

RECEIVED

JUN 16 2017

BEFORE THE

PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

PENNSYLVANIA PUBLIC UTILITY COMMISSION

Petition of SFE Energy Pennsylvania, Inc.
To Modify its Security Requirement

:
:
:
:

Docket No. **A-2013-2353576**

**PETITION OF SFE ENERGY PENNSYLVANIA, INC.
TO MODIFY ITS SECURITY REQUIREMENT**

COMES NOW, SFE Energy Pennsylvania, Inc. ("SFE") files the instant Petition to Modify its Security Requirement and, in support therefore, avers as follows:

1. SFE is a licensed electric generation supplier ("EGS") in the Commonwealth of Pennsylvania.
2. SFE has been a licensed EGS for more than one year. SFE was licensed in 2013 in Docket No. **A-2013-2353576** and has maintained its license in good standing since that time.
3. SFE is compliant with its current bonding requirements.
4. By Final Order entered July 24, 2014, the Pennsylvania Public Utilities Commission ("Commission") held that an EGS, following its first year of operations, may petition to have its bonding requirement reduced from 10% to 5% of the EGS's last 12 months of revenue or \$250,000, whichever is greater. Public Utility Commission Bonding/Security Requirements for Electric Generation Suppliers: Acceptable Security Instruments, Docket No. M-2013-2393141 (Order entered July 24, 2014) ("Bond Reduction Order").
5. Though this Petition, SFE seeks to reduce its bonding requirement to 5% of its last 12 months of revenue.
6. As required by the Bond Reduction Order, SFE's gross receipts for the most recent four quarters, Tax letter in good standing from the Department of Revenue, Confirmation of AEPS Compliance;

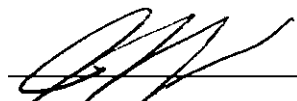
documents are provided in Appendix A (CONFIDENTIAL), which is attached hereto and incorporated by reference.

8. As directed by the Bond Reduction Order, SFE anticipates working with the Commission's Bureau of Technical Utilities Services ("TUS") to process this Petition and welcomes TUS' input and guidance for this reduction.

9. After coordination with TUS and upon approval of the reduction and other changes, if any, SFE will make a compliance filing with the new guarantee reflecting the reduced amount.

WHEREFORE, SFE Energy Pennsylvania, Inc. respectfully requests that this Honorable Commission, in accord with the Bond Reduction Order in Docket No. M 2013-2393141, grant the instant Petition and approve a reduction to the bonding requirements of SFE Energy Pennsylvania, Inc. to meet that reduced bonding requirement.

Respectfully submitted this 15th day of June, 2017.



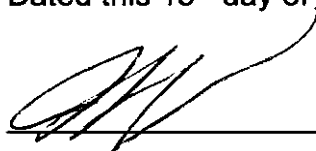
Jeff Donnelly, Director Regulatory Affairs & Compliance
SFE Energy Pennsylvania, Inc.

RECEIVED
JUN 16 2017
PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

VERIFICATION

I, Jeff Donnelly, Director of Regulatory Affairs & Compliance for SFE Energy Pennsylvania Inc., hereby state that the facts above set forth are true and correct to the best of my knowledge, information, and belief and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements herein are made subject to penalties of 18 Pa. C.S. §4904 (relating to unsworn falsification to authorities).

Dated this 15th day of June, 2017.



Jeff Donnelly, Director Regulatory Affairs & Compliance
SFE Energy Pennsylvania, Inc.

RECEIVED

JUN 16 2017

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

ELECTRIC CHOICE ANNUAL REPORT

**Electric Generation Sales (kWh) & Supply by Energy Source
(Based on Total Retail Sales in Pennsylvania)**

Pursuant to 52 Pa. Code § 54.39(b) and 52 Pa. Code § 54.6, SFE Energy Pennsylvania, Inc. at
(Name of Company)

Pittsburgh, PA, reports the following electric generation attributes for the following calendar year:
(City) (State)

Year	TOTAL RETAIL SALES (kWh)	Coal (%)	Nuclear (%)	Oil (%)	Hydro- power (%)	Natural Gas (%)	Renewable (%)
2016							

Detailed Break-down of Renewable Source by Technology (if any reported above)

See 52 Pa. Code § 54.39 (b)(4).

Technology	Percent Renewable Energy Supplied (%)	Total Renewable Energy Supplied (kWh)
Solar Photovoltaic Energy		
Solar Thermal Energy		
Wind Power		
Low-Head Hydropower		
Geothermal Energy		
Landfill or Other Biomass-based Methane Gas		
Mine-based Methane Gas		
Energy from Waste		
Sustainable Biomass Energy		
Other (Name)		
Other (Name)		
Total Renewable Sources	*100%	

NOTE to the Consumer: Electricity is the product of a mix of generation energy sources that is delivered over a system of wires. This report reflects the estimated percent of total sales based on the primary fuel source used in the generation of electricity for customer end-use in the Commonwealth of Pennsylvania. Some generators may use a combination of fuel sources, such as oil and coal or oil and natural gas, which may be combined or used alternatively. In this instance, only the primary fuel source is reported. Data may also include negative sales for pumped storage hydroelectric operations, which could require the use of any fuel source except hydropower. If any supply source includes Distributed Generation or Combined Heat and Power, the applicable categories will be marked "DG" and/or "CHP." If net metering is deployed, an asterisk (*) appears within the applicable categories. Upon request by the consumer, electricity providers are required to provide information on generation energy sources, energy efficiency, environmental impacts or personal historical billing data.

NOTE to the Entity filing this report: Please review above "Note to the Consumer" for important information needed to adequately complete this form. Verification of the anticipated generation energy source, of the identifiable resources (if and when they have been "claimed") and the fact that energy characteristics were not sold more than once, shall be conducted by an independent auditor at the end of each calendar year and contained in this annual report to the Commission. If generation energy sources are not identifiable, the provider shall disclose this fact.

License/Certificate Number (Required): A-2013-2353576

Utility Code: _____

ELECTRIC CHOICE ANNUAL REPORT

Pennsylvania Gross Receipts¹

Name of Company: SFE Energy Pennsylvania, Inc.

Company Address: 608-100 Milverton Drive, Mississauga Ontario, LR 4H1

Company Email Contact: tsinson@sfeenergy.com

Period of Operation (if less than full calendar year):

From January, 2016 (Month, Year) to December 2016 (Month, Year)

Complete one Annual Gross Receipts Report for each License/Certificate issued by the Commission. This form may be photocopied for reporting purposes. Please mark with a "✓" the category that applies:

Takes title to the electricity (Supplier, Generator, Aggregator, Municipal Supplier, or Electric Cooperative Supplier of electric power)

- Municipal Suppliers and Electric Cooperatives should only report on retail activity that has occurred outside of their municipal boundaries or certified territory.

X Does not take title to the electricity (Broker/Marketer)

- If collecting charges from PA licensed or certificated electricity providers for interstate electric energy sales, check the "Sales for Resale" box below and report total sales (kWh). No Gross Receipts are reported for these types of sales.

CALENDAR YEAR: _____ 2016 _____	CHECK APPROPRIATE BOX: <input checked="" type="checkbox"/> Retail Sales Report <input type="checkbox"/> Sales for Resale <input type="checkbox"/> _____ kWh
GROSS RECEIPTS (if applicable)*:	\$ _____

***Note: If you are a broker/marketer who does not take title to any electricity you should report \$0 as your total gross receipts for the year.**

¹ Gross receipt information is proprietary and will not be released to the public. Commission Order entered 7/20/00 at P-00991752. Confidential treatment of other information may also be requested. See Notice to Electricity Providers.

ELECTRIC CHOICE ANNUAL REPORT
















Disaggregated Pennsylvania Retail Sales (kWh) by EDC Service Area

Name of Company: SFE Energy Pennsylvania, Inc.

Period of Operation:

From January 2016 (Month, Year) to December 2016 (Month, Year)

Complete one Annual Report of Retail Sales (kWh) for each License/Certificate issued by the Commission. Please mark with an "X" each Electric Distribution Company (EDC) applicable to your company's retail sales within the Commonwealth of Pennsylvania. Indicate the total kWh sold for distribution within each service area.

-  Citizens Electric Co.
-  Duncannon, Borough of
-  Duquesne Light Co.
-  Metropolitan-Edison Co. (Met-Ed)
-  PPL Electric Utilities Corp.
-  PECO Energy Co.
-  Pennsylvania Electric Co. (Penelec)
-  Pennsylvania Power Co.
-  Pike County Light & Power Co.
-  St. Clair, Borough of
-  Schuylkill Haven, Borough of
-  Smethport, Borough of
-  UGI Utilities Inc.
-  Wellsboro Electric Co.
-  West Penn Power Co.

ELECTRIC CHOICE ANNUAL REPORT

Pennsylvania Tax Identification Update

Name of Company: SFE Energy Pennsylvania, Inc.

City and State: Pittsburgh, PA

Calendar Year of Annual Report: 2016

A. Please designate your company by marking with an "X" as many categories that apply:


- Supplier/Generator
- Electric Cooperative/Supplier
- Aggregator
- Municipal Supplier/Generator
- Broker/Marketer

B. If you are a Supplier/Generator, Broker/Marketer or Aggregator, please provide the current State Tax Account Numbers. If any tax account information has changed since the Pennsylvania license application was filed at the Commission, please indicate that this information is an "Update" of what is currently on file by marking the box with an X.

If your company is a Municipal Generator or Electric Cooperative, please provide the tax identification information that is on file at the Pennsylvania Department of Revenue.

- Federal Employer Identification Number (EIN): _____
(if applicable, e.g. a sole proprietor may not have an EIN)
- Sales Tax Identification/License No: _____
(if applicable, e.g. wholesalers may not have a Sales Tax No.)
- Corporation Tax Identification/Box No: _____

C. If your company owned electric generation assets in 1996 and has restructured since that time, please identify any successor companies whose operations are related to the production, distribution or retail sale of electricity in Pennsylvania. In addition, please identify any unrelated companies that have purchased electric generation assets from your company since 1996. Finally, please list on the back of this form any other Sales Tax or Corporation Tax Identification Nos. and associated company names under which payments, related to the production, distribution and retail sale of electricity, have been made to the PA Department of Revenue since July 1, 2001.

 hereby state that the facts above set forth are true and correct (or are true and correct to best of my knowledge, information and belief) and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa. C.S. sec. 4904 (relating to unsworn falsification to authorities).

NOTICE TO LICENSED ELECTRICITY PROVIDERS

**Availability of Confidential Treatment for Information
Provided in Quarterly and Annual Reports**

Note that pursuant to 52 PA Code § 54.39(d) these annual and quarterly reports "will be made available for public review upon request to the Commission subject to any rulings on confidentiality made by the Commission." However, the Commission has issued a general ruling that the total amount of gross receipts that licensed EGSs are required to report pursuant to 52 Pa. Code § 54.39(b)(2) is considered proprietary and shall not be released to the public. See Petitions of Exelon Energy; Reliant Energy Retail, Inc.; and Statoil Energy Services, Inc for Protective Order, Order entered July 20, 2000 at Docket Nos. P-00991752; P-00991753; P-00991755, p. 5. Updates to tax information requested in the application form; the total amount of electricity sold (kWh); and, the percentage of total electricity supplied by each energy source remain available for public review. Confidential treatment of this information may be requested in accordance with established Commission procedures. See also 52 Pa. Code § 5.423.

To request confidential treatment of submitted information, a company must:

- (a) indicate clearly in its transmittal letter that the filing contains proprietary information;
- (b) explain briefly why the information should be treated as confidential; and,
- (c) supply two versions of the filing: one version of the report with confidential data redacted and one version of the proprietary report. The proprietary version must be clearly marked "Confidential."

The Secretary's Bureau and the Bureau of Fixed Utility Services will maintain copies of each type of filing accordingly.

Pending Commission review of a request for confidential treatment and upon its approval, information designated as proprietary will not be made available for public review. However, if any member of the public, the Office of Consumer Advocate or the Office of Small Business Advocate requests to examine the proprietary information, or if the Commission's Office of Special Assistants or Office of Trial Staff believes that proprietary claim is frivolous or otherwise not justified, the Secretary's Bureau will issue a Secretarial Letter directing the company to file within 14 days a petition for protective order pursuant to 52 Pa. Code § 5.423. During the 14-day period, the Commission will continue to honor the proprietary claim. Absent the timely filing of such a petition, the proprietary information claim will be deemed to have been waived.

While the Commission is considering whether to grant a petition for protective order, the company's proprietary information claim will be honored unless a proceeding has been initiated to challenge the filing. In that event, the provisions of 52 Pa. Code § 5.423(b)(4) would apply. This provision requires the company to disclose the proprietary information to a party involved in the proceeding if the party "agrees to treat the information as if it were covered by a protective order until the presiding officer or the Commission issues the order or determines that issuance of the order would not be appropriate."

ELECTRIC CHOICE QUARTERLY REPORT

Pennsylvania Gross Receipts¹

Name of Company: SFE Energy Pennsylvania Inc.

Company Address: 608-100 Milverton Drive, Mississauga Ontario, L5R 4H1, Canada

Company Email Contact: Tamara Sinson, Manager Regulatory Affairs & Compliance tsinson@sfeenergy.com

Period of Operation:
 From January, 2016 (Month, Year) to March, 2016 (Month, Year)

Complete one Quarterly Gross Receipts Report for each License/Certificate issued by the Commission. This form may be photocopied for reporting purposes. Please mark with an "X" the category that applies to each report:

- Supplier or generator of electric power
- Municipal supplier or generator of electric power
(Only report on retail activity that has occurred outside of municipal boundaries)
- Electric Cooperative and supplier of electric power
(Only report on retail activity that has occurred outside of certified territory)
- Broker/Marketer engaged in the business of supplying electricity
(If collecting charges from PA licensed or certificated electricity providers for interstate electric energy sales, check the "Sales for Resale" box below and report total sales (kWh). No Gross Receipts are reported for these types of sales.)
- Aggregator engaged in the business of supplying electricity

<p>CALENDAR YEAR:</p> <p style="text-align: center;">2016</p>	<p>CHECK APPROPRIATE BOX:</p> <p><input checked="" type="checkbox"/> Retail Sales Report</p> <p><input type="checkbox"/> Sales for Resale</p> <p style="text-align: right;">_____ kWh</p>
<p>GROSS RECEIPTS (if applicable): \$ _____</p>	

Note: If you are a broker/marketer who does not take title to any electricity you should report \$0 as your total gross receipts for the quarter.

¹ Gross receipt information is proprietary and will not be released to the public. Commission Order entered 7/20/00 at P-00991752. Confidential treatment of other information may also be requested. See Notice to Electricity Providers.

ELECTRIC CHOICE QUARTERLY REPORT

Disaggregated Pennsylvania Retail Sales (kWh) by EDC Service Area


Name of Company:

SFE Energy Pennsylvania Inc.

Period of Operation:

From January, 2016 (Month, Year) to March, 2016 (Month, Year)

Complete one Quarterly Report of Retail Sales (kWh) for each License/Certificate issued by the Commission. Please mark with an "X" each Electric Distribution Company (EDC) applicable to your company's retail sales within the Commonwealth of Pennsylvania. Indicate the total kWh sold for distribution within each service area.

- | | | |
|-------------------------------------|--|-------------------------------------|
| <input type="checkbox"/> |  | Citizens Electric Co. |
| <input type="checkbox"/> | | Duncannon, Borough of |
| <input checked="" type="checkbox"/> | | Duquesne Light Co. |
| <input checked="" type="checkbox"/> | | Metropolitan-Edison Co. (Met-Ed) |
| <input checked="" type="checkbox"/> | | PPL Electric Utilities Corp. |
| <input checked="" type="checkbox"/> | | PECO Energy Co. |
| <input checked="" type="checkbox"/> | | Pennsylvania Electric Co. (Penelec) |
| <input checked="" type="checkbox"/> | | Pennsylvania Power Co. |
| <input type="checkbox"/> | | Pike County Light & Power Co. |
| <input type="checkbox"/> | | St. Clair, Borough of |
| <input type="checkbox"/> | | Schuylkill Haven, Borough of |
| <input type="checkbox"/> | | Smethport, Borough of |
| <input type="checkbox"/> | | UGI Utilities Inc. |
| <input type="checkbox"/> | | Wellsboro Electric Co. |
| <input checked="" type="checkbox"/> | West Penn Power Co. | |

NOTICE TO LICENSED ELECTRICITY PROVIDERS

Availability of Confidential Treatment for Information Provided in Quarterly and Annual Reports

Note that pursuant to 52 PA Code § 54.39(d) these annual and quarterly reports "will be made available for public review upon request to the Commission subject to any rulings on confidentiality made by the Commission." However, the Commission has issued a general ruling that the total amount of gross receipts that licensed EGSs are required to report pursuant to 52 Pa. Code § 54.39(b)(2) is considered proprietary and shall not be released to the public. See Petitions of Exelon Energy, Reliant Energy Retail, Inc., and Statoil Energy Services, Inc for Protective Order, Order entered July 20, 2000 at Docket Nos. P-00991752; P-00991753; P-00991755, p. 5. Updates to tax information requested in the application form; the total amount of electricity sold (kWh); and, the percentage of total electricity supplied by each energy source remain available for public review. Confidential treatment of this information may be requested in accordance with established Commission procedures. See also 52 Pa. Code § 5.423.

To request confidential treatment of submitted information, a company must:

- (a) indicate clearly in its transmittal letter that the filing contains proprietary information;
- (b) explain briefly why the information should be treated as confidential; and,
- (c) supply two versions of the filing: one version of the report with confidential data redacted and one version of the proprietary report. The proprietary version must be clearly marked "Confidential." The Secretary's Bureau and the Bureau of Fixed Utility Services will maintain copies of each type of filing accordingly.

Pending Commission review of a request for confidential treatment and upon its approval, information designated as proprietary will not be made available for public review. However, if any member of the public, the Office of Consumer Advocate or the Office of Small Business Advocate requests to examine the propriety information, or if the Commission's Office of Special Assistants or Office of Trial Staff believes that proprietary claim is frivolous or otherwise not justified, the Secretary's Bureau will issue a Secretarial Letter directing the company to file within 14 days a petition for protective order pursuant to 52 Pa. Code § 5.423. During the 14-day period, the Commission will continue to honor the proprietary claim. Absent the timely filing of such a petition, the proprietary information claim will be deemed to have been waived.

While the Commission is considering whether to grant a petition for protective order, the company's proprietary information claim will be honored unless a proceeding has been initiated to challenge the filing. In that event, the provisions of 52 Pa. Code § 5.423(b)(4) would apply. This provision requires the company to disclose the proprietary information to a party involved in the proceeding if the party "agrees to treat the information as if it were covered by a protective order until the presiding officer or the Commission issues the order or determines that issuance of the order would not be appropriate."

ELECTRIC CHOICE QUARTERLY REPORT

Pennsylvania Gross Receipts¹

Name of Company: SFE Energy Pennsylvania Inc.

Company Address: 608-100 Milverton Drive, Mississauga Ontario, L5R 4H1, Canada

Company Email Contact: Tamara Sinson, Manager Regulatory Affairs & Compliance tsinson@sfeenergy.com

Period of Operation:
From April, 2016 (Month, Year) to June, 2016 (Month, Year)

Complete one Quarterly Gross Receipts Report for each License/Certificate issued by the Commission. This form may be photocopied for reporting purposes. Please mark with an "X" the category that applies to each report:

- Supplier or generator of electric power
- Municipal supplier or generator of electric power
(Only report on retail activity that has occurred outside of municipal boundaries)
- Electric Cooperative and supplier of electric power
(Only report on retail activity that has occurred outside of certified territory)
- Broker/Marketer engaged in the business of supplying electricity
(If collecting charges from PA licensed or certificated electricity providers for interstate electric energy sales, check the "Sales for Resale" box below and report total sales (kWh). No Gross Receipts are reported for these types of sales.)
- Aggregator engaged in the business of supplying electricity

<p>CALENDAR YEAR:</p> <p style="text-align: center;">2016</p>	<p>CHECK APPROPRIATE BOX:</p> <p><input checked="" type="checkbox"/> Retail Sales Report</p> <p><input type="checkbox"/> Sales for Resale</p> <p style="text-align: right;">_____ kWh</p>
<p>GROSS RECEIPTS (if applicable): \$ _____</p>	

Note: If you are a broker/marketer who does not take title to any electricity you should report \$0 as your total gross receipts for the quarter.

¹ Gross receipt information is proprietary and will not be released to the public. Commission Order entered 7/20/00 at P-00991752. Confidential treatment of other information may also be requested. See Notice to Electricity Providers.

ELECTRIC CHOICE QUARTERLY REPORT

Disaggregated Pennsylvania Retail Sales (kWh) by EDC Service Area


Name of Company:

SFE Energy Pennsylvania Inc.

Period of Operation:

From April, 2016 (Month, Year) to June, 2016 (Month, Year)

Complete one Quarterly Report of Retail Sales (kWh) for each License/Certificate issued by the Commission. Please mark with an "X" each Electric Distribution Company (EDC) applicable to your company's retail sales within the Commonwealth of Pennsylvania. Indicate the total kWh sold for distribution within each service area.

- | | | |
|-------------------------------------|--|-------------------------------------|
| <input type="checkbox"/> |  | Citizens Electric Co. |
| <input type="checkbox"/> | | Duncannon, Borough of |
| <input checked="" type="checkbox"/> | | Duquesne Light Co. |
| <input checked="" type="checkbox"/> | | Metropolitan-Edison Co. (Met-Ed) |
| <input checked="" type="checkbox"/> | | PPL Electric Utilities Corp. |
| <input checked="" type="checkbox"/> | | PECO Energy Co. |
| <input checked="" type="checkbox"/> | | Pennsylvania Electric Co. (Penelec) |
| <input checked="" type="checkbox"/> | | Pennsylvania Power Co. |
| <input type="checkbox"/> | | Pike County Light & Power Co. |
| <input type="checkbox"/> | | St. Clair, Borough of |
| <input type="checkbox"/> | | Schuylkill Haven, Borough of |
| <input type="checkbox"/> | | Smethport, Borough of |
| <input type="checkbox"/> | | UGI Utilities Inc. |
| <input type="checkbox"/> | | Wellsboro Electric Co. |
| <input checked="" type="checkbox"/> | West Penn Power Co. | |

NOTICE TO LICENSED ELECTRICITY PROVIDERS

Availability of Confidential Treatment for Information Provided in Quarterly and Annual Reports

Note that pursuant to 52 PA Code § 54.39(d) these annual and quarterly reports "will be made available for public review upon request to the Commission subject to any rulings on confidentiality made by the Commission." However, the Commission has issued a general ruling that the total amount of gross receipts that licensed EGSs are required to report pursuant to 52 Pa. Code § 54.39(b)(2) is considered proprietary and shall not be released to the public. See Petitions of Exelon Energy; Reliant Energy Retail, Inc.; and Statoil Energy Services, Inc for Protective Order, Order entered July 20, 2000 at Docket Nos. P-00991752; P-00991753; P-00991755, p. 5. Updates to tax information requested in the application form; the total amount of electricity sold (kWh); and, the percentage of total electricity supplied by each energy source remain available for public review. Confidential treatment of this information may be requested in accordance with established Commission procedures. See also 52 Pa. Code § 5.423.

- To request confidential treatment of submitted information, a company must:
- (a) indicate clearly in its transmittal letter that the filing contains proprietary information;
 - (b) explain briefly why the information should be treated as confidential; and,
 - (c) supply two versions of the filing: one version of the report with confidential data redacted and one version of the proprietary report. The proprietary version must be clearly marked "Confidential." The Secretary's Bureau and the Bureau of Fixed Utility Services will maintain copies of each type of filing accordingly.

Pending Commission review of a request for confidential treatment and upon its approval, information designated as proprietary will not be made available for public review. However, if any member of the public, the Office of Consumer Advocate or the Office of Small Business Advocate requests to examine the proprietary information, or if the Commission's Office of Special Assistants or Office of Trial Staff believes that proprietary claim is frivolous or otherwise not justified, the Secretary's Bureau will issue a Secretarial Letter directing the company to file within 14 days a petition for protective order pursuant to 52 Pa. Code § 5.423. During the 14-day period, the Commission will continue to honor the proprietary claim. Absent the timely filing of such a petition, the proprietary information claim will be deemed to have been waived.

While the Commission is considering whether to grant a petition for protective order, the company's proprietary information claim will be honored unless a proceeding has been initiated to challenge the filing. In that event, the provisions of 52 Pa. Code § 5.423(b)(4) would apply. This provision requires the company to disclose the proprietary information to a party involved in the proceeding if the party "agrees to treat the information as if it were covered by a protective order until the presiding officer or the Commission issues the order or determines that issuance of the order would not be appropriate."

ELECTRIC CHOICE QUARTERLY REPORT

Pennsylvania Gross Receipts¹

Name of Company: SFE Energy Pennsylvania Inc.

Company Address: 608-100 Milverton Drive, Mississauga Ontario, L5R 4H1, Canada

Company Email Contact: Tamara Sinson, Manager Regulatory Affairs & Compliance tsinson@sfeenergy.com

Period of Operation:

From July, 2016 (Month, Year) to September, 2016 (Month, Year)

Complete one Quarterly Gross Receipts Report for each License/Certificate issued by the Commission. This form may be photocopied for reporting purposes. Please mark with an "X" the category that applies to each report:

- Supplier or generator of electric power
- Municipal supplier or generator of electric power
(Only report on retail activity that has occurred outside of municipal boundaries)
- Electric Cooperative and supplier of electric power
(Only report on retail activity that has occurred outside of certified territory)
- Broker/Marketer engaged in the business of supplying electricity
(If collecting charges from PA licensed or certificated electricity providers for interstate electric energy sales, check the "Sales for Resale" box below and report total sales (kWh). No Gross Receipts are reported for these types of sales.)
- Aggregator engaged in the business of supplying electricity

<p>CALENDAR YEAR:</p> <p style="text-align: center;">2016</p>	<p>CHECK APPROPRIATE BOX:</p> <p><input checked="" type="checkbox"/> Retail Sales Report</p> <p><input type="checkbox"/> Sales for Resale</p> <p style="text-align: right;">_____ kWh</p>
<p>GROSS RECEIPTS (if applicable): \$ _____</p>	

Note: If you are a broker/marketer who does not take title to any electricity you should report \$0 as your total gross receipts for the quarter.

¹ Gross receipt information is proprietary and will not be released to the public. Commission Order entered 7/20/00 at P-00991752. Confidential treatment of other information may also be requested. See Notice to Electricity Providers.

ELECTRIC CHOICE QUARTERLY REPORT

Disaggregated Pennsylvania Retail Sales (kWh) by EDC Service Area


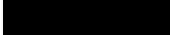
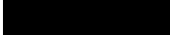
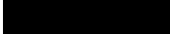
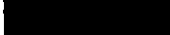
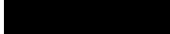
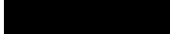
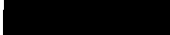
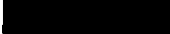
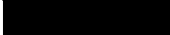
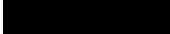
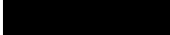
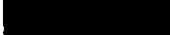
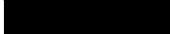
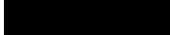
Name of Company:

SFE Energy Pennsylvania Inc.

Period of Operation:

From July, 2016 (Month, Year) to September, 2016 (Month, Year)

Complete one Quarterly Report of Retail Sales (kWh) for each License/Certificate issued by the Commission. Please mark with an "X" each Electric Distribution Company (EDC) applicable to your company's retail sales within the Commonwealth of Pennsylvania. Indicate the total kWh sold for distribution within each service area.

- | | | |
|-------------------------------------|---|-------------------------------------|
| <input type="checkbox"/> |  | Citizens Electric Co. |
| <input type="checkbox"/> |  | Duncannon, Borough of |
| <input checked="" type="checkbox"/> |  | Duquesne Light Co. |
| <input checked="" type="checkbox"/> |  | Metropolitan-Edison Co. (Met-Ed) |
| <input checked="" type="checkbox"/> |  | PPL Electric Utilities Corp. |
| <input checked="" type="checkbox"/> |  | PECO Energy Co. |
| <input checked="" type="checkbox"/> |  | Pennsylvania Electric Co. (Penelec) |
| <input checked="" type="checkbox"/> |  | Pennsylvania Power Co. |
| <input type="checkbox"/> |  | Pike County Light & Power Co. |
| <input type="checkbox"/> |  | St. Clair, Borough of |
| <input type="checkbox"/> |  | Schuylkill Haven, Borough of |
| <input type="checkbox"/> |  | Smethport, Borough of |
| <input type="checkbox"/> |  | UGI Utilities Inc. |
| <input type="checkbox"/> |  | Wellsboro Electric Co. |
| <input checked="" type="checkbox"/> |  | West Penn Power Co. |

NOTICE TO LICENSED ELECTRICITY PROVIDERS

Availability of Confidential Treatment for Information Provided in Quarterly and Annual Reports

Note that pursuant to 52 PA Code § 54.39(d) these annual and quarterly reports "will be made available for public review upon request to the Commission subject to any rulings on confidentiality made by the Commission." However, the Commission has issued a general ruling that the total amount of gross receipts that licensed EGSs are required to report pursuant to 52 Pa. Code § 54.39(b)(2) is considered proprietary and shall not be released to the public. See Petitions of Exelon Energy; Reliant Energy Retail, Inc.; and Statoil Energy Services, Inc for Protective Order, Order entered July 20, 2000 at Docket Nos. P-00991752; P-00991753; P-00991755, p. 5. Updates to tax information requested in the application form; the total amount of electricity sold (kWh); and, the percentage of total electricity supplied by each energy source remain available for public review. Confidential treatment of this information may be requested in accordance with established Commission procedures. See also 52 Pa. Code § 5.423.

To request confidential treatment of submitted information, a company must:

- (a) indicate clearly in its transmittal letter that the filing contains proprietary information;
- (b) explain briefly why the information should be treated as confidential; and,
- (c) supply two versions of the filing: one version of the report with confidential data redacted and one version of the proprietary report. The proprietary version must be clearly marked "Confidential." The Secretary's Bureau and the Bureau of Fixed Utility Services will maintain copies of each type of filing accordingly.

Pending Commission review of a request for confidential treatment and upon its approval, information designated as proprietary will not be made available for public review. However, if any member of the public, the Office of Consumer Advocate or the Office of Small Business Advocate requests to examine the propriety information, or if the Commission's Office of Special Assistants or Office of Trial Staff believes that proprietary claim is frivolous or otherwise not justified, the Secretary's Bureau will issue a Secretarial Letter directing the company to file within 14 days a petition for protective order pursuant to 52 Pa. Code § 5.423. During the 14-day period, the Commission will continue to honor the proprietary claim. Absent the timely filing of such a petition, the proprietary information claim will be deemed to have been waived.

While the Commission is considering whether to grant a petition for protective order, the company's proprietary information claim will be honored unless a proceeding has been initiated to challenge the filing. In that event, the provisions of 52 Pa. Code § 5.423(b)(4) would apply. This provision requires the company to disclose the proprietary information to a party involved in the proceeding if the party "agrees to treat the information as if it were covered by a protective order until the presiding officer or the Commission issues the order or determines that issuance of the order would not be appropriate."

ELECTRIC CHOICE QUARTERLY REPORT

Pennsylvania Gross Receipts¹

Name of Company: SFE Energy Pennsylvania, Inc.

Company Address: 608-100 Milverton Drive, Mississauga Ontario, L5R 4H1, Canada

Company Email Contact: Tamara Sinson, Manager Regulatory Affairs & Compliance tsinson@sfeenergy.com

Period of Operation:

From October, 2016 (Month, Year) to December, 2016 (Month, Year)

Complete one Quarterly Gross Receipts Report for each License/Certificate issued by the Commission. This form may be photocopied for reporting purposes. Please mark with an "X" the category that applies to each report:

- Supplier or generator of electric power
- Municipal supplier or generator of electric power
(Only report on retail activity that has occurred outside of municipal boundaries)
- Electric Cooperative and supplier of electric power
(Only report on retail activity that has occurred outside of certified territory)
- Broker/Marketer engaged in the business of supplying electricity
(If collecting charges from PA licensed or certificated electricity providers for interstate electric energy sales, check the "Sales for Resale" box below and report total sales (kWh). No Gross Receipts are reported for these types of sales.)
- Aggregator engaged in the business of supplying electricity

<p>CALENDAR YEAR:</p> <p style="text-align: center;">2016</p>	<p>CHECK APPROPRIATE BOX:</p> <p><input checked="" type="checkbox"/> Retail Sales Report</p> <p><input type="checkbox"/> Sales for Resale</p> <p style="text-align: right;">_____ kWh</p>
<p>GROSS RECEIPTS (if applicable): \$ _____</p>	

Note: If you are a broker/marketer who does not take title to any electricity you should report \$0 as your total gross receipts for the quarter.

¹ Gross receipt information is proprietary and will not be released to the public. Commission Order entered 7/20/00 at P-00991752. Confidential treatment of other information may also be requested. See Notice to Electricity Providers.

ELECTRIC CHOICE QUARTERLY REPORT

Disaggregated Pennsylvania Retail Sales (kWh) by EDC Service Area

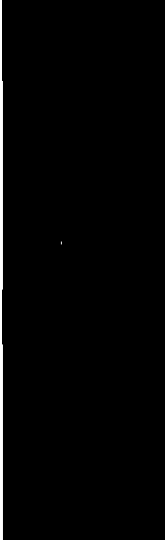
Name of Company:

SFE Energy Pennsylvania, Inc.

Period of Operation:

From October, 2016 (Month, Year) to December, 2016 (Month, Year)

Complete one Quarterly Report of Retail Sales (kWh) for each License/Certificate issued by the Commission. Please mark with an "X" each Electric Distribution Company (EDC) applicable to your company's retail sales within the Commonwealth of Pennsylvania. Indicate the total kWh sold for distribution within each service area.

- | | | |
|-------------------------------------|--|-------------------------------------|
| <input type="checkbox"/> |  | Citizens Electric Co. |
| <input type="checkbox"/> | | Duncannon, Borough of |
| <input checked="" type="checkbox"/> | | Duquesne Light Co. |
| <input checked="" type="checkbox"/> | | Metropolitan-Edison Co. (Met-Ed) |
| <input checked="" type="checkbox"/> | | PPL Electric Utilities Corp. |
| <input checked="" type="checkbox"/> | | PECO Energy Co. |
| <input checked="" type="checkbox"/> | | Pennsylvania Electric Co. (Penelec) |
| <input checked="" type="checkbox"/> | | Pennsylvania Power Co. |
| <input type="checkbox"/> | | Pike County Light & Power Co. |
| <input type="checkbox"/> | | St. Clair, Borough of |
| <input type="checkbox"/> | | Schuylkill Haven, Borough of |
| <input type="checkbox"/> | | Smethport, Borough of |
| <input type="checkbox"/> | | UGI Utilities Inc. |
| <input type="checkbox"/> | | Wellsboro Electric Co. |
| <input checked="" type="checkbox"/> | West Penn Power Co. | |

NOTICE TO LICENSED ELECTRICITY PROVIDERS

Availability of Confidential Treatment for Information Provided in Quarterly and Annual Reports

Note that pursuant to 52 PA Code § 54.39(d) these annual and quarterly reports "will be made available for public review upon request to the Commission subject to any rulings on confidentiality made by the Commission." However, the Commission has issued a general ruling that the total amount of gross receipts that licensed EGSs are required to report pursuant to 52 Pa. Code § 54.39(b)(2) is considered proprietary and shall not be released to the public. See Petitions of Exelon Energy; Reliant Energy Retail, Inc.; and Statoil Energy Services, Inc for Protective Order, Order entered July 20, 2000 at Docket Nos. P-00991752; P-00991753; P-00991755, p. 5. Updates to tax information requested in the application form; the total amount of electricity sold (kWh); and, the percentage of total electricity supplied by each energy source remain available for public review. Confidential treatment of this information may be requested in accordance with established Commission procedures. See also 52 Pa. Code § 5.423.

To request confidential treatment of submitted information, a company must:

- (a) indicate clearly in its transmittal letter that the filing contains proprietary information;
- (b) explain briefly why the information should be treated as confidential; and,
- (c) supply two versions of the filing: one version of the report with confidential data redacted and one version of the proprietary report. The proprietary version must be clearly marked "Confidential." The Secretary's Bureau and the Bureau of Fixed Utility Services will maintain copies of each type of filing accordingly.

Pending Commission review of a request for confidential treatment and upon its approval, information designated as proprietary will not be made available for public review. However, if any member of the public, the Office of Consumer Advocate or the Office of Small Business Advocate requests to examine the propriety information, or if the Commission's Office of Special Assistants or Office of Trial Staff believes that proprietary claim is frivolous or otherwise not justified, the Secretary's Bureau will issue a Secretarial Letter directing the company to file within 14 days a petition for protective order pursuant to 52 Pa. Code § 5.423. During the 14-day period, the Commission will continue to honor the proprietary claim. Absent the timely filing of such a petition, the proprietary information claim will be deemed to have been waived.

While the Commission is considering whether to grant a petition for protective order, the company's proprietary information claim will be honored unless a proceeding has been initiated to challenge the filing. In that event, the provisions of 52 Pa. Code § 5.423(b)(4) would apply. This provision requires the company to disclose the proprietary information to a party involved in the proceeding if the party "agrees to treat the information as if it were covered by a protective order until the presiding officer or the Commission issues the order or determines that issuance of the order would not be appropriate."

ORIGIN ID: YTOA (905) 366-7000
MELANIE WHITNELL
SUMMITT ENERGY
100 MILVERTON DRIVE, SUITE 808

Ship Date: 16JUN17
ActWgt: 1.00 LB MAN
CAD: 0999625/CAFE3012

MISSISSAUGA, ON L5R4H1
CANADA, CA

EIN/VAT:

TO **ATTN: ROSEMARY CHIAVETTA**
PENNSYLVANIA PUBLIC UTILITY COMMISS
400 NORTH STREET
KEYSTONE BUILDING - ROOM N201
HARRISBURG, PA 17120

(717) 265-2548

FedEx
Express

(US)

AWB



J1612191



XH MDTA

ASR
PKG TYPE: ENV

TRK# 6653 8682 5140

Form
0430

INTL PRIORITY

REF:
DESC1: Correspondence (no commercial value)
DESC2:
DESC3:
DESC4:

COUNTRY MFG: CA
CARRIAGE VALUE: 0.00 CAD
CUSTOMS VALUE: 0.00 CAD

SIGN: MELANIE WHITNELL
T/C: S *****
D/T: 0

These commodities, technology, or software were exported from
Canada in accordance with the export administration regulations
diversion contrary to Canadian law prohibited. The Warsaw
Convention may apply and will govern and in most cases limit the
liability of Federal Express for loss or delay of or damage to
your shipment. Subject to the conditions of the contract.

FEDEX AWB COPY - PLEASE PLACE IN POUCH

FedEx Express.