

3/14/2018

Public Utility Commission of Pennsylvania

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

RECEIVED

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Dear Sir/Madam,

My name is Hamed Babai, CEO and founder of Power Kiosk. We are the previous holder of electricity broker license A-2014-2435574 -

Background:

By Order entered November 13, 2014 at this docket, the Commission issued to Power Kiosk an EGS license as a broker/marketer. This Order also approved Power Kiosk's request for reduced bonding amount of \$10,000. By Tentative Order entered January 26, 2017 (Tentative Order), the Commission tentatively cancelled Power Kiosk's License as an EGS as a broker/marketer for failure to comply with 52 Pa. Code § 54.40(a) and (d) which require EGS licensees to provide a bond or other financial security as required by Section 2809 of the Public Utility Code, 66 Pa. C.S. §2809.

Power Kiosk filed an appeal in April of 2018 with reasons that it has tried numerous times to communicate and fix the issue. Unfortunately the appeal was rejected after almost a year delay which has brought us to a situation where:

- 1- Power Kiosk has lost 1 year of business time
- 2- Power Kiosk is forced to spend \$4000 to reapply for license.

Considering these business damages, which we agree is partially our fault and will not be repeated any longer, we would like to stop further delay and have our application expedited and reviewed at the first upcoming meeting of PUC.

Please let us know if this can be facilitated.

All the best,

Hamed Babai CEO 301-412-5780

cc: Hamed Babai, CEO



COMMONWEALTH OF PENNSYLVANIA PENNSYLVANIA PUBLIC UTILITY COMMISSION 400 NORTH STREET, HARRISBURG, PA 17120

Version Revised

06/14/17

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of NRG Kiosk d/b/a Power Kiosk for approval to offer, render, furnish, or supply electricity or electric generation services as a(n) Energy *Broker / Consultant*_to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

a. IDENTITY OF THE APPLICANT: Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

Power Kiosk LLC 350 N LaSalle Street 9th Floor Chicago IL 60654

b. PENNSYLVANIA ADDRESS / REGISTERED AGENT: If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

InCorp Services Inc. 7208 Red Top Road Hummelstown, PA 17036

c. **REGULATORY CONTACT**: Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Hamed Babai, 350 N LaSalle Street 9th Floor Chicago IL 60654

d. ATTORNEY: Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Applicant is not using an attorney



PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

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e. CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: (Required of ALL Applicants) Provide the name, title, address, telephone number, FAX number, and e-mail <u>OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED)</u> responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

350 N LaSalle Street 9th Floor Chicago IL 60654

855-475-4675 info@powerkiosk.com

2. BUSINESS ENTITY FILINGS AND REGISTRATION

a. **FICTITIOUS NAME:** (Select appropriate statement and provide supporting documentation as listed.)

b. BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:

(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)

The Applicant is a: foreign limited liability company (15 Pa. C.S. §8981)

- Certificate of Good Standing Attached (Less than 90 days old)
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.

IL

- Give name and address of officers.

Hamed Babai CEO 350 N LaSalle Street 9th Floor Chicago IL 60654

3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

a. AFFILIATES: Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

Applicant has no affiliate that does business or applying for license in Pennsylvania

b. PREDECESSORS: Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

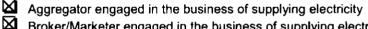
Applicant has no predecessors that has operated within the preceding five (5) years

4. **OPERATIONS**

APPLICANT'S PRESENT OPERATIONS: (select and complete the appropriate statement) a.

The Applicant is not presently doing business in Pernsylvania.

b. APPLICANT'S PROPOSED OPERATIONS: The Applicant proposes to operate as a (may check multiple):



- Broker/Marketer engaged in the business of supplying electricity services

Check here to verify that your organization will not be taking title to the electricity nor will you be making payments for customers.

c. PROPOSED SERVICES: Describe in detail the electric services or the electric generation services which the Applicant proposes to offer.

Consulting and brokerage for energy customers across Pennsylvania through a proprietary software called Power Kiosk.

d. PROPOSED SERVICE AREA: Check the box of each Electric Distribution Company for which the Applicant proposes to provide service.

Citizens' Electric Duquesne Light Met-Ed PECO



Penelec

🛛 West Penn

Entire Commonwealth of PA

- e. CUSTOMERS: Applicant proposes to provide services to:
 - Residential Customers
 Small Commercial Customers (25 kW and Under)
 Residential and Small Commercial as Mixed Meter <u>ONLY</u> (CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE)
 Large Commercial Customers - (Over 25 kW)
 Industrial Customers
 Governmental Customers
 All of above (Except Mixed Meter)
 Other (Describe):
- f. **START DATE:** Provide the approximate date the Applicant proposes to <u>actively market</u> within the Commonwealth.

As soon as license gets issued.

5. <u>COMPLIANCE</u>

a. CRIMINAL/CIVIL PROCEEDINGS: State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

None.

b. SUMMARY: If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

c. CUSTOMER/REGULATORY/PROSECUTORY ACTIONS: Identify all formal or escalated actions or complaints, in the Commonwealth of Pennsylvania or any state, filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. <u>Applicant should also include if it had a Pennsylvania PUC EGS or NGS license previously cancelled by the Commission.</u> If the Applicant has no actions or complaints to list, explicitly state such.

A-2014-2435574 was cancelled by PUC. Broker appealed the decision and PUC did not answer to the apeal for one ful year

PUC finally answered to the appeal and rejected it. Broker has sent numerous complianint to Rosemary Chiavetta office for delays And mistakes of PUC attorneys. There were errors in the decision letters sent to Power Kiosk in february of 2018.

d. SUMMARY: If applicable; provide a statement as to the resolution or present status of any actions listed above.

PUC is non-responsive. They do not care about companies that apply for license. Broker was forced to apply again.

6. PROOF OF SERVICE

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator. (Example Certificate of Service is attached at Appendix C)

a. STATUTORY AGENCIES: Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Bureau of Investigation & Enforcement Pennsylvania Public Utility Commission Commonwealth Keystone Building 400 North Street, 2 West Harrisburg, PA 17120

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120 Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946 Office of the Small Business Advocate Commerce Building, Suite 202 300 North Second Street Harrisburg, PA 17101

b. EDCs: Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Electric Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each EDC is as follows.

Pike County Light & Power Company: Vice President – Energy Supply Corning Natural Gas Holding Corporation 330 West William Street Corning, NY 14830

West Penn:

Legal Department West Penn Power d/b/a Allegheny Power 800 Cabin Hill Drive Greensburg, PA 15601-1689

Duquesne Light:

Regulatory Affairs Duquesne Light Company 411 Seventh Street, MD 16-4 Pittsburgh, PA 15219

PECO:

Manager Energy Acquisition PECO Energy Company 2301 Market Street Philadelphia, PA 19101-8699

PPL: Office of General Counsel Attn: Kimberly A. Klock PPL Two North Ninth Street (GENTW3) Allentown, PA 18101-1179 Met-Ed, Penelec, and Penn Power: Legal Department First Energy 2800 Pottsville Pike Reading PA, 19612

Citizens' Electric Company:

Citizens' Electric Company Attn: EGS Coordination 1775 Industrial Boulevard Lewisburg, PA 17837 UGI: UGI Utilities, Inc. Attn: Rates Dept. – Choice Coordinator 2525 N. 12th Street, Suite 360 Post Office Box 12677 Reading, Pa 19612-2677

Wellsboro Electric Company:

Wellsboro Electric Company Attn: EGS Coordination 33 Austin Street P. O. Box 138 Wellsboro, PA 16901

7. FINANCIAL FITNESS

- a. BONDING: In accordance with 66 Pa. C.S. Section 2809(c)(1)(i), the Applicant is required to file a bond or other instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant is...
 - Furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.
 - Furnishing the **ORIGINAL** of another initial security for Commission approval, to ensure financial responsibility, such as a parental guarantee, in the amount of \$250,000.
 - For <u>Marketers and Brokers</u> Filing for a modification to the \$250,000 requirement and furnishing the <u>ORIGINAL</u> of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.

CRITICAL BONDING NOTES:

Applicant is required to maintain a bond or other financial instrument the entire time it maintains an EGS license with the Commonwealth of Pennsylvania. If Applicant's security instrument is not continuous, Applicant <u>MUST</u> submit a Rider, Amendment, or Continuation Certificate annually based on the expiration date of its security instrument.

At least sixty days (60) prior to the security instrument's expiration date, Applicant should contact Stephen Jakab at <u>sjakab@pa.gov</u> to determine the appropriate bonding amount based on a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. Once the amount has been determined, Applicant should overnight the updated security instrument(s) at least thirty (30) days prior to the expiration date to ensure adequate time for staff review and approval of the security instrument(s).

Template versions of a continuous bond, fixed-term bond, continuous letter of credit, and parental guarantee are attached at Appendix E, F, G, & H, respectively. Applicant's security must follow language from these examples, and must include the unmodified language outlined in Appendix D. Any deviation from these examples must be identified in the application and may not be acceptable to the Commission.

- b. FINANCIAL RECORDS, STATEMENTS, AND RATINGS: Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:
 - Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
 - Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
 - Applicant's accounting statements, including balance sheet and income statements for the past two years.
 - Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
 - A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
 - Audited financial statements exhibiting accounts over a minimum two year period.
 - Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.
- c. SUPPLIER FUNDING METHOD: If Applicant is operating as anything other than <u>Broker/Marketer only</u>, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

Not Applicable due to applicant being a broker

d. BROKER PAYMENT STRUCTURE: If applicant is a broker/marketer, explain how your organization will be collecting your fees.

We get paid by the suppliers whose products we will be selling.

e. ACCOUNTING RECORDS CUSTODIAN: Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

Hamed Babai CEO 350 N LaSalle Street 9th Floor Chicago IL 60654 855-475-4675 Fax 855-569-4327

f. **TAXATION:** Complete the <u>TAX CERTIFICATION STATEMENT</u> attached as Appendix I to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

8. <u>TECHNICAL FITNESS</u>:

Hamed Babai:

Hamed is the CEO of NRG Kiosk. He has 5 year experience in energy wholesale and retail market. He is the former Chief Technology Officer of Best Energy, energy Brokerage Company, and the founder of NRG Kiosk (dba Power Kiosk).

Eddie Gordon:

Frank is the VP Business Development of NRG Kiosk. He has over 20 years' experience in energy supply and retail working in large supply companies.

Michael McCarthy:

Michael is the VP of Enterprise Sales. He has been with D&B for over 5 years as a Vice President of sales and has over 20 years of sales and business development experience at the corporate level.

Power Kiosk has transacted over 6000 customer contracts nationwide. We are contracted with 72 natural gas suppliers and have an online enrollment process for signing up and comparison shopping for both residential and commercial customers.

IL ILLINOIS LICENSE

STATE OF ILLINOIS

ILLINOIS COMMERCE COMMISSION

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NRG Kiosk LLC

Application for Licensure of Agents, Brokers and Consultants under Section 16-115C of the Public Utilities Act. 13-0624

ORDER

By the Commission:

I. INTRODUCTION

On November 12, 2013, NRG Kiosk LLC ("Applicant") filed a verified application with the Illinois Commerce Commission ("Commission") requesting a certificate of service authority to operate as an agent, broker, or consultant ("ABC") in Illinois pursuant to Section 16-115C of the Public Utilities Act ("Act"), 220 ILCS 5/1-101 et seq., and 83 Ill. Adm. Code 454, "Licensure of Retail Electric Agents, Brokers and Consultants" ("Part 454"). Applicant requests authority to offer services as an ABC for the procurement or sale of retail electricity supply for third parties in the State of Illinois. Upon review of the application, Staff of the Commission ("Staff") requested additional information and clarification of certain matters. On January 27, 2014, Applicant filed a verified Addendum. Since all of the information to grant the requested relief was contained in the application, the Hearing was walved.

II. REQUIREMENTS FOR ALL APPLICANTS UNDER SECTION 16-115C OF THE ACT

Applicant is a business organized under the laws of and authorized to transact business in the State of Illinois. Applicant has certified that it will comply with all applicable regulations; that it will comply with informational and reporting requirements established by Commission rule; that it will comply with informational and reporting requirements pursuant to Section 16-115C of the Act; and that it will comply with all other applicable laws, regulations, terms, and conditions required to the extent they have application to the services being offered by an ABC. Applicant has agreed to ensure that any person who acts on its behalf will comply with all applicable sections of Part 454. Applicant agrees that it will remain in compliance with the provisions of the Act and Part 454, and will ensure that authorizations received from customers, and all other applicable records are retained for a period of not less than three calendar years after the calendar year in which they were created. Applicant has agreed to adopt and follow rules and procedures to preserve the confidentiality of its customers' data. The Applicant has also attested that no complaints have been filed against it for its provision

Maryland LICENSE

Lawrence Brenner, Commissioner Kelly Speakes-Backman, Commissioner Anne E. Hoskins, Commissioner FROM: Anthony Myers, Acting Executive Director—A RE: NRG Kiosk d/b/a Power Kiosk Application for a License to Supply Electricity or Electric Generation Services In Maryland. Description of Application: On June 5, 2014, NRG Kiosk d/b/a Power Kiosk ("Kiosk") filed an application for a license to supply electricity or electric generation services in Maryland. Groups which should receive a copy of Staff Recommendations: NRG Kiosk d/b/a Power Kiosk Office of People's Counsel Recommended Action: Staff recommends that the Commission grant Kiosk a license to operate as an electricity supplier in Maryland, limited to broker services. The license should include a condition that prohibits Kiosk from using the name NRG Kiosk in Kiosk's marketing or contract materials, or in Kiosk's communications with customers. Additionally, Kiosk is directed to provide marketing and training materials specific to its Maryland operations to the Commission Staff and Office of People's Counsel 30 days prior to commencing operations in Maryland. Finally, Staff recommends the Commission direct Kiosk to notify the Commission relied in granting this license.	TO:	W. Kevin Hughes, Chairm Harold D. Williams, Comm			
Anne É. Hoskins, Commissioner FROM: Anthony Myers, Acting Executive Director—A- RE: NRG Kiosk d/b/a Power Kiosk Application for a License to Supply Electricity or Electric Generation Services In Maryland. Description of Application: On June 5, 2014, NRG Kiosk d/b/a Power Kiosk ("Kiosk") filed an application for a license to supply electricity or electric generation services in Maryland. Groups which should receive a copy of Staff Recommendations: NRG Kiosk d/b/a Power Kiosk Office of People's Counsel Recommended Action: Staff recommends that the Commission grant Kiosk a license to operate as an electricity supplier in Maryland, limited to broker services. The license should include a condition that prohibits Kiosk from using the name NRG Kiosk in Kiosk's marketing or contract materials, or in Kiosk's communications with customers. Additionally, Kiosk is directed to provide marketing and training materials specific to its Maryland operations to the Commission Staff and Office of People's Counsel 30 days prior to commencing operations in Maryland. Finally, Staff recommends the Commission direct Kiosk to notify the Commission within 30 days of any changes to the information upon which the Commission relied in granting this license.		•			
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Commission Action on:		n Action on			

NRG Kiosk (Power kiosk) is licensed to sell electricity and gas in OH, IL, PA, MD, DE, TX, NY,NJ,DC,NY,CT,MA,RI,NH,ME,CA,IN,KY,FL and GA.

a. **PROPOSED MARKETING METHOD** (check all that apply)

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)

Internal – Applicant will use its own internal resources/employees for marketing External EGS – Applicant will contract with a PUC LICENSED EGS broker/marketer

Affiliate – Applicant will use a **NON-EGS** affiliate marketing company and or individuals.

External Third-Party – Applicant will contract with a **NON-EGS** third party marketing company and or individuals

Other (Describe):

b. DOOR TO DOOR SALES: Will the Applicant be implementing door to door sales activities?



If yes, will the Applicant be using verification procedures?



Yes No

Yes No

If yes, describe the Applicant's verification procedures.

Follow up calls from operation staff

c. OVERSIGHT OF MARKETING: Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

All saleswill be subject to a compliance call where Power Kiosk compliance person will reach out to the customer and review the sales details and the fact that customer has agreed to the offer terms and conditions.

d. OFFICERS: Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.

Hamed Babai, CEO

- e. FERC FILING: Applicant has:
 - Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.
 - Received approval from FERC to be a Power Marketer at Docket or Case Number ____
 - Not applicable

9. DISCLOSURE STATEMENTS:

Disclosure Statements: If proposing to serve Residential and/or Small Commercial (under 25 kW) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix J to this Application.

Not applicable because applicant applying for a license exclusively as a broker/marketer.

10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. PJM LOAD SERVING ENTITY REQUIREMENT: As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:
 - proof of registration as a PJM Load Serving Entity (LSE), or
 - proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services
 of the EGS.

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

(Select only one of the following)

- AGREED Applicant has included compliance with this requirement in the instant application, labeled in correspondence with this section (10).
- AGREED Applicant will provide compliance with this requirement within 120 days of receiving its license
- ACKNOWLEDGED Applicant is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information
- b. STANDARDS OF CONDUCT AND DISCLOSURE: As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43, as well as any future amendments.



 \boxtimes

 \Box

c. REPORTING REQUIREMENTS: Applicant agrees to provide the following information to the Commission or

- the Department of Revenue, as appropriate:
 - Retail Electricity Choice Activity Reports: The regulations at 52 Pa. Code §§ 54.201--54.204 require that
 all active EGSs report sales activity information. An EGS will file an annual report reporting for customer
 groups defined by annual usage. Reports must be filed using the appropriate report form that may be
 obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's
 internet web site.
 - Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.

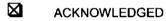
- The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
- Net Metering Reports: Applicant shall be responsible to report any Net Metering per the Standards on <u>http://www.puc.pa.gov/consumer_info/electricity/alternative_energy.aspx</u>. Scroll down to the Net Metering Standards Section.
- Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis per 52 Pa. Code § 54.39(d).
- Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.



d. TRANSFER OF LICENSE: The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.



e. ANNUAL FEES: The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling electricity in the Commonwealth of PA, and an annual supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.



f. FURTHER DEVELOPMENTS: Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.



g. FALSIFICATION: The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.



h. NOTIFICATION OF CHANGE: If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within twenty (20) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 54.34.



AGREED

i. **CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.



j. Electronic Data Interchange: The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at Appendix M.



k. FILING FEE: The Applicant has enclosed or paid the required, non-refundable filing fee by CERTIFIED CHECK OR MONEY ORDER in the amount of \$350.00 payable to the Commonwealth of Pennsylvania. The Commission does not accept corporate or personal checks for filing fees.



PAYMENT ENCLOSED

11. AFFIDAVITS

Must be notarized before filing.

- a. APPLICATION AFFIDAVIT: Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.
- b. OPERATIONS AFFIDAVIT: Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.

12. NEWSPAPER PUBLICATIONS

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve.

The chart below dictates which newspapers are necessary for each EDC. For example, an applicant that wants to operate in Penn Power would need to run ads in both The Erie Times-News and the Pittsburgh Post-Gazette. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

The only acceptable verification of this requirement is with Notarized Proofs of Publication, which may be requested from each newspaper and must be supplied with this application. Applicants do not need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	Erie Times-	Harrisburg Patriot-	Philadelphia	Pittsburgh Post-	Scranton Times-	Williamsport	Johnstown Tribune-
	News	News	Daily News	Gazette	Tribune	Sun-Gazette	Democrat
Citizens' Electric						X	
Duquesne				X			
Met Ed		x	·X		X		
PECO			x		-		
Penelec	X	X			x	X	X
Penn Power	X			X			
Pike					X		
PPL		x	x		X	X	
UGI	• • • •				x	_	
Wellsboro						X	
West Penn		X		x		x	x
Entire							
Commonwealth	x	X	x	x	X	X	Х

(Example Publications are provided at Appendices K and L)

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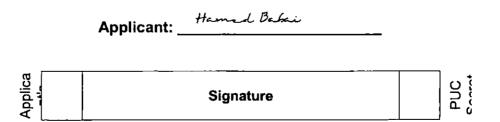
13. SIGNATURE

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Applican	t::Power Kiosk	PLEASE SIGN & DATE
Ву:	Hamed Babai	& DATE
Title:	CEO	

14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections are complete.



×	Filing Fee (CERTIFIED CHECK OR MONEY ORDER ONLY)
×	Application Affidavit
×	Operations Affidavit
×	Proof of Publication
×	Bond, Letter of Credit, or Parental/Affiliate Guarantee
×	Tax Certification Statement
×	Commonwealth Department of State Verification
×	Certificate of Service

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Appendix A

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

APPLICATION AFFIDAVIT

	1	
[Commonwealth/State] of		•
[commonwealusotate] or		<u> </u>

SS.

County of _____

Hamed Baba', Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the	C = O	(Office of Affiant) of	Proverk	or ((Name of Applicant);)

[That he/she is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein <u>Hence</u> \underline{Acc} has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

That the Applicant herein $\underline{\rightarrow}_{ame} \underline{A B A}_{c}$ has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein $\underline{1 + c_1 - c_2 - c_2}$ acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein <u>14cmed</u> <u>Rela</u>eknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

Signature of Affiant Sworn and subscribed before me this <u>ISTA</u> day of <u>March</u>, 20<u>18</u>. OFFICIAL SEAL DERRIK W CASTILLO Notary Public - State of Illinois My Commission Expires Mar 16, 2019 Signature of official administering oath My commission expires March 16,2019

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Appendix B

OPERATIONS AFFIDAVIT

 $[Commonwealth/State] of ______: ss.$: ss.County of $______(O \cap [\langle \\ ... \\ Affiant, being duly [sworn/affirmed] according to law, deposes and says that:
<math display="block">[He/she is the ______(Office of Affiant) of ______(Name of Applicant);]$

[That he/she is authorized to and does make this affidavit for said Applicant;]

That \underline{Hamel} \underline{n} , \underline{h} , the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That $\underline{Herrow}(\lambda, \underline{R}, \underline{N}, \underline{N})'$ the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

Appendix B (Continued)

CEO of POLDER KIDIK

That <u>Hand</u> $B = \frac{1}{2}$, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.

03, 1512013 Signature of Affiant Sworn and subscribed before me this <u>Sth</u> day of <u>March</u> ____, 20_18__. OFFICIAL SEAL DERRIK W CASTILLO Notary Public - State of Illinois My Commission Expires Mar 16, 2019 Signature of official administering oath

My commission expires March 16, 2019

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Appendix C

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

Example CERTIFICATE OF SERVICE

On this the <u>15</u> day of <u>March</u> 20<u>18</u>, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all <u>NON-CONFIDENTIAL</u> attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:

Bureau of Investigation & Enforcement Pennsylvania Public Utility Commission Commonwealth Keystone Building 400 North Street, 2 West Harrisburg, PA 17120

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120

Small Business Advocate Commerce Building, Suite 202 300 North Second Street Harrisburg, PA 17101

Legal Department West Penn Power d/b/a Allegheny Power 800 Cabin Hill Drive Greensburg, PA 15601-1689

Regulatory Affairs Duquesne Light Company 411 Seventh Street, MD 16-4 Pittsburgh, PA 15219

Legal Department First Energy 2800 Pottsville Pike Reading PA, 19612

Citizens' Electric Company Attn: EGS Coordination 1775 Industrial Boulevard Lewisburg, PA 17837

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PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946

Vice President – Energy Supply Corning Natural Gas Holding Corporation 330 West William Street Corning, NY 14830

Manager Energy Acquisition PECO Energy Company 2301 Market Street Philadelphia, PA 19101-8699

Office of General Counsel Attn: Kimberly A. Klock PPL Two North Ninth Street (GENTW3) Allentown, PA 18101-1179

UGI Utilities, Inc. Attn: Rates Dept. – Choice Coordinator 2525 N. 12th Street, Suite 360 Post Office Box 12677 Reading, Pa 19612-2677

Wellsboro Electric Company Attn: EGS Coordination 33 Austin Street P. O. Box 138 Wellsboro, PA 16901

PLEASE SIGN & DATE

Hamed Babai CEO of Power Kiosk

John Doe, President ABC Corp.

21

hereinunder without the prior knowledge or consent of the guarantor and without any opportunity of the guarantor to raise any defense, set-off, counterclaim or other claim that guarantor may have.

11. The guarantor, to the fullest extent permitted by applicable law, expressly waives notice of acceptance of this guarantee by the PUC. The guarantor expressly waives notice of **Appendix H (Continued)**

amendments or modifications of the Public Utility Code, 66 Pa. C.S. 101, *et seq.*, the rules and regulations of the PUC; 66 Pa. C.S. 2810; Act 155 of 2014; 73 P.S. § 1648.1 *et seq.* and 52 Pa. Code 75.1 *et seq.*; and of amendments or modification of the EGS's license. Any right which at any time guarantor has under existing or future laws to require that recourse be had to the assets of any other Person before any claim is enforced against guarantor in respect of the guarantee hereby assumed by the guarantor is hereby abandoned and waived. Guarantor agrees to be bound by this guaranty whether or not it is made a party to legal proceedings for the recovery of any amount due or owing by the EGS to the PUC or the Commonwealth of Pennsylvania and whether the formalities required by any law (whether existing now or in the future) in regard to the rights or obligations of sureties shall or shall not have been observed.

12. Guarantor guarantees that the guaranteed obligations will be paid, as applicable, strictly in accordance with the terms of the guarantee and any other documents evidencing the same. Guarantor waives any right that it might have to challenge the amount or validity of any amounts (a) acknowledged by the EGS to be due under the guarantee or (b) determined to be due under the guarantee pursuant to arbitration or judicial proceedings or otherwise pursuant to, or in connection with, the guarantee. The liability of guarantor under this guarantee shall be full, absolute, and unconditional in accordance with its terms and shall remain in full force and effect without regard to, and shall not be released, suspended, discharged, terminated, or otherwise affected by, any circumstance or occurrence whatsoever (other than indefeasible payment in full).

13. This guarantee shall be governed by and construed in accordance with the laws of the Commonwealth of Pennsylvania, without regard to conflicts of laws principles.

14. The guarantor agrees to remain bound under this agreement for as long as the EGS must comply with the applicable financial assurance requirements of the Public Utility Code, 66 Pa. C.S. 101, *et seq.*, the payment of annual fees as authorized by Act 155 of 2014, the requirements of the Alternative Energy Portfolio Standards Act, 73 P.S. § 1648.1 *et seq.* and 52 Pa.Code 75.1 *et seq.*, except that the guarantor may terminate its future liability under this guarantee sixty (60) days after furnishing written notice of intention to terminate. This termination shall not affect the liability of the guarantor and the Principal for any liability incurred by the Principal prior to the effective date of such termination. Any claim under this guarantee must be instituted within one year (12 months) of the effective date of termination.

15. This guarantee shall be binding on guarantor and its successors and assigns, heirs, and executors and administrators, jointly, severally, and firmly.

16. <u>CONFESSION OF JUDGMENT</u>: [GUARANTOR NAME] HEREBY IRREVOCABLY AUTHORIZES AND EMPOWERS THE PUC, THROUGH ANY ATTORNEY, OR ANY OTHER PERSON AUTHORIZED BY THE PUC, AT ANY TIME OR TIMES, TO

www.puc.pa.gov Address: 400 North Street, Harrisburg PA 17120 Phone Number: 1-800-692-7380



Appendix L

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EXAMPLE FORM OF NOTICE PUBLICATION FOR COMBINED EGS AND NGS

PENNSYLVANIA PUBLIC UTILITY COMMISSION <u>NOTICE</u>

Applications of <u>Company Name</u> (d/b/a "<u>Trade Name</u>") For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

Company Name will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. **Company Name** will also be filing an application with the PUC for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. **Company Name** proposes to sell electricity, natural gas, and related services in **[LIST NGDCS AND EDCS HERE]** under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Company Name** may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to **Company's Name** attorney at the address listed below.

By and through Counsel: Attorney's Name

Company Name
Address
Address
Phone
FAX

Appendix M

Electronic Data Interchange and Internet Requirements

RECEIVED MAR 20 2013 PA PUBLIC INTILITY COMMISSION Prior to doing business in an EDC service territory, all EGS suppliers, and any broker/marketers that wish to use the Electronic Data Interchange (EDI), must meet the EDI certification requirements of the EDC. Certification is a testing process using the Commission approved Internet protocol. To initiate this process, the EGS is encouraged to contact the EDC as early as possible after filing an application for a license with the Commission, since certification may require as many as four months to complete and customer service contract dates may not commence prior to certification. EDC requirements of new suppliers may be found on the respective EDC home web page. Pennsylvania's industry stakeholder group the Electronic Data Exchange Working Group (EDEWG) develops and maintains the EDI transactions and related business practices, which are found on the Pa. PUC website at

http://www.puc.pa.gov/filing resources/issues laws regulations/electronic data exchange.aspx. The EDEWG meets telephonically the first Thursday of each month at 2:00pm ET to discuss EDI change control requests and other issues.

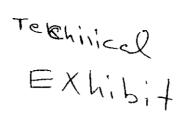
To keep current with Pennsylvania EDI practices and policies, a newly licensed EGS is strongly encouraged to participate in the EDEWG by contacting the following:

PA EDEWG EDI Contacts

Entity Name	Contact Name	Telephone	Emall.	Preference
PA PUC	Jeff McCracken	717-783-6163	jmccracken@pa.gov	Email
PA PUC	Lee Yalcin	717-787-6723	lyalcin@pa.gov	Email
PA EDEWG LDC Co-Chair	Sue Scheetz	610-774-3616	smscheetz@pplweb.com	Email
PA EDEWG ESP Co-Chair	Christine Hughey	713-963-3640	chughey@sperianenergy.com	Email
PA EDEWG Secretary & Regional EDI Change Control Manager	Brandon Siegel	412-817-8004	brandon.siegel@intelometry.com	Email

PA EDC EDI Contacts

Company Name	Contact Name	Telephone	Email	Preference
Citizens' Electric	John Kelchner	570-524-2231	kelchnerj@citizenselectric.com	Email
	Chrissy DeLoughrey	781-347-9022	Implementation@EnergyServicesGrou p.net	Email
Duquesne Light Co	Supplier Service Center	412-393-6282	DLC_SSC@duglight.com	Email
FirstEnergy - Metropolitan Edison Co, Pennsylvania Electric, Penn Power, JCP&L, WPP & Potomac Edison	Supplier Support	330-761-4348	SupplierSupport@firstenergycorp.com	Email
PECO	Electric & Gas Choice Hotline	215-841-3700	egc@exeloncorp.com	Email
PPL Electric Utilities	Supplier Support	610-774-6396	PPLUtilitiesSupplier@pplweb.com	Email
	EDI Team	610-774-5757	EDIUtilAdm@pplweb.com	Email
UGI Utilities Inc.	EDI Technical Support	610-736-5784	edi@ugi.com	Email
Wellsboro Electric	Danette Kerestes	570-724-3516	danettek@ctenterprises.org	Email
	Chrissy DeLoughrey	781-347-9022	Implementation@EnergyServicesGrou p.net	Email



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PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

2. Business entity Filings and registrations

a) Fictitious Name

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State or Country	under the laws o	f which the company is organized; (check one)	· · · · · · · · · · · · · · · · · · ·	
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foreign Limited L	(a) The Li name (b) The ri the Se annive (a) The a the as): <u>7/23/13</u> mited Liability Company intends to adopt and trans of: <u>Power Klock</u> ght to use the assumed name shall be effective tro cretary of State until <u>July 1</u> 20 <u>15</u> meany month in the next year which is eventy divisi bove-named Limited Liability Company intends to	sact business under the assumed im the date this application is filed by , the first day of the company's ble by five.	
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b) Department of State Filing

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DEC 3 3 2013

JAN \$ 1 2014

a) Summary and proof of licenses as a supplier of electric services in other states or jurisdiction



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b) Jurisdictions of Operation

NRG Kiosk (Power kiosk) is licensed to do brokerage in IL, OH, PA, NJ, MA, NH, CT, NY. MD, DE, DC and Texas

RECEIVED MAR 202018. PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU



CHARLES D. BAKER

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THE COMMONWEALTH OF MASSACHUSETTS DEPARTMENT OF PUBLIC UTILITIES

> ONE SOUTHESTATION BOSTON, MA 02110

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March 3, 2015

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MAR 2 0 2018 -

Hamed Babai, CEO

Power Kiosk, LLC 345 East Wacker Drive, Suite 4504 Chicago, IL 60601

Re: Electricity Broker Application

Deur Mr. Babai.

The Department of Public Utilities ("Department") has reviewed Power Kiosk, LLC's application for an Electricity Broker license in the Commonwealth of Massachusetts and is pleased to inform you that the Department has approved your application. Please find enclosed a copy of your approved application. Your license number is EB-310.

As a condition of maintaining this license, Power Klosk, LLC must file updated information within 30 days of any material or organic change in the information required by 220 GAAR is and must comply with all relevant requirements of G.L. c. 164 and the regulations promilegated thereunder, including 220 C.M.R. §§ 11,00, 12.00 cf seq. If you decide to request renewal of license next year, please submit your renewal application no later than April 1, 2016

CALMERT STREET

STATE OF ILLINOIS

ILLINOIS COMMERCE COMMISSION

NRG Kiosk LLC	:	
Application for Licensure of Agents, Brokers and Consultants	•	13-0624
under Section 16-115C of the Public Utilities Act.	:	RECEIVED
	<u>ORDER</u>	MAR 2 0 2018
By the Commission:		PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

I. INTRODUCTION

On November 12, 2013, NRG Kiosk LLC ("Applicant") filed a verified application with the Illinois Commerce Commission ("Commission") requesting a certificate of service authority to operate as an agent, broker, or consultant ("ABC") in Illinois pursuant to Section 16-115C of the Public Utilities Act ("Act"), 220 ILCS 5/1-101 et seq., and 83 Ill. Adm. Code 454, "Licensure of Retail Electric Agents, Brokers and Consultants" ("Part 454"). Applicant requests authority to offer services as an ABC for the procurement or sale of retail electricity supply for third parties in the State of Illinois. Upon review of the application, Staff of the Commission ("Staff") requested additional information and clarification of certain matters. On January 27, 2014, Applicant filed a verified Addendum. Since all of the information to grant the requested relief was contained in the application, the Hearing was waived.

II. REQUIREMENTS FOR ALL APPLICANTS UNDER SECTION 16-115C OF THE ACT

Applicant is a business organized under the laws of and authorized to transact business in the State of Illinois. Applicant has certified that it will comply with all applicable regulations; that it will comply with informational and reporting requirements established by Commission rule; that it will comply with informational and reporting requirements pursuant to Section 16-115C of the Act; and that it will comply with all other applicable laws, regulations, terms, and conditions required to the extent they have application to the services being offered by an ABC. Applicant has agreed to ensure that any person who acts on its behalf will comply with all applicable sections of Part 454. Applicant agrees that it will remain in compliance with the provisions of the Act and Part 454, and will ensure that authorizations received from customers, and all other applicable records are retained for a period of not less than three calendar years after the calendar year in which they were created. Applicant has agreed to adopt and follow rules and procedures to preserve the confidentiality of its customers' data. The Applicant has also attested that no complaints have been filed against it for its provision

of services in the electric or gas industry in the jurisdictions where it provides or is seeking to provide services.

III. FINANCIAL, TECHNICAL, AND MANAGERIAL REQUIREMENTS OF SECTION 16-115C

Applicant is required by Section 454.60 to demonstrate that it meets the managerial qualifications necessary to provide services as an ABC. Applicant must further provide an organizational chart that indicates the position of persons which satisfy the managerial qualification. Applicant has demonstrated that it meets the managerial qualifications set forth in Section 454.60 through Attachment A. Attachment A contains a corporate organizational chart and identifies the occupational background information of the persons being used to meet the requirements of Section 454.60(a). Attachment A contains occupational background information of the persons being used to meet the requirements of Section 454.60(a).

Applicant is required by Section 454.70 to demonstrate that it meets the technical qualifications necessary to provide services as an ABC. Applicant has demonstrated that it meets the technical qualifications set forth in Section 454.70 with the information provided in Attachment A. Attachment A contains occupational background information on the person or persons being used to meet the requirements of Section 454.70(a).

Pursuant to the requirements of Section 454.80 of Part 454, Applicant provided a surety bond in the amount of \$5,000 issued by a qualifying surety authorized to transact business in Illinois. Applicant further agrees to comply with the Code of Conduct for ABCs contained in Section 454.90.

IV. COMMISSION CONCLUSION AND CERTIFICATE OF SERVICE AUTHORITY

The Commission has reviewed the application and attachments along with the supplementary information provided by Applicant regarding the technical, managerial, and financial requirements and all other requirements of the Act and Part 454 and finds that the Applicant sufficiently demonstrates compliance with the requirements. The Commission concludes, therefore, that Applicant's request for a certificate of service authority to operate as an ABC in Illinois should be granted and should include the following authority:

CERTIFICATE OF SERVICE AUTHORITY

IT IS CERTIFIED that NRG Kiosk LLC is granted service authority to operate as an agent, broker, or consultant for the procurement or sale of retail electricity supply for third parties in the State of Illinois.

V. FINDINGS AND ORDERING PARAGRAPHS

The Commission, having reviewed the entire record, is of the opinion and finds that:

- NRG Kiosk LLC, a business organized under as well as authorized to transact business in Illinois, seeks a certificate of service authority to operate as an ABC under Section 16-115C of the Act;
- (2) the Commission has jurisdiction over the party hereto and the subject matter hereof;
- (3) the recitals of fact and conclusions reached in the prefatory portion of this Order are supported by the record and are hereby adopted as findings of fact;
- (4) NRG Kiosk LLC has demonstrated that it possesses sufficient financial, managerial, and technical resources and abilities to provide services as an ABC for the procurement or sale of retail electricity supply to third parties in the State of Illinois;
- (5) NRG Kiosk LLC has complied with Section 16-115C of the Act and Part 454; and
- (6) NRG Kiosk LLC should be granted a certificate of service authority to operate as an ABC as specified in this Order.

IT IS THEREFORE ORDERED by the Illinois Commerce Commission that NRG Kiosk LLC is hereby granted a Certificate of Service Authority authorizing it to operate as an agent, broker, or consultant; said Certificate of Service Authority shall read as that set forth in Section IV of this Order.

IT IS FURTHER ORDERED that NRG Kiosk LLC shall comply with all applicable Commission rules and orders now and as hereafter amended.

IT IS FURTHER ORDERED that, subject to the provisions of Section 10-113 of the Public Utilities Act and 83 III. Adm. Code 200.880, this Order is final; it is not subject to the Administrative Review Law.

By Order of the Commission this 5th day of February, 2014.

(SIGNED) DOUGLAS P. SCOTT

Chairman

PUBLIC SERVICE COMMISSION OF THE DISTRICT OF COLUMBIA 1333 H STREET N.W., 2ND FLOOR, WEST TOWER WASHINGTON, D.C. 20005

RECEIVED

MAR 2 0 2018

<u>ORDER</u>

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

June 5, 2015

FORMAL CASE NO. EA 2015-01, IN THE MATTER OF THE APPLICATION OF NRG KIOSK LLC D/B/A POWER KIOSK LLC FOR AN ELECTRICITY SUPPLIER LICENSE, Order No. 17904

I. <u>INTRODUCTION</u>

1. By this Order, the Public Service Commission of the District of Columbia ("Commission") grants a license to NRG Kiosk LLC d/b/a Power Kiosk LLC ("NRG Kiosk" or "Applicant") to function as an electricity supplier in the District of Columbia.¹ The license for NRG Kiosk LLC d/b/a Power Kiosk LLC is effective upon issuance of this Order.

II. <u>BACKGROUND</u>

2. On January 23, 2015, NRG Kiosk filed its license application.² Specifically, NRG Kiosk intends to operate as a broker/aggregator of electricity for residential, commercial and industrial customers in the District of Columbia, pursuant to Section 34-1505 of the "Retail Electric Competition and Consumer Protection Act of 1999 ("Act")."³ Pursuant to the Commission's licensing requirements as set forth in Order No. 11796,⁴ NRG Kiosk has provided the following information:

- a) Proof of technical and managerial competence;
- b) An affidavit of compliance with applicable Federal and District of Columbia environmental laws and regulations dated January 20, 2015;

¹ D.C. Official Code § 34-1501(17) (2001) defines, in part, an electricity supplier as "a person, including an aggregator, broker, or marketer, who generates electricity; sells electricity; or purchases, brokers, arranges or markets electricity for sale to customers."

² Formal Case No. EA 2015-01, In the Matter of the Application of NRG Kiosk LLC d/b/a Power Kiosk LLC for an Electricity License ("Formal Case No. EA 2015-01"), Application of NRG Kiosk LLC d/b/a Power Kiosk LLC filed January 23, 2015.

³ D.C. Official Code § 34-1505; see generally §§ 34-1501-1520.

⁴ Formal Case No. 945, In the Matter of the Investigation into Electric Service Market Competition and Regulatory Practices, Order No. 11796 at Attachment C, rel. September 20, 2000.

- c) Proof of financial integrity to include financial statements;
- d) Proof that NRG Kiosk has registered with the Department of Consumer and Regulatory Affairs to do business in the District of Columbia;
- e) An affidavit dated January 20, 2015, stating that NRG Kiosk is subject to all applicable taxes;
- f) An affidavit dated January 20, 2015, stating that NRG Kiosk will comply with all the requirements of all orders and regulations of the Commission; and
- g) Applicant's website address: http://www.powerkiosk.com.

NRG Kiosk filed supplemental financial information on April 2 and April 21, 2015.⁵

III. DISCUSSION

3. Our review of the information contained in NRG Kiosk's application, demonstrates that it has met all the prescribed licensing requirements and that the Applicant has the ability and the financial integrity to serve electricity customers in the District of Columbia. The Commission also finds that granting NRG Kiosk's application will serve the public interest. Accordingly NRG Kiosk's application is approved.

THEREFORE, IT IS ORDERED THAT:

4. The application of NRG Kiosk LLC d/b/a Power Kiosk LLC for a license to conduct business in the District of Columbia as an electricity supplier is hereby **GRANTED**. The license for NRG Kiosk LLC d/b/a Power Kiosk LLC is effective upon issuance of this Order.

A TRUE COPY:

BY DIRECTION OF THE COMMISSION:

Buide Darthest Steerich

CHIEF CLERK:

BRINDA WESTBROOK-SEDGWICK COMMISSION SECRETARY

⁵ Formal Case No. EA 2015-01, NRG Kiosk filed supplemental financial information on April 2 and April 21, 2015.

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AUG 07 2	014		
STAFF CON DIVISI	INSEL	NUMBER: IR-3 Supplemental C DATE: August MAIL LOG NO.:	Comments 7, 2014
TO:	W. Kevin Hughes, Chain Harold D. Williams, Com Lawrence Brenner, Com Kelly Speakes-Backman Anne E. Hoskins, Comm	missioner missioner , Commissioner	
FROM:	Anthony Myers, Acting E	xecutive Director	
RE: NRG Kiosk d/b/a Power Kiosk Application for a License to Supply Electricity or Electric Generation Services In Maryland.			
Description	n of Application:		
license to s	upply electricity or electric g	ower Kiosk ("Kiosk") filed an app generation services in Maryland. y of Staff Recommendations:	RECEIVED
NRG Kiosk	d/b/a Power Kiosk		MAR 2 0 2018
Office of Pe	ople's Counsel	РА	PUBLIC UTILITY COMMISSIC
Recommended Action:			SECRETARY'S BUREAU
electricity su include a co marketing o Additionally its Maryland days prior to Commission	upplier in Maryland, limited ondition that prohibits Kiosk or contract materials, or in K , Kiosk is directed to provid d operations to the Commis o commencing operations in h direct Kiosk to notify the C	n grant Kiosk a license to operate to broker services. The license a from using the name NRG Kiosk (iosk's communications with cust le marketing and training material ision Staff and Office of People's n Maryland. Finally, Staff recomm Commission within 30 days of any ission relied in granting this licens	should (in Kiosk's omers. Is specific to Counsel 30 mends the y changes to
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STATE OF MARYLAND PUBLIC SERVICE COMMISSION

Comments of the Energy Analysis and Planning Division (IR-3341) -Supplemental Comments RE: Electricity Supplier application of NRG Kiosk dba Power Kiosk RECEIVED **DATE: August 7, 2014 MAIL LOG NO: 155681**

MAR 2 0 2018

Summary of Filing:

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

On June 5, 2014, Kiosk filed an application for a license to supply electricity or electric generation services in Maryland, limited to broker services. At its Administrative Meeting on July 23, 2014, the Commission deferred action on the request.

Applicable Law:

Section 7-507 of the Public Utilities Article ("PUA") of the Annotated Code of Maryland requires that a person be licensed by the Commission in order to engage in business as an electricity supplier. The applicant for such a license must prove technical and managerial competence, financial integrity, compliance with all applicable requirements of the Federal Energy Regulatory Commission and the appropriate regional transmission operator and provide certification of compliance with applicable federal and State environmental laws and regulations relating to the generation of electricity (PUA §7-507(b) and (c)). The applicant must provide proof that it is gualified to do business in the State with the Department of Assessments and Taxation, and must agree to be subject to all applicable taxes. The Commission also examines the applicant's compliance with the laws governing energy suppliers in other States in which the applicant serves as a supplier. Specific methods of proving financial integrity are set forth in COMAR 20.51.02.

Background:

At its Administrative Meeting on July 23, 2014, the Commission deferred action on the request by NRG Kiosk, doing business as Power Kiosk (referred to here as Kiosk), for authorization from the Maryland PSC to serve as an electricity broker in Maryland. The commissioners expressed concern that Kiosk's use of the name "NRG Kiosk" might mislead Kiosk's customers into believing that there is an affiliation between NRG Energy and Kiosk in connection with Kiosk's brokering activities, which might mean that NRG Energy will back-up Kiosk's contractual commitments to its customers.

Kiosk is registered with the Maryland Department of Assessments and Taxation ("SDAT") as Power Kiosk, LLC, a/k/a NRG Kiosk. Kiosk explained to Staff that in choosing the name NRG Kiosk, Kiosk was not aware that there was an existing company named NRG Energy:

STATE OF MARYLAND PUBLIC SERVICE COMMISSION

Comments of the Energy Analysis and Planning Division (IR-3341) -Supplemental Comments RE: Electricity Supplier application of NRG Kiosk dba Power Kiosk DATE: August 7, 2014 MAIL LOG NO: 155681

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PA PUBLIC UTILITY COMMISSION

We honestly name[d] [our company NRG Kiosk] not even knowing of NRO'S BUREAU Energy. Later we realized that the name is trademarked and we haven't used the name NRG Kiosk at all.

In its comments filed at the Commission on July 21, 2014, the Office of People's Counsel ("OPC") stated that NRG Energy's senior regulatory counsel Abraham Silverman told OPC that "NRG Kiosk was licensed by NRG Energy to use the name NRG in association with the term Kiosk, but otherwise had no other affiliation with the company." NRG Energy stated that once it realized that Kiosk was using "NRG" as part of its name, NRG Energy decided to authorize Kiosk to use this name, on the condition that Kiosk not use the name "NRG" in its communications with the public:

As I understand it, all we could do [once we discovered that Kiosk was using "NRG" as part of its name] was to enter into an agreement with [Kiosk] that they would not use the "NRG" portion of their name when interfacing with the public. So we have no control over what they call themselves, so long as they aren't out in the market using the NRG name.

NRG Energy explained to Staff that "we have no relationship whatsoever with [Kiosk] – they are completely unrelated to NRG [Energy].

Kiosk said that it will not use the name NRG Kiosk in its marketing or contract materials, or in its communications with customers:

We registered the company as an LLC in Illinois as NRG Kiosk. In one month we got a dba for Power Kiosk and since then have been using [the name] Power Kiosk everywhere. Customers will never see the name NRG Kiosk. There is [sic] no marketing materials, websites and customer facing pages/docs with NRG Kiosk name. [NRG Kiosk] is our back-end legal name. We are not going to talk about NRG Kiosk in any of our documents given we are not even using that name.

Analysis:

As noted, Kiosk said that it will not use the name NRG Kiosk in its marketing or contract materials, or in its communications with customers. Therefore, Staff

STATE OF MARYLAND PUBLIC SERVICE COMMISSION

Comments of the Energy Analysis and Planning Division (IR-3341) -Supplemental Comments RE: Electricity Supplier application of NRG Kiosk dba Power Kiosk DATE: August 7, 2014 MAIL LOG NO: 155681

recommends that Kiosk's authorization to operate as a broker reflect this commitment by Kiosk by including a condition that prohibits Kiosk from using the name NRG Kiosk in Kiosk's marketing or contract materials, or in Kiosk's communications with customers. With this condition, Staff believes that the fact that Kiosk's legal name is NRG Kiosk will not mislead customers into believing that (1) Kiosk is affiliated with NRG Energy, or (2) NRG Energy is in any way involved with Kiosk's operations or management.

Recommendation:

Staff recommends that the Commission grant Kiosk a license to operate as an electricity supplier in Maryland, limited to broker services. The license should include a condition that prohibits Kiosk from using the name NRG Kiosk in Kiosk's marketing or contract materials, or in Kiosk's communications with customers. Additionally, Kiosk is directed to provide marketing and training materials specific to its Maryland operations to the Commission Staff and Office of People's Counsel 30 days prior to commencing operations in Maryland. Finally, Staff recommends the Commission direct Kiosk to notify the Commission within 30 days of any changes to the information upon which the Commission relied in granting this license.

Kevin Mosier Regulatory Analyst

cc: H. Robert Erwin, General Counsel David J. Collins, Executive Secretary Terry J. Romine, Chief Public Utility Law Judge Obi Linton, Director, Office of External Relations Regina Davis, Director of Communications

RECEIVEL MAR 20 2018 PA PUBLIC UTILITY COMMISSION

Publications



PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Proof of Publication in The Philadelphia Daily News Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA COUNTY OF PHILADELPHIA

Helene Sweeney being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

March 15, 2018

Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

Helene Se

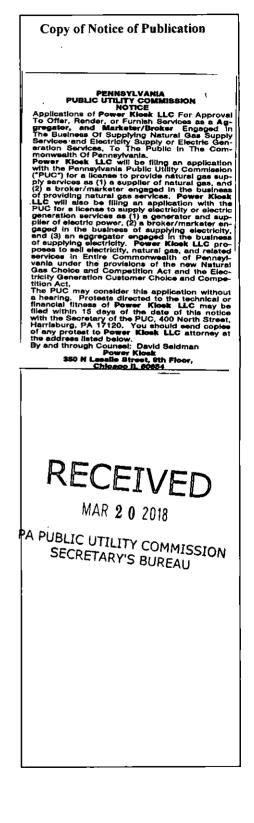
Sworn to and subscribed before me this 15th day of March, 2018.

Notary Public

My Commission Expires:

COMMONWEALTH OF PENNSYLVANIA

NOTARIAL SEAL CINDY JAKUBOWSKI, Notary Public City of Philadelphia, Phila. County My Commission Expires November 30, 2020



No. _____ Term, Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss <u>K. Flaherty</u>, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the <u>regular</u> editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

14 of March, 2018

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PG Publishing Company

Sworn to and subscribed before me this day of: March 14, 2018 Commonwealth of Pennsylvania - Notary Seal Elizabeth R. Chmura, Notary Public Allegheny County My commission expires February 8, 2022 Commission sumber 1326781 Member, Pennsyn ania Association of Notaries

STATEMENT OF ADVERTISING COSTS RECEIVED

350 N LA SALLE DR FLR 9 Attn: Hamed Babai CHICAGO IL 60654-5126

> PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

1. 4 4 2 0 2018

To PG Publishing Company

Total ----- \$542.50

Publisher's Receipt for Advertising Costs

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforsaid advertising and publication costs and certifies that the same have been fully paid.

Office 2201 Sweeney Drive CLINTON, PA 15026 Phone 412-263-1338 PG Publishing Company, a Corporation, Publisher of Pittsburgh Post-Gazette, a Newspaper of General Circulation

Semuel J. Arbutina By

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

COPY OF NOTICE OR PUBLICATION

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Applications of Power

Kiosk LLC For Approval To Offer, Render, or Furnish Services as a Aggregator, and Marketer/Broker En-gaged in The Business Of Supplying Natural Gas Supply Services and Elec-tricity Supply or Electric Generation Services, To The Public In The Com-monwealth Of Pennsylvania. Power Klosk LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier of natu-r al g as, and (2) a broker/marketer engaged in the business of provid-ing natural gas services. Power Kiosk LLC will also be filing an application with the PUC for a license to supply electricity or electric generation servic-es as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. Power Kiosk LLC proposes to sell electricity, natural gas, and related services in Entire Commonwealth of Pennsylvania) under the provisions of the new Natjural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act. The PUC may consider

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Power Kiosk LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC. 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Power Kiosk LLC attorney at the address listed below. By and through Counsel: David Seidman, Power

By and through Counsel: David Seidman, Power Kiosk, 350 N Lasalle Street, 9th Floor, Chicago IL 60654, 855-475-4675

Attorney For

PROOF OF PUBLICATON OF NOTICE IN THE WILLIAMSPORT

SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA

COUNTY OF LYCOMING SS:

Bernard A. Oravec, Publisher of the Sun-Gazette LLC publishers of the Williamsport Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

arch 16, 2018

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette LLC, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and declare that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.

,	PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE Applications of Power Klaak LLC For Approval To Offer, Render, or Fur- nish Services as a Aggre- gator, and Marketer/Broker En- gaged in The Business Of Supplying Natural Gas Supply Services and Elec- tricity Supply or Electric Generation Services, To The Public in The Com- monwealth Of Pennsylva- nia. Power Klosk LLC will be filling an application with the Pennsylvania Public Utility Commission ('PUC') for a license to provide natural gas supply services as (1) a supplier of natural gas, and (2) a broker/marketer engaged in the business of provid- ing natural gas revices. Power Klosk LLC will also be filling an applica- tion with the PUC for a license to supply electrici- ty or electric generation services as (1) a genera- tor and supplier of electric power, (2) a broker/marketer engaged in the business of supply-	lated services in Entire Commonwealth of Penn- sylvania] under the provi- sions of the new Natural Gas Choice and Competi- tion Act and the Electricity Generation Customer Choice and Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Power Kiosk LLC may be filed within 15 days of the date of this, notice with the Sacretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Power Kiosk LLC attor- ney at the address listed below. By and through Counsel: Attorney's Name: David Seidman Power Kiosk 350 N Lessile Street, 9th Floor, Chicago IL 60654	SUN-GAZETTE LLC SWORN to and subscribed before me The ICTO day of MCATCH 2018 COMMONWEALTH OF PENNSYLVANIA NOTARIAL SEAL BETH A MILLER Notary Public CITY OF WILLIAMSPORT, LYCOMING COUNTY My Commission Expires Apr 18, 2020 STATEMENT OF ADVERTISING COSTS To the Sun-Gazette LLC, Dr.: For publishing the notice attached Hereto on the above state dates 308,97 Probated same		
	THE SUN-GAZETTE LLC hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid				
	Second Indexe				

RECEIVED

SUN-GAZETTE LLC

BY Bernard A. Oravec

MAR 2 0 2018

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

