

Option One Energy LLC
321 N Clark St, 5th floor
Chicago, IL 60654

Commonwealth of Pennsylvania
Pennsylvania Public Utility Commission
c/o Rosemary Chaivetta
400 North Street
Harrisburg PA 17120

October 23, 2017

Re: Docket A-2017-2623042

Dear Sir or Madam;

Please see the responses to the letter herein received on September 12, 2017.

1. Email address to be used in conjunction with the Pennsylvania address shall be: Adam Morris adam@optiononeenergy.com or you can also use: LEGAL@OptionOneEnergy.com
2. Please see the attached formation documents for Option One Energy, LLC
3. Please see attached bonding licenses for Peoples Natural Gas Company (Equitable Gas Division), PECO Energy Company, Philadelphia Gas Works, National Fuel Gas Distribution Corporation, Valley Energy, Peoples Natural Gas Company LLC, UGI Utilities Inc., Columbia Gas of Pennsylvania, INC. UGI-Penn Natural Gas, UGI-Central Penn Gas, and Peoples Gas Company, LLC.
4. Please see attached 2016 Profit and Loss Statements. Please let me know if this is sufficient to satisfy the request for additional financial information. Also attached are the 2016 tax returns -confidential.
5. Please see the licenses for DC, NJ, IL, and DE attached.

I, Adam Morris, hereby state that the facts above set forth are true and correct to the best of my knowledge, information and belief and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa.C.S. § 4904.

If you have any questions please feel free to contact me via email at adam@optiononeenergy.com.

Best regards,



Adam Morris, Owner

Option One Energy, LLC



COMMONWEALTH OF PENNSYLVANIA
PENNSYLVANIA PUBLIC UTILITY COMMISSION
400 NORTH STREET, HARRISBURG, PA 17120

IN REPLY PLEASE
REFER TO OUR FILE

September 12, 2017

Docket No. A-2017-2623042
Utility Code: 1220224

CERTIFIED

ADAM MORRIS
OPTION ONE ENERGY LLC
321 N CLARK ST 5TH FL
CHICAGO IL 60654

RE: Natural Gas Supplier License Application of Option One Energy LLC

Dear Mr. Morris:

On September 6, 2017, Option One Energy LLC's application for a Natural Gas Supplier license was accepted for filing and docketed with the Public Utility Commission. The application was incomplete. In order for us to complete our analysis of your application, the Energy Industry Group requires answers to the attached question(s).

Please be advised that you are directed to forward the requested information to the Commission within **30** days of receipt of this letter. Failure to respond may result in the application being denied. As well, if Option One Energy LLC has decided to withdraw its application, please reply notifying the Commission of such a decision.

Please forward the information to the Secretary of the Commission at the address listed below. When submitting documents, all documents requiring notary stamps must have original signatures. Please note that some responses may be e-filed to your case, <http://www.puc.pa.gov/efiling/default.aspx>. A list of document types allowed to be e-filed can be found at <http://www.puc.pa.gov/efiling/DocTypes.aspx>.

Rosemary Chiavetta, Secretary
Pennsylvania Public Utility Commission
400 North Street
Harrisburg, PA 17120

Your answers should be verified per 52 Pa Code § 1.36. Accordingly, you must provide the following statement with your responses:

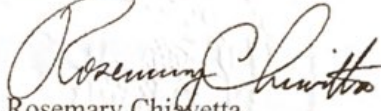
I, _____, hereby state that the facts above set forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the

statements herein are made subject to the penalties of 18 Pa. C.S. § 4904 (relating to unsworn falsification to authorities).

The blank should be filled in with the name of the appropriate company representative, and the signature of that representative should follow the statement.

In addition, to expedite completion of the application, please also e-mail the information to Stephen Jakab at sjakab@pa.gov. Please direct any questions to Stephen Jakab, Bureau of Technical Utility Services, at sjakab@pa.gov (preferred) or (717) 783-6174.

Sincerely,



Rosemary Chiavetta
Secretary

Enclosure

Docket No. A-2017-2623042
Option One Energy LLC
Data Request

1. Reference Application, Section 1.b, PA Address – Applicant failed to provide an email address for its Pennsylvania address. Please provide an updated application page with the appropriate corrections.
2. Reference Application, Section 2.b, Formation Documents – Applicant failed to provide limited liability company formation documentation. Please provide the missing documentation.
3. Reference Application, Section 4.d, Proposed Service Area – Applicant has not provided bonding letters for Peoples Natural Gas Company (Equitable Gas Division), PECO Energy Company, Philadelphia Gas Works, National Fuel Gas Distribution Corporation, Valley Energy, Peoples Natural Gas Company, LLC, UGI Utilities, Inc., Columbia Gas of Pennsylvania, Inc., UGI-Penn Natural Gas, UGI-Central Penn Gas, and Peoples Gas Company, LLC. Please provide the missing documentation.
4. Reference Application, Section 7.b, Financial Fitness – Applicant did not provide sufficient documentation to demonstrate financial fitness. Please provide either two recent consecutive years of financial statements (2015 and 2016) or three recent consecutive months of bank statements (June, July, and August). Applicant may elect to mark the information as confidential.
5. Reference Application, Section 8.a, Technical Fitness – Applicant failed to provide a license for the District of Columbia, New Jersey, Illinois, and Delaware. Please provide the missing documentation.

COMMONWEALTH OF PENNSYLVANIA
PENNSYLVANIA PUBLIC UTILITY COMMISSION
400 NORTH STREET
HARRISBURG PA 17120

IN REPLY PLEASE
REFER TO OUR FILE

September 6, 2017

A-2017-2623042

OPTION ONE ENERGY LLC
321 N CLARK ST 5TH FL
CHICAGO IL 60654

Dear Sir/Madam:

On August 29, 2017, please know we have received your Application and filing fee to become a supplier of natural gas services in the Commonwealth of Pennsylvania. The docket number assigned to your application is A-2017-2623042.

52 Pa. Code §62.109(b)(b) states:

“Completed applications, with all supporting documentation, including any documentation or clarifying information requested by Commission staff, if unprotested, will be processed within 45 days after acceptance by the Commission. If the application is not processed within the time period, the application will be deemed approved. The review period may be extended for a reasonable period of time by Secretarial Letter.”

In addition, the Commission is extending the review period for consideration of the Application for authority to market electric generation services for one hundred and twenty (120) days or until further order of the Commission.

If you are dissatisfied with the resolution of this matter, you may file a Petition for Reconsideration with the Commission within twenty (20) days of the date of this letter to: Secretary, PA Public Utility Commission, 400 North Street, Harrisburg, PA 17120. See 52 Pa. Code § 5.44. A Petition for Reconsideration is a written statement asking the Commission to change its determination. A Petition for Reconsideration MUST: (1) be divided into numbered paragraphs, (2) contain the case docket number, (3) contain the name of the person on whose behalf the petition is made, and (4) attach copies of any important documents. See 52 Pa. Code § 1.31. Additionally, a Petition for Reconsideration MUST include a Verification Statement as follows:

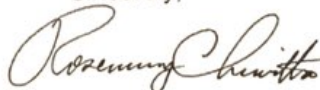
VERIFICATION

I, (YOUR NAME), hereby state that the facts above set forth are true and correct (or are true and correct to the best of my knowledge, information and belief) and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa.C.S. § 4904 (relating to unsworn falsification to authorities).

(SIGN AND DATE)

Should you have any questions pertaining to your application, please contact our Bureau of Technical Utility Services at 717-783-5242.

Sincerely,



Rosemary Chiavetta
Secretary

RC:ane

State of Delaware
Secretary of State
Division of Corporations
Delivered 04:17 PM 01/12/2010
FILED 03:59 PM 01/12/2010
SRV 100031673 - 4776209 FILE

CERTIFICATE OF FORMATION

OF

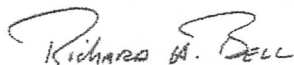
Option One Energy LLC

(A Delaware Limited Liability Company)

First: The name of the limited liability company is: Option One Energy LLC

Second: Its registered office in the State of Delaware is located at 16192 Coastal Highway, Lewes, Delaware 19958, County of Sussex. The registered agent in charge thereof is Harvard Business Services, Inc.

IN WITNESS WHEREOF, I Richard H. Bell, being fully authorized to execute and file this document have signed below and executed this Certificate of Formation on this 12th day of January, 2010.



Harvard Business Services, Inc.
By: Richard H. Bell, Organizer



UGI Utilities, Inc.
2525 North 12th Street
Suite 360
Post Office Box 12677

October 18, 2017

Adam Morris, Owner/CEO
Option One Energy, LLC
321 N. Clark Street, 5th Floor
Chicago, IL 60654

RE: Option One Energy, LLC application to serve as a Natural Gas Broker

Dear, Mr. Morris,

Based on your assertion that Option One Energy, LLC ("OPTION ONE") is applying with the State of Pennsylvania to operate as a natural gas broker/marketer, UGI Utilities Inc. ("UGIU") has concluded that OPTION ONE will not need to post security with UGI-Central Penn Gas ("CPG"), UGI-Penn Natural Gas ("PNG") or UGI Utilities Gas Division ("UGI"). This is based on the declaration that OPTION ONE will not be taking title to gas or directly serving end use customers. This also assumes that OPTION ONE will be acting in conjunction with a licensed Natural Gas Supplier who has been approved by the Pennsylvania Public Utility Commission to serve in the applicable UGIU service territories and who has posted the required financial security as specified in the respective UGIU tariffs. If OPTION ONE wishes to directly serve Choice customers in the service territories of UGI, PNG and/or CPG in the future as a natural gas supplier, it will have to post security as specified in the respective UGI tariffs prior to the commencement of the service.

Please feel free to contact me with any additional questions that you may have.

Sincerely,

David E. Lahoff
Senior Manager, Tariff & Supplier Administration
UGI Utilities, Inc.



VALLEY ENERGY

523 S. Keystone Avenue, P.O. Box 340, Sayre, PA 18840
800/998-4427 • 570/888-9664 • FAX 570/888-6199

October 6, 2017

Adam Morris, Owner/CEO
Option One Energy, LLC
321 N. Clark St., 5th Floor
Chicago, IL 60654

Dear Mr. Morris:

We understand that Option One Energy, LLC has applied with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania including our company's service area.

Because Option One Energy, LLC intends to only provide natural gas aggregating, brokering and consulting services at this time, we have determined that Option One Energy, LLC will not be required to post a bond or other form of financial security instrument to provide these services in our service area. However, if the services provided change in the future, we reserve the right to require security from Option One Energy, LLC as deemed appropriate.

If you have any questions, please contact Jamie Levering at 570-888-9664.

Sincerely,

Edward E. Rogers
President & CEO

EER/ss

cc: J. Levering, Valley Energy
jtaylor@licenseelogix.com



October 9, 2017

Adam Morris, Owner/ CEO
Option One Energy,LLC
321 N. Clark Street, 5th Floor
Chicago, IL 60654

Dear Adam Morris, Owner/ CEO:

We are pleased that Option One Energy,LLC has applied for a license to provide Natural Gas Broker/Marketer Services on the distribution system of Columbia Gas of Pennsylvania, Inc. ("Columbia Gas").

Under Paragraph 2.4.5 of the Rules Applicable to Distribution Service section of the Tariff of Columbia Gas, Option One Energy,LLC could be required to provide to Columbia Gas a bond or other financial security instrument in an amount that Columbia Gas determines to be appropriate. Option One Energy,LLC has indicated only brokering and consulting services will be provided. Therefore, we have determined at this time that Option One Energy,LLC does not need a bond or other financial security requirement to provide broker natural gas services to Columbia Gas customers.

If the creditworthiness requirement or Columbia Gas' exposure to Option One Energy,LLC changes in the future, Columbia Gas might deem it appropriate to require Option One Energy,LLC to provide a bond or other financial security instrument.

Please feel free to contact me at 614-460-4712 should you have any questions regarding a bond or other financial security instrument requirements of Columbia Gas.

Sincerely,

Patricia Chang

Patricia Chang
Manager of Choice and Transportation Support Services



National Fuel

June 7, 2017

Adam Morris, Owner/CEO
Option One Energy LLC
321 N. Clark Street, 5th Floor
Chicago, IL 60654

Re: Security Requirement for Option One Energy LLC

Dear Adam,

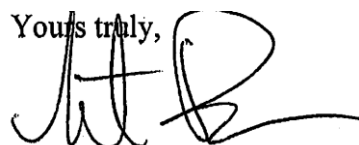
National Fuel Gas Distribution Corporation (“NFGDC”) is aware Option One Energy LLC (OOE) has filed an application with the Pennsylvania Public Utility Commission to supply natural gas service to the public in Pennsylvania and specifically within the service territory of NFGDC.

As you know, in making such an application, OOE must furnish acceptable security to each utility where OOE will do business. As such, under its tariff, NFGDC could require OOE to provide a bond or other financial security instrument in an amount that NFGDC determines to be appropriate.

However, you have indicated, and it is NFGDC’s understanding that OOE intends only to provide natural gas aggregating, brokering and consulting services at this time. You have stated that, in performing these services, OOE will never take title to any delivered natural gas.

Based upon your representations, NFGDC has determined that, at this time, OOE does not need to post a bond or other form of security to operate in its service territory. However, if the services provided by OOE change in the future, NFGDC reserves the right to require security from OOE as it deems appropriate.

If you have any questions concerning the foregoing, please contact me at 716-857-7599.

Yours truly,


Nathan E. Barnes
Transportation Services Department

October 9, 2017

Adam Morris, Owner/CEO
Option One Energy, LLC
321 N. Clark Street, 5th Floor
Chicago, IL 60654

Re: Bonding Requirements

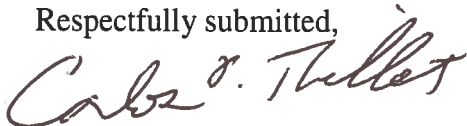
Dear Adam Morris:

PECO is aware that Option One Energy, LLC has applied for a license to provide brokering and consulting services to commercial and industrial customers on the distribution system of PECO.

In making such an application, Option One Energy, LLC could be required to provide to PECO a bond or other acceptable financial security in an amount that PECO determines to be appropriate. Option One Energy, LLC has indicated that it intends to provide only brokering and consulting services to commercial and industrial customers, and will not take title to any delivered natural gas; nor will accept any customer payments or deposits. Therefore, PECO has determined at this time that Option One Energy, LLC does not need a bond or other financial security requirement, since they are not directly engaging in business with PECO and only providing brokering or consulting services to PECO customers. However, if the services provided by Option One Energy, LLC or the creditworthiness requirement for PECO's exposure to Option One Energy, LLC changes in the future, PECO reserves the right to require Option One Energy, LLC to provide a bond or other financial security instrument.

If you should have any questions regarding this matter, please contact Chris Sauerbaum at 215-841-6422 or myself at 215-841-6452.

Respectfully submitted,



Carlos P. Thillet
Manager, Gas Supply and Transportation
2301 Market St S9-1
Philadelphia, Pa 19103



375 North Shore Drive
Pittsburgh, Pennsylvania 15212

www.peoples-gas.com

Lynda W. Petrichevich
Vice President, Regulatory Affairs

Peoples Service Company LLC
Phone: 412-208-6528; Fax: 412-208-6577
Email: lpetrichevich@peoples-gas.com

October 9, 2017

Adam Morris
Owner/CEO
Option One Energy, LLC
321 N. Clark Street, 5th Floor
Chicago, IL 60654

Dear Mr. Morris:

We are pleased that Option One Energy, LLC has applied for a license to provide natural gas services on the Peoples Group of Companies. Specifically you have requested to be licensed as a supplier on the distribution systems of Peoples Natural Gas Company LLC, Peoples Natural Gas Company LLC – Equitable Division, and Peoples Gas Company LLC (formerly Peoples TWP) (“the Companies”).

Since Option One Energy, LLC is not currently serving customers on the Peoples systems, we have determined at this time that Option One Energy, LLC does not need a bond or other financial security requirement to provide these services to the Company’s customers.

If a Pool is established, and customers are enrolled which alters the creditworthiness requirement or the Company’s exposure to Option One Energy, LLC provision of services on the Peoples’ system changes in the future, the Companies may deem it appropriate to require a bond or other financial instrument.

If you have any questions feel free to contact me at 412-208-6528 or by email at Lynda.W.Petrichevich@peoples-gas.com.

Sincerely,

Lynda W. Petrichevich
Vice President – Regulatory Affairs
Peoples Natural Gas Company LLC

Cc: Carol Scanlon
Stephen Kelly



PHILADELPHIA GAS WORKS

800 West Montgomery Avenue • Philadelphia, PA 19122

October 9, 2017

Mr. Adam Morris
Owner/CEO
Option One Energy, LLC
321 N. Clark Street, 5th Floor
Chicago, IL 60654

Re: Security Requirement Bond for Option One Energy, LLC

Dear Mr. Morris:

Philadelphia Gas Works ("PGW") is aware that Option One Energy, LLC has filed an application with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania and specifically within the services territory of Philadelphia Gas Works.

As you know, in making such an application, Option One Energy, LLC must furnish acceptable security to each utility where Option One Energy, LLC will do business. As such, under its tariff, Philadelphia Gas Works could require Option One Energy, LLC to provide a bond or other financial security instrument in an amount that Philadelphia Gas Works determines to be appropriate.

However, you have indicated, and it is Philadelphia Gas Works' understanding, that Option One Energy, LLC intends only to provide natural gas aggregating, brokering and consulting services at this time. You have stated that in performing these services Option One Energy, LLC will never take title to any delivered natural gas.

Based upon your representations, Philadelphia Gas Works has determined that, at this time, Option One Energy, LLC does not need to post a bond or other form of security to operate in its service territory. If the services provided by Option One Energy, LLC should change, Philadelphia Gas Works reserves the right to require security from Option One Energy, LLC as it deems appropriate.

If you have any questions concerning the foregoing, please contact me at 215-684-6725.

Sincerely,

John C. Zuk
Vice President, Gas Supply

NL/dls



Chris Christie
Governor

Kim Guadagno
Lt. Governor

STATE OF NEW JERSEY
Board of Public Utilities
44 South Clinton Avenue, 3rd Floor, Suite 314
Post Office Box 350
Trenton, New Jersey 08625-0350
www.nj.gov/bpu/

Irene Kim Asbury
Secretary of the Board
(609) 292-1599

April 27, 2016

Adam Morris
Option One Energy, LLC
525 Broadway, Suite 3040
Santa Monica, CA 90401

Re: **Energy Agent and Private Aggregator Renewal Registrations**
Dkt. Nos. EE15091030L & GE15091031L

Dear Mr. Morris:

In accordance with the Electric Discount and Energy Competition Act of 1999, N.J.S.A. 48:3-49 et seq., at its April 27, 2016 Agenda meeting, the New Jersey Board of Public Utilities renewed the **REGISTRATIONS** as an Energy Agent and Private Aggregator, to Option One Energy, LLC. Your registration numbers are EA-0139 and PA-0101.

The enclosed registrations are effective April 27, 2016 and expire on April 26, 2017. These registrations and the rights thereunder are **Non-Transferable**.

This letter is not an endorsement of, nor is it intended for use in, the marketing promotions of the registrant. Registrants shall comply with all applicable law, including the Electric Discount and Energy Competition Act, which prohibits the unauthorized change of a customer's energy provider and other fraudulent and illegal marketing activities.

If you have any questions, please contact Valencia Hunt at (609) 292-0637.

Sincerely,

A handwritten signature in black ink that reads "Irene Kim Asbury".

Irene Kim Asbury
Secretary of the Board

IKA/vch
Encl.(s)

State of New Jersey



Board of Public Utilities

44 South Clinton Avenue, 3rd Floor, Suite 314, P.O. Box 350, Trenton, New Jersey 08625-0350

HEREBY REGISTERS

Option One Energy, LLC
321 N. Clark, 5th Floor
Chicago, Illinois 60654

To conduct business in the State of New Jersey as an



Doreen Kim Asbury
Energy Agent
Doreen Kim Asbury
Secretary of the Board

Registration No. EA-0139
Effective Date: April 27, 2016
Expiration Date: April 26, 2017

State of New Jersey



Board of Public Utilities

44 South Clinton Avenue, 3rd Floor, Suite 314, P. O. Box 350, Trenton, New Jersey 08625-0350

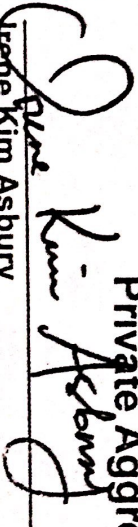
HEREBY REGISTERS

Option One Energy, LLC
321 N. Clark, 5th Floor
Chicago, Illinois 60654

To conduct business in the State of New Jersey as a

Private Aggregator




Irene Kim Asbury
Secretary of the Board

Registration No. PA-0101
Effective Date: April 27, 2016
Expiration Date: April 26, 2017

STATE OF ILLINOIS

ILLINOIS COMMERCE COMMISSION

Option One Energy, LLC :
 :
Application for Licensure of :
Agents, Brokers and Consultants : **10-0633**
under Section 16-115C of the :
Public Utilities Act. :

ORDER

By the Commission:

I. PRELIMINARY MATTERS

On November 3, 2010, Option One Energy, LLC (“Applicant”) filed a verified application with the Illinois Commerce Commission (“Commission”) requesting a certificate of service authority to operate as an agent, broker or consultant (“ABC”) in Illinois pursuant to Section 16-115C of the Public Utilities Act (“Act”), 220 ILCS 5/1-101 et seq., and 83 Ill. Adm. Code 454 (“Part 454”). The Administrative Law Judge requested additional information and clarification of certain matters contained in the Application. The Applicant filed a verified response providing the requested information. Applicant requests authority to offer services as an ABC for the procurement or sale of retail electricity supply for third parties in the State of Illinois.

II. REQUIREMENTS FOR ALL APPLICANTS UNDER SECTION 16-115C OF THE ACT

Applicant is a business organized under the laws of Delaware and licensed to transact business in Illinois. Applicant has certified that it will comply with all applicable regulations; that it will comply with informational and reporting requirements established by Commission rule; that it will comply with informational and reporting requirements pursuant to Section 16-115C of the Act; and that it will comply with all other applicable laws, regulations, terms, and conditions required to the extent they have application to the services being offered by an ABC. Applicant has agreed to ensure that any person who acts on its behalf will comply with all applicable sections of Part 454. Applicant agrees that it will remain in compliance with the provisions of the Act and Part 454, and will ensure that authorizations received from customers, and all other applicable records are retained for a period of not less than three calendar years after the calendar year in which they were created. Applicant has agreed to adopt and follow rules and procedures to preserve the confidentiality of its customers’ data.

III. FINANCIAL, TECHNICAL, AND MANAGERIAL REQUIREMENTS OF SECTION 16-115C

Pursuant to the requirements of Section 454.80 of Part 454, Applicant provided a surety bond in the amount of \$5,000 issued by a qualifying surety authorized to transact business in Illinois. Applicant submitted an original surety bond in satisfaction of Section 454.80.

Applicant is required by Part 454.60 to demonstrate that it meets the managerial qualifications necessary to provide services as an ABC. Applicant must further provide an organizational chart that indicates the position of persons which satisfy the managerial qualification. Applicant has demonstrated that it meets the managerial qualifications set forth in Part 454.60, as demonstrated in Attachment A. Attachment A includes an exhibit containing a corporate organizational chart and identifying the persons who are being used to meet the requirements of Part 454.60(a). Attachment A includes an exhibit containing occupational background information on the person or persons who are being used to meet the requirements of Part 454.60(a).

Applicant is required by Part 454.70 to demonstrate that it meets the technical qualifications necessary to provide services as an ABC. Applicant has demonstrated that it meets the technical qualifications set forth in Part 454.70 with the information provided, including the information included in Attachment B and the response to the Administrative Law Judge. Attachment B includes an exhibit containing occupational background information on the person or persons who are being used to meet the requirements of Part 454.70(a).

The Applicant indicates in its Application that it is not currently licensed in any other jurisdictions as an agent, broker or consultant; and that it has had no complaints filed against it for its provision of services in the gas or electric industry.

Applicant further agrees to comply with the Code of Conduct for ABCs contained in Section 454.90.

IV. COMMISSION CONCLUSION AND CERTIFICATE OF SERVICE AUTHORITY

The Commission has reviewed the application and attachments along with the supplementary information provided by Applicant regarding the technical and managerial requirements and all other requirements of the Act and Part 454 and finds that the Applicant sufficiently demonstrates compliance with the requirements.

The Commission concludes, therefore, that Applicant's request for a certificate of service authority to operate as an ABC in Illinois should be granted and should include the following authority:

CERTIFICATE OF SERVICE AUTHORITY

IT IS CERTIFIED that Option One Energy, LLC is granted service authority to operate as an agent, broker or consultant for the procurement or sale of retail electricity supply for third parties in the State of Illinois.

V. FINDINGS AND ORDERING PARAGRAPHS

The Commission, having reviewed the entire record, is of the opinion and finds that:

- (1) Option One Energy, LLC, a business organized under the laws of Delaware, seeks a certificate of service authority to operate as an agent, broker or consultant under Section 16-115C of the Act;
- (2) the Commission has jurisdiction over the party hereto and the subject matter hereof;
- (3) the recitals of fact and conclusions reached in the prefatory portion of this Order are supported by the record and are hereby adopted as findings of fact;
- (4) Applicant has demonstrated that it possesses sufficient financial, managerial and technical resources and abilities to provide services as an agent, broker or consultant for the procurement or sale of retail electricity supply to third parties in the State of Illinois;
- (5) Applicant has complied with Section 16-115C of the Act and Part 454; and
- (6) Option One Energy, LLC should be granted the certificate of service authority to operate as an agent, broker or consultant as specified in this Order.

IT IS THEREFORE ORDERED by the Illinois Commerce Commission that Option One Energy, LLC is hereby granted a Certificate of Service Authority authorizing it to operate as an agent, broker or consultant; said Certificate of Service Authority shall read as that set forth in Section IV of this Order.

IT IS FURTHER ORDERED that Option One Energy, LLC shall comply with all applicable Commission rules and orders now and as hereafter amended.

IT IS FURTHER ORDERED that, subject to the provisions of Section 10-113 of the Public Utilities Act and 83 Ill. Adm. Code 200.880, this Order is final; it is not subject to the Administrative Review Law.

By order of the Commission this 5th day of January, 2011.

(SIGNED) MANUEL FLORES

Acting Chairman

Option One Energy LLC Approved Licenses

District of Columbia Electric- EA 2014-28-5, Order #17773

New Jersey Electric EA 0139 & PA-0101

Illinois Electric 10-0633

Pennsylvania Electric A-2011-2240993

Delaware Electric-8058

State of Delaware



The Public Service Commission

STATE OF DELAWARE

COUNTY OF KENT

} ss.

I, Alisa C. Bentley, Secretary
of the PUBLIC SERVICE COMMISSION OF DELAWARE, do hereby certify that
I have compared the attached Order with the original adopted by said Commission,
and that it is a true and correct transcript of Order No. 8058,
Docket No. 11-326.

IN WITNESS WHEREOF, I have hereunto subscribed my hand and affixed
the seal of the Commission this eighteenth day of October A.D.,
2011.



Alisa C. Bentley
Secretary

BEFORE THE PUBLIC SERVICE COMMISSION

OF THE STATE OF DELAWARE

IN THE MATTER OF THE APPLICATION)
OF OPTION ONE ENERGY, LLC FOR A)
CERTIFICATE TO PROVIDE ELECTRIC) PSC DOCKET NO. 11-326
SUPPLY SERVICES WITHIN THE STATE)
OF DELAWARE (FILED JULY 28, 2011))

ORDER NO. 8058

ELECTRIC SUPPLIER CERTIFICATE

This 18th day of October 2011, the Commission determines and orders the following:

1. On July 28, 2011, Option One Energy, LLC ("Option One" or the "Company") filed an application seeking certification as a retail electric supplier in order to broker the sale or purchase of Electric Supply Service¹ (the "Application"). See 26 Del. C. §§1001(3), 1001(14), 1012. Under the Electric Utilities Restructuring Act of 1999 (the "Act"),² "electric suppliers," which includes brokers, are not "public utilities," and, accordingly, the resulting sales of electric supply service to retail customers are not subject to traditional forms of rate regulation. See 26 Del. C. §§102(2), 202(f).³

¹ Unless otherwise noted, capitalized terms shall have the meanings ascribed to them in the Electric Utilities Restructuring Act of 1999.

² See 26 Del. C. Ch. 10.

³ Under the Act, a "broker" is an agent or intermediary in the sale or purchase of electricity to or by retail electric customers. Although a broker never takes "title" to the electric supply product, the Act deems a broker an "electric supplier." See 26 Del. C. §§1001(3), 1001(14).

2. In its Application, the Company states that it is a broker that will utilize appropriate energy procurement strategies to meet the needs of its residential and commercial customers in Delaware. Staff reports that the Company's application fulfills all of the requirements for an Electric Supplier Certificate to act as a broker as set forth in the Commission's Rules for Certification and Regulations of Electric Suppliers (the "ES Rules"). In particular, Staff reports that the Company appears to possess the financial, operational, managerial, and technical capabilities to operate as a broker of electric supply service. Based on Staff's report, the Commission hereby issues to Option One an Electric Supplier Certificate that authorizes it to broker sales and customer purchases of electric supply services in the State of Delaware, consistent with the scheme adopted by the Act and the ES Rules.

NOW, THEREFORE, IT IS HEREBY ORDERED BY THE AFFIRMATIVE VOTE OF NOT FEWER THAN THREE COMMISSIONERS:

1. That Option One Energy, LLC is hereby granted, under 26 Del. C. §1012(a) and the ES Rules, a certificate to do business and operate as an "Electric Supplier" (broker) within the State of Delaware. Under this Certificate, the Company is authorized to broker Electric Supply Service within this State in accordance with 26 Del. C. Ch. 10.

2. That the Company shall at all times provide its brokerage of Electric Supply Service in accordance with the requirements imposed by 26 Del. C. Ch. 10 and the ES Rules as presently adopted, or as may be hereafter amended or supplemented. The Company is hereby notified that a violation of any applicable statute, rule, or other controlling

authority may be enforced by the sanctions permitted by 26 Del. C. §§1012(a) and 1019 and §10.2 of the ES Rules.

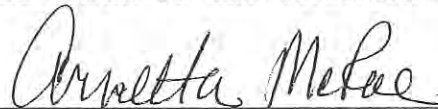
3. That the Company shall, pursuant to the provisions of 26 Del. C. §1012(c)(2) and §§3.9 and 3.10 of the ES Rules, file such reports and pay such fees and assessments as may be required or imposed by 26 Del. C. §§114 and 115.

4. That the Company consents to the jurisdiction of the courts of the State of Delaware for all acts or omissions related to its offering of Electric Supply Services in this State.

5. That the Company is hereby placed on notice that the costs of the proceedings will be charged to it under the provisions of 26 Del. C. §114(b)(1).

6. That the Commission reserves the jurisdiction and authority to enter such further Orders in this matter as may be deemed necessary or proper.

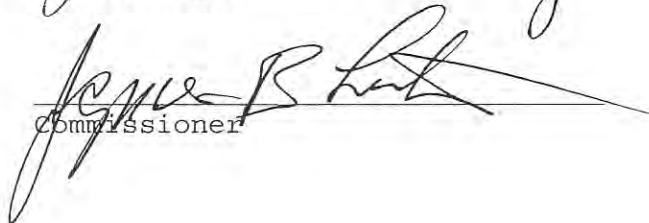
BY ORDER OF THE COMMISSION:




Chair



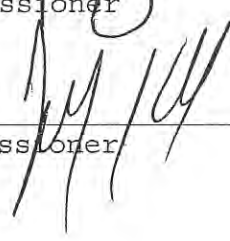
Commissioner



Commissioner



Commissioner



Commissioner

ATTEST:



Secretary

**PUBLIC SERVICE COMMISSION OF THE DISTRICT OF COLUMBIA
1333 H STREET N.W., 2ND FLOOR, WEST TOWER
WASHINGTON, D.C. 20005**

ORDER

January 28, 2015

**FORMAL CASE NO. EA 2014-28, IN THE MATTER OF THE APPLICATION
OF OPTION ONE ENERGY, LLC FOR AN ELECTRICITY SUPPLIER
LICENSE, Order No. 17773**

I. INTRODUCTION

1. By this Order, the Public Service Commission of the District of Columbia (“Commission”) grants a license to Option One Energy, LLC (“Option One” or “Applicant”) to function as an electricity supplier in the District of Columbia.¹ Option One Energy, LLC’s license is effective upon issuance of this Order.

II. BACKGROUND

2. On November 6, 2014, Option One filed its license application.² Specifically, Option One intends to operate as a broker/aggregator of electricity for residential, commercial and industrial customers in the District of Columbia, pursuant to Section 34-1505 of the “Retail Electric Competition and Consumer Protection Act of 1999 (“Act”).”³ Pursuant to the Commission’s licensing requirements as set forth in Order No. 11796,⁴ Option One has provided the following information:

- a) Proof of technical and managerial competence;
- b) An affidavit of compliance with applicable Federal and District of Columbia environmental laws and regulations dated October 29, 2014;
- c) Proof of financial integrity to include financial statements;

¹ D.C. Official Code § 34-1501(17) (2001) defines, in part, an electricity supplier as “a person, including an aggregator, broker, or marketer, who generates electricity; sells electricity; or purchases, brokers, arranges or markets electricity for sale to customers.”

² *Formal Case No. EA 2014-28, In the Matter of the Application of Option One Energy, LLC for an Electricity License (“Formal Case No. EA 2014-28”)*, Application of Option One Energy, LLC filed November 6, 2014.

³ D.C. Official Code § 34-1505; *see generally* §§ 34-1501-1520.

⁴ *Formal Case No. 945, In the Matter of the Investigation into Electric Service Market Competition and Regulatory Practices*, Order No. 11796 at Attachment C, rel. September 20, 2000.

- d) Proof that Option One has registered with the Department of Consumer and Regulatory Affairs to do business in the District of Columbia;
- e) An affidavit dated October 29, 2014, stating that Option One is subject to all applicable taxes;
- f) An affidavit dated October 29, 2014, stating that Option One will comply with all the requirements of all orders and regulations of the Commission; and
- g) Applicant's website address: <http://www.optiononeenergy.com>.

Option One submitted supplemental financial information on December 15, 2014.⁵

III. DISCUSSION

3. Our review of the information contained in Option One's application, demonstrates that it has met all the prescribed licensing requirements and that the Applicant has the ability and the financial integrity to serve electricity customers in the District of Columbia. The Commission also finds that granting Option One's application will serve the public interest. Accordingly Option One's application is approved.

THEREFORE, IT IS ORDERED THAT:

4. The application of Option One, LLC for a license to conduct business in the District of Columbia as an electricity supplier is hereby **GRANTED**. Option One, LLC's license is effective upon issuance of this Order.

A TRUE COPY:

BY DIRECTION OF THE COMMISSION:



CHIEF CLERK:

**BRINDA WESTBROOK-SEDGWICK
COMMISSION SECRETARY**

⁵ *Formal Case No. EA 2014-28*, Option One submitted supplemental financial information on December 15, 2014.



Option One Energy llc.

12/15/2010

Chief Clerk
Illinois Commerce Commission
527 E. Capital Ave.
Springfield, IL. 62701

Re: Filing 10-0633
Option One Energy LLC.
Supplemental Filing / Amendment

Please find enclosed our original surety bond as well additional managerial and technical requirements.

Should you have any questions, please contact me immediately at 312-985-7986

Regards,

A handwritten signature in black ink, appearing to read "A. Morris".

Adam B. Morris
Direct 312.985.7986
Toll Free 866.275.0440
Fax 866.302.1708
Adam@OptionOneEnergy.com