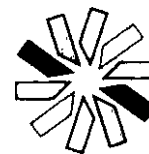


**Customized
Energy Solutions**



RECEIVED

JUL - 5 2017

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

VIA FedEx

Pennsylvania Public Utility Commission
Secretary
400 North Street, Keystone Building
Harrisburg, PA 17120

Re: **Aurora Energy Advisors, LLC Application for Certification as a Pennsylvania Electric Broker**

Dear Secretary,

Enclosed please find Aurora Energy Advisors, LLC's completed, original and signed Application for License to Broker Electricity in the State of Pennsylvania, including a certified check for the \$350.00 filing fee and a bond in the amount of \$10,000 (check #2968041). As stipulated enclosed please find one original and a CD containing one electronic copy of the application.

Aurora Energy Advisors, LLC Requests that the Commission grant Attachment B of this application confidential/ proprietary status. The attachments contain competitively sensitive information that Aurora Energy Advisors, LLC does not disclose on any of its public reporting. Aurora Energy Advisors, LLC has marked Attachment B as "CONFIDENTIAL" and enclosed "REDACTED" copies in the submission. Thank you for your consideration of this application.

Please contact the undersigned with questions or concerns regarding this submission.

Respectfully Submitted,

Kamila Serwin
Customized Energy Solutions, Ltd.
Email: kserwin@ces-ltd.com
Phone: 215-964-6237

On behalf of Aurora Energy Advisors, LLC

INITIAL AND ONGOING FEES

- \$350 Filing Fee with Application by certified check or money order
- \$350 Filing Fee for any amendments or changes to an existing license
- An Annual Fee of \$350 to maintain license
- Annual supplemental fee based on annual gross intrastate revenues (not applicable to brokers and marketers).

RECEIVED

DETACH INSTRUCTIONS FROM APPLICATION WHEN FILING

JUL - 5 2017

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Version Revised 02/24/17

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of Aurora Energy Advisors, LLC, d/b/a _____, for approval to offer, render, furnish, or supply electricity or electric generation services as a(n) Broker to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

Aurora Energy Advisors, LLC
3848 Nostrand Avenue, Brooklyn NY 11235
(212)742-0414
www.aeadvisorsllc.com

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

Vcorp Services, LLC
314 North 12th Street, Suite 100
Philadelphia, PA 19107
1-845-425-0077

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Kamila Serwin

Senior Compliance Analyst
1528 Walnut Street, 22nd Floor
(215)964-6237
kserwin@ces-ltd.com

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Mr. David Brecher, Esq.
Davis & Gilbert LLP
1740 Broadway
New York, NY 10019
(212) 468-4859

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: (Required of ALL Applicants)** Provide the name, title, address, telephone number, FAX number, and e-mail **OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED)** responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

1) **Mitchell Ingerman**
President and Partner
3848 Nostrand Avenue, Brooklyn NY 11235
(877) 695-1062
mingerman@aeadvisorsllc.com

2) **Melissa Jimenez**
Vice President
3848 Nostrand Avenue, Brooklyn NY 11235
(877) 695-1062
mjimenez@aeadvisorsllc.com

2. **BUSINESS ENTITY FILINGS AND REGISTRATION**

- a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

or

X The Applicant will not be using a fictitious name.

- b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**
(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)

The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

OR

The Applicant is a:

- domestic general partnership (*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- * If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

OR

X The Applicant is a:

- domestic corporation (15 Pa. C.S. §1308)
- foreign corporation (15 Pa. C.S. §4124)
- domestic limited liability company (15 Pa. C.S. §8913)
- x foreign limited liability company (15 Pa. C.S. §8981)
- Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.

Please See Attachment A

- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.

Aurora Energy Advisors, LLC is a limited liability formed in New York on May 11, 2007 for the purposes of providing energy consulting services. Please See Attachment A

- Give name and address of officers.

**Mitchell Ingerman
President and Partner
3848 Nostrand Avenue, Brooklyn NY 11235
(212)742-0414
mingerman@eadvisorsllc.com**

3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

- a. **AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

Aurora Energy Advisors, LLC does not have any affiliates currently doing business.

- b. **PREDECESSORS:** Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

Aurora Energy Advisors, LLC does not have any predecessors that have done business.

4. OPERATIONS

- a. **APPLICANT'S PRESENT OPERATIONS:** *(select and complete the appropriate statement)*

Definitions

- Supplier – an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC.
- Aggregator - an entity that purchases electric energy and takes title to electric energy as an intermediary for sale to retail customers.
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of electric energy but does not take title to electric energy.

- The Applicant is presently doing business in Pennsylvania as a

- municipal electric corporation
- electric cooperative
- local gas distribution company
- provider of electric generation, transmission or distribution services
- broker/marketer engaged in the business of supplying electricity services
- Other; Identify the nature of service being rendered.

or

- X The Applicant is not presently doing business in Pennsylvania.

b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a (*may check multiple*):

- Generator of electricity
- Supplier of electricity
- Aggregator engaged in the business of supplying electricity
- Broker/Marketer engaged in the business of supplying electricity services
 - X Check here to verify that your organization will not be taking title to the electricity nor will you be making payments for customers.
- Electric Cooperative and supplier of electric power
- Other (Describe):

c. **PROPOSED SERVICES:** Describe in detail the electric services or the electric generation services which the Applicant proposes to offer.

Aurora Energy Advisors, LLC is a full service energy management and consulting firm specializing in sophisticated strategies to manage energy costs for real estate owners and property managers. Aurora offers unique services that work in tandem to craft long-term, strategic energy plans suited to each client's unique portfolio, including budgeting & reporting, renewable energy programs, portfolio analysis, energy supply procurement, and energy auditing. Aurora's approach to electricity, oil, and natural gas procurement employs innovative strategies, allowing maximum flexibility to adapt to ever-changing market conditions while still ensuring stability of cost and budgeting. Aurora plans to serve as a certified retail electric broker in Pennsylvania.

d. **PROPOSED SERVICE AREA:** Check the box of each Electric Distribution Company for which the Applicant proposes to provide service.

- | | |
|---|--|
| <input type="checkbox"/> Citizens' Electric | <input type="checkbox"/> Pike |
| <input type="checkbox"/> Duquesne Light | <input type="checkbox"/> PPL |
| <input type="checkbox"/> Met-Ed | <input type="checkbox"/> UGI Utilities |
| <input checked="" type="checkbox"/> PECO | <input type="checkbox"/> Wellsboro |
| <input type="checkbox"/> Penelec | <input type="checkbox"/> West Penn |
| <input type="checkbox"/> Penn Power | |
| | <input type="checkbox"/> Entire Commonwealth of PA |

e. **CUSTOMERS:** Applicant proposes to provide services to:

- x Residential Customers
- x Small Commercial Customers - (25 kW and Under)
- Residential and Small Commercial as Mixed Meter **ONLY (CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE)**
- x Large Commercial Customers - (Over 25 kW)
- x Industrial Customers
- x Governmental Customers
- All of above (Except Mixed Meter)
- Other (Describe):

- f. **START DATE:** Provide the approximate date the Applicant proposes to actively market within the Commonwealth.

Upon Approval of Application.

5. COMPLIANCE

- a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

Aurora Energy Advisors, LLC and any person(s) identified in this application have not been or are currently the defendant of a criminal or civil proceeding within the last five (5) years.

- b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

n/a

- c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. If the Applicant has no actions or complaints to list, explicitly state such.

Aurora Energy Advisors, LLC and any person(s) identified in this application do not have any formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutor agency for the prior five (5) years to report.

- d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

n/a

6. PROOF OF SERVICE

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.
(Example Certificate of Service is attached at Appendix C)

- a. **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2 West
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

Office of the Small Business Advocate
Commerce Building, Suite 202
300 North Second Street
Harrisburg, PA 17101

- b. **EDCs:** Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Electric Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each EDC is as follows.

Pike County Light & Power Company:
Vice President – Energy Supply
Corning Natural Gas Holding Corporation
330 West William Street
Corning, NY 14830

West Penn:
Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

PECO:
Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Duquesne Light:
Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

PPL:
Office of General Counsel
Attn: Kimberly A. Klock
PPL
Two North Ninth Street (GENTW3)
Allentown, PA 18101-1179

Met-Ed, Penelec, and Penn Power:
Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

UGI:
UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
2525 N. 12th Street, Suite 360
Post Office Box 12677
Reading, Pa 19612-2677

Citizens' Electric Company:
Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

Wellsboro Electric Company:
Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901

7. FINANCIAL FITNESS

- a. **BONDING:** In accordance with 66 Pa. C.S. Section 2809(c)(1)(i), the Applicant is required to file a bond or other instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant is...
- Furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.
 - Furnishing the **ORIGINAL** of another initial security for Commission approval, to ensure financial responsibility, such as a parental guarantee, in the amount of \$250,000.
 - x **For Marketers and Brokers** - Filing for a modification to the \$250,000 requirement and furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.

CRITICAL BONDING NOTES:

Applicant is required to maintain a bond or other financial instrument the entire time it maintains an EGS license with the Commonwealth of Pennsylvania. If Applicant's security instrument is not continuous, Applicant **MUST** submit a Rider, Amendment, or Continuation Certificate annually based on the expiration date of its security instrument.

Sixty days (60) prior to the security instrument's expiration date, Applicant should contact Stephen Jakab at sjakab@pa.gov to determine the appropriate bonding amount based on a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. Once the amount has been determined, Applicant should overnight the updated security instrument(s) at least thirty (30) days prior to the expiration date to ensure adequate time for staff review and approval of the security instrument(s).

Example version of a bond, letter of credit, and parental guarantee are attached at Appendix D, E, & F, respectively. Applicant's security must follow language from these examples. Any deviation from these examples must be identified in the application and may not be acceptable to the Commission.

b. **FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
- Applicant's accounting statements, including balance sheet and income statements for the past two years.
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports. **Please see Attachment B**
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements exhibiting accounts over a minimum two year period. **Please see Attachment B**
- Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

c. **SUPPLIER FUNDING METHOD:** If Applicant is operating as anything other than **Broker/Marketer only**, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

d. **BROKER PAYMENT STRUCTURE:** If applicant is a broker/marketer, explain how your organization will be collecting your fees.

Aurora, in agreement with client, will request that an adder fee be made a part of the contract supply price. The contracted ESCO will then serve as a conduit for that fee by remitting the collected fee to Aurora on a monthly basis.

e. **ACCOUNTING RECORDS CUSTODIAN:** Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

Miriam Weintraub
510 Monmouth Ave., Suite 501
Lakewood, NJ 08701
(848) 251-4143
office@mwgroupnj.com

f. **TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix G to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

a. EXPERIENCE, PLAN, STRUCTURE: such information may include:

- Applicant's previous experience in the electricity industry.
- Summary and proof of licenses as a supplier of electric services in other states or jurisdictions.
- Type of customers and number of customers Applicant currently serves in other jurisdictions.
- Staffing structure and numbers as well as employee training commitments.
- Business plans for operations within the Commonwealth.
- Documentation of membership in PJM, ECAR, MAAC, other regional reliability councils, or any other membership or certification that is deemed appropriate to justify competency to operate as an EGS within the Commonwealth.
- Any other information appropriate to ensure the technical capabilities of the Applicant.

Aurora Energy Advisors, LLC has been providing energy management and consulting services to clients since 2011, including brokerage of electricity and natural gas services in twelve states and the District of Columbia. Aurora's experience includes energy cost management, budgeting & reporting to optimize energy investments, energy supply procurement (including renewable energy), portfolio analysis to create customized strategies for reducing and controlling energy costs, performing energy audits to assist in obtaining government and utility grants and low-interest loans, third-party management support, and local law compliance. Aurora seeks to bring this depth of experience to its operations as a certified retail electricity broker in Pennsylvania.

b. PROPOSED MARKETING METHOD (check all that apply)

- Internal – Applicant will use its own internal resources/employees for marketing
- External EGS – Applicant will contract with a PUC **LICENSED EGS** broker/marketer
- Affiliate – Applicant will use a **NON-EGS** affiliate marketing company and or individuals.
- External Third-Party – Applicant will contract with a **NON-EGS** third party marketing company and or individuals
- Other (Describe):

c. **DOOR TO DOOR SALES:** Will the Applicant be implementing door to door sales activities?

- Yes
x No

If yes, will the Applicant be using verification procedures?

- Yes
 No

If yes, describe the Applicant's verification procedures.

d. **OVERSIGHT OF MARKETING:** Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

Aurora works on a referral basis only, Aurora does not "cold call" potential clients. Aurora does not employ marketing firms, call centers, outside salesman, or take ads in publication.

e. **OFFICERS:** Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.

Please See Attachment C

f. **FERC FILING:** Applicant has:

- Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.
 Received approval from FERC to be a Power Marketer at Docket or Case Number _____
x Not applicable

9. DISCLOSURE STATEMENTS:

Disclosure Statements: If proposing to serve Residential and/or Small Commercial (under 25 kW) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix H to this Application.

- Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

Not applicable for an applicant applying for a license exclusively as a broker/marketer.

10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

a. PJM LOAD SERVING ENTITY REQUIREMENT: As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:

- proof of registration as a PJM Load Serving Entity (LSE), or
- proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

(Select only one of the following)

- AGREED - Applicant has included compliance with this requirement in the instant application, labeled in correspondence with this section (10).
- AGREED - Applicant will provide compliance with this requirement within 120 days of receiving its license
- ACKNOWLEDGED - Applicant is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information

b. STANDARDS OF CONDUCT AND DISCLOSURE: As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43, as well as any future amendments.

- AGREED

c. REPORTING REQUIREMENTS: Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:

- Retail Electricity Choice Activity Reports: The regulations at 52 Pa. Code §§ 54.201--54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's internet web site.
- Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.

- The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
- Net Metering Reports: Applicant shall be responsible to report any Net Metering per the Standards on http://www.puc.pa.gov/consumer_info/electricity/alternative_energy.aspx. Scroll down to the Net Metering Standards Section.
- Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis per 52 Pa. Code § 54.39(d).
- Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.

x AGREED

- d. TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.

x AGREED

- e. ANNUAL FEES:** The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling electricity in the Commonwealth of PA, and an annual supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.

x ACKNOWLEDGED

- f. FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.

x AGREED

- g. FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

x AGREED

- h. NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within twenty (20)

days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 54.34.

AGREED

- i. **CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

AGREED

- j. **Electronic Data Interchange:** The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at Appendix K.

AGREED

- k. **FILING FEE:** The Applicant has enclosed or paid the required, non-refundable filing fee by **CERTIFIED CHECK OR MONEY ORDER** in the amount of \$350.00 payable to the Commonwealth of Pennsylvania. The Commission does not accept corporate or personal checks for filing fees.

PAYMENT ENCLOSED

11. AFFIDAVITS

Must be notarized before filing.

- a. **APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.
- b. **OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.

12. NEWSPAPER PUBLICATIONS

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve.

The chart below dictates which newspapers are necessary for each EDC. For example, an applicant that wants to operate in Penn Power would need to run ads in both The Erie Times-News and the Pittsburgh Post-Gazette. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

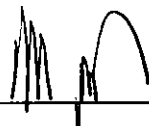
The only acceptable verification of this requirement is with Notarized Proofs of Publication, which may be requested from each newspaper and must be supplied with this application. Applicants do not

need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

| | Erie Times-News | Harrisburg Patriot-News | Philadelphia Daily News | Pittsburgh Post-Gazette | Scranton Times-Tribune | Williamsport Sun-Gazette | Johnstown Tribune-Democrat |
|---------------------|-----------------|-------------------------|-------------------------|-------------------------|------------------------|--------------------------|----------------------------|
| Citizens' Electric | | | | | | X | |
| Duquesne | | | | X | | | |
| Met Ed | | X | X | | X | | |
| PECO | | | X | | | | |
| Penelec | X | X | | | X | X | X |
| Penn Power | X | | | X | | | |
| Pike | | | | | X | | |
| PPL | | X | X | | X | X | |
| UGI | | | | | X | | |
| Wellsboro | | | | | | X | |
| West Penn | | X | | X | | X | X |
| Entire Commonwealth | X | X | X | X | X | X | X |

(Example Publications are provided at Appendices I and J)

13. SIGNATURE

Applicant: Aurora Energy Advisors LLC 
 By: Mitchell Ingeman
 Title: President

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 SECRETARY'S BUREAU

14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections are complete.

Applicant: Aurora Energy Advisors, LLC

| | | |
|--|---|---|
| | Signature | |
| | Filing Fee (CERTIFIED CHECK OR MONEY ORDER ONLY) | ✓ |
| | Application Affidavit | ✓ |
| | Operations Affidavit | ✓ |
| | Proof of Publication | ✓ |
| | Bond or Letter of Credit | ✓ |
| | Tax Certification Statement | ✓ |
| | Commonwealth Department of State Verification | ✓ |
| | Certificate of Service | ✓ |

Applicant's Use

PUC Secretary's Bureau Use

Proof of Publication in The Philadelphia Daily News
Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA
COUNTY OF PHILADELPHIA

Helene Sweeney being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

January 23, 2017

Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

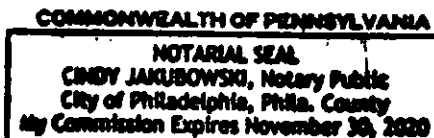


Sworn to and subscribed before me this 23rd day of
January, 2017.



Notary Public

My Commission Expires:



Copy of Notice of Publication

PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE

Applications of Aurora Energy Advisors, LLC For Approval To Offer, Render, or Furnish Services as a Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

Aurora Energy Advisors, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas brokerage services as a broker/marketer engaged in the business of providing natural gas services. Aurora Energy Advisors, LLC will also be filing an application with the PUC for a license to brokerage electricity or electric generation services as a broker/marketer engaged in the business of brokering electricity. Aurora Energy Advisors, LLC proposes to broker electricity, natural gas, and related services in PECO under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Aurora Energy Advisors, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Aurora Energy Advisors, LLC's attorney at the address listed below.

By and through Counsel: Mr. David Brecher, Esq.
Davis & Gilbert LLP
1740 Broadway
New York, NY 10019
212-469-4859

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SECRETARY'S BUREAU

APPLICATION AFFIDAVIT

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[Commonwealth/State] of New York :

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

: ss.

County of Kings :

Mitchell Ingerman, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the President (Office of Affiant) of Aurora Energy Advisors, LLC (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein Aurora Energy Advisors, LLC has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

That the Applicant herein Aurora Energy Advisors, LLC has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein Aurora Energy Advisors, LLC acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein Aurora Energy advisors, LLC acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.



Signature of Affiant

Sworn and subscribed before me this 12 day of May, 2017.

MARY K. BURNS
Notary Public, State of New York
No. 01BU6132520
Qualified in Kings County
Commission Expires 8-29-~~2016~~ 2017



Signature of official administering oath

My commission expires 8-29-17.

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OPERATIONS AFFIDAVIT

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

[Commonwealth/State] of New York :

: SS.

County of KINGS :

Mitchell Ingerman, Affiant, being duly [sworn/affirmed] according to law,
deposes and says that:

[He/she is the President (Office of Affiant) of Aurora Energy Advisors, LLC
(Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That Aurora Energy Advisors, LLC, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That Aurora Energy Advisors, LLC, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Aurora Energy Advisors, LLC, the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

That Aurora Energy Advisors, LLC, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.



Signature of Affiant

Sworn and subscribed before me this 12 day of May, 2017.

MARY K. BURNS
Notary Public, State of New York
No. 01BU6132520
Qualified in Kings County
Commission Expires 8-29-~~2013~~
2017

Mary K Burns

Signature of official administering oath

My commission expires 8-29-17.

Appendix C

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

Example CERTIFICATE OF SERVICE

On this the 12 day of May 2017, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all **NON-CONFIDENTIAL** attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2 West
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

Small Business Advocate
Commerce Building, Suite 202
300 North Second Street
Harrisburg, PA 17101

Vice President – Energy Supply
Corning Natural Gas Holding Corporation
330 West William Street
Corning, NY 14830

Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

Office of General Counsel
Attn: Kimberly A. Klock
PPL
Two North Ninth Street (GENTW3)
Allentown, PA 18101-1179


Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

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SECRETARY'S BUREAU

UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
2525 N. 12th Street, Suite 360
Post Office Box 12677
Reading, Pa 19612-2677

Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901



Mitchell Ingerman, President Aurora Energy Advisors, LLC

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State of New York
Department of State } ss:

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

I hereby certify, that AURORA ENERGY ADVISORS, LLC a NEW YORK Limited Liability Company filed Articles of Organization pursuant to the Limited Liability Company Law on 05/11/2007, and that the Limited Liability Company is existing so far as shown by the records of the Department.

The Biennial Statement is past due.



Witness my hand and the official seal
of the Department of State at the City
of Albany, this 09th day of June
two thousand and sixteen.

A handwritten signature in cursive script that reads "Anthony Giardina".

Anthony Giardina
Executive Deputy Secretary of State

Aurora Energy Advisors
Year End 2015
Cash Basis

Actual
2015

Ordinary Income/Expense

Income

- Fee Income
- Retainer Income
- In Process
- Benchmarking
- En-Power Group

Total Income

Expense

- Advertising and Promotion
- Automobile Expense
 - Auto Insurance
 - Lease
 - Parking
 - Gas
 - Tolls
 - Other auto expense
- Bank Service Charges
- Charity
- Computer Software
- Dues and Subscriptions
- Employer Taxes
- Filing Fee - LLC
- Gifts and promotions
- Gross Payroll
- Commlssions - Tom Gowers
- Insurance Expense
 - Health
 - Life and Disability Insurance
 - Professional Liability
 - Worker's Compensation
 - Insurance Expense - Other
- Internet Expenses
- Legal
- Meals and Entertainment
- Office Equipment Lease
- Office Supplies
 - Office lunch
 - Office Supplies - Other
- Postage and Delivery
- Printing and Reproduction
- Professional Fees
 - Bookkeeping
 - Referral Fee
 - Tax Preparation
- Real Estate Conference
- Rent Expense
- Repairs and Maintenance
 - Office Cleaning
 - Snow Removal
 - Trash Removal
 - Window Cleaning
 - Repairs and Maintenance - O
- Security

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SECRETARY'S BUREAU

2015

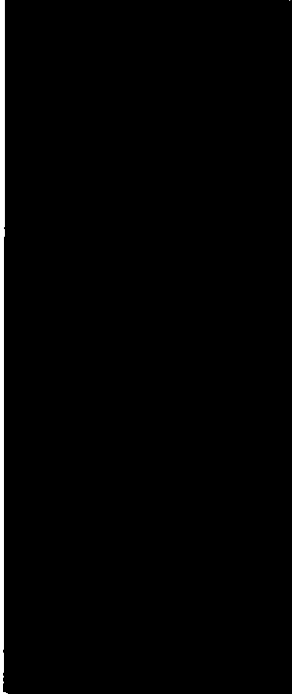
Telephone Expense
Broadvox
Conference Calls
Phone System
Wireless
Travel Expense
Utilities
Electricity
Gas
Total Expense

Net Ordinary Income
Other Income/Expense
Other Income
Miscellaneous
Total Other Income
Net Other Income

Net Income

NYC UBT taxes
Contingencies

Net Income (after taxes)



I hereby certify the the above is true and correct.

A handwritten signature in black ink, appearing to read 'Mitchell Ingerman', is written over the printed name.

Mitchell Ingerman
President
Aurora Energy Advisors, LLC

Aurora Energy Advisors
3Q 2016
Cash Basis

Actual
Nine Months

Ordinary Income/Expense

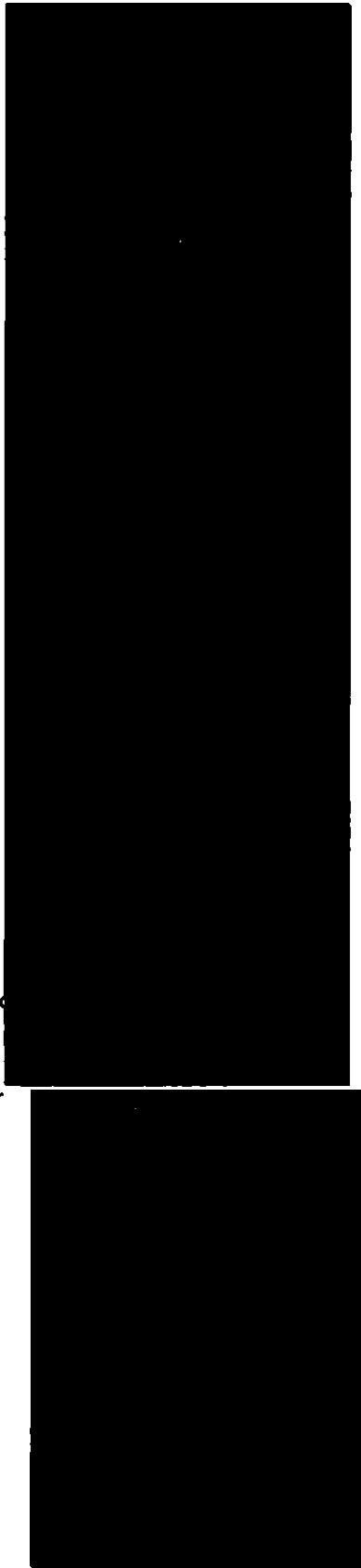
Income

- Fee Income
- Retainer Income
- In Process
- Benchmarking
- En-Power Group
- Steven Winter Group

Total Income

Expense

- Advertising and Promotion
- Automobile Expense
 - Auto Insurance
 - Lease
 - Parking
 - Gas
 - Tolls
 - Other auto expense
- Bank Service Charges
- Charity
- Computer Software
- Dues and Subscriptions
- Employer Taxes
- Filing Fee - LLC
- Gifts and promotions
- Gross Payroll
- Salary - Tom Gowers
- Commissions - Tom Gowers
- Insurance Expense
 - Health
 - Life and Disability Insurance
 - Professional Liability
 - Worker's Compensation
 - Insurance Expense - Other
- Internet Expenses
- Legal
- Meals and Entertainment
- Office Equipment Lease
- Office Supplies
 - Office lunch
 - Office Supplies - Other
- Postage and Delivery
- Printing and Reproduction
- Professional Fees
 - Bookkeeping



Nine Months

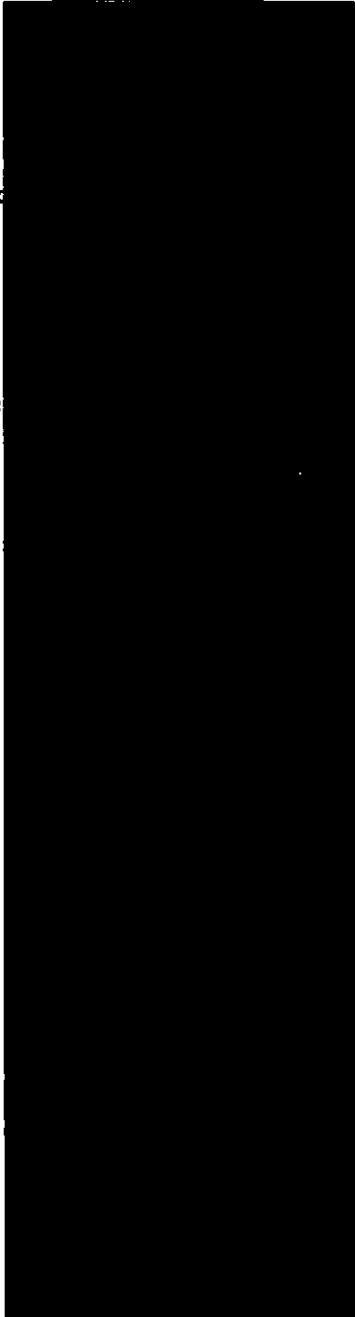
Referral Fee
 SWA Referral Fee
 Tax Preparation
 Real Estate Conference
 Rent Expense - 4022 Ave U
 Rent Expense - 3848 Nostrand
 Repairs and Maintenance
 Office Cleaning
 Snow Removal
 Trash Removal
 Window Cleaning
 Repairs and Maintenance
 Security
 Telephone Expense
 Broadvox
 Conference Calls
 Phone System
 Wireless
 Travel Expense
 Utilities
 Electricity
 Gas
 Total Expense

Net Ordinary Income
 Other Income/Expense
 Other Income
 Miscellaneous
 Total Other Income
 Net Other Income

Net Income

NYC UBT taxes (paid-via-MISB)
 Contingencies

Net Income (after taxes)



I hereby certify the the above is true and correct.

Mitchell Ingerman
 President
 Aurora Energy Advisors, LLC



Report

Home My reports My account Products Help Business services Logout

For help reading this report, please review our sample report.

Search Inquiry: (My company)

CreditScoreSM Report

as of: 11/10/16 15:30 ET

Aurora Energy Advisors LLC

Address: 4022 Avenue U Brooklyn, NY 11234-5118 United States
Phone: 212-742-0414
Experian BIN: 987164870

Key Personnel: President: Mitchell Ingberman
SIC Code: 8742-Management Consulting Services
NAICS Code: 541614-Process, Physical Distribution, And Logistics Consulting Services
Business Type: Corporation
Experian File Established: June 2014
Experian Years on File: 2 Years
Years in Business: 9 Years
Total Employees: 16
Sales: \$981,000

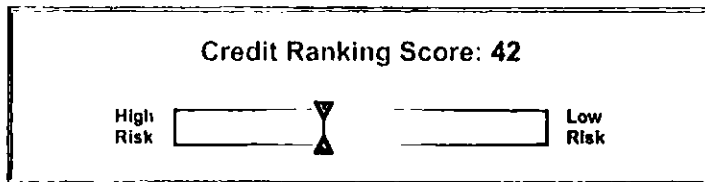
UCC Filings: 0

This location does not yet have an estimated Days Beyond Terms (DBT), or a Payment Trend Indicator. This is often the result of too few Payment Tradelines.

X Businesses Scoring Worse: 41%
Bankruptcies: 0
Liens: 0
Judgments Filed: 0
Collections: 0

Credit Summary

Back to top



The objective of the Credit Ranking Score is to predict payment behavior. High Risk means that there is a significant probability of delinquent payment. Low Risk means that there is a good probability of on-time payment.

Key Score Factors:

- Company's business type.
Risk associated with the company's industry.

Recommended Action: Medium Risk

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ABOUT AURORA'S FOUNDER



Mitchell Ingeman is the President and Founder of Aurora Energy Advisors. He has more than 25 years of executive experience in real estate finance and asset management, during which he has been on the cutting-edge of developing cost-saving energy programs for many leading real estate owners, developers and managers. Mitchell brings an unparalleled ability to view a client's portfolio from the executive side of the desk.

Prior to founding Aurora Energy Advisors, Mitchell served as Senior Vice President of Asset Management, Administration and Treasurer for 22 years with one of the largest real estate organizations in the United States. In this role, he was responsible for initiating and implementing energy strategies for the organization's \$5 billion residential and commercial real estate portfolio. Mitchell directly supervised a staff of 45, and created programs that resulted in \$14 million in annual savings in the areas of procurement, conservation and demand response.

Mitchell brings a strategic, seasoned perspective to his clients' portfolios, and becomes an integral part of each organization's management team. He has earned a strong reputation for establishing programs that have resulted in millions of dollars in savings, and currently manages in excess of \$2 billion in energy disbursements for clients, including oil, gas, electricity and steam.

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SECRETARY'S BUREAU

Name: Joseph Weitz

Title: Director of Energy Management

Started: January 2009

Bio:

Born in Brooklyn, NY and graduated in 2010 from Brooklyn College with a double major in Economics and Finance. I began working at Aurora as an intern in 2009 leading to a full time position with the company in late 2010. As someone who joined Aurora fairly early on I had the opportunity to learn all aspects of energy management from an early age. Thankfully energy related industries are on the forefront of innovation and there will always be something left to learn and incorporate.

Functions:

- Annual Budgeting
- Procurement
- Local Law Compliance
- Client Relations
- Commodity Price Analysis
- Utility Rate Structure Analysis

Name: Sean Stettin

Title: Senior Energy Analyst

Started: February 2011

Bio:

From Brooklyn, NY graduated in 2009 from Binghamton University's School of Management with a Bachelor of Science in Finance and Global Management. After college became interested in green and alternative energy solutions and studied as a hobby. In 2011 joined the Aurora team which provided an opportunity to further expand knowledge of all things energy related.

Functions:

- Variance Reporting
- Procurement
- Supply Contract Negotiation
- Special Projects
- Utility Rate Structure Analysis
- Commodity Price Analysis
- Building Energy Cost Structure

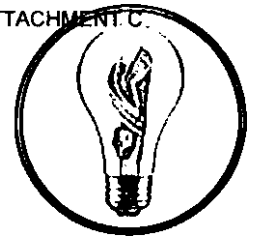
AURORA'S MISSION STATEMENT

Aurora Energy Advisors, LLC is a full-service energy management and consulting firm specializing in sophisticated strategies designed to manage energy costs for real estate owners and property managers.

Aurora offers unique services that work in tandem to craft long-term, strategic energy plans suited to each client's unique portfolio. Aurora's approach to electricity, oil, and natural gas procurement employs innovative strategies, allowing maximum flexibility to adapt to ever-changing market conditions while still ensuring stability of cost and budgeting.

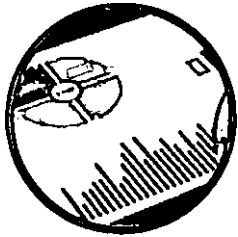
Aurora's role does not end when contracts are put into place. Most critically, Aurora continues to closely track energy markets for opportunities to improve clients' positions. We provide ongoing monitoring of utility invoices for errors, rate class adjustments, meter reads and latent problems within portfolio patterns.

Aurora is committed to aiding our clients in their pursuits of energy efficiency measures.



Energy Cost Management

Aurora works as the energy manager for many of the largest real estate organizations throughout the country. Billing errors may occur due to metering problems, calculation mistakes or the improper application of rates, contract prices and taxes. Aurora Energy Advisors analyzes all historical and ongoing utility invoices to determine accuracy and adjusts any discrepancies prior to payment.

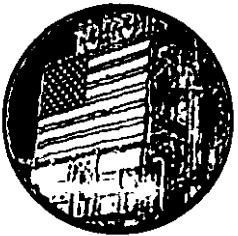
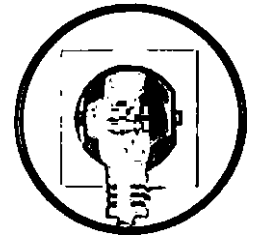


Budgeting & Reporting

Whether you own one property or one thousand, establishing a budget for your energy costs and planning ahead is critical to the financial success of your company. Aurora will work with you to develop an accurate and comprehensive budget that optimizes your energy investments. Aurora also provides you with valuable data tracking, charting and reporting to ensure that you have the most up-to-date and accurate analysis at your fingertips.

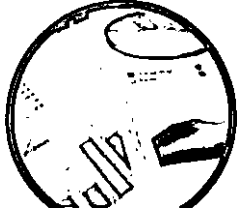
Renewable Energy Programs

Renewable energy sources—whether co-generation, solar, wind, or hydropower—have become increasingly important to property owners in recent years. At Aurora, we fully support this growing awareness and sensitivity to environmental issues, and we are able to procure green power supplies that meet your needs while still ensuring competitive market rates.



Nationwide Energy Programs

Making the right decisions about energy usage and procurement becomes even more important when your business operates at a national scale. Aurora Energy Advisors takes pride in our unique ability to provide energy analysis and cost savings for the largest and most prominent real estate organizations nationwide. Our work has made a significant impact on the bottom line of real estate owners, developers, managers and large institutional REITs.



Portfolio Analysis

As your energy manager, Aurora Energy Advisors works closely with your senior management team to achieve effective energy oversight. Based on a thorough analysis of your current energy portfolio, Aurora creates a customized strategy to reduce and control energy costs for each property. As part of our process, we utilize a database to monitor your energy results on a continuous basis and make ongoing strategic decisions.

Energy Supply Procurement

Aurora Energy Advisors goes far beyond initial energy supply procurement. Our team is constantly searching for additional opportunities to ensure that clients' portfolios are in sync with the evolving marketplace. We employ a proprietary and transparent RFP process for energy supply procurement, ensuring that our clients will always pay the lowest possible energy costs.

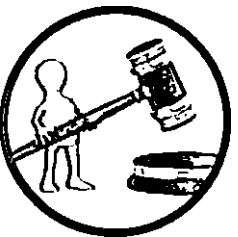


Energy Audits

Having a comprehensive on-site energy audit is the first step in establishing an energy conservation plan. Aurora's industry-leading engineers give us sophisticated, accurate insights into potential areas of long-term energy conservation. With this information, Aurora Energy Advisors can assist you in obtaining government and utility grants as well as low-interest loans, which make the cost of improvements economical and realize a quick payback period. New York property owners can take advantage of Aurora's expertise in Weatherization grants and NYSERDA programs.

Third-Party Management Support

It is essential for third-party management companies to remain on the cutting edge of energy issues on behalf of their clients in order to stay competitive in the marketplace. Through our relationships with leading third-party management organizations, Aurora provides energy management services to more than 1,000 co-op and condo buildings, ensuring that every individual building under third-party management receives the same high-level and sophisticated service.. Aurora is sensitive to the needs of both boards and managers, and understands the importance of maintaining strong trust between the two by working together with the managing agent.



Local Law Compliance

Aurora Energy Advisors is the top energy management firm in New York City, specializing in Local Law Compliance for New York's Greener, Greater Buildings Plan. We can ensure your buildings are in compliance with:

Local Law 84: ENERGY STAR Benchmarking – Buildings with more than 50,000 square-feet of space must submit annual reports of energy and water usage

Local Law 85: New York City Energy Conservation Code (NYCECC) – Any renovation or alteration of a building must meet the most current energy code

Local Law 87: Energy Audits and Retro-Commissioning – Buildings over 50,000 square-feet must undergo periodic energy audits and retro-commissioning measures to ensure efficient operation

Local Law 88: Lighting Upgrades and Sub-metering – Large non-residential buildings must upgrade lighting to current standards and install sub-meters for each large tenant space to provide monthly usage statements

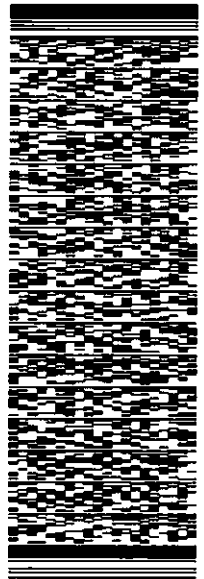
NYC Clean Heat: Oil-to-Gas Conversions – Buildings must convert from No. 6 and 4 heavy heating oils to natural gas, No. 2, biodiesel or steam

ORIGIN ID: MAUVA (215) 875-9440
SHARON BARRA
CUSTOMIZED ENERGY SOLUTIONS
1528 WALNUT STREET, 22ND FLOOR
PHILADELPHIA, PA 19102
UNITED STATES US

SHIP DATE: 05 JUL 17
ACTWGT: 1.00 LB
CAD: 7872057INNET3880
BILL SENDER

TO PENNSYLVANIA PUBLIC UTILITY COMMISS
SECRETARYS BUREAU
400 NORTH STREET
KEYSTONE BUILDING
HARRISBURG PA 17120
(215) 964-6237 REF
PO NV DEPT

546J1/C0C263C1



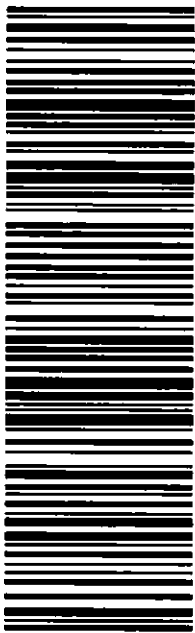
J171117621401uv

TRK# 7795 6128 1924
0207

THU - 06 JUL 3:00P
STANDARD OVERNIGHT

ENMDTA

17120
PA-US MDT



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1. Use the 'Print' button on this page to print your label to your laser or inkjet printer.
2. Fold the printed page along the horizontal line.
3. Place label in shipping pouch and affix it to your shipment so that the barcode portion of the label can be read and scanned.

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Use of this system constitutes your agreement to the service conditions in the current FedEx Service Guide, available on fedex.com. FedEx will not be responsible for any claim in excess of \$100 per package, whether the result of loss, damage, delay, non-delivery, misdelivery, or misinformation, unless you declare a higher value, pay an additional charge, document your actual loss and file a timely claim. Limitations found in the current FedEx Service Guide apply. Your right to recover from FedEx for any loss, including intrinsic value of the package, loss of sales, income interest, profit, attorney's fees, costs, and other forms of damage whether direct, incidental, consequential, or special is limited to the greater of \$100 or the authorized declared value. Recovery cannot exceed actual documented loss. Maximum for items of extraordinary value is \$1,000, e.g. jewelry, precious metals, negotiable instruments and other items listed in our ServiceGuide. Written claims must be filed within strict time limits, see current FedEx Service Guide.