

A-2017-2582517



*Your Energy Partner*

Rosemary Chiavetta, Secretary  
Pennsylvania Public Utilities Commission  
400 North Street  
Harrisburg, PA 17120

RECEIVED

2017 FEB 27 AM 11:08

PA P.U.C.  
SECRETARY'S BUREAU

RE: Natural Gas Supplier License Application of MSI Utilities, Inc.

Rosemary,

I am attaching the requested items per your letter dated February 7, 2017. I am only missing the bond letter for Philadelphia Gas Works and will provide once I have received.

Thank you,

A handwritten signature in black ink, appearing to read "Frank Warner", with a long, sweeping horizontal line extending to the right.

Frank Warner

CEO, MSI Utilities, Inc.

RECEIVED

COMMONWEALTH OF PENNSYLVANIA  
DEPARTMENT OF STATE

2017 FEB 27 AM 11:08

FEBRUARY 11, 2013

PA P.U.C.  
SECRETARY'S BUREAU

TO ALL WHOM THESE PRESENTS SHALL COME, GREETING:

I DO HEREBY CERTIFY THAT,

**MSI Utilities, Inc.**

is duly qualified as a Foreign Corporation under the laws of the Commonwealth of Pennsylvania and remains a subsisting corporation so far as the records of this office show, as of the date herein.

I DO FURTHER CERTIFY THAT, This Subsistence Certificate shall not imply that all fees, taxes, and penalties owed to the Commonwealth of Pennsylvania are paid.



IN TESTIMONY WHEREOF, I have hereunto set my hand and caused the Seal of the Secretary's Office to be affixed, the day and year above written.

A handwritten signature in cursive script, appearing to read "Carol A. Richey".

Secretary of the Commonwealth

Certification Number: 10854591-1

Verify this certificate online at <http://www.corporations.state.pa.us/corp/soskb/verify.asp>



RECEIVED

2017 FEB 27 AM 11:08

PA P.U.C.  
SECRETARY'S BUREAU

UGI Utilities, Inc.  
2525 North 12<sup>th</sup> Street  
Suite 360  
Post Office Box 12677  
Reading, PA 19612-2677

February 17, 2017

Frank Warner – CEO  
MSI Utilities, Inc  
2727 Tuller Parkway, Suite 250  
Dublin, OH 43017

RE: MSI Utilities, Inc. application to serve as a Natural Gas Broker

Dear Mr. Warner,

Based on your assertion that MSI Utilities, Inc ("MSI") is applying with the State of Pennsylvania to operate as a natural gas broker/marketer, UGI Utilities Inc. ("UGIU") has concluded that MSI will not need to post security with UGI-Central Penn Gas ("CPG"), UGI-Penn Natural Gas ("PNG") or UGI Utilities Gas Division ("UGI"). This is based on the declaration that MSI will not be taking title to gas or directly serving end use customers. This also assumes that MSI will be acting in conjunction with a licensed Natural Gas Supplier who has been approved by the Pennsylvania Public Utility Commission to serve in the applicable UGIU service territories and who has posted the required financial security as specified in the respective UGIU tariffs. If MSI wishes to directly serve Choice customers in the service territories of UGI, PNG and/or CPG in the future as a natural gas supplier, it will have to post security as specified in the respective UGI tariffs prior to the commencement of the service. Please feel free to contact me with any additional questions that you may have.

Sincerely,

David E. Lahoff  
Manager, Tariff & Supplier Administration  
UGI Utilities, Inc.



PEOPLES NATURAL GAS



PEOPLES TWP

375 North Shore Drive  
Pittsburgh, PA 15212

Lynda W. Petrichevich  
Vice President, Regulatory Affairs

Peoples Service Company LLC  
Phone: 412-208-6528; Fax: 412-208-6577  
Email: lpetrichevich@peoples-gas.com

February 14, 2017

Frank Warner  
CEO  
MSI Utilities, Inc  
2727 Tuller Parkway, Suite 250  
Dublin, OH 43017

Dear Mr. Warner:

We are pleased that MSI Utilities, Inc has applied for a license to provide natural gas services on the Peoples Group of Companies. Specifically you have requested to be licensed as a supplier on the distribution systems of Peoples Natural Gas Company LLC, Peoples TWP, and Peoples Natural Gas LLC – Equitable Division ("the Companies").

Since MSI Utilities, Inc is not currently serving customers on the Peoples systems, we have determined at this time that MSI Utilities, Inc does not need a bond or other financial security requirement to provide these services to the Company's customers.

If a Pool is established, and customers are enrolled which alters the creditworthiness requirement or the Company's exposure to MSI Utilities, Inc provision of services on the Peoples' system changes in the future, the Companies may deem it appropriate to require a bond or other financial instrument.

If you have any questions feel free to contact me at 412-208-6528 or by email at  
Lynda.W.Petrichevich@peoples-gas.com.

Sincerely,

Lynda W. Petrichevich  
Vice President – Regulatory Affairs  
Peoples Natural Gas Company LLC

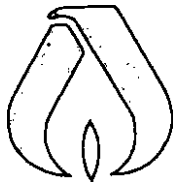
Cc: Steven Kolich  
Stephen Kelly

SAFETY

CUSTOMER  
COMMITMENT

TRUST

COMMUNITY



# VALLEY ENERGY

523 S. Keystone Avenue, P.O. Box 340, Sayre, PA 18840  
800/998-4427 • 570/888-9664 • FAX 570/888-6199

February 14, 2017

**VIA EMAIL**

Frank Warner, CEO  
MSI Utilities, Inc.  
2727 Tuller Parkway, Suite 250  
Dublin, OH 43017  
[fwarnar@msiutilities.com](mailto:fwarnar@msiutilities.com)

Dear Mr. Warner:

We understand that MSI Utilities, Inc. has applied with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania including our company's service area.

Because MSI Utilities, Inc. intends to only provide natural gas aggregating, brokering and consulting services at this time, we have determined that MSI Utilities, Inc. will not be required to post a bond or other form of financial security instrument to provide these services in our service area. However, if the services provided change in the future, we reserve the right to require security from MSI Utilities, Inc. as deemed appropriate.

If you have any questions, please contact Mrs. Marjorie Johnston at 570-888-9664.

Sincerely,

Robert J. Crocker  
President & CEO

RJC/ss

cc: M. Johnston, Valley Energy



**National Fuel**

January 5, 2017

Frank Warner - CEO  
MSI Utilities, Inc.  
2727 Tuller Pkwy., Suite 250  
Dublin, OH 43017

Re: Security Requirement for MSI Utilities, Inc.

Dear Frank,

National Fuel Gas Distribution Corporation ("NFGDC") is aware MSI Utilities, Inc. (MSI) has filed an application with the Pennsylvania Public Utility Commission to supply natural gas service to the public in Pennsylvania and specifically within the service territory of NFGDC.

As you know, in making such an application, MSI must furnish acceptable security to each utility where MSI will do business. As such, under its tariff, NFGDC could require MSI to provide a bond or other financial security instrument in an amount that NFGDC determines to be appropriate.

However, you have indicated, and it is NFGDC's understanding that MSI intends only to provide natural gas aggregating, brokering and consulting services at this time. You have stated that, in performing these services, MSI will never take title to any delivered natural gas.

Based upon your representations, NFGDC has determined that, at this time, MSI does not need to post a bond or other form of security to operate in its service territory. However, if the services provided by MSI change in the future, NFGDC reserves the right to require security from MSI as it deems appropriate.

If you have any questions concerning the foregoing, please contact me at 716-857-7599.

Yours truly,

Nathan E. Barnes  
Transportation Services Department

Columbia Gas  
of Pennsylvania  
*A NiSource Company*

January 3, 2017

Frank Warner  
MSI Utilities, Inc.  
2727 Tuller Parkway, Suite 250  
Dublin, OH 43017

Dear Frank Warner:

We are pleased that MSI Utilities, Inc. has applied for a license to provide Natural Gas Broker/Marketer Services on the distribution system of Columbia Gas of Pennsylvania, Inc. ("Columbia Gas").

Under Paragraph 2.4.5 of the Rules Applicable to Distribution Service section of the Tariff of Columbia Gas, MSI Utilities, Inc. could be required to provide to Columbia Gas a bond or other financial security instrument in an amount that Columbia Gas determines to be appropriate. MSI Utilities, Inc. has indicated only brokering and consulting services will be provided. Therefore, we have determined at this time that MSI Utilities, Inc. does not need a bond or other financial security requirement to provide broker natural gas services to Columbia Gas customers.

If the creditworthiness requirement or Columbia Gas' exposure to MSI Utilities, Inc. changes in the future, Columbia Gas might deem it appropriate to require MSI Utilities, Inc. to provide a bond or other financial security instrument.

Please feel free to contact me at 614-460-6841 should you have any questions regarding a bond or other financial security instrument requirements of Columbia Gas.

Sincerely,

*Michele Caddell*

Michele Caddell  
Director Transportation Programs and Nominations



An Exelon Company

February 15, 2017

MSI Utilities, Inc.  
2727 Tuller Parkway, Suite 250  
Dublin, OH 43017

PECO is aware that. has applied for a license to provide brokering and consulting services to commercial and industrial customers on the distribution system of PECO.

In making such an application, MSI Utilities, Inc. could be required to provide to PECO a bond or other acceptable financial security in an amount that PECO determines to be appropriate. MSI Utilities, Inc. has indicated that it intends to provide only brokering and consulting services to commercial and industrial customers will not take title to any delivered natural gas; nor will accept any customer payments or deposits. Therefore, PECO has determined at this time that MSI Utilities, Inc. does not need a bond or other financial security requirement, since they are not directly engaging in business with PECO and only providing brokering or consulting services to PECO customers. However, if the services provided by MSI Utilities, Inc. or the creditworthiness requirement for PECO's exposure to MSI Utilities, Inc. changes in the future, PECO reserves the right to require MSI Utilities, Inc. to provide a bond or other financial security instrument.

If you should have any questions regarding this matter, please contact Chris Sauerbaum at 215-841-6422 or myself at 215-841-6452.

Respectfully submitted,

Carlos P. Thillet  
Manager, Gas Supply and Transportation  
2301 Market St S9-1  
Philadelphia, Pa 19103





Worthington Office  
688 High St  
Worthington, OH 43085

All of us serving you

## Business Reference Letter for MSI Utilities, INC

February 15, 2017

To whom it may concern;

Our business customer, MSI Utilities, has been banking with us since 2002. The business maintains 3 deposit accounts with U.S Bank, the combined balance of the accounts translate to 6 figures number.

Along with the deposit accounts, our customer has established credit cards in good standing.

MSI Utilities, INC has as strong and valuable relationship with U.S Bank all these years.

Please contact me for any questions,

Sincerely,

A handwritten signature in cursive script, appearing to read "Antonios Samiotis".

Antonios Samiotis

Assistant branch Manager

U.S Bank, Worthington Office

(614) 885-3456

[antonios.samiotis@usbank.com](mailto:antonios.samiotis@usbank.com)

RECEIVED

2017 FEB 27 AM 11:08

PA P.U.C.  
SECRETARY'S BUREAU

PUBLIC SERVICE COMMISSION OF THE DISTRICT OF COLUMBIA  
1333 H STREET N.W., 2ND FLOOR, WEST TOWER  
WASHINGTON, D.C. 20005

ORDER

January 28, 2015

FORMAL CASE NO. GA 2014-19, IN THE MATTER OF THE APPLICATION  
OF MSI UTILITIES, INC. FOR A NATURAL GAS SUPPLIER LICENSE; and

FORMAL CASE NO. EA 2014-31, IN THE MATTER OF THE APPLICATION  
OF MSI UTILITIES, INC. FOR AN ELECTRICITY SUPPLIER LICENSE, Order  
No. 17787

**I. INTRODUCTION**

1. By this Order, the Public Service Commission of the District of Columbia ("Commission") grants licenses to MSI Utilities, Inc. ("MSI" or "Applicant") to function as an electricity and natural gas supplier in the District of Columbia.<sup>1</sup> The licenses for MSI Utilities, Inc. are effective upon issuance of this Order.

**II. BACKGROUND**

2. On November 18, 2014, MSI filed its license applications.<sup>2</sup> Specifically, MSI indicates that it intends to operate as a broker of electricity pursuant to Section 34-1505 of the "Retail Electric Competition and Consumer Protection Act of 1999" ("Act")<sup>3</sup> and as a broker of natural gas pursuant to the Commission's licensing requirements as set forth in GT 96-3, Order Nos. 12709 and 12903.<sup>4</sup> In both filings, the Applicant represents that it intends to serve commercial and industrial customers in the District of Columbia.

<sup>1</sup> D.C. Code § 34-1501(17) (2001) defines, in part, an electricity supplier as "a person, including an aggregator, broker, or marketer, who generates electricity; sells electricity; or purchases, brokers, arranges or markets electricity for sale to customers." D.C. Code § 34-1671.02(12) (2001) defines a natural gas supplier as "a person including an aggregator, broker, or marketer, who sell natural gas or purchases, brokers, arranges or, markets natural gas for sale to customers."

<sup>2</sup> *Formal Case No. EA 2014-31, In the Matter of the Application of MSI Utilities, Inc. for an Electricity License ("Formal Case No. EA 2014-31")*, Application of MSI Utilities, Inc. filed November 18, 2014 and *Formal Case No. GA 2014-19, In the Matter of the Application of MSI Utilities, Inc. for a Natural Gas Supplier License ("Formal Case No. GA 2014-19")*, Application of MSI Utilities, Inc. filed November 18, 2014.

<sup>3</sup> D.C. Code § 34-1505; *see generally* D.C. Code §§ 34-1501-1520.

<sup>4</sup> GT 96-3, Order No. 12709, as revised by Order No. 12903, at Attachment C.

MSI provided the following information in compliance with the Commission's licensing requirements:<sup>5</sup>

- a) Proof of technical and managerial competence;
- b) Affidavits certifying compliance with applicable Federal and District of Columbia environmental laws and regulations;
- c) Proof of financial integrity to include financial statements;
- d) Proof that MSI has registered with the Department of Consumer and Regulatory Affairs to do business in the District of Columbia;
- e) Affidavits certifying that MSI is subject to all applicable taxes;
- f) Affidavits certifying that MSI will comply with all the requirements of all orders and regulations of the Commission; and
- g) Applicant's website address: <http://www.msiutilities.com>.

MSI filed supplemental information on January 8, 2015.<sup>6</sup>

### **III. DISCUSSION**

3. Our review of the information contained in MSI's applications, demonstrate that it has met all the prescribed licensing requirements and that the Applicant has the ability and the financial integrity to serve electricity and natural gas customers in the District of Columbia. The Commission also finds that granting MSI's applications will serve the public interest by fostering competition in the District's energy market. Accordingly, MSI Utilities, Inc.'s applications are approved.

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<sup>5</sup> *Formal Case No. 945, In the Matter of the Investigation into Electric Service Market Competition and Regulatory Practices, Order No. 11796 at Attachment C; and GT 96-3, Order No. 12709, as revised by Order No. 12903, at Attachment C.*

<sup>6</sup> *Formal Case No. EA 2014-31 and GA 2014-19, MSI filed supplemental information on January 8, 2015.*

**THEREFORE, IT IS ORDERED THAT:**

4. The applications of MSI Utilities, Inc. for licenses to conduct business in the District of Columbia as a broker of electricity and natural gas are hereby **GRANTED**. The licenses for MSI Utilities, Inc. are effective upon issuance of this Order.

**A TRUE COPY:**

**BY DIRECTION OF THE COMMISSION:**

A handwritten signature in cursive script, reading "Brinda Westbrook-Sedgwick".

**CHIEF CLERK:**

**BRINDA WESTBROOK-SEDGWICK  
COMMISSION SECRETARY**

**STATE OF ILLINOIS**  
**ILLINOIS COMMERCE COMMISSION**

<b>MSI Utilities, Inc.</b>	:	
	:	
<b>Application for Licensure of</b>	:	
<b>Agents, Brokers and Consultants</b>	:	<b>11-0391</b>
<b>under Section 16-115C of the</b>	:	
<b>Public Utilities Act.</b>	:	

**ORDER**

By the Commission:

**I. PRELIMINARY MATTERS**

On May 4, 2011, MSI Utilities, Inc. ("Applicant") filed a verified application with the Illinois Commerce Commission ("Commission") requesting a certificate of service authority to operate as an agent, broker or consultant ("ABC") in Illinois pursuant to Section 16-115C of the Public Utilities Act ("Act"), 220 ILCS 5/1-101 et seq., and 83 Ill. Adm. Code 454 ("Part 454"). Applicant requests authority to offer services as an ABC for the procurement or sale of retail electricity supply for third parties in the State of Illinois.

**II. REQUIREMENTS FOR ALL APPLICANTS UNDER SECTION 16-115C OF THE ACT**

Applicant is a business organized under the laws of Ohio and licensed to transact business in Illinois. Applicant has certified that it will comply with all applicable regulations; that it will comply with informational and reporting requirements established by Commission rule; that it will comply with informational and reporting requirements pursuant to Section 16-115C of the Act; and that it will comply with all other applicable laws, regulations, terms, and conditions required to the extent they have application to the services being offered by an ABC. Applicant has agreed to ensure that any person who acts on its behalf will comply with all applicable sections of Part 454. Applicant agrees that it will remain in compliance with the provisions of the Act and Part 454, and will ensure that authorizations received from customers, and all other applicable records are retained for a period of not less than three calendar years after the calendar year in which they were created. Applicant has agreed to adopt and follow rules and procedures to preserve the confidentiality of its customers' data.

**III. FINANCIAL, TECHNICAL, AND MANAGERIAL REQUIREMENTS OF SECTION 16-115C**

Pursuant to the requirements of Section 454.80 of Part 454, Applicant provided a surety bond in the amount of \$5,000 issued by a qualifying surety authorized to transact

business in Illinois. Applicant submitted an original surety bond in satisfaction of Section 454.80.

Applicant is required by Part 454.60 to demonstrate that it meets the managerial qualifications necessary to provide services as an ABC. Applicant must further provide an organizational chart that indicates the position of persons which satisfy the managerial qualification. Applicant has demonstrated that it meets the managerial qualifications set forth in Part 454.60, as demonstrated in Attachment A. Attachment A includes an exhibit containing a corporate organizational chart and identifying the persons who are being used to meet the requirements of Part 454.60(a). Attachment B includes an exhibit containing occupational background information on the person or persons who are being used to meet the requirements of Part 454.60(a).

Applicant is required by Part 454.70 to demonstrate that it meets the technical qualifications necessary to provide services as an ABC. Applicant has demonstrated that it meets the technical qualifications set forth in Part 454.70 with the information provided, including the information included in Attachment B. Attachment B includes an exhibit containing occupational background information on the person or persons who are being used to meet the requirements of Part 454.70(a).

The Applicant indicates in its application that it is not licensed in any other jurisdictions as an ABC, and that it has not had any complaints filed against it for its provision of services in the gas or electric industry.

Applicant further agrees to comply with the Code of Conduct for ABCs contained in Section 454.90.

#### **IV. COMMISSION CONCLUSION AND CERTIFICATE OF SERVICE AUTHORITY**

The Commission has reviewed the application and attachments along with the supplementary information provided by Applicant regarding the technical and managerial requirements and all other requirements of the Act and Part 454 and finds that the Applicant sufficiently demonstrates compliance with the requirements.

The Commission concludes, therefore, that Applicant's request for a certificate of service authority to operate as an ABC in Illinois should be granted and should include the following authority:

##### **CERTIFICATE OF SERVICE AUTHORITY**

IT IS CERTIFIED that MSI Utilities, Inc. is granted service authority to operate as an agent, broker or consultant for the procurement or sale of retail electricity supply for third parties in the State of Illinois.

**V. FINDINGS AND ORDERING PARAGRAPHS**

The Commission, having reviewed the entire record, is of the opinion and finds that:

- (1) MSI Utilities, Inc., a business organized under the laws of Ohio, seeks a certificate of service authority to operate as an agent, broker or consultant under Section 16-115C of the Act;
- (2) the Commission has jurisdiction over the party hereto and the subject matter hereof;
- (3) the recitals of fact and conclusions reached in the prefatory portion of this Order are supported by the record and are hereby adopted as findings of fact;
- (4) Applicant has demonstrated that it possesses sufficient financial, managerial and technical resources and abilities to provide services as an agent, broker or consultant for the procurement or sale of retail electricity supply to third parties in the State of Illinois;
- (5) Applicant has complied with Section 16-115C of the Act and Part 454; and
- (6) MSI Utilities, Inc. should be granted the certificate of service authority to operate as an agent, broker or consultant as specified in this Order.

IT IS THEREFORE ORDERED by the Illinois Commerce Commission that MSI Utilities, Inc. is hereby granted a Certificate of Service Authority authorizing it to operate as an agent, broker or consultant; said Certificate of Service Authority shall read as that set forth in Section IV of this Order.

IT IS FURTHER ORDERED that MSI Utilities, Inc. shall comply with all applicable Commission rules and orders now and as hereafter amended.

IT IS FURTHER ORDERED that, subject to the provisions of Section 10-113 of the Public Utilities Act and 83 Ill. Adm. Code 200.880, this Order is final; it is not subject to the Administrative Review Law.

By order of the Commission this 2nd day of June, 2011.

(SIGNED) DOUGLAS P. SCOTT

Chairman



THE COMMONWEALTH OF MASSACHUSETTS  
DEPARTMENT OF PUBLIC UTILITIES

CHARLES D. BAKER  
GOVERNOR

KARYN E. POLITO  
LIEUTENANT GOVERNOR

MATTHEW A. BEATON  
SECRETARY OF ENERGY  
AND ENVIRONMENTAL AFFAIRS

ONE SOUTH STATION  
BOSTON, MA 02110  
(617) 305-3500

ANGELA M. O'CONNOR  
CHAIRMAN

JOLETTE A. WESTBROOK  
COMMISSIONER

ROBERT E. HAYDEN  
COMMISSIONER

December 21, 2016

Frank Warner  
MSI Utilities, Inc  
2727 Tuller Parkway, Ste. 250  
Dublin, OH 43017

Mr. Warner,

The Department of Public Utilities has reviewed MSI Utilities, Inc ("MSI Utilities") application for renewal of its Gas Retail Agent license to serve commercial and industrial customers in the Commonwealth of Massachusetts. I am pleased to inform you that the application has been approved. MSI Utilities' license number is RA-130.

As a condition of maintaining its license, MSI Utilities Retail must file updated information within 30 days of any material change in the information required by 220 C.M.R. § 14.04(2), and must comply with all relevant requirements of G.L. c. 164 and the regulations thereunder, including 220 C.M.R. §§ 12.00, 14.00 et seq. If you decide to request renewal of MSI Utilities' license next year, please submit a renewal application that includes audited financial statements, at least 30 days prior to the expiration of this license.

Sincerely,

  
Mark D. Marini, Secretary





THE COMMONWEALTH OF MASSACHUSETTS  
DEPARTMENT OF PUBLIC UTILITIES

CHARLES D. BAKER  
GOVERNOR

KARYN E. POLITO  
LIEUTENANT GOVERNOR

MATTHEW A. BEATON  
SECRETARY OF ENERGY  
AND ENVIRONMENTAL AFFAIRS

ONE SOUTH STATION  
BOSTON, MA 02110  
(617) 305-3500

ANGELA M. O'CONNOR  
CHAIRMAN

JOLETTE A. WESTBROOK  
COMMISSIONER

ROBERT E. HAYDEN  
COMMISSIONER

December 20, 2016

Frank Warner, CEO  
MSI Utilities, Inc.  
272 Tuller Parkway, Ste. 250  
Dublin, OH 43017

Dear Mr. Warner,

The Department of Public Utilities ("Department") has reviewed your application for renewal of your **Electricity Broker License, EB-297**, and is pleased to inform you that your application for renewal has been approved.

As a condition of maintaining this license, you must file updated information within 30 days of any material or organic change in the information required by 220 C.M.R. § 11.05(2), and you must comply with all relevant requirements of G.L. c. 164 and the regulations promulgated thereunder, including 220 C.M.R. §§ 11.00, 12.00 et seq. Consistent with the information included with MSI Utilities, Inc.'s application, the activities the company is licensed to provide are limited to electricity broker services to Commercial and Industrial customers. If, at a later date, the Company seeks to provide electricity broker services to residential customers, it must first seek and obtain Department approval at the time of renewal of its license. If you decide to request renewal of your license next year, please submit renewal application no later than January 1, 2018. The renewal application is available at: [www.mass.gov/dpu/suppliersandbrokers](http://www.mass.gov/dpu/suppliersandbrokers).

  
Mark D. Marini, Secretary

COMMISSIONERS

W. KEVIN HUGHES  
CHAIRMAN

HAROLD D. WILLIAMS  
LAWRENCE BRENNER  
KELLY SPEAKES-BACKMAN  
ANNE E. HOSKINS

STATE OF MARYLAND



PUBLIC SERVICE COMMISSION

#7, 11/12/14 AM; ML# 159053  
License Reference No.: IR-3406

November 12, 2014

Frank Warner  
CEO  
MSI Utilities, Inc.  
2727 Tuller Parkway  
Suite 250  
Dublin, OH 43017

Dear Mr. Warner:

On September 30, 2014, MSI Utilities, Inc. ("Company") filed an Application for a License to Supply Electricity or Electric Generation Services in Maryland under COMAR 20.51. The Company proposes to provide electricity supplier broker services in Maryland for commercial and industrial customers as described in the application.

After considering this matter at the November 12, 2014 Administrative Meeting, the Commission granted the Company a license to supply electricity or electric generation services in Maryland (License Reference Number IR-3406). The license granted by the Commission under this Letter Order is limited solely to electricity supplier broker services for the customer classes and service territories as recommended by Staff.

Finally, the Company is reminded that it is under a continuing obligation to notify the Commission within 30 days of any changes to the information upon which the Commission relied in granting this license. A copy of the supplemental or updated information is required to be filed concurrently with the Office of People's Counsel.

By Direction of the Commission,

A handwritten signature in cursive script, reading "David J. Collins".

David J. Collins  
Executive Secretary

DJC/st

cc: Phil VanderHeyden, Electricity Division  
Obi Linton, Director, External Relations

WILLIAM DONALD SCHAEFER TOWER • 6 ST. PAUL STREET • BALTIMORE, MARYLAND 21202-6806

410-767-8000

Toll Free: 1-800-492-0474

FAX: 410-333-6495

MDRS: 1-800-735-2258 (TTY/Voice)

Website: [www.psc.state.md.us/psc/](http://www.psc.state.md.us/psc/)

COMMISSIONERS

W. KEVIN HUGHES  
CHAIRMAN

HAROLD D. WILLIAMS  
LAWRENCE BRENNER  
KELLY SPEAKES-BACKMAN  
ANNE E. HOSKINS

STATE OF MARYLAND



PUBLIC SERVICE COMMISSION

#10, 2/25/15 AM; ML#s 161037 and 162286  
License Reference No.: IR-3440

February 25, 2015

Frank Warner, CEO  
MSI Utilities  
2727 Tuller Parkway, Suite 250  
Dublin, OH 43017

Dear Mr. Warner:

On December 3, 2014 MSI Utilities, Inc. ("Company") filed an Application for License to Supply Natural Gas or Natural Gas Supply Services in Maryland under COMAR 20.54. The Company proposes to provide natural gas supplier broker services in Maryland for commercial and industrial customers as described in the application. Additional information was filed on December 29, 2014.

After considering this matter at the February 25, 2015 Administrative Meeting, the Commission granted the Company a license to supply natural gas or natural gas supply broker services in Maryland (License Reference Number IR-3440). The license granted by the Commission under this Letter Order is limited solely to natural gas supplier broker services for the customer classes and service territories applied for and recommended by Staff.

Finally, the Company is reminded that it is under a continuing obligation to notify the Commission within 30 days of any changes to the information upon which the Commission relied in granting this license. A copy of the supplemental or updated information is required to be filed concurrently with the Office of People's Counsel.

By Direction of the Commission,

A handwritten signature in black ink, appearing to read "David J. Collins".

David J. Collins  
Executive Secretary

DJC/st

cc: Phil VanderHeyden, Electricity Division  
Obi Linton, Director, External Relations

WILLIAM DONALD SCHAEFER TOWER • 6 ST. PAUL STREET • BALTIMORE, MARYLAND 21202-6806

410-767-8000

Toll Free: 1-800-492-0474

FAX: 410-333-6495

MDRS: 1-800-735-2258 (TTY/Voice)

Website: [www.psc.state.md.us/psc/](http://www.psc.state.md.us/psc/)

STATE OF MAINE  
PUBLIC UTILITIES COMMISSION

Docket No. 2014-00326

October 21, 2014

MSI UTILITIES, INC.  
Application for License to Operate as a  
Competitive Electricity Provider

ORDER GRANTING LICENSE  
**CORRECTED**

WELCH, Chairman; LITTELL and VANNOY, Commissioners

---

**I. SUMMARY**

In this Order, we license MSI Utilities, Inc. (MSI) to operate as a competitive electricity provider furnishing aggregator/broker services to the non-residential customer classes throughout Central Maine Power Company and Emera Maine's service territories pursuant to Chapter 305 of the Commission's Rules.

**II. APPLICATION AND DECISION**

On October 8, 2014, MSI applied to the Commission to operate in Maine as a competitive electricity provider pursuant to Chapter 305. MSI proposes to provide aggregator/broker service to the non-residential customer classes throughout Central Maine Power Company and Emera Maine's service territories and has met all the Chapter 305 filing requirements.

As a licensed competitive electricity provider, MSI is required to comply with all applicable requirements and regulations, including all conditions of licensing, customer protection rules, and the filing of annual reports. To the extent that a licensed competitive electricity provider uses third-parties to assist in obtaining customers during the course of its business, Chapter 305 § 4(C) of the Commission's rules places the responsibility on the competitive electricity provider for violations of the provisions of this section by representatives or agents acting on the CEP's behalf. Chapter 305 § 2(C)(3) also requires a competitive electricity provider to use reasonable efforts not to conduct business with any entity acting as a competitive electricity provider in Maine without a license from the Commission. Therefore, if MSI uses a third party to market its services, it is responsible to ensure that the third party has met any licensing requirements of the Commission.

Pursuant to a Delegation Order dated April 23, 2008 in Docket No. 2008-185, *PUBLIC UTILITIES COMMISSION, Delegation of Authority to License Competitive Electricity Providers*, the Commission delegated to the Director of Technical Analysis the authority under 35-A M.R.S.A., § 3203 (1)(2) and Chapter 305, §2 to license competitive electricity providers. On September 12, 2009, in Docket No. 2009-260, statutory changes took effect that changed the title of this position. P.L. 2009, ch. 122. The Director of Technical Analysis is now the Director of Electric and Gas Utility Industries. All existing delegation orders that delegate Commission authority to the

Director of Technical Analysis will now read that we delegate our authority to the Director of Electric and Gas Utility Industries.

Accordingly, it is

**ORDERED**

1. That MSI Utilities, Inc. is hereby issued a license to operate as a competitive electricity provider pursuant to Chapter 305 of the Commission's Rules, **to provide aggregator/broker services to the non-residential customer classes throughout Central Maine Power Company and Emera Maine's service territories pursuant to Chapter 305 of the Commission's Rules;** and
2. That this license is effective on the date of this Order and valid until revoked or suspended by the Commission pursuant to section 3(A)(4) of Chapter 305, or abandoned by the licensee pursuant to sections 2(D)(10) and 2(D)(11) of Chapter 305 of the Commission's Rules.

Dated at Hallowell, Maine, this 21<sup>st</sup> day of October, 2014.

BY ORDER OF THE DIRECTOR OF ELECTRIC AND GAS UTILITY INDUSTRIES



---

Faith Huntington

## NOTICE OF RIGHTS TO REVIEW OR APPEAL

5 M.R.S.A. § 9061 requires the Public Utilities Commission to give each party to an adjudicatory proceeding written notice of the party's rights to review or appeal of its decision made at the conclusion of the adjudicatory proceeding. The methods of review or appeal of PUC decisions at the conclusion of an adjudicatory proceeding are as follows:

1. Reconsideration of the Commission's Order may be requested under Section 1004 of the Commission's Rules of Practice and Procedure (65-407 C.M.R.110) within 20 days of the date of the Order by filing a petition with the Commission stating the grounds upon which reconsideration is sought. Any petition not granted within 20 days from the date of filing is denied.
2. Appeal of a final decision of the Commission may be taken to the Law Court by filing, within 21 days of the date of the Order, a Notice of Appeal with the Administrative Director of the Commission, pursuant to 35-A M.R.S.A. § 1320(1)-(4) and the Maine Rules of Appellate Procedure.
3. Additional court review of constitutional issues or issues involving the justness or reasonableness of rates may be had by the filing of an appeal with the Law Court, pursuant to 35-A M.R.S.A. § 1320(5).

Note: The attachment of this Notice to a document does not indicate the Commission's view that the particular document may be subject to review or appeal. Similarly, the failure of the Commission to attach a copy of this Notice to a document does not indicate the Commission's view that the document is not subject to review or appeal.

CHAIRMAN  
Martin P. Honigberg

COMMISSIONERS  
Robert R. Scott

EXECUTIVE DIRECTOR  
Debra A. Howland

STATE OF NEW HAMPSHIRE



PUBLIC UTILITIES COMMISSION  
21 S. Fruit St., Suite 10  
Concord, N.H. 03301-2429

TDD Access: Relay NH  
1-800-735-2964

Tel. (603) 271-2431

FAX No. 271-3878

Website:  
[www.puc.nh.gov](http://www.puc.nh.gov)

December 23, 2014

Frank Warner  
MSI Utilities, Inc., d/b/a  
MSI Utilities – Your Energy Partner  
2727 Tuller Parkway, Suite 250  
Dublin, OH 43017

Re: DM 14-342 MSI Utilities, Inc., d/b/a MSI Utilities – Your Energy Partner  
Renewal Application to Register as Provider of Natural Gas Aggregation Service

Dear Mr. Warner:

On December 1, 2014, MSI Utilities, Inc., d/b/a MSI Utilities – Your Energy Partner submitted a renewal application with the Commission to register as a provider of natural gas aggregation service. Staff reviewed the application and determined that it is complete.

The Commission approves your application effective on February 13, 2015, the date of the two-year anniversary of the Commission's original approval, for a term of 5 years. Pursuant to N.H. Code Admin. Rules Puc 3003.02(a), you must submit your next renewal application at least 60 days prior to the expiration of the registration approved hereby, on or before December 14, 2019.

Please be aware that registered providers of natural gas aggregation service are subject to specific requirements contained in N.H. Code Admin. Rules Puc 3000 - Competitive Natural Gas Supplier and Aggregator Rules. These rules are available at:  
<http://www.puc.state.nh.us/Regulatory/Rules/PUC3000.pdf>.

Please note that, effective as of July 1, 2014, each registered natural gas aggregator is subject to an annual assessment of \$2,000, unless it demonstrates that its gross revenue in New Hampshire during the preceding fiscal year (from July 1 through June 30) was less than \$10,000, pursuant to RSA 363-A:2, III and 5.

Sincerely,

A handwritten signature in cursive script that reads "Debra A. Howland".

Debra A. Howland  
Executive Director

cc: Service List/Docket File

STATE OF NEW HAMPSHIRE

COMMISSIONERS  
Robert R. Scott  
Martin P. Honigberg

EXECUTIVE DIRECTOR  
Debra A. Howland



PUBLIC UTILITIES COMMISSION  
21 S. Fruit St., Suite 10  
Concord, N.H. 03301-2429

TDD Access: Relay NH  
1-800-735-2964

Tel. (603) 271-2431

FAX No. 271-3878

Website:  
[www.puc.nh.gov](http://www.puc.nh.gov)

December 3, 2014

Frank Warner  
MSI Utilities, Inc.  
2727 Tuller Parkway, Suite 250  
Dublin, OH 43017

Re: DM 14-343 MSI Utilities, Inc. d/b/a MSI Utilities – Your Energy Partner  
Application to Renew Registration as Provider of Electric Aggregation Service

Dear Mr. Warner:

On December 1, 2014, MSI Utilities – Your Energy Partner submitted an application with the Commission to renew the registration as a provider of electric aggregation service. Staff reviewed the application and determined that it is complete.

The Commission approves your application to renew your registration as a provider of electric aggregation service, effective as of February 12, 2015. The registration is for a term of 5 years and expires at the end of business on February 11, 2020. Pursuant to N.H. Code Admin. Rules Puc 2003.05(a), you must submit your next renewal application at least 60 days prior to the expiration of the registration approved here, on or before December 14, 2019.

Please be aware that registered providers of electric aggregation service are subject to specific requirements contained in N.H. Code Admin. Rules Puc 2000 – Competitive Electric Power Supplier and Aggregator Rules. These rules are available at: <http://www.puc.nh.gov/Regulatory/Rules/PUC2000.pdf>. Please also be aware that the Commission has opened Docket DRM 13-151 to consider revisions to these rules. All non-confidential filings in Docket DRM 13-151 can be found at <http://www.puc.nh.gov/Regulatory/Docketbk/2013/13-151.html>. The revised rules may contain different requirements for maintaining registration as a provider of electric aggregation service that might affect your registration.

Please note that, effective as of July 1, 2014, each registered electric load aggregator is subject to an annual assessment of \$2,000, unless it demonstrates that its gross revenue in New Hampshire during the preceding fiscal year (from July 1 through June 30) was less than \$10,000, pursuant to RSA 363-A:2, III and 5.

Sincerely,

A handwritten signature in dark ink, appearing to read "Debra A. Howland".

Debra A. Howland  
Executive Director

cc: Service List/Docket File





Chris Christie  
Governor

Kim Guadagno  
Lt. Governor

**STATE OF NEW JERSEY**  
**Board of Public Utilities**  
44 South Clinton Avenue, 9<sup>th</sup> Floor  
Post Office Box 350  
Trenton, New Jersey 08625-0350  
[www.nj.gov/bpu/](http://www.nj.gov/bpu/)

Irene Kim Asbury  
Secretary to the Board  
(609) 292-1599

May 19, 2015

Frank Warner, CEO  
MSI Utilities, Inc.  
2727 Tuller Parkway, Suite 250  
Dublin, OH 43017

Re: **Energy Agent Initial Registration**  
Docket No. EE14101225L

Dear Mr. Warner:

In accordance with the Electric Discount and Energy Competition Act of 1999, N.J.S.A. 48:3-49 et seq., at its May 19, 2015 Agenda meeting, the New Jersey Board of Public Utilities voted to issue a **REGISTRATION** as an Energy Agent to MSI Utilities, Inc. Your registration number is EA-0327.

The enclosed registration is effective May 19, 2015 and expires on May 18, 2016. This registration and the rights thereunder are Non-Transferable.

*This letter is not an endorsement of, nor is it intended for use in, the marketing promotions of the registrant. Registrants shall comply with all applicable law, including the Electric Discount and Energy Competition Act, which prohibits the unauthorized change of a customer's energy provider and other fraudulent and illegal marketing activities.*

If you have any questions, please contact Anna Procopio at (609) 292-1681.

Sincerely,

A handwritten signature in cursive script, appearing to read "Irene Kim Asbury".  
Irene Kim Asbury  
Secretary of the Board

IKA/alp  
Encl.(s)

# State of New Jersey



## Board of Public Utilities

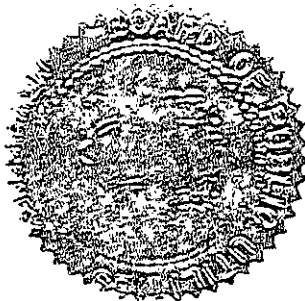
44 South Clinton Avenue, P.O. Box 350, Trenton, New Jersey 08625-0350

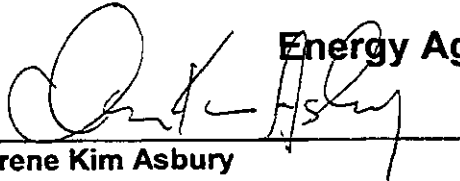
### HEREBY REGISTERS

**MSI Utilities, Inc.**  
2727 Tuller Parkway  
Suite 250  
Dublin, Ohio 43017

To conduct business in the State of New Jersey as an

**Energy Agent**



  
**Irene Kim Asbury**  
Secretary of the Board

Registration No. EA-0327  
Effective Date: May 19, 2015  
Expiration Date: May 18, 2016

From: **Dante Cusi** Dante.Cusi@bpu.nj.gov  
Subject: **RE: Energy Agent License Renewal Status - MSI Utilities, Inc. (EA-0327)**  
Date: **December 7, 2016 at 11:39 AM**  
To: **Frank Warner** FWarner@msiutilities.com  
Cc: **Dante Cusi** Dante.Cusi@bpu.nj.gov



Frank-We received your renewal application on time. Therefore, MSI may operate under its old registration until we issue a new one. Thank you.

Dante Cusi  
Division of Audits  
NJBPU  
(609) 292-1356

---

**From:** Frank Warner [mailto:FWarner@msiutilities.com]  
**Sent:** Wednesday, December 07, 2016 10:50 AM  
**To:** Dante Cusi  
**Subject:** Re: Energy Agent License Renewal Status - MSI Utilities, Inc. (EA-0327)

Dante,

Just wanted to follow-up to see if our license was renewed, We have not seen any correspondence.

Thanks,



Your Energy Partner

**Frank Warner**  
CEO, MSI Utilities, Inc  
T: 866-337-3463 | F: 866-546-8590  
www.msiutilities.com



On Aug 17, 2016, at 12:20 PM, Dante Cusi <Dante.Cusi@bpu.nj.gov> wrote:

Frank-Good Afternoon. You have submitted on behalf of MSI Utilities, Inc. the renewal application **on time**. Pursuant to N.J.A.C. 14:4-5.9(c), if a registrant has filed a complete renewal application in the time required, the applicant's existing registration shall **not expire** until the renewal application is acted upon by the Board.

Thank you for your patience.

Best,

Dante Cusi  
Division of Audits

MSI Utilities, Inc. 1000 Route 100, Suite 100, Edison, NJ 08817

New Jersey Board of Public Utilities  
Office: 609-292 1356  
E-mail: dante.cusi@bpu.NJ.US


---

**From:** Frank Warner [mailto:FWarner@msiutilities.com]  
**Sent:** Wednesday, August 17, 2016 11:13 AM  
**To:** Dante Cusi  
**Subject:** Energy Agent License Renewal Status - MSI Utilities, Inc. (EA-0327)

Dante,

As we discussed, I wanted to follow-up on the status of our renewal application. The application was sent on 3/14/16 and received on 3/22/16.

Thanks,

<~WRD000.jpg>  **Frank Warner**  
CEO, MSI Utilities, Inc  
T: 866-337-3463 | F: 866-546-8590  
www.msiutilities.com  
<~WRD000.jpg><~WRD000.jpg>

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**Ohio**

**FILE**

**Public Utilities  
Commission**

John Kasich, Governor  
Asim Z. Haque, Chairman

Commissioners  
Lynn Slaby  
M. Beth Trombold  
Thomas W. Johnson  
M. Howard Petricoff

3

**PUBLIC UTILITIES COMMISSION OF OHIO**

*Certified as a Competitive Retail Electric Service Provider*

**RENEWAL**

Certificate Number:

**12-569E (3)**

Issued Pursuant to Case Number(s):

**12-2418-EL-AGG**

A certificate as a Competitive Retail Electric Service Provider is hereby granted to **MSI Utilities, Inc.** whose office or principal place of business is located at **2727 Tuller Parkway, Suite 250, Dublin, Ohio 43017** to provide **aggregation and power broker** services within the State of Ohio effective **October 1, 2016**

The certification of competitive retail electric suppliers is governed by Section 4901:1-24-(01-13) of the Ohio Administrative Code, Section 4901:1-21-(01-15) of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

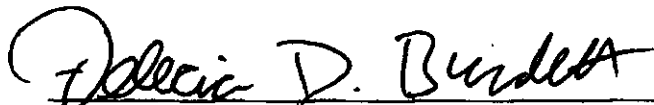
Subject to all rules and regulations of the Commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated: **September 30, 2016**

By Order of

PUBLIC UTILITIES COMMISSION OF OHIO



Barcy F. McNeal, Secretary  
Tanowa M. Troupe, Acting Secretary  
Felecia D. Burdett, Acting Secretary

**Certificate Expires: October 1, 2018**

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.

Technician RE Date Processed **SEP 30 2016**

180 East Broad Street  
Columbus, Ohio 43215-3793

(614) 466-3016  
www.PUCO.ohio.gov

## **CRES AUTOMATIC CASE ACTION FORM**

Case No. 12-2418-EL-AGG

Date Sent: 9-30-16

Effective Date of Certificate: 10-1-16

Certificate Expires: 10-1-18

Company Name and Company Name d/b/a: MSI Utilities Inc.

☒ Renewal

### **Action Needed:**

☐ Issue Certificate Number to: \_\_\_\_\_ at (address): \_\_\_\_\_

☒ Certified To Provide the Following Services:

- ☐ Retail Generation
- ☐ Aggregation
- ☐ Power Marketer
- ☒ Power Broker
- ☐ Governmental Aggregation

☒ Renew Certificate No. 12-569E (2) to 12-569E (3)

☐ Revise Certificate No. \_\_\_\_\_ to (check all applicable):

- ☐ Reflect name change from \_\_\_\_\_ to \_\_\_\_\_
- ☐ Reflect address change from \_\_\_\_\_ to \_\_\_\_\_

☐ Add new service offering to certificate:

- ☐ Retail Generation
- ☐ Aggregator
- ☐ Power Marketer
- ☐ Power Broker
- ☐ Natural Gas Governmental Aggregator

☐ Correct Administrative Error

☐ Reflect Change of Ownership to: \_\_\_\_\_

☐ Cancel Certificate No. \_\_\_\_\_

☐ Protect Un-redacted copies until \_\_\_\_\_

☐ Close Case File, Case Withdrawn at Applicant's Request

☐ Close Case File

4

**The Public Utilities Commission of Ohio**  
**Ohio Competitive Retail Natural Gas Aggregator/Broker Certificate**

**Renewal**

Issued pursuant to Case Number(s):

**12-2667-GA-AGG**

is

Ohio Competitive Retail Natural Gas Aggregator/Broker Certificate Number:

**12-275G (3)**

Granted to:

**MSI Utilities, Inc.**

Whose office or principal place of business is located at:

**2727 Tuller Parkway, Suite 250 Dublin, OH 43017**

And is hereby certified to provide:

**Retail Natural Gas Aggregator/Broker Services**

within the state of Ohio, for a two-year period.

Certification Effective:

**November 4, 2016 through November 4, 2018**

The certification of Ohio competitive retail natural gas aggregators/brokers is governed by Chapter 4901:1-27 of the Ohio Administrative Code and section 4929.20 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) as well as those under law, are not met.

Certified entity is subject to all rules and regulations of the commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio

Dated: **November 7, 2016**



Form No. CRNGS/AGG/B-06

By Order of

The Public Utilities Commission of Ohio

A handwritten signature in black ink, appearing to read "Barry F. McNeal", is written over a horizontal line.

Barry F. McNeal, Secretary

Tanowa M. Troupe, Acting Secretary

Felecia D. Burdett, Acting Secretary

This is to certify that the issues appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.  
Technician Am Date Processed 11/7/16

## **CRNGS AUTOMATIC CASE ACTION FORM**

Date: **November 7, 2016**

Case Number: **12-2667-GA-AGG**

Company Name and Company Name d/b/a: **MSI Utilities, Inc.**

Company Address: **2727 Tuller Parkway, Suite 250**

Company City/State/Zip: **Dublin, OH 43017**

Regulatory Contact: **Frank Warner**

Regulatory Email: **fwarner@msiutilities.com**

Regulatory Phone: **866-337-3463**

☒ **Renewal**

**Action Needed:**

☐ Issue Certificate Number: \_\_\_\_\_ to: \_\_\_\_\_

Effective Date of Certificate: \_\_\_\_\_ Certificate Expires: \_\_\_\_\_

☒ Renew Certificate Number from: **12-275G (2)** to: **12-275G (3)**

Effective Date of Certificate: **11/4/2016** Certificate Expires: **11/4/2018**

☒ Certified To Provide the Following Services:

- ☐ Retail Natural Gas Aggregator
- ☒ Retail Natural Gas Broker
- ☐ Retail Natural Gas Supplier
- ☐ Natural Gas Governmental Aggregator

☐ Revise Certificate Number: \_\_\_\_\_ to (check all applicable): \_\_\_\_\_

- ☐ Reflect name change from: \_\_\_\_\_ to \_\_\_\_\_
- ☐ Reflect address change from: \_\_\_\_\_ to \_\_\_\_\_
- ☐ Correct Administrative Error:
- ☐ Reflect Change of Ownership to: \_\_\_\_\_

☐ Cancel Certificate No.

☐ Protect Un-redacted copies until \_\_\_\_\_

☐ Close Case File, Case Withdrawn at Applicant's Request

☐ Close Case File



**PENNSYLVANIA  
PUBLIC UTILITY COMMISSION  
Harrisburg, PA 17105-3265**

Public Meeting held September 26, 2013

**Commissioners Present:**

Robert F. Powelson, Chairman  
John F. Coleman, Jr., Vice Chairman  
Wayne E. Gardner  
James H. Cawley  
Pamela A. Witmer

License Application of MSI Utilities, Inc. for  
Approval to Offer, Render, Furnish or Supply  
Electricity or Electric Generation Services as a  
Broker/Marketer

Docket Number:  
A-2013-2365828

**ORDER**

**BY THE COMMISSION:**

On May 22, 2013, MSI Utilities, Inc. (MSI) filed an application seeking to become a licensed electric generation supplier (EGS) as a broker/marketer in the electric distribution company ("EDC") service territories throughout the entire Commonwealth of Pennsylvania. The application was filed pursuant to the Commission's regulations at 52 Pa. Code §§54.31-54.43, which became effective on August 8, 1998, and which were established under Section 2809 of the Public Utility Code, 66 Pa. C.S. §2809.

§2809 provides in pertinent part that:

License Requirement.--No person or corporation,  
including municipal corporations which choose to provide  
service outside their municipal limits except to the extent

An electric generation supplier cannot physically disconnect a residential customer from the electricity grid; therefore, the rules relating to residential service termination are not applicable to electric generation suppliers. An electric generation supplier may seek to terminate its generation service through an appropriate written notice to the customer and the distribution company. The residential customer can then attempt to repair their relationship with the supplier, seek a new supplier, or return to utility service at default service rates in accordance with the utility's obligations under Section 2807(e), 66 Pa. C.S. §2807(e). The customer would only be disconnected from the electricity grid pursuant to appropriate regulations if the customer failed to meet its obligations to the utility or the electric generation supplier that has been designated by the Commission as the provider of last resort.

Additionally, we specifically note that the licensee must comply with, and ensure that its employees, agents, representatives and independent contractors comply with the standards of conduct and disclosure for licensees set out in Commission regulations at 52 Pa. Code §54.43 that were enacted to protect consumers of this Commonwealth. These standards include, *inter alia*, the provision of timely and accurate information about the services offered by the licensee, the practice of nondiscrimination in service in regard to race, color, religion, national origin, marital status, etc., the safeguarding of a consumer's personal information, and compliance with applicable state and federal consumer protection laws. Also, we take this opportunity to remind the licensee of its agreement to abide by, and to ensure that its employees, representatives, agents and independent contractors abide by all applicable federal and state laws, and Commission regulations, procedures and orders, including Emergency Orders, which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

MSI has provided proof of publication in the Pennsylvania newspapers, and proofs of service to the interested parties as required by the Commission.

consulting services. Premier intended to utilize the information to help its customers reduce their overall spending for electricity. Premier also stated that it will not take title to power.

The Commission believes that MSI operates in a similar manner and therefore should be granted similar relief and be permitted to provide a bond or other approved security in the reduced amount of \$10,000. However, MSI's bonding level is contingent upon the company's business model as described in this Order. If MSI takes title to generation supply for its customers, and/or charges customers directly for that generation supply, a \$10,000 level of bonding may not be appropriate. Therefore, we will direct MSI to notify the Commission 45 days prior to a change in its business model, whereby MSI takes title to generation supply and/or bills its customers directly for that generation supply. This will provide the Commission with an opportunity to review and adjust MSI's approved bonding level prior to MSI implementing those changes.

As of September 17, 2013 no protests have been filed.


We find that the applicant:

1. Is fit, willing and able to properly perform the service proposed in conformance with applicable provisions of the Public Utility Code and lawful Commission orders and regulations, specifically including 52 Pa. Code Chapter 56 (relating to Standards and Billing Practices for Residential Utility Service).
2. Has agreed to lawfully abide by all Commission regulations, procedures and orders, including Emergency Orders, which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

5. That if MSI Utilities, Inc. proposes to change its business model as described in Ordering Paragraph No. 3, it must notify the Commission at least 45 days prior to the changes. With the notice, the Company must provide an update to the nature and scope of business information that was required by Pa. Code § 54.40(c) to justify the modification granted in Ordering Paragraph No. 3. This will provide the Commission the opportunity to review MSI Utilities, Inc.'s bonding level and adjust as appropriate, prior to MSI Utilities, Inc. implementing the proposed changes to its business model.

6. That if MSI Utilities, Inc. changes its business model without providing to the Commission the notice and information required in Ordering Paragraph No. 5, then the reduced bonding level permitted by Ordering Paragraph No. 2 shall cease effective with the date of the change in the business model. The level of bonding effective at that time shall be \$250,000 or the level required by 52 Pa. Code § 54.40, whichever is greater.

7. That this proceeding at Docket No. A-2013-2365828 be closed.

BY THE COMMISSION,  
  
Rosemary Chiavetta  
Secretary

(SEAL)

ORDER ADOPTED: September 26, 2013

ORDER ENTERED: September 26, 2013

Appendix B

OPERATIONS AFFIDAVIT

RECEIVED

2017 FEB 27 AM 11:09

[Commonwealth/State] of Ohio

PA P.U.C.  
SECRETARY'S BUREAU  
SS.

County of Franklin

Frank Warner, Affiant, being duly [sworn/affirmed] according to law,  
deposes and says that:

[He/she is the CEO (Office of Affiant) of MSI Utilities, Inc.  
(Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That MSI Utilities, Inc., the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That MSI Utilities, Inc., the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render natural gas supply service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That MSI Utilities, Inc., the Applicant herein, certifies to the Commission that it is subject to, will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 22 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28 shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional natural gas sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

## Appendix B (Continued)

That MSI Utilities, Inc., the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506 and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Office of Communications or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.

  
Signature of Affiant

Sworn and subscribed before me this 15<sup>TH</sup> day of FEBRUARY, 2017.

  
Signature of official administering oath

My commission expires 10/25/2021.



MSI Utilities, Inc.  
2727 Tuller Pkwy, Ste 250  
Dublin, OH 43017



Rosemary Chiquetta, Secretary  
Pennsylvania Public Utilities Commission  
400 North Street  
Harrisburg, PA

17120