



689 W. Liberty St., Ste. 5 | Medina, Ohio 44256 | (330) 721-8000

December 20, 2017

Rosemary Chiavetta, Secretary
Pennsylvania Public Utility Commission
400 North Street
Harrisburg, PA 17120

**RE: Electric Generation Supplier License Application of Community Energy Advisors, LLC
Docket No. A-2017-2633971
Utility Code: 1120418**

Dear Ms. Chiavetta:

The attached materials are in response to your December 5, 2017 letter detailing open items and issues for us to address. We appreciate the opportunity to provide this information, work with your team and to ultimately provide service to Electricity customers in Pennsylvania.

Please let me know if there are any further questions.

Very Respectfully Yours,

A handwritten signature in black ink that reads "Kevin S. Lauterjung". The signature is written in a cursive style with a large, stylized initial "K".

Kevin S. Lauterjung
Principal
klauterjung@ceateam.com
330.721.8000, 7002

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION
Community Energy Advisors, LLC
Response to December 5, 2017 Data Requests

1. Reference Application, Section 1.a, Identity of Applicant.

Please see **Attachment 1** with Section 1.a updated to include web address.

2. Reference Application Section 7.b, Financial Fitness – Please provide additional financial fitness documentation as requested below:

Please see **Attachment 2** with responses to requested documentation related to financial fitness.

3. Reference Application, Section 7.f, Taxation – Applicant provided an incorrect Corporate Box Number instead of a Revenue ID number on its Tax Certification Statement. Any company registered in the Commonwealth of Pennsylvania after March 2013 must contact the Pennsylvania Department of Revenue for its 10-digit Revenue ID Number. Please submit a corrected Tax Certification Statement.

We contacted Mark Russo, Accounting Division Chief, PA Department of Revenue, Bureau of Corporation Taxes. We stated to him in an email that CEA is an LLC that is taxed as a partnership. He responded by email that “If they (CEA) are a partnership they are not required to have a Revenue ID number”. The emails exchanged are attached as **Attachment 3**.

4. Reference Application, Section 8.a, Technical Fitness – Applicant filed to provide a license for Ohio. Please provide missing documentation.

Please see **Attachment 4**, Public Utilities Commission of Ohio, Certified as a Competitive Retail Electric Service Provider.

5. Reference Application, Section 11, Affidavits – The Operations Affidavit submitted is missing the company’s full name of Community Energy Advisors, LLC. Please submit a corrected Operations Affidavit.

Please see **Attachment 5**, corrected Operations Affidavit.

6. Reference Application, Section 12, Notarized Proofs – Applicant failed to provide a notarized proof of publication for Pittsburgh Post-Gazette. Please provide the notarized proof of publication for the above newspaper.

Please see **Attachment 6**, Notarized Proof of Publication, Pittsburgh Post-Gazette.

I, Kevin S. Lauterjung, hereby state that the facts above set forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa. C.S. § 4904 (relating to unsworn falsification to authorities).

Signature

Applicant: Community Energy Advisors, LLC

By:  _____

Title: Principal

ATTACHMENT 1

Version Revised 06/14/17

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of Community Energy Advisors, LLC, d/b/a Community Energy Advisors, LLC, for approval to offer, render, furnish, or supply electricity or electric generation services as a(n) Broker / Marketer to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (*including any fictitious name or d/b/a*), primary address, web address, and telephone number of Applicant:

Community Energy Advisors, LLC
689 W. Liberty St., Ste. 5
Medina, OH 44256
www.ceateam.com
(330) 721-8000

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

Corporation Service Company
2595 Interstate Dr., Ste. 103
Harrisburg, PA 17110
O: (800) 927-9801
F: (302) 636-5454

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Kevin Lauterjung, Principal
Community Energy Advisors, LLC
689 W. Liberty St., Ste. 5
Medina, OH 44256
O: (330) 721-8000
F: (330) 721-8111
klauterjung@ceateam.com

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Critchfield, Critchfield & Johnston, Ltd.
4996 Foote Rd.
Medina, OH 44256
ATTN: Amy Demlow
O: (330) 391-7430
F: (330) 263-9278

ATTACHMENT 2

Financial Fitness

Has been redacted from this document

It has been submitted under separate cover labeled

CONFIDENTIAL

ATTACHMENT 3

Kevin Lauterjung

Subject: Revenue ID number

From: Russo, Mark (REV) [<mailto:mrusso@pa.gov>]
Sent: Monday, December 18, 2017 12:47 PM
To: Kathy Davis
Subject: RE: Revenue ID number

If they are a partnership they are not required to have a Revenue ID number.

Mark W Russo | Accounting Division Chief
PA Department of Revenue | Bureau of Corporation Taxes
6th Floor Strawberry Square | Harrisburg PA 17128-0701
Phone: 717.705.6134 | Fax: 717.705.6227
www.revenue.state.pa.us

Our mission is to fairly, efficiently and accurately administer the tax laws and other revenue programs of the Commonwealth of Pennsylvania.

The information transmitted is intended only for the person or entity to whom it is addressed and may contain confidential and/or privileged material. Any use of this information other than by the intended recipient is prohibited. If you receive this message in error, please send a reply e-mail to the sender and delete the material from any and all computers.

From: Kathy Davis [<mailto:kathy@daviscpa-ohio.com>]
Sent: Friday, December 15, 2017 3:30 PM
To: Russo, Mark (REV) <mrusso@pa.gov>
Subject: Revenue ID number

Mr. Russo,

I am a CPA in OH working with an LLC which is taxed as a partnership currently. The company is a utility broker who is registering with Jeff McCracken of the Bureau of Technical Utility Services PA PUC. Mr. McCracken is looking for a Revenue ID number for my client. Mr. McCracken referred me to you. My client registered with the PA Secretary of State in 8/2017. I called the PA tax department that sent me a worksheet which outlines to me that my client does not need a Revenue ID number. Am I correct with my assumption?

Thank you for your assistance.

Kathleen Davis, CPA
330-665-9405

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FILE

ATTACHMENT 4



Public Utilities Commission

Asim Z. Haque, Chairman

Commissioners
M. Beth Trombold
Thomas W. Johnson
Lawrence K. Friedeman
Daniel R. Conway

PUBLIC UTILITIES COMMISSION OF OHIO

Certified as a Competitive Retail Electric Service Provider

RENEWAL

Certificate Number:

13-745E (3)

Issued Pursuant to Case Number(s):

13-1958-EL-AGG

A certificate as a Competitive Retail Electric Service Provider is hereby granted to **Community Energy Advisors, LLC** whose office or principal place of business is located at **689 West Liberty Street, Suite 5, Medina, Ohio 44256** to provide aggregation and power broker services within the State of Ohio effective **October 19, 2017**.

The certification of competitive retail electric suppliers is governed by Section 4901:1-24-(01-13) of the Ohio Administrative Code, Section 4901:1-21-(01-15) of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

Subject to all rules and regulations of the Commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated: **October 20, 2017**

By Order of

PUBLIC UTILITIES COMMISSION OF OHIO

Barcy F. McNeal, Secretary
Tanowa M. Troupe, Acting Secretary
Susan Patterson, Acting Secretary
Beverly Hoskinson, Acting Secretary

Certificate Expires: October 19, 2019

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.

Technician CHD Date Processed 10/20/17 (614) 466-3016
www.PUCO.ohio.gov

180 East Broad Street
Columbus, Ohio 43215-3793

ATTACHMENT 5
CORRECTED OPERATIONS AFFIDAVIT

Appendix B

OPERATIONS AFFIDAVIT

[Commonwealth/State] of Ohio _____ :

: ss.

County of Medina _____ :

Kevin S. Lauterjung _____, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the Authorized Member _____ (Office of Affiant) of Community Energy Advisors, LLC _____ (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That Community Energy Advisors, LLC, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That Community Energy Advisors, LLC, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Community Energy Advisors, LLC, the Applicant herein, certifies to the Commission that it is subject to, will pay, and in the past, has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

Appendix B (Continued)

That Community Energy Advisors, LLC., the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.

Ken Shautogry

Signature of Affiant

Sworn and subscribed before me this 21 day of December, 2017.



Renee Rohlke
Notary Public, State of Ohio
My Commission Expires
February 11, 2020

Renee Rohlke

Signature of official administering oath

My commission expires _____.

ATTACHMENT 6

No. _____ Term, _____

Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss B. Panizzi, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

11 of September, 2017

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

COPY OF NOTICE OR PUBLICATION



[Signature]
PG Publishing Company
Sworn to and subscribed before me this day of:
December 14, 2017

Melanie L. Goodwin

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Melanie L. Goodwin Notary Public
Findlay Twp., Allegheny County
My Commission Expires May 12, 2018
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

STATEMENT OF ADVERTISING COSTS

Community Energy Advisors, LLC
689 W Liberty St
Suite 5
Medina OH 44256

To PG Publishing Company

Total ----- \$960.00

Publisher's Receipt for Advertising Costs

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

Office
2201 Sweeney Drive
CLINTON, PA 15026
Phone 412-263-1338

PG Publishing Company, a Corporation, Publisher of
Pittsburgh Post-Gazette, a Newspaper of General Circulation

By [Signature] Banwal J. Arbut

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

International

N. Korea rejoices with a gala, not a missile

By Choe Sang-Hun
The New York Times

SEOUL, South Korea — North Korea mused to over-entertain its 60th anniversary with another missile test, as many had feared, but with a gala party for the scientists involved in advance of the country's most powerful nuclear test yet last week, the states in news mode returned to Sunday.

The country's leader, Kim Jong-un, celebrated the national holiday on Saturday by treating his top scientists and engineers to Pyongyang, the capital, and both a banquet.

On their way from the country's underground nuclear test site in northeast North Korea to Pyongyang, the technicians had been cheered by people who pointed out to see them passing by the country's official Korean Central News Agency reported.

North Korea described the test on Sept. 3 as the detonation of a hydrogen bomb that could be delivered on a missile. Mr. Kim's government called it "a missile development success" to the U.S. and Japan.

Outside officials and analysts had feared that the country would commemorate the birthday of its gov-

ernment on Saturday by conducting another weapons test, possibly launch- ing another intercontinental ballistic missile.

South Korean officials predicted that such a missile test was almost certain to happen soon, but they gave the northern Americans being considered by the United Nations Security Council. On Friday, Washington called for the country to vote on a draft resolution Monday that would impose new sanctions on North Korea for its latest nuclear test.

During the banquet on Saturday, Mr. Kim spurred his engineers to make "redoubled efforts, not slackening the spirit displayed by them in bringing the great auspicious event of the national history," the North Korean news agency said.

North Korea launched two ICBMs in July, the last of which demonstrated the potential of reaching the mainland United States.

But North Korea has yet to demonstrate that its warhead would not burn up while testing in the atmosphere or that it could hit a target with reasonable accuracy. The country would probably focus on mastering such technologies in future tests.



A man recovers bricks from a building destroyed in Thursday's magnitude 8.1 earthquake, in Union Hidalgo, Oaxaca state, Mexico on Sunday.

Aftershocks rock Mexico, death toll rises to at least 90

By Christopher Slierman
The Associated Press

JUCHITAN, Mexico — Life for many has moved outdoors in the quake-shocked city of Juchitan, where a third of the homes are reported uninhabitable and repeated aftershocks have scared people away from many structures still standing.

The city on Sunday was lashed with rubble from Thursday night's magnitude 8.1 earthquake, which killed at least 90 people across southern Mexico — at least three dozen of them in Juchitan itself.

Officials in Oaxaca and Chiapas states said thousands of houses and hundreds of jobs had been damaged or destroyed. Hundreds of thousands of people were reported to be without water service.

Many people continued to sleep outside, fearful of more collapses, as strong aftershocks continued to rattle the town, including a magnitude 5.2 toll early Sunday.

Some Juchitaners seeking shelter took refuge in the destruction to find an open Mass on Sunday since many of the churches were either damaged or left vacant until they could be checked.

Along a street lined with dilapidated homes, the Rev. Ramon Pacheco delivered a homily to a couple dozen people on wooden pews that had been rolled into the ruins in front of the gray concrete Our Lord of Esquipulas church. He said many were fearful of celebrating inside the structure, which from the street seemed undamaged.

Local officials said they had counted nearly 80 aftershocks of all sizes since the big quake, and the U.S. Geological Survey counted nearly 60 with a magnitude of 3 or greater.

Oaxaca Gov. Alejandro Murat said Sunday that the death toll in his state had risen to 71, while officials have reported 1 killed in Chiapas and Tabasco states.

Juchitan's downtown streets grew increasingly congested Sunday with dump trucks and heavy equipment to haul away debris. Smaller piles of debris were pushed into larger mountains of rubble, preventing the cleanup after a blizzard.

Teams of soldiers and federal police armed with shovels and sledgehammers fanned out across neighborhoods to help demolish damaged buildings.

Other groups distributed boxes of food. But help was slower to arrive in Union Hidalgo, a town of about 20,000 people about 20 minutes to the east.

Collapsed homes pocked neighborhoods there, and the town lacked electricity, water and cellphone service.

Della Cruz Valencia stood in a partially filled street overseeing demolition of what remained of her sister's house next door. Her sister took her mother for medical treatment outside the city before the earthquake and had not been able to make her way back. Men with pry bars ripped away the bottom half of a brick and stucco exterior wall to rescue a large wooden wardrobe because the house was too unstable to access through the door.

Ms. Cruz said she was next door with her two daughters when the earthquake struck shortly before midnight Thursday.

"We all three hugged, but even so we were moving. We were pushed from here to there" by the rolling earth, she said.

Back in Juchitan, the general hospital has settled into a temporary home at a school gymnasium, with gurneys parked atop the basketball court.

Mexico's education secretary announced that schools will remain closed Monday in Oaxaca and Chiapas.

The hospital's regular building was damaged. Maria Teresa Sales Alvarez said it was "ruins" when the earthquake struck the single-story building, but staff moved patients outside and transferred most of those who required specialized care to other facilities.

World briefs Pope urges Colombians to reconcile

Continued from page 1

COLOMBIA

— Pope Francis wrapped up his Colombian trip Sunday by honoring a 16th-century Jesuit who ministered to African slaves and urging Colombians today to follow his lead in working for a more peaceful future.

Pope Francis visited Cartagena on Saturday to mark the start when he broke his head on his forehead about a stopped short amid swarms of well-wishers. Pope Francis, who had only a hip-high bar to hold onto, lost his balance and suffered a bruised back, left eye and a cut on his forehead that dripped blood onto his white cassock.

The highlight of the day was a very personal stop, but the Jesuit pope. He prayed at the tomb of St. Peter Claver, the 17th-century missionary who ministered to hundreds of thousands of African slaves who were brought through Cartagena's port during Spanish colonial times, he said.

Pope Francis, known for his own simple and austere style, said Claver was "austere and charitable to the point of heroism."

Indonesia confronts China

JAKARTA, Indonesia — When Indonesia's economy, and quite publicly, remained the northernmost waters of its exclusive economic zone in the South China Sea, despite China's claims to the area, Beijing quickly dismissed the move as "mean ingless." It is proving to be anything but.

Indonesia's increasingly aggressive posture in the region, including a military buildup in the Natuna Islands and the planned deployment of naval warships, comes as other nations are being more accommodating to China's two-decade-old claims in the South China Sea.

The two countries had three months of skirmishes in 2016 involving warning shots, including one in which Indonesian warships seized a Chinese fishing boat and its crew.

Indonesia is challenging China, one of its biggest investors and trading partners, as it seeks to assert control over a watersway that has abundant resources, particularly oil and natural gas reserves and fish stocks.

Military land mines

COX'S BAZAR, Bangladesh — Myanmar's military has been accused of planting land mines in the path of Rohingya Muslims fleeing violence in its western Rakhine state, with Amnesty International reporting two people wounded Sunday.

Refugee accounts of the latest spasm of violence in Rakhine have typically described shootings by soldiers and arson attacks on villages. But there are at least several cases that point to land mines and land mines or other explosives as the cause of injuries as the border with Bangladesh, where 400,000 Rohingya have fled in the past two weeks.

A reporter on the Bangladesh side of the border on Monday saw an elderly woman with devastating leg wounds, one leg with the calf apparently blown off and the other also badly injured. Refugees said she had stepped on a land mine.

Man turns himself in

SAYRAMULO — The former chairman of the world's largest megaproject, whose testimony implicated Brazil's president in corruption, turned himself in to police Sunday after the country's Supreme Court ordered his arrest.

Jesley Dantas has avoided prosecution under a plea bargain deal in which he described how meatpacking giant JBS had bribed dozens of politicians, including President Michel Temer.

Mr. Temer denies wrongdoing, and Congress voted in August that he would not stand trial on the charge while in office.

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PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

By Order of the Commission, **Community Energy Advisors, LLC** is proposed to be the Utility Service Provider for the following Public Utility Districts: **Allegheny Electric District, Erie Electric District, Luzerne Electric District, Susquehanna Electric District, and York Electric District.**

Community Energy Advisors, LLC will be filing an application with the Commission for approval of its proposed utility service provider for the following Public Utility Districts: **Allegheny Electric District, Erie Electric District, Luzerne Electric District, Susquehanna Electric District, and York Electric District.**

The Commission will be holding a public hearing on the application of **Community Energy Advisors, LLC** on the date of this notice at the following address: **689 W. Liberty St., Ste. 5, Meadville, PA 16801.** The hearing will be held at the address listed below.

By and through Counsel: **Greenfield, Kitchin & Johnson, P.A.**
Community Energy Advisors, LLC
689 W. Liberty St., Ste. 5
Meadville, PA 16801
(724) 721-8000
(724) 721-8111