerce Building, Suite 202
300 North Second Street
Harrisburg, PA 17101

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

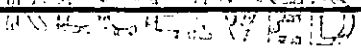
Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2 West
Harrisburg, PA 17120

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- b.) NGDCs: Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Natural Gas Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each NGDC is as follows.

<p>Columbia Gas of PA, Inc. Michele Caddell 290 W. Nationwide Blvd. Columbus, OH 43215 PH: 614.460.6841 FAX: 614.460.8447 e-mail: mcaddell@nisource.com</p>	<p style="text-align: center;">  FEB - 1 2017 PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU </p>
<p>Peoples Natural Gas – Equitable Division Lynda Petricevich 225 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: Lynda.w.petricevich@peoples-gas.com</p>	<p>National Fuel Gas Distribution Corp. David D. Wolford 6363 Main Street Williamsville, NY 14221 PH: 716.857.7483 FAX: 716.857.7479 e-mail: wolfordd@natfuel.com</p>
<p>The Peoples Natural Gas Company Lynda Petricevich 225 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: Lynda.w.petricevich@peoples-gas.com</p>	<p>PECO Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2 Philadelphia, PA 19103 PH: 215.841.6452 Email: carlos.thillet@exeloncorp.com</p>
<p>Peoples TWP LLC (Formerly T. W. Phillips) Lynda Petricevich 225 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: Lynda.w.petricevich@peoples-gas.com</p>	<p>Philadelphia Gas Works Nicholas LaPergola 800 West Montgomery Avenue Philadelphia, PA 19122 PH: 215.684.6278 email: nicholas.lapergola@pgworks.com</p>
<p>UGI Central Penn David Lahoff 2525 N. 12th Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3520 Email: dlahoff@ugi.com</p>	<p>UGI David Lahoff 2525 N. 12th Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3520 Email: dlahoff@ugi.com</p>
<p>Valley Energy Inc. Robert Crocker 523 South Keystone Avenue Sayre, PA 18840-0340 PH: 570.888-9664 FAX: 570.888.6199 email: bobc@ctenterprises.org</p>	<p>UGI Penn Natural David Lahoff 2525 N. 12th Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3520 Email: dlahoff@ugi.com</p>

7. FINANCIAL FITNESS

- a. **BONDING:** In accordance with 66 Pa. C.S. Section 2208(c), no natural gas supplier license shall be issued or remain in force unless the applicant or holder furnishes a bond or other security in a form and amount to ensure the financial responsibility of the natural gas supplier. The criteria used to determine the amount and form of such bond or other security shall be set by each NGDC. Provide documentation that the applicant has met the security requirement of each NGDC by submitting the letters sent by the NGDCs stating what bonding amounts they require.

See attached letters from the NGDCs.

- b. **FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
- Applicant's accounting statements, including balance sheet and income statements for the past two years.
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements exhibiting accounts over a minimum two year period.
- Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

- c. **SUPPLIER FUNDING METHOD:** If Applicant is operating as anything other than **Broker/Marketer only**, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

Not applicable.

- d. **BROKER PAYMENT STRUCTURE:** If applicant is a broker/marketer, explain how your organization will be collecting your fees.

Applicant will either collect fees as a monthly retainer from a customer or collect fees from the supplier that the customer selects, provided that the customer fulfills its obligations with the supplier.

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- e. **ACCOUNTING RECORDS CUSTODIAN:** Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

Erich Landis, Vice President and Treasurer
Northeast Energy Advisors, LLC
10900 Perry Highway, #210
Pittsburgh, PA 15090
Phone: (412) 337-6099
Facsimile: (412) 202-4233
Email: elandis@northeastenergyadvisors.com

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- f. **TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix D to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information. Applicant has indicated "N/A" on the portion for Pennsylvania Sales Tax as none of Applicant's activities are subject to Pennsylvania Sales Tax.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by natural gas distribution companies does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

- a. **EXPERIENCE, PLAN, STRUCTURE:** such information may include:
- Applicant's previous experience in the natural gas industry.
 - Summary and proof of licenses as a supplier of natural gas services in other states or jurisdictions.
 - Type of customers and number of customers Applicant currently serves in other jurisdictions.
 - Staffing structure and numbers as well as employee training commitments.
 - Business plans for operations within the Commonwealth.
 - Any other information appropriate to ensure the technical capabilities of the Applicant.

Northeast Energy Advisors, LLC's two members, Dave Mikula and Erich Landis have a combined experience of almost thirty years in the electricity and natural gas industries. See attached bio of Dave Mikula, Northeast Energy Advisors, LLC's President.

David Mikula, President and Secretary - Dave Mikula has been involved with energy supply and consulting services since 1998. He has worked for Strategic Energy (now Direct Energy), and Reliant Energy Solutions. Over his 18-year career in the industry, he has originated, negotiated and managed electricity and natural gas supply sales agreements. He has assisted companies of various sizes in navigating the complexities of a deregulated energy marketplace and provided objective and unbiased cost saving solutions. In recent years, he has communicated his knowledge of the energy marketplace to industry and association panels by serving as an educational speaker addressing effective procurement strategies for electricity and natural gas supply. Dave holds a Bachelor of Science degree in Electrical Engineering from Pennsylvania State University.

Erich Landis, Vice President and Treasurer - Erich Landis has worked with some of the largest manufacturers and property management companies in the region to manage their energy expenses through competitively purchased energy. Erich has held a variety of executive and management positions with some of the nation's leading electric suppliers including Strategic Energy (now Direct Energy), Green Mountain Energy and CSW (now American Electric Power). Erich holds a bachelor's degree in Economics from The Texas Tech University System (San Angelo) and a Masters of Public Administration from Texas A&M University.

b. PROPOSED MARKETING METHOD (check all that apply)

- Internal – Applicant will use its own internal resources/employees for marketing
- External NGS – Applicant will contract with a PUC LICENSED NGS
- Affiliate – Applicant will use a NON-NGS affiliate that is a nontraditional marketer and/or marketing services consultant
- External Third-Party – Applicant will contract with a NON-NGS third party nontraditional marketer and/or non-selling marketer
- Other (Describe):

c. DOOR TO DOOR SALES: Will the Applicant be implementing door to door sales activities?

- Yes
- No

If yes, will the Applicant be using verification procedures?

- Yes
- No

If yes, describe the Applicant's verification procedures.

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d. OVERSIGHT OF MARKETING: Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

Applicant's President/Secretary and/or Vice President/Treasurer directly oversee all marketing documentation relating to services offered by the company.

e. OFFICERS: Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.

David Mikula, President and Secretary – See attached resume.
Erich Landis, Vice President and Treasurer – See attached resume.

9. DISCLOSURE STATEMENT:

(Not applicable for an applicant applying for a license exclusively as a broker/marketer.)

DISCLOSURE STATEMENTS: If proposing to serve Residential and/or Small Commercial (less than 6,000 Mcf annually) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix E to this Application.

- Natural gas should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

Not Applicable. Applicant is applying only for a broker/marketer license.

10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 62.114.

X AGREED

- b. REPORTING REQUIREMENTS:** Applicant agrees to provide the following information to the Commission:

- Reports of Gross Receipts: Applicant shall file an annual report with the Commission on an annual basis no later than April 30th following the end of the calendar year per 52 Pa. Code § 62.110.

X AGREED

- c. TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. § 2208(d). Transferee will be required to file the appropriate licensing application.

X AGREED

- d. ANNUAL FEES:** The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling natural gas in the Commonwealth of PA, and a supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.

X ACKNOWLEDGED

- e. FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 62.105.

X AGREED

- f. FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

X AGREED

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g. NOTIFICATION OF CHANGE: If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within thirty (30) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 62.105.

X AGREED

h. CEASING OF OPERATIONS: Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

X AGREED

i. FILING FEE: The Applicant has enclosed or paid the required, non-refundable filing fee by CERTIFIED CHECK OR MONEY ORDER in the amount of \$350.00 payable to the Commonwealth of Pennsylvania. The Commission does not accept corporate or personal checks for filing fees.

X PAYMENT ENCLOSED

11. AFFIDAVITS

(All affidavits must be notarized before filing.)

a.) APPLICATION AFFIDAVIT: Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.

b.) OPERATIONS AFFIDAVIT: Provide an officially notarized affidavit stating that you will adhere to the Public Utility Code of Pennsylvania and applicable federal and state laws. An example copy of this Affidavit can be found at Appendix B.

12. NEWSPAPER PUBLICATIONS

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve.

The chart below dictates which newspapers are necessary for each NGDC. For example, an applicant that wants to operate in Peoples – Equitable would need to run ads in both The Erie Times-News and the Pittsburgh Post-Gazette. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

The only acceptable verification of this requirement is with Notarized Proofs of Publication, which may be requested from each newspaper and must be supplied with this application. Applicants do not need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.


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	Erie Times-News	Harrisburg Patriot-News	Philadelphia Daily News	Pittsburgh Post-Gazette	Scranton Times-Tribune	Williamsport Sun-Gazette	Johnstown Tribune-Democrat
Columbia Gas	X	X		X		X	X
National Fuel Gas				X			
PECO			X				
Peoples - Equitable	X			X			
Peoples Natural Gas	X			X			X
Peoples TWP LLC				X			
Philadelphia Gas Works			X				
UGI		X	X		X		
UGI Central Penn	X	X	X	X	X	X	X
UGI Penn Natural		X			X	X	
Valley Energy					X	X	
Entire Commonwealth	X	X	X	X	X	X	X

(Example Publications are provided at Appendices F and G)

13. SIGNATURE

Applicant: 

By: **Erich Landis**

Title: **Vice President**

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14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections is complete.

Applicant: Northeast Energy Advisors, LLC

Applicant's Use	✓	Signature	
	✓	Filing Fee (ONLY CERTIFIED CHECK OR MONEY ORDER)	
	✓	Application Affidavit	
	✓	Operations Affidavit	
	✓	Proof of Publication	
	✓	Tax Certification Statement	
	✓	Commonwealth Department of State Verification	
	✓	Certificate of Service	

PUC Secretary's Bureau Use

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Appendix A

APPLICATION AFFIDAVIT

Commonwealth of Pennsylvania :
County of Allegheny : ss.

Erich Landis, Affiant, being duly sworn according to law, deposes and says that:

He is the Vice President of Northeast Energy Advisors, LLC ("Applicant");

That he is authorized to and does make this affidavit for Northeast Energy Advisors, LLC;

That the Applicant herein Northeast Energy Advisors, LLC has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as a natural gas supplier pursuant to 66 Pa. C.S. § 2208 (c)(1).

That the Applicant herein Northeast Energy Advisors, LLC has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein Northeast Energy Advisors, LLC acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein Northeast Energy Advisors, LLC acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

Erich Landis
Erich Landis, Vice President
Northeast Energy Advisors, LLC

RECEIVED

FEB - 1 2017

Sworn and subscribed before me this 23rd day of January, 2017.

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Harry M. Ruben, Notary Public
Pine Twp., Allegheny County
My Commission Expires July 13, 2020
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

Harry M. Ruben
Signature of official administering oath

My commission expires _____.

**Appendix B
OPERATIONS AFFIDAVIT**

Commonwealth of Pennsylvania :
: ss.
County of Allegheny :

Erich Landis, Affiant, being duly sworn according to law, deposes and says that:

He is the Vice President of Northeast Energy Advisors, LLC;

That he is authorized to and does make this affidavit for said Applicant;

That Northeast Energy Advisors, LLC, the Applicant herein, acknowledges that Northeast Energy Advisors, LLC may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That Northeast Energy Advisors, LLC, the Applicant herein, asserts that it possesses the requisite technical, managerial, and financial fitness to render natural gas supply service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Northeast Energy Advisors, LLC, the Applicant herein, certifies to the Commission that if it is subject to and if so, will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 22 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28 shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional natural gas sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

That Northeast Energy Advisors, LLC, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506 and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Office of Communications or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his knowledge, information, and belief.



Erich Landis, Vice President
Northeast Energy Advisors, LLC

RECEIVED

FEB - 1 2017

Sworn and subscribed before me this 23rd day of January, 2017.



Signature of official administering oath

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

My commission expires _____

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Harry M. Ruben, Notary Public
Pine Twp., Allegheny County
My Commission Expires July 13, 2020
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

RECEIVED

FEB - 1 2017

Appendix C

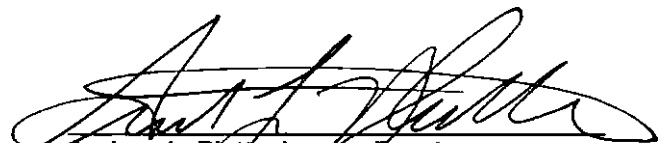
PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

CERTIFICATE OF SERVICE

On this the 1st day of February 2017, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as a Natural Gas Supplier and all **NON-CONFIDENTIAL** attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120	Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120
Office of the Small Business Advocate Commerce Building, Suite 202 300 North Second Street Harrisburg, PA 17101	Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946
Columbia Gas of PA, Inc. Michele Caddell 290 W. Nationwide Blvd. Columbus, OH 43215 PH: 614.460.6841 FAX: 614.460.8447 e-mail: mcaddell@nisource.com	Bureau of Investigation & Enforcement Pennsylvania Public Utility Commission Commonwealth Keystone Building 400 North Street, 2 West Harrisburg, PA 17120
Peoples Natural Gas – Equitable Division Lynda Petrichevich 225 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: Lynda.w.petrichevich@peoples-gas.com	National Fuel Gas Distribution Corp. David D. Wolford 6363 Main Street Williamsville, NY 14221 PH: 716.857.7483 FAX: 716.857.7479 e-mail: wolfordd@natfuel.com
The Peoples Natural Gas Company Lynda Petrichevich 225 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: Lynda.w.petrichevich@peoples-gas.com	PECO Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2 Philadelphia, PA 19103 PH: 215.841.6452 Email: carlos.thillet@exeloncorp.com

<p>Peoples TWP LLC (Formerly T. W. Phillips) Lynda Petrichevich 225 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: Lynda.w.petrichevich@peoples-gas.com</p>	<p>Philadelphia Gas Works Nicholas LaPergola 800 West Montgomery Avenue Philadelphia, PA 19122 PH: 215.684.6278 email: nicholas.lapergola@pgworks.com</p>
<p>UGI Central Penn David Lahoff 2525 N. 12th Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3520 Email: dlahoff@ugi.com</p>	<p>UGI David Lahoff 2525 N. 12th Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3520 Email: dlahoff@ugi.com</p>
<p>Valley Energy Inc. Robert Crocker 523 South Keystone Avenue Sayre, PA 18840-0340 PH: 570.888-9664 FAX: 570.888.6199 email: bobc@ctenterprises.org</p>	<p>UGI Penn Natural David Lahoff 2525 N. 12th Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3520 Email: dlahoff@ugi.com</p>


Andrew L. Blattenberger, Esquire
Counsel for Northeast Energy Advisors, LLC

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FEB - 1 2017

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Appendix D
Confidential

RECEIVED

FEB - 1 2017

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Appendix E
NOT APPLICABLE

RECEIVED

FEB - 1 2017

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Appendix F

PENNSYLVANIA
PUBLIC UTILITY COMMISSION

NOTICE

*Application of **Northeast Energy Advisors, LLC** For Approval To Offer, Render, or Furnish Natural Gas Services as a **Marketer/Broker and/or Energy Consultant** Engaged In The Business Of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsylvania.*

Northeast Energy Advisors, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer and/or Energy Consultant engaged in the business of providing natural gas services. **Northeast Energy Advisors, LLC** proposes to sell natural gas and/or related services throughout the entire Commonwealth of Pennsylvania, including Columbia Gas of PA, Inc., Peoples Natural Gas – Equitable Division, National Fuel Gas Distribution Corp., The Peoples Natural Gas Company, PECO, Peoples TWP LLC (Formerly T.W. Phillips), Philadelphia Gas Works, UGI Central Penn, UGI, Valley Energy Inc. and UGI Penn Natural under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Northeast Energy Advisors, LLC** may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to **Northeast Energy Advisors, LLC** attorney at the address listed below.

By and through Counsel: Andrew L. Blattenberger, Esquire
Northeast Energy Advisors, LLC
c/o Urban & Blattenberger, P.C.
513 Allegheny Street
Hollidaysburg, PA 16648
Phone (814) 695-7898
FAX (814) 695-8757

RECEIVED

FEB - 1 2017

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Proofs of Publication For Appendix "F"

RECEIVED

FEB - 1 2017

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

PROOF OF PUBLICATION
In
THE ERIE TIMES-NEWS
COMBINATION EDITION

URBAN & BLATTENBERGER PC
513 ALLEGHENY ST
HOLLIDAYSBURG PA 16648

REFERENCE: 138878 243617
PUC Notice

STATE OF PENNSYLVANIA)
COUNTY OF ERIE) SS:

Brenda L. Learn, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 01/12/17

TOTAL COST: \$409.00 AD SPACE: 0 Lines

FILED ON: 01/12/17

RECEIVED

FEB - 1 2017

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE

Application of *Northeast Energy Advisors, LLC* For Approval To Offer, Render, or Furnish Natural Gas Services as a Broker/Broker and/or Energy Consultant Engaged in The Business Of Supplying Natural Gas Supply Services, To The Public in The Commonwealth Of Pennsylvania.

Northeast Energy Advisors, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker, marketer and/or Energy Consultant engaged in the business of providing natural gas services. Northeast Energy Advisors, LLC proposes to sell natural gas and/or related services throughout the entire Commonwealth of Pennsylvania, including Columbia Gas of PA, Inc., Peoples Natural Gas - Equitable Division, National Fuel Gas Distribution Corp., The Peoples Natural Gas Company, PECO, Peoples TWP, LLC (Formerly T.W. Phillips), Philadelphia Gas Works, UGI Central Penn, UGI Valley Energy Inc. and UGI Penn Natural under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Northeast Energy Advisors, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Northeast Energy Advisors, LLC attorney at the address listed below.

By and through Counsel: Andrew L. Blattenberger, Esquire
Northeast Energy Advisors, LLC
c/o Urban & Blattenberger, P.C.
513 Allegheny Street
Hollidaysburg, PA 16648
Phone (814) 695-7898
FAX (814) 695-8767

EP243617

Sworn to and subscribed before me this 10th day of January 2017

Affiant: Brenda L. Learn

NOTARY: Barbara J. Moore

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Barbara J. Moore, Notary Public
City of Erie, Erie County
My Commission Expires March 23, 2020
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

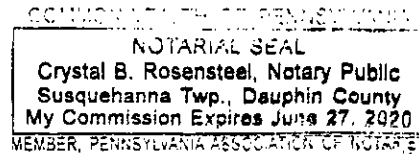


Commonwealth of Pennsylvania,) ss
County of Cumberland)

Victoria Soto being duly sworn, deposes that he/she is principal clerk of PA Media Group; that The Patriot News is a public newspaper published in the city of Mechanicsburg, with general circulation in Cumberland and Dauphin and surrounding counties, and this notice is an accurate and true copy of this notice as printed in said newspaper, was printed and published in the regular edition and issue of said newspaper on the following date(s):

The Patriot News 01/12/2017

Principal Clerk of the Publisher



Sworn to and subscribed before me this 13th day of January 2017

Notary Public

**PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE**

Application of Northeast Energy Advisors, LLC For Approval To Offer, Render, or Furnish Natural Gas Services as a Marketer/Broker and/or Energy Consultant Engaged In the Business Of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsylvania.

Northeast Energy Advisors, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer and/or Energy Consultant engaged in the business of providing natural gas services. Northeast Energy Advisors, LLC proposes to sell natural gas and/or related services throughout the entire Commonwealth of Pennsylvania, including Columbia Gas of PA, Inc., Peoples Natural Gas - Equitable Division, National Fuel Gas Distribution Corp., The Peoples Natural Gas Company, PECO, Peoples TWP LLC (Formerly T.W. Phillips), Philadelphia Gas Works, UGI Central Penn, UGI, Valley Energy Inc. and UGI Penn Natural under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Northeast Energy Advisors, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Northeast Energy Advisors, LLC attorney at the address listed below.

By and through Counsel:
Andrew L. Blattenberger, Esquire
Northeast Energy Advisors, LLC
c/o Urban & Blattenberger, P.C.
513 Allegheny Street
Hollidaysburg, PA 16648
Phone (814) 695-7898
FAX (814) 695-8757

RECEIVED

FEB - 1 2017

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Proof of Publication in The Philadelphia Daily News
Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA
COUNTY OF PHILADELPHIA

Helene Sweeney being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

January 13, 2017

Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

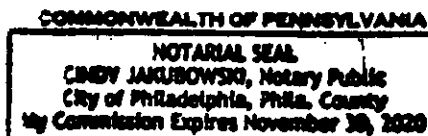


Sworn to and subscribed before me this 13th day of
January, 2017.



Notary Public

My Commission Expires:



Copy of Notice of Publication

PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE
Application of **Northeast Energy Advisors, LLC** For Approval To Offer, Render, or Furnish Natural Gas Services as a **Marketer/Broker** and/or **Energy Consultant** Engaged in The Business Of Supplying Natural Gas Supply Services, To The Public in The Commonwealth Of Pennsylvania. **Northeast Energy Advisors, LLC** will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer and/or Energy Consultant engaged in the business of providing natural gas services. **Northeast Energy Advisors, LLC** proposes to sell natural gas and/or related services throughout the entire Commonwealth of Pennsylvania, including Columbia Gas of PA, Inc., Peoples Natural Gas - Equitable Division, National Fuel Gas Distribution Corp., The Peoples Natural Gas Company, PECO, Peoples TWP LLC (Formerly T.W. Phillips), Philadelphia Gas Works, UGI Central Penn, UGI, Valley Energy Inc. and UGI Penn Natural under the provisions of the new Natural Gas Choice and Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Northeast Energy Advisors, LLC** may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to **Northeast Energy Advisors, LLC** attorney at the address listed below.

By and through Counsel:
Andrew L. Blattenberger, Esquire
Northeast Energy Advisors, LLC
c/o Urban & Blattenberger, P.C.
513 Allegheny Street
Harrisburg, PA 17145
Phone (610) 695-7595
FAX (610) 695-8757

RECEIVED
FEB - 1 2017
PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss P. Reed, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the _____ regular editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

11 of January, 2017

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

P. Reed

PG Publishing Company

Sworn to and subscribed before me this day of:
January 11, 2017

Linda M. Gaertner

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Linda M. Gaertner, Notary Public
City of Pittsburgh, Allegheny County
My Commission Expires Jan. 31, 2019
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU
RECEIVED
FEB - 1 2017

STATEMENT OF ADVERTISING COSTS
Urban & Blattenberger PC
513 ALLEGHENY ST STE 6
ATTN: ANDREW L BLATTENBERGER
HOLIDAYSBURG PA 16648-2021

To PG Publishing Company

Total ----- \$532.50

Publisher's Receipt for Advertising Costs

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

Office
2201 Sweeney Drive
CLINTON, PA 15026
Phone 412-263-1338

PG Publishing Company, a Corporation, Publisher of
Pittsburgh Post-Gazette, a Newspaper of General Circulation

By [Signature]
Emanuel J. Arbuthnot

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

COPY OF NOTICE OR PUBLICATION

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE
Application of Northeast Energy Advisors, LLC For Approval To Offer, Render, or Furnish Natural Gas Services as a Marketer/Broker and/or Energy Consultant Engaged in The Business Of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsylvania.

Northeast Energy Advisors, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer and/or Energy Consultant engaged in the business of providing natural gas services. Northeast Energy Advisors, LLC proposes to sell natural gas and/or related services throughout the entire Commonwealth of Pennsylvania, including Columbia Gas of PA, Inc., Peoples Natural Gas - Equitable Division, National Fuel Gas Distribution Corp., The Peoples Natural Gas Company, PECCO, Peoples TWP LLC (Formerly T.W. Phillips), Philadelphia Gas Works, UGI Central Penn, UGI Valley Energy Inc. and UGI Penn Natural under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Northeast Energy Advisors, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Northeast Energy Advisors, LLC attorney at the address listed below.

By and through Counsel:
Andrew L. Blattenberger, Esquire, Northeast Energy Advisors, LLC, c/o Urban & Blattenberger, P.C., 513 Allegheny Street, Hollidaysburg, PA 16648, Phone (814) 695-7898, FAX (814) 695-8757

The Scranton Times (Under act P.L. 877 No 160. July 9, 1976)
Commonwealth of Pennsylvania, County of Lackawanna

URBAN & BLATTENBERGER PC
TERRI PHEASANT
513 ALLEGHENY STREET HOLLIDAYSBURG PA 16648

RECEIVED

FEB - 1 2017

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Account # 565825
Order # 82034004
Ad Price: 269.35

LEGAL NOTICE PENNSYLVANIA

Gina Krushinski

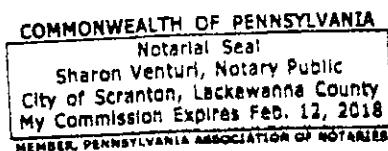
Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

01/11/2017

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true Gina Krushinski

Sworn and subscribed to before me
this 11th day of January A.D., 2017

Sharon Venturi
(Notary Public)



LEGAL NOTICE
PENNSYLVANIA PUBLIC UTILITY COMMISSION
Application of Northeast Energy Advisors, LLC For Approval To Offer, Render, or Furnish Natural Gas Services as a Marketer/Broker and/or Energy Consultant Engaged In The Business Of Supplying Natural Gas Supply Services To The Public In The Commonwealth Of Pennsylvania.
Northeast Energy Advisors, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker /marketer and/or Energy Consultant engaged in the business of providing natural gas services. Northeast Energy Advisors, LLC proposes to sell natural gas and/or related services throughout the entire Commonwealth of Pennsylvania, including Columbia Gas of PA, Inc., Peoples Natural Gas-Equitable Division, National Fuel Gas Distribution Corp., The Peoples Natural Gas Company, PECO, Peoples TWP LLC (Formerly T.W. Phillips), Philadelphia Gas Works, UGI Central Penn, UGI, Valley Energy Inc. and UGI Penn Natural under the provisions of the new Natural Gas Choice and Competition Act.
The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Northeast Energy Advisors, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Northeast Energy Advisors, LLC attorney at the address listed below.
By and through Counsel:
Andrew L. Blattenberger, Esquire
Northeast Energy Advisors, LLC
c/o Urban & Blattenberger, P.C.
513 Allegheny Street
Hollidaysburg, PA 16648
Phone (814) 695-7898

PROOF OF PUBLICATION OF NOTICE IN THE WILLIAMSPORT SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA
COUNTY OF LYCOMING

SS:

Bernard A. Oravec Publisher of the Sun-Gazette Company, publishers of the Williamsport, Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport Sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

January 13, 2017

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette Company, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and also declares that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.

PENNSYLVANIA
PUBLIC UTILITY
COMMISSION
NOTICE
Application of Northeast Energy Advisors, LLC For Approval To Offer, Render, or Furnish Natural Gas Services as a Marketer/Broker and/or Energy Consultant Engaged in The Business Of Supplying Natural Gas Supply Services, To The Public in The Commonwealth Of Pennsylvania.
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erly T.W. Phillips), Philadelphia Gas Works, UGI Central Penn, UGI, Valley Energy Inc. and UGI Penn Natural under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Northeast Energy Advisors, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Northeast Energy Advisors, LLC at the address listed below.

By and through Counsel:
Andrew L. Blattenberger,
Esquire
Northeast Energy Advisors, LLC
c/o Urban & Blattenberger, P.C.
513 Allegheny Street
Hollidaysburg, PA 16648
Phone (814) 695-7898
FAX (814) 695-8757

Bernard A. Oravec

SUN-GAZETTE COMPANY

Sworn to and subscribed before me

the 13th day of January 2017

Christina Dewald

COMMONWEALTH OF PENNSYLVANIA

Notary Public

NOTARIAL SEAL
CHRISTINA DEWALD
Notary Public
CITY OF WILLIAMSPORT, LYCOMING COUNTY
My Commission Expires Apr 18, 2020

STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette Company, Dr.:
For publishing the notice attached
hereto on the above state dates.....\$ 315.⁰⁰
Probated same.....\$
Total.....\$ 315.⁰⁰

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

THE SUN-GAZETTE COMPANY hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

RECEIVED

SUN-GAZETTE COMPANY

BY Bernard A. Oravec

FEB - 1 2017

COMMONWEALTH OF PENNSYLVANIA }
 County of Cambria } SS

**PENNSYLVANIA
 PUBLIC UTILITY COMMISSION
 NOTICE**

Application of Northeast Energy Advisors, LLC For Approval To Offer, Render, or Furnish Natural Gas Services as a Marketer/Broker and/or Energy Consultant Engaged In The Business Of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsylvania.

Northeast Energy Advisors, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer and/or Energy Consultant engaged in the business of providing natural gas services. Northeast Energy Advisors, LLC proposes to sell natural gas and/or related services throughout the entire Commonwealth of Pennsylvania, including Columbia Gas of PA, Inc., Peoples Natural Gas - Equitable Division, National Fuel Gas Distribution Corp., The Peoples Natural Gas Company, PECCO, Peoples TWP LLC (Formerly T.W. Phillips), Philadelphia Gas Works, UGI Central Penn, UGI, Valley Energy Inc. and UGI Penn Natural under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Northeast Energy Advisors, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Northeast Energy Advisors, LLC attorney at the address listed below.

By and through Counsel:
 Andrew L. Blattenberger, Esquire
Northeast Energy Advisors, LLC
 c/o Urban & Blattenberger, P.C.
 513 Allegheny Street
 Hollidaysburg, PA 16648
 Phone (814) 695-7898
 FAX (814) 695-8757

published
 that the a
 of The Joi
 interested
 character

Sworn an
 18th day

[Handwritten Signature]

COMMONWEALTH OF PENNSYLVANIA
 NOTARIAL SEAL
 Vivian Ohs, Notary Public
 City of Johnstown, Cambria County
 My Commission Expires Dec. 6, 2020
 MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

On this 18th day of January A.D. 2017, before me, the subscriber, a Notary Public in and for said County and State, personally appeared Christine Marhefka, who being duly sworn according to law, deposes and says as Classified Advertising Manager of the Tribune-Democrat, Johnstown, PA, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8, 1952, of the Johnstown Tribune, established December 7, 1853; and of the Johnstown Democrat, established March 5, 1863,

of Cambria, and Commonwealth of Pennsylvania and matter published in said publication in the regular issues on January 12, 2017; and that the Affiant is not using and that all of the allegations as to time, place and

Christine Marhefka

STATEMENT OF ADVERTISING COSTS

0.00 Lines @ \$2.50 per line	0.00
8.5 Inches @ \$25.00 per inch	212.50
Notary Fee	5.00
Clerical Fee	2.50
Total Cost	220.00

To The Tribune-Democrat, Johnstown, PA
 For publishing the notice or publication
 attached hereto on the above stated dates.

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

_____ for publisher of _____
 a newspaper of general circulation, hereby acknowledges receipt of the aforesaid
 and publication costs and certifies that the same has been duly paid.

 (Name of Newspaper)

By _____

RECEIVED

FEB - 1 2017

PA PUBLIC UTILITY COMMISSION
 ST. LOUIS BUREAU

COMMONWEALTH OF PENNSYLVANIA }
 County of Cambria } SS

PENNSYLVANIA PUBLIC UTILITY COMMISSION	
Arthur	6-3, 8-6
Alexan	
Tristan L	
Peter	
llc, 6-2	
mander-	
Dan	
Postill	
Uladzi	
Sousa, F	
Mohan	
Nikola B	
Julien	
Lorenzo	
Mirza	
my Jahn	
Lukas	
travb, k	
han t	
7, Montreal, Danant	4:31, 8, Wintm
peg, Scheffle	19 (Ehlers, Petan), 5:06
(pp), Penalties-Mitchell, MTL, (delay	
of game), 4:56; Wheeler, WPG, (dash-	
ing), 10:41.	
Third Period-9, Montreal, Lenkonek 9	
(Weber, Byron), 4:10, 10, Montreal, Loh-	
Kamen 10 (Beaulieu, Weber), 9:05 (pp),	
11, Winnipeg, Little 7 (Starford), 10:04,	
Penalties-Mathias, WPG, (unsports-	
menthe conduct), 8:54; Johnston, MTL,	
(delay of game), 12:20.	
Stots on Goal-Montreal 10-10-10-30,	
Winnipeg 10-3-14-27.	
Power-play opportunities-Montreal 1	
of 2: Winnipeg 1 of 4.	
Goalies-Montreal, Montoya 6-4-2	
(27 shots-23 saves), Winnipeg, Helle-	
duyck 16-13-1 (7-4), Hutchinson 4-8-2	
(23-19)	
rsset 5-0; Westmont	
eyer, Basala, Gullian)	
0 free relay-Somerset	
0:21, 100 breast-Bas-	
iddler) 6:22.11, 100	
rgan Rutledge, Innes,	
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1373, 500 free-Kelsey	
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ee-Jasmine Innes, WH	
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0:48, 200 free-Aqua	
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elay-Somerset (Sarah	
nt Hittop 75	
rsset 93,	
chool Girls	

On this 18th day of January A.D. 2017, before me, the subscriber, a Notary Public in and for said County and State, personally appeared Christine Marhefka, who being duly sworn according to law, deposes and says as Classified Advertising Manager of the Tribune-Democrat, Johnstown, PA, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8, 1952, of the Johnstown Tribune, established December 7, 1853; and of the Johnstown Democrat, established March 5, 1863,

published continuously at Johnstown Pa. in the County of Cambria, and Commonwealth of Pennsylvania and that the annexed is a true copy of a notice in the above matter published in said publication in the regular issues of The Johnstown Tribune-Democrat, Johnstown, PA, on January 12, 2017; and that the Affiant is not interested in the subject matter of said notice or advertising and that all of the allegations as to time, place and character of said publication are true.

Christine Marhefka
 STATEMENT OF ADVERTISING COSTS

Sworn and Subscribed before me this 18th day of January, 2017.

Vivian Ohs

0.00 Lines @ \$2.50 per line	0.00
8.5 Inches @ \$25.00 per inch	212.50
Notary Fee	5.00
Clerical Fee	2.50
Total Cost	220.00

COMMONWEALTH OF PENNSYLVANIA
 NOTARIAL SEAL
 Vivian Ohs, Notary Public
 City of Johnstown, Cambria County
 My Commission Expires Dec. 6, 2020
 MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

To The Tribune-Democrat, Johnstown, PA
 For publishing the notice or publication
 attached hereto on the above stated dates.

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

_____ for publisher of _____
 a newspaper of general circulation, hereby acknowledges receipt of the aforesaid
 and publication costs and certifies that the same has been duly paid.

 (Name of Newspaper)

By _____

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PA PUBLIC UTILITY COMMISSION
 SECRETARY'S BUREAU

Appendix G

NOT APPLICABLE

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Appendix H

Electronic Data Interchange and Internet Requirements

Any NGS suppliers and/or broker/marketers that wish to use the Electronic Data Interchange (EDI), in the NGDCs where its available, must meet the EDI certification requirements of the NGDC. Certification is a testing process using the Commission approved Internet protocol. To initiate this process, the NGS is encouraged to contact the NGDC as early as possible after filing an application for a license with the Commission, since certification may require as many as four months to complete and customer service contract dates may not commence prior to certification. NGDC requirements of new suppliers may be found on the respective NGDC home web page. Pennsylvania's industry stakeholder group the Electronic Data Exchange Working Group (EDEWG) develops and maintains the EDI transactions and related business practices, which are found on the Pa. PUC website at http://www.puc.pa.gov/filing_resources/issues_laws_regulations/electronic_data_exchange.aspx. The EDEWG meets telephonically the first Thursday of each month at 2:00pm ET to discuss EDI change control requests and other issues.

To keep current with Pennsylvania EDI practices and policies, a newly licensed NGS may choose to participate in the EDEWG by contacting the following:

PA EDEWG EDI Contacts

Entity Name	Contact Name	Telephone	Email	Preference
PA PUC	Jeff McCracken	717-783-6163	jmccracken@pa.gov	Email
PA PUC	Lee Yalcin	717-787-6723	lyalcin@pa.gov	Email
PA EDEWG LDC Co-Chair	Sue Scheetz	610-774-3616	smscheetz@pplweb.com	Email
PA EDEWG ESP Co-Chair	Christine Hughey	713-401-2296	christine.hughey@constellation.com	Email
PA EDEWG Secretary & Regional EDI Change Control Manager	Brandon Siegel	412-817-8004	brandon.siegel@intelometry.com	Email

PA NGDC EDI Contacts

Company Name	Contact Name	Telephone	Email	Preference
PGW	Sherri Pennington	215-684-6588	Sherri.Pennington@pgworks.com	Email
UGI Utilities Inc.	EDI Technical Support		edi@ugi.com	Email
UGI Utilities Inc.	David Lahoff	610-796-3520	dlahoff@ugi.com	email

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Attachments for Section 2(b)
Certificate of Organization dated 2/19/2008
Certificate of Amendment dated 1/29/2016

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF STATE

JANUARY 6, 2012

TO ALL WHOM THESE PRESENTS SHALL COME, GREETING:

Northeast Energy Advisors, LLC

I, Carol Aichele, Secretary of the Commonwealth of Pennsylvania

do hereby certify that the foregoing and annexed is a true and correct
copy of

Certificate of Organization filed on February 19, 2008

which appear of record in this department.



IN TESTIMONY WHEREOF, I have
hereunto set my hand and caused
the Seal of the Secretary's Office to
be affixed, the day and year above
written.

Carol Aichele

Secretary of the Commonwealth

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FEB - 1 2017

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

ST, PAO4B

From: pao4b@state.pa.us
Sent: Saturday, February 16, 2008 4:43 PM
To: ra-st-pao4b@state.pa.us
Subject: PAO4B New Registration 206989

PENNSYLVANIA DEPARTMENT OF STATE
CORPORATION BUREAU
Certificate of Organization
Domestic Limited Liability Company
(15 Pa.C.S. § 8913)

Document will be returned to the name and address you enter below.

Name

dave mckula
Address
578 Olive Street
Address

City State/Zip Code
Pittsburgh PA 15237

Email: mckomik@verizon.net

Fee: \$125

Commonwealth of Pennsylvania
CERTIFICATE OF ORGANIZATION 2 Page(s)



In compliance with the requirements of 15 Pa.C.S. § 8913 (relating to certificate of organization), the undersigned desiring to organize a limited liability company, hereby certifies that:

- The name of the limited liability company (designator is required, i.e., "company", "limited" or "limited liability company" or abbreviation):
Northeast Energy Advisors, LLC
- The (a) address of limited liability company's initial registered office in this Commonwealth or (b) name of its commercial registered office provider and the county of venue is:
(a) Number and Street City State Zip County
578 Olive Street Pittsburgh PA 15237 02
(b) Name of Commercial Registered Office County
- The name and address, including street and number, if any, of each organizer is (all organizers must sign on page 2):
Name Address
Erich Landis 578 Olive Street Pittsburgh PA 15237
- Strike out if inapplicable term
A member's interest in the company is to be evidenced by a certificate of membership interest.
- Strike out if inapplicable
Management of the company is vested in a manager or managers.
- The specified effective date, if any is:
03 01 2008
Month Day Year hour, if any
- Strike out if inapplicable term: The company is a restricted professional company organized to render the following restricted professional service(s):
- For additional provisions of the certificate, if any, attach an 8 1/2 x 11 sheet.

cc-#125

IN TESTIMONY WHEREOF, the organizer(s)

2/20/2008

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FEB - 1 2008

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

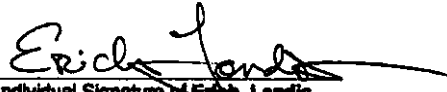
**PENNSYLVANIA DEPARTMENT OF STATE
CORPORATION BUREAU
Domestic Signature Form**

Document must be completed and mailed to the address listed below.

Department of State
Corporation Bureau
P.O. Box 8722
Harrisburg, PA 17105-8722
(717) 787-1057

1. The enterprise structure is:
Limited Liability Company
2. The enterprise legal name is:
Northeast Energy Advisors, LLC
3. The enterprise's fictitious name is:

IN TESTIMONY WHEREOF, the undersigned have caused this application to be executed this
17th day of Feb., 2008.



Individual Signature of Erich Landis

O698920080216

To avoid any delay or rejection, signature form(s) should be received within 7-10 days
of the registration submission date.

<https://www.paopenforbusinessbri.state.pa.us/PAO4bInterview/Forms/DOS/DOSForms.a...> 2/16/2008

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF STATE
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS
401 NORTH STREET, ROOM 206
P.O. BOX 8722
HARRISBURG, PA 17105-8722
WWW.CORPORATIONS.PA.GOV

Blattenberger, Andrew L
Urban & Blattenberger, P.C. 513 Allegheny Street
Hollidaysburg PA 16648

Northeast Energy Advisors, LLC

THE BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS IS HAPPY
TO SEND YOU YOUR FILED DOCUMENT. THE BUREAU IS HERE TO SERVE YOU AND WANTS
TO THANK YOU FOR DOING BUSINESS IN PENNSYLVANIA.

IF YOU HAVE ANY QUESTIONS PERTAINING TO THE BUREAU, PLEASE VISIT
OUR WEBSITE LOCATED WWW.CORPORATIONS.STATE.PA.US/Search/CorpSearch OR
PLEASE CALL OUR MAIN INFORMATION TELEPHONE NUMBER (717)787-1057. FOR
ADDITIONAL INFORMATION REGARDING BUSINESS AND /OR UCC FILINGS, PLEASE VISIT
OUR ONLINE "SEARCHABLE DATABASE" LOCATED ON OUR WEBSITE.

ENTITY NUMBER : 3790753

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

PENNSYLVANIA DEPARTMENT OF STATE
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS

Entity# : 3790753
Date Filed : 01/29/2016
Pedro A. Cortés
Secretary of the Commonwealth

Certificate of Amendment-Domestic
(15 Pa.C.S.)

Limited Partnership (§ 8512)
 Limited Liability Company (§ 8951)

Name <u>Andrew L. Blattenberger</u>		
Address <u>Urban & Blattenberger, P.C. 513 Allegheny Street</u>		
City <u>Hollidaysburg</u>	State <u>PA</u>	Zip Code <u>16648</u>

Document will be returned to the name and address you enter to the left.

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Fee: \$70.00

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

In compliance with the requirements of the applicable provisions (relating to certificate of amendment), the undersigned, desiring to amend its Certificate of Limited Partnership/Organization, hereby certifies that:

1. The name of the limited partnership/limited liability company is:

Northeast Energy Advisors, LLC

2. The date of filing of the original Certificate of Limited Partnership/Organization: 2/19/2008

3. Check, and if appropriate, complete one of the following:

The amendment adopted by the limited partnership/limited liability company, set forth in full, is as follows:

The amendment adopted by the limited partnership/limited liability company is set forth in full in Exhibit A attached hereto and made a part hereof.

4. Check, and if appropriate, complete one of the following:

The amendment shall be effective upon filing this Certificate of Amendment in the Department of State.

The amendment shall be effective on: ___ at ___.

5. Check if the amendment restates the Certificate of Limited Partnership/Organization:

The restated Certificate of Limited Partnership/Organization supersedes the original Certificate of Limited Partnership/Organization and all previous amendments thereto.

IN TESTIMONY WHEREOF, the undersigned limited partnership/limited liability company has caused this Certificate of Amendment to be executed this
29th day of January , 2016 .

Northeast Energy Advisors, LLC

Name of Limited Partnership/Limited Liability Company

Erich Landis

Signature

Vice-President

Title

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**PA. PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU**

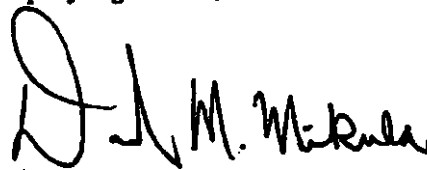
Amendment/Correction to Certificate of Organization

Northeast Energy Advisors, LLC

January 28, 2016

Whereas, all of the Members of Northeast Energy Advisors, LLC have voted to amend/correct the Certificate of Organization as follows:

1. The address of the limited liability company is amended to the following:
10900 Perry Highway, No. 210, Wexford, PA 15090
2. A member's interest in the company is not evidenced by a certificate of membership.
3. Management of the company is not vested in a manager or managers.
4. The company is not a restricted professional company organized to render restricted professional services.



Dave Mikula, President, Secretary and Member



Erich Landis, Vice-President, Treasurer and Member

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

**Attachments for Section 7(a)
NGDC Bonding Requirements Letters**

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Columbia Gas
of Pennsylvania
A NiSource Company

October 27, 2016

Andrew L. Blattenberger, Esquire
Northeast Energy Advisors, LLC
513 Allegheny Street
Hollidaysburg, PA 16648

Dear Andrew L. Blattenberger, Esquire:

We are pleased that Northeast Energy Advisors, LLC has applied for a license to provide Natural Gas Broker/Marketer Services on the distribution system of Columbia Gas of Pennsylvania, Inc. ("Columbia Gas").

Under Paragraph 2.4.5 of the Rules Applicable to Distribution Service section of the Tariff of Columbia Gas, Northeast Energy Advisors, LLC could be required to provide to Columbia Gas a bond or other financial security instrument in an amount that Columbia Gas determines to be appropriate. Northeast Energy Advisors, LLC has indicated only brokering and consulting services will be provided. Therefore, we have determined at this time that Northeast Energy Advisors, LLC does not need a bond or other financial security requirement to provide broker natural gas services to Columbia Gas customers.

If the creditworthiness requirement or Columbia Gas' exposure to Northeast Energy Advisors, LLC changes in the future, Columbia Gas might deem it appropriate to require Northeast Energy Advisors, LLC to provide a bond or other financial security instrument.

Please feel free to contact me at 614-460-6841 should you have any questions regarding a bond or other financial security instrument requirements of Columbia Gas.

Sincerely,

Michele Caddell

Michele Caddell
Manager of Choice and Transportation Support Services

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU



National Fuel

August 2, 2016

Dave Mikula, President
Northeast Energy Advisors, LLC
10900 Perry Highway, #210
Pittsburgh, PA 15090

Re: Security Requirement for Northeast Energy Advisors, LLC

Dear Dave,

National Fuel Gas Distribution Corporation (NFGDC) is aware Northeast Energy Advisors, LLC (NEA) has filed an application with the Pennsylvania Public Utility Commission to supply natural gas service to the public in Pennsylvania and specifically within the service territory of NFGDC.

As you know, in making such an application, (NEA) must furnish acceptable security to each utility where (NEA) will do business. As such, under its tariff, NFGDC could require (NEA) to provide a bond or other financial security instrument in an amount that NFGDC determines to be appropriate.

However, you have indicated, and it is NFGDC's understanding that (NEA) intends only to provide natural gas aggregating, brokering and consulting services at this time. You have stated that, in performing these services, (NEA) will never take title to any delivered natural gas.

Based upon your representations, NFGDC has determined that, at this time, (NEA) does not need to post a bond or other form of security to operate in its service territory. However, if the services provided by (NEA) change in the future, NFGDC reserves the right to require security from (NEA) as it deems appropriate.

If you have any questions concerning the foregoing, please contact me at 716-857-7599.

Yours truly,

Nathan E. Barnes
Transportation Services Department

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU



An Exelon Company

August 2, 2016

Dave Mikula
Erich Landis
Northeast Energy Advisors
10900 Perry Highway #210
Pittsburgh, PA 15090

Re: Bonding Requirements

PECO is aware that the Northeast Energy Advisors has applied for a license to provide brokering and consulting services to commercial and industrial customers on the distribution system of PECO.

In making such an application, the Northeast Energy Advisors could be required to provide to PECO a bond or other acceptable financial security in an amount that PECO determines to be appropriate. The Northeast Energy Advisors has indicated that it intends to provide only brokering and consulting services to commercial and industrial customers will not take title to any delivered natural gas; nor will accept any customer payments or deposits. Therefore, PECO has determined at this time that The Northeast Energy Advisors does not need a bond or other financial security requirement, since they are not directly engaging in business with PECO and only providing brokering or consulting services to PECO customers. However, if the services provided by the Northeast Energy Advisors or the creditworthiness requirement for PECO's exposure to the Northeast Energy Advisors changes in the future, PECO reserves the right to require the Northeast Energy Advisors to provide a bond or other financial security instrument.

If you should have any questions regarding this matter, please contact Chris Sauerbaum at 215-841-6422 or myself at 215-841-6452.

Respectfully submitted,

A handwritten signature in cursive script that reads "Carlos P. Thillet".

Carlos P. Thillet
Manager, Gas Supply and Transportation
2301 Market St S9-1
Philadelphia, Pa 19103

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU



225 North Shore Drive
Pittsburgh, PA 15212

Lynda W. Petrichevich
Director, Rates and Regulatory Affairs

Peoples Service Company LLC
Phone: 412-208-6528; Fax: 412-208-6577
Email: lpetrichevich@peoples-gas.com

July 27, 2016

Dave Mikula
President
Erich Landis
Vice President
Northeast Energy Advisors
10900 Perry Highway #210
Wexford, PA 15090

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Dear Mr. Mikula:

We are pleased that Northeast Energy Advisors has applied for a license to provide natural gas services on the Peoples Group of Companies. Specifically you have requested to be licensed as a supplier on the distribution systems of Peoples Natural Gas Company LLC, Peoples TWP, and Peoples Natural Gas LLC – Equitable Division (“the Companies”).

Since Northeast Energy Advisors is not currently operating a Pool on the Peoples systems, we have determined at this time that Northeast Energy Advisors does not need a bond or other financial security requirement to provide these services to the Company’s customers.

If a Pool is established which alters the creditworthiness requirement or the Company’s exposure to Northeast Energy Advisors provision of services on the Peoples’ system changes in the future, the Companies may deem it appropriate to require a bond or other financial instrument.

If you have any questions feel free to contact me at 412-208-6528 or by email at Lynda.W.Petrichevich@peoples-gas.com.

Sincerely,

Lynda W. Petrichevich
Director – Rates and Regulatory Affairs
Peoples Natural Gas Company LLC

Cc: Steven Kolich
Stephen Kelly

SAFETY

CUSTOMER
COMMITMENT

TRUST

COMMUNITY



PHILADELPHIA GAS WORKS

800 West Montgomery Avenue • Philadelphia, PA 19122

November 1, 2016

Andrew L. Blattenberger, Esquire
Urban & Blattenberger, P.C.
513 Allegheny Street
Hollidaysburg, PA 16648

Re: Security Requirement Bond for Northeast Energy Advisors

Dear Mr. Blattenberger:

Philadelphia Gas Works ("PGW") is aware that Northeast Energy Advisors has filed an application with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania and specifically within the services territory of Philadelphia Gas Works.

As you know, in making such an application, Northeast Energy Advisors must furnish acceptable security to each utility where Northeast Energy Advisors will do business. As such, under its tariff, Philadelphia Gas Works could require Northeast Energy Advisors to provide a bond or other financial security instrument in an amount that Philadelphia Gas Works determines to be appropriate.

However, you have indicated, and it is Philadelphia Gas Works' understanding, that Northeast Energy Advisors intends only to provide natural gas aggregating, brokering and consulting services at this time. You have stated that in performing these services Northeast Energy Advisors will never take title to any delivered natural gas.

Based upon your representations, Philadelphia Gas Works has determined that, at this time, Northeast Energy Advisors does not need to post a bond or other form of security to operate in its service territory. If the services provided by Northeast Energy Advisors should change, Philadelphia Gas Works reserves the right to require security from Northeast Energy Advisors as it deems appropriate.

If you have any questions concerning the foregoing, please contact me at 215-684-6725.

Sincerely,

John C. Zuk
Vice President, Gas Supply

NL/dls

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU



UGI Utilities, Inc.
2525 North 12th Street
Suite 360
Post Office Box 12677
Reading, PA 19612-2677

July 29, 2016

Brian T. Urban - Intern
Urban & Blattenberger, P.C.
513 Allegheny Street
Hollidaysburg, PA 16648

RE Northeast Energy Advisors, LLC Broker application

Dear Mr. Urban,

Based on your assertion that Northeast Energy Advisors, LLC, ("NORTHEAST ENERGY") is applying with the State of Pennsylvania to operate as a natural gas broker/marketer, UGI Utilities Inc. ("UGIU") has concluded that NORTHEAST ENERGY will not need to post security with UGI-Central Penn Gas ("CPG"), UGI-Penn Natural Gas ("PNG") or UGI Utilities Gas Division ("UGI"). This is based on the declaration that NORTHEAST ENERGY will not be taking title to gas or directly serving end use customers. This also assumes that NORTHEAST ENERGY will be acting in conjunction with a licensed Natural Gas Supplier who has been approved by the Pennsylvania Public Utility Commission to serve in the applicable UGIU service territories and who has posted the required financial security as specified in the respective UGIU tariffs.

If NORTHEAST ENERGY wishes to directly serve Choice customers in the service territories of UGI, PNG and/or CPG in the future as a natural gas supplier, it will have to post security as specified in the respective UGI tariffs prior to the commencement of the service.

Please feel free to contact me with any additional questions that you may have.

Sincerely,

David E. Lahoff
Manager, Tariff & Supplier Administration
UGI Utilities, Inc.

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU



VALLEY ENERGY

523 S. Keystone Avenue, P.O. Box 340, Sayre, PA 18840
800/998-4427 • 570/888-9664 • FAX 570/888-6199

August 4, 2016

VIA EMAIL

Mr. Brian T. Urban - Intern
Urban & Blattenberger, P.C.
513 Allegheny St.
Hollidaysburg, PA 16648
lpurban@urban-law.com

RE: Northeast Energy Advisors

Dear Mr. Urban:

We understand that Northeast Energy Advisors has applied with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania including our company's service area.

Because Northeast Energy Advisors intends to only provide natural gas aggregating, brokering and consulting services at this time, we have determined that Northeast Energy Advisors will not be required to post a bond or other form of financial security instrument to provide this service in our service area. However, if the service provided or failure to meet our requirements for credit worthiness changes in the future, we reserve the right to require security from Northeast Energy Advisors as deemed appropriate.

If you have any questions, please contact Mrs. Marjorie Johnston at 570-888-9664.

Sincerely,

Robert J. Crocker
President & CEO

RJC/ss

cc: M. Johnston, Valley Energy

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PA PUBLIC UTILITY COMMISSION
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Non-Confidential Attachments for Section 7(b)
Dun and Bradstreet Credit Information for
Northeast Energy Advisors, LLC
Experian BizVerify Report for Northeast Energy
Advisors, LLC

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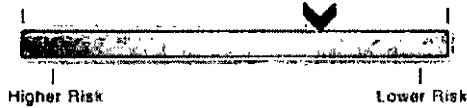
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Credit Information

Risk Summary

Risk of Late Payment



Risk of late payment is based on the following prioritized factors in addition to other information in D&B's files:

- No payment experiences reported
- Limited time under present management control
- Higher risk region based on delinquency rates for this region
- Limited business activity signals reported in the past 12 months

Indications of slowness can be the result of disputes over merchandise, skipped invoices, etc.

Payment Performance Trend

The payment performance trend for this company is Unavailable . Payment Trend currently is Unavailable compared to payments three months ago. The most recent payment information in D&B's files is:

- Payments currently: D&B has not received a sufficient sample of payment experiences to establish a current PAYDEX score. terms
- Industry average: GENERALLY WITHIN terms

*Note: Payments to suppliers are averaged weighted by dollar amounts.

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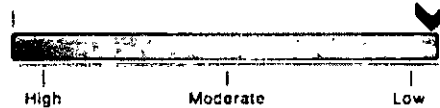
Credit Limit Recommendation

Recommendation Date: 01/21/2017

Risk Category
Low

Conservative Credit Limit
\$10K

Aggressive Credit Limit
\$25K



Company Profile

Chief Executive: NA
 Type of Business:
 LIMITED LIABILITY COMPANY
 Years in Business:
 PERPETUAL
 Annual Sales: NA
 Employees Total: 1

Line of Business:
 Electric services

Legal Filings and Other Important Information

Bankruptcies:	None	Negative Payment Experience:	None
Judgements:	0	Negative Payment Experience	None
Liens:	0	Amount:	
Suits:	None	Payments Placed for Collection:	None
Suits/Judgments/Liens Amounts:	None		

The public record items reported may have been paid, terminated, vacated or released prior to the date this data is transmitted. Accounts are sometimes placed for collection even though the existence or amount of the debt is disputed.

Special Events

We currently do have any information to be displayed for this business.

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Payment Trends

Summary

Address: 578 Olive St Pittsburgh, PA 15237	Primary Industry SIC: 4911
D-U-N-S Number: 01-660-9263	Description: Electric services

This is a **single** location.

Payment Activity

Total payment Experiences in D&Bs File:	NA
Payments Within Terms: (not dollar weighted)	NA
Total Placed For Collection:	NA
Average Highest Credit:	NA
Largest High Credit:	NA
Highest Now Owing:	NA
Highest Past Due:	NA

Indications of slowness can be the result of dispute over merchandise, skipped invoices, etc. Accounts are sometimes placed for collection even though the existence or amount of the debt is disputed.

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Score Not Available

We are unable to display a PAYDEX® for this company.
Please call 800-333-0505 for more information.

Score Not Available

We are unable to display a PAYDEX® for this company.
Please call 800-333-0505 for more information.

PAYDEX® Trends - This Company, 12 Months

No data is available on this company to build a paydex trend graph.

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This Company (0)

- Based on payments collected over the last 12 months.
- Current PAYDEX® for this Business is 0

PAYDEX® Score Comparison - This Company to Primary Industry Comparison, 4 Quarters

No data is available on this company to build a paydex score comparison graph.

- My Company (0)
- Industry Median (80)
- Industry Upper Quartile (80)
- Industry Median (72)

- Based on payments collected over the last 4 quarters.
- Current PAYDEX® for this Business is 80, or equal to GENERALLY WITHIN terms
- Industry upper quartile represents the performance of the payers in the 75th percentile
- Industry lower quartile represents the performance of the payers in the 25th percentile

Business Payment Habit by Amount of Credit Extended, 24 Months

We currently do not have enough details of this company to display in this section.

How to Read the D&B PAYDEX® Score



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BizVerifySM Report

as of: 01/21/17 12:05 ET

Northeast Energy Advisors, LLC

Address: 10900 Perry Hwy Ste 210 Wexford, PA 15090-8370 United States	Experian File Established: March 2013
Experian BIN: 968777827	Experian Years on File: 4 Years
	Years in Business: More than 4 Years

UCC Filings: 0

This location does not yet have an estimated Days Beyond Terms (DBT), or a Payment Trend Indicator. This is often the result of too few Payment Tradelines.

- ✓ Bankruptcies: 0
- ✓ Liens: 0
- ✓ Judgments Filed: 0
- ✓ Collections: 0

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Attachments for Section 8(a)

**Bio of Dave Mikula, President and Secretary of
Northeast Energy Advisors, LLC**

**Resume of Erich Landis, Vice President and
Treasurer of Northeast Energy Advisors, LLC**

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NorthEast Energy Advisors
10900 Perry Highway #210
Pittsburgh, PA 15090
Tel: 412-389-7290
Fax: 412-202-4223

www.northeastenergyadvisors.com

DAVE MIKULA, President NorthEast Energy Advisors

Dave Mikula co-founded NorthEast Energy Advisors, LLC in 2008 with the sole vision to utilize his industry insider knowledge to best benefit commercial and industrial clients. Currently he is responsible for managing operations and sales in Western Pennsylvania, Ohio and Maryland.

Dave has been involved with electricity and natural gas supply and consulting services since 1998 when Pennsylvania first passed "deregulation" legislation called PA Customer Choice. Before founding NorthEast Energy Advisors, he worked for Strategic Energy, now Direct Energy, from September 1998 to April 2004; Reliant Energy NRG Solutions from April 2004 to February 2006; and Co-Exprise Energy Market Place from February 2006 to October 2007.

Over his eighteen-year career in working as a marketer and supplier of energy commodity, Dave originated, negotiated and managed over 500 electricity and natural gas supply sales agreements. He has assisted a wide variety of customers, include small companies such as tool and die shops to larger property management and Industrial operations. Dave's core value proposition has been to apply his knowledge and understanding in navigating the complexities of a deregulated energy marketplace to provide clients with objective and unbiased cost saving solutions. In recent years, Dave has communicated his knowledge of the energy marketplace to industry and association panels by serving as an educational speaker addressing "effective procurement strategies for electricity and natural gas supply".

Dave holds a Bachelor of Science degree in Electrical Engineering from Pennsylvania State University.

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Pittsburgh, Pennsylvania 15237
412.389.7290
erich578@comcast.net

EMPLOYMENT

NORTHEAST ENERGY ADVISORS, Pittsburgh, PA

Partner, 2007-present

- Provider of consulting services, energy supply advice, analysis services and management tools.

GREEN MOUNTAIN ENERGY, Pittsburgh, PA

Vice President, 2004-2006

- Leader in turn around effort for Green Mountain Energy Commercial division
- Formulated and implemented strategy that cut overhead by more than 30%, boosted customer service, created new products, introduced new sales training and developed an indirect sales channel program
- Implementation resulted in adding over 2,000 profitable accounts and canceling over 1,000 non-profitable accounts
- Improved performance by \$5 million net annual margin in 18 months.
- Team leader in the creation of eMission Solutions, a new division of Green Mountain Energy that provides carbon emission solutions to commercial and utility customers nationwide

STRATEGIC ENERGY, Pittsburgh, PA

National Sales Manager, 1999-2004

- Instrumental in the creation of National Accounts program
- Duties included strategic and tactical direct sales focused on the restaurant, large-box and small-box retail customer segments in 9 deregulated states
- Supervised account management and team members to provide best possible service to customers and channel partners
- Originated and managed over \$200 million in annual revenue. Exceeded 125% of new sales goal for 15 consecutive quarters and was top revenue generator for Strategic Energy in 4 of 5 years
- Other duties included sales training and various budgeting, personnel and management duties for the National Accounts team

CENTRAL AND SOUTHWEST (Now AEP), Austin, Texas

Regional Manager – East Coast, 1997-1999

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- Prospected, closed and retained business with large commercial users and energy service providers to provide "smart metering", data and outsourced meter reading revenue
- Expanded the client base, developed customer relationships, created proposals, managed negotiations and developed nationwide service contracts.
- Coordinated project implementation, administered contracts and ensured customer satisfaction following sale
- Surpassed sales goals and closed over \$2 million dollars in new, yearly revenue
- Performed select regulatory and lobbyist duties

WASTE MANAGEMENT, INC., Austin, TX

Manager, Public Sector Services, 1995 – 1996

- Managed direct sales, marketing and retention of federal, state, city and select private sector clients
- Managed over \$4 million dollars in annual revenue and added an additional \$2 million dollars to the corporate revenue stream
- Involved in all contract negotiations and compliance with state regulations
- Managed and coordinated solid waste and recycling services for state and local government facilities, eight cities, five utility districts and numerous private entities

BILL LANGFORD ASSOCIATES, Austin, TX

Utility Consultant, 1994 - 1995

- Recruited new clients and provided project management on public utilities (water, sewer, electric, gas) and economic development projects to over twenty-five city utilities
- Obtained and managed millions of dollars for infrastructure projects
- Conducted numerous public hearings and made presentations before city councils on a regular basis
- Wrote, managed, and ensured compliance for grants and economic development projects

CITY OF BRYAN, Bryan, TX

Management Assistant, 1994

- Assisted the City Manager of municipal electric utility in various projects, provided reports and recommendations
- Majority of the emphasis on economic development, electric utilities, business retention and planning issues
- Recruited and retained economic development projects
- Involved in day-to-day operations of Public Works, Transportation, and Protection Services

U. S. BUREAU OF LABOR STATISTICS, Dallas, TX

Economist, 1991-1993

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- Solicited, interviewed, developed and maintained contacts with numerous private firms to generate economic data in a five-state region
- Used background in economic theory to collect, analyze and interpret information on compensation structures, relationships, patterns, and the economic trends
- Extensive technical writing and detailed economic analysis

EDUCATION

TEXAS A&M UNIVERSITY, College Station, TX
Master of Public Administration, 1994

TEXAS TECH UNIVERSITY SYSTEM, San Angelo, TX
Bachelor of Science (Economics), 1990

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Secretary

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Harrisburg, PA 17120

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