BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of	Navigate Power LLC	, d/b/a,		_, for approval to offer, render
furnish, or supp	ly natural gas supply se	ervices as a Brok	er to the public in the Cor	nmonwealth of Pennsylvania
(Pennsylvania).				

To the Pennsylvania Public Utility Commission:

1. <u>IDENTIFICATION AND CONTACT INFORMATION</u>

a. IDENTITY OF THE APPLICANT: Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

Navigate Power LLC 2211 N Elston Ave Suite 201 Chicago IL 60614 www.navigatepower.com

tel: 888-601-1789

b. PENNSYLVANIA ADDRESS / REGISTERED AGENT: If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

Exhibit A

c. REGULATORY CONTACT: Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Brian Cecola CEO

2211 N Elston Ave Suite 201 Chicago IL 60614

Cell: 630-781-4914 Office: 888-601-1789 Fax: 888-783-0381 MAY 0 4 2017

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d. ATTORNEY: Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Jonathan T Linnemeyer Attorney at Law Lyons Law Group, LLC 5333 Main Street Downer Grove, IL 60515 Office: 630-852-2529

Cell: 630-301-8173 Fax: 630-597-2529

Email: JonathanL@KLLawFirm.com

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e. CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: Provide the name, title, address, telephone number, fax number, and e-mail OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED) responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Natural Gas Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed NGSs.

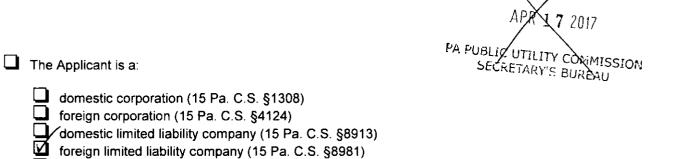
Marsia Ritz
Director of Operations
2211 N Elston Ave Suite 201
Chicago IL 60614
Sales@navigatepower.com
Office: 888-601-1789

Office: 888-601-178 Fax: 630-597-2529

	2. BUSINESS ENTITY FILINGS AND REGIST	RATION
a.	FICTITIOUS NAME: (Select appropriate statement and provide supporting	g documentation as listed.)
	The Applicant will be using a fictitious name or doing business as ("d/k	b/a")
	Provide a copy of the Applicant's filing with Pennsylvania's Departr Pursuant to 54 Pa. C.S. §311.	ment of State
	Or	RECEIVED
	The Applicant will not be using a fictitious name.	MAY 0 4 2017
b.	BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS: (Select appropriate statement and provide supporting documentation. As we means being formed within Pennsylvania and foreign means being formed	
	The Applicant is a sole proprietor.	
	 If the Applicant is located outside the Commonwealth, provide Pa. C.S. §4124 relating to Department of State filing requirement 	
	Or	`
	The Applicant is a:	RECEIVED
	domestic general partnership (*) domestic limited partnership (15 Pa. C.S. §8511) foreign general or limited partnership (15 Pa. C.S. §4124) domestic limited liability partnership (15 Pa. C.S. §8201) foreign limited liability general partnership (15 Pa. C.S. §8211) foreign limited liability limited partnership (15 Pa. C.S. §8211)	APRY 7 2017 PA PUBLIC UTILITY COMMISSION SECRETARY'S BUNEAU

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- * If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

or



- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.
- Give name and address of officers.

Other (Describe):

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3. <u>AFFILIATES AND PREDECESSORS</u>

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(both in state and out of state)

a. AFFILIATES: Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

N/A

b. PREDECESSORS: Identify the predecessor(s) of the Applicant and pro- Applicant has operated within the preceding five (5) years, including add telephone number, if applicable. If the Applicant does not have any pred business, explicitly state so.	iress, web address, and
N/A	
4. <u>OPERATIONS</u>	
a. APPLICANT'S PRESENT OPERATIONS: (select and complete the app	propriate statement)
<u>Definitions</u>	
 Supplier – an entity which provides natural gas supply services the jurisdictional facilities of an natural gas distribution company Broker/Marketer - an entity that acts as an intermediary in the sabut does not take title to the natural gas. 	,
	RESEIVED
The Applicant is presently doing business in Pennsylvania as a	APR 1 7 8017
natural gas interstate pipeline municipality providing service outside its municipal limits local gas distribution company retail supplier of natural gas services in the Commonwealth	PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU
a natural gas producer a broker/marketer engaged in the business of supplying natura Other. (Identify the nature of service being rendered)	l gas services
or	RECEIVED
	MAY 0 4 2017
☐ The Applicant is not presently doing business in Pennsylvania.	PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU
b. APPLICANT'S PROPOSED OPERATIONS: The Applicant proposes to	o operate as a:
Supplier or Aggregator of natural gas services Municipal supplier of natural gas services Cooperative supplier of natural gas services Broker/Marketer engaged in the business of supplying natural gas services	services
Check here to verify that your organization will not be taking you be making payments for customers. Other (Describe):	g title to the natural gas nor will

C.	PROPOSED SERVICES: Describe in detail the natural gas supply services which the Applicant proposes to offer.		
	Navigate Power LLC will only act as a broker to natur	al gas customers.	
d.	PROPOSED SERVICE AREA: Check the box of each Natural 6 Applicant proposes to provide service.	Gas Distribution Company for which the	
	□ National Fuel Gas □ UGI Co □ PECO □ UGI Pe □ Peoples Gas – Equitable Div. □ UGI Ut □ Peoples Natural Gas □ Walley		
e.	CUSTOMERS: Applicant proposes to provide services to:	PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU	
	Residential Customers Small Commercial Customers - (Less than 6,000 Mcf ar Residential and Small Commercial as Mixed Meter ONL RESIDENTIAL AND/OR SMALL COMMERCIAL ABOV Large Commercial Customers - (6,000 Mcf or more ann Industrial Customers Governmental Customers All of above (Except Mixed Meter) Other (Describe):	Y (CANNOT BE TAKEN WITH	
f.	START DATE: Provide the approximate date the Applicant proposition of the Commonwealth. Navigate Power LLC will continue services once our application and license has been granted.		

5. **COMPLIANCE**

a. CRIMINAL/CIVIL PROCEEDINGS: State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

Active- Unified Energy Alliance V Rodger K Walter, Ernie Horning, J. Nick Storch Duane Albright, Andy Youndt, Don Shipp and Navigate Power LLC: No. 2015-3192 Civil Term, Coart of Common Pleas, Cumberland County, PA.

b. SUMMARY: If applicable: provide a statement as to the resolution or present status of any such proceedings listed above.

Unified Energy alliance ("UEA") sought a preliminary injunction against all Defendants which was denied by Order of Court dated June 16, 2015. The underlying case remains, but UEA has taken no steps to move the case forward since 2016

c. CUSTOMER/REGULATORY/PROSECUTORY ACTIONS: Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years. including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. If the Applicant has no actions or complaints to list, explicitly state such.

Unified Energy Alliance LLC v Navigate Power LLC, PaPUC Dkt No. C-2015-2492473 (competitor filed 07-02-2015)

Zimmerman V Navigate Power LLC, PaPUC Dkt No. C-2016-2548773 (customer complaint filed 05-13-2016)

Grumbine V Navigate Power LLC, PaPUC Dkt No. C-2016-2549806

d. SUMMARY: If applicable: provide a statement as to the resolution or present status of any actions listed above.

The case has been dormant for approximately 16 months. It is anticipated that UEA will either withdraw the case or allow it to be pursed under court rules for docket management.

PaPUC Dkt No. C-2015-2492473 -- certificate of satisfaction filed 03-24-2016 -- CLOSED

PaPUC Dkt No. C-2016-2548773 -- certificate of satisfaction filed 06-17-2016 -- CLOSED aPUC Dkt Mo. 다 2016-2549806 -- certificate of satisfaction filed 10-05-2016 -- CLOSED

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6. PROOF OF SERVICE

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Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator. (Example Certificate of Service is attached at Appendix C)

a.) STATUTORY AGENCIES: Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120

Office of the Small Business Advocate
Commerce Building, Suite 202
300 North Second Street
Harrisburg, PA 17101

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Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946

Bureau of Investigation & Enforcement Pennsylvania Public Utility Commission Commonwealth Keystone Building 400 North Street, 2 West Harrisburg, PA 17120

b.) NGDCs: Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Natural Gas Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each NGDC is as follows.

Columbia Gas of PA, Inc.	APB 1 7 2017
Michele Caddell	AFF 1 1 Un
290 W. Nationwide Blvd.	PA PUBLIC UTILITY COMMISSION
Columbus, OH 43215	SECRETARY'S BUREAU
PH: 614.460.6841	JEG-MEN
FAX: 614.460.8447	
e-mail: mcaddell@nisource.com	
Peoples Natural Gas – Equitable Division	National Fuel Gas Distribution Corp.
Lynda Petrichevich	David D. Wolford
225 North Shore Drive	6363 Main Street
Pittsburgh, PA 15212	Williamsville, NY 14221
PH: 412.208.6528	PH: 716.857.7483
FAX: 412.208.6577	FAX: 716.857.7479
e-mail: <u>Lynda.w.petrichevich@peoples-gas.com</u>	e-mail: wolfordd@natfuel.com
The Peoples Natural Gas Company	PECO
Lynda Petrichevich	Carlos Thillet, Manager, Gas Supply and
225 North Shore Drive	Transportation
Pittsburgh, PA 15212	2301 Market Street, S9-2
PH: 412.208.6528	Philadelphia, PA 19103
FAX: 412.208.6577	PH: 215.841.6452
e-mail: Lynda.w.petrichevich@peoples-gas.com	Email: carlos.thillet@exeloncorp.com
Peoples TWP LLC (Formerly T. W. Phillips)	Philadelphia Gas Works
Lynda Petrichevich	Nicholas LaPergola
225 North Shore Drive	800 West Montgomery Avenue
Pittsburgh, PA 15212	Philadelphia, PA 19122
PH: 412.208.6528	PH: 215.684.6278
FAX: 412.208.6577	email: nicholas.lapergola@pgworks.com

e-mail: Lynda.w.petrichevich@peoples-gas.com			
UGI Central Penn	UGI		
David Lahoff	David Lahoff	<u>il</u>	
2525 N. 12 th Street, Suite 360	2525 N. 12 th Street, Suite 360		7
Reading, PA 19612-2677	Reading, PA 19612-2677		2017
PH: 610.796.3520	PH: 610.796.3520	Ш	4
Email: dlahoff@ugi.com	Email: dlahoff@ugi.com	(-)	0
			ЛΑΥ
Valley Energy Inc.	UGI Penn Natural		
Robert Crocker	David Lahoff	QC .	
523 South Keystone Avenue	2525 N. 12 th Street, Suite 360		
Sayre, PA 18840-0340	Reading, PA 19612-2677		
PH: 570.888-9664	PH: 610.796.3520		ļ
FAX: 570.888.6199	Email: dlahoff@ugi.com		" B #

7. FINANCIAL FITNESS

PA PUBLIC UTILITY COMMISSION SECKETARY'S BUREAU

PA PUBLIC UTILITY COMMISSION

a. BONDING: In accordance with 66 Pa. C.S. Section 2208(c), no natural gas supplier license shall be issued or remain in force unless the applicant or holder furnishes a bond or other security in a form and amount to ensure the financial responsibility of the natural gas supplier. The criteria used to determine the amount and form of such bond or other security shall be set by each NGDC. Provide documentation that the applicant has met the security requirement of each NGDC by submitting the letters sent by the NGDCs stating what bonding amounts they require.

See Exhibit B.

email: bobc@ctenterprises.org

- b. FINANCIAL RECORDS, STATEMENTS, AND RATINGS: Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:
 - Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
 - Published Applicant or parent company financial and credit information (i.e. 10Q or 10K).
 (SEC/EDGAR web addresses are sufficient)
 - Applicant's accounting statements, including balance sheet and income statements for the past two years.
 - Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
 - A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
 - Audited financial statements exhibiting accounts over a minimum two year period.
 - Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

c. SUPPLIER FUNDING METHOD: If Applicant is operating as anything other than <u>Broker/Marketer only</u>, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

N/A

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d. BROKER PAYMENT STRUCTURE: If applicant is a broker/marketer, explain how your organization will be collecting your fees.

See Exhibit D.

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e. ACCOUNTING RECORDS CUSTODIAN: Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

Steven Heeley
P: 630-717-9541
F: 630-717-9543
Steven@SLHeeleyTax.com
www.SLHeeleyTax.com

f. TAXATION: Complete the <u>TAX CERTIFICATION STATEMENT</u> attached as Appendix D to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by natural gas distribution companies does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

a. EXPERIENCE, PLAN, STRUCTURE: such information may include:

- Applicant's previous experience in the natural gas industry.
- Summary and proof of licenses as a supplier of natural gas services in other states or jurisdictions.
- Type of customers and number of customers Applicant currently serves in other jurisdictions.
- Staffing structure and numbers as well as employee training commitments.
- Business plans for operations within the Commonwealth.
- Any other information appropriate to ensure the technical capabilities of the Applicant.

		See Exhibit E	
b.	PROPO	OSED MARKETING METHOD (check all that apply)	
	100000	Internal – Applicant will use its own internal resources/employees for External NGS – Applicant will contract with a PUC LICENSED NGS Affiliate – Applicant will use a NON-NGS affiliate that is a nontraditionarketing services consultant External Third-Party – Applicant will contract with a NON-NGS third parketer and/or non-selling marketer	onal marketer and/or
			MAY 0 4 2017
c.	DOOR	TO DOOR SALES: Will the Applicant be implementing door to door s	PA PUBLIC UTILITY COMMISSION ales activities ARY'S BUREAU
		Yes No	
		If yes, will the Applicant be using verification procedures?	RESEIVED
		Yes No	APR 17 SUIT PA PUBLIC WILITY COMMISSION SECRETARY'S BUREAU
		If yes, describe the Applicant's verification procedures.	Scomme

- d. **OVERSIGHT OF MARKETING**: Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.
 - -There will be/ is a standard of truth in marketing communications.
 - -Clear Distinction between advertising and sensationalism.
 - -Endorsing will be clear and transparent.
 - -Costumers privacy will be maintained at all times.
 - -Government standards and regulations will be adhered and practiced by marketers.

e. OFFICERS: Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.

See exhibit F.

9. DISCLOSURE STATEMENT:

(Not applicable for an applicant applying for a license exclusively as a broker/marketer.)

DISCLOSURE STATEMENTS: If proposing to serve Residential and/or Small Commercial (less than 6,000 Mcf annually) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix E to this Application.

 Natural gas should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

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10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

a.	STANDARDS OF CONDUCT AND DISCLOSURE: As a condition of receiving a license, Applicant
	agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission.
	Further, the Applicant agrees that it must comply with and ensure that its employees, agents,
	representatives, and independent contractors comply with the standards of conduct and disclosure set
	out in Commission regulations at 52 Pa. Code § 62.114.

AGREED

- **b. REPORTING REQUIREMENTS**: Applicant agrees to provide the following information to the Commission:
 - Reports of Gross Receipts: Applicant shall file an annual report with the Commission on an annual basis no later than April 30th following the end of the calendar year per 52 Pa. Code § 62.110.

☑ AGREED

- c. TRANSFER OF LICENSE: The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. § 2208(d). Transferee will be required to file the appropriate licensing application.

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PA PUBLIC UTILITY COMMISSION

- d. ANNUAL FEES: The Public Utility Code authorizes the PUC to collect annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling natural gas in the Commonwealth of PA, and a supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.
 - ACKNOWLEDGED Check # 1863
- e. FURTHER DEVELOPMENTS: Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 62.105.
 - **⊠** AGREED
- f. FALSIFICATION: The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904 relating to perfury and falsification in official matters.
 - AGREED

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- g. NOTIFICATION OF CHANGE: If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within thirty (30) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 62.105.
 - **⊠** AGREED
- h. CEASING OF OPERATIONS: Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.
 - **△** AGREED
- i. FILING FEE: The Applicant has enclosed or paid the required, non-refundable filing fee by CERTIFIED CHECK OR MONEY ORDER in the amount of \$350.00 payable to the Commonwealth of Pennsylvania. The Commission does not accept corporate or personal checks for filing fees.
- M PAYMENT ENCLOSED

11. <u>AFFIDAVITS</u> (All affidavits must be notarized before filing.)

- a.) APPLICATION AFFIDAVIT: Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.
- **b.) OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the Public Utility Code of Pennsylvania and applicable federal and state laws. An example copy of this Affidavit can be found at Appendix B.

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12. <u>NEWSPAPER PUBLICATIONS</u>

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve.

The chart below dictates which newspapers are necessary for each NGDC. For example, an applicant that wants to operate in Peoples – Equitable would need to run ads in both The Erie Times-News and the Pittsburgh Post-Gazette. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

The only acceptable verification of this requirement is with Notarized Proofs of Publication, which may be requested from each newspaper and must be supplied with this application. Applicants do not need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	Erie Times- / News	Harrisburg Patriot- News	Philadelphia Daily News	Pittsburgh Post- Gazette	Scranton Times Tribune	Williamsport Sun-Gazette	Johnstown Tribune Democrat
Columbia Gas	Х	х		X		X	х
National Fuel Gas				Х			
PECO			Х			٠.,	
Peoples - Equitable	Х			Х			
Peoples Natural Gas	Х			Х			Х
Peoples TWP LLC			*	Х			
Philadelphia Gas Works			x				
UGI		Х	Х		Х		
UGI Central Penn	Х	х	Х	Х	Х	X	X
UGI Penn Natural		Х			Х	X	
Valley Energy					_X		
Entire							
Commonwealth	X	Х	X	X	X	X	x

(Example Publications are provided at Appendices F and G)

RECEIVED	13. SIGNATURE Brian Cecola CEO Applicant:: Navigate Power LLC	RECEIVED
MAY 0 4 2017	Ву:	APR 7 2017
A PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU	Title: (£0	FA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections is complete.

Applicant: Navigete Power de ..

Signature
Filing Fee (ONLY CERTIFIED CHECK OR MONEY ORDER)
Application Affidavit
Operations Affidavit
Proof of Publication
Tax Certification Statement
Commonwealth Department of State Verification
Certificate of Service

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PA PUBLIC UTILITY CONMISSION
SECRETARY'S BUREAU

PUC Secretary's Bureau Use

Appendix A

APPLICATION AFFIDAVIT

[Commonwealth/State] of :	
:	SS.
County of Cook :	
Bruan Geds, Affiant, being duly [sworn/affirmed]	according to law, deposes and says that:
[He/she is the (Office of Affiant) of NOW(Gue Power LLC (Name of Applicant);]
[That he/she is authorized to and does make this affidavit for said A	applicant;]
That the Applicant herein 3000 Condshas the burden of produc	ing information and supporting
documentation demonstrating its technical and financial fitness to be lice C.S. § 2208 (c)(1).	ensed as an natural gas supplier pursuant to 66 Pa.
That the Applicant herein has answered the que completely and provided supporting documentation as required.	estions on the application correctly, truthfully, and
That the Applicant herein Blue acknowledges that it is answer to questions on this application and contained in supporting documents.	is under a duty to update information provided in ments.
That the Applicant herein BIWW CTOOL acknowledges that it is answer to questions on this application and contained in supporting documents.	
That the facts above set forth are true and correct to the best of his/her kexpects said Applicant to be able to prove the same at hearing.	knowledge, information, and belief, and that he/she
Signature of Affiant	
Signal 2 Stytman	RECEIVED
Sworn and subscribed before me this day of	MAY 0 4 2017
Signature of official admini	PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU istering oath
My commission expires 1/30/2018	
My commission expires \\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	RECEIVED
OFFICIAL SEAL	APR 17 2017
JANINE DZIEROZYNSKI NOTARY PUBLIC - STATE OF ILLINOIS MY COMMISSION EXPIRES:01/30/18	PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Appendix B

OPERATIONS AFFIDAVIT

[Commonwealth/State] of Jlunoi S	:
	: ss.
County of <u>cook</u>	;
Brush Geeols , Affiant, being du deposes and says that:	lly [sworn/affirmed] according to law,
[He/she is the (Office (Name of Applicant);]	e of Affiant) of Navigale Pour LLC
That he/she is authorized to and does make thi	is affidavit for said Applicant;]
That BNUN CECOLS , the Applicant I obligations pursuant to this Application consistent with the Pennsylvania, Title 66 of the Pennsylvania Consolidate regulations including Emergency Orders which may be is situations that may unexpectedly develop from time Pennsylvania.	ed Statutes; or with other applicable statutes or sued verbally or in writing during any emergency
That Bruan Credit, the Applicant herein, technical, managerial, and financial fitness to render nature of Pennsylvania and that the Applicant will abide by all a and by the decisions of the Pennsylvania Public Utility Co	applicable federal and state laws and regulations
That But Cecoo, the Applicant herein, certifier and in the past has paid, the full amount of taxes imposed (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and Applicant acknowledges that failure to pay such taxes or of Chapter 28 shall be cause for the Commission to reacknowledges that it shall report to the Commission consumption, for the previous year or as otherwise recacknowledges that it is subject to 66 Pa. C.S. §506 (relations)	d by Articles II and XI of the Act of March 4, 1971 d any tax imposed by Chapter 22 of Title 66. The otherwise comply with the taxation requirements voke the license of the Applicant. The Applicant its jurisdictional natural gas sales for ultimate quired by the Commission. The Applicant also
Applicant, by filing of this application waives confidential possession of the Department of Revenue, regardless of to the Department of Revenue providing that information	the source of the information, and shall consent
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PA PUBLIC UTILITY COMMISSION

SECRETARY'S BUREAU

PA PUBLIC ATILITY COMMISSION SECRETARY'S BUREAU

Appendix B (Continued)

That Brush Ceeds, the Applicant herein, acknowledges conform with 66 Pa. C.S. §506 and the standards and billing practices	s that it has a statutory obligation to of 52 PA. Code Chapter 56.
That the Applicant agrees to provide all consumer education materials as requested by the Office of Communications or other Commission to requested may be analyzed by the Commission to meet obligations un	oureaus. Materials and information
That the facts above set forth are true and correct/true and correct information, and belief.	to the best of his/her knowledge,
	f Alfana
^l Signature o	ot Athlant
Sworn and subscribed before me this day of	Umch, 2017.
Signature of official admi	nistering path
My commission expires \\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	- ·
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OFFICIAL SEAL JANINE DZIEROZYNSKI	these Days
NOTARY PUBLIC - STATE OF ILLINOIS MY COMMISSION EXPIRES:01/30/18	MAY 0 4 2017
	PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU
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	APR 2017
	FA PUBLIC UTILITY COMMISSION SECRETARY'S BURBAU

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Appendix C

CERTIFICATE OF SERVICE



PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

> On this the 17^{10} day of ADTI 2017, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Natural Gas Supplier and all attachment have been served upon the following:

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120

Office of Attorney General **Bureau of Consumer Protection** Strawberry Square, 14th Harrisburg, PA 17120

Office of the Small Business Advocate Commerce Building, Suite 202 300 North Second Street Harrisburg, PA 17101

Commonwealth of Pennsylvania Department of Revenue **Bureau of Compliance** Harrisburg, PA 17128

Columbia Gas of PA, INC Thomas C. Heckathorn 290 W. Nationwide Blvd. Columbus Ohio 43215

Bureau of Investigation & Enforcement Pennsylvania Public Utility Commission Commonwealth Keystone Building 400 North Street, 2 West Harrisburg, PA 17120

National Fuel Gas Distribution Corp

Peoples Natural Gas- Equitable Division

225 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6528

PH: 716.857.7483

Williamsville, NY 14221

Fax: 412.208.6577

FAX: 716.857.7479

6363 Main Street

The Peoples Natural Gas Company

225 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6528

Fax: 412.208.6577

PECO

2301 Market Street, S9-2 Philadelphia, PA 19103

PH: 215.841.6452

Peoples TWP LLC (Formerly T.W. Phillips)

225 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6528

Fax: 412.208.6577

UGI Central Penn

2525 N. 12th Street, Suite 360 Reading, PA 19612-2677

PH: 610.796.3520

Valley Energy Inc.

523 South Keystone Avenue Sayre, PA 18840-0340

PH: 570.888.9664 Fax: 570.888.6199

Philadelphia Gas Works

800 West Montgomery Avenue Philadelphia, PA 19103

PH: 215.684.6278

UGI

2525 N. 12th Street, Suite 360 Reading, PA 19612-2677

PH: 610.796.3520

UGI Penn Natural

2525 N. 12th Street, Suite 360 Reading, PA 19612-2677

PH: 610.796.3520

Brian decola, CEO of Navigate Power LLC

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PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Sample Disclosure Statement Format for Natural Gas Suppliers

This is an agreement for natural gas services, between <u>NGS name</u> and <u>customer's name and full</u> <u>address.</u>

Background

We at <u>NGS Company Name</u> are licensed by the Pennsylvania Public Utility Commission to offer and supply natural gas services in Pennsylvania. Our PUC license number is <u>A-XXXX-XXXXXX</u>.

- We set the prices and charges that you pay. The Public Utility Commission regulates distribution or delivery prices and services. The Federal Energy Regulatory Commission regulates interstate pipeline prices and services.
- If you ask us, we can bill you directly for our service.
- Right of Recision You may cancel this agreement at any time before midnight of the third business day after receiving this disclosure.

Definitions

- Interstate Pipeline Charges Charges for moving natural gas to the distribution lines of a distribution company.
- Nonbasic Charges Define each nonbasic service being offered.

Terms of Service

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

1. (a) Basic Service Prices - Itemize Basic Services you are billing for and their prices.

You will pay <u>rate per</u> (Mcf/Dth/ccf) for the commodity of natural gas. Suppliers are to include any variable pricing conditions and limits, if charging a variable rate.

You will pay <u>rate per</u> (Mcf/Dth/ccf) for other natural gas service. Suppliers are to include transmission service prices if billed.

(b) Nonbasic Service Prices - Itemize Nonbasic Services you are offering and their prices.

2. Length of Agreement

You will buy your natural gas services for the above street address from <u>company's name</u> beginning <u>date</u> through <u>date of expiration, if any</u>.

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3. Special Terms and Conditions - List and explain all that apply.

Page 2 of 3

Sign-up bonuses
Add-ons
Limited time offers
Other Sales Promotions
Exclusions

- **4. Special Services -** Provide explanation of price, terms and conditions, including advanced metering deployment, if applicable.
- **5. Penalties, Fees and Exceptions -** *List any that apply, including a late payment charge.* The print size for this section must be larger than the print in the rest of the agreement.
- **6.** Cancellation Provisions This category may consist of both customer initiated cancellation provisions and supplier initiated cancellation provisions.
- 7. Renewal Provision If this is a fixed term agreement with automatic renewal, explain the procedure here.

8. Agreement Expiration/Change in Terms

If you have a fixed term agreement with us that is longer than 3 months and it is approaching the expiration date, we will send you advance written notices at about 90 days and 60 days before the expiration date. If we propose to change our terms of service in any type of agreement, we will send you advance written notices at about 90 days and 60 days before the effective date of the change. If we are billing you directly for our services, then we will provide the notices as a bill message, a bill insert, or in a separate corresponding mailing. If the NGDC is billing our charges for us, then we will provide the notices in separate corresponding mailings. We will explain your options to you in these two advance notifications.

9. Dispute Procedures

Contact us with any questions concerning our terms of service. You may call the PUC if you are not satisfied after discussing your terms with us.

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APR 7 2017

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

10. Contact Information

Page 3 of 3

Supplier Name:	
Address:	
Phone Number:	
Internet Address:	
Distribution (Co. Co. Co. Co. No. Co. Co. Co. Co. Co. Co. Co. Co. Co. C	
Distribution Company Name:	
Provider of Last Resort Name:	
Address:	
Phone Number:	
Public Utility Commission (PUC) Address:	P.O. Box 3265 Harrisburg, PA 17105-3265
Natural Gas Competition Hotline Number	
Universal Service Program Name:	
Phone Number:	

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PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU APR 1 2017

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Appendix F EXAMPLE FORM OF NOTICE FOR NGS ONLY

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Application of <u>Company Name</u> (d/b/a "<u>Trade Name</u>") For Approval To Offer, Render, or Furnish Natural Gas Services as a <u>Supplier</u>, <u>Aggregator</u>, or <u>Marketer/Broker</u> Engaged In The Business Of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsylvania.

Company Name will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier or aggregator of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. Company Name proposes to sell natural gas and related services in [LIST NGDCS HERE] under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Company Name may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Company's Name attorney at the address listed below.

By and through Counsel: Attorney's Name

Company Name

Address Address Phone FAX

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PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU APR 1 2017
PA PUBLIC VILITY COMMISSION
SECRETARY'S BUREAU

Appendix G EXAMPLE FORM OF NOTICE FOR COMBINED EGS AND NGS

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Applications of <u>Company Name</u> (d/b/a "<u>Trade Name</u>") For Approval To Offer, Render, or Furnish Services as a <u>Supplier</u>, <u>Aggregator</u>, <u>and Marketer/Broker</u> Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

Company Name will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. Company Name will also be filing an application with the PUC for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. Company Name proposes to sell electricity, natural gas, and related services [LIST NGDCSs AND EDCS HERE] under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Company Name may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Company's Name attorney at the address listed below.

By and through Counsel: Attorney's Name

Company Name

Address Address Phone FAX

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PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU APR 1 2017

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Appendix H

Electronic Data Interchange and Internet Requirements

Any NGS suppliers and/or broker/marketers that wish to use the Electronic Data Interchange (EDI), in the NGDCs where its available, must meet the EDI certification requirements of the NGDC. Certification is a testing process using the Commission approved Internet protocol. To initiate this process, the NGS is encouraged to contact the NGDC as early as possible after filing an application for a license with the Commission, since certification may require as many as four months to complete and customer service contract dates may not commence prior to certification. NGDC requirements of new suppliers may be found on the respective NGDC home web page. Pennsylvania's industry stakeholder group the Electronic Data Exchange Working Group (EDEWG) develops and maintains the EDI transactions and related business practices, which are found on the Pa. PUC website at

http://www.puc.pa.gov/filing_resources/issues_laws_regulations/electronic_data_exchange.aspx. The EDEWG meets telephonically the first Thursday of each month at 2:00pm ET to discuss EDI change control requests and other issues.

To keep current with Pennsylvania EDI practices and policies, a newly licensed NGS may choose to participate in the EDEWG by contacting the following:

PA EDEWG EDI Contacts

Entity Name	Contact Name	Telephone	Email	Preference
PA PUC	Jeff McCracken	717-783-6163	imccracken@pa.gov	Email
PA PUC	Lee Yalcin	717-787-6723	lyalcin@pa.gov	Email
PA EDEWG LDC Co-Chair	Sue Scheetz	610-774-3616	smscheetz@pplweb.com	Email
PA EDEWG ESP Co-Chair	Christine Hughey	713-401-2296	christine.hughey@constellation.com	Email
PA EDEWG Secretary & Regional	Brandon Siegel	412-817-8004	brandon.siegel@intelometry.com	Email
EDI Change Control Manager				

PA NGDC EDI Contacts

Company Name	Contact Name	Telephone	Email	Preference
PGW	Sherri Pennington	215-684-6588	Sherri.Pennington@pgworks.com	Email
UGI Utilities Inc.	EDI Technical Support		edi@ugi.com	Email
UGI Utilities Inc.	David Lahoff	610-796-3520	dlahoff@ugi.com	email
	·			

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PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU APR 7 2017

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

PROOF OF PUBLICATION In THE ERIE TIMES-NEWS

COMBINATION EDITION

Navigate Power LLC 2211 N. Elston Ave. Ste. 309 Chicago IL 60614

REFERENCE:

76656 251079

PUC Notice

STATE OF PENNSYLVANIA) COUNTY OF ERIE) SS:

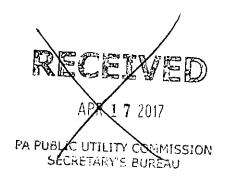
Brenda L. Learn, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 03/04/17

TOTAL COST: \$409.00

AD SPACE: 0 Lines

FILED ON: 03/04/17



RECEIVED

MAY 0 4 2017

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

PENNSYLVANIA PUBLIC UTILITY COMMISSON NOTICE

Application of Navigate Power, LLC, (d/bla "Navigate Power") For Approval to Offer, Render or Furnish Natural Gas Services as a Supplier, Aggregator, or Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services, To The Public in The Commonwealth Of Pennsylvania.

Navigate Power will be filling an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier or aggregator of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. Navigate Power proposes to sell natural gas and related services throughout all of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing Protests directed to the technical or financial fitness of Company Name may be filed within 15 days of the data of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265, You should send copies of any protest to Navigate Power at the address listed below.

Navigate Power LLC,

Jonathan T. Linnemeyer Lyons Law Group, LLC 5333 Main Street Downers Grove, Illinois 60515

Downers Grove, Illinois 605 Phone: (630) 852-2529

Sworn to and subscribed before me this 6th day of March 2017

Affiant[,]

NOTARY:

Salma Mare

COMMONWEALTH OF PENNSYLVANIA

NOTARIAL SEAL Barbara J. Moore, Notary Public City of Erie, Erle County My Commission Expires March 23, 2020

MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

Proof of Publication in The Philadelphia Daily News Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA **COUNTY OF PHILADELPHIA**

Helene Sweeney being duly sworn, deposes and says that The Philadelphia Daily News is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

March 6, 2017

Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

Sworn to and subscribed before me this 6th day of March, 2017.

My Commission Expires:

Copy of Notice of Publication

PENNSYLVANIA PUB LIC UTILITY COMMISSON NOTICE
Application of Navigate Power, LLC, (d/b/s "Navigate Power") For Approval to Offer, Render or Furnish Natural Gas Services as a Supplier, Aggregator, or Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services, To The Public in The Commonwealth Of Pennsylvania. Navigate Power will be filling an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier or aggregator of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. Navigate Power proposes to seil natural gas and related services throughout all of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act.

and related services throughout all of Pennania under the provisions of the new Natural Choice and Competition Act.
PUC may consider this application without paring Protests directed to the technical or noisi fitness of Company Name may be filled in 15 days of the data of this notice with the retary of the PUC, P.O. Box 3285, Harriss, PA 17105-3285, You should send copies may protest to Navigate Power at the adias listed below.

Navigate Power LLC,
Jonathan T. Linnemeyer
Lyons Law Group, LLC
6333 Main Street

Downers Grove, tilinois 60516

Phone: (630) 852-2529



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PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

The Scranton Times (Under act P.L. 877 No 160. July 9,1976)

Commonwealth of Pennsylvania, County of Lackawanna

NAVIGATE POWER ANTONIETA SAAVEDRA STE 201 2211 N ELSTON AVE CHICAGO IL 60614

Account # 574067 Order # 82053300 Ad Price: 214.75

LEGAL NOTICE PENNSYLVANI

Gina Krushinski

Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

03/02/2017

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true

Sworn and subscribed to before me this 2nd day of March A.D., 2017

(Notary Public)

COMMONWEALTH OF PENNSYLVANIA

Hotarial Seal
Sharon Medicult, Notary Public
City of Scrinton, Lockemenna Tourity
My doministics Express Fair, 12, 2016
Months Chemically Commissions

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PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU APR 1 2017

PA PUBLIC UTALITY COMMISSION SECRETARY'S BUREAU

LEGAL NOTICE

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Application of Nevigate Power, LLC, (db/e "Navigate Power") For Approval to Offer, Render or Furnish Natural Gas Services as a Supplier, Aggregator, or Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services, to The Public in The Commonwealth Of Pennsylvania.

Navigate Power will be filling an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier or aggregator of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. Navigate Power proposes to sell natural gas and related services throughout all of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing Protests directed to the technical or financial fitness of Navigate Power may be filed within 15 days of the date of this notice with the Secretary of the PUC. P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Navigate Power at the address listed below.

Navigate Power LLC, Jonathan T. Linnemeyer Lyons Law Group, LLC 5333 Main Street Downers Grove, Illinois 60515 Phone: (630) 852-2529

PROOF OF PUBLICATION OF NOTICE IN THE WILLIAMSPORT SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA
COUNTY OF LYCOMING

SS:

to verify the foregoing s of publication, and that LEGAL NOTICES PENNSYLVANIA PUBLIC UTILITY	tatement under oath and also decl	zed by the Sun-Gazette Company, publisher of the Wilares that affiant is not interested in the subject matter statement as to time, place and character of publication	er of the aforesaid notic
PENNSYLVANIA PUBLIC UTILITY		ä	
PENNSYLVANIA PUBLIC UTILITY			
POBLIC UTILITY		SUN-GAZETTE	COMPANY
		Sworn to and subscribed before me	
Continues a second the	he PUC may consider	the 3 rd day of March	2017
pplication of Navigate the	he PUC may consider nis application without a earing Protests directed to the technical or financial		
Poroval to Offer Pender	the technical or financial thess of Company Name	Christian Davidel	
Furnish Natural Gas dervices as a Supplier, tid	ays of the data of this no-	COMMONWEALTH OF PENNSYLVANIA Nota	ry Public
oker Engaged in The	or the technical or financial thess of Company Name hay be filed within 15 ays of the data of this no-ze with the Secretary of the PUC, P.O. Box 3265, arrisburg, PA 17105-265, You should send poles of any protest to	NOTARIAL SEAL	
		CHRISTINA DEWALD Notary Public	
e Commonwealth Of do	avigate Power at the ad- ess listed below.	CITY OF WILLIAMSPORT, LYCOMING COUNTY My Commission Expires Apr 18, 2020	
vigate Power will be fil-	Navigate Power LLC, Jonathan T. Linnemeyer		
vigate Power will be fil- i an application with the ansylvania Public Utility minission ("PUC") for a nes to provide natural	Lyons Law Group, LLC 5333 Main Street	STATEMENT OF ADVERTISING C	OSTS
nse to provide natural supply services as (1)	Downers Grove, Illinois, 60515	,	9010
se to provide natural supply services as (1) upplier or aggregator of Iral gas, and (2) a ter/marketer engaged to business of provid-natural gas sopries	Phone: (630) 852-2529		
he business of provid- natural gas services.	· · · · · · · · · · · · · · · · · · ·	To the Sun-Gazette Company, Dr.:	
Bil natural one and an		For publishing the notice attached	
of Pennsylvania wadar	MAY 0 4 2017	hereto on the above state dates	\$ 2 27 -
provisions of the new ral Gas Choice and petition Act.		Probated same	
IPA PU	BLIC UTILITY COMMISSION SECRETARY'S BUREAU	Total	\$227.

THE SUN-GAZETTE COMPANY hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

RECEIVED

7 2017

SUN-GAZETTE COMPANY

BY Bernard A. Oravec

PA PUBLIC UTILITY COMMISSION

	No		Term,	
Proof of Publication of Under Act No 587, Approved May 16, 1929, P				
Commonwealth of Pennsylvania, County of Allegheny, so Pittsburgh Post-Gazette, a newspaper of general circulation established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and Pittsburgh Gazette established in 1786 and the Pittsburgh Post-been regularly issued in said County and that a copy of so printed and published in the regular newspaper of general circulation on the following dates, viz:	Gazette and Sun-Tel- the Pittsburgh Post- ost, established in 18 aid printed notice of ec	egraph and The Pitt Gazette was establi 42, since which dat r publication is atta	tsburgh Press and the Pittsburgh F lished in 1927 by the merging of te the said Pittsburgh Post-Gazette	Post- f the has was
06 of March, 2017 Affiant further deposes that he/she is an agent for the PG Pu that, as such agent, affiant is duly authorized to verify the fo of the afore said notice or publication, and that all allegation true.	regoing statement un	der oath, that affian	nt is not interested in the subject mapped and character of publication	atter
The Calamas)		COPY OF NOTICE OR PUBLICATION	
PG Publishing Compar Sworn to and subscribed before m March 06, 2017			PENNSYLVANIA PUBLIC UTILITY COMMISSON NOTICE Application of Navigate Power, LLC, (d/h):a "Navi gate Power") For Approvat to Offer, Render or Furnish	
COMMONWEALTH OF P NOTARIAL SE Linda M. Gaertner, No Findlay Twp Alleghe My Commission Expires MEKBER, PENNSYLVANIAASSOCI	tary Public eny County Jan. 31, 2019	CEIVED	Natural Gas Services as a Supplier, Aggregator, or Marketer/Broker Engaged in The Business of Scipply ing Natural Gas Supply services, To The Public in The Commonwealth Of Pennsylvania. Navigate Power will be filling an application with the Pennsylvania Public Utiling commission ("PUC") for a license to provide natural gas supply services as (1) a supplier or ag gregator of natural gas, and (2) a broker-marketer engaged in the business of providing natural gas services. Navigate Power proposes to sell natural gas and related services.	
STATEMENT OF ADVERTION NAVIGATE POWER 1 PHILADELPHIA INT'L SUITE 550 PHILADELPHIA PA 191	PLAZA	K M M	throughout all of Pennsyl vania under the provisions of the new Natural Gas Choice and Competition Act. The PUC may consider this application without a hearing Protests directed	
To PG Publishing Co	ompany		to the technical or finan cial fitness of Company Name may be filed within 15 days of the data of this	
Total	\$412.50		notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265, You should send copies of any protest	
Publisher's Receipt for A PG PUBLISHING COMPANY, publisher of the of general circulation, hereby acknowledges recei publication costs and certifies that the same have	Pittsburgh Post-Cipt of the aforsaid	Gazette, a newspa	to Navigate Power at the address listed below. Navigate Power LLC. Jonathan T. Linnemeyer. Lyons Law Group, LLC. 5232 Main Stratt. Down	
Office PG Publishing Comp	pany, a Corporation, Pette, a Newspaper of C	General Circulation		
I hereby certify that the foregoing is the original Proof of Publisubject matter of said notice.	lication and receipt for	the Advertising cost	sts in the	
	Attorney For			



The Patriot News

AD#: 0008074061

Commonwealth of Pennsylvania,) ss

County of Cumberland)

Dwayne Connor being duly sworn, deposes that he/she is principal clerk of PA Media Group; that The Patriot News is a public newspaper published in the city of Mechanicsburg, with general circulation in Cumberland and Dauphin and surrounding counties, and this notice is an accurate and true copy of this notice as printed in said newspaper, was printed and published in the regular edition and issue of said newspaper on the following date(s):

The Patriot News 03/02/2017

Principal Clerk of the Publisher

Sworn to and subscribed before me this 3rd day of March 2017

Josepa M. Maldanado otary Public

PENNSYLVANIA PUBLIC UTILITY COMMISSON

Application of Navigate Power, LLC, (d/b/a "Navigate Power") For Approval to Ofter, Render or Furnish Natural Gas Services as a Supplier, Aggregator, or Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services, To The Public in The Commonwealth Of Pennsylvania.

Novigate Power will be filling an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier or aggregator of natural gas, and (2) a broker/morketer engaged in the business of providing natural gas services. Navigate Power proposes to sell natural gas and related services throughout all of Pennsylvania under the provisions of the new Natural Gas

Choice and Competition Act.
The PUC may consider this application without a hearing Protests directed to the technical or financial fitness of Company Name may be filed within 15 days of the data of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Navigate Power at the address listed below.

Navigate Power LLC, Jonathan T. Linnemeyer Lyons Law Group, LLC 5333 Main Street Downers Grove, Illinois 60515 Phone: (630) 852-2529 COMMONWEALTH OF PENNSYLVANIA

Notarial Seal

Donna M. Maldonado, Notary Public Susquehanna Twp., Dauphin County My Commission Expires Nov. 5, 2017

MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

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PA PUBLIC OTILITY COMMISSION
SECRETARY'S BUREAU

COMMONWEALTH OF PENNSYLVANIA

County of Cambria

SS

PENNSYLVANIA PUBLIC UTILITY COMMISSON NOTICE

Application of Navigate Power. LLC. (d/h/a "Navigate Power") For Approval to Offer, Render or Furnish Natural Gas Services as a Supplier, Aggregator, or Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services, To The Public in The Commonwealth Of Pennsylvania.

Navigate Power will be filling an application with the Pennsylvania Public Utility Commission ("PUC") for a ticense to provide natural gas supply services as (1) a supplier or aggregator of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. Navigate Power proposes to sell natural gas and related services throughout all of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act.

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published that the c of The Ja in the subNavigate Power LLC, Jonathan T. Linnemeyer Lyons Lew Group, LLC 5333 Main Street Downers Grove, Ifilnols 60515 Phone: (630) 852-2529

of said publication are true.

On this 2nd day of March A.D. 2017, before me, the subscriber, a Notary Public in and for said County and State, personally appeared Christine Marhefka, who being duly sworn according to law, deposes and says as Classified Advertising Manager of the Tribune-Democrat, Johnstown, PA, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8, 1952, of the Johnstown Tribune, established December 7, 1853; and of the Johnstown Democrat, established March 5, 1863,

y of Cambria, and Commonwealth of Pennsylvania and matter published in said publication in the regular issues on March 2, 2017; and that the Affiant is not interested that all of the allegations as to time, place and character

STATEMENT OF ADVERTISING COSTS

Sworn and Subscribed before me this 2nd day of March, 2017.

Juian OB

0.00 Lines @ \$2.50 per line	0.00
6 Inches @ \$25.00 per inch	150.00
Notary Fee	5.00
Clerical Fee	2.50
Total Cost	157.50

COMMONWEALTH OF PENNSYLVANIA NOTARIAL SEAL Vivian Chs. Notary Public

Vivian Ohs. Notary Public City of Johnstown. Cambria County My Commission Expires Dec. 6, 2020

MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

To The Tribune-Democrat, Johnstown, PA For publishing the notice or publication attached hereto on the above stated dates.

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

	irculation, hereby a	of cknowledges receipt of the aforesaid the same has been duly paid.
		(Name of Newspaper)
To All Balls B	Ву	
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PA PUBLIC UTILITY COMMISSION

Exhibit. A

Entity #: 4272841
Date Flied: 02/25/2015
Pedro A. Cortés
Acting Secretary of the Commonwealth

PENNSYLVANIA DEPARTMENT OF STATE

Certificate	c of Amendment of (15 Pa.C.S. § 85 Limited Partnershi Registered Limited Registered Limited	85) p Liability Partners	ship		
Name Corporation Service Company, A Address 2711 Centerville Road	ttn: April Pagliassotti		ent will be ret und address yo t		
City State Wilmington, DE 19808	Zip Code	FORFIGN	Commonweal	th of Pennsylvania LITY AMENDMENT 2 Pag	je(s)
: \$250		**************************************	T150	06341004	
ompliance with the requirements of 15 ring to change the arrangements or othe stered limited liability partnership or a	er facts described in its ap	plication for regis	tration as a fo		
1. The name under which the associa	ation was registered (or la	st registered) to de	o business in t	be Commonwealth	٦
The name under which the associated of Pennsylvania is: NAVIGATE POWER LLC	ation was registered (or la	st registered) to de	o business in t	he Commonwealth	
of Pennsylvania is:	ered office in this Comm				
of Pennsylvania is: NAVIGATE POWER LLC 2. The (a) address of its initial regist	ered office in this Comm				
of Pennsylvania is: NAVIGATE POWER LLC 2. The (a) address of its initial regist office provider and the county of	ered office in this Comm venue is: City	onwealth or (b) na	ume of its coun	mercial registered	
of Pennsylvania is: NAVIGATE POWER LLC 2. The (a) address of its initial regist office provider and the county of (a) Number and street (b) Name of Commercial Register	ered office in this Commo venue is: City red Office Provider	onwealth or (b) na	une of its com Zip Di	mercial registered County County auphin	
of Pennsylvania is: NAVIGATE POWER LLC 2. The (a) address of its initial regist office provider and the county of (a) Number and street (b) Name of Commercial Registe c/o:Incorp Services, Inc.	ered office in this Commo venue is: City red Office Provider	onwealth or (b) na	une of its com Zip Di	mercial registered County County auphin	

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DSCB:15-8585-2

4. If applicable: The association desires that its registration be amended to change its name	to:
 If applicable: The association desires that its registration be amended as follows in order arrangements or other facts that have changed. 	to reflect
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PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

IN TESTIMONY WHEREOF, the undersigned has caused this Certificate of Amendment of Registration to be signed by a duly authorized officer, member or manager thereof this

20th day of February , 2015.

NAVIGATE POWER LLC

Name of Association

Signature

Dona Priebe, Attorney in Fact

Navigate Power LLC Balance Sheet

As of December 31, 2016

	Total	
ASSETS		
Current Assets		
Bank Accounts		
BUS SELECT HY SAV (1908)		99.95
BUSINESSSELECT CHKG (7898)		-237,786.64
BUSINESSSELECT CHKG (8950)		209,875.94
PERFBUS CHK (3312)		10.66
TOTAL BUS CHK (5137)		7,023.82
TOTAL BUS CHK (7539)		287.95
Unidentified Transfers		8,695.35
Total Bank Accounts	-\$	11,792.97
Other Current Assets		
Broker and Employee Advances		5,400.00
Advance to Vision Global		2,000.00
Loans to Others - Durbins		60,000.00
Total Broker and Employee Advances	\$	67,400.00
Loan repayment Ryan Pohl		-625.00
Loans		
Christopher Gersch Personal Account		0.00
Lakeshore Marketing Group		79,710.10
Marsia Ritz		0.00
Navigate Power Residential Loan		650.00
Roberts Partners LLC Loans		0.00
Verde Solutions		691,211.47
Total Loans	\$	771,571.57
Prefunded Selling Expenses - 0.E		1,330.35
Total Other Current Assets	\$	839,676.92
Total Current Assets	\$	827,883.95
TOTAL ASSETS	\$	827,883.95
LIABILITIES AND EQUITY		,
Liabilities		
Current Liabilities		
Credit Cards	,	
Brian's Credit Card 9619		2,124.96
Chris Credit Card		3,762.38
Mark's Credit Card 0268		969.97
Mark's Credit Card 8724		2,186.35
Total Credit Cards	S	9,043.66
Other Current Liabilities	*	5,540.00
Loan		5,000.00
Blox Capital LLC		0.00
DION Aghirdi PEA		0.00

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K2 Group		500,000.00
Loan - Quanthaven		0.00
Total Loan	S	505,000.00
Total Other Current Liabilities	\$	505,000.00
Total Current Liabilities	\$	514,043.66
Long-Term Liabilities		
Blox Capital on behalf of HB/MM		0.00
Loan from Verde Solutions		60,000.00
Notes Payable - Kohlenberg's		561,078.23
Total Long-Term Liabilities		621,078.23
Total Liabilities	\$	1,135,121.89
Equity		
Opening Balance Equity		-9,254.56
Retained Earnings		-367,385.53
Net Income		69,402.15
Total Equity	- 	307,237.94
TOTAL LIABILITIES AND EQUITY	s	827,883.95

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Monday, Mar 27, 2017 08:28:00 AM GMT-7 - Cash Basis

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Navigate Power LLC Profit and Loss

January - December 2016

	Total
Income	
Merchant Services Commissions	63,222.85
Refunds-Allowances	985.62
Sales	3,777,103.71
Uncategorized Income	300.00
Total Income	\$ 3,841,612.18
Cost of Goods Sold	
Cost of labor - COS	7.00
Supplies & Materials - COGS	60.04
Total Cost of Goods Sold	\$ 67.04
Gross Profit	\$ 3,841,545.14
Expenses	
Advertising	4,119.95
Auto	3,848.12
Bank Charges	2,101.60
Car Lease	5,812.19
Charitable Contributions	2,920.00
Commissions & fees	11,253.32
1099 Commission Payment	1,030,381.80
Total Commissions & fees	\$ 1,041,635.12
Computer and Software Expenses	18,846.87
Dues & Subscriptions	18,578.64
Insurance	7,586.79
Interest Expense	86,087.55
Job Materials	438.01
Legal & Professional Fees	90,634.81
Meals and Entertainment	32,769.53
Office Expenses	10,127.94
Office Supplies	18,258.75
Other General and Admin Expenses	6,763.17
Other Miscellaneous expense	2,550.00
Payroli - Net	493,498.40
Payrotl - Employee Commissions	 1,101.00
Total Payroll - Net	\$ 494,599.40
Payroll - Taxes and Exclusions	55,436.98
Promotional	164.73
Promotional or Advertising	12,045.07
Recruiting	28,732.58
Reimbursements	6,725.53
Rent or Lease	76,729.67
Repair & Maintenance	964.85

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Shipping and delivery expense		326.18
Stationery & Printing		564.39
Subcontractors		10,074.42
Supplies		29.39
Taxes & Licenses		6,894.62
Travel		36,102.88
Travel Meals		4.49
Uncategorized Expense		2,516.97
Utilities		13,169.85
Web Design		56,929.47
Total Expenses	\$	2,155,090.51
Net Operating Income	\$	1,686,454.63
Other Income		
Interest Earned		-4.53
Other Miscellaneous Income		35.00
Total Other Income	\$	30.47
Other Expenses		
Ask Daybreak Advisors		7,000.00
Miscellaneous		4,348.70
Penalties & Settlements		9.49
		11 250 10
Total Other Expenses	\$	11,358.19
Total Other Expenses Net Other Income	-\$	11,327.72

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SECRETARY'S BUREAU

Navigate Power LLC Cash Flow from Operations

Year-ending December 31, 2015 and Year-ending December 31, 2016

		2015	2016
Income			
Merchant Services Commissions		63,222.85	78,333.45
Sales		1,867,820.08	3,777,865.00
Total Income	\$	1,931,042.93	\$ 3,856,198.45
Gross Profit	\$	1,931,042.93	\$ 3,856,198.45
Expenses			
1099 Commission Payment		1,030,381.80	2,212,000.00
Payroll		494,599.40	494,599.40
Selling Expenses - Options.Energy		0.00	0.00
All Other Expenses		641,504.07	345,213.43
Total Expenses	\$	2,166,485.27	\$ 3,051,812.83
Cash Flow from Operations	<u>-</u> s	235,442.34	\$ 804,385.62

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Compensation Structure

Navigate Power, LLC ("Navigate Power") is a electricity and natural gas brokerage. Navigate receives compensation from retail energy suppliers "("RES") who are licensed in the State of Pennsylvania. The relationship between Navigate Power and the RES's is technically referred to as a "referral" partnership, and the compensation received is referred to as a referral fee.

Within the terms and conditions of the referral agreement between Navigate Power and each RES, Navigate Power is allowed to market and sell electricity and natural gas customers, however it chooses.

Navigate Power has a multi-faceted strategy to acquire customers on behalf of its RES partners:

- Direct Sales: Navigate power will contract independent agents to broker deals. The 1099 agents will go through an electricity and natural gas training program, covering market analysis, contract proficiency, and ethics. This program has been successful in other states and has produced no registered complaints.
- 2. Online Marketing: Through online marketing, Navigate Power has developed an indirect sales platform that allows customers to better understand their options, review terms and prices, and contract with a supplier.

Navigate Power's RES partners are responsible for billing and providing statements.

Regarding customer inquiries and complaints, Navigate Power's first phase of response is its independent agents—the individuals the customer trusts. Independent agents are trained to not only acquire clients, but to also manage relationships. The goal is to retain clients through renewals. This only happens when clients are satisfied and trust their agent.

Navigate Power's management and operations team will help agents better manage and respond to the needs of their clients.

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"Company History"

Navigate Power, LLC ("Navigate Power") was founded in June 2012. Its principal business interest is to help consumers, residential and commercial, choose and electricity or natural gas supplier and plan that best suits their needs.

Navigate Power's brokers and leadership has a combined 25 years of experience in procuring and managing electricity and natural gas contracts. Headquartered in Chicago, IL, Navigate Power continues to serve clients throughout the Midwest, New York, New Jersey, and Texas.

Navigate Power is committed to brokering electricity and natural gas in the State of Ohio, reliably and ethically. It plans on implementing ongoing training, processing, and verification processes and requirements to ensure electricity and natural gas customers are satisfied.

For more information regarding management and technical experience, please see Exhibits B-2 and B-3.

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"Summary of Experience"

Navigate Power, LLC ("Navigate Power") has a deep track record or helping customers—commercial and residential—procure electricity and natural gas. Navigate Power current client portfolio is a follows:

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State	Willing	Commodity	<u> </u>	Annual Usage
Illinois	ComEd	Electricity	532	110,000,000
	Ameren	Electricity	47,	27,911,120
	Nicor	Natural Gas	49	2,800,000
	Northshore	Natural Gas	12	300,000
	Peoples Energy	Natural Gas	53	1,200,000
Indiana	Nipsco	Natural Gas	29	900,000
'	Vectren	Natural Gas	8	700,000
New York	ConEdison	Electricity	32	10,780,614
Texas	Oncor	Electricity	42	4,590,030
	Centerpoint	Electricity	22	1,728,331
t _	West Texas Utilities	Electricity	9.	2,307,280
Pennsylva	WPP	Electricity	12	780,000
	Duquesne	Electricity	8	200,500
	MetEd	Electricity	25	750,000
	PPL	Electricity	55	1,000,050
Ohio	AEP	Electricity	5	150,000
	Duke	Electricity	7.	175,000
	CEI	Electricity	45	1,000,000
	OH Edison	Electricity	30	750,000
	DP&L	Electricity	9	150,000
	Columib Gas of OH	Natural Gas	10	300,000

Name	Job Title	Business Address	Telephone
Brian Cecola	Managing Partner	2211 N. Elston Ave Ste 201	630-781-4914
		Chicago, IL 60614	
Christopher	Managing Partner	2211 N. Elston Ave Ste 201	847-962-5327
Gersch	1	Chicago, IL 60614	
Alex Talarico	VP of Sales	46 Front St Upstairs	440-525-1694
		Berea, OH 44017	
Marsia Ritz	Director of	2211 N. Elston Ave Ste 201	312-470-6051
	Operations	Chicago, IL 60614	
Lilian Perez	Sr. Operations	2211 N. Elston Ave Ste 201	312-462-3675
	Coordinator	Chicago, IL 60614	
_ Laura Madrigal	Operations	2211 N. Elston Ave Ste 201	312-281-6510
	Coordinator	Chicago, IL 60614	
Shannon Zich	Human Resources	2211 N. Elston Ave Ste 201	312-445-9443
		Chicago, IL 60614	. <u>-</u>
Paloma Lozana	Recruiting	2211 N. Elston Ave Ste 201	630-994-9688
		Chicago, IL 60614	
Jonathan	Corporate Council	5333 Main Street	630-852-2529
Linnemeyer		Downer Grove IL 60515	

Corporate Structure

Navigate Power, LLC is an electricity and natural gas broker. Brian Cecola is the managing partner and is responsible for guiding Navigate Power, managing relationships with supplier and network partners, and ensuring the growth of the company in a responsible manner.

Chris Gersh, an equities and commodities trader by trade and being in the energy procurement industry for close to seven years, advises Navigate Power on market conditions and analysis.

Jonathan Linnemeyer member of Lyons Law Group, LLC and serves as Navigate Power's General Counsel.

Marsia Ritz and Lilian Perez are responsible for the operations and pricing desk. They ensure pricing requests and contract submissions are tracked, accurate and done in a timely manner. They support Navigate Power's sales agents.

MAY 0 4 2017

Brian Cecola, Managing Partner

Christopher Gersch, Partner

- Electricity and natural gas market analysis
- Consults on business development and strategy

ARES Lead energy and natural gas consultant

Manage relationship with

 Ensure growth through recruiting compliancy and transparency

Jonathan Linnemeyer, General Counsel

- · Handles all legal matters
- Review agreements with ARES's and vendors
- · Assists in compliancy

Alex Talarico, Executive VP of sales

 Manages sales department and sales representatives

Marsia Ritz, Operations and Pricing Desk

- Facilitates pricing and request between borker and ARES's
- Populate ESA's and proposals
- · Leads quality assurance

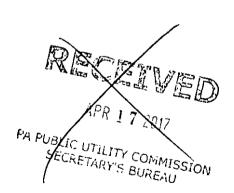
Steven Heeley, Accounting

- Book keeping
- Organizes commissions statements and checks
- Helps with vendor contracts

Broker Channel/ Network Partner

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Subsidiary Companies

- 1. Direct Energy, RES
- 2. Energy Me, RES
- 3. MC2, RES
- 4. DPN & L, RES
- 5. Champion Energy, RES
- 6. Constellation Energy, RES
- 7. ConEd Solutions, RES
- 8. Hudson Energy, RES
- 9. Kona Energy, RES
- 10. AEP Energy, RES
- 11. CenStar Energy, RES
- 12. Plymouth Rock, RES
- 13. Nordic Energy, RES
- 14. GDF Suez, RES

(RES= Retail Energy Supplier)

- 15. IGS Energy, RES
- 16. Oasis Energy, RES
- 17. US Gas & Electric, RES
- 18. Volunteer Energy, RES
- 19. Dominion Energy, RES
- 20. Guttman Energy, RES
- 21. Woodruff Energy, RES
- 22. MidAmerican Energy, RES
- 23. Mint Energy, RES
- 24. Amerigreen Energy, RES
- 25. Agera Energy, RES
- 26. Capital Energy, RES
- 27. Homefield Energy, RES

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PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

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The Public Utilities Commission of Ohio Ohio Competitive Retail Natural Gas Aggregator/Broker Certificate

Issued pursuant to Case Number(s): 16-1861-GA-AGG

is

RECONSTITUTE Retail Natural Gas Aggregator/Broker Certificate Number: 16-546G(1)

MAY 0 4 2017

Granted to:

Navigate Power LLC

PA PUBLIC UTILITY COMMISSION

SECRETARY'S BUREAWhose office or principal place of business is located at:

2211 N. Elston Avenue, Suite 201, Chicago, IL 60614

And is hereby certified to provide:

Retail Natural Gas Aggregator/Broker Services within the state of Ohio, for a two-year period.

Certification Effective:

October 9, 2016 through October 9, 2018

The certification of Ohio competitive retail natural gas aggregators/brokers is governed by Chapter 4901:1-27 of the Ohio Administrative Code and section 4929.20 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) as well as those under law, are not met.

Certified entity is subject to all rules and regulations of the commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio Dated: October 12, 2016

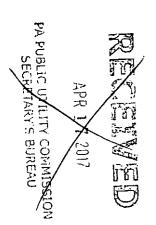
By Order of

The Public Utilities Commission of Ohio

Barcy F. MeNeal, Secretary

Tanowa M. Troupe, Acting Secretary Felecia D. Burdett, Acting Secretary

Form No. CRNGS/AGG/B-06



PENNSYLVANIA PUBLIC UTILITY COMMISSION

IN THE MATTER OF THE APPLICATION OF: A-2014-2437023

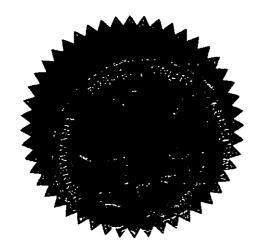
A PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

The Application of Navigate Power LLC to begin to offer, render, furnish or supply electric generation supplier services as a broker/marketer to residential, small commercial (25 kW and under demand), large commercial (over 25 kW demand), industrial, and governmental customers in the electric distribution company service territories of Duquesne Light Company, Metropolitan Edison Company, PECO Energy Company, Pennsylvania Power Company, PPL Electric Utilities Corporation and West Penn Power Company, within the Commonwealth of Pennsylvania.

EFFECTIVE DATE: October 23, 2014

The Pennsylvania Public Utility Commission hereby certifies that after an investigation and/or hearing, it has, by its report and order made and entered, found and determined that the granting of the application is necessary or proper for the service, accommodation, convenience and safety of the public and hereby issues, evidencing the Commission's approval, to the applicant this:

LICENSE FOR ELECTRIC GENERATION SUPPLIER.



In Witness Whereof, the PENNSYLVANIA PUBLIC UTILITY COMMISSION has caused these presents to be signed and sealed, and duly attested by its Secretary at its office in the city of Harrisburg this 23rd day of October 2014.

Secretary

TXN/DI+ F

BRIAN THOMAS CECOLA

bcecola@yahoo.com

1000 W. Washington, #332 • Chicago, Illinois 60607 • (630) 781-4914

Dedicated financial professional possessing a proven track record of consistent performance seeks to utilize expertise in Market/Financial Analysis, Risk Management, Strategic Investment, Conflict Resolutions, Market Development, and Compliance to drive key goals and enhance profitability within a progressive organization.

SUMMARY:

- Relationship Management Highly experienced in assessing clients' true needs and expectations, and building lasting professional relationships. Grow and deepen market channels through careful attention to relationship cultivation, leading to exceptional client base development and retention. Generate significant word of mouth through superior results attained via technical expertise and key market knowledge.
- Process Implementation Possess a proven ability to anticipate unique requirements or potential roadblocks in all situations. Continually develop back-up plans to minimize risk and deliver exceptional results; streamline communication and implementation channels to quickly effectively meet client and organizational needs.

PROFESSIONAL **EXPERIENCE:**

BEST ENERGY. Chicago, Illinois

2012 to Present

Director of Sales

- Oversee all aspects of sales operations for this energy procurement organization, recruiting and developing a team of 65 professionals from scratch.
 Lead negotiation of all major contracts, and cultivate vendor relationships.
- Monitor financial indicators and assist with accounting operations to drive profitability. Collaborated in development and execution of strategic marketing initiatives.

Highlights

GATOR TRADING. Chicago, Illinois

2006 to 2012

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SECRETARY'S BUREAU

Senior Derivatives Trader Inventoried personal positions, specializing in Eurodollar Futures, trading on CBOT, CME, Eurex, LIFFE, Brokertec, and E-speed.

Accurately performed analysis of current and historical data to manage positions.

Focused on trading wheat, soybean, and corn commodities.

PA PUBLIC UTILITY COMMISSION -

Employed Excel formulated spreadsheets to analyze data and forecast trends.
 Traded multiple markets around the clock to capitalize on key fluctuations.
 Responsible for tracking statements, recording P&L, monitoring margin requirements, and

calculating expenses.

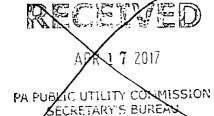
Conducted trader meetings and swapped strategies daily, to identify new market opportunities.

Maintained an 8 to 1 winning ratio, successfully trading U.S. Yield Curve spreading bonds vs. bonds, Eurodollars vs. bonds, and cash vs. bonds.

Constructed Excel spreadsheets with incorporated formulas to assist in trading, tracking

quotes, managing positions, and initiating buy/sell alerts. Attended numerous trading seminars (Eurodollars, STIRs, and LIFFEE), as well as new terminology demonstrations.

Highlights



Highlights

ROCK ISLAND SECURITIES, Chicago, Illinois

2002 to 2006

Floor Specialist

- Profitably managed electronic book of 25 listed equities, providing fair/orderly markets for retail and institutional customer orders.
- Managed inventory and made markets to enhance liquidity.
- Evaluated and managed risk based upon stock momentum and market activity. Utilized expertise in trading listed equities, ETFs, and futures.

- Accurately compared inventory positions to detailed trade reports, research/reconcile trade discrepancies, and process trade corrections to executed customer orders.

 Acted as agent to ensure all client orders were properly displayed and executed via the NMS.

Assisted in training and management of floor clerks and relief specialists. Analyzed securities in various industries on a fundamental/technical level.

- Specialized in energy, utilities, semiconductors, REIT's, pharmaceuticals, retail, etc.
 Tested pilot programs and new system features, providing feedback/input to management.

EDUCATION:

Saint Xavier University. Chicago, Illinois Received Master of Business Administration degree Conferred 2006

Purdue University. West Lafayette, Indiana

Conferred Aug. 2001

Received Bachelor of Science degree in Economics Double Minor in Business Management & History

TRAINING:

- Currently studying for CFA Level I
- Chicago Mercantile Exchange, Technical Analysis Classes

Christopher Gersch

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PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Objective

To demonstrate leadership, managerial and technical experience in energy and natural gas procurement, and sustainable energy product and service offerings

Professional Summary

I am an experienced executive with over 10 years in the energy industry. Starting off obtaining my series 7, 24, 55, 63, 66 FINRA licenses I was put in charge of large energy trading desks at the Chicago Board of Trade and Chicago Mercantile Exchange. Working with large institutional energy desks I gained insightful knowledge on how the energy industry works and how to manage large teams. I then founded Save Wave Energy in November of 2008, one of the first multi-disciplined licensed energy consultant firms in Illinois. Electricity and Natural Gas brokerage was a major offering of the firm and prior to leaving the firm in early 2012, I had a built a staff of over 50+ qualified energy professionals. I then left Save Wave Energy, LLC to start a new technology based energy consultant firm called Navigate Power, LLC. I currently act as CEO of Navigate Power at our headquarters in Chicago overseeing our offices in Columbus, Cleveland, Dallas-Fort Worth and Philadelphia.

Experience

Navigate Power, LLC, Chicago, IL Columbus, OH, May 2012—Present, CEO

- → Oversee licensing of Navigate Power, LLC in every state/territory in the US that allows competitive pricing (deregulation)
- → Training of all operations and executive staff
- → Design of all automated technology used for procuring and settling supplier deals
- → Aide in recruitment and training of sales associates for our regional teams

Verde Solutions LLC, Chicago, IL, March 2012—Present, Energy Efficiency Design Consultant

- → Negotiated supply agreements with LED, HVAC distributors/suppliers
- → Engaged, presented to, and contracted several commercial, municipal and industrial clients
- → Designed and managed request for proposal processes, leading to millions in dollars of savings for entire communities
- → Set up and led informational seminars, educating residents on energy efficiency programs

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Altimus Capital, LLC, Chicago, IL, May 2010—May 2012, Director of Portfolio Management

- → Investment firm focused direct investment into energy industry
- → Ran energy commodity option pricing desk and statistical risk management team
- → Recruited and trained dozens of energy traders
- → Worked as representative between CME and national media outlets

Save Wave Energy, LLC, Chicago, IL, November 2008-March 2012

- → Founded Save Wave Energy, LLC in November 2008
- → Created Save Wave Energy LLC Name/Brand/Marketing/Operations
- → Developed and hired executives that helped build SWE's thousand+ client portfolio
- → Was acting CEO and assigned principal for all legal/professional documentation until leaving to found Verde Solutions, LLC. and selling founders shares
- → Built municipal and business association partnerships
- → Spearheaded Save Wave's energy efficiency branch and later left firm to focus on Verde Solutions, LLC a firm ENTIRELY focused on energy efficiency and new building standards for commercial/governmental buildings www.verdesolutions.com

thinkorswim, Chicago, IL, April 2008—November 2008; Director Algorithmic Trading

- → Directed institutional portfolio trading execution for thinkorswim associate trading groups
- → Aided in development of thinkpipes institutional execution system for algorithmic trading
- → Co-founded marketing and documentation agency
- → Project managed teams comprised of various backgrounds and expertise

SPIKE Trading, Chicago, IL, May 2004—April 2008; Head Trader

- → Managed and trained over 140+ professional traders at Chicago Mercantile Exchange trading floor
- → Acted as interim CEO when Managing Partner was traveling abroad
- → Created marketing programs for potential investors
- → Founded bond, equity option and algorithmic trading divisions of Spike Trading

Education

University of Illinois at Urban- Champaign, IL Graduated May 2004 B.S. in Aeronautical and Industrial Engineering (Human Factors) Minor Atmospheric Science

Commercial Pilot: Single & Multiengine Land: Instrument Airplane



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