

STEVENS & LEE
LAWYERS & CONSULTANTS
17 North Second Street
16th Floor
Harrisburg, PA 17101
(717) 234-1090 Fax (717) 234-1099
www.stevenslee.com

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2017 JUL 21 PM 3:00
PA PUC
SECRETARY'S BUREAU
FRONT DESK

Direct Dial: (717) 255-7365
Email: mag@stevenslee.com

July 21, 2017

VIA HAND DELIVERY

Secretary Rosemary Chiavetta
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
P.O. Box 3265
Harrisburg, PA 17105-3265

**Re: Application of Spring Energy RRH, LLC d/b/a Spring Power & Gas for Approval to Offer, Render, Furnish or Supply Electric Generation Services as a Supplier
Docket No. A-_____**

Dear Secretary Chiavetta:

On June 20, 2017, Spring Energy RRH, LLC d/b/a Spring Power & Gas filed its Application for Approval to Offer, Render, Furnish or Supply Electric Generation Services as a Supplier, along with all required affidavits and attachments. Enclosed herewith is an updated Application on the newest version of the Application form, and all required affidavits and attachments again attached. **Please note that Exhibit 7 (Financial Fitness) to this filing contains Confidential information, and has been marked as such and provided in a separate envelope.** The Applicant requests that Exhibit 7 be filed under Seal. Also enclosed are an original plus two copies of a bond in the amount of \$250,000, and CD-Rom containing a .pdf copy of the Application is enclosed for your convenience. Copies of the updated Application have been served in accordance with the attached Certificate of Service. If you have questions, please do not hesitate to contact me.

Very truly yours,
STEVENS & LEE



Michael A. Gruin

Encl.

cc: Certificate of Service

Philadelphia • Reading • Valley Forge • Allentown • Harrisburg • Lancaster • Scranton
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JUL 21 2017

Version Revised 06/14/17

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of Spring Energy RRH, LLCd/b/a Spring Power & Gas, for approval to offer, render, furnish, or supply electricity or electric generation services as a(n) Supplier of Electricity to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

Spring Energy RRH, LLC d/b/a Spring Power & Gas
111 East 14th Street, #105
New York, NY 10003

1-888-710-4782

info@springpowerandgas.us
<https://springpowerandgas.us/>

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

CT Corporation System
600 N 2nd Street, Suite 401
Harrisburg, PA 17101-1071

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Lindsay Kreppel
Attorney, Regulatory and New Markets Manager
200 Park Avenue South, Suite 1301
New York, NY 10003
Phone: 646-844-6144
Fax: 718-305-4114
lindsaykreppel@rrhenergy.us
compliance@rrhenergy.us

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Michael Guin
Stevens & Lee
17 N. 2nd Street, 16th Floor
Harrisburg, PA 17101
Phone: 717-255-7365
Fax: 610-988-0852
mag@stevenslee.com

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: (Required of ALL Applicants)** Provide the name, title, address, telephone number, FAX number, and e-mail **OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED)** responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

1. **Gregory Hasiak**
Operations Manager
111 East 14th Street, #105
New York, NY 10003
Phone: 718-853-2681
Fax: 877-266-5208
compliance@rrhenergy.us

2. **Lindsay Kreppel**
Attorney, Regulatory and New Markets Manager
200 Park Avenue South, Suite 1301
New York, NY 10003
Phone: 646-844-6144
Fax: 718-305-4114
compliance@rrhenergy.us

2. **BUSINESS ENTITY FILINGS AND REGISTRATION**

- a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.
See Exhibits 1 (Registration Statement) and 2 (Fictitious Name Registration).

OR

The Applicant will not be using a fictitious name.

- b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**

(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)

The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

OR

The Applicant is a:

- domestic general partnership (*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- * If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

OR

The Applicant is a:

- domestic corporation (15 Pa. C.S. §1308)
- foreign corporation (15 Pa. C.S. §4124)
- domestic limited liability company (15 Pa. C.S. §8913)
- foreign limited liability company (15 Pa. C.S. §8981)
- Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.

See Exhibits 1 (Registration Statement) and 2 (Fictitious Name Registration).

- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.

See Exhibits 3 (Pure Energy's Formation Articles) and 4 (Name Change to Spring Energy RRH, LLC).

- Give name and address of officers.

**Donald Cheesman
Chairman
200 Park Avenue South
Suite 1301
New York, NY 10003
917-545-5630**

**Stephen Eskrigge
President
200 Park Avenue South
Suite 1301
New York, NY 10003
917-545-1163**

**Richard Booth
President of Retail Operations
200 Park Avenue South
Suite 1301**

New York, NY 10003
281-814-0735

Michael Lori
Vice President of Supply
200 Park Avenue South
Suite 1301
New York, NY 10003
917-310-2259

Lisa Hawkins
Chief Financial Officer
200 Park Avenue South
Suite 1301
New York, NY 10003
646-543-1911

3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

- a. **AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

Spring Energy RRH, LLC d/b/a as Spring Power & Gas ("Spring Power & Gas") is licensed to provide retail electricity and natural gas in the state of New Jersey and in the state of Maryland. Kiwi Energy NY LLC d/b/a Kiwi Energy ("Kiwi Energy") is an affiliate of Spring Power & Gas and is licensed in the state of New York. Kiwi Energy recently received licenses to provide retail electricity and natural gas in the state of Ohio and will begin operating there in 2017. Another affiliate, Arrow Energy RRH, LLC d/b/a Arrow Energy has been deemed eligible to provide retail electricity and natural gas in the state of Ohio. Spring Power & Gas does not have any affiliates that are currently applying to do business in Pennsylvania. None of these affiliates are jurisdictional public utilities.

**Spring Energy RRH, LLC d/b/a Spring Power & Gas
111 East 14th Street, #105,
New York, NY 10003**

**Kiwi Energy NY LLC d/b/a Kiwi Energy
111 East 14th Street, #105,
New York, NY 10003**

**Arrow Energy RRH, LLC d/b/a Arrow Energy
111 East 14th Street, #105,
New York, NY 10003**

- b. **PREDECESSORS:** Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

Pure Energy USA LLC was originally formed on November 14, 2013 as a Delaware Limited Liability Company. A Certificate of Amendment was filed and Pure Energy USA LLC changed its name to Spring Energy RRH, LLC on February 3, 2015. See Exhibits 3 (Pure Energy's Formation Articles) and 4 (Name Change to Spring Energy RRH, LLC).

4. OPERATIONS

a. **APPLICANT'S PRESENT OPERATIONS:** *(select and complete the appropriate statement)*

Definitions

- Supplier – an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC.
- Aggregator - an entity that purchases electric energy and takes title to electric energy as an intermediary for sale to retail customers.
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of electric energy but does not take title to electric energy.

The Applicant is presently doing business in Pennsylvania as a

- municipal electric corporation
- electric cooperative
- local gas distribution company
- provider of electric generation, transmission or distribution services
- broker/marketer engaged in the business of supplying electricity services
- Other; Identify the nature of service being rendered

OR

The Applicant is not presently doing business in Pennsylvania.

b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a *(may check multiple)*:

- Generator of electricity
- Supplier of electricity
- Aggregator engaged in the business of supplying electricity
- Broker/Marketer engaged in the business of supplying electricity services
 - Check here to verify that your organization will not be taking title to the electricity nor will you be making payments for customers.
- Electric Cooperative and supplier of electric power
- Other (Describe):

c. **PROPOSED SERVICES:** Describe in detail the electric services or the electric generation services which the Applicant proposes to offer.

Spring Energy RRH, LLC d/b/a Spring Power & Gas ("Spring Power & Gas") plans to contract with customers directly through traditional marketing and sales methods, including but not limited to, door-to-door, telemarketing and online enrollment. In all marketing and sales operations, Spring Power & Gas will adhere to all Pennsylvania consumer protection laws, PUC regulations and standards and utility market rules procedures. Spring Power & Gas will use third party verification when required in its sales and marketing activities and will enroll customers consistent with the procedures outlined in the Pennsylvania Code.

d. **PROPOSED SERVICE AREA:** Check the box of each Electric Distribution Company for which the Applicant proposes to provide service.

- | | |
|---|-------------------------------|
| <input type="checkbox"/> Citizens' Electric | <input type="checkbox"/> Pike |
| <input type="checkbox"/> Duquesne Light | <input type="checkbox"/> PPL |

- Met-Ed
- PECO
- Penelec
- Penn Power

- UGI Utilities
- Wellsboro
- West Penn

Entire Commonwealth of PA

e. **CUSTOMERS:** Applicant proposes to provide services to:

- Residential Customers
- Small Commercial Customers - (25 kW and Under)
- Residential and Small Commercial as Mixed Meter ONLY **(CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE)**
- Large Commercial Customers - (Over 25 kW)
- Industrial Customers
- Governmental Customers
- All of above (Except Mixed Meter)
- Other (Describe):

f. **START DATE:** Provide the approximate date the Applicant proposes to actively market within the Commonwealth.

July/August 2017

5. COMPLIANCE

a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

See Exhibit 5 (Compliance Background).

b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

See Exhibit 5 (Compliance Background).

c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints, in the Commonwealth of Pennsylvania or any state, filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. **Applicant should also include if it had a Pennsylvania PUC EGS or NGS License previously cancelled by the Commission.** If the Applicant has no actions or complaints to list, explicitly state such.

See Exhibit 5 (Compliance Background). Applicant has not had a PA PUC EGS or NGS License previously cancelled

d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

See Exhibit 5 (Compliance Background).

6. PROOF OF SERVICE

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.
(Example Certificate of Service is attached at Appendix C)

- a. **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2 West
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

Office of the Small Business Advocate
Commerce Building, Suite 202
300 North Second Street
Harrisburg, PA 17101

See Exhibit 18 (Certificate of Service)

- b. **EDCs:** Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Electric Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each EDC is as follows.

Pike County Light & Power Company:
Director of Customer Energy Services
Orange and Rockland Company
390 West Route 59
Spring Valley, NY 10977-5300

West Penn:
Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

PECO:
Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Duquesne Light:
Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

PPL:
Legal Department
Attn: Paul Russell
PPL
Two North Ninth Street
Allentown, PA 18108-1179

Met-Ed, Penelec, and Penn Power:

Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

UGI:

UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
2525 N. 12th Street, Suite 360
Post Office Box 12677
Reading, Pa 19612-2677

Citizens' Electric Company:

Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

Wellsboro Electric Company:

Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901

See Exhibit 18 (Certificate of Service)

7. FINANCIAL FITNESS

- a. **BONDING:** In accordance with 66 Pa. C.S. Section 2809(c)(1)(i), the Applicant is required to file a bond or other instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant is...

- Furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.
- Furnishing the **ORIGINAL** of another initial security for Commission approval, to ensure financial responsibility, such as a parental guarantee, in the amount of \$250,000.
- For Marketers and Brokers** - Filing for a modification to the \$250,000 requirement and furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.

CRITICAL BONDING NOTES:

Applicant is required to maintain a bond or other financial instrument the entire time it maintains an EGS license with the Commonwealth of Pennsylvania. If Applicant's security instrument is not continuous, Applicant **MUST** submit a Rider, Amendment, or Continuation Certificate annually based on the expiration date of its security instrument.

As least sixty days (60) prior to the security instrument's expiration date, Applicant should contact Stephen Jakab at sjakab@pa.gov to determine the appropriate bonding amount based on a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. Once the amount has been determined, Applicant should overnight the updated security instrument(s) at least thirty (30) days prior to the expiration date to ensure adequate time for staff review and approval of the security instrument(s).

Template versions of a continuous bond, fixed –term bond, continuous letter of credit and parental guarantee are attached at Appendix E, F, G & H, respectively. Applicant's security must follow language from these examples, and must include unmodified language outlined in Appendix D. Any deviation from these examples must be identified in the application and may not be acceptable to the Commission.

b. FINANCIAL RECORDS, STATEMENTS, AND RATINGS: Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
See Exhibit 7.b.1 (RRH Organizational Structure).
- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
See Exhibit 7.b.2 (Published Financial and Credit Information).
- Applicant's accounting statements, including balance sheet and income statements for the past two years.
See Exhibit 7.b.3 (Spring Accounting Statements).
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
See Exhibit 7.b.4 (Spring D&B Credit Rating).
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
See Exhibit 7.b.5 (Insurance).
- Audited financial statements exhibiting accounts over a minimum two year period.
See Exhibit 7.b.6 (Richmond Road Holdings Consolidated Financials for 2014 and 2015).
- Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.
See Exhibits 7.b.7 (Form 1120 for 2014 and 2015).

c. SUPPLIER FUNDING METHOD: If Applicant is operating as anything other than **Broker/Marketer only**, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

See Exhibit 7.c.1 (Supplier Funding) and 7.c.2 (Loan Agreement)

d. BROKER PAYMENT STRUCTURE: If applicant is a broker/marketer, explain how your organization will be collecting your fees.

e. ACCOUNTING RECORDS CUSTODIAN: Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

See Exhibit 7.e (Accounting Records Custodian).

f. TAXATION: Complete the TAX CERTIFICATION STATEMENT attached as Appendix G to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

See Exhibit 7.f (Tax Certification Statement).

8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

a. EXPERIENCE, PLAN, STRUCTURE: such information may include:

- Applicant's previous experience in the electricity industry.
- Summary and proof of licenses as a supplier of electric services in other states or jurisdictions.
- Type of customers and number of customers Applicant currently serves in other jurisdictions.
- Staffing structure and numbers as well as employee training commitments.
- Business plans for operations within the Commonwealth.
- Documentation of membership in PJM, ECAR, MAAC, other regional reliability councils, or any other membership or certification that is deemed appropriate to justify competency to operate as an EGS within the Commonwealth.
- Any other information appropriate to ensure the technical capabilities of the Applicant.

See Exhibit 6 (Technical Fitness).

b. PROPOSED MARKETING METHOD (*check all that apply*)

- Internal – Applicant will use its own internal resources/employees for marketing
- External EGS – Applicant will contract with a PUC **LICENSED EGS** broker/marketer
- Affiliate – Applicant will use a **NON-EGS** affiliate marketing company and or individuals.
- External Third-Party – Applicant will contract with a **NON-EGS** third party marketing company and or individuals
- Other (Describe):

See Exhibit 6 (Technical Fitness).

c. DOOR TO DOOR SALES: Will the Applicant be implementing door to door sales activities?

- Yes
- No

If yes, will the Applicant be using verification procedures?

- Yes
- No

If yes, describe the Applicant's verification procedures.

See Exhibit 6 (Technical Fitness).

- d. **OVERSIGHT OF MARKETING:** Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

See Exhibit 6 (Technical Fitness).

- e. **OFFICERS:** Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.

See Exhibit 6 (Technical Fitness).

- f. **FERC FILING:** Applicant has:

- Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.
- Received approval from FERC to be a Power Marketer at Docket Case Number:
ER 15-1393-000
- Not applicable

9. DISCLOSURE STATEMENTS:

Disclosure Statements: If proposing to serve Residential and/or Small Commercial (under 25 kW) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix H to this Application.

- Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

Not applicable for an applicant applying for a license exclusively as a broker/marketer.

See Exhibit 8 (Disclosure Statement).

10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. **PJM LOAD SERVING ENTITY REQUIREMENT:** As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:

- proof of registration as a PJM Load Serving Entity (LSE), or
- proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

See Exhibit 20 (PJM Letter indicating Load Serving Entity).

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

(Select only one of the following)

- AGREED** - Applicant has included compliance with this requirement in the instant application, labeled in correspondence with this section (10).

- AGREED - Applicant will provide compliance with this requirement within 120 days of receiving its license
- ACKNOWLEDGED - Applicant is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information

b. STANDARDS OF CONDUCT AND DISCLOSURE: As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43, as well as any future amendments.

AGREED

c. REPORTING REQUIREMENTS: Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:

- Retail Electricity Choice Activity Reports: The regulations at 52 Pa. Code §§ 54.201--54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's internet web site.
- Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
- The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
- Net Metering Reports: Applicant shall be responsible to report any Net Metering per the Standards on http://www.puc.pa.gov/consumer_info/electricity/alternative_energy.aspx. Scroll down to the Net Metering Standards Section.
- Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis per 52 Pa. Code § 54.39(d).
- Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.

AGREED

d. TRANSFER OF LICENSE: The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.

AGREED

e. ANNUAL FEES: The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling electricity in the Commonwealth of PA, and an annual supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.

ACKNOWLEDGED

- f. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.

AGREED

- g. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

AGREED

- h. **NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within twenty (20) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 54.34.

AGREED

- i. **CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

AGREED

- j. **Electronic Data Interchange:** The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at Appendix K.

AGREED

- k. **FILING FEE:** The Applicant has enclosed or paid the required, non-refundable filing fee by **CERTIFIED CHECK OR MONEY ORDER** in the amount of **\$350.00** payable to the Commonwealth of Pennsylvania. The Commission does not accept corporate or personal checks for filing fees.

PAYMENT ENCLOSED

11. AFFIDAVITS

Must be notarized before filing.

- a. **APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.

See Exhibit 9 (Applicant Affidavit).

- b. **OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.

See Exhibit 10 (Operations Affidavit).

12. NEWSPAPER PUBLICATIONS

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve.

The chart below dictates which newspapers are necessary for each EDC. For example, an applicant that wants to operate in Penn Power would need to run ads in both The Erie Times-News and the Pittsburgh Post-Gazette. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

The only acceptable verification of this requirement is with Notarized Proofs of Publication, which may be requested from each newspaper and must be supplied with this application. Applicants do not need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

See Exhibit 17 (Notarized Proofs of Publication).

	Erie Times-News	Harrisburg Patriot-News	Philadelphia Daily News	Pittsburgh Post-Gazette	Scranton Times-Tribune	Williamsport Sun-Gazette	Johnstown Tribune-Democrat
Citizens' Electric						X	
Duquesne				X			
Met Ed		X	X		X		
PECO			X				
Penelec	X	X			X	X	X
Penn Power	X			X			
Pike					X		
PPL		X	X		X	X	
UGI					X		
Wellsboro						X	
West Penn		X		X		X	X
Entire Commonwealth	X	X	X	X	X	X	X

(Example Publications are provided at Appendices I and J)

13. SIGNATURE

Applicant: 

By: Richard Booth

Title: President of Retail Operations

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14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections are complete.

Applicant: Spring Energy RRH, LLC

	Signature	
✓	Filing Fee (CERTIFIED CHECK OR MONEY ORDER ONLY)	
✓	Application Affidavit	
✓	Operations Affidavit	
✓	Proof of Publication	
	Bond or Letter of Credit	
✓	Tax Certification Statement	
✓	Commonwealth Department of State Verification	
✓	Certificate of Service	

Applicant's Use

PUC Secretary's Bureau Use

Table of Exhibits for Spring Energy RRH, LLC's Electric Generation Supplier Application

Exhibit 1: Registration Statement

Exhibit 2: Fictitious Name Registration

Exhibit 3: Pure Energy's Formation Articles (Delaware Documents)

Exhibit 4: Name Change to Spring Energy RRH, LLC

Exhibit 5: Compliance Background

Exhibit 6: Technical Fitness

Exhibit 7: Financial Fitness

Exhibit 7.b.1: RRH Organizational Structure

Exhibit 7.b.2: Published Financial and Credit Information

Exhibit 7.b.3: Spring Power & Gas Accounting Statements

Exhibit 7.b.4: Spring Energy D&B Credit Rating

Exhibit 7.b.5: Insurance

Exhibit 7.b.6: Richmond Road Holdings Consolidated Financials (2014 and 2015)

Exhibit 7.c.1: Supplier Funding

Exhibit 7.e: Accounting Records Custodian

Exhibit 7.f: Tax Certification Statement

Exhibit 8: Disclosure Statement

Exhibit 9: Applicant Affidavit

Exhibit 10: Operations Affidavit

Exhibit 11: Proof of Spring's Maryland License to Supply Natural Gas Service

Exhibit 12: Proof of Spring's Maryland License to Supply Electric Service

Exhibit 13: Proof of Spring's New Jersey Natural Gas License

Exhibit 14: Proof of Spring's New Jersey Electric License

Exhibit 15: Proof of Arrow's Ohio Natural Gas License

Exhibit 16: Proof of Arrow's Ohio Electric License

Exhibit 17: Notarized Proofs of Publication

Exhibit 18: Certificate of Service

Exhibit 19: Professional Resumes of Officers

Exhibit 20: PJM Letter Indicating LSE

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Exhibit 1

PENNSYLVANIA DEPARTMENT OF STATE
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS

Return document by mail to:
Lisa Hawkins
Name
200 Park Avenue South, Suite 1301
Address
New York, NY 10003
City State Zip Code
 Return document by email to: Lisahawkins@rhenergy.us

Foreign Registration Statement
DSCB: 15-412

TML161102JF1545

Read all instructions prior to completing. This form may be submitted online at <http://www.corporations.pa.gov/>.

Fee: \$250

In compliance with the requirements of the applicable provisions of 15 Pa.C.S. § 412 (relating to foreign registration statement), the undersigned foreign association hereby states that:

1. The type of association is (check only one):

- | | | |
|---|--|---|
| <input type="checkbox"/> Business Corporation | <input type="checkbox"/> Limited Partnership | <input type="checkbox"/> Business Trust |
| <input type="checkbox"/> Nonprofit Corporation | <input type="checkbox"/> Limited Liability (General) Partnership | <input type="checkbox"/> Professional Association |
| <input checked="" type="checkbox"/> Limited Liability Company | <input type="checkbox"/> Limited Liability Limited Partnership | |

2. The full and proper name of the foreign association as registered in its jurisdiction of formation is:

Spring Energy RRH, LLC

2A. If the name in 2 does not contain a required designator or if the name in 2 is not available for use in the Commonwealth, the alternate name under which the association is registering in this Commonwealth is:

A resolution of the governors adopting the name in 2A for use in registering to do business in this Commonwealth must be attached.

3. The jurisdiction of formation is: Delaware

4. The street and mailing address of the association's principal office.

200 Park Avenue South, Suite 1301, New York, New York 10003

Number and street City State Zip

4A. The street and mailing address of the office, if any, required to be maintained by the law of the association's jurisdiction of formation in that jurisdiction:

Corporation Trust Company, 1209 Orange Street, Wilmington, Delaware 19801

Number and street City State Zip

2016 NOV -2 PM 3: 14

PA. DEPT. OF STATE

5. The (a) address of the association's proposed registered office in this Commonwealth or (b) name of its Commercial Registered Office Provider and the county of venue is:

Complete part (a) OR (b) - not both:

(a) _____
Number and street City OR State Zip County

(b) c/o: CT Corporation, 116 Pine Street, Suite 320, Harrisburg, PA 17101
Name of Commercial Registered Office Provider County

6. Check one of the following:

- The association may not have series.
- The association may have one or more series.

7. Effective date of registration of foreign association (check, and if appropriate complete, one of the following):

- The Foreign Registration Statement shall be effective upon filing in the Department of State.
- The Foreign Registration Statement shall be effective on: _____ at _____
Date (MM/DD/YYYY) Hour (if any)

8. To be completed by Limited Liability Companies only. Check, and if appropriate complete, one of the following:

- The association is a limited liability company which is not organized to render any of the below professional service(s).
- The association is a restricted professional limited liability company organized to render one or more of the following professional service(s): (If this box is checked, one or more of the fields below must be checked.)

Chiropractic Dentistry Law Medicine and surgery
 Optometry Osteopathic medicine and surgery Podiatric medicine Public accounting
 Psychology Veterinary medicine

IN TESTIMONY WHEREOF, the undersigned association has caused this Foreign Registration Statement to be signed by a duly authorized representative thereof this 31st day of October, 2016.

SPRING ENERGY PPH LLC
Name of Association

[Signature]
Signature

CFO
Title

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Exhibit 2

PENNSYLVANIA DEPARTMENT OF STATE
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS

<input type="checkbox"/> Return document by mail to: Lisa Hawkins Name 200 Park Avenue South, Suite 1301 Address New York, NY 10003 City State Zip Code	Registration of Fictitious Name  TML161110JD0756
<input checked="" type="checkbox"/> Return document by email to: <u>Lisahawkins@rhenenergy.us</u>	

Read all instructions prior to completing. This form may be submitted online at <https://www.corporations.pa.gov/>.

Fee: \$70

In compliance with the requirements of 54 Pa.C.S. § 311 (relating to registration), the undersigned entity(ies) desiring to register a fictitious name under 54 Pa.C.S. Ch. 3 (relating to fictitious names), hereby state(s) that:

1. The fictitious name is:
Spring Power & Gas

2. A brief statement of the character or nature of the business or other activity to be carried on under or through the fictitious name is:
Retail electric and natural gas supplier

3. The address, including number and street, if any, of the principal place of business (P.O. Box alone is not acceptable):
200 Park Avenue South, Suite 1301, New York, NY 10003
Number and street City State Zip County

4. The name and address, including number and street, if any, of each individual interested in the business is:

Name	Number and Street	City	State	Zip

5. Each entity, other than an individual, interested in such business is (are):

Spring Energy RRH, LLC	Limited Liability Company	Delaware
Name	Form of Organization	Organizing Jurisdiction
200 Park Avenue South, Suite 1301, New York, New York 10003		
Principal Office Address		
CT Corporation, 116 Pine Street, Suite 320, Harrisburg, PA 17101		
PA Registered Office, if any		
Name	Form of Organization	Organizing Jurisdiction
Principal Office Address		
PA Registered Office, if any		

6. The applicant is familiar with the provisions of 54 Pa.C.S. § 332 (relating to effect of registration) and understands that filing under the Fictitious Names Act does not create any exclusive or other right in the fictitious name.

7. (Optional): The name(s) of the agent(s), if any, any one of whom is authorized to execute amendments to, withdrawals from or cancellation of this registration in behalf of all then existing parties to the registration, is (are):

IN TESTIMONY WHEREOF, the undersigned have caused this Application for Registration of Fictitious Name to be executed this

_____ day of _____, _____.

Individual Signature	Individual Signature
Individual Signature	Individual Signature
Entity Name	Spring Energy RRH, LLC
Signature	Entity Name
Title	Signature
	CFO
	Title

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Exhibit 3

Delaware

PAGE 1

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF FORMATION OF "PURE ENERGY USA LLC", FILED IN THIS OFFICE ON THE FOURTEENTH DAY OF NOVEMBER, A.D. 2013, AT 6:08 O'CLOCK P.M.



5430410 8100

131311811

You may verify this certificate online
at corp.delaware.gov/authver.shtml


Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 0898848

DATE: 11-15-13

**CERTIFICATE OF FORMATION
OF
PURE ENERGY USA LLC**

This Certificate of Formation, dated as of November 14, 2013, is filed to form Pure Energy USA LLC, a Delaware limited liability company (the "Company").


1. The name of the Company is:

Pure Energy USA LLC

2. The address of the registered office of the Company in the State of Delaware is 1209 Orange Street, New Castle County, Wilmington, Delaware 19801. The name of the registered agent at such address is The Corporation Trust Company.

[Signature Page Follows]

IN WITNESS WHEREOF, the undersigned has duly executed this Certificate of Formation
this 14th day of November, 2013.

By: 
Adam M. Roth
Authorized Person

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Exhibit 4

Delaware

PAGE 1

The First State

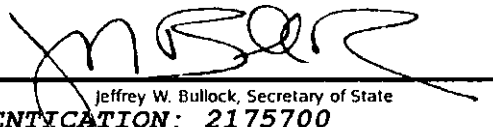
I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "PURE ENERGY USA LLC", CHANGING ITS NAME FROM "PURE ENERGY USA LLC" TO "SPRING ENERGY RRH, LLC", FILED IN THIS OFFICE ON THE THIRD DAY OF FEBRUARY, A.D. 2015, AT 1:17 O'CLOCK P.M.



5430410 8100

150137044

You may verify this certificate online
at corp.delaware.gov/authver.shtml


Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 2175700

DATE: 03-06-15


**STATE OF DELAWARE
CERTIFICATE OF AMENDMENT**

1. Name of Limited Liability Company: Pure Energy USA LLC

2. The Certificate of Formation of the limited liability company is hereby amended as follows:

The name of the limited liability company is Spring Energy RRB, LLC.

IN WITNESS WHEREOF, the undersigned have executed this Certificate on the 2nd day of February, A.D. 2015.

By: 
Authorized Person(s)

Name: Stephen Eskrigge
Print or Type

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Exhibit 5

SPRING ENERGY RRH LLC - NEW JERSEY

Date	Complaint No	Complaint Summary	Resolution	Resolved Yes/No
2/13/2017	17W00000106	Dissatisfied with Price	Resolved - Explanation provided	Yes
5/9/2017	17W00000289	Alleged slamming	Resolved - Explanation provided	Yes

SPRING ENERGY RRH, LLC - MARYLAND

Date	Complaint No	Complaint Summary	Resolution	Resolved Yes/No
8/31/2016	MPSC #816323075	Alleged misrepresentation	Resolved - Explanation provided	Yes
12/2/2016	MPSC #1116326505	Alleged slamming	Resolved - Explanation provided	Yes
5/1/2017	MPSC #517330443	Disputing charges	Resolved - Explanation provided	Yes

KIWI ENERGY NY LLC - NEW YORK

Date	Type of Complaint	Complaint No	Complaint Summary	Resolution	Resolved Yes/No
11/26/2012		223909	Sales Complaint	Resolved - Explanation provided	Yes
12/5/2012		234155	No Savings Realized	Resolved - Explanation provided	Yes
12/7/2012		234311	No Savings Realized	Resolved - Explanation provided	Yes
12/11/2012		234539	Telemarketing - Do Not Contact	Resolved - Explanation provided	Yes
12/18/2012		253500	Requesting to waive cancel fee	Resolved - Explanation provided	Yes
12/20/2012		233133	No Savings Realized	Resolved - Explanation provided	Yes
1/7/2013		310690	No Savings Realized	Resolved - Explanation provided	Yes
1/14/2013		311396	Sales Complaint	Resolved - Explanation provided	Yes
1/14/2013		311518	No Savings Realized	Resolved - Explanation provided	Yes
1/15/2013		310895	No Savings Realized	Resolved - Explanation provided	Yes
1/15/2013		310897	No Savings Realized	Resolved - Explanation provided	Yes
1/18/2013		311135	Dissatisfied with Terms and Conditions	Resolved - Explanation provided	Yes
2/19/2013		312919	Dissatisfied with Terms and Conditions	Resolved - Explanation provided	Yes
2/19/2013		313031	Customer unsure about supply service	Resolved - Added to DNC	Yes
2/22/2013		315600	Customer unsure about supply service	Resolved - Explanation provided	Yes
2/28/2013		316160	Questionable Marketing Practices	Resolved - Explanation provided	Yes
3/5/2013		314289	Questionable Marketing Practices	Resolved - Explanation provided	Yes
3/6/2013		316728	Alleged ESCO Slamming	Resolved - Explanation provided	Yes
3/7/2013		316804	Questionable Marketing Practices	Resolved - Explanation provided	Yes
3/7/2013		316846	Does not understand cancellation timeline	Resolved - Explanation provided	Yes
3/15/2013		315293	No Savings Realized	Resolved - Explanation provided	Yes
3/20/2013		318008	Alleged ESCO Slamming	Resolved - Explanation provided	Yes
3/20/2013		315677	Questionable Marketing Practices	Resolved - Explanation provided	Yes
3/22/2013		315293	No Savings Realized	Resolved - Explanation provided	Yes
3/23/2013	QRS	318008	Alleged ESCO Slamming	Resolved - Explanation provided	Yes
4/1/2013	SRS	315293	Questionable Marketing Practices	Resolved - Explanation provided	Yes
4/4/2013	REGULATORY INQUIRY	316127	Questionable Marketing Practices	Resolved - Explanation provided	Yes
4/1/2013	QRS	316353	Questionable Marketing Practices	Resolved - Explanation provided	Yes
4/4/2013	QRS	319500	Questionable Marketing Practices	Resolved - Explanation provided	Yes
4/4/2013	QRS	316804	Questionable Marketing Practices	Resolved - Explanation provided	Yes
4/5/2013	QRS	316901	No Savings Realized	Resolved - Explanation provided	Yes
4/5/2013	QRS	316903	Misrepresentation of Identity	Resolved - Explanation provided	Yes
4/24/2013	QRS	321500	Service Agreement Was Terminated	Resolved - Explanation provided	Yes
5/10/2013	REGULATORY INQUIRY	322480	Questionable Marketing Practices	Resolved - Explanation provided	Yes
5/3/2013	REGULATORY INQUIRY	322538	Questionable Marketing Practices	Resolved - Explanation provided	Yes
5/10/2013	QRS	323320	Disputes Special Charge on Bill	Resolved - Explanation provided	Yes
5/14/2013	QRS	323654	Questionable Marketing Practices	Resolved - Explanation provided	Yes
5/29/2013	QRS	324134	Questionable Marketing Practices	Resolved - Explanation provided	Yes
5/23/2013	QRS	324816	Questionable Marketing Practices	Resolved - Explanation provided	Yes
6/6/2013	QRS	325692	No Savings Realized	Resolved - Explanation provided	Yes
6/6/2013	QRS	320593	Questionable Marketing Practices	Resolved - Explanation provided	Yes
7/26/2013	QRS	321465	Questionable Marketing Practices	Resolved - Explanation provided	Yes
7/1/2013	QRS	329524	Questionable Marketing Practices	Resolved - Explanation provided	Yes
7/1/2013	REGULATORY INQUIRY	325692	Questionable Marketing Practices	Resolved - Explanation provided	yes
7/11/2013	QRS	330814	Questionable Marketing Practices	Resolved - Explanation provided	yes

7/12/2013 QRS	323619 Anti-Competitive Behavior	Resolved - Explanation provided	Yes
7/17/2013 REGULATORY INQUIRY	324134 Questionable Marketing Practices	Resolved - Explanation provided	Yes
7/17/2013 QRS	323957 Questionable Marketing Practices	Resolved - Explanation provided	Yes
7/24/2013 QRS	332242 Taxes/Surcharges/Other Fees	Resolved - Explanation provided	Yes
7/26/2013 QRS	324607 Other ESCO Matters	Resolved - Explanation provided	Yes
8/2/2013 QRS	333402 No Savings Realized	Resolved - Explanation provided	Yes
8/5/2013 QRS	325343 No Savings Realized	Resolved - Explanation provided	Yes
8/7/2013 QRS	325603 Wants Breakdown Of Bill	Resolved - Explanation provided	Yes
8/7/2013 QRS	325623 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
8/13/2013 QRS	334258 Wants Breakdown Of Bill	Resolved - Explanation provided	Yes
8/13/2013 QRS	326259 Misrepresentation of Identity	Resolved - Explanation provided	Yes
8/22/2013 REGULATORY INQUIRY	333402 No Savings Realized	Resolved - Explanation provided	Yes
8/23/2013 QRS	327253 No Savings Realized	Resolved - Explanation provided	Yes
8/26/2013 QRS	327387 Wants Breakdown Of Bill	Resolved - Explanation provided	Yes
8/30/2013 QRS	327761 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
9/3/2013 QRS	336292 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
9/3/2013 QRS	336294 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
9/6/2013 QRS	328213 Svc Disconnect Request Not Done	Resolved - Explanation provided	Yes
9/9/2013 QRS	337010 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
9/12/2013 QRS	337564 Misrepresentation of Identity	Resolved - Explanation provided	Yes
9/12/2013 QRS	337570 Questionable Marketing Practices	Resolved - Explanation provided	Yes
10/9/2013 QRS	341244 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
10/16/2013 QRS	342038 Questionable Marketing Practices	Resolved - Explanation provided	Yes
10/29/2013 QRS	331501 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
10/29/2013 QRS	331525 Questionable Marketing Practices	Resolved - Explanation provided	Yes
11/7/2013 QRS	344634 Quoted Incorrect Pricing / Terms	Resolved - Explanation provided	Yes
11/20/2013 REGULATORY INQUIRY	331501 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
11/7/2013 QRS	344634 Quoted Incorrect Pricing / Terms	Resolved - Explanation provided	Yes
11/22/2013 QRS	346100 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
11/25/2013 QRS	346188 Questionable Marketing Practices	Resolved - Explanation provided	Yes
11/20/2013 REGULATORY INQUIRY	331501 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
12/3/2013 QRS	333215 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
12/4/2013 QRS	347150 Questionable Marketing Practices	Resolved - Explanation provided	Yes
12/11/2013 QRS	347796 No Savings Realized	Resolved - Explanation provided	Yes
12/17/2013 QRS	348336 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
12/26/2013 QRS	349028 Customer Feels He/She is Being Double Billed	Resolved - Explanation provided	Yes
12/30/2013 QRS	334745 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
7/23/2014 QRS	441112 Questionable Marketing Practices	Resolved - Explanation provided	Yes
7/18/2014	423313 Taxes/Surcharges/Other Fees	Resolved - Explanation provided	Yes
7/18/2014 QRS	428421 No Savings Realized	Resolved - Explanation provided	Yes
7/17/2014 REGULATORY INQUIRY	423313 Wants Breakdown Of Bill	Resolved - Explanation provided	Yes
7/17/2014 QRS	428265 Quoted Incorrect Pricing / Terms	Resolved - Explanation provided	Yes
7/15/2014 REGULATORY INQUIRY	421369 Questionable Marketing Practices	Resolved - Explanation provided	Yes
7/9/2014 REGULATORY INQUIRY	423313 Wants Breakdown Of Bill	Resolved - Explanation provided	Yes
7/8/2014 QRS	426837 Taxes/Surcharges/Other Fees	Resolved - Explanation provided	Yes
6/6/2014 REGULATORY INQUIRY	424273 Questionable Marketing Practices	Resolved - Explanation provided	Yes
7/1/2014 QRS	439210 No Savings Realized	Resolved - Explanation provided	Yes
6/18/2014 SRS	423479 Questionable Marketing Practices	Resolved - Explanation provided	Yes

6/27/2014 QRS	438956 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
6/25/2014 QRS	438674 Questionable Marketing Practices	Resolved - Explanation provided	Yes
6/24/2014 QRS	438504 Questionable Marketing Practices	Resolved - Explanation provided	Yes
6/23/2014 QRS	438254 Misrepresentation of Identity	Resolved - Explanation provided	Yes
6/19/2014 QRS	424649 Quoted Incorrect Pricing / Terms	Resolved - Explanation provided	Yes
6/19/2014 QRS	424647 Double Billing	Resolved - Explanation provided	Yes
6/18/2014 REGULATORY INQUIRY	423479 Questionable Marketing Practices	Resolved - Explanation provided	Yes
6/17/2014 QRS	437754 Quoted Incorrect Pricing / Terms	Resolved - Explanation provided	Yes
6/2/2014 QRS	422569 Questionable Marketing Practices	Resolved - Explanation provided	Yes
6/11/2014 QRS	437220 Questionable Marketing Practices	Resolved - Explanation provided	Yes
6/10/2014 QRS	423733 No Savings Realized	Resolved - Explanation provided	Yes
6/9/2014 QRS	436874 Misrepresentation of Identity	Resolved - Explanation provided	Yes
6/9/2014 QRS	436840 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
6/9/2014 QRS	423479 Questionable Marketing Practices	Resolved - Explanation provided	Yes
6/6/2014 QRS	423313 Wants Breakdown Of Bill	Resolved - Explanation provided	Yes
6/2/2014 QRS	422569 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
5/21/2014 REGULATORY INQUIRY	419843 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
5/21/2014 REGULATORY INQUIRY	419891 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
5/21/2014 REGULATORY INQUIRY	429006 Questionable Marketing Practices	Resolved - Explanation provided	Yes
5/21/2014 REGULATORY INQUIRY	425652 Misrepresentation of Identity	Resolved - Explanation provided	Yes
5/20/2014 QRS	434848 Service Agreement Was Terminated	Resolved - Explanation provided	Yes
5/16/2014 QRS	434504 Questionable Marketing Practices	Resolved - Explanation provided	Yes
5/14/2014 QRS	434186 Questionable Marketing Practices	Resolved - Explanation provided	Yes
5/2/2014 REGULATORY INQUIRY	429574 No Savings Realized	Resolved - Explanation provided	Yes
5/12/2014 REGULATORY INQUIRY	428468 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
5/8/2014 QRS	432770 No Savings Realized	Resolved - Explanation provided	Yes
5/8/2014 QRS	419141 No Savings Realized	Resolved - Explanation provided	Yes
5/6/2014 QRS	418859 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
5/2/2014 QRS	429574 No Savings Realized	Resolved - Explanation provided	Yes
4/29/2014 QRS	430434 Questionable Marketing Practices	Resolved - Explanation provided	Yes
4/11/2014 REGULATORY INQUIRY	424266 Questionable Marketing Practices	Resolved - Explanation provided	Yes
4/11/2014 QRS	427056 Questionable Marketing Practices	Resolved - Explanation provided	Yes
4/28/2014 REGULATORY INQUIRY	417013 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
4/25/2014 QRS	429784 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
4/25/2014 QRS	429608 Questionable Marketing Practices	Resolved - Explanation provided	Yes
4/24/2014 QRS	429574 No Savings Realized	Resolved - Explanation provided	Yes
4/24/2014 QRS	429568 No Savings Realized	Resolved - Explanation provided	Yes
4/24/2014 QRS	418087 Questionable Marketing Practices	Resolved - Explanation provided	Yes
4/24/2014 QRS	415802 Questionable Marketing Practices	Resolved - Explanation provided	Yes
4/22/2014 QRS	429010 Questionable Marketing Practices	Resolved - Explanation provided	Yes
4/22/2014 QRS	417845 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
4/22/2014 QRS	428468 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
4/17/2014 QRS	428300 Questionable Marketing Practices	Resolved - Explanation provided	Yes
4/17/2014 QRS	417565 No Savings Realized	Resolved - Explanation provided	Yes
4/16/2014 QRS	427888 Misrepresentation of Identity	Resolved - Explanation provided	Yes
4/18/2014 AG	1167430 Dissatisfied with Rates	Resolved - Explanation provided	Yes
4/1/2014 QRS	413725 Dissatisfied With Rates	Resolved - Explanation provided	Yes
4/1/2014 QRS	416122 Questionable Marketing Practices	Resolved - Explanation provided	Yes

3/31/2014 QRS	418058 Questionable Marketing Practices	Resolved - Explanation provided	Yes
4/11/2014 REGULATORY INQUIRY	427056 Questionable Marketing Practices	Resolved - Explanation provided	Yes
4/11/2014 QRS	427090 No Savings Realized	Resolved - Explanation provided	Yes
4/11/2014 REGULATORY INQUIRY	424266 Questionable Marketing Practices	Resolved - Explanation provided	Yes
4/11/2014 QRS	427056 Questionable Marketing Practices	Resolved - Explanation provided	Yes
4/11/2014 REGULATORY INQUIRY	424272 Questionable Marketing Practices	Resolved - Explanation provided	Yes
4/10/2014 REGULATORY INQUIRY	415802 Questionable Marketing Practices	Resolved - Explanation provided	Yes
4/9/2014 QRS	417113 Questionable Marketing Practices	Resolved - Explanation provided	Yes
4/8/2014 QRS	417013 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
4/3/2014 QRS	425534 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
4/3/2014 QRS	416735 No Savings Realized	Resolved - Explanation provided	Yes
4/2/2014 QRS	425006 Can Not Contact Company	Resolved - Explanation provided	Yes
4/1/2014 REGULATORY INQUIRY	413725 Dissatisfied With Rates	Resolved - Explanation provided	Yes
4/1/2014 REGULATORY INQUIRY	416122 Questionable Marketing Practices	Resolved - Explanation provided	Yes
3/31/2014 QRS	424388 No Savings Realized	Resolved - Explanation provided	Yes
3/31/2014 QRS	416379 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
3/31/2014 REGULATORY INQUIRY	418058 No Savings Realized	Resolved - Explanation provided	Yes
3/31/2014 QRS	424272 Questionable Marketing Practices	Resolved - Explanation provided	Yes
3/31/2014 QRS	424266 Questionable Marketing Practices	Resolved - Explanation provided	Yes
3/28/2014 QRS	416209 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
3/27/2014 QRS	423966 Questionable Marketing Practices	Resolved - Explanation provided	Yes
3/18/2014 QRS	418658 Questionable Marketing Practices	Resolved - Explanation provided	Yes
3/19/2014 REGULATORY INQUIRY	417592 Dissatisfied w/ Terms & Conditions of Sales Agreement	Resolved - Explanation provided	Yes
3/26/2014 REGULATORY INQUIRY	422570 Unclear Bill	Resolved - Explanation provided	Yes
3/26/2014 REGULATORY INQUIRY	422570 Unclear Bill	Resolved - Explanation provided	Yes
3/26/2014 QRS	423610 Questionable Marketing Practices	Resolved - Explanation provided	Yes
3/24/2016 QRS	423064 Questionable Marketing Practices	Resolved - Explanation provided	Yes
3/24/2014 QRS	423064 Questionable Marketing Practices	Resolved - Explanation provided	Yes
3/24/2014 QRS	422900 No Savings Realized	Resolved - Explanation provided	Yes
3/21/2014 QRS	422570 Unclear Bill	Resolved - Explanation provided	Yes
3/19/2014 REGULATORY INQUIRY	417592 Dissatisfied w/ Terms & Conditions of Sales Agreement	Resolved - Explanation provided	Yes
3/18/2014 REGULATORY INQUIRY	418658 Questionable Marketing Practices	Resolved - Explanation provided	Yes
3/17/2014 QRS	421266 Unclear Bill	Resolved - Explanation provided	Yes
3/13/2014 QRS	420804 Questionable Marketing Practices	Resolved - Explanation provided	Yes
3/13/2014 QRS	420746 No Savings Realized	Resolved - Explanation provided	Yes
3/12/2014 QRS	414867 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
2/27/2014 REGULATORY INQUIRY	415674 Questionable Marketing Practices	Resolved - Explanation provided	Yes
3/7/2014 QRS	419522 Dissatisfied With Rates	Resolved - Explanation provided	Yes
3/6/2014 QRS	419226 Wants Refund On Overpayment	Resolved - Explanation provided	Yes
3/6/2014 QRS	419116 Questionable Marketing Practices	Resolved - Explanation provided	Yes
3/6/2014 QRS	419042 Other ESCO Matters	Resolved - Explanation provided	Yes
3/5/2014 QRS	418864 No Savings Realized	Resolved - Explanation provided	Yes
2/20/2014 REGULATORY INQUIRY	412022 No Savings Realized	Resolved - Explanation provided	Yes
3/4/2014 REGULATORY INQUIRY	417330 No Savings Realized	Resolved - Explanation provided	Yes
3/4/2014 QRS	418658 Questionable Marketing Practices	Resolved - Explanation provided	Yes
3/4/2014 REGULATORY INQUIRY	417330 Difficulties In Returning to T&D/LDC	Resolved - Explanation provided	Yes
3/4/2014 QRS	418352 Quoted Incorrect Pricing / Terms	Resolved - Explanation provided	Yes
3/3/2014 QRS	418058 Questionable Marketing Practices	Resolved - Explanation provided	Yes

2/27/2014 REGULATORY INQUIRY	415674 Questionable Marketing Practices	Resolved - Explanation provided	Yes
2/27/2014 REGULATORY INQUIRY	416322 No Savings Realized	Resolved - Explanation provided	Yes
2/27/2014 QRS	417592 Dissatisfied w/ Terms & Conditions of Sales Agreement	Resolved - Explanation provided	Yes
2/26/2014 QRS	417420 Double Billing	Resolved - Explanation provided	Yes
2/26/2014 QRS	414810 No Savings Realized	Resolved - Explanation provided	Yes
2/26/2014 QRS	417330 Difficulties In Returning to T&D/LDC	Resolved - Explanation provided	Yes
2/25/2014 QRS	413769 No Savings Realized	Resolved - Explanation provided	Yes
2/25/2014 QRS	413769 No Savings Realized	Resolved - Explanation provided	Yes
2/21/2014 QRS	416524 No Savings Realized	Resolved - Explanation provided	Yes
2/21/2014 QRS	416514 Wants Breakdown Of Bill	Resolved - Explanation provided	Yes
2/20/2014 QRS	416350 Wants Breakdown Of Bill	Resolved - Explanation provided	Yes
2/20/2014 REGULATORY INQUIRY	412022 No Savings Realized	Resolved - Explanation provided	Yes
2/18/2014 QRS	415802 Questionable Marketing Practices	Resolved - Explanation provided	Yes
2/18/2014 QRS	413271 Quoted Incorrect Pricing / Terms	Resolved - Explanation provided	Yes
2/13/2014 QRS	415280 Can Not Contact Company	Resolved - Explanation provided	Yes
2/13/2014 QRS	413007 Misrepresentation of Identity	Resolved - Explanation provided	Yes
2/11/2014 QRS	414954 Questionable Marketing Practices	Resolved - Explanation provided	Yes
2/5/2014 REGULATORY INQUIRY	412233 Dissatisfied With Rates	Resolved - Explanation provided	Yes
2/7/2014 QRS	414328 no Savings Realized	Resolved - Explanation provided	Yes
2/7/2014 QRS	414280 No Savings Realized	Resolved - Explanation provided	Yes
2/5/2014 REGULATORY INQUIRY	412233 Dissatisfied With Rates	Resolved - Explanation provided	Yes
1/31/2014 QRS	412233 Dissatisfied With Rates	Resolved - Explanation provided	Yes
1/31/2014 QRS	413238 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
1/16/2014 QRS	411363 Questionable Marketing Practices	Resolved - Explanation provided	Yes
1/28/2017 REGULATORY INQUIRY	331501 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
1/24/2014 QRS	411859 Wants Refund On Overpayment	Resolved - Explanation provided	Yes
1/24/2014 QRS	412334 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
1/22/2014 QRS	412022 No Savings Realized	Resolved - Explanation provided	Yes
1/21/2014 QRS	411834 Inaccurate Bill	Resolved - Explanation provided	Yes
1/16/2014 QRS	411363 Questionable Marketing Practices	Resolved - Explanation provided	Yes
1/15/2014 QRS	411219 Double Billing	Resolved - Explanation provided	Yes
1/15/2014 QRS	411179 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
1/14/2014 QRS	411344 No Savings Realized	Resolved - Explanation provided	Yes
1/9/2014 QRS	410681 Poor Customer Service	Resolved - Explanation provided	Yes
1/9/2014 QRS	410565 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
1/8/2014 QRS	410531 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
12/30/2013 QRS	334745 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
5/23/2104 QRS	421485 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
5/23/2014 QRS	421493 Questionable Marketing Practices	Resolved - Explanation provided	Yes
4/4/2014 REGULATORY INQUIRY	425652 Misrepresentation of Identity	Resolved - Explanation provided	Yes
5/28/2014 QRS	421911 Questionable Marketing Practices	Resolved - Explanation provided	Yes
5/24/2014 QRS	421911 Questionable Marketing Practices	Resolved - Explanation provided	Yes
4/22/2014 QRS	429006 Questionable Marketing Practices	Resolved - Explanation provided	Yes
5/30/2014 QRS	422259 Estimated Bill	Resolved - Explanation provided	Yes
5/30/2014 QRS	435824 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
5/30/2014 QRS	422359 Questionable Marketing Practices	Resolved - Explanation provided	Yes
5/16/2014 reGULATORY INQUIRY	419891 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
5/15/2014 REGULATORY INQUIRY	419843 Alleged ESCO Slamming	Resolved - Explanation provided	Yes

6/2/2014 QRS	422569 Questionable Marketing Practices	Resolved - Explanation provided	Yes
6/6/2014 QRS	423313 Wants Breakdown Of Bill	Resolved - Explanation provided	Yes
6/9/2014 QRS	423479 Questionable Marketing Practices	Resolved - Explanation provided	Yes
6/9/2014 QRS	436840 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
6/9/2014 QRS	436874 Misrepresentation of Identity	Resolved - Explanation provided	Yes
6/10/2014 QRS	423733 No Savings Realized	Resolved - Explanation provided	Yes
6/11/2014 QRS	437220 Questionable Marketing Practices	Resolved - Explanation provided	Yes
6/2/2014 QRS	422569 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
6/17/2014 QRS	437754 No Savings Realized	Resolved - Explanation provided	Yes
6/17/2014 REGULATORY INQUIRY	424273 Questionable Marketing Practices	Resolved - Explanation provided	Yes
6/18/2014 REGULATORY INQUIRY	423479 Questionable Marketing Practices	Resolved - Explanation provided	Yes
6/18/2014 QRS	424647 Double Billing	Resolved - Explanation provided	Yes
6/19/2014 QRS	424649 No Savings Realized	Resolved - Explanation provided	Yes
6/23/2014 QRS	438254 Questionable Marketing Practices	Resolved - Explanation provided	Yes
6/24/2014 QRS	438504 No Savings Realized	Resolved - Explanation provided	Yes
6/25/2014 QRS	438674 Questionable Marketing Practices	Resolved - Explanation provided	Yes
6/27/2014 QRS	438956 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
6/8/2014 REGULATORY INQUIRY	423479 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
7/1/2014 QRS	439210 No Savings Realized	Resolved - Explanation provided	Yes
6/16/2014 REGULATORY INQUIRY	424273 Questionable Marketing Practices	Resolved - Explanation provided	Yes
7/3/2014 QRS	426387 Questionable Marketing Practices	Resolved - Explanation provided	Yes
7/8/2014 QRS	426837 Taxes/Surcharges/Other Fees	Resolved - Explanation provided	Yes
7/9/2014 REGULATORY INQUIRY	423313 Unclear Bill	Resolved - Explanation provided	Yes
5/23/2014 REGULATORY INQUIRY	421369 Questionable Marketing Practices	Resolved - Explanation provided	Yes
7/17/2014 QRS	428265 Questionable Marketing Practices	Resolved - Explanation provided	Yes
17-Jul REGULATORY INQUIRY	423313 Unclear Bill	Resolved - Explanation provided	Yes
7/18/2014 QRS	428421 Quoted Incorrect Pricing / Terms	Resolved - Explanation provided	Yes
7/9/2014 REGULATORY INQUIRY	423313 Wants Breakdown Of Bill	Resolved - Explanation provided	Yes
7/23/2014 QRS	441112 Questionable Marketing Practices	Resolved - Explanation provided	Yes
7/31/2014 QRS	429993 Difficulties In Returning to T&D/LDC	Resolved - Explanation provided	Yes
8/1/2014 REGULATORY INQUIRY	438504 No Savings Realized	Resolved - Explanation provided	Yes
5/23/2014 REGULATORY INQUIRY	421369 Questionable Marketing Practices	Resolved - Explanation provided	Yes
8/8/2014 QRS	431091 Quoted Incorrect Pricing / Terms	Resolved - Explanation provided	Yes
8/11/2014 QRS	431291 Questionable Marketing Practices	Resolved - Explanation provided	Yes
8/12/2014 QRS	442984 Questionable Marketing Practices	Resolved - Explanation provided	Yes
5/23/2014 REGULATORY INQUIRY	421369 Questionable Marketing Practices	Resolved - Explanation provided	Yes
8/1/2014 REGULATORY INQUIRY	438504 Questionable Marketing Practices	Resolved - Explanation provided	Yes
8/14/2014 QRS	431639 Questionable Marketing Practices	Resolved - Explanation provided	Yes
9/2/2014 QRS	433755 Unclear Bill	Resolved - Explanation provided	Yes
9/4/2014 QRS	434115 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
9/4/2014 QRS	434181 Dissatisfied w/ Terms & Conditions of Sales Agreement	Resolved - Explanation provided	Yes
9/5/2014 QRS	445034 Difficulties In Returning to T&D/LDC	Resolved - Explanation provided	Yes
9/10/2014 QRS	445352 No Savings Realized	Resolved - Explanation provided	Yes
9/12/2014 QRS	435093 Questionable Marketing Practices	Resolved - Explanation provided	Yes
9/12/2014 QRS	435123 Questionable Marketing Practices	Resolved - Explanation provided	Yes
9/12/2014 QRS	435229 No Savings Realized	Resolved - Explanation provided	Yes
9/12/2014 QRS	434281 Dissatisfied w/ Terms & Conditions of Sales Agreement	Resolved - Explanation provided	Yes
9/12/2014 REGULATORY INQUIRY	444998 Questionable Marketing Practices	Resolved - Explanation provided	Yes

9/12/2014 QRS	435253 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
9/17/2014 QRS	445960 Questionable Marketing Practices	Resolved - Explanation provided	Yes
9/23/2014 QRS	446446 Questionable Marketing Practices	Resolved - Explanation provided	Yes
9/23/2014 QRS	446470 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
9/12/2014 QRS	435093 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
9/12/2014 REGULATORY INQUIRY	434281 Questionable Marketing Practices	Resolved - Explanation provided	Yes
9/12/2014 REGULATORY INQUIRY	444998 Questionable Marketing Practices	Resolved - Explanation provided	Yes
9/12/2014 QRS	435253 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
10/1/2014 QRS	447316 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
10/7/2014 QRS	437947 Questionable Marketing Practices	Resolved - Explanation provided	Yes
10/9/2014 QRS	435093 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
10/16/2014 QRS	448898 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
10/17/2014 QRS	449036 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
10/17/2014 REGULATORY INQUIRY	448898 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
10/20/2014 QRS	439039 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
10/9/2014 REGULATORY INQUIRY	435093 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
10/24/2014 QRS	449908 Questionable Marketing Practices	Resolved - Explanation provided	Yes
10/27/2014 QRS	439587 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
10/31/2014 QRS	450738 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
10/17/2014 QRS	448898 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
10/27/2014 QRS	439587 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
11/3/2014 QRS	440227 Questionable Marketing Practices	Resolved - Explanation provided	Yes
11/3/2014 QRS	450972 Questionable Marketing Practices	Resolved - Explanation provided	Yes
11/3/2014 QRS	440297 Unclear Bill	Resolved - Explanation provided	Yes
11/4/2014 QRS	451082 Difficulties In Returning to T&D/LDC	Resolved - Explanation provided	Yes
11/4/2014 QRS	440371 Questionable Marketing Practices	Resolved - Explanation provided	Yes
11/4/2014 QRS	451372 Misrepresentation of Identity	Resolved - Explanation provided	Yes
11/6/2014 QRS	440675 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
11/6/2014 QRS	440683 Wants Refund On Overpayment	Resolved - Explanation provided	Yes
11/6/2014 QRS	440701 No Savings Realized	Resolved - Explanation provided	Yes
11/10/2014 QRS	440911 No Savings Realized	Resolved - Explanation provided	Yes
11/10/2014 QRS	441015 Questionable Marketing Practices	Resolved - Explanation provided	Yes
11/6/2014 QRS	440683 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
11/13/2014 QRS	451988 Dissatisfied w/ Terms & Conditions of Sales Agreement	Resolved - Explanation provided	Yes
11/14/2014 REGULATORY INQUIRY	451898 Questionable Marketing Practices	Resolved - Explanation provided	Yes
11/14/2014 REGULATORY INQUIRY	451236 Questionable Marketing Practices	Resolved - Explanation provided	Yes
11/20/2014 QRS	441867 Questionable Marketing Practices	Resolved - Explanation provided	Yes
11/25/2014 REGULATORY INQUIRY	435253 Wants Refund On Overpayment	Resolved - Explanation provided	Yes
11/12/2014 REGULATORY INQUIRY	451898 Questionable Marketing Practices	Resolved - Explanation provided	Yes
11/14/2014 REGULATORY INQUIRY	451236 Questionable Marketing Practices	Resolved - Explanation provided	Yes
12/3/2014 REGULATORY INQUIRY	453962 Questionable Marketing Practices	Resolved - Explanation provided	Yes
11/20/2014 QRS	441867 Questionable Marketing Practices	Resolved - Explanation provided	Yes
11/26/2014 REGULATORY INQUIRY	435253 Wants Refund On Overpayment	Resolved - Explanation provided	Yes
12/12/2014 REGULATORY INQUIRY	453620 Questionable Marketing Practices	Resolved - Explanation provided	Yes
12/17/2014 QRS	455648 Dissatisfied w/ Terms & Conditions of Sales Agreement	Resolved - Explanation provided	Yes
12/17/2014 QRS	455652 Dissatisfied w/ Terms & Conditions of Sales Agreement	Resolved - Explanation provided	Yes
12/18/2014 QRS	455798 Misrepresentation of Identity	Resolved - Explanation provided	Yes
12/3/2014 REGULATORY INQUIRY	453962 Questionable Marketing Practices	Resolved - Explanation provided	Yes

12/22/2014 QRS	456024 Early Termination Charge	Resolved - Explanation provided	Yes
12/24/2014 QRS	444433 Difficulties In Returning to T&D/LDC	Resolved - Explanation provided	Yes
12/17/2014 QRS	455648 Misrepresentation of Identity	Resolved - Explanation provided	Yes
12/18/2014 QRS	455798 Can Not Contact Company	Resolved - Explanation provided	Yes
1/5/2015 QRS	510096 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
1/5/2015 QRS	510271 Questionable Marketing Practices	Resolved - Explanation provided	Yes
1/6/2015 QRS	510573 Questionable Marketing Practices	Resolved - Explanation provided	Yes
1/7/2015 QRS	510344 Questionable Marketing Practices	Resolved - Explanation provided	Yes
1/5/2015 QRS	510271 Questionable Marketing Practices	Resolved - Explanation provided	Yes
1/6/2015 QRS	510573 Questionable Marketing Practices	Resolved - Explanation provided	Yes
12/24/2014 QRS	444433 Difficulties In Returning to T&D/LDC	Resolved - Explanation provided	Yes
1/9/2015 QRS	511011 Wants Refund On Overpayment	Resolved - Explanation provided	Yes
1/9/2015 REGULATORY INQUIRY	444849 Questionable Marketing Practices	Resolved - Explanation provided	Yes
1/12/2015 QRS	510714 Questionable Marketing Practices	Resolved - Explanation provided	Yes
1/13/2015 REGULATORY INQUIRY	435253 Wants Refund On Overpayment	Resolved - Explanation provided	Yes
1/15/2015 QRS	511883 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
1/15/2015 QRS	511895 High Bill	Resolved - Explanation provided	Yes
12/22/2014 QRS	456024 Early Termination Charge	Resolved - Explanation provided	Yes
1/5/2015 QRS	510096 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
1/7/2015 QRS	510344 No Savings Realized	Resolved - Explanation provided	Yes
1/9/2015 QRS	511011 Wants Refund On Overpayment	Resolved - Explanation provided	Yes
1/9/2015 QRS	444849 Questionable Marketing Practices	Resolved - Explanation provided	Yes
1/21/2015 QRS	512259 Questionable Marketing Practices	Resolved - Explanation provided	Yes
1/21/2015 QRS	510714 Questionable Marketing Practices	Resolved - Explanation provided	Yes
1/28/2015 QRS	512779 Wants Refund On Overpayment	Resolved - Explanation provided	Yes
2/9/2015 QRS	513653 High Bill	Resolved - Explanation provided	Yes
2/27/2015 QRS	516692 Questionable Marketing Practices	Resolved - Explanation provided	Yes
3/2/2015 QRS	516914 Difficulties In Returning to T&D/LDC	Resolved - Explanation provided	Yes
3/3/2015 QRS	517012 No Savings Realized	Resolved - Explanation provided	Yes
3/3/2015 QRS	515421 High Bill	Resolved - Explanation provided	Yes
3/3/2015 QRS	515427 Wants Refund On Overpayment	Resolved - Explanation provided	Yes
3/4/2015 QRS	517118 Alleged ESCO Slamming	Resolved - Explanation provided	Yes
3/10/2015 QRS	517878 Misrepresentation of Identity	Resolved - Explanation provided	Yes
3/10/2015 QRS	517940 Dissatisfied w/ Terms & Conditions of Sales Agreement	Resolved - Explanation provided	Yes
3/10/2015 QRS	516001 Misrepresentation of Identity	Resolved - Explanation provided	Yes
3/11/2015 QRS	516093 Questionable Marketing Practices	Resolved - Explanation provided	Yes
3/12/2015 QRS	516147 Can Not Contact Company	Resolved - Explanation provided	Yes
3/12/2015 QRS	518326 Dissatisfied with Price-No Savings Realized	Resolved - Explanation provided	Yes
3/12/2015 QRS	518362 Questionable Marketing Practices	Resolved - Explanation provided	Yes
3/16/2015 REGULATORY INQUIRY	517940 No Savings Realized	Resolved - Explanation provided	Yes
3/16/2015 QRS	518778 Dissatisfied with Price-No Savings Realized	Resolved - Explanation provided	Yes
3/16/2015 QRS	518784 Dissatisfied with Price-No Savings Realized	Resolved - Explanation provided	Yes
3/17/2015 QRS	516563 Wants Refund On Overpayment	Resolved - Explanation provided	Yes
3/18/2015 QRS	519052 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	Yes
3/18/2015 QRS	516713 High Bill	Resolved - Explanation provided	Yes
3/18/2015 QRS	519052 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	Yes
3/20/2015 REGULATORY INQUIRY	517012 Questionable Marketing Practices	Resolved - Explanation provided	Yes
3/20/2015 REGULATORY INQUIRY	516402 Questionable Marketing Practices	Resolved - Explanation provided	Yes

3/2/2015 QRS	516914 Difficulties In Returning to T&D/LDC	Resolved - Explanation provided	Yes
3/3/2015 QRS	517012 Questionable Marketing Practices	Resolved - Explanation provided	Yes
3/20/2015 REGULATORY INQUIRY	517012 Questionable Marketing Practices	Resolved - Explanation provided	Yes
3/23/2015 QRS	517067 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	Yes
3/23/2015 QRS	519832 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	Yes
3/10/2015 QRS	517878 Misrepresentation of Identity	Resolved - Explanation provided	Yes
3/10/2015 QRS	516001 Questionable Marketing Practices	Resolved - Explanation provided	Yes
3/11/2015 QRS	516093 Wants Refund On Overpayment	Resolved - Explanation provided	Yes
3/12/2015 QRS	516147 Can Not Contact Company	Resolved - Explanation provided	Yes
3/12/2015 QRS	518326 Dissatisfied with Price-No Savings Realized	Resolved - Explanation provided	Yes
3/12/2015 QRS	518362 Misrepresentation of Identity	Resolved - Explanation provided	Yes
3/27/2015 QRS	520510 Questionable Marketing Practices	Resolved - Explanation provided	Yes
3/30/2015 QRS	517653 Questionable Marketing Practices	Resolved - Explanation provided	Yes
3/16/2015 QRS	518784 Dissatisfied with Price-No Savings Realized	Resolved - Explanation provided	Yes
3/27/2015 AG	1224578 Question on enrollment and retention of her information	Resolved - Explanation provided	Yes
4/2/2015 QRS	521386 Dissatisfied with Price-No Savings Realized	Resolved - Explanation provided	Yes
4/7/2015 QRS	518427 High Bill	Resolved - Explanation provided	Yes
4/7/2015 QRS	521832 Wants Refund On Overpayment	Resolved - Explanation provided	Yes
3/18/2015 QRS	516713 High Bill	Resolved - Explanation provided	Yes
4/8/2015 QRS	521924 Difficulties In Returning to T&D/LDC	Resolved - Explanation provided	Yes
4/13/2015 QRS	522396 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	Yes
4/13/2015 QRS	517067 Questionable Marketing Practices	Resolved - Explanation provided	Yes
4/2/2015 QRS	521386 Questionable Marketing Practices	Resolved - Explanation provided	Yes
4/15/2015 QRS	522856 Difficulties In Returning to T&D/LDC	Resolved - Explanation provided	Yes
4/15/2015 QRS	522300 Misrepresentation of Identity	Resolved - Explanation provided	Yes
4/15/2015 QRS	519131 Misrepresentation of Identity	Resolved - Explanation provided	Yes
4/16/2015 QRS	519221 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	Yes
4/17/2015 QRS	523142 Misrepresentation of Identity	Resolved - Explanation provided	Yes
4/20/2015 QRS	519409 High Bill	Resolved - Explanation provided	Yes
4/7/2015 QRS	521832 Wants Refund On Overpayment	Resolved - Explanation provided	yes
4/8/2015 QRS	521924 Dissatisfied with Price-No Savings Realized	Resolved - Explanation provided	yes
4/24/2015 QRS	524270 Dissatisfied with Price-No Savings Realized	Resolved - Explanation provided	yes
4/24/2015 QRS	519991 Questionable Marketing Practices	Resolved - Explanation provided	yes
4/27/2015 QRS	524404 Difficulties In Returning to T&D/LDC	Resolved - Explanation provided	yes
4/13/2015 QRS	522396 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
4/15/2015 QRS	522856 Difficulties In Returning to T&D/LDC	Resolved - Explanation provided	yes
4/15/2015 QRS	522300 Misrepresentation of Identity	Resolved - Explanation provided	yes
4/15/2015 QRS	519131 Misrepresentation of Identity	Resolved - Explanation provided	yes
4/29/2015 QRS	520325 No Sales Agreement	Resolved - Explanation provided	yes
4/16/2015 QRS	519221 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
4/29/2015 QRS	520353 Wants Refund On Overpayment	Resolved - Explanation provided	yes
5/5/2015 QRS	520885 Wants Refund On Overpayment	Resolved - Explanation provided	yes
5/5/2015 QRS	525850 High Bill	Resolved - Explanation provided	yes
5/5/2015 QRS	520885 Wants Refund On Overpayment	Resolved - Explanation provided	yes
5/6/2015 REGULATORY INQUIRY	524270 Difficulties In Returning to T&D/LDC	Resolved - Explanation provided	yes
5/11/2015 QRS	526774 Questionable Marketing Practices	Resolved - Explanation provided	yes
4/24/2015 QRS	519991 Questionable Marketing Practices	Resolved - Explanation provided	yes
5/12/2015 QRS	521579 Questionable Marketing Practices	Resolved - Explanation provided	yes

5/12/2015 QRS	527062 Dissatisfied with Price-No Savings Realized	Resolved - Explanation provided	yes
5/13/2015 QRS	527194 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
5/15/2015 QRS	527766 Misrepresentation of Identity	Resolved - Explanation provided	yes
5/15/2015 QRS	521847 Dissatisfied with Price-No Savings Realized	Resolved - Explanation provided	yes
5/6/2015 REGULATORY INQUIRY	524270 Questionable Marketing Practices	Resolved - Explanation provided	yes
5/20/2015 REGULATORY INQUIRY	522422 Questionable Marketing Practices	Resolved - Explanation provided	yes
5/11/2015 QRS	526774 Questionable Marketing Practices	Resolved - Explanation provided	yes
5/12/2015 QRS	521579 Questionable Marketing Practices	Resolved - Explanation provided	yes
5/27/2015 QRS	529322 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
5/13/2015 QRS	527194 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
5/28/2015 QRS	522823 Questionable Marketing Practices	Resolved - Explanation provided	yes
5/15/2015 QRS	527766 Questionable Marketing Practices	Resolved - Explanation provided	yes
5/20/2015 AG	1225763 Alleged Questionable Marketing Practices	Resolved - Explanation provided	yes
5/29/2015 QRS	529888 Questionable Marketing Practices	Resolved - Explanation provided	yes
6/2/2015 QRS	530406 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
6/3/2015 QRS	530726 Dissatisfied with Price-No Savings Realized	Resolved - Explanation provided	yes
6/3/2015 QRS	530728 Wants Refund On Overpayment	Resolved - Explanation provided	yes
6/3/2015 QRS	530768 Questionable Marketing Practices	Resolved - Explanation provided	yes
6/5/2015 QRS	531466 Questionable Marketing Practices	Resolved - Explanation provided	yes
6/8/2015 QRS	531848 Questionable Marketing Practices	Resolved - Explanation provided	yes
5/29/2015 QRS	529888 Questionable Marketing Practices	Resolved - Explanation provided	yes
6/11/2015 QRS	523345 Questionable Marketing Practices	Resolved - Explanation provided	yes
6/2/2015 QRS	530406 Questionable Marketing Practices	Resolved - Explanation provided	yes
6/2/2015 QRS	531466 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
6/2/2015 QRS	523415 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
6/16/2015 REGULATORY INQUIRY	520877 Questionable Marketing Practices	Resolved - Explanation provided	yes
6/16/2015 REGULATORY INQUIRY	524694 Misrepresentation of Identity	Resolved - Explanation provided	yes
6/16/2015 REGULATORY INQUIRY	525106 Questionable Marketing Practices	Resolved - Explanation provided	yes
6/16/2015 QRS	533576 Questionable Marketing Practices	Resolved - Explanation provided	yes
6/3/2015 QRS	530726 Wants Refund.On Overpayment	Resolved - Explanation provided	yes
6/16/2015 QRS	520877 Questionable Marketing Practices	Resolved - Explanation provided	yes
6/16/2015 QRS	524274 Questionable Marketing Practices	Resolved - Explanation provided	yes
6/8/2015 QRS	531848 Questionable Marketing Practices	Resolved - Explanation provided	yes
6/11/2015 QRS	523345 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
6/23/2015 QRS	534776 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
6/24/2015 QRS	534968 Questionable Marketing Practices	Resolved - Explanation provided	yes
6/23/2015 QRS	534776 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
6/26/2015 QRS	524161 Wants Refund On Overpayment	Resolved - Explanation provided	yes
6/26/2015 QRS	524165 Wants Refund On Overpayment	Resolved - Explanation provided	yes
6/29/2015 QRS	535480 Dissatisfied with Price-No Savings Realized	Resolved - Explanation provided	yes
6/16/2015 QRS	524694 Misrepresentation of Identity	Resolved - Explanation provided	yes
6/16/2015 QRS	525106 Questionable Marketing Practices	Resolved - Explanation provided	yes
6/16/2015 QRS	533576 Questionable Marketing Practices	Resolved - Explanation provided	yes
7/1/2015 QRS	536130 Wants Refund On Overpayment	Resolved - Explanation provided	yes
7/1/2015 QRS	536140 Misrepresentation of Identity	Resolved - Explanation provided	yes
7/3/2015 REGULATORY INQUIRY	524404 Difficulties In Returning to T&D/LDC	Resolved - Explanation provided	yes
7/6/2015 QRS	536534 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
7/26/2015 QRS	524165 Wants Refund On Overpayment	Resolved - Explanation provided	yes

6/24/2015 QRS	534968 Questionable Marketing Practices	Resolved - Explanation provided	yes
7/8/2015 QRS	537234 Misrepresentation of Identity	Resolved - Explanation provided	yes
7/9/2015 REGULATORY INQUIRY	535480 Dissatisfied with Price-No Savings Realized	Resolved - Explanation provided	yes
7/13/2015 QRS	537840 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
7/3/2015 REGULATORY INQUIRY	524404 Difficulties In Returning to T&D/LDC	Resolved - Explanation provided	yes
7/15/2015 QRS	538326 Dissatisfied with Price-No Savings Realized	Resolved - Explanation provided	yes
7/1/2015 QRS	536130 Dissatisfied with Price-No Savings Realized	Resolved - Explanation provided	yes
7/15/2015 QRS	538326 Dissatisfied with Price-No Savings Realized	Resolved - Explanation provided	yes
7/16/2015 REGULATORY INQUIRY	530406 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
7/6/2015 QRS	536534 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
7/20/2015 QRS	539036 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
7/20/2015 QRS	539648 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
7/8/2015 QRS	537234 Misrepresentation of Identity	Resolved - Explanation provided	yes
7/28/2015 QRS	540206 Dissatisfied with Price-No Savings Realized	Resolved - Explanation provided	yes
7/28/2015 REGULATORY INQUIRY	530406 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
7/29/2015 REGULATORY INQUIRY	539736 Questionable Marketing Practices	Resolved - Explanation provided	yes
7/30/2015 REGULATORY INQUIRY	536534 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
7/30/2015 REGULATORY INQUIRY	540714 Questionable Marketing Practices	Resolved - Explanation provided	yes
7/30/2015 QRS	540750 Questionable Marketing Practices	Resolved - Explanation provided	yes
7/31/2015 QRS	540840 Misrepresentation of Identity	Resolved - Explanation provided	yes
8/4/2015 QRS	541552 Questionable Marketing Practices	Resolved - Explanation provided	yes
8/4/2015 QRS	541552 Questionable Marketing Practices	Resolved - Explanation provided	yes
8/6/2015 QRS	526549 Questionable Marketing Practices	Resolved - Explanation provided	yes
8/7/2015 QRS	542242 Questionable Marketing Practices	Resolved - Explanation provided	yes
7/6/2015 QRS	536534 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
7/28/2015 QRS	540206 Dissatisfied with Price-No Savings Realized	Resolved - Explanation provided	yes
7/29/2015 QRS	539736 Questionable Marketing Practices	Resolved - Explanation provided	yes
8/12/2015 QRS	542906 Questionable Marketing Practices	Resolved - Explanation provided	yes
8/12/2015 QRS	542920 Inaccurate Bill	Resolved - Explanation provided	yes
8/12/2015 REGULATORY INQUIRY	543018 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
8/12/2015 REGULATORY INQUIRY	539036 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
7/30/2015 QRS	540714 Questionable Marketing Practices	Resolved - Explanation provided	yes
8/14/2015 QRS	543390 Questionable Marketing Practices	Resolved - Explanation provided	yes
8/17/2015 QRS	543560 Questionable Marketing Practices	Resolved - Explanation provided	yes
7/30/2015 QRS	540750 Misrepresentation of Identity	Resolved - Explanation provided	yes
7/31/2015 QRS	540840 Misrepresentation of Identity	Resolved - Explanation provided	yes
4/23/3388 QRS	543596 Difficulties In Returning to T&D/LDC	Resolved - Explanation provided	yes
8/17/2015 QRS	543710 Difficulties In Returning to T&D/LDC	Resolved - Explanation provided	yes
8/20/2015 QRS	544374 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
8/7/2015 QRS	542242 Questionable Marketing Practices	Resolved - Explanation provided	yes
8/21/2015 QRS	544678 Dissatisfied with Price-No Savings Realized	Resolved - Explanation provided	yes
8/21/2015 REGULATORY INQUIRY	524165 Dissatisfied with Price-No Savings Realized	Resolved - Explanation provided	yes
8/21/2015 QRS	544780 Questionable Marketing Practices	Resolved - Explanation provided	yes
8/12/2015 QRS	542906 Questionable Marketing Practices	Resolved - Explanation provided	yes
6/2/2015 REGULATORY INQUIRY	530406 Alleged ESCO Slamming	Resolved - Explanation provided	yes
8/12/2015 QRS	542920 Inaccurate Bill	Resolved - Explanation provided	yes
8/25/2015 QRS	545216 Misrepresentation of Identity	Resolved - Explanation provided	yes
8/27/2015 QRS	545612 Questionable Marketing Practices	Resolved - Explanation provided	yes

8/31/2015 QRS	546060 Misrepresentation of Identity	Resolved - Explanation provided	yes
8/17/2015 QRS	543560 Questionable Marketing Practices	Resolved - Explanation provided	yes
8/17/2015 QRS	543596 Difficulties In Returning to T&D/LDC	Resolved - Explanation provided	yes
8/21/2015 REGULATORY INQUIRY	524165 Dissatisfied with Price-No Savings Realized	Resolved - Explanation provided	yes
8/17/2015 QRS	543710 Difficulties In Returning to T&D/LDC	Resolved - Explanation provided	yes
9/2/2015 QRS	527899 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
9/2/2015 QRS	527937 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
9/3/2015 QRS	546648 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
9/4/2015 QRS	528039 Misrepresentation of Identity	Resolved - Explanation provided	yes
9/4/2015 QRS	546904 Questionable Marketing Practices	Resolved - Explanation provided	yes
9/8/2015 QRS	547036 Misrepresentation of Identity	Resolved - Explanation provided	yes
8/21/2015 QRS	544678 High Bill	Resolved - Explanation provided	yes
8/21/2015 QRS	544780 Questionable Marketing Practices	Resolved - Explanation provided	yes
8/25/2015 QRS	545216 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
8/27/2015 QRS	545612 Questionable Marketing Practices	Resolved - Explanation provided	yes
9/11/2015 QRS	548064 Questionable Marketing Practices	Resolved - Explanation provided	yes
9/14/2015 QRS	548222 Questionable Marketing Practices	Resolved - Explanation provided	yes
9/14/2015 QRS	548300 Questionable Marketing Practices	Resolved - Explanation provided	yes
8/31/2015 QRS	546060 Misrepresentation of Identity	Resolved - Explanation provided	yes
9/2/2015 QRS	527899 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
9/16/2015 QRS	548712 Misrepresentation of Identity	Resolved - Explanation provided	yes
9/2/2015 QRS	527937 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
9/16/2015 QRS	548826 Questionable Marketing Practices	Resolved - Explanation provided	yes
9/16/2015 QRS	548712 Misrepresentation of Identity	Resolved - Explanation provided	yes
9/3/2015 QRS	546648 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
9/4/2015 QRS	528039 Misrepresentation of Identity	Resolved - Explanation provided	yes
9/4/2015 QRS	546904 Misrepresentation of Identity	Resolved - Explanation provided	yes
9/17/2015 QRS	548912 Dissatisfied with Price-No Savings Realized	Resolved - Explanation provided	yes
9/18/2015 QRS	549294 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
9/8/2015 QRS	547036 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
9/11/2015 QRS	548064 Questionable Marketing Practices	Resolved - Explanation provided	yes
9/21/2015 QRS	528855 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
9/22/2015 REGULATORY INQUIRY	543202 Questionable Marketing Practices	Resolved - Explanation provided	yes
9/22/2015 REGULATORY INQUIRY	526737 Questionable Marketing Practices	Resolved - Explanation provided	yes
9/25/2015 QRS	550480 Questionable Marketing Practices	Resolved - Explanation provided	yes
9/14/2015 QRS	548222 Questionable Marketing Practices	Resolved - Explanation provided	yes
9/14/2015 QRS	548300 Questionable Marketing Practices	Resolved - Explanation provided	yes
9/22/2015 REGULATORY INQUIRY	526737 Questionable Marketing Practices	Resolved - Explanation provided	yes
9/22/2015 REGULATORY INQUIRY	543202 Questionable Marketing Practices	Resolved - Explanation provided	yes
9/30/2015 AG	1249440 High bill complaint	Resolved - Explanation provided	yes
10/2/2015 REGULATORY INQUIRY	539648 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
9/16/2015 QRS	548826 Dissatisfied with Price-No Savings Realized	Resolved - Explanation provided	yes
10/5/2015 QRS	551766 Questionable Marketing Practices	Resolved - Explanation provided	yes
9/17/2015 QRS	548912 Dissatisfied with Sales Agreement/Early Termination Fee	Resolved - Explanation provided	yes
10/5/2015 QRS	529687 Questionable Marketing Practices	Resolved - Explanation provided	yes
10/8/2015 QRS	529907 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
10/9/2015 QRS	529985 Questionable Marketing Practices	Resolved - Explanation provided	yes
9/21/2015 QRS	528855 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes

9/25/2015 QRS	550480 Questionable Marketing Practices	Resolved - Explanation provided	yes
10/2/2015 REGULATORY INQUIRY	539648 Difficulties In Returning to T&D/LDC	Resolved - Explanation provided	yes
10/14/2015 QRS	553232 Misrepresentation of Identity	Resolved - Explanation provided	yes
10/16/2015 QRS	553802 Questionable Marketing Practices	Resolved - Explanation provided	yes
10/16/2015 QRS	553850 Dissatisfied with Price-No Savings Realized	Resolved - Explanation provided	yes
10/19/2015 QRS	554104 Dissatisfied with Price-No Savings Realized	Resolved - Explanation provided	yes
10/20/2015 QRS	554244 Questionable Marketing Practices	Resolved - Explanation provided	yes
10/5/2015 QRS	551766 Questionable Marketing Practices	Resolved - Explanation provided	yes
10/5/2015 QRS	529687 Dissatisfied with Price-No Savings Realized	Resolved - Explanation provided	yes
10/21/2015 QRS	530501 Dissatisfied with Price-No Savings Realized	Resolved - Explanation provided	yes
10/8/2015 QRS	529907 Early Termination Charge	Resolved - Explanation provided	yes
10/22/2015 QRS	554784 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
10/23/2015 REGULATORY INQUIRY	528327 Misrepresentation of Identity	Resolved - Explanation provided	yes
10/9/2015 QRS	529985 Questionable Marketing Practices	Resolved - Explanation provided	yes
10/14/2015 QRS	553232 alleged ESCO Slamming	Resolved - Explanation provided	yes
10/14/2015 QRS	555184 Misrepresentation of Identity	Resolved - Explanation provided	yes
10/16/2015 QRS	553802 Wants Refund On Overpayment	Resolved - Explanation provided	yes
10/30/2015 QRS	556106 Other ESCO Matters	Resolved - Explanation provided	yes
10/16/2015 QRS	553850 Dissatisfied with Price-No Savings Realized	Resolved - Explanation provided	yes
11/4/2015 QRS	556752 Questionable Marketing Practices	Resolved - Explanation provided	yes
10/23/2015 REGULATORY INQUIRY	528327 Questionable Marketing Practices	Resolved - Explanation provided	yes
10/20/2015 QRS	554244 Questionable Marketing Practices	Resolved - Explanation provided	yes
10/21/2015 QRS	530501 questionable Marketing Practices	Resolved - Explanation provided	yes
10/22/2015 QRS	554784 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
10/26/2015 QRS	555184 Misrepresentation of Identity	Resolved - Explanation provided	yes
11/4/2015 QRS	556752 Questionable Marketing Practices	Resolved - Explanation provided	yes
12/1/2015 QRS	560216 alleged ESCO Slamming	Resolved - Explanation provided	yes
12/2/2015 QRS	532441 alleged ESCO Slamming	Resolved - Explanation provided	yes
12/1/2015 QRS	560216 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
12/7/2015 QRS	560850 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
12/7/2015 QRS	560852 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
12/10/2015 QRS	532821 Questionable Marketing Practices	Resolved - Explanation provided	yes
12/15/2015 QRS	561836 Can Not Contact Company	Resolved - Explanation provided	yes
12/16/2015 QRS	562112 Questionable Marketing Practices	Resolved - Explanation provided	yes
10/8/3357 QRS	532441 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
12/18/2015 QRS	533229 Dissatisfied with Price-No Savings Realized	Resolved - Explanation provided	yes
12/18/2015 QRS	533205 Questionable Marketing Practices	Resolved - Explanation provided	yes
12/7/2015 QRS	560850 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
12/15/2015 QRS	561836 alleged ESCO Slamming	Resolved - Explanation provided	yes
12/18/2015 QRS	533229 Back Billing	Resolved - Explanation provided	yes
12/18/2015 QRS	533205 Questionable Marketing Practices	Resolved - Explanation provided	yes
12/17/2015 AG	1256345 Requested refund	Resolved - Explanation provided	yes
12/21/2015 AG	1259926 Confusion about information received	Resolved - Explanation provided	yes
1/12/2015 QRS	611508 Misrepresentation of Identity	Resolved - Explanation provided	yes
1/13/2015 QRS	611816 Misrepresentation of Identity	Resolved - Explanation provided	yes
1/14/2016 QRS	611862 Questionable Marketing Practices	Resolved - Explanation provided	yes
1/15/2015 QRS	612170 Misrepresentation of Identity	Resolved - Explanation provided	yes
1/19/2015 QRS	612236 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes

1/19/2015 QRS	612258 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
1/19/2015 QRS	612236 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
1/25/2016 QRS	610915 Questionable Marketing Practices	Resolved - Explanation provided	yes
1/12/2016 QRS	611508 Misrepresentation of Identity	Resolved - Explanation provided	yes
1/13/2016 QRS	611816 Misrepresentation of Identity	Resolved - Explanation provided	yes
2/1/2016 QRS	614558 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
1/14/2016 QRS	611862 Questionable Marketing Practices	Resolved - Explanation provided	yes
2/1/2016 QRS	614630 Misrepresentation of Identity	Resolved - Explanation provided	yes
1/15/2016 QRS	612170 Misrepresentation of Identity	Resolved - Explanation provided	yes
1/22/2016 Civil Court County of NY	Q152/2016 Wants Refund On Overpayment	Resolved in small claims court	yes
2/2/2016 QRS	611261 Misrepresentation of Identity	Resolved - Explanation provided	yes
2/2/2016 QRS	611275 Questionable Marketing Practices	Resolved - Explanation provided	yes
2/9/2016 QRS	615892 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
2/11/2016 AG	1269184 Questionable Marketing	Resolved - Explanation provided	yes
2/11/2016 QRS	616256 Difficulties In Returning to T&D/LDC - Delay in Cancellation of ES	Resolved - Explanation provided	yes
1/25/2016 QRS	610915 Questionable Marketing Practices	Resolved - Explanation provided	yes
2/16/2016 QRS	611829 alleged ESCO Slamming	Resolved - Explanation provided	yes
2/16/2016 QRS	611261 Questionable Marketing Practices	Resolved - Explanation provided	yes
2/26/2016 QRS	618502 questionable Marketing Practices	Resolved - Explanation provided	yes
2/11/2016 QRS	616256 Difficulties In Returning to T&D/LDC - Delay in Cancellation of ES	Resolved - Explanation provided	yes
3/1/2016 QRS	618982 Dissatisfied with Price-No Savings Realized	Resolved - Explanation provided	yes
3/3/2016 QRS	619424 Questionable Marketing Practices	Resolved - Explanation provided	yes
2/16/2016 QRS	611829 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
3/10/2016 QRS	620362 Dissatisfied with Price-No Savings Realized	Resolved - Explanation provided	yes
3/17/2016 QRS	613207 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
3/1/2016 QRS	618982 Dissatisfied with Price-No Savings Realized	Resolved - Explanation provided	yes
3/3/2016 QRS	619424 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
3/31/2016 QRS	623012 Dissatisfied with Price-No Savings Realized	Resolved - Explanation provided	yes
3/31/2016 QRS	623076 alleged ESCO Slamming	Resolved - Explanation provided	yes
4/4/2016 REGULATORY INQUIRY	619424 Questionable Marketing Practices	Resolved - Explanation provided	yes
4/12/2016 QRS	624370 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
4/12/2016 QRS	624370 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
5/4/2016 QRS	627088 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
5/10/2016 QRS	627742 Dissatisfied with Price-No Savings Realized	Resolved - Explanation provided	yes
5/10/2016 QRS	627850 Difficulties In Returning to T&D/LDC - Delay in Cancellation of ES	Resolved - Explanation provided	yes
5/18/2016 REGULATORY INQUIRY	627850 Difficulties In Returning to T&D/LDC - Delay in Cancellation of ES	Resolved - Explanation provided	yes
5/20/2016 QRS	616599 Questionable Marketing Practices	Resolved - Explanation provided	yes
5/10/2016 QRS	627850 Difficulties In Returning to T&D/LDC - Delay in Cancellation of ES	Resolved - Explanation provided	yes
6/3/2016 REGULATORY INQUIRY	619424 Questionable Marketing Practices	Resolved - Explanation provided	yes
7/18/2016 QRS	621121 Questionable Marketing Practices	Resolved - Explanation provided	yes
8/9/2011 AG	1299876 Check issue for rewards program	Resolved - Explanation provided	yes
8/12/2016 QRS	623239 Questionable Marketing Practices	Resolved - Explanation provided	yes
8/13/2016 QRS	624043 Svc Disconnect Request Not Done	Resolved - Explanation provided	yes
9/16/2016 QRS	641424 Dissatisfied with Price-No Savings Realized	Resolved - Explanation provided	yes
10/5/2016 REGULATORY INQUIRY	624370 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
10/5/2016 REGULATORY INQUIRY	627088 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
10/12/2016 Small Claims (Queens)	152.SCQ2016 Requesting refund and alleges slamming	Resolved - Explanation provided	yes
11/2/2016 REGULATORY INQUIRY	646602 Estimated Bill	Resolved - Explanation provided	yes

12/8/2016 QRS	649400 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
1/26/2017 QRS	711776 Questionable Marketing Practices	Resolved - Explanation provided	yes
2/23/2017 QRS	712861 Dissatisfied with Price-No Savings Realized	Resolved - Explanation provided	yes
2/13/2017 AG	1329005 Dissatisfied with customer service	Resolved - Explanation provided	yes
2/15/2017 QRS	713084 Wants Refund On Overpayment	Resolved - Explanation provided	yes
2/17/2017 QRS	713084 Dissatisfied with Price-No Savings Realized	Resolved - Explanation provided	yes
2/28/2017 QRS	714647 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
3/8/2017 QRS	714980 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
3/15/2017 QRS	715720 Other ESCO Matters	Resolved - Explanation provided	yes
3/16/2017 REGULATORY INQUIRY	713084 Dissatisfied with Price-No Savings Realized	Resolved - Explanation provided	yes
3/8/2017 QRS	714980 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
3/28/2017 REGULATORY INQUIRY	714647 Alleged ESCO Slamming	Resolved - Explanation provided	yes
4/11/2017 QRS	718226 ESCO Slamming/Alledged Slam	Resolved - Explanation provided	yes
5/10/2017 REGULATORY INQUIRY	715720 Other ESCO Matters	Resolved - Explanation provided	yes
4/25/2017 QRS	719039 Questionable Marketing Practices	Resolved - Explanation provided	yes
5/10/2017 REGULATORY INQUIRY	715720 Other ESCO Matters	Resolved - Explanation provided	yes
9/22/2014 Yonkers County	No solitication permit	Resolved - Applied for permit, paid fine	Yes
12/9/2016 AG	1259926 Did not understand the enrollment process	Resolved - Explanation provided	yes
9/9/2014 Civil Court County of Richomd	836.SCR2014 1 Breach of Agreement	Dismissed	yes

KIWI ENERGY NY LLC - BETTER BUSINESS BUREAU

ID	Opened on	Closed on	Status
10122219	7/8/2014	7/29/2014	Complaint Answered
10122175	7/8/2014	7/29/2014	Complaint Answered
10136178	7/16/2014	7/16/2014	Complaint received as information only by the BBB
10151271	7/28/2014	8/15/2014	The BBB considers this complaint resolved.
10151076	7/28/2014	8/19/2014	Complaint Answered
10156490	7/30/2014	8/21/2014	Complaint Answered
10193936	8/26/2014	8/26/2014	Complaint received as information only by the BBB
10209487	9/8/2014	10/6/2014	Complaint Answered
10223843	9/17/2014	10/13/2014	Complaint Answered
10226031	9/18/2014	10/5/2014	The BBB considers this complaint resolved.
10228118	9/19/2014	9/19/2014	Complaint received as information only by the BBB
10229739	9/22/2014	10/11/2014	The BBB considers this complaint resolved.
10230200	9/22/2014	10/14/2014	Complaint Answered
10230058	9/22/2014	10/14/2014	Complaint Answered
10249591	10/6/2014	10/6/2014	Complaint received as information only by the BBB
10270244	10/20/2014	10/20/2014	Complaint received as information only by the BBB
10290513	11/3/2014	11/24/2014	Complaint Answered
10295376	11/5/2014	11/23/2014	The BBB considers this complaint resolved.
10294910	11/5/2014	11/28/2014	Complaint Answered
10303874	11/12/2014	12/11/2014	Complaint Answered
10310674	11/18/2014	11/18/2014	Complaint received as information only by the BBB
10314369	11/20/2014	12/15/2014	Complaint Answered
10338277	12/8/2014	12/29/2014	Complaint Answered
10338238	12/8/2014	12/29/2014	Complaint Answered
10340094	12/10/2014	12/30/2014	The BBB considers this complaint resolved.
10347164	12/16/2014	12/16/2014	Complaint received as information only by the BBB
10349923	12/17/2014	12/17/2014	Complaint received as information only by the BBB
10364351	1/5/2015	1/12/2015	Complaint Answered
10389544	1/12/2015	1/31/2015	The BBB considers this complaint resolved.
10415720	1/26/2015	2/10/2015	The BBB considers this complaint resolved.
10438351	2/10/2015	2/10/2015	Complaint received as information only by the BBB
10506828	3/2/2015	3/17/2015	The BBB considers this complaint resolved.
10517557	3/6/2015	3/31/2015	Complaint Answered
10517637	3/6/2015	4/1/2015	Complaint Answered
10529944	3/12/2015	3/12/2015	Complaint received as information only by the BBB
10540944	3/20/2015	4/10/2015	Complaint Answered
10546254	3/24/2015	4/14/2015	Complaint Answered
10552784	3/30/2015	4/11/2015	The BBB considers this complaint resolved.
10552670	3/30/2015	4/14/2015	The BBB considers this complaint resolved.
10553767	3/30/2015	4/17/2015	The BBB considers this complaint resolved.
10567207	4/7/2015	4/28/2015	Complaint Answered
10571166	4/9/2015	4/10/2015	The BBB considers this complaint resolved.
10578001	4/15/2015	5/6/2015	Complaint Answered
10605773	5/4/2015	5/4/2015	Complaint received as information only by the BBB
10606345	5/4/2015	5/26/2015	Complaint Answered
10608545	5/5/2015	6/15/2015	Complaint Answered
10612841	5/7/2015	5/28/2015	The BBB considers this complaint resolved.
10660064	6/9/2015	6/9/2015	Complaint received as information only by the BBB
10660795	6/9/2015	6/29/2015	Complaint Answered
10664744	6/11/2015	7/14/2015	Complaint Answered
10698393	7/6/2015	7/27/2015	Complaint Answered
10711682	7/13/2015	7/13/2015	Complaint received as information only by the BBB
10711158	7/13/2015	8/3/2015	Complaint Answered
10717160	7/15/2015	8/19/2015	Complaint Answered
10719595	7/17/2015	7/17/2015	Complaint received as information only by the BBB
10819204	9/18/2015	9/19/2015	The BBB considers this complaint resolved.
10819246	9/18/2015	10/2/2015	Complaint Answered

10822267	9/21/2015	10/13/2015 Complaint Answered
10845480	10/6/2015	11/12/2015 Complaint Answered
10856232	10/14/2015	11/17/2015 Complaint Answered
10884414	10/29/2015	11/19/2015 Complaint Answered
10835861	10/29/2015	11/19/2015 Complaint Answered
10944727	11/10/2015	11/26/2015 The BBB considers this complaint resolved.
10948031	11/12/2015	12/7/2015 Complaint Answered
10963192	11/20/2015	11/20/2015 Complaint received as information only by the BBB
10967518	11/24/2015	12/17/2015 Complaint Answered
10992686	12/14/2015	1/9/2016 The BBB considers this complaint resolved.
11026892	1/6/2016	1/22/2016 The BBB considers this complaint resolved.
11028826	1/7/2016	1/7/2016 Complaint received as information only by the BBB
11089243	1/19/2016	1/19/2016 Complaint received as information only by the BBB
11096384	1/21/2016	2/13/2016 The BBB considers this complaint resolved.
11108498	1/28/2016	3/14/2016 Complaint Answered
11124638	2/8/2016	3/28/2016 Complaint Answered
11134880	2/16/2016	3/3/2016 The BBB considers this complaint resolved.
11144128	2/19/2016	2/19/2016 Complaint received as information only by the BBB
11157037	2/26/2016	3/18/2016 The BBB considers this complaint resolved.
11197244	3/11/2016	3/21/2016 Complaint Answered
11249306	3/22/2016	4/22/2016 The BBB considers this complaint resolved.
11568102	7/18/2016	8/23/2016 Complaint Answered
11940268	1/17/2017	1/17/2017 Complaint received as information only by the BBB
11971381	2/3/2017	3/13/2017 Complaint Answered
12153948	5/15/2017	5/30/2017 Complaint Answered

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Exhibit 6

Exhibit 6**Section 8: Technical Fitness****A. EXPERIENCE, PLAN, STRUCTURE****1. Experience**

Spring Energy RRH, LLC's officers and management came to the Company with years of experience managing the kinds of business, technical and customer service issues that commonly arise during the operation of a retail natural gas and electric supply company.

a. Owners

Donald Cheesman and Stephen Eskrigge have a strong record of establishing and managing successful energy companies in deregulated markets. They have been major shareholders and the key managers of six successful energy companies in the deregulated energy markets in the United States, Australia and New Zealand: Kiwi Energy NY LLC, Frontier Utilities Inc., Energy Online, Victoria Electricity, Queensland Electricity, Direct Connect Australia Pty Ltd. The New Zealand market has been fully deregulated since 1998 while the Victorian market in Australia deregulated in 2003. In the past few years, Victoria has been one of the most competitive energy markets in the world. The Queensland market in Australia opened to competition in 2007 and now rivals Victoria for competitiveness.

Richard Booth brings 10 years of experience in overseeing operations and acquiring customers in the competitive energy markets in the United States, New Zealand and Australia. Richard is currently the President of Retail Operations at Kiwi Energy NY LLC. Richard oversees the operations at Kiwi Energy NY LLC, an energy supplier in New York, and Spring Power & Gas, an energy supplier in New Jersey and Maryland. The Companies currently have a combined customer base of more than 85,000. Previously, Richard was in a similar role at Frontier Utilities based in Texas. Prior to his role at Frontier Utilities, Richard was the General Manager of Sales and Marketing at Victoria Electricity. Richard was responsible for a team of 200 employees which consisted of 12 supply partners and 150 staff members. This team covered customer acquisition and retention, and marketing and electricity, gas and telecommunications markets in Victoria, New South Wales, Queensland and South Australia. Richard's team was also responsible for Ecogold, a loyalty program which included an environmental fund with ongoing communication of special offers to customers from various business partners. When Richard left Victoria Electricity to set up Frontier Utilities in Texas, the Company had more than 400,000 customers. Prior to joining Victoria Electricity, Richard managed the commercial sales team at Energy Online.

Lisa Hawkins joined Kiwi Energy as the Chief Financial Officer in April 2012. Lisa is responsible for managing the financial and economic risks of the Company. She manages the financial planning, record keeping, and reporting for Kiwi Energy. Prior to joining Kiwi, Lisa was a Manager within the Assurance Practice at PricewaterhouseCoopers, LLP ("PwC"). There, she gained significant experience in public and private client audits within a range of industries including mortgage banking, oil & gas, manufacturing, and other financial services. She assisted her clients with SEC filings, including Form 10-K and 10-Q filings, governmental compliance requirements and public and private debt/equity offering transactions. She has served multinational corporations as well as venture capital funds. She earned a Bachelor's

degree in Business Administration and Master in Professional Accounting from The University of Texas and is a licensed Certified Public Accountant in both the states of Texas and New York.

Michael Lordi has been the VP of Supply for Kiwi Energy since July 2014. He has over 25 years of experience in the energy industry. After receiving a degree in electrical engineering, Michael joined the transmission planning department at Consolidated Edison Company in New York. He continued power system planning and operations at the Northeast Power Coordinating Council (NPCC). At NPCC, Michael performed studies that determine power transfer capabilities in the Northeast and Mid-Atlantic regions. Michael moved to trading electricity and natural gas when he joined Enron Power Marketing. Michael next held senior trading position at Duke/Louis Dreyfus and CNG Energy Services. At CNG, Michael was responsible all wholesale and retail electricity activities. Following CNG, Michael joined Électricité de France (EDFT) in London. At EDFT, he was head of all electricity trading and asset optimization in Europe. Before joining Kiwi Energy, Michael was the Executive Director of Retail Energy Business Development at Gulf Oil. At Gulf Oil, Michael was responsible for the retail electric business unit including supply desk activities.

b. Previous Experience in the Industry

Spring Energy RRH, LLC

Pure Energy USA LLC was originally formed on November 14, 2013 as a Delaware Limited Liability Company. A Certificate of Amendment was filed and Pure Energy USA LLC changed its name to Spring Energy RRH, LLC on February 3, 2015.

On July 22, 2015, the Maryland Public Service Commission granted Spring Energy RRH, LLC d/b/a Spring Power & Gas ("Spring Power & Gas") a license to supply electricity (License Reference No. IR-3537) and a license to supply natural gas (License Reference No. IR-3550) (See Exhibits 11 and 12). It is currently active in the Baltimore Gas & Electric service territory and is planning on expanding into Washington Gas and Pepco. Spring Power & Gas markets to residential customers.

On July 22, 2015, the New Jersey Board of Public Utilities granted Spring Energy RRH, LLC d/b/a Spring Power & Gas ("Spring Power & Gas") a license to conduct business as an electric power supplier (License No. ESL-0176) and as a gas supplier (License No. GSL-0153) (See Exhibits 13 and 14). The current utility service areas are: Public Service Enterprise Group (PSEG), Jersey Central Power & Light (JCP&L), and New Jersey Natural Gas (NJNG). Spring Power & Gas markets to residential customers.

Spring Power & Gas has contracted with approximately 6,820 electric customers and approximately 6,310 natural gas customers in the New Jersey and Maryland markets.

Affiliates

Spring Energy RRH, LLC has several affiliates. One affiliate is Kiwi Energy NY LLC d/b/a Kiwi Energy, Kiwi Energy NY LLC was formed in December 2008, (originally incorporated as Frontier Utilities Inc. (NY)) with Donald Cheesman and Stephen Eskrigge as shareholders. In 2012, with the sale of their Texas based company Frontier Utilities Inc., the shareholders rebranded Frontier Utilities Inc. (NY) as Kiwi Energy and the operations were moved from Texas to New York. Kiwi Energy markets predominantly to New York residential with some small commercial customers and has contracted with more than 85,000 customers in New York. The current utility service areas include the following:

National Grid, ConEd, New York State Electric & Gas, Rochester Gas & Electric, and Central Hudson. Kiwi Energy recently applied for a license to be an electric and natural gas supplier in Ohio.

Another affiliate is Arrow Energy RRH, LLC d/b/a Arrow Energy. Arrow Energy RRH, LLC is Delaware Limited Liability Company, formed on February 12, 2015. On January 20, 2016, the Public Utilities Commission of Ohio certified Arrow Energy RRH, LLC to be a retail natural gas marketer (Certificate No. 16-494G(1)) (See Exhibit 15) On January 28, 2016, the Public Utilities Commission of Ohio certified Arrow Energy to be a retail electric service supplier (Certificate No. 16-1041E(1)) (See Exhibit 16). Arrow Energy has not yet commenced business in Ohio.

c. Previous Experience in the Industry: Continued

Frontier Utilities Inc.

Frontier Utilities Inc. was formed in August 2008 with Donald Cheesman and Steve Eskrigge as shareholders. Frontier Utilities commenced trading in 2009. Frontier Utilities Inc. grew to more than 10,000 customers where it was acquired by the Quintana Group in 2011 which allowed the principles to concentrate on their Northeast energy business.

Victoria Electricity

Victoria Electricity was formed in 2002 with Donald Cheesman, Steve Cheesman and listed investment company Infratil (see www.infratil.com) as shareholders. Victoria Electricity commenced trading in 2004 and currently supplies in excess of 300,000 customers. In 2007, Infratil purchased Cheesman and Eskrigge's shares and now holds 100 percent of the company. Victoria Electricity has been responsible for approximately 30% of market churn in Victoria. Queensland Electricity Pty Ltd, NSW Electricity Pty Ltd, South Australia Electricity Pty Ltd, run a similar model to Victoria Electricity and were also purchased by Infratil in 2007. In 2010, they were consolidated into one company called Lumo Energy (see www.kumoenergy.com.au).

Direct Connect Australia Pty Ltd

Direct Connect was founded by Donald Cheesman and Steve Eskrigge in 2004 and is the dominant utility connection company in Australia providing energy, telecommunications, insurance and other products to individuals and customers who are moving locations. This company provides energy retailers with direct access to the large moving market and assists more than 300 people per day to move premises (see www.directconnect.com.au).

Energy Online Ltd

Energy Online (EOL) was established by Donald Cheesman and Steve Eskrigge in 1998 to seize the opportunity provided by the deregulating New Zealand energy market. After an initial growth period, Cheesman and Eskrigge sold their shares to a telecommunications company, Newcall Communications, but remained on the Board and operationally responsible for the company. In 2002, Energy Online was sold to Genesis Power, the largest integrated generator retailer in New Zealand. Genesis continues to operate Energy Online independently as a growth brand. EOL currently supplies more than 60,000 customers (refer to www.energyonline.co.nz)

Agility CIS Ltd

Agility CIS Ltd. (Agility) is a New Zealand-based company, providing billing and customer-management solutions to Australasia's retail energy companies. Since 1998 Agility has been developing, integrating and supporting its flagship product, Orion, a comprehensive system for customer management and utility service billing. Orion is designed and developed to facilitate flexibility and ease of use in a competitive, service-focused market. Orion can be tailored for any specific requirement, and fully supports electricity, gas, internet/data and water products. Agility is the dominant system supplier to second tier retailers in Australasia and supports a number of energy retail operations in the U.S. including Frontier Utilities and Kiwi Energy (refer to www.agilitycis.co.nz).

2. Business Plan for Pennsylvania, Staffing Structure and Employee Training Commitments

Spring Power & Gas plans to market and contract with residential customers. This will likely be done through a variety of marketing and sales channels, such as door-to-door, telemarketing and online enrollment. We will offer variable and fixed price products. Spring Power & Gas will use utility consolidated billing to provide bills to customers and will post rates to the Pennsylvania Power to Switch as required.

Spring Power & Gas has a Regulatory and New Markets Manager (also a licensed attorney) that reviews and approves marketing materials and ensures compliance with the applicable Commission regulations. In addition, Spring Power & Gas has obtained outside legal counsel to further ensure compliance. The Regulatory and New Markets Manager works closely with the Operations Manager and the President of Retail Operations to oversee training and to investigate and respond to customer complaints. Spring Power & Gas will maintain a customer service hotline for customers in Pennsylvania, in addition to an e-mail address in order to respond to customer inquiries and complaints. Spring Power & Gas has a customer service team of approximately four agents. These agents are available during normal business hours to respond to customer inquiries and concerns. They are overseen by our Customer Service Supervisor.

For information on our quality assurance process and training, please see section D below relating to the oversight of marketing.

B. PROPOSED MARKETING METHOD

Spring Power & Gas will use its own internal resources/employees for marketing. Additionally, Spring Power & Gas will likely contract with an external third-party (a Non-NGS). For more information on how Spring Power & Gas will monitor an external third-party marketer, please see section D of this exhibit relating to our quality assurance process.

C. DOOR TO DOOR SALES

Spring Power & Gas will likely be implementing door-to-door activities. We will use an independent third-party verification. In order for Spring Power & Gas to accept enrollment, a customer must verbally confirm their authorization to switch supply service. During the verification, the customer

must acknowledge key points of service. If any portion of the third-party verification is incomplete, then Spring Power & Gas will not process the enrollment.

D. OVERSIGHT OF MARKETING

Spring Power & Gas plans to contract with customers directly through traditional marketing and sales methods, including but not limited to, door-to-door, telemarketing and online enrollment. In all marketing and sales operations, Spring Power & Gas will adhere to all Pennsylvania consumer protection laws, PUC regulations and standards, and utility market rules and procedures. Spring Power & Gas will use third party verification when required in its sales and marketing activity and will enroll customers consistent with the procedures outlined in the Pennsylvania Code.

Spring Power & Gas has a Regulatory and New Markets Manager (also a licensed attorney) that reviews and approves marketing materials and ensures compliance with the applicable Commission regulations. In addition, Spring Power & Gas has obtained outside legal counsel to further ensure compliance.

In Maryland and New Jersey, Spring Power & Gas developed a comprehensive quality assurance program. Spring Power & Gas intends to implement the same program in Pennsylvania. Spring Power & Gas will train all agents through its internal and external sales onboarding process and will regularly investigate the activities of its sales agents. If a complaint arises with a particular sales agent, we will audit that agent's activities in accordance with the quality assurance process. Spring Power & Gas will provide training alerts on at least a weekly basis to its sales channel regarding important training topics or specific issues. The trainings will be signed by the trainees. The quality assurance results will be reviewed weekly with the Spring Power & Gas Quality Assurance Specialist, the Operations Manager and the President of Retail Operations.

E. OFFICERS

Please see the below list:

- Donald Cheesman, Chairman
- Stephen Eskrigge, President
- Richard Booth, President of Retail Operations
- Lisa Hawkins, CFO
- Michael Lordi, Vice President of Supply

Please refer to Section 8(A)(1)(a) above for details regarding experience of the owners. See Exhibit 19 for the professional resumes of those directly responsible for operations (Richard Booth, Michael Lordi and Lisa Hawkins).

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Exhibit 8

**Pennsylvania - Contract Summary
Electricity and Renewable Energy Certificates**



<p>Electric Generation Supplier ("EGS") Information: By entering into this contract you are agreeing to purchase your Electricity supply from this supplier.</p>	<p>Spring Energy RRH, LLC d/b/a Spring Power & Gas 111 East 14th Street, #105 New York, NY 10003 Website: www.springpowerandgas.us <u>Spring is responsible for your electricity supply.</u></p>
<p>Price Structure</p>	<p>The price for all electricity sold under this Agreement shall be a variable price per kWh which shall reflect the wholesale cost of electricity obtained from the PJM Interconnection (including capacity, energy balancing, settlement, ancillaries), Renewable Energy Certificates ("RECs"), related transmission and distribution charges and other market-related factors, and Spring's costs, expenses and margins. There is no cap on your variable rate, and there is no limit on how much the price may change from one billing cycle to the next.</p>
<p>Electricity Generation Supply Price</p>	<p>Your initial electricity supply price under this variable rate Agreement is ____ ¢/per kWh, effective for your first billing cycle and thereafter will vary month to month based on the factors described above.</p>
<p>Statement Regarding Savings</p>	<p>Spring's electricity supply price may be higher or lower than the EDC's price in any given month, and there is no guarantee of savings.</p>
<p>Deposit Requirements</p>	<p>None</p>
<p>Incentives</p>	<p>The Spring Green program matches 100% of the customer's electricity usage with renewable energy certificates sourced from national renewable resources. See Section 5 for more details. Customer may select one of two reward options, EITHER: (1) "5% Ecogold Rewards" OR (2) "3% Cash Back." Rewards are calculated based on Customer's commodity supply charges. See Section 4 for more details.</p>
<p>Contract Start Date</p>	<p>Spring will begin furnishing electricity supply service on a date set by the EDC.</p>
<p>Contract Term</p>	<p>This Agreement will begin on the date set by your EDC and will continue on a month-to-month basis until cancelled by either the Customer or Spring.</p>
<p>Cancellation/Early Termination Fees</p>	<p>There is no early termination fee.</p>
<p>Renewal Terms</p>	<p>This Agreement will begin on the date set by your EDC and will continue on a month-to-month basis until cancelled by either the Customer or Spring.</p>
<p>Electric Distribution Company (Default Service Provider) Information</p>	<p>INSERT UTILITY NAME, PHONE, WEBSITE Your Utility will continue to deliver your electricity and is responsible for distribution charges. You should call your Utility in the event of an emergency or power outage.</p>

Spring Energy RRH, LLC d/b/a Spring Power & Gas
111 East 14th Street, #105
New York, NY 10003
Tel No. 1.888.710.4782
www.springpowerandgas.us

**PENNSYLVANIA ELECTRICITY AND NATURAL GAS SUPPLY
VARIABLE PRICE DISCLOSURE STATEMENT**

Including Green-e certified Carbon Offsets and Wind RECs

Background

Spring Energy RRH, LLC ("Spring Power & Gas" or "Spring") is licensed by the Pennsylvania Public Utility Commission ("PUC") to offer natural gas supply and electric generation supply services in Pennsylvania. Our PUC license numbers are _____ (Electric License) and _____ (Gas License). We set the generation prices and charges that you pay. The PUC regulates distribution prices and services. Your electricity generation prices and charges will be set by Spring. The Federal Energy Regulatory Commission regulates electricity transmission prices and services. Natural gas commodity prices and charges are set by Spring. The Public Utility Commission regulates electricity and natural gas distribution prices and services. You will continue to receive your bill from your Electric Distribution Company (EDC) for all electricity supply and delivery charges and one bill from your Natural Gas Distribution Company (NGDC) for all natural gas supply and delivery charges. Your EDC and NGDC will continue to provide all emergency repairs and services. Spring is not affiliated with and does not represent your EDC or NGDC.

Definitions

Generation Charge - Charge for production of electricity. Transmission Charge - Charge for moving high voltage electricity from a generation facility to the distribution lines of an electric distribution company. Interstate Pipeline Charges- Charges for moving natural gas to the distribution lines of a distribution company. Commodity Charges- The charges for the natural gas product which is sold either in cubic feet or dekatherms. Distribution Charges- The charge for the delivery of natural gas from the city gate to the consumer.

1. Authorization to Switch and Agreement to Sell and Purchase Natural Gas and/or Electricity. This is an agreement between Spring Energy RRH, LLC d/b/a Spring Power & Gas ("Spring") and the undersigned customer ("Customer") under which Customer agrees to obtain electric generation and/or natural gas supply service from Spring (the "Agreement"). Subject to the terms and conditions of this Agreement, including the attached Contract Summary, Spring agrees to sell and deliver, and Customer agrees to purchase and accept, the quantity of electricity and/or natural gas, as estimated by Spring, necessary to meet Customer's requirements based upon consumption data obtained by Spring or the delivery schedule of the NGDC and/or EDC. The amount of electricity and/or natural gas delivered under this Agreement is subject to change based upon data reflecting Customer's consumption obtained by Spring.

2. Contract Length. This Agreement will begin on the date set by your NGDC and/or EDC and will continue on a month-to-month basis until cancelled by either the Customer or Spring. Either party may cancel or terminate this Agreement by providing 30 days' advance written notice of termination to the other party.

3. Right of Rescission. You may cancel this Agreement at any time before midnight of the third business day after receiving this disclosure statement. You can contact us by phone or in writing to cancel this agreement.

4. Pricing, Billing, and Termination. Through our Spring Green program, your electric consumption is matched with our Green-e Energy certified Wind RECs (Renewable Energy Certificates) (see Section 6). The price for Spring Green includes electricity and our Wind REC product. The price of electricity and our Wind REC product is variable. Your rate for electricity will be a variable price per kilowatt hour. Your initial rate for the first billing cycle is set forth in your Contract Summary. Unless otherwise agreed to in writing, the price for all electricity sold under this Agreement shall be a variable price per kWh which shall reflect the wholesale cost of electricity obtained from the PJM Interconnection (including capacity, energy balancing, settlement, ancillaries), related transmission and distribution charges and other market-related factors, RECs, and Spring's costs, expenses and margins. This price includes transmission charges and estimated total state taxes (including Gross Receipts Tax), but excludes sales tax. There is no limit on the amount that your price can decrease or increase from month to month. Your Spring electricity price may be higher or lower than the EDC's price in any particular month, and there is no maximum price. Spring does not guarantee any savings.

For natural gas, under Spring's Zero Gas program, your natural gas consumption is matched with carbon offsets (see Section 7). The cost of natural gas and the carbon offsets is variable. Your rate for gas will be a variable price per therm. Your initial rate for the first billing cycle is set forth in your Contract Summary. Unless otherwise agreed to in writing, the price for all natural gas sold under this Agreement shall be a variable price per therm which shall reflect the wholesale cost of natural gas obtained from the NYMEX exchange (including commodity, capacity, storage and balancing), carbon offsets, if applicable, transportation to the Delivery Point, and other market-related factors, and Spring's costs, expenses and margins. There is no limit on the amount that your price can decrease or increase from month to month. Your Spring natural gas price may be higher or lower than the EDC's price in any particular month, and there is no maximum price. Spring does not guarantee any savings.

Customers can call Spring at 1.888.710.4782 or visit our website at www.springpowerandgas.us for current variable pricing and the previous 24 months' average billed historical prices (or as many months of data as is available to date, up to 24 months). *Please note that historical prices are not indicative of present or future pricing.*

You agree and understand that there are no limits on how much your price for natural gas and electricity can fluctuate from month to month. You will not be notified of price changes until your new price appears on your bill. Spring does not offer budget billing for your natural gas and/or electricity supply service charges. Customer will receive a single bill from the EDC which will include both the EDC's distribution charges and Spring's charges for electricity supply. Your EDC maintains the right to terminate service for any unpaid utility or supplier charges, pursuant to the regulations of the Pennsylvania Public Utility Commission. Customer will receive a single bill from the NGDC which will include both the NGDC's distribution charges and Spring's charges for natural gas supply. Your NGDC maintains the right to terminate service for any unpaid utility or supplier charges, pursuant to the regulations of the Pennsylvania Public Utility Commission. A \$30 fee will be charged for all returned payments.

If there is a material adverse change in the business or financial condition of Customer (as determined by Spring at its discretion), or if the NGDC or EDC is or becomes unable or unwilling to invoice Customer directly for the electricity and/or natural gas delivered under this Agreement, or if the Customer's usage of electricity or natural gas materially decreases by more than 25% as measured against the same month in the previous year, or if the Customer fails to disclose to Spring any material change affecting or expected to affect the Customer's usage of electricity or natural gas (including, but not limited to the installation of onsite generation), or if Customer fails to meet its obligations under this Agreement or pay or post any required security deposit, then, in addition to any other remedies that it may have, Spring may terminate this Agreement in accordance with the provisions of Section 9 of this Agreement.

5. Supply Rewards. Spring will provide to Customer EITHER a "5% Ecogold Rewards OR a "3% Cash Back" on the electricity and/or natural gas commodity supply charges for active accounts as chosen by the customer following the commencement of electricity and/or natural gas commodity service under this Agreement. Active accounts are defined as those (i) that are billing more than \$0 and (ii) for which Spring has not received a request on behalf of the customer to discontinue service.

The 5% Ecogold Rewards will be equal to 5% of the natural gas and/or electricity commodity supply charges billed by the NGDC and/or EDC on behalf of Spring under the consolidated bill, exclusive of sales taxes and delivery, transportation, and transmission charges. The Ecogold Rewards will initially be awarded once the reward is equal to \$25. All subsequent rewards will be awarded once the reward is equal to \$50.

The Cash Back will be 3% of the 12 month consecutive natural gas and/or electricity commodity supply charges billed by the NGDC and/or EDC on behalf of Spring under the consolidated bill, exclusive of sales taxes and delivery, transportation, and transmission charges. Customer must remain with Spring for 12 consecutive billing cycles to receive any Cash Back. The Cash Back will be mailed to the customer within approximately 60 days following each 12th monthly billing cycle that Customer takes service from Spring.

Checks that remain uncashed after one year will incur an administrative fee of \$2.00 per month, allowing us to continue to make the check negotiable and funds available. This fee is non-recoverable and non-refundable.

6. Renewable Energy Certificates (REC). The Spring Green program, which qualifies for Ecogold benefits, ensures that the customer's electricity usage is matched 100% with Renewable Energy Certificates ("RECs") sourced from national renewable wind resources. Our Wind REC product is a Renewable Energy Certificate (REC) product and does not contain electricity. The price you are charged for electricity supply includes the cost of RECs from Spring Power & Gas in addition to electricity. A REC represents the environmental benefits of 1 megawatt (MWh) of renewable energy that can be paired with electricity. For more information, see www.green-e.org/rec. The cost for Spring to purchase the RECs is included in your price. Spring may take up to 12 months after the end of each calendar year under which this agreement is in effect to address any deficiency that may arise in the renewable content of electricity sold under this agreement in the previous calendar year. Spring does not guarantee that this product will be offered in the future or on a continuing basis and will notify customer 3 months in advance via the Spring website if Spring is no longer able to purchase RECs.

7. Carbon Offset Natural Gas. Spring's Zero Gas program, which qualifies for Ecogold benefits, is a carbon offset natural gas program that ensures that Spring offsets 100% of the carbon dioxide emissions

from the customer's natural gas use by purchasing carbon offsets. The carbon offsets come from forestry projects. The greenhouse gas emissions being offset with Zero Gas are those associated with the combustion of natural gas at the point of consumption only, and do not include lifecycle emissions that occur during extraction, production, or delivery. Spring calculates the appropriate amount of carbon offsets to purchase based on figures provided by the United States Environmental Protection Agency. Spring may take up to 12 months after the end of each calendar year under which this agreement is in effect to address any deficiency that may arise in the carbon offset content of gas sold under this agreement in the previous calendar year. Spring does not guarantee that this product will be offered in the future or on a continuing basis and will notify customer 3 months in advance via the Spring website if Spring is no longer able to purchase carbon credits. For information on how to calculate the quantity of carbon offsets, the Customer should refer to the Carbon Offset Content Label.

Green-e® Climate is an independent certification program for greenhouse gas emissions reductions (carbon offsets) sold in the voluntary market. The Green-e Climate logo identifies carbon offsets that meet the program's high environmental and consumer protection standards. For more information on Green-e Climate certification requirements, visit www.green-e.org/climate or email climate@green-e.org. These carbon offsets represent the reduction of a specific quantity of greenhouse gas (GHG) emissions. Carbon offsets are a real environmental commodity, not a donation or investment in a future emissions reduction project. The verified GHG emissions reductions you purchased are sourced from projects that have been validated and registered under high-quality project standards.

8. Assignment. Customer may not assign its interests in and delegate its obligations under this Agreement without the express written consent of Spring. Spring may sell, transfer, pledge, or assign the accounts, revenues, or proceeds hereof, in connection with any financing agreement and may assign this Agreement to another entity.

9. Information Release Authorization. Customer authorizes Spring to obtain and review Customer Information which includes, but is not limited to, customer name, address, telephone number, usage habits or history, peak demand and payment history, and information regarding Customer's credit history from credit reporting agencies. This information may be used by Spring to determine whether it will commence and/or continue to provide energy supply service to Customer and will not be disclosed to a third party unless required by law. Customer's execution of this Agreement shall constitute authorization for the release of this information to Spring. This authorization will remain in effect during the Initial Term and any Renewal Term. Customer may rescind this authorization at any time by providing written notice thereof to Spring or by calling Spring at 1.888.710.4782. Spring reserves the right to terminate this Agreement pursuant to the provisions of Section 9 of this Agreement in the event Customer rescinds the authorization.

10. Termination Rights. The services provided by Spring to Customer are governed by the terms and conditions of this Agreement. As set forth in Section 10 of this Agreement, the Customer has 3 business days to rescind the Agreement until it becomes binding (the "Rescission Period"). During this period, Spring may elect to not enroll a Customer pursuant to Section 8 of this Agreement. Spring shall have the right to terminate this Agreement in the event of a breach of the term(s) of the Agreement by Customer, including, but not limited to, failure to remit payment as required under this Agreement. Spring will provide at least 30 days' written notice prior to the termination of service and provide Customer with the opportunity to remedy the termination condition.

11. Residential Customer Rights. Customer will receive a confirmation notice of its choice from their EDC and/or NGDC, and Customer will have 3 calendar days from the date of such confirmation notice to contact the LDC and rescind its selection. This Agreement shall not be effective upon the residential Customer until the 3-day rescission period has expired, and neither the Customer nor Spring has directly or indirectly, rescinded the selection or terminated enrollment. There is no charge for the residential Customer for starting or stopping electric or gas supply service if done within the terms of this Agreement. Switching to a competitive supplier such as Spring is not mandatory and Customer has the option of remaining with the NGDC for natural gas supply and the EDC for electricity supply.

12. Agency-Electric. Customer hereby designates Spring as agent to; (a) arrange and administer contracts and service agreements between Customer and Spring and those entities including PJM engaged in the generation, transmission and delivery of Customer electricity; and (b) nominate and schedule with the appropriate entities including the EDC for the delivery of electricity to the Customer's end-use premises. Spring as agent for the Customer will schedule the delivery of adequate supplies of electricity that meet the Customer's requirements as established by the EDC and in response to information provided by the EDC. The Delivery Points for the electricity will be a point at the PJM Spring load bus. These services are provided on an arm's length basis and market-based compensation is included in the price noted above.

Agency-Gas. Customer hereby designates Spring as agent to; (a) arrange and administer contracts and service agreements between Customer and Spring and between the interstate pipeline transporters of Customer natural gas supplies; (b) nominate and schedule with the interstate pipeline the transportation of Customer's natural gas supplies to the Delivery Points, and with the NGDC for the transportation of the Customer's natural gas supplies from the Delivery Points to the Customer's end-use premises; and (c) aggregate Customer's natural gas supplies with such supplies of other customers served by Spring to maintain qualification for NGDC transportation service and resolve imbalances that may arise during the term of this Agreement. Spring as agent for the Customer will schedule the delivery of adequate supplies of natural gas that meet the Customer's requirements as established by the NGDC and in response to information provided by the NGDC. The Delivery Points for the natural gas transported by interstate pipelines will be the city gate stations of the NGDC. Spring agrees to arrange for the transportation of the natural gas supplied under this Agreement from the Delivery Points to the Customer's end-use premises. These services are provided on an arm's length basis and market-based compensation is included in the price noted above.

13. Title. Customer and Spring agree that title to, control of, and risk of loss to the electricity and/or natural gas supplied by Spring under this Agreement will transfer from Spring to Customer at the Delivery Point(s). Spring will indemnify and hold harmless Customer from all taxes, royalties, fees or other charges incurred before title passes with respect to the electricity and/or natural gas provided hereunder.

14. Warranty. This Agreement, including any enrollment form and applicable attachments, as written makes up the entire Agreement between Customer and Spring. Spring makes no representations or warranties other than those expressly set forth in this Agreement, and Spring expressly disclaims all other warranties, express or implied, including merchantability and fitness for a particular use.

15. Force Majeure. Spring will make commercially reasonable efforts to provide electricity and/or natural gas hereunder but Spring does not guarantee a continuous supply of electricity and/or natural gas to Customer. Certain causes and events out of the control of Spring ("Force Majeure Events") may

result in interruptions in service. Spring will not be liable for any such interruptions caused by a Force Majeure Event, and Spring is not and shall not be liable for damages caused by Force Majeure Events. Force Majeure Events shall include acts of God, fire, flood, storm, terrorism, war, civil disturbance, acts of any governmental authority, accidents, strikes, labor disputes or problems, required maintenance work, inability to access the local distribution utility system, non-performance by the EDC or NGDC (including, but not limited to, a facility outage on its gas distribution lines), changes in laws, rules, or regulations of any governmental authority or any other cause beyond Spring's control.

16. Liability. The remedy in any claim or suit by Customer against Spring will be solely limited to direct actual damages (which will not exceed the amount of Customer's single largest monthly invoice amount in the immediately preceding 12 months). In no event will either Spring or Customer be liable for consequential, incidental, indirect, special or punitive damages. These limitations apply without regard to the cause of any liability or damages. There are no third-party beneficiaries to this Agreement.

17. Contact Information.

Supplier Information:

Spring Energy RRH, LLC d/b/a Spring Power & Gas

111 East 14th Street, #105

New York, NY 10003.

Tel No. 1.888.710.4782 www.springpowerandgas.us

Customer may contact Spring's Customer Service Center, Monday through Friday 9:00 a.m. - 6:00 p.m. EST (contact center hours' subject to change).

Pennsylvania Public Utility Commission

400 North Street, Harrisburg, PA 17120 (www.puc.pa.gov)1-800-692-7380

Information about shopping for a gas supplier is available at www.PaGasSwitch.com, by calling the Commission's telephone number at 1-800-692-7380, and at www.oca.state.pa.us

Electric Distribution Co. (Default Service Provider)—Emergency Number /Universal Service Programs Number

Duquesne Light Co., 411 7th Ave., Pittsburgh, PA 15219	888-393-7000 /	888-393-7600
Metropolitan Edison P.O. Box 368, Akron, OH 44309	888-544-4877 /	800-545-7741
PECO, 2301 Market St, Philadelphia, PA 19101	800-841-4141 /	800-774-7040
Penelec, P.O. Box 368, Akron, OH 44309	888-544-4877 /	800-545-7741
Penn Power Co., P.O. Box 368, Akron, OH 44309	888-544-4877 /	800-545-7741
PPL, 827 Hausman Rd, Allentown, PA 18104-9392	800-342-5775 /	800-342-5775
West Penn Power, P.O. Box 368, Akron, OH 44309	888-544-4877 /	800-545-7741

Natural Gas Distribution Company (NGDC)/

Emergency Number

Universal Service

Programs Number

Supplier of Last Resort

Columbia Gas, 121 Champion Way, Ste.100, Canonsburg, PA 15317	888-460-4332 /	800-537-7431
PECO, 2301 Market Street, P.O. Box 8699 Philadelphia, PA 19101	800-841-4141 /	800-774-7040
National Fuel Gas: 6363 Main Street, Williamsville, NY 14221	800-365-3234 /	800-365-3234
PECO: 2301 Market Street, Philadelphia, PA 19103	800-494-4000 /	215-235-1000
UGI Utilities, 2525 North 12th Street, Suite 360 Reading, PA 19605	800-276-2722 /	800-844-9276
UGI Penn Natural Gas, One UGI Center, Wilkes-Barre, PA 18711	800-276-2722 /	800-844-9276
UGI Central Penn, PO Box 508, Lock Haven, PA 17745	800-652-0550 /	800-844-9276
Equitable Gas Company: PO Box 6766, Pittsburgh, PA 15212	800-654-6335 /	800-400-9276
Peoples Gas Company, 100 Bellwood Ave, Altoona, PA 16601	800-764-0111 /	800-400-9276
Valley Energy, 523 South Keystone Avenue, Sayre, PA, 18840-0340	800-998-4427 /	800-998-4427

18. Dispute Resolution. In the event of a billing dispute or a disagreement involving Spring's service hereunder, the parties will use their best efforts to resolve the dispute. Customer should contact Spring by telephone or in writing as provided above. When Spring receives a customer complaint or inquiry via call center, email or regular mail, the representative will make a record of the complaint and apply a case number or other identifying feature. The representative will investigate the substance of the complaint or inquiry and provide a response to the customer within ten (10) days of receipt of the complaint or inquiry. If the customer is not satisfied with the resolution presented by the call center representative, the representative will raise the complaint or inquiry with a supervisor, who will review the matter and respond to the customer within five (5) business days. If you are not satisfied after discussing your concerns with us you may contact the Public Utility Commission (PUC) by phone at: 1-800-692-7380 or in writing at PO Box 3265, Harrisburg, PA 17105-3265.

19. Choice of Laws. Venue for any lawsuit brought to enforce any term or condition of this Agreement or to construe the terms hereof shall lie exclusively in the State of Pennsylvania. This Agreement shall be construed under and shall be governed by the laws of the State of Pennsylvania without regard to the application of its conflicts of law principles.

20. Taxes and Laws. Except as otherwise provided in the Agreement or provided by law, all taxes of whatsoever kind, nature and description due and payable with respect to service provided under this Agreement, other than taxes based on Spring's net income, shall be paid by Customer, and Customer agrees to indemnify Spring and hold Spring harmless from and against any and all such taxes. This Agreement is subject to present and future legislation, orders, rules, regulations or decisions of a duly constituted governmental authority having jurisdiction over this Agreement or the services to be provided hereunder.

21. Regulatory Changes. This Agreement is subject to present and future legislation, orders, rules, regulations or decisions of a duly constituted governmental authority having jurisdiction over this Agreement or the services to be provided hereunder. If at some future date there is a change in any law, rule, regulation, tariff, or regulatory structure ("Regulatory Change") which impacts any term, condition or provision of this Agreement including, but not limited to price, Spring shall have the right to modify this Agreement to reflect such Regulatory Change by providing 30 days' written notice of such modification to the Customer.

22. Emergency Service. The EDC and NGDC will continue to respond to leaks and emergencies. In the event of an electricity and/or natural gas emergency or service interruption, contact your EDC or NGDC at one of the telephone numbers listed above.

23. Parties Bound. This Agreement is binding upon the parties hereto and their respective successors and legal assigns.

24. Notices - If you have a fixed term agreement with us that is longer than 3 months and it is approaching the expiration date or whenever we propose to change the terms of service in any type of contract, you will receive two separate written notifications that precede either the expiration date or the effective date of the proposed changes. These notifications will explain your options going forward. At least 60-75 days prior to the expiration date or proposed change, we will send you the first written notice. A second notice with options for your

consideration will be sent to you no later than forty-five (45) days prior to the expiration date or proposed change to your terms of service.



Our Wind REC product is Green-e Energy certified, and meets the environmental and consumer-protection standards set forth by the nonprofit Center for Resource Solutions. Learn more at www.green-e.org.



Our carbon offsets are certified by Green-e® Climate, which requires companies to provide their customers with this notice of Price, Terms and Conditions of service.

Version 050117

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Exhibit 9

Exhibit 9

APPLICATION AFFIDAVIT

State of New York :

ss.

County of New York :

Richard Booth, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He is the President of Retail Operations of Spring Energy RRH, LLC;

That he is authorized to and does make this affidavit for said Applicant;

That the Applicant herein Spring Energy RRH, LLC has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

That the Applicant herein Spring Energy RRH, LLC has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein Spring Energy RRH, LLC acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein Spring Energy RRH, LLC acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

[Handwritten Signature]

Signature of Affiant

Sworn and subscribed before me this 25th day of May 2017.

[Handwritten Signature]

Signature of official administering oath

My commission expires 1/27/18

SAMANTHA LIPSKY
Notary Public - State of New York
NO. 01LI6296169
Qualified in Kings County
Commission Expires Jan 27, 2018

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Exhibit 10

Exhibit 10

OPERATIONS AFFIDAVIT

State of New York :

: ss.

County of New York :

Richard Booth, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He is the President of Retail Operations of Spring Energy RRH, LLC;

That he is authorized to and does make this affidavit for said Applicant;

That Spring Energy RRH, LLC, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That Spring Energy RRH, LLC, the Applicant herein, asserts that it possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

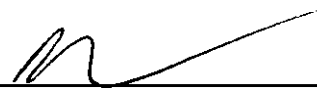
That Spring Energy RRH, LLC, the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

That Spring Energy RRH, LLC, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.



Signature of Affiant

Sworn and subscribed before me this 25th day of May, 20 17.



Signature of official administering oath

My commission expires 1/27/18.

SAMANTHA LIPSKY
Notary Public - State of New York
NO. 01LI6296169
Qualified in Kings County
Commission Expires Jan 27, 2018

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Exhibit 11

COMMISSIONERS

W. KEVIN HUGHES
CHAIRMAN

HAROLD D. WILLIAMS
LAWRENCE BRENNER
ANNE E. HOSKINS
JEANNETTE M. MILLS

STATE OF MARYLAND



PUBLIC SERVICE COMMISSION

#23, 7/22/15 AM; ML# 170550, IR-3550

July 22, 2015

Briana Dudas
Regulatory and New Markets Manager
Spring Energy RRH, LLC
144 North 7th Street #417
Brooklyn, NY 11249

Dear Ms. Dudas:

On July 7, 2015, Spring Energy RRH, LLC ("Company") filed an Application for a License to Supply Natural Gas or Natural Gas Supply Services in Maryland under COMAR 20.54. The Company proposes to provide natural gas supplier services in Maryland for residential and commercial customers as described in the application.

After considering this matter at the July 22, 2015 Administrative Meeting, the Commission granted the Company a license to supply natural gas or natural gas supply services in Maryland (License Reference Number IR-3550). The license granted by the Commission under this Letter Order is limited solely to natural gas supplier services for the customer classes and service territories applied for and recommended by Staff. Additionally, the Company is directed to provide marketing and training materials specific to its Maryland operations to the Commission Staff and the Office of People's Counsel 30 days prior to commencing operations in Maryland.

Finally, the Company is reminded that it is under a continuing obligation to notify the Commission within 30 days of any changes to the information upon which the Commission relied in granting this license. A copy of the supplemental or updated information is required to be filed concurrently with the Office of People's Counsel.

By Direction of the Commission,

A handwritten signature in black ink, appearing to read "David J. Collins".

David J. Collins
Executive Secretary

DJC/st

cc: Phil VanderHeyden, Electricity Division
Obi Linton, Director, External Relations

WILLIAM DONALD SCHAEFER TOWER • 6 ST. PAUL STREET • BALTIMORE, MARYLAND 21202-6806

410-767-8000 • Toll Free: 1-800-492-0474 • FAX: 410-333-6495
MDDS: 1-800-735-2258 (TTY/Voice) • Website: www.psc.state.md.us/psc/

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Exhibit 12

COMMISSIONERS

W. KEVIN HUGHES
CHAIRMAN

HAROLD D. WILLIAMS
LAWRENCE BRENNER
ANNE E. HOSKINS
JEANNETTE M. MILLS

STATE OF MARYLAND



PUBLIC SERVICE COMMISSION

#22, 7/22/15 AM; ML# 169203
License Reference No.: IR-3537

July 22, 2015

Briana Dudas
Regulatory and New Markets Manager
Spring Energy RRH, LLC
144 North 7th Street #417
Brooklyn, NY 11249

Dear Ms. Dudas:

On June 8, 2015, Spring Energy RRH, LLC ("Company") filed an Application for a License to Supply Electricity or Electric Generation Services in Maryland under COMAR 20.51. The Company proposes to provide electricity supplier services in Maryland for residential and commercial customers as described in the application.

After considering this matter at the July 22, 2015 Administrative Meeting, the Commission granted the Company a license to supply electricity or electric generation services in Maryland (License Reference Number IR-3537). The license granted by the Commission under this Letter Order is limited solely to electricity supplier services for the customer classes and service territories applied for and recommended by Staff. Additionally, the Company is directed to provide marketing and training materials specific to its Maryland operations to the Commission Staff and the Office of People's Counsel 30 days prior to commencing operations in Maryland.

Finally, the Company is reminded that it is under a continuing obligation to notify the Commission within 30 days of any changes to the information upon which the Commission relied in granting this license. A copy of the supplemental or updated information is required to be filed concurrently with the Office of People's Counsel.

By Direction of the Commission.

A handwritten signature in black ink, appearing to read "David J. Collins".

David J. Collins
Executive Secretary

DJC/st
cc: Phil VanderHeyden, Electricity Division
Obi Linton, Director, External Relations

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Exhibit 13

State of New Jersey



Board of Public Utilities

44 South Clinton Avenue, P.O. Box 350, Trenton, New Jersey 08625-0350

HEREBY LICENSES

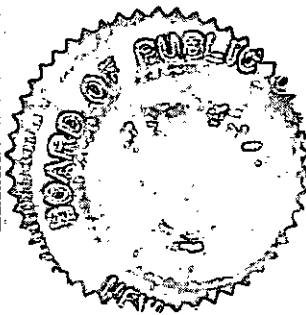
Spring Energy RRH, LLC
2500 Plaza 5
Harborside Financial Center
Jersey City, New Jersey 07311

To conduct business in the State of New Jersey as a

Irene Kim Asbury **Gas Supplier**

Irene Kim Asbury
Secretary of the Board

License No. GSL-0153
Effective Date: July 22, 2015
Expiration Date: July 21, 2016



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Exhibit 14

State of New Jersey



Board of Public Utilities

44 South Clinton Avenue, P.O. Box 350, Trenton, New Jersey 08625-0350

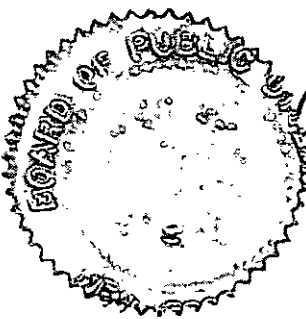
HEREBY LICENSES

Spring Energy RRH, LLC

2500 Plaza 5
Harborside Financial Center
Jersey City, New Jersey 07311

To conduct business in the State of New Jersey as an

Electric Power Supplier



Irene Kim Asbury

Irene Kim Asbury
Secretary of the Board

License No. ESL-0176
Effective Date: July 22, 2015
Expiration Date: July 21, 2016

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Exhibit 15

The Public Utilities Commission of Ohio

Ohio Competitive Retail Natural Gas Marketer Certificate

Issued pursuant to Case Number(s):
15-2086-GA-CRS

is
Ohio Competitive Retail Natural Gas Aggregator/Broker Certificate Number:
16-494G (1)

Granted to:
Arrow Energy RRH, LLC dba Arrow Energy

Whose office or principal place of business is located at:
144 North 7th Street, #417, Brooklyn, NY 11249

And is hereby certified to provide:
Retail Natural Gas Marketer Services
within the state of Ohio, for a two-year period.

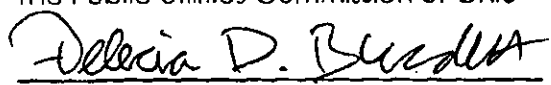
Certification Effective:
January 16, 2016 through January 16, 2018

The certification of Ohio competitive retail natural gas marketers is governed by Chapter 4901:1-27 of the Ohio Administrative Code and section 4929.20 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) as well as those under law, are not met.

Certified entity is subject to all rules and regulations of the commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio
Dated: **January 20, 2016**

By Order of
The Public Utilities Commission of Ohio

Barcy F. McNeal, Secretary
Tanowa M. Troupe, Acting Secretary
Felecia D. Burdett, Acting Secretary

Form No. CRNGS/CRS-06

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.
Technician She Date Processed JAN 21 2016

CRNGS AUTOMATIC CASE ACTION FORM

Case No. 15-2086-GA-CRS

Date Sent: January 19, 2016

Effective Date of Certificate: January 16, 2016

Certificate Expires: January 16, 2018

Company Name and Company Name d/b/a: Arrow Energy RRH, LLC dba Arrow Energy

Renewal

Action Needed:

Issue Certificate Number 16-494G (1) to: Arrow Energy RRH, LLC dba Arrow Energy at (address): 144 North 7th Street, #417, Brooklyn, NY 11249

Certified To Provide the Following Services:

- Retail Natural Gas Aggregator
- Retail Natural Gas Broker
- Retail Natural Gas Supplier
- Natural Gas Governmental Aggregator

Renew Certificate No. to

Revise Certificate No. to (check all applicable):

- Reflect name change from to
- Reflect address change from to
- Add new service offering to certificate:
 - Retail Natural Gas Aggregator
 - Retail Natural Gas Broker
 - Retail Natural Gas Supplier
 - Natural Gas Governmental Aggregator
- Correct Administrative Error
- Reflect Change of Ownership to:

Cancel Certificate No.

Protect Un-redacted copies until

Close Case File, Case Withdrawn at Applicant's Request

Close Case File

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~~JUN 20 2017~~

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Exhibit 16



**Public Utilities
Commission**

John Kasich, Governor
Andre T. Porter, Chairman

Commissioners

Asim Z. Haque
Lynn Slaby
M. Beth Trombold
Thomas W. Johnson

PUBLIC UTILITIES COMMISSION OF OHIO

Certified as a Competitive Retail Electric Service Provider

Certificate Number:

16-1041E (1)

Issued Pursuant to Case Number(s):

15-2085-EL-CRS

A certificate as a Competitive Retail Electric Service Provider is hereby granted to, **Arrow Energy RRH LLC** whose office or principal place of business is located at **200 Park Avenue South #1301 New York, NY 10003** to provide power marketer services within the State of Ohio effective **January 16, 2016**.

The certification of competitive retail electric suppliers is governed by Section 4901:1-24-(01-13) of the Ohio Administrative Code, Section 4901:1-21-(01-15) of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

Subject to all rules and regulations of the Commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated: **January 28, 2016**

By Order of

PUBLIC UTILITIES COMMISSION OF OHIO

Barcy F. McNeal, Secretary
Tanowa M. Troupe, Acting Secretary
Felecia D. Burdett, Acting Secretary

Certificate Expires: January 16, 2018

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.

Technician KN Date Processed JAN 29 2018

CRS AUTOMATIC CASE ACTION FORM

Case No. 15-2085-EL-CRS

Date Sent: 1-29-16

Effective Date of Certificate: 1-16-16

Certificate Expires: 1-16-18

Company Name and Company Name d/b/a: Arrow Energy RRH LLC

Renewal

Action Needed:

Issue Certificate Number to: Arrow Energy RRH LLC at (address): 200 Park Avenue South #1301 New York, NY 10003

Certified To Provide the Following Services:

- Retail Generation
- Aggregation
- Power Marketer
- Power Broker
- Governmental Aggregation

Renew Certificate No. to

Revise Certificate No. to (check all applicable):

- Reflect name change from to
- Reflect address change from to


Add new service offering to certificate:

- Retail Generation
- Aggregator
- Power Marketer
- Power Broker
- Natural Gas Governmental Aggregator

- Correct Administrative Error
- Reflect Change of Ownership to:

- Cancel Certificate No.
- Protect Un-redacted copies until
- Close Case File, Case Withdrawn at Applicant's Request
- Close Case File

CASE NUMBER: 15-2085-EL-CRS
CASE DESCRIPTION: ARROW ENERGY RRH LLC
DATE OF SERVICE: 1/29/2016
DOCUMENT SIGNED ON: 1/29/2016

Sign Here: 

	APPLICANT	
PARTY OF RECORD		ATTORNEY
ARROW ENERGY RRH LLC	NONE	
BRIANA DUDAS		
200 PARK AVENUE SOUTH #1301		
NEW YORK, NY 10003		
Phone: 844-907-6937		
Fax: 718-305-4114		
Email: COMPLIANCE@RRHENERGY.US		

	ATTORNEY	
PARTY OF RECORD		ATTORNEY
none		*Howard, Stephen M Mr.
		Vorys, Sater, Seymour and Pease LLP
		52 E. Gay Street
		Columbus, OH 43215
		Phone: 614-464-5401
		Fax: 614-719-4772
		Email: smhoward@vorys.com

~~RECEIVED~~
~~JUN 20 2017~~
~~PA PUBLIC UTILITY COMMISSION~~
~~SECRETARY'S BUREAU~~

Exhibit 17

COMMONWEALTH OF PENNSYLVANIA }
 County of Cambria } SS

PENNSYLVANIA
 PUBLIC UTILITY COMMISSION
 NOTICE

Applications of **Spring Energy RRH, LLC d/b/a Spring Power & Gas** For Approval To Offer, Render, or Furnish Services as a Supplier Engaged in The Business Of Supplying Natural Gas, Supply Services and Electricity Supply or Electric Generation Services To The Public in The Commonwealth Of Pennsylvania.

Spring Energy RRH, LLC d/b/a Spring Power & Gas will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a supplier of natural gas. **Spring Energy RRH, LLC d/b/a Spring Power & Gas** will also be filing an application with the PUC for a license to supply electricity or electric generation services as a generator and supplier of electric power. **Spring Energy RRH, LLC d/b/a Spring Power & Gas Company Name** proposes to sell electricity, natural gas, and related services in the service territories of Columbia Gas of PA, Peoples Natural Gas Company, Peoples TWP, LLC, Peoples Natural Gas Equitable Division, National Fuel Gas Distribution Corp., UGI Penn Natural Gas, UGI Central Penn, PECO, Philadelphia Gas Works, and Valley Energy, PECO, PPL, Duquesne Light Co., Pike Citizens Electric, Metropolitan Edison, Penelec, Penn Power, and West Penn Power under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

published
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The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Spring Energy RRH, LLC d/b/a Spring Power & Gas** may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to **Spring Energy RRH, LLC d/b/a Spring Power & Gas's** attorney at the address listed below.

Sworn and
 16th day of

By and through Counsel
Michael Gruin, Esq.
 Stevens & Lee
 17 N. 2nd St., 16th Fl.
 Harrisburg, PA 17101
 Phone (717) 255-7365
 Fax (610) 988-0852

[Signature]

On this 16th day of November A.D. 2016, before me, the subscriber, a Notary Public in and for said County and State, personally appeared **Mary Anne Rizzo**, who being duly sworn according to law, deposes and says as Director of Advertising of the **Tribune-Democrat, Johnstown, PA**, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8, 1952, of the **Johnstown Tribune**, established December 7, 1853; and of the **Johnstown Democrat**, established March 5, 1863,

of Cambria, and Commonwealth of Pennsylvania and matter published in said publication in the regular issues on November 16, 2016; and that the Affiant is not ising and that all of the allegations as to time, place and

[Signature]

STATEMENT OF ADVERTISING COSTS

0.00 Lines @ \$2.50 per line	0.00
9 Inches @ \$25.00 per inch	225.00
Notary Fee	5.00
Clerical Fee	2.50
Total Cost	232.50

COMMONWEALTH OF PENNSYLVANIA

Notarial Seal
Vivian Ohs, Notary Public
 City of Johnstown, Cambria County
 My Commission Expires Dec. 6, 2016
 MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

To The **Tribune-Democrat, Johnstown, PA**
 For publishing the notice or publication attached hereto on the above stated dates.

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

_____ for publisher of _____
 a newspaper of general circulation, hereby acknowledges receipt of the aforesaid and publication costs and certifies that the same has been duly paid.

 (Name of Newspaper)

By _____



The Patriot News

LEGAL AFFIDAVIT

AD#: 0008087940

Commonwealth of Pennsylvania,) ss
County of Cumberland)

Dwayne Connor being duly sworn, deposes that he/she is principal clerk of PA Media Group; that The Patriot News is a public newspaper published in the city of Mechanicsburg, with general circulation in Cumberland and Dauphin and surrounding counties, and this notice is an accurate and true copy of this notice as printed in said newspaper, was printed and published in the regular edition and issue of said newspaper on the following date(s):

The Patriot News 03/14/2017

Principal Clerk of the Publisher

Sworn to and subscribed before me this 15th day of March 2017

Notary Public

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Crystal B. Rosensteel, Notary Public
Susquehanna Twp., Dauphin County
My Commission Expires June 27, 2020
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

Fax (610) 988-0852

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Applications of Spring Energy RRH, LLC d/b/a Spring Power & Gas For Approval To Offer, Render, or Furnish Services as a Supplier Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

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The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Spring Energy RRH, LLC d/b/a Spring Power & Gas may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Spring Energy RRH, LLC d/b/a Spring Power & Gas's attorney at the address listed below:

By and through Counsel:
Michael Gruin, Esq.
Stevens & Lee
17 N. 2nd St., 16th Fl.
Harrisburg, PA 17101
Phone (717) 255-7365

PROOF OF PUBLICATION
In
THE ERIE TIMES-NEWS
COMBINATION EDITION

SPRING POWER & GAS
200 PARK AVE SOUTH
SUITE 1301
NEW YORK NY 10003

REFERENCE: 92691 236024
PUC Notice

STATE OF PENNSYLVANIA)
COUNTY OF ERIE) SS:

Brenda L. Learn, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 11/23/16

TOTAL COST: \$409.00 AD SPACE: 0 Lines

FILED ON: 11/23/16

PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE

Applications of Spring Energy RRH, LLC d/b/a Spring Power & Gas For Approval To Offer, Render, or Furnish Services as a Supplier Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services To The Public In The Commonwealth Of Pennsylvania.

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The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Spring Energy RRH, LLC d/b/a Spring Power & Gas may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Spring Energy RRH, LLC d/b/a Spring Power & Gas's attorney at the address listed below.

By and through Counsel:
Michael Grun, Esq.
Stevens & Lee
17 N. 2nd St., 16th Fl.
Harrisburg, PA 17101
Phone (717) 255-7365
Fax (610) 988-0852

EP-236024

Sworn to and subscribed before me this 2nd day of March 2017

Affiant: Brenda L. Learn

NOTARY: Barbara J. Moore

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Barbara J. Moore, Notary Public
City of Erie, Erie County
My Commission Expires March 23, 2020
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

Proof of Publication in The Philadelphia Daily News
Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA
COUNTY OF PHILADELPHIA

Cindy Jakubowski being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

November 21, 2016

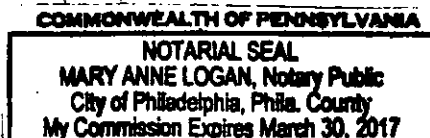
Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

Cindy Jakubowski

Sworn to and subscribed before me this 21st day of
November, 2016.

Mary Anne Logan
Notary Public

My Commission Expires:



Copy of Notice of Publication

PENNSYLVANIA PUBLIC UTILITY COMMISSION
NOTICE
Applications of Spring Energy RRH, LLC d/b/a Spring Power & Gas For Approval To Offer, Render or Furnish Services as a Supplier Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.
Spring Energy RRH, LLC d/b/a Spring Power & Gas will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a supplier of natural gas. Spring Energy RRH, LLC d/b/a Spring Power & Gas will also be filing an application with the PUC for a license to supply electricity or electric generation services as a generator and supplier of electric power. Spring Energy RRH, LLC d/b/a Spring Power & Gas proposes to sell electricity, natural gas, and related services in the service territories of Columbia Gas of PA, Peoples Natural Gas Company, Peoples TWP, LLC, Peoples Natural Gas - Equitable Division, National Fuel Gas Distribution Corp., UGI, UGI Penn Natural Gas, UGI Central Penn, PECO, Philadelphia Gas Works, and Valley Energy, PECO, PPL, Duquesne Light Co., Pike, Citizens' Electric, Metropolitan Edison, Penelec, Penn Power, and West Penn Power under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.
The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Spring Energy RRH, LLC d/b/a Spring Power & Gas may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Spring Energy RRH, LLC d/b/a Spring Power & Gas's attorney at the address listed below:
By and through Counsel:
Michael Grzin, Esq.
Stevens & Lee
17 N. 2nd St., 16th Fl.
Harrisburg, PA 17101
Phone (717) 255-7365
Fax (610) 988-0652

Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss P. Reed, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the _____ regular _____ editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

18 of November, 2016

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PG Publishing Company

Sworn to and subscribed before me this day of:
November 18, 2016

COMMONWEALTH OF PENNSYLVANIA

NOTARIAL SEAL

Linda M. Gaertner, Notary Public
City of Pittsburgh, Allegheny County
My Commission Expires Jan. 31, 2019

MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

STATEMENT OF ADVERTISING COSTS

Spring Power & Gas
200 PARK AVE S STE 1301
ATTN: LINDSAY KREPPLE
NEW YORK NY 10003-1550

To PG Publishing Company

Total _____ \$630.00

Publisher's Receipt for Advertising Costs

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

Office
2201 Sweeney Drive
CLINTON, PA 15026
Phone 412-263-1338

PG Publishing Company, a Corporation, Publisher of
Pittsburgh Post-Gazette, a Newspaper of General Circulation

By _____

Bernard J. Arbustine

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

COPY OF NOTICE OR PUBLICATION

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Applications of Spring Energy RRH, LLC d/b/a Spring Power & Gas For Approval To Offer, Render, or Furnish Services as a Supplier Engaged in the Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public in The Commonwealth Of Pennsylvania.

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The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Spring Energy RRH, LLC d/b/a Spring Power & Gas may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Spring Energy RRH, LLC d/b/a Spring Power & Gas's attorney at the address listed below:
By and through Counsel: Michael Gruin, Esq., Stevens & Lee, 17 N. 2nd St., 16th Fl., Harrisburg, PA 17101, Phone (717) 255-7365, Fax (610) 988-0852

PROOF OF PUBLICATION OF NOTICE IN THE WILLIAMSPORT SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA
COUNTY OF LYCOMING

SS:

Bernard A. Oravec Publisher of the Sun-Gazette Company, publishers of the Williamsport, Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport Sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

November 16, 2016

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette Company, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and also declares that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.

Bernard A. Oravec

SUN-GAZETTE COMPANY

Sworn to and subscribed before me
the *16th* day of *November* 20*16*

Christina Dewald

Notary Public

COMMONWEALTH OF PENNSYLVANIA

NOTARIAL SEAL
CHRISTINA DEWALD
Notary Public
CITY OF WILLIAMSPORT, LYCOMING COUNTY
My Commission Expires Apr 18, 2020

STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette Company, Dr.:
For publishing the notice attached
hereto on the above state dates.....\$ *355.00*
Probated same.....\$
Total.....\$ *355.00*

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

THE SUN-GAZETTE COMPANY hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

SUN-GAZETTE COMPANY

BY Bernard A. Oravec

PENNSYLVANIA
PUBLIC UTILITY
COMMISSION
NOTICE
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Gas Company, Peoples TWP, LLC, Peoples Natural Gas - Equitable Division, National Fuel Gas Distribution Corp., UGI, UGI Penn Natural Gas, UGI Central Penn, PECO, Philadelphia Gas Works, and Valley Energy, PECO, PPL, Duquesne Light Co., Pike Citizens Electric, Metropolitan Edison, Penelec, Penn Power, and West Penn Power under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.
The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Spring Energy RRH, LLC d/b/a Spring Power & Gas may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Spring Energy RRH, LLC d/b/a Spring Power & Gas's attorney at the address listed below.
By and through Counsel:
Michael Grun, Esq.
Stevens & Lee
17 N. 2nd St., 16th Fl.
Harrisburg, PA 17101
Phone (717) 255-7365
Fax (610) 988-0852

The Scranton Times (Under act P.L. 877 No 160. July 9, 1976)
Commonwealth of Pennsylvania, County of Lackawanna

RRH ENERGY SERVICES LLC
ATTN: LINDSAY KREPPPEL
200 PARK AVENUE SOUTH, STE 130 NEW YORK NY 10003

Account # 620041
Order # 81996604
Ad Price: 310.30

LEGAL NOTICE PENNSYLVANIA

Gina Krushinski

Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

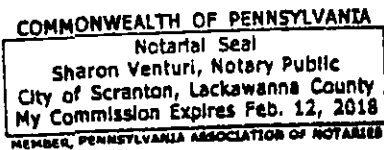
11/16/2016

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true *Gina Krushinski*

Sworn and subscribed to before me
this 16th day of November A.D., 2016

Sharon Venturi

(Notary Public)



LEGAL NOTICE

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Applications of Spring Energy RRH, LLC d/b/a Spring Power & Gas For Approval To Offer, Render, or Furnish Services as a Supplier Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services To The Public In The Commonwealth Of Pennsylvania

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The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Spring Energy RRH, LLC d/b/a Spring Power & Gas may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Spring Energy RRH, LLC d/b/a

Spring Power & Gas's attorney at the address listed below:

By and through Counsel:
Michael Gruin, Esq.
Stevens & Lee
17 N. 2nd St., 16th Fl.
Harrisburg, PA 17101

RECEIVED

JUL 21 2017

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

~~RECEIVED~~

~~JUN 20 2017~~

~~PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU~~

Exhibit 18

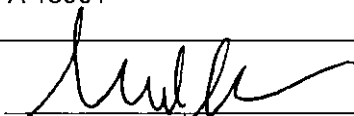
CERTIFICATE OF SERVICE

I certify that a true and correct copy of the foregoing application for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all non-confidential attachments was served upon the following by First Class U.S. Mail, postage prepaid on June 20, 2017, and copies of updated application pages were served on the following by First Class US Mail on July 21, 2017:

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120	Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120
Small Business Advocate Commerce Building, Suite 202 300 North Second Street Harrisburg, PA 17101	Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946
Bureau of Investigation & Enforcement Pennsylvania Public Utility Commission Commonwealth Keystone Building 400 North Street, 2 West Harrisburg, PA 17120	Manager Energy Acquisition PECO Energy Company 2301 Market Street Philadelphia, PA 19101-8699
Legal Department West Penn Power d/b/a Allegheny Power 800 Cabin Hill Drive Greensburg, PA 15601-1689	Legal Department Attn: Paul Russell PPL Two North Ninth Street Allentown, PA 18108-1179
Regulatory Affairs Duquesne Light Company 411 Seventh Street, MD 16-4 Pittsburgh, PA 15219	Director of Customer Energy Services Orange and Rockland Company 390 West Route 59 Spring Valley, NY 10977-5300
Citizens' Electric Company Attn: EGS Coordination 1775 Industrial Boulevard Lewisburg, PA 17837	UGI Utilities, Inc. Attn: Rates Dept. – Choice Coordinator 2525 N. 12th Street, Suite 360 Post Office Box 12677 Reading, Pa 19612-2677
Legal Department First Energy 2800 Pottsville Pike Reading PA, 19612	Wellsboro Electric Company Attn: EGS Coordination 33 Austin Street P. O. Box 138 Wellsboro, PA 16901

RECEIVED
 2011 JUL 21 PM 3:02
 PA PUB. BUREAU
 SECRETARY'S DESK
 FRONT

DATE: July 21, 2017


 Michael A. Gruin, Esq.

~~RECEIVED~~

~~JUN 20 2017~~

~~PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU~~

Exhibit 19

Richard Booth – President of Retail Operations

Richard Booth brings 10 years of experience in overseeing operations and acquiring customers in the competitive energy markets in the United States, New Zealand and Australia. Richard is currently the President of Retail Operations at Kiwi Energy NY LLC. Richard oversees the operations at Kiwi Energy NY LLC, an energy supplier in New York, and Spring Power & Gas, an energy supplier in New Jersey and Maryland. The Companies currently have a combined customer base of more than 85,000. Previously, Richard was in a similar role at Frontier Utilities based in Texas. Prior to his role at Frontier Utilities, Richard was the General Manager of Sales and Marketing at Victoria Electricity. Richard was responsible for a team of 200 employees which consisted of 12 supply partners and 150 staff members. This team covered customer acquisition and retention, and marketing and electricity, gas and telecommunications markets in Victoria, New South Wales, Queensland and South Australia. Richard's team was also responsible for Ecogold, a loyalty program which included an environmental fund with ongoing communication of special offers to customers from various business partners. When Richard left Victoria Electricity to set up Frontier Utilities in Texas, the Company had more than 400,000 customers. Prior to joining Victoria Electricity, Richard managed the commercial sales team at Energy Online.

Lisa A. Hawkins - CFO

Lisa Hawkins joined Kiwi Energy as the Chief Financial Officer in April 2012. Lisa is responsible for managing the financial and economic risks of the Company. She manages the financial planning, record keeping, and reporting for Kiwi Energy. Prior to joining Kiwi, Lisa was a Manager within the Assurance Practice at PricewaterhouseCoopers, LLP ("PwC"). There, she gained significant experience in public and private client audits within a range of industries including mortgage banking, oil & gas, manufacturing, and other financial services. She assisted her clients with SEC filings, including Form 10-K and 10-Q filings, governmental compliance requirements and public and private debt/equity offering transactions. She has served multinational corporations as well as venture capital funds. She earned a Bachelor's degree in Business Administration and Master in Professional Accounting from The University of Texas and is a licensed Certified Public Accountant in both the states of Texas and New York.

MICHAEL J. LORDI
17 Bryon Avenue
Ridgefield, CT 06877
203-470-3612
mike@thelordifamily.com

SUMMARY OF QUALIFICATIONS

- Experienced energy commodity executive. Detailed understanding of all aspects of the retail and wholesale energy business.
- Successful track record as a trading manager – managed trading and supply desk activities for international trading firms as well as companies focused on retail energy supply.
- Experienced in the design and management of complex commodity structures.
- Strong risk management background with ability to quickly implement processes and controls.
- Ability to focus and thrive in an entrepreneurial environment.

PROFESSIONAL EXPERIENCE

RRH Energy Services New York, New York

July 2014 to Present

Vice President, Supply

- Member of the senior management team responsible for operating the retail energy service companies affiliated with RRH Energy Services.
- Manage the procurement and hedging activities for all electric and natural gas sales.
- Developed and maintain models to price retail electric and natural gas products.
- Responsible for hedging environmental product sales, including the procurement of renewable energy certificates and carbon credits.
- Coordinate the forecasting and scheduling activities for the retail operating companies.
- Developed risk management and trading policies and monitor compliance with those policies.

Gulf Oil Limited Partnership Framingham, Massachusetts

June 2010 to June 2014

Executive Director, Gulf Electricity

- Established and managed the retail electricity activities at Gulf Oil:
 - Managed the electricity supply desk activities and relationships with wholesale counterparts.
 - Coordinated legal and regulatory activities at the federal and state level.
 - Responsible for all interaction with ISOs and Local Distribution Companies.
 - Managed the pricing and structuring activities for all electricity transactions.
 - Worked with retail marketing department and customers on product development.
- Supported Gulf Oil's entrance into the liquefied natural gas market

Merchant Energy Consultants LLC
Ridgefield, Connecticut

July 2003 to June 2010

President

Consulted on various commercial structures for energy firms.

EDF Trading Limited
London, England

Head of Asset Optimization

April 2001 to July 2003

- Designed, negotiated and managed the commercial relationship between EDF Generation and EDF Trading.
- Member of strategic committee which designed and managed the auction of 6,000 MW of virtual power plant contracts.
- Developed the pricing methodology used by EDF in the French Balancing Market.

Head Trader, Electricity

May 1999 to April 2001

- Established and managed the trading department which:
 - Managed the wholesale electricity trading activity in Europe.
 - Optimized new and existing electricity contracts.
 - Optimized new and existing assets.
- Developed risk management and trading policies and monitored compliance with those policies.
- Managed the pricing and structuring activities for all wholesale and end-user energy transactions.
- Member of the risk committee responsible for approving all complex deal structures.

TFS Energy
Stamford, Connecticut

July 1998 to April 1999

Manager, South Electricity

During a non-compete period, worked with TFS Energy brokering over-the-counter electricity products.

CNG Energy Services Corporation
Norwalk, Connecticut

June 1997 to July 1998

Senior Vice President, Electricity Trading and Risk Management

- Member of the Board of Directors, Executive Committee, and Risk Committee.
- Managed electricity trading, electric retail, transactional marketing, structuring, and operations.
- Managed CNG's successful entrance into electric retail
- Developed risk management products for CNG's retail partners and end-use customers.
- Traded all over-the-counter hubs (PJM, Cinergy, TVA, Entergy, Com-Ed, ERCOT, PaloVerde, Mid-C and COB).

Duke/Louis Dreyfus (D/LD)
Wilton, Connecticut

October 1995 to June 1997

Director, Mid-Continent Region Electric and Gas Trading

- Managed electric and gas trading activities in the ECAR, MAIN, MAPP, SERC, SPP, and ERCOT regions.
- Managed D/LD's retail activities in New Hampshire.
- Supervised a commercial group of seven energy traders and marketers.
- Directed D/LD's operations during its management of the Oglethorpe Power System.

Enron Power Marketing, Inc. (EPMI)
Houston, Texas

August 1994 to September 1995

Manager, Trading and Transmission

- Member of the original trading team which established EPMI as the largest power marketing organization.
- Responsible for trading activities in the New England Power Pool, MAIN, and SPP regions.

Northeast Power Coordinating Council
New York, New York

September 1988 to August 1994

Senior Engineer, Operations Planning

- Chairperson of the working group which developed seasonal power transfer capabilities between the MAAC, ECAR and NPCC regions.
- Performed various power flow and dynamic simulations for intra-regional and inter-regional planning/operating studies.

Consolidated Edison Company of New York, Inc.
New York, New York

July 1986 to September 1988

Associate Engineer, Transmission Planning

Performed various studies to determine the thermal, voltage, and stability limits of the transmission system.

EDUCATION

Pratt Institute, Brooklyn, New York
Bachelor of Engineering, Electrical with honors.

1982-1986

RECEIVED

JUL 21 2017

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

~~RECEIVED~~

~~JUN 20 2017~~

~~PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU~~

Exhibit 20



PJM Interconnection
2750 Monroe Blvd.
Audubon, PA 19403

Faith K. Daley
Senior Paralegal/Contract Administrator
Ph 610.666.8875 | Fax 610.666.8211
faith.daley@pjm.com

April 21, 2015

Via Email Only

Kitty Bromhead, VP Retail Operations
Spring Energy RRH LLC
240 Kent Avenue
Brooklyn, NY 11249

Dear Ms. Bromhead:

Spring Energy RRH LLC, formerly known as Pure Energy USA LLC, was approved for PJM membership on March 14, 2014. Spring Energy RRH LLC is a load serving entity and signed the PJM Reliability Assurance Agreement on February 11, 2014. Spring Energy RRH LLC is currently a member in good standing.

Please feel free to contact me if further information is required.

Very truly yours,

A handwritten signature in cursive script that reads "Faith K. Daley".

Faith K. Daley
Senior Paralegal/Contract Administrator

/fkd