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2018 FEB -2 PM 1:58

PA PUC
SECRETARY'S BUREAU
FRONT DESK

DAVID A SHEFFER
Principal

2405 Park Drive, Suite 203
Harrisburg, PA 17110
717 763 9890 • Tel


dsheffer@ausconsultants.com

Secretary, Pennsylvania Public Utility Commission
400 North Street, 2nd Floor
Harrisburg, PA 17120

Dear Secretary:

Please find enclosed a 2nd amended version of the Renew Registration as a Utility Valuation Expert at Docket No. A-2016-2566251.

I, David Sheffer, hereby state that the facts above set forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa. C.S. § 4904 (relating to unsworn falsification to authorities).

Signature 
DAVID A. SHEFFER
AUS Consultants

Title Principal

Date 2-2-18

Enclosure

Cc: Paul Zander pzander@pa.gov (w/encl.)

Application for Registration as a Utility Valuation Expert (UVE)

APPENDIX A

AFFIDAVIT

[Commonwealth/State] of Pennsylvania
County of Dauphin

David Sheffer, Affiant, being duly sworn or affirmed according to law, says that:

Affiant is the Principal (Office of Affiant) of AUS Consultants, Inc. Applicant;

That Affiant is authorized to and does make this affidavit for said Applicant;

That AUS Consultants, Inc., the Applicant herein, has the burden of producing information and supporting documentation demonstrating technical fitness to be registered as a Utility Valuation Expert pursuant to Section 1329. 66 Pa. C.S. § 1329.

That AUS Consultants, Inc., the Applicant herein, acknowledges that it has answered the questions on the application correctly, truthfully and completely and has provided supporting documentation as required.

That AUS Consultants, Inc., the Applicant herein, acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That AUS Consultants, Inc., the Applicant herein, verifies that neither the UVE nor the UVE's firm, including affiliates, have a conflict of interest that would compromise, or have the appearance of compromising, the UVE's professional judgement and ability to perform the valuation in an unbiased manner.

That the facts above set forth are true and correct to the best of Affiant's knowledge, information, and belief, and that Affiant expects said Applicant to be able to prove the same at hearing.

Signature of Affiant

Sworn and subscribed before me this 7th day of February 2019.

Signature of official administering oath

My commission expires: Feb. 15, 2021

RECEIVED 2018 FEB -2 PM 1:58 SA PUC SECRETARY'S BUREAU FRONT DESK

COMMONWEALTH OF PENNSYLVANIA NOTARIAL SEAL DAWN M. MORGAN Notary Public Derry Twp., Dauphin County My Commission Expires Feb. 15, 2021



RECEIVED

DAVID A SHEFFER
Principal

2018 JAN 23 PM 12:49

PA P.U.C.
SECRETARY'S BUREAU

dsheffer@ausconsultants.com

Secretary, Pennsylvania Public Utility Commission
400 North Street, 2nd Floor
Harrisburg, PA 17120

Dear Secretary:

In accordance to 52 Pa Code § 1.36, the following responses to Bureau of Technical Utility Services Water/Wastewater Division's Data Request Set 1 is attached and has been verified.

I, David Sheffer, hereby state that the facts above set forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa. C.S. § 4904 (relating to unsworn falsification to authorities).

Signature David A. Sheffer
DAVID A. SHEFFER
AUS Consultants

Title Principal

Date 1-23-18

Enclosure

Cc: Paul Zander pzander@pa.gov (w/encl.)

Office of Small Business Advocate
RECEIVED
JAN 22 2018

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JAN 23 2018
OFFICE OF
CONSUMER ADVOCATE

Application for Registration as a Utility Valuation Expert (UVE)

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AFFIDAVIT

2018 JAN 23 PM 12:49 APPENDIX A

[Commonwealth/State] of Pennsylvania

PA P.U.C. SECRETARY'S BUREAU

County of Dauphin

David Sheffer, Affiant, being duly sworn or affirmed according to law, deposes and says that:

Affiant is the Principal (Office of Affiant) of AUS Consultants, Inc. (Name of Applicant);

That Affiant is authorized to and does make this affidavit for said Applicant;

That AUS Consultants, Inc., the Applicant herein, has the burden of producing information and supporting documentation demonstrating technical fitness to be registered as a Utility Valuation Expert pursuant to Section 1329. 66 Pa. C.S. § 1329.

That AUS Consultants, Inc., the Applicant herein, acknowledges that it has answered the questions on the application correctly, truthfully and completely and has provided supporting documentation as required.

That AUS Consultants, Inc., the Applicant herein, acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That AUS Consultants, Inc., the Applicant herein, verifies that neither the UVE nor the UVE's firm, including affiliates, have a conflict of interest that would compromise, or have the appearance of compromising, the UVE's professional judgement and ability to perform the valuation in an unbiased manner.

That the facts above set forth are true and correct to the best of Affiant's knowledge, information, and belief, and that Affiant expects said Applicant to be able to prove the same at hearing.

RECEIVED
JAN 22 2018
Office of Small Business Advocate

[Signature]
Signature of Affiant

RECEIVED
JAN 23 2018
OFFICE OF
CONSUMER ADVOCATE

Sworn and subscribed before me this 23 day of January, 2018.

[Signature]
Signature of official administering oath

My commission expires April 25, 2020

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Dorothy Miller, Notary Public
Derry Twp., Dauphin County
My Commission Expires April 25, 2020
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

Bureau of Technical Utility Services Water/Wastewater Division

Data Request Set 1

Application of AUS Consultants, Inc. to Renew Registration as a
Utility Valuation Expert at Docket No. A-2016-2566251

Note: Please restate the data request prior to providing a response. In addition, provide the name and title of the person(s) providing the response and/or information for each data request.

A-1. Please submit a revised application correcting the following filing deficiencies:

- a. The response to Question 1 identifies the legal name of the Applicant is "AUS Consultants". However, attachment 1 identifies the Applicant's legal name is "AUS Consultants, Inc."
- b. The response to Question 4 indicates the point of contact for AUS Consultants is "Davis Sheffer". However, attachments to the application identify a "David Sheffer".
- c. The filing does not include Page 5 of the application.

RESPONSE:

- a. **In response to Page 1, Question 1 of the attached revised renewal Application, the legal name of the Applicant has been identified as "AUS Consultants, Inc."**
- b. **In response to Page 2, Question 4 of the attached revised renewal Application, the point of contact has been identified as "David Sheffer".**
- c. **In response to Page 5 of the attached revised renewal Application, Page 5 has been executed, dated and included.**

A-2. The renewal application included a curriculum vitae for a Michael J. Diedrich, P.E., CDP, ASA. Please provide documentation in a revised application evidencing Michael J. Diedrich holds these licenses and technical certifications indicated.

RESPONSE:

The renewal application included a curriculum vitae for a Michael J. Diedrich, P.E., CDP, ASA, in lieu of providing the referenced documentation, Mr. Diedrich has been removed from the attached revised renewal Application.

A-3. Section G of the instructions accompanying the Application for Registration as a Utility Valuation Expert requires applicants to provide a complete copy of the Application including exhibits to the Office of Consumer Advocate and the Office of Small Business Advocate (Statutory Advocates). The Application does not indicate that a complete copy was provided to the Statutory Advocates. Please provide verification that a complete copy of the revised renewal Application was sent to the Statutory Advocates.

Bureau of Technical Utility Services Water/Wastewater Division

Data Request Set 1

Application of AUS Consultants, Inc. to Renew Registration as a
Utility Valuation Expert at Docket No. A-2016-2566251

RESPONSE:

In response to providing a complete copy of the Application including exhibits to the Office of Consumer Advocate and the Office of Small Business Advocate (Statutory Advocates). Please find attached copies of the original Application, Affidavit and check (date stamped 12-28-2017) and the revised Application and Affidavit (date stamped 1-23-2018) from both the Office of Consumer Advocate and the Office of Small Business Advocate.

PROVIDED BY: David Sheffer



BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

In Re: Application of _AUS Consultants, Inc._ to register as an Utility Valuation Expert (UVE) in the Commonwealth of Pennsylvania

Docket No: M-2016-2543193

Check one:

Fee: X \$125 fee enclosed

Initial Application

X Renewal Application

1. Legal Name of the Applicant: _AUS Consultants, Inc._

Attach proof of compliance with appropriate Pennsylvania Department of State filing requirements.²

2. Trade or Commercial or Fictitious Names Used by Applicant (d/b/a):

Check this box if the Applicant will not be using a fictitious name.

Check this box if the Applicant will be using a fictitious name or using a d/b/a (doing business as) another name and identify names below. If more space is needed, list names on the back of this page or append list to completed application.

RECEIVED

JAN 22 2018

Office of Small Business Advocate

3. Applicant Address:

Street Name & Number: 2405 Park Drive, Suite 203
Post Office Box:
City: Harrisburg
State: PA
Zip Code: 17110
Telephone Number: 717-763-9890
Email Address: Dsheffer@ausconsultants.com
Website Address: www.ausinc.com

RECEIVED

JAN 23 2018

OFFICE OF CONSUMER ADVOCATE

Attachment #1

² A copy of any document from the Pennsylvania Department of State (Pa. Dept. of State) documenting the Applicant's Pa. Dept. of State entity number is adequate. However, the document must indicate that the Applicant's Pa. Dept. of State registration is "active." Certified copies of Pa. Dept. of State documents are not required.

Application for Registration as a Utility Valuation Expert (UVE)

APPENDIX A RECEIVED 2017 DEC 28 PM 12:24 PA PUC SECRETARY'S BUREAU FRONT DESK

AFFIDAVIT

[Commonwealth/State] of Pennsylvania County of Dauphin

David Sheffer, Affiant, being duly sworn or affirmed according to law, deposes and says that:

Affiant is the Principal (Office of Affiant) of AUS Consultants, Inc. (Name of Applicant);

That Affiant is authorized to and does make this affidavit for said Applicant;

That AUS Consultants, Inc., the Applicant herein, has the burden of producing information and supporting documentation demonstrating technical fitness to be registered as a Utility Valuation Expert pursuant to Section 1329. 66 Pa. C.S. § 1329.

That AUS Consultants, Inc., the Applicant herein, acknowledges that it has answered the questions on the application correctly, truthfully and completely and has provided supporting documentation as required.

That AUS Consultants, Inc., the Applicant herein, acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That AUS Consultants, Inc., the Applicant herein, verifies that neither the UVE nor the UVE's firm, including affiliates, have a conflict of interest that would compromise, or have the appearance of compromising, the UVE's professional judgement and ability to perform the valuation in an unbiased manner.

That the facts above set forth are true and correct to the best of Affiant's knowledge, information, and belief, and that Affiant expects said Applicant to be able to prove the same at hearing.

RECEIVED DEC 28 2017

RECEIVED DEC 28 2017 OFFICE OF CONSUMER ADVOCATE

OFFICE OF CONSUMER ADVOCATE

Signature of Affiant

Sworn and subscribed before me this 27th day of Dec., 2017.

Tamica C. Goodson Signature of official administering oath

COMMONWEALTH OF PENNSYLVANIA NOTARIAL SEAL TAMICA C. GOODSON, Notary Public Susquehanna Twp., Dauphin County My Commission Expires October 6, 2018

My commission expires: 10/06/2018



BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

RECEIVED

DEC 28 2017

OFFICE OF CONSUMER ADVOCATE

In Re: Application of _AUS Consultants_ to register as an Utility Valuation Expert (UVE) in the Commonwealth of Pennsylvania

Docket No: M-2016-2543193

Check one:

Fee: X \$125 fee enclosed

Initial Application

X Renewal Application

1. Legal Name of the Applicant: _AUS Consultants_

Attach proof of compliance with appropriate Pennsylvania Department of State requirements.²

2. Trade or Commercial or Fictitious Names Used by Applicant (d/b/a):

Check this box if the Applicant will not be using a fictitious name.

Check this box if the Applicant will be using a fictitious name or using a d/b/a (doing business as) another name and identify names below. If more space is needed, list names the back of this page or append list to completed application.

3. Applicant Address:

Street Name & Number: 2405 Park Drive, Suite 203
Post Office Box:
City: Harrisburg
State: PA
Zip Code: 17110
Telephone Number: 717-763-9890
Email Address: Dsheffer @ausconsultants.com
Website Address: www.ausinc.com

RECEIVED 2017 DEC 28 PM 12:24 PA PUC SECRETARY'S BUREAU FRONTSIDE OFFICE OF CONSUMER ADVOCATE RECEIVED DEC 28 2017

2 A copy of any document from the Pennsylvania Department of State (Pa. Dept. of State) documenting the Applicant's Pa. Dept. of State entity number is adequate. However, the document must indicate that the Applicant's Pa. Dept. of State registration is "active." Certified copies of Pa. Dept. of State documents are not required.



BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

In Re: Application of AUS Consultants, Inc. to register as an Utility Valuation Expert (UVE) in the Commonwealth of Pennsylvania

Docket No: M-2016-2543193

Check one:

Fee: X \$125 fee enclosed

Initial Application

X Renewal Application

1. Legal Name of the Applicant: AUS Consultants, Inc.

Attach proof of compliance with appropriate Pennsylvania Department of State filing requirements.

2. Trade or Commercial or Fictitious Names Used by Applicant (d/b/a):

X Check this box if the Applicant will not be using a fictitious name.

Check this box if the Applicant will be using a fictitious name or using a d/b/a (doing business as) another name and identify names below. If more space is needed, list names on the back of this page or append list to completed application.

3. Applicant Address:

Street Name & Number: 2405 Park Drive, Suite 203
Post Office Box:
City: Harrisburg
State: PA
Zip Code: 17110
Telephone Number: 717-763-9890
Email Address: Dsheffer@ausconsultants.com
Website Address: www.ausinc.com

RECEIVED 2018 FEB -2 PM 1:58 PA PUC SECRETARY'S BUREAU FRONT DESK

Attachment #1

A copy of any document from the Pennsylvania Department of State (Pa. Dept. of State) documenting the Applicant's Pa. Dept. of State entity number is adequate. However, the document must indicate that the Applicant's Pa. Dept. of State registration is "active." Certified copies of Pa. Dept. of State documents are not required.

Application for Registration as a Utility Valuation Expert (UVE)

4. Point of Contact for this Application:³Name: David ShefferTitle: Principal*Complete the following if different than above:*

Street Name & Number: _____

Post Office Box: _____

City: _____

State: _____

Zip code: _____

Telephone Number: _____

Email address: _____

5. Parent & Subsidiary Companies & Affiliates:

- Parent Name and Contact Information.* Provide name and contact information for parent company. Check box if any parent company is currently doing business in Pennsylvania as a UVE or WWDC. If none, do not check the box; insert NONE below.

Parent Name: AUS, Inc.Street Name & Number: 155 Gaither Drive, Suite A

Post Office Box: _____

City: Mount LaurelState: NJZip Code: 08054Telephone Number: 856-234-9200Email Address: hamme@ausinc.comWebsite Address: www.ausinc.com

- Subsidiaries and Contact Information.* Provide name and contact information for all subsidiary companies. Check this box if any subsidiary is currently doing business in Pennsylvania as a UVE or WWDC. If none, do not check the box; insert NONE below.

Subsidiary Name: AUS Marketing Research System, Inc.Street Name & Number: 53 West Baltimore Pike

Post Office Box: _____

City: MediaState: PAZip Code: 19063Telephone Number: 484-840-4300Email Address: hamme@ausinc.comWebsite Address: www.SSRs.com

(Copy above information and paste on separate sheet as necessary for additional Subsidiaries)

³ PLEASE NOTE: Upon approval of this application, this Contact Information will be listed on the Commission's UVE Registry.

Application for Registration as a Utility Valuation Expert (UVE)

Affiliates and Contact Information. Provide name and contact information for all affiliated companies. Check box if any affiliate is currently doing business in Pennsylvania as a UVE or WWDC. If none, do not check the box; insert NONE below.

Affiliate Name: None
 Street Name & Number: _____
 Post Office Box: _____
 City: _____
 State: _____
 Zip Code: _____
 Telephone Number: _____
 Email Address: _____

(Copy above information and paste on separate sheet as necessary for additional Affiliates)

6. **Contracts & Business Partnerships:**

Check box if Applicant intends to or has operated under contract with or has partnered with an WWDC within the past five (5) years. Otherwise, insert NONE here: None.

Check box if Applicant intends to or has operated under contract, subcontracted or partnered with a UVE within the past five (5) years. Otherwise, insert NONE here: None.

If applicable, provide name(s) of WWDC(s) and UVE(s) and contact information for each and briefly describe the nature of business services associated with each contract and/or partnership. Attach additional pages as needed.

7. Identify principal officers (i.e., owners, executives, partners and/or directors, etc.), as appropriate for Applicant's organizational structure. Provide an organizational chart and the names, titles, business addresses and telephone numbers for each office.

See Attachments #2, #3.& #4 for Directors & Officers of AUS, Inc.
 AUS Consultants and AUS Marketing Research System, Inc.

8. Attach to this Application a brief biography or single page professional resume for all principal officers and management directly responsible for Applicant's operations.

See Attachment "A"

9. Provide Applicant's Federal Employer Identification No. (EIN): _____

Application for Registration as a Utility Valuation Expert (UVE)


10. Registered Agent

- a. If the Applicant does not maintain a principal office in the Commonwealth, the Applicant is required by the Pennsylvania Department of State to designate an approved Registered Agent as its representative in the Commonwealth. Check one of the boxes below, as applicable:

YES, the Applicant has registered its business with the Pennsylvania Department of State. Following is the Name and Contact information for the Applicant's Registered Agent approved by the Pennsylvania Department of State.

Registered Agent:	Jerry J. Reilly
Street Name & Number:	155 Gaither Drive, Suite A
Post Office Box:	
City:	Mount Laurel
State:	NJ
Zip Code:	08054
Main Telephone Number:	856-234-9200
Email Address:	hamme@ausinc.com
Website Address:	www.ausinc.com

NO, the Applicant has not registered its business with the Pennsylvania Department of State.

 **STOP**—To avoid denial of your application and forfeiture of your application fee, contact the Pennsylvania Department of State Bureau of Corporations to register as a business entity within the Commonwealth PRIOR TO completion and filing of this application with the Pennsylvania Public Utility Commission.

- b. Applicant has registered its business with the Pennsylvania Department of State. Please check appropriate registration type for Applicant as designated with the Department.

- Sole proprietor
- Domestic corporation (none)
- Domestic general partnership
- Domestic limited liability company
- Domestic limited liability partnership
- Foreign corporation
- Foreign general or limited partnership
- Foreign limited liability company
- Foreign limited liability general partnership
- Foreign limited liability limited partnership

Attachment #5

Application for Registration as a Utility Valuation Expert (UVE)

c. If Applicant is not domiciled in the Commonwealth of Pennsylvania and is registered as a "foreign" entity as identified above, please identify all other states where applicant is registered and name the appropriate state department(s):

See Attachment #5

11. Technical Fitness

Attach to this Application a copy of any certification(s) or similar documentation that would demonstrate the technical fitness of Applicant, such as professional licenses, technical certifications, and/or names of current or past clients with a description of dates and types of services provided by Applicant.

See Attachment "B"

12. Falsification

The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application, or if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

Signature of Principal Official: 

Official's Name & Title : David Sheffer - Principal
(Please Print)

Date: 2-2-2018

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF STATE

08/11/2016

TO ALL WHOM THESE PRESENTS SHALL COME, GREETING:

I DO HEREBY CERTIFY THAT,

AUS CONSULTANTS, INC.

is duly registered to do business under the laws of the Commonwealth of Pennsylvania and remains a registered Foreign Business Corporation so far as the records of this office show, as of the date herein.

I DO FURTHER CERTIFY THAT this Certificate of Registration shall not imply that all fees, taxes and penalties owed to the Commonwealth of Pennsylvania are paid.



IN TESTIMONY WHEREOF, I have hereunto set my hand and caused the Seal of the Secretary's Office to be affixed, the day and year above written

Pedro A. Contes

Secretary of the Commonwealth

Certification Number: TSC160811141345-1

Verify this certificate online at <http://www.corporations.pa.gov/orders/verify.aspx>



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[Contact Corporations \(http://www.dos.pa.gov/BusinessCharities/Pages/default.aspx\)](#) [Login \(./Account/ValidateUser\)](#)
[Register \(./Account/Register_account\)](#)

[Search entity](#) / [Select entity](#) / [Order documents](#)

Order Business Documents

Date: 12/28/2017

Business Name History

Name	Name Type
AUS CONSULTANTS, INC.	Current Name

Business Entity Details

Officers

Name	AUS CONSULTANTS, INC.
Entity Number	21131
Entity Type	Business Corporation
Status	Active
Citizenship	Foreign
Entity Creation Date	03/16/1970
Effective Date	03/16/1970
State Of Inc	NJ
Address	% W RUSSEL HOERNER STATE BLDG. 12TH FLR 500 N 3RD ST HARRISBURG PA 17101-0 Dauphin

DIRECTORS & OFFICERS
PARENT COMPANY

COMPANY:	AUS, Inc.	ADDRESS	PHONE NUMBER
State of Incorporation:	New Jersey		
Maximum No. of Directors	9		
Minimum No. of Directors	1		
DIRECTORS:	<i>John L. Ringwood</i> ^{1,2}	155 GAITHER DRIVE SUITE A MOUNT LAUREL, NJ 08054	856-234-9200
	Victoria R. Brennan	155 GAITHER DRIVE SUITE A MOUNT LAUREL, NJ 08054	856-234-9200
	Jennifer R. Baxter	155 GAITHER DRIVE SUITE A MOUNT LAUREL, NJ 08054	856-234-9200
	Gordon V. Smith ^{2,3}	155 GAITHER DRIVE SUITE A MOUNT LAUREL, NJ 08054	856-234-9200
OFFICERS:	President & Chief Executive Officer	John L. Ringwood	155 GAITHER DRIVE SUITE A MOUNT LAUREL, NJ 08054 856-234-9200
	Chief Acctg. Officer	Patricia A. Valentine	155 GAITHER DRIVE SUITE A MOUNT LAUREL, NJ 08054 856-234-9200
	Vice President - H/R, P/R & AP	Barbara C. Marshall	155 GAITHER DRIVE SUITE A MOUNT LAUREL, NJ 08054 856-234-9200
	Treasurer	John L. Ringwood	155 GAITHER DRIVE SUITE A MOUNT LAUREL, NJ 08054 856-234-9200
	Assistant Treasurer	Hildegard E. Amme	155 GAITHER DRIVE SUITE A MOUNT LAUREL, NJ 08054 856-234-9200
	Secretary	John L. Ringwood	155 GAITHER DRIVE SUITE A MOUNT LAUREL, NJ 08054 856-234-9200
	Assistant Secretary	Hildegard E. Amme	155 GAITHER DRIVE SUITE A MOUNT LAUREL, NJ 08054 856-234-9200

AUS CONSULTANTS, INC.
DIRECTORS & OFFICERS

Attachment # 3

COMPANY:

State of Incorporation:
 Maximum No. of Directors
 Minimum No. of Directors

(Subsidiary of AUS, Inc.)
 New Jersey
 9
 1

ADDRESS

PHONE NUMBER

DIRECTORS:

John L. Ringwood ^{1,2}	155 GAITHER DRIVE SUITE A MOUNT LAUREL, NJ 08054	856-234-9200
Gordon V. Smith ^{2,4}	155 GAITHER DRIVE SUITE A MOUNT LAUREL, NJ 08054	856-234-9200
Victoria R. Brennan	155 GAITHER DRIVE SUITE A MOUNT LAUREL, NJ 08054	856-234-9200
Jennifer R. Baxter	155 GAITHER DRIVE SUITE A MOUNT LAUREL, NJ 08054	856-234-9200

OFFICERS

**PROFESSIONAL TITLE
 AND PRACTICE**

President & CEO		Gordon V. Smith	155 GAITHER DRIVE SUITE A MOUNT LAUREL, NJ 08054	856-234-9200
Executive Vice President	Principal-D&V	Jerome C. Weinert ³	8555 WEST FOREST HOME AVE. STE 201, GREENFIELD, WI 53228	414-529-5755
Executive Vice President	Principal-IPM	David G. Weiler ³	155 GAITHER DRIVE SUITE A MOUNT LAUREL, NJ 08054	856-234-9200
Vice President	Principal-D&V	Earl M. Robinson	792 OLD HIGHWAY 66, SUITE 200, TIJERAS, NM 87059	717-763-9890
Vice President	Principal-D&V	Michael J. Diedrich	8555 WEST FOREST HOME AVE. STE 201, GREENFIELD, WI 53228	414-529-5755
Assistant Vice President	Sr Associate-D&V	David Sheffer	275 GRANDVIEW AVE. SUITE 100, CAMP HILL, PA 17011	717-763-9890
Assistant Vice President	Associate-IPM	Marybeth McAndrews	155 GAITHER DRIVE SUITE A MOUNT LAUREL, NJ 08054	856-234-9200
	Associate-IPM	Robert Barker	155 GAITHER DRIVE SUITE A MOUNT LAUREL, NJ 08054	856-234-9200
	Associate-D&V	Elizabeth Weinert	8555 WEST FOREST HOME AVE. STE 201, GREENFIELD, WI 53228	414-529-5755
Vice President & CFO		John L. Ringwood ³	155 GAITHER DRIVE SUITE A MOUNT LAUREL, NJ 08054	856-234-9200
Chief Acctg. Officer		Patricia A. Valentine	155 GAITHER DRIVE SUITE A MOUNT LAUREL, NJ 08054	856-234-9200
Vice President - H/R, P/R & AP		Barbara C. Marshall	155 GAITHER DRIVE SUITE A MOUNT LAUREL, NJ 08054	856-234-9200
Treasurer		John L. Ringwood	155 GAITHER DRIVE SUITE A MOUNT LAUREL, NJ 08054	856-234-9200
Assistant Treasurer		Hildegard E. Amme	155 GAITHER DRIVE SUITE A MOUNT LAUREL, NJ 08054	856-234-9200
Secretary		John L. Ringwood	155 GAITHER DRIVE SUITE A MOUNT LAUREL, NJ 08054	856-234-9200
Assistant Secretary		Hildegard E. Amme	155 GAITHER DRIVE SUITE A MOUNT LAUREL, NJ 08054	856-234-9200

NOTES:

- ¹Chairman of the Board
- ²Member of Board of Directors Executive Committee
- ³Member of AUS Consultants Management Executive Committee
- ⁴Chairman Emeritus

Date: June 26, 2015

AUS MARKETING RESEARCH SYSTEMS, INC
DIRECTORS & OFFICERS

Attachment # 4

COMPANY:

State of Incorporation:
Maximum No. of Directors
 Minimum No. of Directors

Pennsylvania
 6
 1

ADDRESS

PHONE NUMBER

DIRECTORS:

John L. Ringwood^{1,2}
 Victoria R. Brennan
 Jennifer R. Baxter
 Gordon V. Smith^{2,3}

155 GAITHER DRIVE SUITE A MOUNT LAUREL, NJ 08054 856-234-9200
 155 GAITHER DRIVE SUITE A MOUNT LAUREL, NJ 08054 856-234-9200
 155 GAITHER DRIVE SUITE A MOUNT LAUREL, NJ 08054 856-234-9200
 155 GAITHER DRIVE SUITE A MOUNT LAUREL, NJ 08054 856-234-9200

OFFICERS:

President & Chief Executive Officer John L. Ringwood
 Chief Acctg. Officer Patricia A. Valentine
 Vice President - H/R, P/R & AP Barbara C. Marshall
 Treasurer John L. Ringwood
 Assistant Treasurer Hildegard E. Amme
 Secretary John L. Ringwood
 Assistant Secretary Hildegard E. Amme

155 GAITHER DRIVE SUITE A MOUNT LAUREL, NJ 08054 856-234-9200
 155 GAITHER DRIVE SUITE A MOUNT LAUREL, NJ 08054 856-234-9200
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 155 GAITHER DRIVE SUITE A MOUNT LAUREL, NJ 08054 856-234-9200
 155 GAITHER DRIVE SUITE A MOUNT LAUREL, NJ 08054 856-234-9200
 155 GAITHER DRIVE SUITE A MOUNT LAUREL, NJ 08054 856-234-9200

NOTES:

¹Chairman of the Board

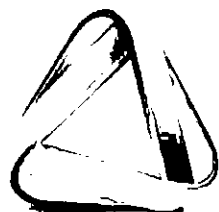
²Member of Board of Directors Executive Committee

³Chairman Emeritus

Date: June 26, 2015

ALL STATES AUS CONSULTANTS, INC. ARE REGISTERED TO DO BUSINESS

STATE	CORPORATION	CORPORATE ID IN STATE	DEPT OF STATE	DEPT OF REVENUE	CORPORATON
NEW JERSEY	AUS CONSULTANTS, INC	5445080000	X	X	DOMESTIC
ARIZONA	AUS CONSULTANTS, INC	F-17725948	X	X	FOREIGN
FLORIDA	AUS CONSULTANTS, INC	F9600002356	X	X	FOREIGN
NEW MEXICO	AUS CONSULTANTS, INC	2467611	X	X	FOREIGN
PENNSYLVANIA	AUS CONSULTANTS, INC	21131	X	X	FOREIGN
WISCONSIN	AUS CONSULTANTS, INC	A031036	X	X	FOREIGN



aUS
consultants

Resumes

AUS CONSULTANTSCurriculum Vitae (CV) of Jerome C. Weinert, P.E., CDP, ASA

AUS Consultants – Since 1987 to Present, Mr. Weinert has been with AUS Consultants responsible for valuations for utility and technical properties for sale, allocation of purchase price, property tax, and condemnation also intangible asset appraisals for various clients. Also Mr. Weinert performed utility industry capital recovery studies and service life determination. Currently, Mr. Weinert is a Principal and Director at AUS Consultants. Prior to joining AUS in 1987, Mr. Weinert was employed by American Appraisal Associates, Inc. for 16 years. Mr. Weinert served as a Supervising Appraiser for Regulated Industries Services in the Engineering Economics Group. He joined American Appraisal in 1973 as an Assistant Appraiser, advanced to Staff Appraiser in 1975, and to Senior Appraiser in 1977.

Mr. Weinert is a member of following professional organizations:

American Society of Appraisers

Accredited Senior Appraiser (ASA) in Machinery and Technical Specialties (previously Public Utilities) since 1978

Professional Engineer in the State of Wisconsin [E-15552] (registered since 1976)

Society of Depreciation Professionals

Founding Member (1987) and Treasurer (1993), Vice President (1994), President (1995) and a Certification and Re-certification Chairman (1992-2001) and a Certified Depreciation Professional (CDP) since 2001

During his professional career related to valuations and depreciation matters, Mr. Weinert has testified before various courts and state public service commissions on these subjects. Mr. Weinert has presented expert testimony on valuation matters related to ad valorem taxes and eminent domain issues. Mr. Weinert has presented expert testimony in the Massachusetts Superior Court, the Court of Common Pleas, Fayette County, Ohio, the New Hampshire Public Utilities Commission, the Twentieth Judicial Court (deposition only) in Charlotte County, Florida, the Nineteenth Judicial Circuit Court in St. Lucie County, Florida (deposition only). In regards to ad valorem taxation, Mr. Weinert has presented study results to the New York State Board of Equalization and Assessment pertaining to useful life and net salvage values for all types of utility property subject to the Board's mass appraisal model. He has appeared before appraisal board in Florida, the Twelfth Judicial Circuit Sarasota County, Florida, and Appellate Tax Board in Massachusetts; and tax courts in the states of Arizona, Montana and Utah.

Mr. Weinert has appeared before regulatory bodies in Alaska, Arkansas, Illinois, Indiana, Iowa, Missouri, Nevada, Nebraska, North Carolina, Ohio, Oregon, and South Carolina in support of rate-base valuation determination and capital recovery. He has presented testimony on depreciation matters before the Canadian Radio-Television and Telecommunications Commission (CRTC) and the United States Federal Energy Regulatory Commission (FERC). He has submitted study results to the State Commissions of Alabama, Alaska, Arkansas, Idaho, Illinois, Indiana, Iowa, Kentucky, Michigan, Minnesota, Mississippi, Missouri, Montana, Nebraska, North Carolina, Oregon, Pennsylvania, South Carolina, Washington, and Wisconsin, and the Federal Communications Commission.

EDUCATION

Marquette University

Master of Business Administration (1988)

Milwaukee School of Engineering

Bachelor of Science in Mechanical Engineering (1972)

AUS CONSULTANTSCurriculum Vitae (CV) of David G. Weiler

Valuation Services Group of AUS Consultants - Mr. Weiler, Vice President, joined the Valuation Services Group in 1984. Since that time, he has concentrated on all aspects of modern financial valuation. He has provided service to industrial, financial and utility clients in many diverse assignments.

Mr. Weiler has concentrated in the valuation of business enterprises and closely-held common stock. The business enterprise assignments include valuation opinions for possible sale, merger and condemnation. Common stock valuation assignments relate to estate tax matters, Employee Stock Ownership Plans, fairness opinions and sales of minority interest holdings.

The identification and valuation of intangible property in purchase price allocations for Federal Income Tax purposes, for collateral consideration in commercial lending, for liquidation purposes and to measure equity contributions to joint ventures are also a part of Mr. Weiler's experience. Some intangible property valued include patents, trademarks, core deposits, copyrights, proprietary know-how, software, license agreements and customer bases. He has also performed statistical studies on the economic remaining life of this intangible property.

Managing Director of RoyaltySource7 Intellectual Property Database. Mr. Weiler established the RoyaltySource Intellectual Property Database in June 1997. Since that time he has continuously investigated and developed the searchable database of technology and trademark sale and licensing transactions. The database is accessed worldwide by major accounting firms, law firms, and consultants in evaluating licensing and sale transactions involving intellectual property.

Utility Services Group of AUS Consultants. Mr. Weiler performed extensive financial analyses relating to industrial and public utility companies utilizing reference material, Standard & Poor's Compustat Services and other financial data bases and software. He was responsible for cost of capital studies as well as related testimony in public utility rate making proceedings for utilities providing water, natural gas, electric and telephone service.

PUBLICATIONS

ABI Newsletter - A publication of the American Bankruptcy Institute, April 1992. Article on "Bankruptcy and Intangible Property".

SPEECHES

Internal Revenue Service, Continuing Education Program, Washington D.C., June 1992.
Subject: Valuation of Intellectual Property.

EDUCATION

Drexel University - Philadelphia, Pennsylvania
Bachelor of Science Degree in Finance, 1978

AUS CONSULTANTS

Curriculum Vitae (CV) of David A. Sheffer

EXPERIENCE

As a Principal Mr. Sheffer has over 35 years of utility consulting experience. Mr. Sheffer has performed numerous revenue requirement studies, original cost studies, valuation studies and replacement cost new less studies and depreciation studies. His responsibilities include coordinating the collection, review, and formulation of the data utilized in depreciation studies, property inventories/inspections, rate filings, custom indexes, regulatory reports, replacement cost and depreciated replacement cost studies for regulated utilities. In addition, he has extensive capabilities and knowledge related to utility accounting, development of measures of value, property inventories, custom indexes and rate base element in electric, gas, telecommunication, water and wastewater industries.

EDUCATION

Harrisburg Area Community College; Associate of Arts Degree in Accounting

Eastern Utility Rate Seminar

Depreciation Programs, Inc. Seminars on Capital Recovery; Programs I and II

Appraisal of Communication, Energy & Transportation Properties for Ad Valorem Taxation,
Wichita State University, Wichita, Kansas

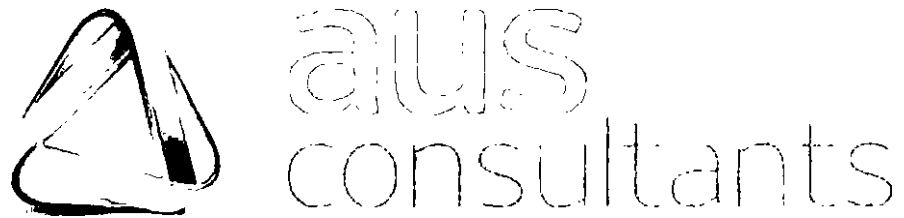
PROFESSIONAL AFFILIATIONS

American Gas Association

Society of Depreciation Professionals

National Association of Water Companies

Pennsylvania Municipal Authorities Association



**Certifications, Professional Licenses, clients,
etc.**

The State of Wisconsin
Department of Safety and Professional Services
 EXAMINING BOARD OF ARCHITECTS, LANDSCAPE ARCHITECTS, PROFESSIONAL ENGINEERS,
 DESIGNERS, AND PROFESSIONAL LAND SURVEYORS

Hereby certifies that
JEROME C WEINERT
was granted a certificate of registration as a
PROFESSIONAL ENGINEER
in the State of Wisconsin in accordance with Wisconsin Law
on the 2nd day of April in the year 1976.

The authority granted herein must be renewed each biennium by the granting authority.

In witness thereof, the State of Wisconsin
Examining Board of Architects, Landscape Architects, Professional Engineers, Designers, and Professional Land Surveyors
has caused this certificate to be issued under
the seal of the Department of Safety and Professional Services

1 of 4



[Signature]
 ASSES. SECRETARY

[Signature]
 Chairman

[Signature]
 Secretary

This certificate was printed on the 15th day of July in the year 2016

Attachment "B"



The American Society of Appraisers

Attests that

Jerome C. Weinert

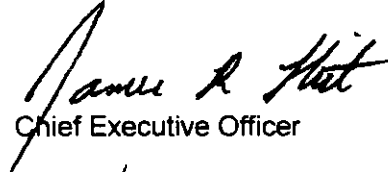
Accredited Member

has successfully participated in the
Society's mandatory Recreditation Program


and has complied with its continuing education requirements, as set forth in the organization's Constitution, Bylaws and Administrative Rules. Therefore, formal reaccreditation has been granted by the International Board of Governors and will remain valid through

January 06, 2022




Chief Executive Officer


Sr. Manager of Accreditation
and Recreditation Services

 American Society of Appraisers
Member ID: 5870
Member Since: 08/17/1980
Expires: 07/31/2018

Membership Card

Jerome C. Weinert, AM
Accredited Member

Signature: _____



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Last updated: 8 minutes ago
Jerome C. Weinert CDP
 Professional
 weinertj@auswest.net

Professional Information

[Edit]

AUS Consultants **414-529-5755 (Phone)**
 Principal & Director
 8555 West Forest Home Avenue
 Suite 201
 Greenfield Wisconsin
 53228 United States
 [Map]

Certification Date: 1/1/2013

Certification Date: 1/1/2018
 Renewal:

CDP Certificate Number: 122

Personal Information

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Additional Information

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