Version Revised 06/14/17

### BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of \_\_\_Advisors Energy Group, LLC\_\_, for approval to offer, render, furnish, or supply electricity or electric generation services as a Broker/Marketer to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

### 1. <u>IDENTIFICATION AND CONTACT INFORMATION</u>

a. IDENTITY OF THE APPLICANT: Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

Advisors Energy Group, LLC; PO Box 3108 Linden, New Jersey 07036; www.advisorsenergy.com; (732) 202-2924.

b. PENNSYLVANIA ADDRESS / REGISTERED AGENT: If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

URS AGENTS, LLC 125 Locust St. Harrisburg, PA 17101 Phone: 800-567-4397

Fax: 800-567-4398

c. **REGULATORY CONTACT**: Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Samuel Adjangba Partner PO Box 3108 Linden, New Jersey 07036 Tel.: (732) 202-2924

Tel.: (732) 202-2924 Fax: (732) 919-2142

**d. ATTORNEY**: Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Advisors Energy Group, LLC is not using an attorney

e. CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: (Required of ALL Applicants) Provide the name, title, address, telephone number, FAX number, and e-mail OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED) responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filled with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

Sam Adjangba
Partner
PO Box 3108
Linden, New Jersey 07036
(732) 202-2924
(732) 919-2142
sam@advisorsenergy.net

James Angilella
Partner
PO Box 3108
Linden, New Jersey 07036
(732) 202-2925
(732) 919-2142
james@advisorsenergy.net

### 2. BUSINESS ENTITY FILINGS AND REGISTRATION

a.	FICTITIOUS NAME: (Select appropriate statement and provide supporting documentation as listed.)
	The Applicant will be using a fictitious name or doing business as ("d/b/a")  Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.
	OF  The Applicant will not be using a fictitious name.
b.	BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS: (Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)
	The Applicant is a sole proprietor.
	<ul> <li>If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa.</li> <li>C.S. §4124 relating to Department of State filing requirements.</li> </ul>
	Or  The Applicant is a:
	domestic general partnership (*)

Ц	domestic limited partnership (15 Pa. C.S. §8511)
u	foreign general or limited partnership (15 Pa. C.S. 84124)
4	domestic limited liability partnership (15 Pa. C.S. 88201)
ш	foreign limited liability general partnership (15 Pa. C.S. 88211)
Ш	foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- \* If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

OL

The	Applicant is a:
	domestic corporation (15 Pa. C.S. §1308) foreign corporation (15 Pa. C.S. §4124) domestic limited liability company (15 Pa. C.S. §8913) foreign limited liability company (15 Pa. C.S. §8981) Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.

### **New Jersey**

- Give name and address of officers.

Sam Adjangba 19 Bryce Canyon Road, Howell, NJ 07731

James Angilella 154 Summit Avenue, Fords, NJ 08863

### 3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

a. AFFILIATES: Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

Advisors Energy Group, LLC has no affiliates doing business or applying to do business in Pennsylvania

**b. PREDECESSORS**: Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

Advisors Energy Group, LLC has no predecessors.

### 4. **OPERATIONS**

a. APPLICANT'S PRESENT OPERATIONS: (select and complete the appropriate statement)

### **Definitions**

- Supplier an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC.
- Aggregator an entity that purchases electric energy and <u>takes title to electric energy</u> as an intermediary for sale to retail customers.
- Broker/Marketer an entity that acts as an intermediary in the sale and purchase of electric energy <u>but</u> does not take title to electric energy.

		e Applicant is presently doing business in Pennsylvania as a municipal electric corporation electric cooperative local gas distribution company provider of electric generation, transmission or distribution services broker/marketer engaged in the business of supplying electricity services Other; Identify the nature of service being rendered.
À	The	or
4	ı ne	e Applicant is not presently doing business in Pennsylvania.

b.	APPLICANT'S PROPOSED OPERATIONS: The Applicant proposes to operate as a (may check multiple):
	Generator of electricity
	Supplier of electricity
	Aggregator engaged in the business of supplying electricity
	Broker/Marketer engaged in the business of supplying electricity services  Check here to verify that your organization will not be taking title to the electricity nor will you be making payments for customers.
	you be making payments for customers.  Electric Cooperative and supplier of electric power
	Other (Describe):
C.	PROPOSED SERVICES: Describe in detail the electric services or the electric generation services which the
•	Applicant proposes to offer.
	Advisors Energy Group, LLC proposes to be an electricity Broker/Marketer in Pennsylvania.
d.	<b>PROPOSED SERVICE AREA:</b> Check the box of each Electric Distribution Company for which the Applicant proposes to provide service.
	Citizens' Electric Pike  Duquesne Light PPL
	Duquesne Light PPL  Met-Ed UGI Utilities
	PECO Wellsboro
	Penelec West Penn
	☐ Penn Power ☐ Entire Commonwealth of PA
	Entire Commonwealth of PA
_	OUOTOMEDO A E
e.	CUSTOMERS: Applicant proposes to provide services to:
	Residential Customers
	Small Commercial Customers - (25 kW and Under)
	Residential and Small Commercial as Mixed Meter ONLY (CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE)
	Large Commercial Customers - (Over 25 kW)
	Industrial Customers

	Governmental Customers
<b>4</b>	All of above (Except Mixed Meter)
u	Other (Describe):

**f. START DATE:** Provide the approximate date the Applicant proposes to <u>actively market</u> within the Commonwealth. Aug.28, 2017

### 5. COMPLIANCE

a. CRIMINAL/CIVIL PROCEEDINGS: State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

Advisors Energy Group, LLC has not been the defendant of a criminal or civil proceeding ever.

**b. SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

This is not applicable. Advisors Energy Group, LLC has not been the defendant of a criminal or civil proceeding ever.

c. CUSTOMER/REGULATORY/PROSECUTORY ACTIONS: Identify all formal or escalated actions or complaints, in the Commonwealth of Pennsylvania or any state, filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. Applicant should also include if it had a Pennsylvania PUC EGS or NGS license previously cancelled by the Commission. If the Applicant has no actions or complaints to list, explicitly state such.

This is not applicable. Advisors Energy Group, LLC has never had any formal or escalated actions or complaints, in the Commonwealth of Pennsylvania or any state, filed with or by a customer, regulatory agency, or prosecutory agency against Advisors Energy Group, LLC, an affiliate, a predecessor of either, or a person identified in this Application, ever, including but not limited to customers, Utility

Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. Advisors Energy Group, LLC has never <u>had a Pennsylvania PUC EGS or NGS license previously cancelled by the Commission</u>.

d. SUMMARY: If applicable; provide a statement as to the resolution or present status of any actions listed above.

This is not applicable. Advisors Energy Group, LLC has never had any formal or escalated actions or complaints, in the Commonwealth of Pennsylvania or any state, filed with or by a customer, regulatory agency, or prosecutory agency against Advisors Energy Group, LLC, an affiliate, a predecessor of either, or a person identified in this Application, ever, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. Advisors Energy Group, LLC has never had a Pennsylvania PUC EGS or NGS license previously cancelled by the Commission.

### 6. PROOF OF SERVICE

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator. (Example Certificate of Service is attached at Appendix C)

a. STATUTORY AGENCIES: Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Bureau of Investigation & Enforcement Pennsylvania Public Utility Commission Commonwealth Keystone Building 400 North Street, 2 West Harrisburg, PA 17120

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120

Office of the Small Business Advocate Commerce Building, Suite 202 300 North Second Street Harrisburg, PA 17101 Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946

**b. EDCs:** Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Electric Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each EDC is as follows.

### Pike County Light & Power Company:

Vice President – Energy Supply Corning Natural Gas Holding Corporation 330 West William Street Corning, NY 14830

### West Penn:

Legal Department West Penn Power d/b/a Allegheny Power 800 Cabin Hill Drive Greensburg, PA 15601-1689

### Duquesne Light:

Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

### PECO:

Manager Energy Acquisition PECO Energy Company 2301 Market Street Philadelphia, PA 19101-8699

### PPL:

Office of General Counsel Attn: Kimberly A. Klock PPL Two North Ninth Street (GENTW3) Allentown, PA 18101-1179 Met-Ed, Penelec, and Penn Power:

Legal Department First Energy 2800 Pottsville Pike Reading PA, 19612

Citizens' Electric Company:

Citizens' Electric Company Attn: EGS Coordination 1775 Industrial Boulevard Lewisburg, PA 17837 UGI:

UGI Utilities, Inc. Attn: Rates Dept. – Choice Coordinator 2525 N. 12th Street, Suite 360 Post Office Box 12677 Reading, Pa 19612-2677

Wellsboro Electric Company:

Wellsboro Electric Company Attn: EGS Coordination 33 Austin Street P. O. Box 138 Wellsboro, PA 16901

### 7. FINANCIAL FITNESS

a.	BONDING: In accordance with 66 Pa. C.S. Section 2809(c)(1)(i), the Applicant is required to file a bond or
	other instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant
	Is

4	Furnishing the ORIGINAL of an initial bond, letter of credit or proof of bonding to the Commission in the	пд
	amount of \$250,000.	10

Furnishing the **ORIGINAL** of another initial security for Commission approval, to ensure financial responsibility, such as a parental guarantee, in the amount of \$250,000.



For Marketers and Brokers - Filing for a modification to the \$250,000 requirement and furnishing the ORIGINAL of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.

### **CRITICAL BONDING NOTES:**

Applicant is required to maintain a bond or other financial instrument the entire time it maintains an EGS license with the Commonwealth of Pennsylvania. If Applicant's security instrument is not continuous, Applicant <u>MUST</u> submit a Rider, Amendment, or Continuation Certificate annually based on the expiration date of its security instrument.

At least sixty days (60) prior to the security instrument's expiration date, Applicant should contact Stephen Jakab at <a href="mailto:siakab@pa.gov">siakab@pa.gov</a> to determine the appropriate bonding amount based on a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. Once the amount has been determined, Applicant should overnight the updated security instrument(s) at least thirty (30) days prior to the expiration date to ensure adequate time for staff review and approval of the security instrument(s).

Template versions of a continuous bond, fixed-term bond, continuous letter of credit, and parental guarantee are attached at Appendix E, F, G, & H, respectively. Applicant's security must follow language from these examples, and must include the unmodified language outlined in Appendix D. Any deviation from these examples must be identified in the application and may not be acceptable to the Commission.

- **b. FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:
  - Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
  - Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
  - Applicant's accounting statements, including balance sheet and income statements for the past two years. Balance Sheet and Income Statements for 2016 and 2015 attached.
  - Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
  - A description of the types and amounts of insurance carried by Applicant which are specifically
    intended to provide for or support its financial fitness to perform its obligations as a licensee.
  - Audited financial statements exhibiting accounts over a minimum two year period.
  - Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.
- c. SUPPLIER FUNDING METHOD: If Applicant is operating as anything other than <u>Broker/Marketer only</u>, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

Advisors Energy Group, LLC is operating as a Broker/Marketer only.

d. BROKER PAYMENT STRUCTURE: If applicant is a broker/marketer, explain how your organization will be collecting your fees.

Electricity Suppliers will Pay Advisors Energy Group, LLC our fees.

e. ACCOUNTING RECORDS CUSTODIAN: Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

Martain Kemp Accountant E-Z as ABC Income Tax Service 32 Yale Avenue, Irvington, NJ 07111 Tel.: (973) 416-1799

Fax: (973) 849-6442 martannie@comcast.net

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f. TAXATION: Complete the <u>TAX CERTIFICATION STATEMENT</u> attached as Appendix I to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

### 8. <u>TECHNICAL FITNESS</u>:

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

- a. EXPERIENCE, PLAN, STRUCTURE: such information may include:
  - Applicant's previous experience in the electricity industry.

Advisors Energy Group, LLC has been a registered electricity broker since May 2008. Jim Angilella, one of the partners has been in the energy industry since 1983. His resume is attached. Sam Adjangba, the other partner has been in the energy industry since 1995.

Advisors Energy Group, LLC offers a full spectrum of *Electricity*, *Natural Gas* and *Energy Savings Technologies*:

- Electricity Supply
- Demand Response
- Utility Audits
- Renewable Energy Infrastructure Finance
- Harmonics Mitigation Filters
- Combined Heat and Power Systems
- Electricity Peak Load Management
- Solar Systems
- Electricity Procurement and Auctions
- Natural Gas Procurement and Auctions
- Lighting Retrofits
- HVAC Retrofits
- Condenser Water Management Systems
- Summary and proof of licenses as a supplier of electric services in other states or jurisdictions.

New Jersey

Delaware

Maryland

Massachusetts

Type of customers and number of customers Applicant currently serves in other jurisdictions.

Commercial

Commerci

Industrial

Residential

Staffing structure and numbers as well as employee training commitments.

Samuel Adjangba-Principal

James Angilella-Principal

10 associates

		-	Business plans for operations within the Commonwealth.  Referrals  Prospecting
		-	Documentation of membership in PJM, ECAR, MAAC, other regional reliability councils, or any othe membership or certification that is deemed appropriate to justify competency to operate as an EGS within the Commonwealth.  Not Applicable
		-	Any other information appropriate to ensure the technical capabilities of the Applicant.  None
b.	PROP	OSE	D MARKETING METHOD (check all that apply)
		Ext Affi Ext indi	ernal – Applicant will use its own internal resources/employees for marketing ernal EGS – Applicant will contract with a PUC LICENSED EGS broker/marketer liate – Applicant will use a NON-EGS affiliate marketing company and or individuals. ernal Third-Party – Applicant will contract with a NON-EGS third party marketing company and or viduals er (Describe):
C.	DOOR	тоі	DOOR SALES: Will the Applicant be implementing door to door sales activities?
		Yes No	
		If ye	es, will the Applicant be using verification procedures?
		Yes No	
		If ye	es, describe the Applicant's verification procedures.
d.	OVERS	SIGH' mann	T OF MARKETING: Explain all methods Applicant will use to ensure all marketing is performed in an ier, for both employees and subcontractors.
	Our ass	socia	ites are trained to use ethical marketing methods.

**e. OFFICERS**: Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.

James S. Angilella Samuel A. Adjangba

f.	FERC	FILING: Applicant has:
		Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.
		Received approval from FERC to be a Power Marketer at Docket or Case Number
	4	Not applicable

### 9. <u>DISCLOSURE STATEMENTS:</u>

**Disclosure Statements:** If proposing to serve Residential and/or Small Commercial (under 25 kW) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix J to this Application.

 Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated.
 Penalties and procedures for ending contracts should be clearly communicated.

Not applicable for an applicant applying for a license exclusively as a broker/marketer.

### 10. <u>VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS</u>

- **a. PJM LOAD SERVING ENTITY REQUIREMENT:** As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:
  - proof of registration as a PJM Load Serving Entity (LSE), or
  - proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

(Select only one of the following)
 AGREED - Applicant has included compliance with this requirement in the instant application, labeled in correspondence with this section (10).
 AGREED - Applicant will provide compliance with this requirement within 120 days of receiving its license



ACKNOWLEDGED - Applicant is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information

b. STANDARDS OF CONDUCT AND DISCLOSURE: As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43, as well as any future amendments.



**AGREED** 

- **c. REPORTING REQUIREMENTS**: Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:
  - Retail Electricity Choice Activity Reports: The regulations at 52 Pa. Code §§ 54.201--54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's internet web site.
  - Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
  - The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
  - Net Metering Reports: Applicant shall be responsible to report any Net Metering per the Standards on <a href="http://www.puc.pa.gov/consumer-info/electricity/alternative-energy.aspx">http://www.puc.pa.gov/consumer-info/electricity/alternative-energy.aspx</a>. Scroll down to the Net Metering Standards Section.
  - Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis per 52 Pa. Code § 54.39(d).
  - Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.



**AGREED** 

d. TRANSFER OF LICENSE: The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.



**AGREED** 

e. ANNUAL FEES: The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling electricity in the Commonwealth of PA, and an annual supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.

### ACKNOWLEDGED

f. FURTHER DEVELOPMENTS: Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.



g. FALSIFICATION: The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.



h. NOTIFICATION OF CHANGE: If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within twenty (20) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 54.34.



i. CEASING OF OPERATIONS: Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.



j. Electronic Data Interchange: The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at Appendix M.



k. FILING FEE: The Applicant has enclosed or paid the required, non-refundable filing fee by CERTIFIED CHECK OR MONEY ORDER in the amount of \$350.00 payable to the Commonwealth of Pennsylvania.

The Commission does not accept corporate or personal checks for filing fees.



### 11. AFFIDAVITS

### Must be notarized before filing.

- a. APPLICATION AFFIDAVIT: Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.
- b. OPERATIONS AFFIDAVIT: Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.

### 12. NEWSPAPER PUBLICATIONS

### Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve.

The chart below dictates which newspapers are necessary for each EDC. For example, an applicant that wants to operate in Penn Power would need to run ads in both The Erie Times-News and the Pittsburgh Post-Gazette. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

The only acceptable verification of this requirement is with Notarized Proofs of Publication, which may be requested from each newspaper and must be supplied with this application. Applicants do not need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	Erie Times- News	Harrisburg Patriot- News	Philadelphia Daily News	Pittsburgh Post- Gazette	Scranton Times- Tribune	Williamsport Sun-Gazette	Johnstown Tribune- Democrat
Citizens' Electric						X	
Duquesne				Х		<del></del>	
Met Ed		Х	Х		х		
PECO			Х				
Penelec	X	х	<del></del>		Х	X	Х
Penn Power	X					<del>                                     </del>	^
Pike					X	<del> </del>	
PPL		х	Х		X	x	
UGI			<u> </u>		<u>^</u>	<del>                                     </del>	<u> </u>
Wellsboro						X	
West Penn		Х		Х		X	х
Entire							
Commonwealth	×	x	x	x	Х	x	X

(Example Publications are provided at Appendices K and L)

### 13. **SIGNATURE**

Applicant: Advisors Energy Group, LLC Ву: Title:

### 14. **CHECKLIST**

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections are complete.

### Applicant: Advisors Energy Group, LLC

	Signature		
	Filing Fee (CERTIFIED CHECK OR MONEY ORDER ONLY)	/	
	Application Affidavit	/	
Nse	Operations Affidavit		
Applicant's Use	Proof of Publication		
Ар	Bond, Letter of Credit, or Parental/Affiliate Guarantee		
	Tax Certification Statement	/	Ž
	Commonwealth Department of State Verification		
	Certificate of Service		

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### Appendix A

### **APPLICATION AFFIDAVIT**

[Commonwealth/State] of New Jersey :
; ss.
County of Monmouth_ :
Samuel A. Adjangba, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:
[He/she is the Partner (Office of Affiant) of Advisors Energy Group, LLC (Name of Applicant);]
[That he/she is authorized to and does make this affidavit for said Applicant;]
That the Applicant herein Advisors Energy Group, LLC has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).
That the Applicant herein Advisors Energy Group, LLC has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.
That the Applicant herein Advisors Energy Group, LLC acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.
That the Applicant herein Advisors Energy Group, LLC acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.
That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.
Signature of Affiant
Sworn and subscribed before me this 25 <sup>th</sup> day of August, 2017.
Signature of official administering oath
My commission expires 04 22, 2017
KEITA KANKAM Notary Public State of New Jersey My Commission Expires Oct 22, 2017

### Appendix B

### **OPERATIONS AFFIDAVIT**

[Commonwealth/State] of New Jersey	:		
		:	SS.
County of Monmouth	:		

Samuel A. Adjangba, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the Partner (Office of Affiant) of Advisors Energy Group, LLC (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That Advisors Energy Group, LLC, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That Advisors Energy Group, LLC, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Advisors Energy Group, LLC \_, the Applicant herein, certifies to the Commission that it is subject to, will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

### Appendix B (Continued)

That Advisors Energy Group, LLC, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.

Signature of Affiant

Sworn and subscribed before me this 25th day of Aug 45 , 2017

Signature of official administering oath

My commission expires 0cf 22, 2017

KEITA KANKAM Notary Public State of New Jersey My Commission Expires Oct 22, 2017

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### Appendix C

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

### Example CERTIFICATE OF SERVICE

On this the 25<sup>th</sup> day of August 2017, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all **NON-CONFIDENTIAL** attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:

Bureau of Investigation & Enforcement Pennsylvania Public Utility Commission Commonwealth Keystone Building 400 North Street, 2 West Harrisburg, PA 17120

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120

Small Business Advocate Commerce Building, Suite 202 300 North Second Street Harrisburg, PA 17101

Legal Department West Penn Power d/b/a Allegheny Power 800 Cabin Hill Drive Greensburg, PA 15601-1689

Regulatory Affairs Duquesne Light Company 411 Seventh Street, MD 16-4 Pittsburgh, PA 15219

Legal Department First Energy 2800 Pottsville Pike Reading PA, 19612

Citizens' Electric Company Attn: EGS Coordination 1775 Industrial Boulevard Lewisburg, PA 17837 Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946

Vice President – Energy Supply Corning Natural Gas Holding Corporation 330 West William Street Corning, NY 14830

Manager Energy Acquisition PECO Energy Company 2301 Market Street Philadelphia, PA 19101-8699

Office of General Counsel Attn: Kimberly A. Klock PPL Two North Ninth Street (GENTW3) Allentown, PA 18101-1179

UGI Utilities, Inc. Attn: Rates Dept. – Choice Coordinator 2525 N. 12th Street, Suite 360 Post Office Box 12677 Reading, Pa 19612-2677

Wellsboro Electric Company Attn: EGS Coordination 33 Austin Street P. O. Box 138 Wellsboro, PA 16901

Samuel A. Adjangba, Partner Advisors Energy Group, LLC

James S. Angilella and Samuel A. Adjangba's Resume

### SAMUEL A. ADJANGBA 19 Bryce Canyon Road Howell, NJ 07731

Tel: 732 919-7170 Fax: 732 919-2142 E-mail: sam@advisorsenergy.net

### **EXPERIENCE: PRINCIPAL-ENERGY CONSULTANT**

Advisors Energy Group, LLC,

Iselin, NJ May 2008 - Present

- -Sell Electricity and Natural gas to Commercial and residential clients
- -Work with Customers to manage their energy portfolio.
- -Sell Solar Energy Systems, Harmonics Filters, Utility Audits, Demand Response, Energy Efficiency Funding, Combined Heat and Power Systems, Hotel Guest Room Management Systems, Power Factor Correction Systems and Heating Controls
- -Research competitive advantage and negotiate pricing terms.
- -Participate in trade shows.
- -Recruit and Train Brokers and Independent Representatives.

### ACCOUNT MANAGER

Direct Energy, Iselin, NJ May 2007 - May 2008

- -Sold Electricity and Natural Gas to Commercial and residential clients in New York.
- -Work with Customers to manage their energy portfolio.
- -Research competitive advantage and negotiate pricing terms.
- -Participate in trade shows.
- -Train new Account Mangers.

### **BRANCH MANAGER**

Dana Capital Group, Newark, NJ

**April 2002 – April 2007** 

- -Originated and Processed Residential and Commercial Loans.
- -Managed Mortgage Company.
- -Built and sold homes.
- -Brokered natural gas and electricity for Total Gas and Electric and MX Energy.

### MARKETING REPRESENTATIVE

Keyspan Energy Services, College Point, NY

May 1998 – April 2002

- -Sold Natural Gas and Electricity to Commercial clients in New
- Jersey, New York, Connecticut and Delaware.
- -Sold AT&T Voice, Internet Protocol, Data and Web Hosting.
- -Sold Heating and Cooling System Maintenance Agreements.
- -Pursued and Collected Receivables from delinquent Accounts.
- -Worked with Customers to manage their energy portfolio.
- -Researched competitive advantage and negotiate pricing terms.

### ACCOUNT EXECUTIVE

Oxydental Petroleum, Lombard, IL

Jan. 1998 - May 1998

-Sold Natural Gas and Electricity to Commercial Clients in New Jersey and New York.

### SALES MANAGER

### Integrated Utilities, Fords, NJ

Nov. 1991-2002

- -Recruited, Trained and Managed Account Executives.
- -Sold Natural Gas for PSEG Energy, Bank Card Systems, Public Pay Telephone Site Location Agreements, Public Pay Telephone Routes, Cellular Phones, Pre-Paid Phone Cards, Carrier Services, Hospitality Telephone Systems, Operator Services, Vacuum and Air Vending Machines and Automatic Teller Machines.
- -Sold and Reorganized Public Pay Telephone companies.
- -Organized Limited Partnerships to invest in Public Pay Telephones.
- -Maintained over 6,500 Public Pay Telephones in New Jersey, New York, Connecticut and Pennsylvania resulting in \$20,000,000 annual revenue.

### MARKETING SPECIALIST

Northern Telecom, Valhalla, NY

July 1990 - Nov. 1991

- -Analyzed, Engineered, Researched and Quoted Meridian 1 PBX systems, DMS Switching Systems, FiberWorld Fiber Optic Systems, Digital Switching Systems, Remote Switching Centers, Synchronous Optical Networks, ISDN, Meridian Digital Centrex, DMS Supernode, Signal Transfer Point, Automatic Call Distribution and Signaling Systems.
- -Wrote Proposals to Bell and Independent Telephone Operating Companies.
- -Made Presentations to Senior Management.
- -Interfaced with Installers, Engineers and Customer Service Representatives.

### STATISTICAL ANALYST

Moody's Investors Service, New York, NY

MAR. 1986-JULY 1990

- -Analyzed the Capital Structure, financial and operating ratios of publicly held corporations.
- -Conducted extensive research and statistical analysis projects utilizing annual reports, 10-Ks, Prospectuses, audits and correspondence.
- -Developed and conducted informal training sessions for new Analysts.

### SALES MANAGER

Cablevision, Newark, NJ

**SEPT. 1989 - DEC. 1991** 

- -Recruited, trained and managed Sales Representatives.
- -Sold cable subscriptions.

### **PROGRAMMER**

Mid-Century Textile Corp., New York, NY MAY 1985 - MAR. 1986

- -Wrote and maintained inventory programs using DBASE II.
- -Performed Accounting functions using OCC Software

EDUCATION: PACE UNIVERSITY, New York, NY

September 1989 Degree: Master of Business Administration

Concentration: Financial Management and Information Systems

August 1985 BARUCH COLLEGE, New York, NY

Degree: Bachelor of Business Administration

Major: Computer Information Systems and Statistics

June 1992 IONA COLLEGE, Orangeburg, NY

Degree: Master of Science (Completed 27 out of 30 credits)

Major: Telecommunications

Sept. 8, 2008	PJM Training Center, King of Prussia, Pa. PJM Basics 101
•	
Sept. 9, 2008	PJM Generation 101
Sept. 10, 2008	PJM Generation 201
Sept. 11, 2008	PJM Generation 301
Sept. 12, 2008	PJM Operations 101
Sept. 24, 2008	PJM Demand Side Response Training
Sept. 25, 2008	PJM Reliability Pricing Model
Oct. 16, 2008	PJM System Planing 201
Oct. 28, 2008	PJM Transactions 201
Jan. 20, 2009	PJM Power System Fundamentals
Jan. 2009	
Jan. 2009	PJM Interconnection Training Program
May 2007	Direct Energy, Dublin, OH
1114 2001	Energy Account Manager Training Program
	Energy Account Manager Training Program
November 2005	New Century Mortgage University, Newark, NJ
	Liability Management
February 2004	Wells Fargo Home Mortgage, Chaska, Minn.
1001ddiy 2004	Mortgage Processing Training Program
	Wortgage Processing Training Program
October 2002	The Loan Consultants, Newark, NJ
	Commercial Lending Training Program
	<i>S S S</i>
September 2001	AT&T ALLIANCE CHANNEL UNIVERSITY, Melville, NY
	AT&T Product and Service Training Program
May 1998	Keyspan Energy Services, Stamford, CT
11249 12220	Energy Account Executive Training Program
	Energy recount Executive Training Program
Feb 1996	OXYDENTAL PETROLEUM, Woodbridge, NJ
	Energy Account Executive Training Program
March 1995	MCI, Rye, NY
Water 1995	
	MCI Product and Service Training Program
October 1991	NORTHERN TELECOM INSTITUTE, R.T.P., NC
	Certificate DMS-100/200 Method of Operation
	oranion birto 100/200 Memod of Operation
June 1981	UNIVERSITE DU BENIN, Togo, West Africa
· · · · · · · · · · · · · · · · · · ·	Degree: Diplome D'Etudes Françaises
	2-51-00. Diplome D Diddes I faileaises
LANGUAGES	Fluent in French

### **JAMES S. ANGILELLA**

154 Summit Avenue Fords, NJ 08863 732-934-5769 - Home 732-259-7570 - Mobile

### **EXPERIENCE:**

### **Principal**

### Advisors Energy Group, LLC, New York, NY May 2008 - Present

- -Sell Electricity and Natural gas to Commercial and residential clients
  - -Work with Customers to manage their energy portfolio.
  - -Sell Solar Energy Systems, Energy Bank Units, Curtailment Services and Demand Side Response.
  - -Research competitive advantage and negotiate pricing terms.
  - -Participate in trade shows.
  - -Recruit and Train Brokers and Independent Representatives.

### Business Broker

### **JLM Consulting Services**

February 2001 to Present Linden, NJ

Sold \$30,000,000 worth of Laundromats.

### Sales Representative

### Stuyvesant Energy.

May 2002 to Feb. 2008 Bronx, New York

- Sold natural gas and electricity to commercial accounts throughout New Jersey, New York and Delaware.
- Prospected through cold calling, telemarketing, trade shows, and professional networking.

### Sales Representative

### KEYSPAN ENERGY SERVICES, INC.

August 1998 to May 2002 College Point, New York

- Sold natural gas and electricity to commercial accounts throughout New Jersey, New York and Delaware.
- Prospected through cold calling, telemarketing, trade shows, and professional networking.
- Achieved highest sales volume among seven sales representatives.

### Sales Representative

SYNCOM PHARMACEUTICALS, INC.

January 1998 to August 1998 Florham Park, New Jersey

- Detailed prescription and non-prescription products including vitamin supplements, estrogen replacement therapy and anti-histamines.
- Territory consisted of 200 physicians with specialties including internal medicine, general practice and obstetrics & gynecology.

### **Energy Consultant**

### HONEYWELL, DMC

September 1987 to January 1998 Wayne, New Jersey

- Advised customers on technical questions regarding heating and air conditioning systems and residential applications of different forms of insulation.
- Provided expert advice regarding PSE&G's Home Energy Savings Program.
- Performed energy evaluations on residential buildings in accordance with New Jersey Department of Energy guidelines.
- Recommended and demonstrated energy conservation measures to homeowners.

### JAMES S. ANGILELLA

### Energy Consultant

### ENERCOM, INC.

May 1983 to September 1987 Belle Mead, New Jersey

- Performed energy evaluations on residential buildings in accordance with New Jersey Department of Energy guidelines.
- Recommended and demonstrated energy conservation measures to homeowners.

### **EDUCATION:**

### UNION COUNTY COLLEGE

Cranford, New Jersey

Biology Major, 3.65 GPA, Dean's List. 1991 to 1995

### **RUTGERS UNIVERSITY**

New Brunswick, New Jersey

B.A. Political Science, 1983

### REFERENCES AVAILABLE UPON REQUEST

## Advisors Energy Group, LLC

## **Balance Sheet and Income Statements**

for 2016 and 2015

### BEFORE THE PUBLIC SERVICE COMMISSION

### OF THE STATE OF DELAWARE

IN THE MATTER OF THE APPLICATION	)		
OF ADVISORS ENERGY GROUP, LLC FOR	)		
A CERTIFICATE TO PROVIDE ELECTRIC	)		
SUPPLY SERVICES WITHIN THE STATE	)	PSC DOCKET NO. 16-0:	259
OF DELAWARE (SUBMITTED MARCH 12,	)		
2016; FILED APRIL 18, 2016)	)		

### ORDER NO. 8891

### ELECTRIC SUPPLIER CERTIFICATE

This  $7^{\text{TH}}$  day of June, 2016, the Public Service Commission (the "Commission") determines and orders the following:

WHEREAS, on April 18, 2016, Advisors Energy Group, LLC ("AEG" or the "Company") filed a complete application (the "Application") pursuant 26 Del. C. §1001 - §1020, the Electric Utility Restructuring Act (the "Act"), and 26 Del. Admin. C. §3001, the Commission's Rules for Certification and Regulation of Electric Suppliers (the "Supplier Rules"), seeking certification as a retail electric supplier in order to broker the sale or purchase of Electric Supply Service; and

WHEREAS, in the Application, the Company states it is seeking certification as a Broker<sup>2</sup> that will utilize appropriate energy procurement strategies to meet the needs of small commercial, large commercial, and industrial customers in Delaware; and

WHEREAS, as more specifically detailed in its Memorandum to the Commission, the Commission Staff ("Staff") reports that the

<sup>&</sup>lt;sup>1</sup> Unless otherwise noted, capitalized terms shall have the meanings ascribed to them in the Act and the Supplier Rules.

Pursuant to §1001(3) of the Act, "Broker" is defined as a person or entity that acts as an agent or intermediary in the sale or purchase of, but that does not take title to, electricity for sale to retail electric customers." Although a Broker never takes "title" to any electric supply product, §1001(14)(c) of the Act deems a broker an "Electric Supplier."

PSC Docket 16-0259, Order No. 8891 Cont'd

Application fulfills all of the requirements set forth in the Act and the Supplier Rules for an Electric Supplier Certificate to act as a Broker and that the Company has specifically presented substantial evidence supporting its financial, operational, managerial, and technical ability to operate as a Broker of Electric Supply Service within the State of Delaware. Therefore, Staff recommends that the Commission issue an Electric Supplier Certificate authorizing the Company to broker sales and customer purchases of Electric Supply Services in the State of Delaware;

### NOW, THEREFORE, IT IS HEREBY ORDERED BY THE AFFIRMATIVE VOTE OF NOT FEWER THAN THREE COMMISSIONERS:

- 1. Advisors Energy Group, LLC is hereby granted a certificate to do business and operate as an "Electric Supplier" (Broker) within the State of Delaware. Under this Electric Supplier Certificate, the Company is authorized to broker Electric Supply Service within the State of Delaware consistent with and in accordance with the Act and the Supplier Rules.
- 2. AEG is hereby notified that a violation of any applicable order, statute, regulation, rule, or other controlling authority may be enforced by sanctions permitted by §§1012(a) and 1019 of the Act and §3001-10.2 of the Supplier Rules.
- 3. AEG shall file such reports and pay such fees and assessments as may be required or imposed by 26 Del. C. §§114, 115 and 1012(c) (2) and §§3001-3.9 and 3.10 of the Supplier Rules.
- 4. AEG consents to the jurisdiction of the courts of the State of Delaware for all acts or omissions related to its offering of Electric Supply Services in this State.

PSC Docket 16-0259, Order No. 8891 Cont'd

- 5. AEG is hereby placed on notice that the costs of this proceeding will be charged to it pursuant to 26 Del. C. §114(b) (1).
- 6. The Commission reserves the jurisdiction and authority to enter such further Orders in this matter as may be deemed necessary or proper.

BY ORDER OF THE COMMISSION:

Chair

Commissioner

Commissioner

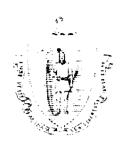
Commissioner

Commissioner

WARE PUBLICATION OF STRUCTURE O

ATTEST:

Secretary



### THE COMMONWEALTH OF MASSACHUSETTS DEPARTMENT OF PUBLIC UTILITIES

CHARLES D. BAKER GOVERNOR

KARYN L. POLITO UEB TENANT GOVERNOR

MATTHEW A. BEATON SCORETARY OF ENERGY SMOENNERONATENTAL ALESSICA ONE SOUTH STATION BOSTON, MA 02110 (617) 305-3500 ANGELA M. O'CONNOR

JOLETTE A. WESTBROOK COMMISSIONER

> ROBERT E. HAYDEN COMMISSIONER

January 10, 2017

Samuel Adjangba Advisors Energy Group, LLC P.O. Box 3108 Linden, NJ 07036

Dear Mr. Adjangba.

The Department of Public Utilities ("Department") has reviewed your application for renewal of your **Electricity Broker License**. **EB-341**, and is pleased to inform you that your application for renewal has been approved.

As a condition of maintaining this license, you must file updated information within 30 days of any material or organic change in the information required by 220 C.M.R. § 11.05(2), and you must comply with all relevant requirements of G.L. c. 164 and the regulations promulgated thereunder, including 220 C.M.R. §§ 11.00, 12.00 et seq. Consistent with the information included with Advisors Energy Group, LLC's application, the activities the company is licensed to provide are limited to electricity broker services to Commercial and Industrial customers. If, at a later date, the Company seeks to provide electricity broker services to residential customers, it must first seek and obtain Department approval at the time of renewal of its license. If you decide to request renewal of your license next year, please submit renewal application no later than November 1, 2017. The renewal application is available at: <a href="https://www.mass.gov/dpu/suppliersandbrokers">www.mass.gov/dpu/suppliersandbrokers</a>.

Mark D. Marini, Secretary

FAX: (617) 345-9101 www.mass.gov/dpu



### THE COMMONWEALTH OF MASSACHUSETTS DEPARTMENT OF PUBLIC UTILITIES

CHARLES D. RAKER **GOVERNOR** 

KARYN E. POLITO LIEUTENANT GOVERNOR

MATTHEW A. BEATON SECRETARY OF ENERGY AND ENVIRONMENTAL AFFAIRS ONE SOUTH STATION BOSTON, MA 02110 (617) 305-3500

ANGELA M. O'CONNOR CHAIRMAN

JOLETTE A. WESTBROOK COMMISSIONER

> ROBERT E. HAYDEN COMMISSIONER

October 16, 2015

Samuel Adjangba, CEO Advisors Energy Group, LLC P.O. Box 3108 Linden, New Jersey 07036

RE:

Electricity Broker License Application

Dear Mr. Adjangba,

The Department of Public Utilities ("Department") has reviewed the application of Advisors Energy Group, LLC ("Advisors Energy" or "Company") for an Electricity Broker license in the Commonwealth of Massachusetts, and is pleased to inform you that the Department has approved the application. Advisors Energy's license number is EB-341.

Consistent with the information provided in Advisors Energy's application, the activities the Company is licensed to provide are limited to electricity broker services to commercial and industrial customers. If, at a later date, the Company seeks to provide electricity broker services to residential customers, it must first seek and obtain Department approval when renewing its license.

As a condition of maintaining this license, Advisors Energy must comply with all relevant requirements of G.L. c. 164 and the regulations promulgated thereunder, including 220 C.M.R. §§ 11.00, 12.00 et seq. In addition, within 30 days of any material change in the information required by 220 C.M.R. § 11.05(2), the Company must file updated information

Samuel Adjangba, CEO Advisors Energy Group, LLC

Page 2

with the Department. If Advisors Energy requests a renewal of its license next year, please submit the renewal application no later than November 1, 2016.

By Order of the Department,

Angela M. O'Connor, Chairman

Jolette A Westbrook, Commissioner

Robert E. Hayden, Commissioner

# Pennsylvania Foreign Registration Statement

### News Paper Publication

### PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Applications of <u>Advisors Energy Group, LLC</u> For Approval To Offer, Render, or Furnish Services as a <u>Marketer/Broker</u> Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

Advisors Energy Group, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. Advisors Energy Group, LLC will also be filing an application with the PUC for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity, engaged in the business of supplying electricity, engaged in the business of supplying electricity. Advisors Energy Group, LLC proposes to sell electricity, natural gas, and related services in [Met Ed, Penelec, PPL and West Penn] under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Advisors Energy Group**, **LLC** may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to **Advisors Energy Group**, **LLC** at the address listed below.

Advisors Energy Group, LLC PO Box 3108 Linden, NJ 07036 (732) 202-2926 (732) 919-2142

## Newspaper Publication Harrisburg Patriot News

### 0008325672-01

### Ad Content Proof

### PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Applications of Advisors Energy Group, LLC For Approval To Offer, Render, or Furnish Services as a Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public in The Commonwealth Of Pennsylvania.

Advisors Energy Group, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. Advisors Energy Group, LLC will also be filing an application with the PUC for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity, engaged in the business of supplying electricity. Advisors Energy Group, LLC proposes to sell electricity, natural gas, and related services in [Met Ed, Penelec, PPL and West Penn) under the provisions of the new Natural Gas Choice and Competition Act and the **Electricity Generation Customer** Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Advisors Energy Group, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Advisors Energy Group, LLC at the address listed below.

Advisors Energy Group, LLC PO Box 3108 Linden, NJ 07036 (732) 202-2926 (732) 919-2142

## CERTIFICATE OF SERVICE

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                       3337700366
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                       (800)275-8777
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                                                 (Expected Delivery Day)
                                                 (Thursday 08/31/2017)
                                                 (USPS Tracking #)
                                                 (9505 5153 8837 7241 1059 53)
                                             Insurance
                                                                               $0.00
                                                  (Up to $50.00 included)
                                            PM 3-Day
                                                                   1
                                                                              $7.10
                                                (Domestic)
                                                (GREENSBURG, PA 15601)
(Weight: 0 Lb 8.70 0z)
                                                (Expected Delivery Day)
                                                (Friday 09/01/2017)
                                                (USPS Tracking #)
(9505 5153 8837 7241 1059 60)
                                            Insurance
                                                                              $0,00
                                                 (Up to $50.00 included)
                                           PM 2-Day
                                                                              $6.65
                                                (Domestic)
                                               (HARRISBURG, PA 17120)
                                                (Weight: 0 Lb 8.80 0z)
                                                (Expected Delivery Day)
                                               (Thursday 08/31, 2017)
                                               (USPS Tracking #)
(9505 5153 8837 7241 1059 77)
                                           Insurance
                                                                 1
                                                                             $0.00
                                                (Up to $50.00 included)
                                          PM 2-Day
                                                                             $6.65
                                               (Domestic)
                                               (HARRISBURG, PA 17120)
                                               (Weight: 0 Lb 8.90 0z)
                                               (Expected Delivery Day)
                                               (Thursday 08/31/2017)
                                               (USPS Tracking #)
                                              (9505 5153 8837 7241 1059 91)
                                           Insurance
                                                                 1
                                               (Up to $50.00 included)
                                         PM 2-Day
                                                                            $6.65
                                              (Domestic)
                                              (WELLSBORO, PA 16901)
                                              (Weight: 0 Lb 8.50 Oz)
(Expected Delivery Day)
                                              (Thursday 08/31/2017)
                                              (USPS Tracking_#)
                                             (9505 5153 8837 7241 1060 04)
                                          Insurance
                                                                1
                                                                            $0,00
                                              (Up to $50.00 included)
                                         PM 2-Day
                                                                            $6.65
                                             (Domestic)
                                             (ALLENTOWN, PA 18101)
                                             (Weight: 0 Lb 8.50 0z)
(Expected Delivery Day)
                                             (Thursday 08/31/2017)
(USPS Tracking #)
(9505 5153 8837 7241 1060
                                         Insurance
                                                               1
                                                                           $0.00
                                              (Up to $50.00 included)
                                        PM 2-Day
                                                                           $6,65
                                             (Domestic)
                                             (HARRISBURG, PA 17101)
                                             (Weight:0 Lb 8.50 Oz)
                                            (Expected Delivery Day)
                                            (Thursday 08/31/2017)
                                            (USPS Tracking #)
(9505 5153 8837 7241 1060 28)
                                         Insurance
                                                               1
                                             (Up to $50.00 included)
                                       Total
                                                                         $74.50
                                       Credit Card Remitd
                                                                         $74.50
                                            (Card Name: AMEX)
                                            (Approval #:511503)
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(Transaction #. Rock

Includes up to \$50 insurance

In a hunry? Self-service krosks offer quick and easy check-out, Any Retail Associate can show you how.

\***\*** BRIGHTEN SOMEONE'S MAILBOX. Greeting cards available for purchase at select Post Offices. \*\*\*\*\*\*\*\*\*\*\*\*\*

Text your tracking number to 28777 (2USPS) to get the latest status. Standard Message and Data rates may apply. You may also visit USPS.com USPS Tracking or call 1-800-222-1811.

Save this receipt as evidence of insurance. For information on filing an insurance claim go to https://www.usps.com/help/claims.htm.

Order stamps at usps.com/shop or call 1-800-Stamp24. Go to usps.com/clicknship to print shipping labels with postage. For other information call 1-800-ASK-USPS

\* Get your mail when and where you want it with a secure Post Office Box. Sign up for a box online at usps.com/poboxes. \*

All sales final on stamps and postage Refunds for guaranteed services only Thank you for your business

HELP US SERVE YOU BETTER

TELL US ABOUT YOUR RECENT POSTAL EXPERIENCE

Go to: https://postalexperience.com/Pos

840-5088-0025-002-00011-00922-02

or scan this code with ygun mobile device:



or càil 1-800-410-7420.

YOUR OPINION COUNTS

Bill #: 840-50880025-2-1100922-2

Clerk: 06

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FARMINGDALE
                                                                                             66 MAIN ST
                                                                                          FARMINGDALE
                                                                                                      NJ
                                                                                           07727-9998
3325800463
                                           0873072017
                                                                                       (800) 275-8777
                                             to the transfer of the Oliver Alga Library
                                          Product
                                                                                                             Sale
                                        Description
                                                                                                                                                Final
                                                                                                                  Qty
                                                                                                                                                        Price
                                        Other
                                      PM 2-Day
                                                                                                              1
                                                   (Domestic)
                                                 (PHILADELPHIA, PA 19103)
(Weight: 0 Lb 8.90 0Z)
(Expected Delivery Day)
                                                                                                                                                $6.65
                                              (Friday 09/01/2017)
(Friday 09/01/2017)
(USPS Tracking #)
(9505 5112 5957 7242 1063 36)
                                    Insurance
                                              (Up to $50.00 included)
                              PM 2-Day
                                                                                                                                         $0.00
                                           (Domestic)
                                          (READING, PA 19612)
(Weight: 0 Lb 8.90 02)
                                                                                                                                        $6.65
                                         (Expected Delivery Day)
                                        (Friday 09/01/2017)
                                      (Friday Dayunzon
(USPS Tracking #)
(9505 5112 5957 7242 1063 50)
Imance 1 $0
                           Insurance
                                        (Up to $50.00 included)
                      PM 2-Day
                                                                                                                                $0.00
                                    (Domestic)
                                (READING, PA 19605)
(Weight: 0 Lb 9.10 0z)
(Expected Delivery Day)
                                                                                                                               $6.65
                                (Friday 09/01/2017)
                              (USPS Tracking #)
(9505 5112 5957 7242 1063 67)
                   Insurance
                                (Up to $50.00 Included)
              Total
            Credit Card Remitd
                                                                                                                   $19,95
                         (Card Name: AMEX)
                      $19.95
                      (Transaction #:707)
      Includes up to $50 insurance
    ************
  BRIGHTEN SOMEONE'S MAILBOX. Greeting
 cards available for purchase at select
 Post Offices.
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### **BOND**