

Live Energy Inc.
3511 Locke Ave.
Fort Worth, Texas 76107

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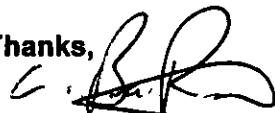
Dec. 7th, 2017

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

**Live Energy Inc.
Pennsylvania Natural Gas License Application**

If you have any questions please contact me.

Thanks,



Brian Roach
V.P. Operations
214-699-4443

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BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of Live Energy Inc., d/b/a _____ N/A _____, for approval to offer, render, furnish, or supply natural gas supply services as a(n) ___ Broker/Marketer engaged in the business of supplying natural gas services to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

Live Energy Inc.
Saint Clair Newbern Iv
3511 Locke Ave.
Fort Worth, Texas 76107
Liveenergy.com

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b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

Registered Agents Inc.
1150 First Ave. STE 511
King of Prussia, PA 19406

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c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Brian Roach
V.P. Operations
3511 Locke Ave.
Fort Worth, Texas 76107
brian@liveenergy.com
O:214-699-4443
Fax: 817-887-5575

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

We are not using an attorney

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS:** Provide the name, title, address, telephone number, fax number, and e-mail **OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED)** responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Natural Gas Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed NGSs.

- A. Main contact: Paul Fershtand
Title: Partner
Paul Fershtand 3511 Locke Ave. Fort Worth, Texas 76107
Phone: 214-699-4442 Email: Paul@liveenergy.com
Fax: 817-887-5575

Alternate Contact: Saint Clair Newbern
Title: CEO
Saint Clair Newbern 3511 Locke Ave. Fort Worth, Texas 76107
Phone: 214-699-4441 Email: stclair@liveenergy.com
Fax: 817-887-5575

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2. BUSINESS ENTITY FILINGS AND REGISTRATION

- a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State Pursuant to 54 Pa. C.S. §311.

Or

The Applicant will not be using a fictitious name.

- b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**
(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)

The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

Or

The Applicant is a:

- domestic general partnership (*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- * If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

or

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The Applicant is a:

- domestic corporation (15 Pa. C.S. §1308)
- foreign corporation (15 Pa. C.S. §4124)
- domestic limited liability company (15 Pa. C.S. §8913)
- foreign limited liability company (15 Pa. C.S. §8981)
- Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above. **Attachment (A)**
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation. **Attachment (B)**
- Give name and address of officers. **Attachment (C)**

3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

- a. **AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

NONE, N/A

- b. **PREDECESSORS:** Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

NONE N/A

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4. OPERATIONS

- a. **APPLICANT'S PRESENT OPERATIONS:** *(select and complete the appropriate statement)*

Definitions

- Supplier – an entity which provides natural gas supply services to retail gas customers utilizing the jurisdictional facilities of a natural gas distribution company
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of natural gas but does not take title to the natural gas.

The Applicant is presently doing business in Pennsylvania as a

- natural gas interstate pipeline
- municipality providing service outside its municipal limits
- local gas distribution company

- retail supplier of natural gas services in the Commonwealth
- a natural gas producer
- a broker/marketer engaged in the business of supplying natural gas services
- Other. (Identify the nature of service being rendered)

or

x The Applicant is not presently doing business in Pennsylvania.

b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a:

- Supplier or Aggregator of natural gas services
- Municipal supplier of natural gas services
- Cooperative supplier of natural gas services
- x Broker/Marketer engaged in the business of supplying natural gas services
 - x Check here to verify that your organization will not be taking title to the natural gas nor will you be making payments for customers.
- Other (Describe):

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c. **PROPOSED SERVICES:** Describe in detail the natural gas supply services which the Applicant proposes to offer.
Attachment (D)

d. **PROPOSED SERVICE AREA:** Check the box of each Natural Gas Distribution Company for which the Applicant proposes to provide service.

- | | |
|--------------------------------|--------------------------|
| x Columbia | x Philadelphia Gas Works |
| x National Fuel Gas | x UGI Central Penn |
| x PECO | x UGI Penn natural |
| x Peoples Gas – Equitable Div. | x UGI Utilities |
| x Peoples Natural Gas | x Valley Energy |
| x Peoples Gas Company | x All of the above |

e. **CUSTOMERS:** Applicant proposes to provide services to:

- Residential Customers
- Small Commercial Customers - (Less than 6,000 Mcf annually)
- Residential and Small Commercial as Mixed Meter ONLY (**CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE**)
- Large Commercial Customers - (6,000 Mcf or more annually)
- Industrial Customers
- Governmental Customers
- All of above (Except Mixed Meter)
- Other (Describe):

f. **START DATE:** Provide the approximate date the Applicant proposes to actively market within the Commonwealth. **ASAP 12-1-17**

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5. COMPLIANCE

a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years. **NONE**

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above. **N/A**

- c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. If the Applicant has no actions or complaints to list, explicitly state such. **NONE**
- d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above. N/A

6. PROOF OF SERVICE

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.
(Example Certificate of Service is attached at Appendix C)

See Attachment (E)

- a.) **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

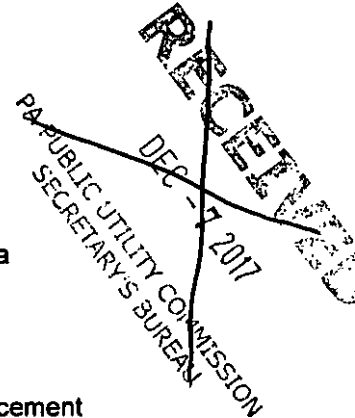
Office of Consumer Advocate
 5th Floor, Forum Place
 555 Walnut Street
 Harrisburg, PA 17120

Office of the Attorney General
 Bureau of Consumer Protection
 Strawberry Square, 14th Floor
 Harrisburg, PA 17120

Office of the Small Business Advocate
 Commerce Building, Suite 202
 300 North Second Street
 Harrisburg, PA 17101

Commonwealth of Pennsylvania
 Department of Revenue
 Bureau of Compliance
 Harrisburg, PA 17128-0946

Bureau of Investigation & Enforcement
 Pennsylvania Public Utility Commission
 Commonwealth Keystone Building
 400 North Street, 2 West
 Harrisburg, PA 17120



- b.) **NGDCs:** Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Natural Gas Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each NGDC is as follows.

Columbia Gas of PA, Inc. Michele Caddell 290 W. Nationwide Blvd. Columbus, OH 43215 PH: 614.460.6841 FAX: 614.460.8447 e-mail: mcaddell@nisource.com	
Peoples Natural Gas – Equitable Division Lynda Petrichevich	National Fuel Gas Distribution Corp. David D. Wolford

<p>225 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: Lynda.w.petrachevich@peoples-gas.com</p>	<p>6363 Main Street Williamsville, NY 14221 PH: 716.857.7483 FAX: 716.857.7479 e-mail: wolfordd@natfuel.com</p>
<p>The Peoples Natural Gas Company Lynda Petrichevich 225 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: Lynda.w.petrachevich@peoples-gas.com</p>	<p>PECO Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2 Philadelphia, PA 19103 PH: 215.841.6452 Email: carlos.thillet@exeloncorp.com</p>
<p>Peoples Gas Company Lynda Petrichevich 225 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: Lynda.w.petrachevich@peoples-gas.com</p>	<p>Philadelphia Gas Works Nicholas LaPergola 800 West Montgomery Avenue Philadelphia, PA 19122 PH: 215.684.6278 email: nicholas.lapergola@pgworks.com</p>
<p>UGI Central Penn David Lahoff 2525 N. 12th Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3520 Email: dlahoff@ugi.com</p>	<p>UGI David Lahoff 2525 N. 12th Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3520 Email: dlahoff@ugi.com</p>
<p>Valley Energy Inc. Ed Rogers 523 South Keystone Avenue Sayre, PA 18840-0340 PH: 570.888-9664 FAX: 570.888.6199 email: erogers@ctenterprises.org</p>	<p>UGI Penn Natural David Lahoff 2525 N. 12th Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3520 Email: dlahoff@ugi.com</p>

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7. FINANCIAL FITNESS

- a. **BONDING:** In accordance with 66 Pa. C.S. Section 2208(c), no natural gas supplier license shall be issued or remain in force unless the applicant or holder furnishes a bond or other security in a form and amount to ensure the financial responsibility of the natural gas supplier. The criteria used to determine the amount and form of such bond or other security shall be set by each NGDC. Provide documentation that the applicant has met the security requirement of each NGDC by submitting the letters sent by the NGDCs stating what bonding amounts they require.
Attachment (F)

- b. **FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:
Attachment (G)

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
- Applicant's accounting statements, including balance sheet and income statements for the past two years.
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements exhibiting accounts over a minimum two year period.
- Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

c. **SUPPLIER FUNDING METHOD:** If Applicant is operating as anything other than **Broker/Marketer only**, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item. **We operate as brokers only**

d. **BROKER PAYMENT STRUCTURE:** If applicant is a broker/marketer, explain how your organization will be collecting your fees.

Live Energy collects all fee's from the Natural Gas Service providers

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e. **ACCOUNTING RECORDS CUSTODIAN:** Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

**Milbern Ray & Company
4831 Merlot Ave. #320
Grapevine, Tx. 76051**

- f. **TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix D to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

Attachment (H)

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8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by natural gas distribution companies does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

- a. **EXPERIENCE, PLAN, STRUCTURE:** such information may include: **Attachment (I)**

- Applicant's previous experience in the natural gas industry.
- Summary and proof of licenses as a supplier of natural gas services in other states or jurisdictions.
- Type of customers and number of customers Applicant currently serves in other jurisdictions.
- Staffing structure and numbers as well as employee training commitments.
- Business plans for operations within the Commonwealth.
- Any other information appropriate to ensure the technical capabilities of the Applicant.

- b. **PROPOSED MARKETING METHOD** (check all that apply)

- Internal – Applicant will use its own internal resources/employees for marketing
- External NGS – Applicant will contract with a **PUC LICENSED NGS**
- Affiliate – Applicant will use a **NON-NGS affiliate that is a nontraditional marketer and/or marketing services consultant**
- External Third-Party – Applicant will contract with a **NON-NGS third party nontraditional marketer and/or non-selling marketer**
- Other (Describe):

- c. **DOOR TO DOOR SALES:** Will the Applicant be implementing door to door sales activities?

- Yes
- x No

If yes, will the Applicant be using verification procedures?

- Yes
 No

If yes, describe the Applicant's verification procedures.

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- d. **OVERSIGHT OF MARKETING:** Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors. **Attachment (J)**
- e. **OFFICERS:** Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience. **Attachment (K)**

9. DISCLOSURE STATEMENT:

(Not applicable for an applicant applying for a license exclusively as a broker/marketer.)

DISCLOSURE STATEMENTS: If proposing to serve Residential and/or Small Commercial (less than 6,000 Mcf annually) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix E to this Application.

- Natural gas should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.
N/A

10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. **STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission.

Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 62.114.

x AGREED

b. REPORTING REQUIREMENTS: Applicant agrees to provide the following information to the Commission:

- Reports of Gross Receipts: Applicant shall file an annual report with the Commission on an annual basis no later than April 30th following the end of the calendar year per 52 Pa. Code § 62.110.

x AGREED

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c. TRANSFER OF LICENSE: The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. § 2208(d). Transferee will be required to file the appropriate licensing application.

x AGREED

d. ANNUAL FEES: The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling natural gas in the Commonwealth of PA, and a supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.

x ACKNOWLEDGED

e. FURTHER DEVELOPMENTS: Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 62.105.

x AGREED

f. FALSIFICATION: The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

x AGREED

g. NOTIFICATION OF CHANGE: If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within thirty (30) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 62.105.

x **AGREED**

- h. CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

x **AGREED**

- i. FILING FEE:** The Applicant has enclosed or paid the required, non-refundable filing fee by **CERTIFIED CHECK OR MONEY ORDER** in the amount of \$350.00 payable to the Commonwealth of Pennsylvania. The Commission does not accept corporate or personal checks for filing fees.

❖ **PAYMENT ENCLOSED**

11. AFFIDAVITS

(All affidavits must be notarized before filing.)

- a.) APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.
- b.) OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the Public Utility Code of Pennsylvania and applicable federal and state laws. An example copy of this Affidavit can be found at Appendix B.

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12. NEWSPAPER PUBLICATIONS

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

Attachment (L)

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve.

The chart below dictates which newspapers are necessary for each NGDC. For example, an applicant that wants to operate in Peoples – Equitable would need to run ads in both The Erie Times-News and the Pittsburgh Post-Gazette. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

The only acceptable verification of this requirement is with Notarized Proofs of Publication, which may be requested from each newspaper and must be supplied with this application. Applicants do not need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	Erie Times-News	Harrisburg Patriot-News	Philadelphia Daily News	Pittsburgh Post-Gazette	Scranton Times-Tribune	Williamsport Sun-Gazette	Johnstown Tribune-Democrat
Columbia Gas	X	X		X		X	X
National Fuel Gas				X			
PECO			X				
Peoples - Equitable	X			X			
Peoples Natural Gas	X			X			X
Peoples Gas Company				X			
Philadelphia Gas Works			X				
UGI		X	X		X		
UGI Central Penn	X	X	X	X	X	X	X
UGI Penn Natural		X			X	X	
Valley Energy					X	X	
Entire Commonwealth	X	X	X	X	X	X	X

(Example Publications are provided at Appendices F and G)

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13. SIGNATURE

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Applicant: Live Energy Inc. _____

By: Brian Roach

Title: U.P. Operations

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14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections is complete.

Applicant: Live Energy Inc.

✓	Signature	
✓	Filing Fee (ONLY CERTIFIED CHECK OR MONEY ORDER)	
✓	Application Affidavit	
✓	Operations Affidavit	
✓	Proof of Publication	
✓	Tax Certification Statement	
✓	Commonwealth Department of State Verification	
✓	Certificate of Service	

Applicant's Use

PUC Secretary's Bureau Use

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Appendix A

APPLICATION AFFIDAVIT

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[Commonwealth/State] of Texas :

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ss.

County of TARRANT :

Paul Ferstland, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the V.P. (Office of Affiant) of Live Energy Inc. (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

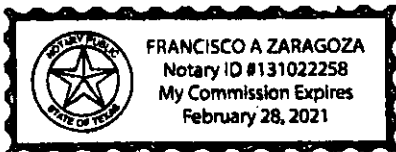
That the Applicant herein Live Energy Inc. has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an natural gas supplier pursuant to 66 Pa. C.S. § 2208 (c)(1).

That the Applicant herein Live Energy Inc. has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein Live Energy Inc. acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein Live Energy Inc. acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.



[Signature]
Signature of Affiant

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Sworn and subscribed before me this 6th day of December, 2017.

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[Signature]
Signature of official administering oath

My commission expires 02/21/2028

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Appendix B

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OPERATIONS AFFIDAVIT

[Commonwealth/State] of TEXAS :

ss.

County of TARRANT :

Paul Ferstland, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the U.P. (Office of Affiant) of Live Energy Inc. (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That Live Energy Inc., the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That Live Energy Inc., the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render natural gas supply service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Live Energy Inc., the Applicant herein, certifies to the Commission that it is subject to, will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 22 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28 shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional natural gas sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

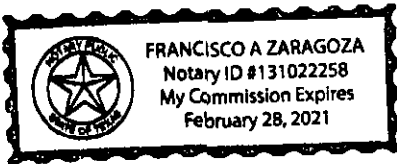
Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

Appendix B (Continued)

That Live Energy, Inc., the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506 and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Office of Communications or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.



[Handwritten Signature]

Signature of Affiant

Sworn and subscribed before me this 6th day of December, 2017.

[Handwritten Signature]

Signature of official administering oath

My commission expires 02/28/2021

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SECRETARY'S BUREAU~~

Attachment (A)

PENNSYLVANIA DEPARTMENT OF STATE
CORPORATION BUREAU

Application for Certificate of Authority
(15 Pa.C.S.)

- Foreign Business Corporation (§ 4124)
- Foreign Nonprofit Corporation (§ 6124)

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Name Live Energy Inc		
Address 1124 Glade Rd, Ste #140		
City Colleyville	State Texas	Zip Code 76034

Document will be returned to the name and address you enter to the left.
←

Commonwealth of Pennsylvania
CERTIFICATE OF AUTHORITY 3 Page(s)

Fee: \$250



In compliance with the requirements of the applicable provisions of 15 Pa.C.S. (relating to corporations and unincorporated associations), the undersigned, hereby states that:

1. The name of the corporation is:
Live Energy Inc.

2. Complete only when the corporation must adopt a corporate designator for use in Pennsylvania.
The name which the corporation adopts for use in this Commonwealth is:

3. If the name set forth in paragraph 1 or 2 is not available for use in this Commonwealth, complete the following:
The fictitious name which the corporation adopts for use in transacting business in this Commonwealth is:

The corporation shall do business in Pennsylvania only under such fictitious name pursuant to the attached resolution of the board of directors under the applicable provisions of 15 Pa.C.S. (relating to corporations and unincorporated associations) and the attached form DSCB:54-311 (Application for Registration of Fictitious Name).

4. The name of the jurisdiction under the laws of which the corporation is incorporated is: Texas

5. The address of its principal office under the laws of the jurisdiction in which it is incorporated is:

1124 Glade Rd, Ste #140	Colleyville	TX	76034
Number and street	City	State	Zip

PA DEPT. OF STATE

JUL 13 2010

PA DEPT. OF STATE

AUG 20 2010

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A Hachman (A)

DSCB:15-4124/6124-2

6. The (a) address of this corporation's proposed registered office in this Commonwealth or (b) name of its commercial registered office provider and the county of venue is:

(a) Number and street	City	State	Zip	County
147 South Lincoln Avenue	Scranton	PA	18504	Lackawanna

(b) Name of Commercial Registered Office Provider _____ County _____
c/o: _____

7. Check one of the following:


Business Corporation: The corporation is a corporation incorporated for a purpose or purposes involving pecuniary profit, incidental or otherwise.

Nonprofit Corporation: The corporation is a corporation incorporated for a purpose or purposes not involving pecuniary profit, incidental or otherwise.

IN TESTIMONY WHEREOF, the undersigned corporation has caused this Application for Certificate of Authority to be signed by a duly authorized officer thereof

this 22 day of June 2010

Live Energy Inc
Name of Corporation


Signature

President
Title

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SECRETARY'S BUREAU

Attachment B

Corporations Section
P.O.Box 13697
Austin, Texas 78711-3697

Rolando B. Pablos
Secretary of State



Office of the Secretary of State

Certificate of Fact

The undersigned, as Secretary of State of Texas, does hereby certify that the document, Certificate of Formation for Live Energy Inc. (file number 800863549), a Domestic For-Profit Corporation, was filed in this office on August 28, 2007.

It is further certified that the entity status in Texas is in existence.

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In testimony whereof, I have hereunto signed my name officially and caused to be impressed hereon the Seal of State at my office in Austin, Texas on November 07, 2017.



A handwritten signature in black ink, appearing to read "R. Pablos".

Rolando B. Pablos
Secretary of State

Come visit us on the internet at <http://www.sos.state.tx.us/>

Phone: (512) 463-5555
Prepared by: SOS-WEB

Fax: (512) 463-5709
TID: 10264

Dial: 7-1-1 for Relay Services
Document: 771914550003

Attachment C

Saint Clair Newbern (CEO)
3511 Locke Ave.
Fort Worth, Texas 76107

Paul Fershtand (Partner)
3511 Locke Ave.
Fort Worth, Texas

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4. Operations Attachment (D)

A privately held energy broker and consulting firm that's been helping businesses of all sizes lower energy costs since deregulation began. Our Certified Energy Advisors will educate you about the market, explain your options, and serve as an unbiased resource you can trust. Our proprietary technology is unlike anything else in the market, and has revolutionized the way businesses control electricity costs by enabling smarter decisions in less time.

Our finely tuned 5-Step Process, plus our proprietary technology, the Live Energy Portal, is guaranteed to save you time and money. We provide custom price alerts, coordinate competitive bidding among the top suppliers, assist in contract negotiation, handle all customer service issues after execution, and a whole lot more. Live Energy is your single source for business electricity and demand side solutions.

Over the past sixteen years, Live Energy has worked with businesses of all size, and in virtually every industry. We have developed highly complex buying strategies for one of the top five largest industrial consumers in the state of Texas, and we also work with hundreds of small to medium sized businesses with much more straightforward needs. Regardless of your size, Live Energy has the ability to provide proven strategies that are designed to enable smarter decisions in less time, leading to increased profits and lower costs in your organization.

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A Attachment (E)

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Appendix C

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

CERTIFICATE OF SERVICE

On this the 15 day of December 2017, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as a Natural Gas Supplier and all **NON-CONFIDENTIAL** attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following: *Sent via Email:*

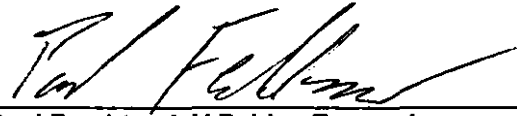
<p>Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120</p>	<p>Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120</p>
<p>Office of the Small Business Advocate Commerce Building, Suite 202 300 North Second Street Harrisburg, PA 17101</p>	<p>Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946</p>
<p>Columbia Gas of PA, Inc. Michele Caddell 290 W. Nationwide Blvd. Columbus, OH 43215 PH: 614.460.6841 FAX: 614.460.8447 e-mail: mcaddell@nisource.com</p>	<p>Bureau of Investigation & Enforcement Pennsylvania Public Utility Commission Commonwealth Keystone Building 400 North Street, 2 West Harrisburg, PA 17120</p>
<p>Peoples Natural Gas – Equitable Division Lynda Petrichevich 225 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: Lynda.w.petrichevich@peoples-gas.com</p>	<p>National Fuel Gas Distribution Corp. David D. Wolford 6363 Main Street Williamsville, NY 14221 PH: 716.857.7483 FAX: 716.857.7479 e-mail: wolfordd@natfuel.com</p>
<p>The Peoples Natural Gas Company Lynda Petrichevich 225 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: Lynda.w.petrichevich@peoples-gas.com</p>	<p>PECO Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2 Philadelphia, PA 19103 PH: 215.841.6452 Email: carlos.thillet@exeloncorp.com</p>
<p>Peoples Gas Company Lynda Petrichevich 225 North Shore Drive Pittsburgh, PA 15212</p>	<p>Philadelphia Gas Works Nicholas LaPergola 800 West Montgomery Avenue Philadelphia, PA 19122</p>

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Attachment (E)

PH: 412.208.6528 FAX: 412.208.6577 e-mail: Lynda.w.petricevich@peoples-gas.com	PH: 215.684.6278 email: nicholas.lapergola@pgworks.com
UGI Central Penn David Lahoff 2525 N. 12 th Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3520 Email: dlahoff@ugi.com	UGI David Lahoff 2525 N. 12 th Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3520 Email: dlahoff@ugi.com
Valley Energy Inc. Ed Rogers 523 South Keystone Avenue Sayre, PA 18840-0340 PH: 570.888-9664 FAX: 570.888.6199 email: erogers@ctenterprises.org	UGI Penn Natural David Lahoff 2525 N. 12 th Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3520 Email: dlahoff@ugi.com



Paul Fershtand, V.P. Live Energy Inc.

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Attachment (F)

Columbia Gas
of Pennsylvania
A NiSource Company

October 31, 2017

Brian Roach
Live Energy Inc.
3511 Locke Ave.
Fort Worth, TX 76107

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SECRETARY'S BUREAU

Dear Brian Roach:

We are pleased that Live Energy Inc. has applied for a license to provide Natural Gas Broker/Marketer Services on the distribution system of Columbia Gas of Pennsylvania, Inc. ("Columbia Gas").

Under Paragraph 2.4.5 of the Rules Applicable to Distribution Service section of the Tariff of Columbia Gas, Live Energy Inc. could be required to provide to Columbia Gas a bond or other financial security instrument in an amount that Columbia Gas determines to be appropriate. Live Energy Inc. has indicated only brokering and consulting services will be provided. Therefore, we have determined at this time that Live Energy Inc. does not need a bond or other financial security requirement to provide broker natural gas services to Columbia Gas customers.

If the creditworthiness requirement or Columbia Gas' exposure to Live Energy Inc. changes in the future, Columbia Gas might deem it appropriate to require Live Energy Inc. to provide a bond or other financial security instrument.

Please feel free to contact me at 614-460-4712 should you have any questions regarding a bond or other financial security instrument requirements of Columbia Gas.

Sincerely,

Patricia Chang

Patricia Chang
Manager of Choice and Transportation Support Services

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SECRETARY'S BUREAU

Attachment (F)



375 North Shore Drive
Pittsburgh, Pennsylvania 15212

www.peoples-gas.com

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SECRETARY'S BUREAU~~

Lynda W. Petrichevich
Vice President, Regulatory Affairs

Peoples Service Company LLC
Phone: 412-208-6528; Fax: 412-208-6577
Email: lpetrichevich@peoples-gas.com

October 30, 2017

Saint Clair Newbern
CEO
Live Energy Inc.
3511 Locke Avenue
Fort Worth, Texas 76107

Dear Mr. Newbern:

We are pleased that Live Energy Inc. has applied for a license to provide natural gas services on the Peoples Group of Companies. Specifically you have requested to be licensed as a supplier on the distribution systems of Peoples Natural Gas Company LLC, Peoples Natural Gas Company LLC – Equitable Division, and Peoples Gas Company LLC (formerly Peoples TWP) ("the Companies").

Since Live Energy Inc. is not currently serving customers on the Peoples systems, we have determined at this time that Live Energy Inc. does not need a bond or other financial security requirement to provide these services to the Company's customers.

If a Pool is established, and customers are enrolled which alters the creditworthiness requirement or the Company's exposure to Live Energy Inc. provision of services on the Peoples' system changes in the future, the Companies may deem it appropriate to require a bond or other financial instrument.

If you have any questions feel free to contact me at 412-208-6528 or by email at Lynda.W.Petrichevich@peoples-gas.com.

Sincerely,

Lynda W. Petrichevich
Vice President – Regulatory Affairs
Peoples Natural Gas Company LLC

Cc: Carol Scanlon
Stephen Kelly

Attachment (F)



PHILADELPHIA GAS WORKS

800 West Montgomery Avenue • Philadelphia, PA 19122

November 1, 2017

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Saint Clair Newbern
Live Energy Inc.
3511 Locke Avenue
Fort Worth, TX 76107

Re: Security Requirement Bond for Live Energy Inc.

Dear Saint Clair Newbern:

Philadelphia Gas Works ("PGW") is aware that Live Energy Inc. has filed an application with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania and specifically within the services territory of Philadelphia Gas Works.

As you know, in making such an application, Live Energy Inc. must furnish acceptable security to each utility where Live Energy Inc. will do business. As such, under its tariff, Philadelphia Gas Works could require Live Energy Inc. to provide a bond or other financial security instrument in an amount that Philadelphia Gas Works determines to be appropriate.

However, you have indicated, and it is Philadelphia Gas Works' understanding, that Live Energy Inc. intends only to provide natural gas aggregating, brokering and consulting services at this time. You have stated that in performing these services Live Energy Inc. will never take title to any delivered natural gas.

Based upon your representations, Philadelphia Gas Works has determined that, at this time, Live Energy Inc. does not need to post a bond or other form of security to operate in its service territory. If the services provided by Live Energy Inc. should change, Philadelphia Gas Works reserves the right to require security from Live Energy Inc. as it deems appropriate.

If you have any questions concerning the foregoing, please contact me at 215-684-6725.

Sincerely,


John C. Zuk
Vice President, Gas Supply

NL/dls

Attachment (F)



UGI Utilities, Inc.
2525 North 12th Street
Suite 360
Post Office Box 12677

November 7, 2017

Brian Roach V.P. Operations.
Live Energy Inc.
3511 Locke Ave.
Fort Worth, Texas 76107

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~~PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU~~

RE: Live Energy Inc. application to serve as a Natural Gas Broker

Dear Mr. Roach,

Based on your assertion that Live Energy Inc. ("LIVE ENERGY") is applying with the State of Pennsylvania to operate as a natural gas broker/marketer, UGI Utilities Inc. ("UGIU") has concluded that LIVE ENERGY will not need to post security with UGI-Central Penn Gas ("CPG"), UGI-Penn Natural Gas ("PNG") or UGI Utilities Gas Division ("UGI"). This is based on the declaration that LIVE ENERGY will not be taking title to gas or directly serving end use customers. This also assumes that LIVE ENERGY will be acting in conjunction with a licensed Natural Gas Supplier who has been approved by the Pennsylvania Public Utility Commission to serve in the applicable UGIU service territories and who has posted the required financial security as specified in the respective UGIU tariffs. If LIVE ENERGY wishes to directly serve Choice customers in the service territories of UGI, PNG and/or CPG in the future as a natural gas supplier, it will have to post security as specified in the respective UGI tariffs prior to the commencement of the service.

Please feel free to contact me with any additional questions that you may have.

Sincerely,

David E. Lahoff
Senior Manager, Tariff & Supplier Administration
UGI Utilities, Inc.



Attachment (F)

National Fuel

November 8, 2017

Brian Roach
Vice President, Operations
Live Energy Inc.
3511 Locke Avenue
Fort Worth, TX 76107

Re: Security Requirement for Live Energy Inc.

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SECRETARY'S BUREAU~~

Dear Brian,

National Fuel Gas Distribution Corporation ("NFGDC") is aware Live Energy Inc. (LEI) has filed an application with the Pennsylvania Public Utility Commission to supply natural gas service to the public in Pennsylvania and specifically within the service territory of NFGDC.

As you know, in making such an application, LEI must furnish acceptable security to each utility where LEI will do business. As such, under its tariff, NFGDC could require LEI to provide a bond or other financial security instrument in an amount that NFGDC determines to be appropriate.

However, you have indicated, and it is NFGDC's understanding that LEI intends only to provide natural gas aggregating, brokering and consulting services at this time. You have stated that, in performing these services, LEI will never take title to any delivered natural gas.

Based upon your representations, NFGDC has determined that, at this time, LEI does not need to post a bond or other form of security to operate in its service territory. However, if the services provided by LEI change in the future, NFGDC reserves the right to require security from LEI as it deems appropriate.

If you have any questions concerning the foregoing, please contact me at 716-857-7599.

Yours truly,

Nathan E. Barnes
Transportation Services Department

Attachment (F)



VALLEY ENERGY

523 S. Keystone Avenue, P.O. Box 340, Sayre, PA 18840
800/998-4427 • 570/888-9664 • FAX 570/888-6199

October 31, 2017

VIA EMAIL

Mr. Brian Roach
Live Energy
3511 Locke Ave.
Fort Worth, TX 76107
brian@liveenergy.com

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SECRETARY'S BUREAU~~

Dear Mr. Roach:

We understand that Live Energy has applied with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania including our company's service area.

Because Live Energy intends to only provide natural gas aggregating, brokering and consulting services at this time, we have determined that Live Energy will not be required to post a bond or other form of financial security instrument to provide these services in our service area. However, if the services provided change in the future, we reserve the right to require security from Live Energy as deemed appropriate.

If you have any questions, please contact Jamie Levering at 570-888-9664.

Sincerely,

Edward E. Rogers
President & CEO

EER/ss

cc: J. Levering, Valley Energy

Attachment (F)



An Exelon Company

November 14, 2017

Live Energy
3511 Locke Avenue
Fort Worth, TX 76107

Re: Bonding Requirements

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SECRETARY'S BUREAU~~

Dear Live Energy:

PECO is aware that Live Energy has applied for a license to provide brokering and consulting services to commercial and industrial customers on the distribution system of PECO.

In making such an application Live Energy could be required to provide to PECO a bond or other acceptable financial security in an amount that PECO determines to be appropriate. Live Energy has indicated that it intends to provide only brokering and consulting services to commercial and industrial customers; will not take title to any delivered natural gas; nor will accept any customer payments or deposits. Therefore, PECO has determined at this time that Live Energy does not need a bond or other financial security requirement, since they are not directly engaging in business with PECO and only providing brokering or consulting services to PECO customers. However, if the services provided by Live Energy or the creditworthiness requirement for PECO's exposure to Live Energy changes in the future, PECO reserves the right to require Live Energy to provide a bond or other financial security instrument.

If you should have any questions regarding this matter, please contact Chris Sauerbaum at 215-841-6422 or myself at 215-841-6452.

Respectfully submitted,

Carlos P. Thillet

Carlos P. Thillet
Manager, Gas Supply and Transportation
2301 Market St S18-1
Philadelphia, Pa 19103

8. A. Technical Fitness *Attachment (I)*

A privately held energy broker and consulting firm that's been helping businesses of all sizes lower energy costs since deregulation began. Our Certified Energy Advisors will educate you about the market, explain your options, and serve as an unbiased resource you can trust. Our proprietary technology is unlike anything else in the market, and has revolutionized the way businesses control electricity costs by enabling smarter decisions in less time.

Our finely tuned 5-Step Process, plus our proprietary technology, the Live Energy Portal, is guaranteed to save you time and money. We provide custom price alerts, coordinate competitive bidding among the top suppliers, assist in contract negotiation, handle all customer service issues after execution, and a whole lot more. Live Energy is your single source for business electricity and demand side solutions.

Over the past sixteen years, Live Energy has worked with businesses of all size, and in virtually every industry. We have developed highly complex buying strategies for one of the top five largest industrial consumers in the state of Texas, and we also work with hundreds of small to medium sized businesses with much more straightforward needs. Regardless of your size, Live Energy has the ability to provide proven strategies that are designed to enable smarter decisions in less time, leading to increased profits and lower costs in your organization.

We plan on contacting businesses of all sizes in Pennsylvania to help them secure better gas rates and use our finely tuned 5-step process to always be aware of the market. We already have a license to broker electricity in Pennsylvania and we want to better serve our customers on the gas side.

We have attached our current natural gas license that we have in Ohio. We serve businesses of all sizes in Ohio.

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Attachment (I)

The Public Utilities Commission of Ohio
Ohio Competitive Retail Natural Gas Aggregator/Broker Certificate
Renewal

Issued pursuant to Case Number(s):
14-1172-GA-AGG

is
Ohio Competitive Retail Natural Gas Aggregator/Broker Certificate Number:
14-376G(2)

Granted to:
Live Energy, Inc.

Whose office or principal place of business is located at:
1124 Glade Rd #140, Colleyville, TX 76034

And is hereby certified to provide:
Retail Natural Gas Aggregator/Broker Services
within the state of Ohio, for a two-year period.

Certification Effective:
August 1, 2016 through August 1, 2018

The certification of Ohio competitive retail natural gas aggregators/brokers is governed by Chapter 4901:1-27 of the Ohio Administrative Code and section 4929.20 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) as well as those under law, are not met.

Certified entity is subject to all rules and regulations of the commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio
Dated: **August 2, 2018**

By Order of
The Public Utilities Commission of Ohio



Barcy F. McNeal, Secretary
Tanowa M. Troupe, Acting Secretary
Felecia D. Burdett, Acting Secretary

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~~PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU~~

8. D. Technical Fitness Attachment (J)

Live Energy adheres to the TEPA code of conduct and is a founding member of the organization.

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Attachment (J)

CODE OF CONDUCT

TEXAS ELECTRICITY BROKERS ASSOCIATION

DBA TEXAS ELECTRICITY PROFESSIONALS ASSOCIATION (TEPA)

~~RECEIVED
DEC 7 2017
PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU~~

The Board of Directors of the Texas Electricity Brokers Association (d/b/a Texas Electricity Professionals Association) ("TEPA") has ratified and adopted this Code of Conduct (the "Code"), and all TEPA Members are hereby bound by the terms and conditions herein effective as of the date provided below. The Code shall be implemented and govern the activities of all TEPA Members. In the event that it is determined that a TEPA Member has failed to abide by the Code then, subject to the terms herein, such member shall be subject to the penalties provided for herein.

A. CODE OF CONDUCT

Each Member of TEPA hereby agrees to operate its business in compliance with the following Code of Conduct:

1. A TEPA Member shall comply with the Public Utility Commission of Texas' ("PUCT") education, disclosure, marketing guidelines and rules, and the filing of regular reports pertaining to customer complaints. A TEPA Member shall not release proprietary customer information to any person unless the customer authorizes such release, and the authorization is in compliance with any restrictions or regulations adopted by PUCT.
2. When a customer requests aggregating, brokering and/or consulting services from a TEPA Member, such Member shall disclose to the customer upon the customer's request; i.) all of the Member's trade and/or commercial names; ii.) any agency relationships the TEPA Member may have with any retail electricity providers ("REPs"); and iii.) the TEPA Member's sources and amounts of compensation for providing such aggregating, brokering, and/or consulting services. A TEPA Member shall not, either expressly or implicitly, in writing or verbally, provide the customer with the impression or belief that such aggregating, brokering, and/or consulting services are "free" to the customer.
3. A TEPA Member shall not charge an excessive amount to the Customer for work preformed, with the TEPA Board of Directors making such determinations as to the reasonableness of amounts and commissions charged by TEPA Members to the customers. In no event shall a TEPA Member charge its customer more than \$.005 per kWh for the sole purpose of procuring the customer energy.
4. A TEPA Member shall conduct its business with honesty and integrity and with a spirit of fairness during all business interactions with customers and suppliers.

5. A TEPA Member shall not provide false or misleading information in response to a request for information from a customer, and all bids from suppliers will be shared completely and truthfully with customers.
6. A TEPA Member shall conduct itself in a manner which commands respect and confidence in the services it provides. A TEPA Member shall work in harmony with other TEPA Members, with its clients, and with REPs so as to uphold the integrity of TEPA Members within the deregulated electric industry in Texas.
7. A TEPA Member shall be qualified, by knowledge and experience, for the work it undertakes. A TEPA Member shall not endanger the interest of the public or its clients by attempting to handle projects for which it is not qualified or for which it cannot find competent technical assistance.
8. A TEPA Member shall not disseminate or use any form of agreement, advertisement, or other printed material which would be reasonably considered harmful to the deregulated electric industry.
9. A TEPA Member shall ensure that all of its employees, agents, contractors, officers and directors are made aware of this Code of Conduct and comply with its terms.
10. A TEPA Member understands that the deregulated electricity industry must be proactive in dealing with actions by aggregators, brokers, and/or consultants which are contrary to this Code of Conduct, and therefore, agrees to comply with any discipline committee investigations and hearings regarding any actions of an employee, agent, contractor, officer or director of the TEPA Member.
11. A TEPA Member shall pay its dues to TEPA in a timely manner and will comply with all raises in dues or other assessments agreed to by the Board of Directors and/or the Members of TEPA, as the case may be.
12. A TEPA Member shall meet all continuing education requirements imposed on the TEPA Membership by its Board of Directors and/or Members, as the case may be.

B. DISCIPLINARY COMMITTEE

1. The Board of Directors shall appoint a five (5) person committee ("Disciplinary Committee") to oversee the compliance of the TEPA Membership with the Code of Conduct provided herein.
2. The Disciplinary Committee shall be comprised of five (5) individuals who are employees, officers, directors, shareholders, members or agents of a TEPA Member, but none of the individuals shall be affiliated with the same TEPA

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Member (i.e. two of the five members shall not be employees, officers or agents of the same TEPA Member). Appointment to the Disciplinary Committee shall be for a lifetime tenure, with the Member serving until such time as: (i.) the member elects to resign his or her position; (ii.) the member ceases to be a TEPA Member or serve as an officer, director, employee, member or agent of a TEPA Member; or (iii). The Board of Directors votes unanimously to remove the member from the Disciplinary Committee because of a determination that the member failed to reasonably comply with the terms and conditions of this Code of Conduct as a Disciplinary Committee member or as a TEPA Member. Vacancies shall be filled by an appointment from the then current Board of Directors. Disciplinary Committee members are specifically prohibited from serving on the Board of Directors while serving on the Disciplinary Committee.

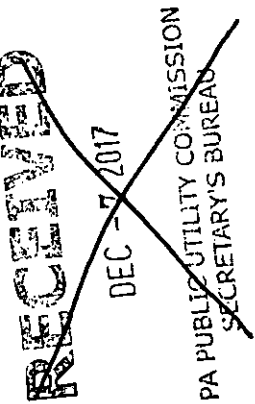
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3. In the event that a disciplinary complaint is brought against one of the three acting Disciplinary Committee members or any company in which they serve as an officer, director, employee or agent for, then that member shall disqualify himself from hearing the complaint, and the remaining two Disciplinary Committee members shall select another employee, officer, director, member or agent of a TEPA Member (the "temporary member"), and such temporary member shall not be affiliated with the same TEPA Member as any of the three current Disciplinary Committee members. Once the matter is resolved in which a current Disciplinary Committee member is involved then the temporary member shall cease its activities on the Disciplinary Committee and the current Disciplinary Committee members shall resume their duties, and the Board of Directors shall not appoint any individual to the Disciplinary Committee if such individual is currently serving on the Board of Directors.
 4. The Disciplinary Committee members shall elect a committee chairperson (the "Disciplinary Committee Chair"), annually, at the committee's next meeting following the election of the TEPA Board of Directors.

C. COMPLAINT PROCESS

1. All complaints against a TEPA Member shall be directed to the Disciplinary Committee Chair. Complaints may be made by any individual customer, TEPA Member, Board of Director(s), REP or other party with an interest in the activities of TEPA Members.
2. Upon receipt of a complaint (whether written or verbal), the Disciplinary Committee Chair shall review the complaint, and if the Disciplinary Committee Chair reasonably determines the complaint is legitimate, shall provide the complaint to the other Disciplinary Committee members, and shall also provide a summary of the complaint to the Board of Directors, with such summary provided within two (2) weeks of receipt of the complaint. The Disciplinary Committee Chair shall also then provide a copy of the complaint to the TEPA Member whose

actions are being brought into question, and such TEPA Member shall be permitted to respond to the complaint.

3. The Disciplinary Committee members shall then coordinate to task of gathering documentation and other evidence necessary to determine the validity of the complaint. If the Disciplinary Committee members determine that a hearing is necessary, then it shall conduct a hearing (whether in person or via telecommunications) with the TEPA Member and complainant both being invited to attend. There shall be no requirement for a hearing, but a hearing will be conducted solely at the discretion of the Disciplinary Committee.
4. Following the Disciplinary Committee's collection and review of the relevant data, documentation, and, if it deems necessary, testimony, then the Disciplinary Committee shall vote to determine if the TEPA Member has violated the Code of Conduct, with the majority ruling. In the event the majority of the Disciplinary Committee members find that a violation of the Code of Conduct has occurred then they shall make a recommendation on the penalty to impose for the violation, based upon the approved penalties provided herein. All determinations shall be completed in a timely and efficient manner, and in the Disciplinary Committee's final determination shall be reached within one (1) month of receipt of the complaint, unless it is reasonably determined that the Disciplinary Committee cannot complete collection of all the relevant information within such one (1) month period due to delays beyond the Disciplinary Committee's control.
5. The Disciplinary Committee Chair shall write a report addressed to the Board of Directors, the TEPA Member against whom the complaint was filed and the complainant detailing the findings of the Disciplinary Committee and its recommended penalty, with such report provided to the Board of Directors within two (2) weeks of the Disciplinary Committee reaching a final determination.
6. The Board of Directors shall review the report from the Disciplinary Committee Chair at its next meeting and vote on the penalty to impose on the TEPA Member against whom the complaint was filed. The Board of Directors is under no obligation to accept the recommendation of the Disciplinary Committee but may impose a lesser or greater penalty at its discretion.
7. Following the decision of the Board of Directors, the President of the Board of Directors shall provide written notice to the Disciplinary Committee Chair detailing the punishment agreed to by the Board of Directors.
8. The Disciplinary Committee Chair shall then provide written notice to the TEPA Member against whom the complaint was filed and to the complainant detailing the punishment agreed to by the Board of Directors and outlining the terms of enforcement of the punishment, if punishment was rendered.



D. PENALTIES

Each TEPA Member shall be subject to the following penalties for a violation of the Code of Conduct (one or more of the penalties can be required for each violation):

1. A fine in an amount to be determined by the Disciplinary Committee and approved by the Board of Directors;
2. Requirement to provide a written apology to the complainant;
3. Suspension from TEPA for a period of no less than 3 months and no more than 2 years; or
4. Termination of the TEPA Member's membership in TEPA.

E. FAILURE TO PAY DUES/ASSESSMENTS

In the event any TEPA Member shall fail to pay its membership dues or any assessments required by the Board of Directors then the process provided in Section C above shall not be required for termination of the defaulting TEPA Member's membership rights. Dues are assessed on a calendar year basis and are due by January 31 in each calendar year, or on the Monday following if January 31 falls on a weekend. In the event annual dues from any Member are not received by TEPA on or before March 15, the overdue Member will be sent a final notice (first class mail and via Email). If the renewal is not received by April 1 (or the Monday following if April 1 falls on a weekend) or some method of payment (including term payments) is not requested on or before April 1 by the overdue Member, then the Member will automatically lose its membership.

F. DECISIONS NOT SUBJECT TO REVIEW

The TEPA Member signing this Code of Conduct below hereby agrees to be bound and comply with all decisions made by the Disciplinary Committee and the Board of Directors as provided for herein. The decisions reached based upon this Code of Conduct may not be appealed to any court of law or other party. The Disciplinary Committee, and then the Board of Directors, shall have the sole authority to levy the punishments and penalties imposed herein for violation of this Code of Conduct. Nothing herein shall be construed as limiting any parties rights to seek a judicial remedy for any claim or damages such person may have against a TEPA Member.

By executing this document the undersigned hereby acknowledges and agrees that it and all of its officers, employees, directors, members, agents and contractors shall abide by this code of Conduct, and that any violation of this Code of Conduct by the undersigned, or any of officers, employees, directors, members, agents and contractors shall subject the undersigned to the penalties provided for herein.

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8. Technical Fitness Attachment (K)

Saint Clair Newbern IV –President and CEO of Live Energy Inc since 2008. He previously was a managing partner with the energy consultant/brokerage Axiom Energy from 2002-2008. He also served as President of Texas Electricity Professionals Association in 2009. Live Energy Inc is a leading energy consultant/brokerage in Texas and has successfully provided consulting and brokering services for commercial, industrial and residential customers.
St. Clair Newbern IV, TEPA Certified Electricity Professional.

President & CEO
124 Glade Rd, Ste #140
Colleyville, TX 76034
Phone: (214) 699-4441
Fax: (214) 292-8819

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1992-1996 Attended the University of Texas

1997-2001 Worked in the Information Technology and Management Consulting field. Primary focus was on assisting large enterprises with ERP software implementations. I was a partner in a firm that served clients throughout the United States, many being Fortune 500 companies.

2001-2007 Founded Axiom Energy Services LLC and operated with a partner until 2007. Declined an offer to purchase Axiom in 2007. I still retain my ownership in the Axiom Energy LLC, and manage the existing portfolio although all new business is booked under Live Energy.

2007 – Present Founded Live Energy. Developed the Live Energy Portal, which is an exclusive energy management platform that was specifically designed to allow Live Energy to offer a level of service that no other consultant in the market can offer.

Other Accomplishments:

- Ø Helped found the Texas Electricity Professionals Association (TEPA)
- Ø Served as the Secretary of TEPA in 2007
- Ø Served as the Vice President of TEPA in 2008
- Ø Served as the President of TEPA in 2010
- Ø Currently serve on TEPA's Board of Directors
- Ø Received Certified Electricity Professional CEP credentials from TEPA

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Paul Fershtand – Co Founder of Live. He previously worked with Axiom Energy as the Senior

Attachment (K)

Pricing Analyst. He has worked directly with retail energy providers completing pricing requests and presenting analysis and consultation to clients. He has actively followed deregulation and energy markets for the last eight years.

Paul Fershtand, TEPA Certified Electricity Professional
Vice President & Senior Analyst
10125 Rolling Hills Ct.
Benbrook, TX 76126
Phone: (214) 699-4442
Fax: (817) 887-5575

Paul has a Master's of Finance from TCU and is responsible for managing our portfolio, and overseeing all pricing activity.

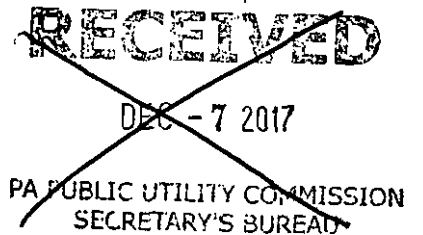
1998-2001 Management Consultant

2001-2007 Axiom Energy Services LLC – Senior Analyst

2007 – Present Co-Founder Live Energy

Other Accomplishments:

Ø Received Certified Electricity Professional CEP credentials from TEPA



COMMONWEALTH OF PENNSYLVANIA }
County of Cambria } SS

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Application of Live Energy Inc. For Approval To Offer, Render, or Furnish Natural Gas Services as a Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsylvania.

Live Energy Inc. will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. Live Energy Inc. proposes to sell natural gas and related services in Columbia Gas, Peoples Natural Gas and UGI Central Penn under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Live Energy Inc. may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Live Energy Inc. at the address listed below.

Live Energy Inc.
3511 Locke Ave
Fort Worth, TX 76107
214-699-4442
817-887-5575

published
that the
of The J
interest
character

On this 22nd day of November A.D. 2017, before me, the subscriber, a Notary Public in and for said County and State, personally appeared Mary Anne Rizzo, who being duly sworn according to law, deposes and says as Advertising Director of the Tribune-Democrat, Johnstown, PA, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8, 1952, of the Johnstown Tribune, established December 7, 1853; and of the Johnstown Democrat, established March 5, 1863, County of Cambria, and Commonwealth of Pennsylvania and the matter published in said publication in the regular issues A, on November 3, 2017; and that the Affiant is not advertising and that all of the allegations as to time, place and

Mary Anne Rizzo
STATEMENT OF ADVERTISING COSTS

Signed and sworn to before me on 22nd day of November, 2017, by Mary Anne Rizzo making the statement.

Vivian Ohs

0.00 Lines @ \$2.50 per line	0.00
6.5 Inches @ \$25.00 per inch	160.00
Notary Fee	5.00
Clerical Fee	2.50
Total Cost	167.50

Commonwealth of Pennsylvania - Notary Seal
Vivian Ohs, Notary Public
Cambria County
My commission expires December 6, 2020
Commission number 1123017
Member, Pennsylvania Association of Notaries

To The Tribune-Democrat, Johnstown, PA
For publishing the notice or publication
attached hereto on the above stated dates.

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

_____ for publisher of _____
a newspaper of general circulation, hereby acknowledges receipt of the aforesaid
and publication costs and certifies that the same has been duly paid.

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~~DEC - 7 2017~~

~~PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU~~

(Name of Newspaper)

By _____

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DEC 29 2017

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

A Hachment (L)

PROOF OF PUBLICATON OF NOTICE IN THE WILLIAMSPORT
SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA

COUNTY OF LYCOMING

SS:

Bernard A. Oravec, Publisher of the Sun-Gazette LLC publishers of the Williamsport Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

November 3, 2017

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette LLC, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and declare that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE
Application of Live Energy Inc. For Approval To Offer, Render, or Furnish Natural Gas Services as a Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsylvania.
Live Energy Inc. will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. Live Energy Inc. proposes to sell natural gas and related services in Columbia Gas, UGI Central Penn, UGI Penn Natural and Valley Energy under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Live Energy Inc. may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Live Energy Inc. at the address listed below.
Live Energy Inc.
3511 Locke Ave
Fort Worth, TX 76107
214-699-4442
817-887-5575

Bernard A. Oravec
SUN-GAZETTE LLC

Sworn to and subscribed before me

The 3rd day of November 2017

Beth A. Miller
COMMONWEALTH OF PENNSYLVANIA Notary Public

NOTARIAL SEAL
BETH A MILLER
Notary Public
CITY OF WILLIAMSPORT, LYCOMING COUNTY
My Commission Expires Apr 18, 2020

STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette LLC, Dr.:

For publishing the notice attached

Hereto on the above state dates.....\$ 225.84

Probated same.....\$

Total.....\$ 225.84

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

THE SUN-GAZETTE LLC hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid

SUN-GAZETTE LLC

BY Bernard A. Oravec

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

A Attachment (2)

No. _____ Term, _____

Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss K. Flaherty, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the _____ regular _____ editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

02 of November, 2017

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

K. Flaherty
PG Publishing Company

Sworn to and subscribed before me this day of:
November 02, 2017

Linda M. Gaertner

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Linda M. Gaertner, Notary Public
Findlay Twp., Allegheny County
My Commission Expires Jan. 31, 2019
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

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STATEMENT OF ADVERTISING COSTS
LIVE ENERGY, INC.
3511 LOCKE AVE
ATTN: BRIAN ROACH
FORT WORTH TX 76107-5631

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

To PG Publishing Company

Total ----- \$382.50

Publisher's Receipt for Advertising Costs

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

Office
2201 Sweeney Drive
CLINTON, PA 15026
Phone 412-263-1338

PG Publishing Company, a Corporation, Publisher of
Pittsburgh Post-Gazette, a Newspaper of General Circulation

By Samuel J. Arbutina

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

COPY OF NOTICE OR PUBLICATION

PENNSYLVANIA
PUBLIC UTILITY
COMMISSION NOTICE
Application of Live Energy Inc. For Approval To Offer, Render, or Furnish Natural Gas Services as a Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services, To The Public in The Commonwealth Of Pennsylvania.
Live Energy Inc. will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. Live Energy Inc. proposes to sell natural gas and related services in Columbia Gas, National Fuel Gas, Peoples - Equitable, Peoples Natural Gas, Peoples Gas Company and UGI Central Penn and under the provisions of the new Natural Gas Choice and Competition Act.
The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Live Energy Inc. may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Live Energy Inc. at the address listed below.
Live Energy Inc., 3511 Locke Ave, Fort Worth, TX 76107, 214-699-4442, 817-887-5575

Attachment (L)

**Proof of Publication in The Philadelphia Daily News
Under Act. No 587, Approved May 16, 1929**

**STATE OF PENNSYLVANIA
COUNTY OF PHILADELPHIA**

Helene Sweeney being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

November 2, 2017

Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

Helene Sweeney

Sworn to and subscribed before me this 2nd day of November, 2017.

Cindy Jakubowski
Notary Public

My Commission Expires:

**COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
CINDY JAKUBOWSKI, Notary Public
City of Philadelphia, Phila. County
My Commission Expires November 30, 2020**

Copy of Notice of Publication

**PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE**
Application of Live Energy Inc. For Approval To Offer, Render, or Furnish Natural Gas Services as a Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsylvania.
Live Energy Inc. will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. Live Energy Inc. proposes to sell natural gas and related services in PECO, Philadelphia Gas Works, UGI and UGI Central Penn and under the provisions of the new Natural Gas Choice and Competition Act.
The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Live Energy Inc. may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Live Energy Inc. at the address listed below.
Live Energy Inc.
3811 Locke Ave
Fort Worth, TX 76107
214-899-4442
817-887-6876

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU~~

A Attachment (L)

PROOF OF PUBLICATION
In
THE ERIE TIMES-NEWS
COMBINATION EDITION

Live Energy Inc
3511 Locke Ave
Fort Worth TX 73107

REFERENCE: 94890 289363
PUC Notice

STATE OF PENNSYLVANIA)
COUNTY OF ERIE) SS:

Brenda L. Learn, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 11/03/17

TOTAL COST: \$476.00 AD SPACE: 0 Lines

FILED ON: 11/03/17

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~~PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU~~

PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE

Application of **Live Energy Inc.** For Approval To Offer, Render, or Furnish Natural Gas Services as a **Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsylvania.**

Live Energy Inc. will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. **Live Energy Inc.** proposes to sell natural gas and related services in Columbia Gas, Peoples Equitable, Peoples Natural Gas and UGI Central Penn under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Live Energy Inc.** may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to **Live Energy Inc.** at the address listed below.

Live Energy Inc.
3511 Locke Ave
Fort Worth, TX 78107
214-699-4442
817-887-5575

EP-289363

Sworn to and subscribed before me this 3rd day of November 2017

Affiant: Brenda L. Learn

NOTARY: Barbara J. Moore

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Barbara J. Moore, Notary Public
City of Erie, Erie County
My Commission Expires March 23, 2020
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

Attachment (L)

The Scranton Times (Under act P.L. 877 No 160. July 9, 1976)
Commonwealth of Pennsylvania, County of Lackawanna

LIVE ENERGY INC
BRIAN ROACH
3511 LOCKE AVE FORT WORTH TX 76107

Account # 528029
Order # 82144604
Ad Price: 219.30

LEGAL NOTICE PENNSYLVANIA

Gina Krushinski
Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

11/01/2017

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true. Gina Krushinski

Sworn and subscribed to before me
this 1st day of November A.D., 2017

Sharon Venturi

(Notary Public)

COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Sharon Venturi, Notary Public
City of Scranton, Lackawanna County
My Commission Expires Feb. 12, 2018
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

LEGAL NOTICE

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE
Application of Live Energy Inc. For Approval To Offer, Render, or Furnish Natural Gas Services as a Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsylvania.

Live Energy Inc. will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. Live Energy Inc. proposes to sell natural gas and related services in UGI, UGI Central Penn, UGI Penn Natural and Valley Energy under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Live Energy Inc. may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies

of any protest to Live Energy Inc. at the address listed below.

Live Energy Inc.
3511 Locke Ave.
Fort Worth, TX 76107
214-699-4442
817-887-5575

A Hachman (L)



The Patriot News

LEGAL AFFIDAVIT

AD#: 0008413626

Commonwealth of Pennsylvania,) ss
County of Cumberland)

Crystal Rosensteel being duly sworn, deposes that he/she is principal clerk of PA Media Group; that The Patriot News is a public newspaper published in the city of Mechanicsburg, with general circulation in Cumberland and Dauphin and surrounding counties, and this notice is an accurate and true copy of this notice as printed in said newspaper, was printed and published in the regular edition and issue of said newspaper on the following date(s):

The Patriot News 11/02/2017

Principal Clerk of the Publisher

Sworn to and subscribed before me this 3rd day of November 2017

COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Donna M. Maldonado, Notary Public
Susquehanna Twp., Dauphin County
My Commission Expires Nov. 5, 2017
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

Donna M. Maldonado
Notary Public

PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE

Application of Live Energy Inc. For Approval To Offer, Render, or Furnish Natural Gas Services as a Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsylvania.

Live Energy Inc. will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. Live Energy Inc. proposes to sell natural gas and related services in Columbia Gas, UGI, UGI Central Penn and UGI Penn Natural under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Live Energy Inc. may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Live Energy Inc. of the address listed below.

Live Energy Inc.
3511 Locke Ave
Fort Worth, TX 76107
214-699-4442
817-887-5575

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~~SECRETARY'S BUREAU~~

Five Energy Inc.
3511 Locke Ave.
Fort Worth, TX. 76107



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Pennsylvania Public Utility Commission
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