

## BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of Global Energy, LLC, d/b/a Global Energy E&G LLC, for approval to offer, render, furnish, or supply natural gas supply services as a(n) [as specified in item #4b below] to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

### 1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

Global Energy, LLC d/b/a Global Energy E&G LLC  
5 Penn Plaza, 23rd Floor  
NY, NY 10001  
718-303-9630 | www.globalenergyllc.net

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

InCorp Services, Inc.                      Phone (800)246-2677  
7208 Red Top Road                      Fax (702)866-2689  
Hummelstown, PA 17036

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

John Kim, President  
9311 71st Drive Forest Hills, NY 11375  
512-590-9150 | 718-408-4923  
jkim@globalenergyllc.net

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

The applicant is not using an attorney

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS:** Provide the name, title, address, telephone number, fax number, and e-mail OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED) responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Natural Gas Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed NGSS.

John Kim, President  
5 Penn Plaza  
NY, NY 10001  
P: 718-303-9630 | jkim@globalenergyllc.net  
F: 718-408-4923

Alex Riemer, Director of Business Development  
5 Penn Plaza  
NY, NY 10001  
P: 718-303-9617 | ariemer@globalenergyllc.net  
F: 718-408-4923

## 2. BUSINESS ENTITY FILINGS AND REGISTRATION

a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State Pursuant to 54 Pa. C.S. §311.

See Exhibit A.

**Or**

The Applicant will not be using a fictitious name.

b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**

*(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)*

The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

**Or**

The Applicant is a:

- domestic general partnership (\*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- \* If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

**or**

The Applicant is a:

- domestic corporation (15 Pa. C.S. §1308)
- foreign corporation (15 Pa. C.S. §4124)
- domestic limited liability company (15 Pa. C.S. §8913)
- foreign limited liability company (15 Pa. C.S. §8981)
- Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.
- Give name and address of officers.

See Exhibit B

### 3. AFFILIATES AND PREDECESSORS

*(both in state and out of state)*

- a. **AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

The applicant has no affiliates.

- b. **PREDECESSORS:** Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

The applicant has no predecessors.

#### 4. OPERATIONS

a. **APPLICANT'S PRESENT OPERATIONS:** *(select and complete the appropriate statement)*

Definitions

- Supplier – an entity which provides natural gas supply services to retail gas customers utilizing the jurisdictional facilities of an natural gas distribution company
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of natural gas but does not take title to the natural gas.

- The Applicant is presently doing business in Pennsylvania as a
- natural gas interstate pipeline
  - municipality providing service outside its municipal limits
  - local gas distribution company
  - retail supplier of natural gas services in the Commonwealth
  - a natural gas producer
  - a broker/marketer engaged in the business of supplying natural gas services
  - Other. (Identify the nature of service being rendered)

or

- The Applicant is not presently doing business in Pennsylvania.

b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a:

- Supplier or Aggregator of natural gas services
- Municipal supplier of natural gas services
- Cooperative supplier of natural gas services
- Broker/Marketer engaged in the business of supplying natural gas services
  - Check here to verify that your organization will not be taking title to the natural gas nor will you be making payments for customers.
- Other (Describe):

c. **PROPOSED SERVICES:** Describe in detail the natural gas supply services which the Applicant proposes to offer.

Procurement management/Brokership

d. **PROPOSED SERVICE AREA:** Check the box of each Natural Gas Distribution Company for which the Applicant proposes to provide service.

- |   |  |
|---|--|
| <input type="checkbox"/> Columbia                     | <input type="checkbox"/> Philadelphia Gas Works      |
| <input type="checkbox"/> National Fuel Gas            | <input type="checkbox"/> UGI Central Penn            |
| <input type="checkbox"/> PECO                         | <input type="checkbox"/> UGI Penn natural            |
| <input type="checkbox"/> Peoples Gas – Equitable Div. | <input type="checkbox"/> UGI Utilities               |
| <input type="checkbox"/> Peoples Natural Gas          | <input type="checkbox"/> Valley Energy               |
| <input type="checkbox"/> Peoples Gas Company          | <input checked="" type="checkbox"/> All of the above |

e. **CUSTOMERS:** Applicant proposes to provide services to:

- Residential Customers
- Small Commercial Customers - (Less than 6,000 Mcf annually)
- Residential and Small Commercial as Mixed Meter ONLY **(CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE)**
- Large Commercial Customers - (6,000 Mcf or more annually)
- Industrial Customers
- Governmental Customers
- All of above (Except Mixed Meter)
- Other (Describe):

f. **START DATE:** Provide the approximate date the Applicant proposes to actively market within the Commonwealth.

Upon licensure

## 5. COMPLIANCE

- a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

The applicant has no proceedings to list

- b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

N/A

- c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. **Applicant should also include if it had a Pennsylvania PUC EGS or NGS license previously cancelled by the Commission.** If the Applicant has no actions or complaints to list, explicitly state such.

The applicant has no actions or complaints to list

- d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

N/A

## 6. PROOF OF SERVICE

**Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.**

*(Example Certificate of Service is attached at Appendix C)*

Exhibit C

- a.) **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Office of Consumer Advocate  
5th Floor, Forum Place  
555 Walnut Street  
Harrisburg, PA 17120

Office of the Attorney General  
Bureau of Consumer Protection  
Strawberry Square, 14th Floor  
Harrisburg, PA 17120

Office of the Small Business Advocate  
Commerce Building, Suite 202  
300 North Second Street  
Harrisburg, PA 17101

Commonwealth of Pennsylvania  
Department of Revenue  
Bureau of Compliance  
Harrisburg, PA 17128-0946

Bureau of Investigation & Enforcement  
Pennsylvania Public Utility Commission  
Commonwealth Keystone Building  
400 North Street, 2 West  
Harrisburg, PA 17120

- b.) **NGDCs:** Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Natural Gas Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each NGDC is as follows.

<p><b>Columbia Gas of PA, Inc.</b>  Michele Caddell  290 W. Nationwide Blvd.  Columbus, OH 43215  PH: 614.460.6841  FAX: 614.460.8447  e-mail: <a href="mailto:mcaddell@nisource.com">mcaddell@nisource.com</a></p>	
<p><b>Peoples Natural Gas – Equitable Division</b>  Lynda Petrichevich  375 North Shore Drive  Pittsburgh, PA 15212  PH: 412.208.6528  FAX: 412.208.6577  e-mail: <a href="mailto:Lynda.w.petrichevich@peoples-gas.com">Lynda.w.petrichevich@peoples-gas.com</a></p>	<p><b>National Fuel Gas Distribution Corp.</b>  David D. Wolford  6363 Main Street  Williamsville, NY 14221  PH: 716.857.7483  FAX: 716.857.7479  e-mail: <a href="mailto:wolfordd@natfuel.com">wolfordd@natfuel.com</a></p>
<p><b>The Peoples Natural Gas Company</b>  Lynda Petrichevich  375 North Shore Drive  Pittsburgh, PA 15212  PH: 412.208.6528  FAX: 412.208.6577  e-mail: <a href="mailto:Lynda.w.petrichevich@peoples-gas.com">Lynda.w.petrichevich@peoples-gas.com</a></p>	<p><b>PECO</b>  Carlos Thillet, Manager, Gas Supply and Transportation  2301 Market Street, S9-2  Philadelphia, PA 19103  PH: 215.841.6452  Email: <a href="mailto:carlos.thillet@exeloncorp.com">carlos.thillet@exeloncorp.com</a></p>
<p><b>Peoples Gas Company</b>  Lynda Petrichevich  375 North Shore Drive  Pittsburgh, PA 15212  PH: 412.208.6528  FAX: 412.208.6577  e-mail: <a href="mailto:Lynda.w.petrichevich@peoples-gas.com">Lynda.w.petrichevich@peoples-gas.com</a></p>	<p><b>Philadelphia Gas Works</b>  Nicholas LaPergola  800 West Montgomery Avenue  Philadelphia, PA 19122  PH: 215.684.6278  email: <a href="mailto:nicholas.lapergola@pqworks.com">nicholas.lapergola@pqworks.com</a></p>
<p><b>UGI Central Penn</b>  David Lahoff  2525 N. 12<sup>th</sup> Street, Suite 360  Reading, PA 19612-2677  PH: 610.796.3520  Email: <a href="mailto:dlahoff@uqi.com">dlahoff@uqi.com</a></p>	<p><b>UGI</b>  David Lahoff  2525 N. 12<sup>th</sup> Street, Suite 360  Reading, PA 19612-2677  PH: 610.796.3520  Email: <a href="mailto:dlahoff@uqi.com">dlahoff@uqi.com</a></p>
<p><b>Valley Energy Inc.</b>  Ed Rogers  523 South Keystone Avenue  Sayre, PA 18840-0340  PH: 570.888-9664  FAX: 570.888.6199  email: <a href="mailto:erogers@ctenterprises.org">erogers@ctenterprises.org</a></p>	<p><b>UGI Penn Natural</b>  David Lahoff  2525 N. 12<sup>th</sup> Street, Suite 360  Reading, PA 19612-2677  PH: 610.796.3520  Email: <a href="mailto:dlahoff@uqi.com">dlahoff@uqi.com</a></p>

## 7. FINANCIAL FITNESS

- a. **BONDING:** In accordance with 66 Pa. C.S. Section 2208(c), no natural gas supplier license shall be issued or remain in force unless the applicant or holder furnishes a bond or other security in a form and amount to ensure the financial responsibility of the natural gas supplier. The criteria used to determine the amount and form of such bond or other security shall be set by each NGDC. Provide documentation that the applicant has met the security requirement of each NGDC by submitting the letters sent by the NGDCs stating what bonding amounts they require.

Exhibit D

- b. **FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
- Applicant's accounting statements, including balance sheet and income statements for the past two years.
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements exhibiting accounts over a minimum two year period.
- Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

See Exhibit E

- c. **SUPPLIER FUNDING METHOD:** If Applicant is operating as anything other than Broker/Marketer only, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

N/A

- d. **BROKER PAYMENT STRUCTURE:** If applicant is a broker/marketer, explain how your organization will be collecting your fees.

Global Energy, LLC will be collecting fees directly from the suppliers it works with on a pre-determined per kWh or per term basis. Payments will typically be residual and paid out over the life of a contract term, but also may be paid in a lump-sum at the outset of a new supply contract.



- e. **ACCOUNTING RECORDS CUSTODIAN:** Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

Danny Stanton, CPA  
1025 Westchester Ave, White Plains, NY 10604  
914-286-6901 / danny@stantonandleone.com

- f. **TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix D to this application.

*All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.*

*Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.*

See Exhibit F

## 8. TECHNICAL FITNESS

To ensure that the present quality and availability of service provided by natural gas distribution companies does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

- a. **EXPERIENCE, PLAN, STRUCTURE:** such information may include:
- Applicant's previous experience in the natural gas industry.
  - Summary and proof of licenses as a supplier of natural gas services in other states or jurisdictions.
  - Type of customers and number of customers Applicant currently serves in other jurisdictions.
  - Staffing structure and numbers as well as employee training commitments.
  - Business plans for operations within the Commonwealth.
  - Any other information appropriate to ensure the technical capabilities of the Applicant.

SEE EXHIBIT G

- b. **PROPOSED MARKETING METHOD** (check all that apply)

- Internal – Applicant will use its own internal resources/employees for marketing
- External NGS – Applicant will contract with a **PUC LICENSED NGS**
- Affiliate – Applicant will use a **NON-NGS affiliate that is a nontraditional marketer and/or marketing services consultant**
- External Third-Party – Applicant will contract with a **NON-NGS third party nontraditional marketer and/or non-selling marketer**
- Other (Describe):

c. **DOOR TO DOOR SALES:** Will the Applicant be implementing door to door sales activities?

- Yes  
 No

If yes, will the Applicant be using verification procedures?

- Yes  
 No

If yes, describe the Applicant's verification procedures.

d. **OVERSIGHT OF MARKETING:** Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

Every person or organization representing Global Energy, LLC will be intensely screened as to assure that all actions taken are done in full compliance with any local, state, or federal regulation related to the sales activities. Global Energy, LLC adheres to a strict internal code of conduct as well, such that any violation of the ethical guidelines that form the backbone of Global Energy's reputation will result in immediate termination and/or ceasing of the professional relationship.

e. **OFFICERS:** Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.

See Exhibit G for resumes

## 9. DISCLOSURE STATEMENT:

*(Not applicable for an applicant applying for a license exclusively as a broker/marketer.)*

**DISCLOSURE STATEMENTS:** If proposing to serve Residential and/or Small Commercial (less than 6,000 Mcf annually) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix E to this Application.

- Natural gas should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

## 10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. **STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 62.114.

AGREED

- b. **REPORTING REQUIREMENTS:** Applicant agrees to provide the following information to the Commission:

- **Reports of Gross Receipts:** Applicant shall file an annual report with the Commission on an annual basis no later than April 30<sup>th</sup> following the end of the calendar year per 52 Pa. Code § 62.110.

AGREED

- c. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. § 2208(d). Transferee will be required to file the appropriate licensing application.

AGREED

- d. **ANNUAL FEES:** The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling natural gas in the Commonwealth of PA, and a supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.

ACKNOWLEDGED

- e. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 62.105.

AGREED

- f. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

AGREED

- g. NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within thirty (30) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 62.105.

AGREED

- h. CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

AGREED

- i. FILING FEE:** The Applicant has enclosed or paid the required, non-refundable filing fee by **CERTIFIED CHECK OR MONEY ORDER** in the amount of \$350.00 payable to the Commonwealth of Pennsylvania. The Commission does not accept corporate or personal checks for filing fees.

PAYMENT ENCLOSED

**11. AFFIDAVITS**  
(All affidavits must be notarized before filing.)

- a.) APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.

See Exhibit H

- b.) OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the Public Utility Code of Pennsylvania and applicable federal and state laws. An example copy of this Affidavit can be found at Appendix B.

See Exhibit H

**12. NEWSPAPER PUBLICATIONS** See Exhibit I

**Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.**

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve.


The chart below dictates which newspapers are necessary for each NGDC. For example, an applicant that wants to operate in Peoples – Equitable would need to run ads in both The Erie Times-News and the Pittsburgh Post-Gazette. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

The only acceptable verification of this requirement is with Notarized Proofs of Publication, which may be requested from each newspaper and must be supplied with this application. Applicants do not need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	Erie Times-News	Harrisburg Patriot-News	Philadelphia Daily News	Pittsburgh Post-Gazette	Scranton Times-Tribune	Williamsport Sun-Gazette	Johnstown Tribune-Democrat
Columbia Gas	X	X		X		X	X
National Fuel Gas				X			
PECO			X				
Peoples - Equitable	X			X			
Peoples Natural Gas	X			X			X
Peoples Gas Company				X			
Philadelphia Gas Works			X				
UGI		X	X		X		
UGI Central Penn	X	X	X	X	X	X	X
UGI Penn Natural		X			X	X	
Valley Energy					X	X	
Entire Commonwealth	X	X	X	X	X	X	X

*(Example Publications are provided at Appendices F and G)*

**13. SIGNATURE**

  
 By: John Kim  
 Title: President

## 14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections is complete.

**Applicant:** Global Energy, LLC

X	<b>Signature</b>	
X	<b>Filing Fee (ONLY CERTIFIED CHECK OR MONEY ORDER)</b>	
X	<b>Application Affidavit</b>	
X	<b>Operations Affidavit</b>	
X	<b>Proof of Publication</b>	
X	<b>Tax Certification Statement</b>	
X	<b>Commonwealth Department of State Verification</b>	
X	<b>Certificate of Service</b>	

Applicant's Use

PUC Secretary's Bureau Use

# **Exhibit A**

COMMONWEALTH OF PENNSYLVANIA  
DEPARTMENT OF STATE  
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS  
401 NORTH STREET, ROOM 206  
P.O.BOX 8722  
HARRISBURG,PA 17105-8722  
[WWW.CORPORATIONS.PA.GOV](http://WWW.CORPORATIONS.PA.GOV)

Alex Riemer  
5 Penn Plaza Ste. 2336  
New York, NY NY 10001

Global Energy E&G LLC

THE BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS IS HAPPY TO SEND YOUR FILED DOCUMENT. THE BUREAU IS HERE TO SERVE YOU AND WE WOULD LIKE TO THANK YOU FOR DOING BUSINESS IN PENNSYLVANIA.

IF YOU HAVE ANY QUESTIONS PERTAINING TO THE BUREAU,PLEASE VISIT OUR WEBSITE AT [www.dos.pa.gov/BusinessCharities](http://www.dos.pa.gov/BusinessCharities) OR YOU MAY CONTACT US BY TELEPHONE AT (717)787-1057. INFORMATION REGARDING BUSINESS AND UCC FILINGS CAN BE FOUND ON OUR SEARCHABLE DATABASE AT [www.corporations.pa.gov/Search/CorpSearch](http://www.corporations.pa.gov/Search/CorpSearch) .

ENTITY NUMBER : 6557381



# **Exhibit B**

F 040517000932

ARTICLES OF ORGANIZATION  
OF

GLOBAL ENERGY, LLC  
*(Insert name of Limited Liability Company)*

Under Section 203 of the Limited Liability Company Law

FILED

2004 MAY 17 PM 3:23

Prepared by: **ALEX WEISS**  
*(Name)*  
**368 RANDHILL ROAD**  
*(Mailing address)*  
**GREENWICH, CT 06831**  
*(City, State and ZIP code)*

STATE OF NEW YORK  
DEPARTMENT OF STATE  
FILED MAY 17 2004  
TAXS  
BY: *[Signature]*

NOTE: • This form was prepared by the New York State Department of State for filing articles of organization for a domestic limited liability company. It does not contain all optional provisions under the law. You are not required to use this form. You may draft your own form or use forms available at legal stationery stores. The Department of State recommends that legal documents be prepared under the guidance of an attorney. The certificate must be submitted with a \$200 filing fee made payable to the Department of State.

RECEIVED

2004 MAY 17 PM 1:03

2

971

F 040517000 932

New York State  
Department of State  
Division of Corporations, State Records  
and Uniform Commercial Code  
41 State Street  
Albany, NY 12243  
www.dos.state.ny.us

(This form must be printed or typed in black ink)

ARTICLES OF ORGANIZATION  
OF

GLOBAL ENERGY, LLC  
(Insert name of Limited Liability Company)

Under Section 203 of the Limited Liability Company Law

FIRST: The name of the limited liability company is  
GLOBAL ENERGY, LLC

SECOND: The county within this state in which the office of the limited liability company is to  
be located is: WESTCHESTER

THIRD: The Secretary of State is designated as agent of the limited liability company upon  
whom process against it may be served. The address within or without this state to which the  
Secretary of State shall mail a copy of any process against the limited liability company served upon  
him or her is:

368 ROUNDHILL ROAD  
GREENWICH, CT 06831

(signature of organizer)



(print or type name of organizer)

By Alexander Weiss  
Member

1

**State of New York**  
**Department of State** } **ss:**

I hereby certify, that GLOBAL ENERGY, LLC a NEW YORK Limited Liability Company filed Articles of Organization pursuant to the Limited Liability Company Law on 05/17/2004, and that the Limited Liability Company is existing so far as shown by the records of the Department.



\*\*\*

*WITNESS my hand and the official seal  
of the Department of State at the City of  
Albany, this 02nd day of October two  
thousand and seventeen.*

A handwritten signature in dark ink, appearing to read "Brendan W. Fitzgerald", is written over a horizontal line.

*Brendan W. Fitzgerald  
Executive Deputy Secretary of State*

Global Energy, LLC dba Global Energy E&G LLC

List of officers

John Kim, President

5 Penn Plz, 23<sup>rd</sup> Floor

New York, NY 10001

# **Exhibit C**

## Appendix C


*Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.*

### Example CERTIFICATE OF SERVICE

\_\_\_\_\_ 4 day of April 2018, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as a Natural Gas Supplier and all **NON-CONFIDENTIAL** attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:

<p><b>Office of Consumer Advocate</b> 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120</p>	<p><b>Office of the Attorney General</b> Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120</p>
<p><b>Office of the Small Business Advocate</b> Commerce Building, Suite 202 300 North Second Street Harrisburg, PA 17101</p>	<p><b>Commonwealth of Pennsylvania</b> Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946</p>
<p><b>Columbia Gas of PA, Inc.</b> Michele Caddell 290 W. Nationwide Blvd. Columbus, OH 43215 PH: 614.460.6841 FAX: 614.460.8447 e-mail: <a href="mailto:mcaddell@nisource.com">mcaddell@nisource.com</a></p>	<p><b>Bureau of Investigation &amp; Enforcement</b> Pennsylvania Public Utility Commission Commonwealth Keystone Building 400 North Street, 2 West Harrisburg, PA 17120</p>
<p><b>Peoples Natural Gas – Equitable Division</b> Lynda Petrichevich 375 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: <a href="mailto:Lynda.w.petrichevich@peoples-gas.com">Lynda.w.petrichevich@peoples-gas.com</a></p>	<p><b>National Fuel Gas Distribution Corp.</b> David D. Wolford 6363 Main Street Williamsville, NY 14221 PH: 716.857.7483 FAX: 716.857.7479 e-mail: <a href="mailto:wolfordd@natfuel.com">wolfordd@natfuel.com</a></p>
<p><b>The Peoples Natural Gas Company</b> Lynda Petrichevich 375 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: <a href="mailto:Lynda.w.petrichevich@peoples-gas.com">Lynda.w.petrichevich@peoples-gas.com</a></p>	<p><b>PECO</b> Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2 Philadelphia, PA 19103 PH: 215.841.6452 Email: <a href="mailto:carlos.thillet@exeloncorp.com">carlos.thillet@exeloncorp.com</a></p>
<p><b>Peoples Gas Company</b> Lynda Petrichevich 375 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: <a href="mailto:Lynda.w.petrichevich@peoples-gas.com">Lynda.w.petrichevich@peoples-gas.com</a></p>	<p><b>Philadelphia Gas Works</b> Nicholas LaPergola 800 West Montgomery Avenue Philadelphia, PA 19122 PH: 215.684.6278 email: <a href="mailto:nicholas.lapergola@pgworks.com">nicholas.lapergola@pgworks.com</a></p>

<p><b>UGI Central Penn</b>  David Lahoff  2525 N. 12<sup>th</sup> Street, Suite 360  Reading, PA 19612-2677  PH: 610.796.3520  Email: <a href="mailto:dlahoff@uqi.com">dlahoff@uqi.com</a></p>	<p><b>UGI</b>  David Lahoff  2525 N. 12<sup>th</sup> Street, Suite 360  Reading, PA 19612-2677  PH: 610.796.3520  Email: <a href="mailto:dlahoff@uqi.com">dlahoff@uqi.com</a></p>
<p><b>Valley Energy Inc.</b>  Ed Rogers  523 South Keystone Avenue  Sayre, PA 18840-0340  PH: 570.888-9664  FAX: 570.888.6199  email: <a href="mailto:erogers@centerprises.org">erogers@centerprises.org</a></p>	<p><b>UGI Penn Natural</b>  David Lahoff  2525 N. 12<sup>th</sup> Street, Suite 360  Reading, PA 19612-2677  PH: 610.796.3520  Email: <a href="mailto:dlahoff@uqi.com">dlahoff@uqi.com</a></p>

  
John Kim, President



# **Exhibit D**



March 15, 2018

John Kim  
Global Energy LLC, dba Global Energy E&G LLC  
5 Penn Plaza  
New York, NY 10001

Dear John Kim:

We are pleased that Global Energy LLC, dba Global Energy E&G LLC has applied for a license to provide Natural Gas Broker/Marketer Services on the distribution system of Columbia Gas of Pennsylvania, Inc. ("Columbia Gas").

Under Paragraph 2.4.5 of the Rules Applicable to Distribution Service section of the Tariff of Columbia Gas, Global Energy LLC, dba Global Energy E&G LLC could be required to provide to Columbia Gas a bond or other financial security instrument in an amount that Columbia Gas determines to be appropriate. Global Energy LLC, dba Global Energy E&G LLC has indicated only brokering and consulting services will be provided. Therefore, we have determined at this time that Global Energy LLC, dba Global Energy E&G LLC does not need a bond or other financial security requirement to provide broker natural gas services to Columbia Gas customers.

If the creditworthiness requirement or Columbia Gas' exposure to Global Energy LLC, dba Global Energy E&G LLC changes in the future, Columbia Gas might deem it appropriate to require Global Energy LLC, dba Global Energy E&G LLC to provide a bond or other financial security instrument.

Please feel free to contact me at 614-460-4712 should you have any questions regarding a bond or other financial security instrument requirements of Columbia Gas.

Sincerely,

*Patricia Chang*

Patricia Chang  
Manager of Choice and Transportation Support Services



**National Fuel**

March 15, 2018

John Kim, President  
Global Energy, LLC d/b/a Global Energy E&G LLC  
5 Penn Plaza, 23rd Floor  
New York, NY 10001

Re: Security Requirement for Global Energy, LLC d/b/a Global Energy E&G LL

Dear John,

National Fuel Gas Distribution Corporation (“NFGDC”) is aware Global Energy, LLC d/b/a Global Energy E&G LL (GEN) has filed an application with the Pennsylvania Public Utility Commission to supply natural gas service to the public in Pennsylvania and specifically within the service territory of NFGDC.

As you know, in making such an application, GEN must furnish acceptable security to each utility where GEN will do business. As such, under its tariff, NFGDC could require GEN to provide a bond or other financial security instrument in an amount that NFGDC determines to be appropriate.

However, you have indicated, and it is NFGDC’s understanding that GEN intends only to provide natural gas aggregating, brokering and consulting services at this time. You have stated that, in performing these services, GEN will never take title to any delivered natural gas.

Based upon your representations, NFGDC has determined that, at this time, GEN does not need to post a bond or other form of security to operate in its service territory. However, if the services provided by GEN change in the future, NFGDC reserves the right to require security from GEN as it deems appropriate.

If you have any questions concerning the foregoing, please contact me at 716-857-7541.

Yours truly,

Nicole Baier  
Transportation Services Department



An Exelon Company

April 2, 2018

Global Energy, LLC dba Global E&G LLC  
John Kim, President  
5 Penn Plaza  
NY, NY 10001

Re: Bonding Requirements

Dear John Kim:

PECO is aware that Global Energy, LLC dba Global E&G LLC has applied for a license to provide brokering and consulting services to commercial and industrial customers on the distribution system of PECO.

In making such an application Global Energy, LLC dba Global E&G LLC could be required to provide to PECO a bond or other acceptable financial security in an amount that PECO determines to be appropriate. Global Energy, LLC dba Global E&G LLC has indicated that it intends to provide only brokering and consulting services to commercial and industrial customers and will not take title to any delivered natural gas; nor will accept any customer payments or deposits. Therefore, PECO has determined at this time that Global Energy, LLC dba Global E&G LLC does not need a bond or other financial security requirement, since they are not directly engaging in business with PECO and only providing brokering or consulting services to PECO customers. However, if the services provided by Global Energy, LLC dba Global E&G LLC or the creditworthiness requirement for PECO's exposure to Global Energy, LLC dba Global E&G LLC changes in the future, PECO reserves the right to require Global Energy, LLC dba Global E&G LLC to provide a bond or other financial security instrument.

If you should have any questions regarding this matter, please contact Chris Sauerbaum at 215-841-6422 or myself at 215-841-6452.

Respectfully submitted,

A handwritten signature in black ink that reads "Carlos P. Thillet".

Carlos P. Thillet  
Manager, Gas Supply and Transportation  
2301 Market Street  
Philadelphia, Pa 19103



375 North Shore Drive  
Pittsburgh, Pennsylvania 15212

[www.peoples-gas.com](http://www.peoples-gas.com)

**Lynda W. Petrichevich**  
Vice President, Regulatory Affairs

**Peoples Service Company LLC**  
Phone: 412-208-6528; Fax: 412-208-6577  
Email: [lpetrichevich@peoples-gas.com](mailto:lpetrichevich@peoples-gas.com)

March 14, 2018

John Kim  
President  
Global Energy, LLC dba Global Energy E&G LLC  
5 Penn Plaza  
New York, NY 10001

Dear Mr. Kim:

We are pleased that Global Energy, LLC dba Global Energy E&G LLC has applied for a license to provide natural gas services on the Peoples Group of Companies. Specifically you have requested to be licensed as a supplier on the distribution systems of Peoples Natural Gas Company LLC, Peoples Natural Gas Company LLC – Equitable Division, and Peoples Gas Company LLC (formerly Peoples TWP) (“the Companies”).

Since Global Energy, LLC dba Global Energy E&G LLC is not currently serving customers on the Peoples systems, we have determined at this time that Global Energy, LLC dba Global Energy E&G LLC does not need a bond or other financial security requirement to provide these services to the Company’s customers.

If a Pool is established, and customers are enrolled which alters the creditworthiness requirement or the Company’s exposure to Global Energy, LLC dba Global Energy E&G LLC provision of services on the Peoples’ system changes in the future, the Companies may deem it appropriate to require a bond or other financial instrument.

If you have any questions feel free to contact me at 412-208-6528 or by email at [Lynda.W.Petrichevich@peoples-gas.com](mailto:Lynda.W.Petrichevich@peoples-gas.com).

Sincerely,

Lynda W. Petrichevich  
Vice President – Regulatory Affairs  
Peoples Natural Gas Company LLC

Cc: Carol Scanlon  
Stephen Kelly



**PHILADELPHIA GAS WORKS**

800 West Montgomery Avenue • Philadelphia, PA 19122

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March 14, 2018

John Kim, President  
Global Energy, LLC dba Global Energy E&G LLC  
5 Penn Plaza  
New York, NY 10001

Mailing Address: LicenseLogix  
140 Grand Street, Suite 300  
White Plains, NY 10601  
Email: [TBitterman@LicenseLogix.com](mailto:TBitterman@LicenseLogix.com)

Re: Security Requirement Bond for Global Energy, LLC

Dear Mr. Kim:

Philadelphia Gas Works ("PGW") is aware that Global Energy, LLC has filed an application with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania and specifically within the services territory of Philadelphia Gas Works.

As you know, in making such an application, Global Energy, LLC must furnish acceptable security to each utility where Global Energy, LLC will do business. As such, under its tariff, Philadelphia Gas Works could require Global Energy, LLC to provide a bond or other financial security instrument in an amount that Philadelphia Gas Works determines to be appropriate.

However, you have indicated, and it is Philadelphia Gas Works' understanding, that Global Energy, LLC intends only to provide natural gas aggregating, brokering and consulting services at this time. You have stated that in performing these services Global Energy, LLC will never take title to any delivered natural gas.

Based upon your representations, Philadelphia Gas Works has determined that, at this time, Global Energy, LLC does not need to post a bond or other form of security to operate in its service territory. If the services provided by Apollo Edison LLC should change, Philadelphia Gas Works reserves the right to require security from Apollo Edison LLC as it deems appropriate.

If you have any questions concerning the foregoing, please contact me at 215-684-6725.

Sincerely,

A handwritten signature in blue ink, appearing to read 'John Zuk', is written above the printed name.

JOHN ZUK  
Vice President, Gas Supply

NL/dls



UGI Utilities, Inc.  
2525 North 12<sup>th</sup> Street  
Suite 360  
Post Office Box 12677

March 15, 2018

John Kim, President  
Global Energy, LLC dba Global Energy E&G LLC  
5 Penn Plaza  
NY, NY 10001

RE: Global Energy E&G LLC application to serve as a Natural Gas Broker

Dear Mr. Kim,

Based on your assertion that Global Energy E&G LLC, ("GLOBAL ENERGY") is applying with the State of Pennsylvania to operate as a natural gas broker/marketer, UGI Utilities Inc. ("UGIU") has concluded that GLOBAL ENERGY will not need to post security with UGI-Central Penn Gas ("CPG"), UGI-Penn Natural Gas ("PNG") or UGI Utilities Gas Division ("UGI"). This is based on the declaration that GLOBAL ENERGY will not be taking title to gas or directly serving end use customers. This also assumes that GLOBAL ENERGY will be acting in conjunction with a licensed Natural Gas Supplier who has been approved by the Pennsylvania Public Utility Commission to serve in the applicable UGIU service territories and who has posted the required financial security as specified in the respective UGIU tariffs. If GLOBAL ENERGY wishes to directly serve Choice customers in the service territories of UGI, PNG and/or CPG in the future as a natural gas supplier, it will have to post security as specified in the respective UGI tariffs prior to the commencement of the service.

Please feel free to contact me with any additional questions that you may have.

Sincerely,

David E. Lahoff  
Senior Manager, Tariff & Supplier Administration  
UGI Utilities, Inc.



# VALLEY ENERGY

523 S. Keystone Avenue, P.O. Box 340, Sayre, PA 18840  
800/998-4427 • 570/888-9664 • FAX 570/888-6199

March 28, 2018

John Kim, President  
Global Energy, LLC d/b/a Global Energy E&G, LLC  
5 Penn Plaza  
New York, NY 10001

Dear Mr. Kim:

We understand that Global Energy, LLC d/b/a Global Energy E&G, LLC has applied with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania including our company's service area.

Because Global Energy, LLC d/b/a Global Energy E&G, LLC intends to only provide natural gas aggregating, brokering and consulting services at this time, we have determined that Global Energy, LLC d/b/a Global Energy E&G, LLC will not be required to post a bond or other form of financial security instrument to provide these services in our service area. However, if the services provided change in the future, we reserve the right to require security from Global Energy, LLC d/b/a Global Energy E&G, LLC as deemed appropriate.

If you have any questions, please contact Jamie Levering at 570-888-9664.

Sincerely,

Edward E. Rogers  
President & CEO

EER/ss

cc: J. Levering, Valley Energy  
[TBitterman@licenselogix.com](mailto:TBitterman@licenselogix.com)



# Exhibit E

**GLOBAL ENERGY, LLC**  
**FINANCIAL STATEMENTS**  
**DECEMBER 31, 2015**

**GLOBAL ENERGY, LLC**  
**DECEMBER 31, 2015**

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<b>Financial Statements:</b>	
Statements of Financial Position	2
Statements of Operations and Members' Equity	3
Statements of Cash Flow	4
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## Independent Auditors' Report

To the Members of  
Global Energy, LLC.  
Forest Hills, NY

We have audited the accompanying financial statements of Global Energy, LLC. as of December 31, 2015, which comprise the statement of financial position, and the related statement of operations and changes in members' equity, and cash flows for the year then ended, and the related notes of the financial statements.

### Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

### Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

### Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Global Energy, LLC. as of December 31, 2015, and the results of its operations and its cash flows for the year then ended in accordance with accounting principles generally accepted in the United States of America.

A handwritten signature in black ink that reads "Fuoco Group, LLP".

New York, NY  
August 5, 2016

**GLOBAL ENERGY LLC**  
**STATEMENT OF FINANCIAL POSITION**  
**DECEMBER 31, 2015**

	<b>2015</b>
<b>ASSETS</b>	
Current Assets	
Cash	\$ 210,441
Accounts receivable	708,562
Due from Big Apple Energy LLC	242,045
Total current assets	1,161,048
Other Assets	
Security deposits	7,105
Total assets	\$ 1,168,153
<b>LIABILITIES AND MEMBERS' EQUITY</b>	
Current Liabilities	
Accounts payable and accrued expenses	\$ 18,449
Sales tax payable	63,945
Total current liabilities	82,394
Non-Current Liabilities	
Members' promissory notes payable	400,000
Total liabilities	482,394
Members' equity	685,759
Total liabilities and members' equity	\$ 1,168,153

See accompanying notes to the financial statements and independent auditor's report

**GLOBAL ENERGY LLC**  
**STATEMENT OF OPERATIONS AND CHANGES IN MEMBERS' EQUITY**  
**FOR THE YEAR ENDED DECEMBER 31, 2015**

	<b>2015</b>
<b>NET SALES</b>	\$ 5,631,965
<b>COST OF SALES</b>	4,402,092*
Gross profit	1,229,873
<b>OPERATING EXPENSES</b>	
Salaries and wages	226,733
Professional Fees	76,464
General Administrative expense	65,424
Rent	18,600
Marketing and advertising	8,463
Total operating expenses	395,684
Income from operations	834,189
<b>OTHER INCOME AND EXPENSES</b>	
Interest expense	(61,054)
<b>NET INCOME</b>	773,135
<b>MEMBERS' EQUITY - JANUARY 1</b>	(87,376)
<b>MEMBERS' EQUITY - DECEMBER 31</b>	\$ 685,759

See accompanying notes to the financial statements and independent auditor's report

**GLOBAL ENERGY LLC**  
**STATEMENT OF CASH FLOW**  
**FOR THE YEAR ENDED DECEMBER 31, 2015**

	<b>2015</b>
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>	
Net Income	\$ 773,135
Adjustments to reconcile net income to net cash provided by operating activities:	
Changes in operating activities	
(Increase) decrease in operating assets:	
Accounts receivable	(130,761)
Security deposits	(7,181)
Prepaid expenses	60,704
Due from Big Apple Energy LLC	(148,289)
Decrease in operating liabilities:	
Accounts payable and accrued expenses	(142,618)
Sales tax payable	(7,868)
Net cash provided by operating activities	397,122
<b>CASH FLOWS FROM FINANCING ACTIVITIES</b>	
Proceeds from members' promissory notes payable	200,000
Repayment to members' promissory notes payable	(450,000)
Net cash used in financing activities	(250,000)
Net increase in cash and cash equivalents	147,122
<b>CASH AT BEGINNING OF YEAR</b>	63,318
<b>CASH AT END OF YEAR</b>	\$ 210,441

See accompanying notes to the financial statements and independent auditor's report

**GLOBAL ENERGY, LLC**  
**NOTES TO FINANCIAL STATEMENTS**

**NOTE 1 – NATURE OF ORGANIZATION**

Global Energy, LLC (the Company) is a limited liability company organized in the State of New York. The company is an ESCO (Energy Service Company) serving the New York State National Grid Market with Natural Gas for residential and commercial use. The company began operations in July 1<sup>st</sup>, 2014; it is located in Forest Hills, New York.

**NOTE 2 – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES**

*Basis of accounting* - The financial statements of the Company have been prepared on an accrual basis of accounting, recognizing income when earned and expenses when incurred.

*Cash and cash equivalents* - For financial statement purposes, the Company considers all highly liquid investments purchased with an original maturity of three months or less when purchased to be cash equivalents.

*Revenues* - The Company derives revenue from selling natural gas to KeySpan and Con Edison at a variable rate per therm. Revenue is recognized when delivery is made. The Company also record revenues for electricity and gas provided but unbilled at the end of each month. Rates include provisions to adjust billings for fluctuations in fuel and purchased power costs and cost of gas. Revenues are adjusted for difference between actual costs subject to reconciliation and the amounts billed in current rates.

*Accounts Receivable and Allowance for Doubtful Accounts* - Accounts receivable consist of billings provided by KeySpan and Con Edison. The provision for bad debts is determined by analysis of each open account taking into consideration several pertinent factors to determine whether the account is collectable or not. The allowance for bad debts is based upon periodic review of accounts over 90 days old, combined with several other collection process factors.

*Income taxes* - As a limited liability company, the members have elected be a treated as a partnership for federal and state income tax purposes. As such, no provision or credit has been made in the accompanying consolidated financial statements for federal or state income taxes since the members allocable share of the Company's taxable income or loss are reportable on their income tax returns.

The Company has adopted the recognition requirements for uncertain income tax positions as required by generally accepted accounting principles, with no cumulative effect adjustment required. Income tax benefits are recognized for income tax positions taken or expected to be taken in a tax return, only when it is determined that the income tax position will more-likely-than-not be sustained upon examination by taxing authorities. The Company has analyzed tax positions taken for filing with the Internal Revenue Service and all state jurisdictions where it operates. The Company believes that income tax filing positions will be sustained upon examination and does not anticipate any adjustments that would result in a material adverse affect on the Company's financial condition, results of operations or cash flows. Accordingly, the Company has not recorded any reserves, or related accruals for interest and penalties for uncertain income tax positions.

The Company is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress.

*Use of estimates* - The preparation of financial statements in conformity with U.S. generally accepted accounting principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.



**GLOBAL ENERGY, LLC**  
**NOTES TO FINANCIAL STATEMENTS**

**NOTE 2 – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (continued)**

*Advertising Costs* - The Company expenses advertising costs as they are incurred.

*Derivate liabilities* – The Company enter into derivative financial instruments as part of the marketing and hedging activities. These financial instruments are generally accounted for under the mark-to-market method, which results in the recognition in earnings of unrealized gains and losses from changes in the fair value of the derivatives. The Company utilize forward contracts to mitigate risk associated with the marketing and trading activity as well as for proprietary trading within defined risk guideline.

**NOTE 3 – FAIR VALUE**

Derivatives are generally recorded at fair value and shown as Derivative Assets or Liabilities. Contracts we typically classify as derivative instruments include power, natural gas, oil and certain coal forwards, futures, options and swaps, and foreign currency exchange contracts.

The Company manages its mark-to-market (MTM) risk on a portfolio basis based upon the delivery period of its contracts and the individual components of the risks within each contract. Accordingly, the Company records and manages the energy purchase and sale obligations under its contracts in separate components based on the commodity (e.g. electricity or natural gas), the product (e.g. electricity for delivery during peak or off-peak hours), the delivery location (e.g. by region), the risk profile (e.g. forward or option), and the delivery period (e.g. by month and year).

The Company has established a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value in three broad levels. The fair value hierarchy gives the highest priority to quoted prices (unadjusted) in active markets for identical assets or liabilities (Level 1) and the lowest priority to unobservable inputs (Level 3).

**NOTE 4 – CONCENTRATION OF CREDIT RISK**

The Company's financial instruments consist of cash and accounts receivable. The Company maintains cash balances at a financial institution. Cash accounts are insured per institution by the Federal Deposit Insurance Corporation. At December 31, 2015, the Company's deposits were not in excess of insured limits.

**NOTE 5 – CONCENTRATION OF ENERGY SUPPLIERS**

The Company's revenue was earned using the client data base and services of two vendors; KeySpan and Con Ed. In the event the vendor's terminated service, Company's ability to continue as a going concern would be greatly diminished.

**GLOBAL ENERGY, LLC**  
**NOTES TO FINANCIAL STATEMENTS**

**NOTE 6 – MEMBERS’ PROMISSORY NOTES PAYABLE**

Members’ promissory notes payable consists of the following at December 31, 2015:

	<u>2015</u>
Promissory notes payable to John Kim, managing director, which bears interest at a fixed rate of 10% and requires monthly payment of interest through maturity of 2024	\$ 200,000
Promissory note Payable to Renata Weis, member, which bears interest at a fixed rate of 10% and requires monthly payment of interest through maturity of 2024	<u>200,000</u>
Promissory notes payable	<u>\$ 400,000</u>

**NOTE 7 – SUBSEQUENT EVENTS**

On April 6, 2016, Company has entered into a promissory note agreement with Alex Weiss, a member. The terms are \$100,000 principal, maturity date of July 6, 2018 at 6.0% per annum, with monthly payments of principal and interest starting on May 6, 2016.

The Company has evaluated subsequent events through August 5, 2016, the date which the financial statements were issued.

# Global Energy, L.L.C.

## PROFIT AND LOSS

January - December 2015

	TOTAL
<b>INCOME</b>	
Commission Income	554,928.05
Metered - Electricity	303,588.21
Metered - Gas	4,230,423.39
Sales of Product Income	238,854.12
Service Charges	1,985.67
Services	0.00
Unmetered - Electricity	-7,238.48
Unmetered - Gas	-46,477.12
<b>Total Income</b>	<b>\$5,276,063.84</b>
<b>COST OF GOODS SOLD</b>	
Cost of Goods Sold	
Billing Adjustment	-179,010.07
Hedge/Swap Surplus/Deficit	599,804.13
<b>Total Cost of Goods Sold</b>	<b>420,794.06</b>
Electricity - ESCO	4,921.11
Ancillaries Cost	6,387.61
Capacity Charges	90,203.77
Energy Cost Adjustments from Prior Months (V2,V3)	-1,770.10
NYISO Purchased Energy	140,877.34
Scheduling Fee	757.67
Supply Agreement Fee	5,984.96
<b>Total Electricity - ESCO</b>	<b>247,362.36</b>
Gas - ESCO	2,705,687.01
Gas - Swing Charge	-40,898.52
Gas Imbalance - True Up	143,740.83
Scheduling Fee	88,672.07
Utilities - POR Fees	130,333.03
<b>Total Cost of Goods Sold</b>	<b>\$3,695,690.84</b>
<b>GROSS PROFIT</b>	<b>\$1,580,373.00</b>
<b>EXPENSES</b>	
Accounting Fees - CRC	1,445.18
Advertising	224.75
Bank Charges	418.00
Commissions	56,402.25
Agency	188,224.09
Electric	3,619.09
Gas	223,949.12
Rep Commissions	1,039.29
<b>Total Commissions</b>	<b>473,233.84</b>
Consulting	19,250.00
Delivery costs	441.91
Disability Insurance	180.00
Employee Benefits	28,715.16
Extraordinary Expense	11,745.49
Federal Unemployment Tax	0.00

	TOTAL
GRT Tax	11,461.02
Guaranteed Pay - John Kim	154,920.06
Insurance	659.47
Legal Fees	309.00
Licenses, Fees & Permits	1,569.99
Life Insurance	1,200.00
Marketing	7,447.18
Hosting Services	790.97
<b>Total Marketing</b>	<b>8,238.15</b>
Meals and Entertainment	2,000.00
Miscellaneous	-313.50
Office Supplies & Equipment	6,621.24
Software	4,870.33
<b>Total Office Supplies &amp; Equipment</b>	<b>11,491.57</b>
Payroll Expenses	35.60
Taxes	3,002.81
Wages	26,282.69
<b>Total Payroll Expenses</b>	<b>29,321.10</b>
Payroll Processing	993.97
Payroll Taxes	0.00
Professional Fees	55,459.61
Rent	18,600.00
Salary & Wages	1,141.42
Selling Expenses	190.83
State Unemployment Tax	0.00
T&E Expenses	4,751.55
Telephone	2,572.53
<b>Total Expenses</b>	<b>\$840,221.10</b>
<b>NET OPERATING INCOME</b>	<b>\$740,151.90</b>
<b>OTHER EXPENSES</b>	
Extraordinary Items	24,827.01
Interest Expense	61,053.62
Taxes	2,910.12
<b>Total Other Expenses</b>	<b>\$88,790.75</b>
<b>NET OTHER INCOME</b>	<b>\$ -88,790.75</b>
<b>NET INCOME</b>	<b>\$651,361.15</b>

# Global Energy, L.L.C.

## BALANCE SHEET

As of December 31, 2016

	TOTAL
<b>ASSETS</b>	
Current Assets	
Bank Accounts	
Checking	0.00
Citibank	0.00
TD Bank	311,015.11
<b>Total Bank Accounts</b>	<b>\$311,015.11</b>
Accounts Receivable	
Accounts Receivable	9,596.14
Accounts Receivable - Metered	304,763.38
Accounts Receivable - Unmetered	270,493.75
<b>Total Accounts Receivable</b>	<b>\$584,853.27</b>
Other Current Assets	
Loan Receivable	11,471.12
Organization Costs	0.00
Prepaid Commissions	0.00
Prepaid Expenses	0.00
Undeposited Funds	7,300.00
<b>Total Other Current Assets</b>	<b>\$18,771.12</b>
<b>Total Current Assets</b>	<b>\$914,639.50</b>
Other Assets	
Deposits - NYISO	0.00
Security Deposit - 98-20 METROPOLITAN AVENUE	0.00
Security Deposit - ConEd Duel Billing	3,104.89
Security Deposit - Penn Plaza	6,916.00
<b>Total Other Assets</b>	<b>\$10,020.89</b>
<b>TOTAL ASSETS</b>	<b>\$924,660.39</b>
<b>LIABILITIES AND EQUITY</b>	
Liabilities	
Current Liabilities	
Accounts Payable	
Accounts Payable	6,478.19
<b>Total Accounts Payable</b>	<b>\$6,478.19</b>
Other Current Liabilities	
Accrued Expenses	3,184.60
Benefit Withholding	0.00
Deferred Commission Income	0.00
Direct Deposit Payable	0.00
Disability Withholding	0.00
Due to Big Apple Energy	-290,051.72
Due to ConEd	121,775.11
GRT Payable	6,124.49
Loan Payable - RW	300,000.00

	TOTAL
Payroll Liabilities	
Federal Taxes (941/944)	7,608.52
Federal Unemployment (940)	117.78
NY MCTMT Employer Tax	0.00
NYS Employment Taxes	1,003.16
NYS Income Tax	705.39
<b>Total Payroll Liabilities</b>	<b>9,434.85</b>
Sales Tax Payable	65,910.37
<b>Total Other Current Liabilities</b>	<b>\$216,377.70</b>
<b>Total Current Liabilities</b>	<b>\$222,855.89</b>
Long-Term Liabilities	
Shareholder Notes Payable-JK	200,000.00
<b>Total Long-Term Liabilities</b>	<b>\$200,000.00</b>
<b>Total Liabilities</b>	<b>\$422,855.89</b>
Equity	
Equity	
Alex Weiss Distribution	-314,250.00
Alex Weiss Equity	20,000.00
John Kim Distributions	-250,000.00
Joshua Rand Distribution	0.00
<b>Total Equity</b>	<b>-544,250.00</b>
Opening Bal Equity	0.00
Retained Earnings	608,234.97
Net Income	437,819.53
<b>Total Equity</b>	<b>\$501,804.50</b>
<b>TOTAL LIABILITIES AND EQUITY</b>	<b>\$924,660.39</b>

# Global Energy, L.L.C.

## PROFIT AND LOSS

January - December 2016

	TOTAL
<b>INCOME</b>	
Commission Income	781,730.27
Metered - Electricity	211,970.64
Metered - Gas	4,643,025.10
Prepaid Commission Income	68,500.78
Service Charges	5,700.00
Unmetered - Electricity	-2,933.32
Unmetered - Gas	34,872.71
<b>Total Income</b>	<b>\$5,742,866.18</b>
<b>COST OF GOODS SOLD</b>	
Cost of Goods Sold	
Billing Adjustment	6,146.30
Green Product Cost	1,634.24
Hedge/Swap Surplus/Deficit	586,143.95
<b>Total Cost of Goods Sold</b>	<b>593,924.49</b>
Electricity - ESCO	1,926.33
Ancillaries Cost	9,134.25
Capacity Charges	54,677.30
Electric Hedges	1,096.46
Energy Cost Adjustments from Prior Months (V2,V3)	-13,485.94
NYISO Purchased Energy	96,338.35
Scheduling Fee	1,298.88
Supply Agreement Fee	6,042.85
<b>Total Electricity - ESCO</b>	<b>157,028.48</b>
Gas - ESCO	3,050,722.19
Gas - Swing Charge	-14,110.72
Gas Imbalance - True Up	58,762.09
Scheduling Fee	112,554.07
Utilities - POR Fees	104,317.68
<b>Total Cost of Goods Sold</b>	<b>\$4,063,198.28</b>
<b>GROSS PROFIT</b>	<b>\$1,679,667.90</b>
<b>EXPENSES</b>	
Auto	4,900.00
Bank Charges	320.00
Commissions	-29.18
Agency	359,948.16
Commissions - Meiyou Marketing	106,238.77
Electric	3,067.54
Gas	236,357.24
<b>Total Commissions</b>	<b>705,582.53</b>
Consulting	6,900.00
Employee Benefits	30,673.19

	TOTAL
Extraordinary Expense	26,619.69
GRT Tax	4,981.29
Guaranteed Pay - John Kim	160,795.44
Insurance	5,628.53
Licenses, Fees & Permits	8,704.58
Life Insurance	1,200.00
Marketing	3,159.93
Hosting Services	1,358.88
<b>Total Marketing</b>	<b>4,518.81</b>
Meals and Entertainment	711.84
Miscellaneous	-7.44
NYC Business Tax	49,076.69
Office Supplies & Equipment	2,426.73
Software	19,597.70
<b>Total Office Supplies &amp; Equipment</b>	<b>22,024.43</b>
Payroll Expenses	
Taxes	11,174.27
Wages	102,880.81
<b>Total Payroll Expenses</b>	<b>114,055.08</b>
Payroll Processing	94.80
Payroll Taxes	45.87
Professional Fees	8,630.00
Rent	29,029.43
Selling Expenses	446.44
Software Processing Amortization	60.00
T&E Expenses	4,413.14
Telephone	3,852.12
<b>Total Expenses</b>	<b>\$1,193,256.46</b>
NET OPERATING INCOME	<b>\$486,411.44</b>
OTHER EXPENSES	
Extraordinary Items	1,300.00
Interest Expense	44,291.91
Reconciliation Discrepancies-1	0.00
Taxes	3,000.00
<b>Total Other Expenses</b>	<b>\$48,591.91</b>
NET OTHER INCOME	<b>\$ -48,591.91</b>
NET INCOME	<b>\$437,819.53</b>



## **Exhibit F**

Tax Certification Statement- Document is confidential and is being submitted via mail.

# Exhibit G

# JOHN H KIM

jkim@globalenergyllc.net  
5 Penn Plaza, 23rd Floor, New York NY 10001  
(718) 303-9630  
www.globalenergyllc.net

## EXPERIENCE

### **Global Energy, LLC | 5 Penn Plaza, 23<sup>rd</sup> Floor, New York NY 10001**

**President** February 2010 – Present

Since taking over as President of Global Energy in 2010, John has grown Global's customer base from 17 to the over 4,000 it proudly represents today. John's uncompromising commitment to the principles of honesty, transparency, and customer value form the foundation of Global Energy's business model. The outstanding reputation that Global Energy enjoys can largely be derived from this ethical foundation John has mandated.

As president, John oversees all aspects of Global Energy's growth, team, and customer experience. John makes sure that all initiatives are being orchestrated in harmony and with the proper commitment to the overarching principles to ensure long term satisfaction for customers, employees, and shareholders alike.

### **LEE EASTER REALTORS & BUILDERS | AUSTIN, TX**

**Realtor** January 2008- February 2010

### **SKY BLUE LAUNDROMAT | BRONX, NY**

**Store Operator** January 2003- December 2008

## EDUCATION

### **RUTGERS UNIVERSITY | NEW BRUNSWICK, NJ**

**MAY 1998** Bachelor of Liberal Arts, Psychology, High Honors

### **ALLIANCE THEOLOGICAL SEMINARY**

**May 2009** Master of Divinity

Global Energy, LLC dba Global Energy E&G LLC

Pennsylvania Broker Application

Additional Information

8a. Global Energy, LLC has extensive experience in both the direct gas and electric supply as well as brokering industry. Founded in 2004, Global Energy has over 4,000 customers across multiple states enjoying top tier customer service and natural gas and electric supply options. Global Energy, LLC is an established name in both natural gas and electric supply and brokering in a variety of markets. Global Energy, LLC has an outstanding reputation, exemplified by its lack of customer complaints.

**Global Energy maintains broker licenses in the following states (see attached for licenses):**

MA Electric (EB-339)

MA Gas (RA-144)

MD Electric (ML#149212)

MD Gas (ML#149642)

NJ Electric & Gas (EA-0378, PA-0183, EC-0123)

Washington DC Electric (EA 2013-29)

RI Electric & Gas

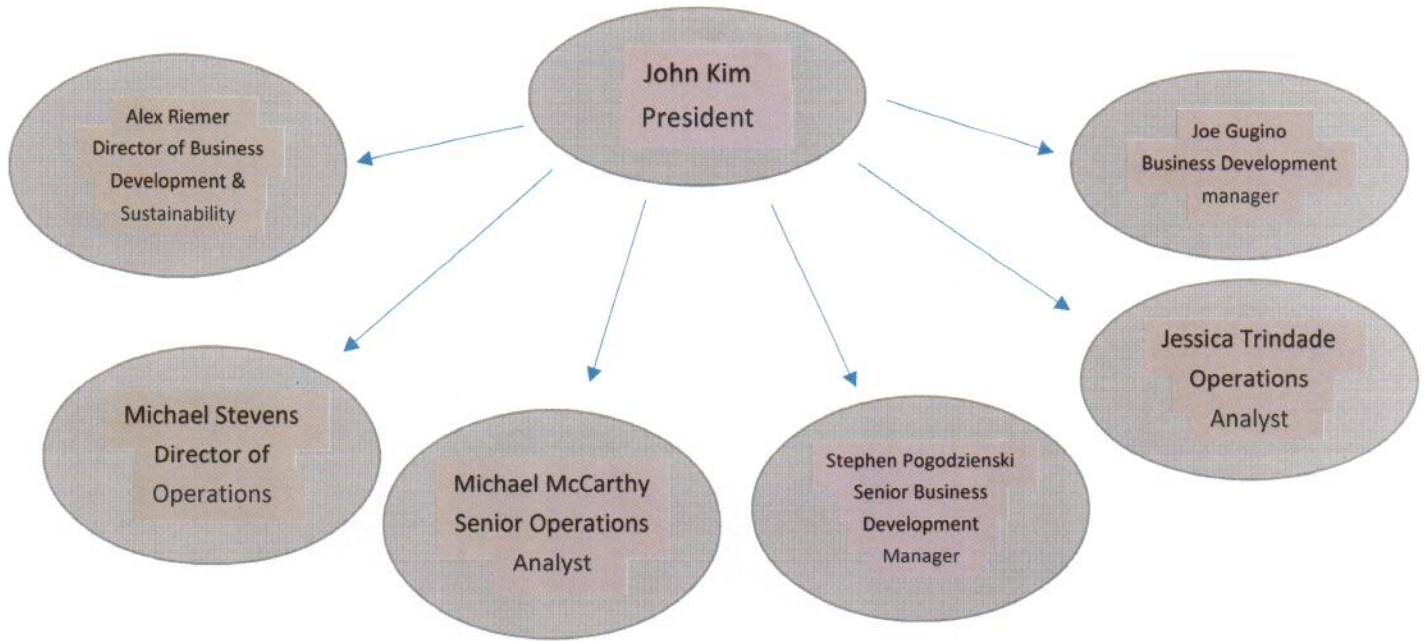
**Global Energy maintains supplier licenses in the following states (see attached for licenses):**

NY Electric & Gas

NJ Electric & Gas

Global Energy, LLC currently serves residential, commercial, and industrial customers in other jurisdictions.

**Staffing Structure:**



**Business Plans for Operation within the Commonwealth:**

Global Energy, LLC plans to use existing relationships, connections, and online presence to build a customer base of commercial and industrial customers within the commonwealth. By strategically advising customers on their energy purchasing decisions within the Commonwealth, Global will expand its reach via gained brand recognition, referral network, and reputation. If necessary, Global Energy may decide to hire staff and/or open a regional office within the Commonwealth to increase sales and customer support.

# **Exhibit H**

Appendix A

APPLICATION AFFIDAVIT

[Commonwealth/State] of ~~New York~~ New Jersey :

ss.

County of ~~New York~~ Union :

John Kim, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the President (Office of Affiant) of Global Energy LLC (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein Global Energy LLC has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an natural gas supplier pursuant to 66 Pa. C.S. § 2208 (c)(1).

That the Applicant herein Global Energy LLC has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein Global Energy LLC acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein Global Energy LLC acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

[Handwritten Signature]

Sworn and subscribed before me this 4 day of APRIL, 2018.

[Handwritten Signature]  
Signature of official administering oath

My commission expires April 1, 2020.





Appendix B

OPERATIONS AFFIDAVIT

[Commonwealth/State] of New York New Jersey :

SS.

County of New York Union :

John Kim, Affiant, being duly [sworn/affirmed] according to law,  
deposes and says that:

[He/she is the President (Office of Affiant) of Global Energy LLC  
(Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That Global Energy, LLC, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That Global Energy, LLC, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render natural gas supply service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Global Energy, LLC, the Applicant herein, certifies to the Commission that it is subject to, will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 22 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28 shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional natural gas sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

**Appendix B (Continued)**

That Global Energy LLC, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506 and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Office of Communications or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

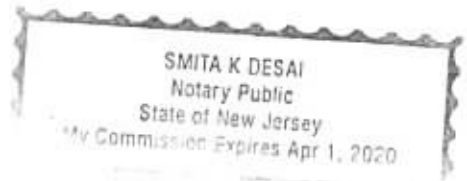
That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.



Sworn and subscribed before me this 4 day of April, 2018.

  
Signature of official administering oath

My commission expires April 1, 2020.



# **Exhibit I**

Proof of Publication in The Philadelphia Daily News  
Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA  
COUNTY OF PHILADELPHIA

Helene Sweeney being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

November 24, 2017

Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

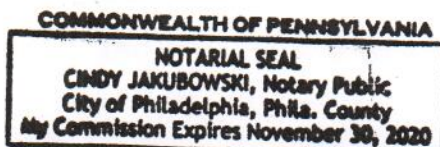


Sworn to and subscribed before me this 24th day of  
November, 2017.



Notary Public

My Commission Expires:



Copy of Notice of Publication

PENNSYLVANIA  
PUBLIC UTILITY COMMISSION  
NOTICE

Applications of Global Energy, LLC dba Global Energy E&G LLC For Approval To Offer, Render, or Furnish Services as a Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services. To The Public In The Commonwealth Of Pennsylvania.  
Global Energy, LLC dba Global Energy E&G LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. Global Energy, LLC dba Global Energy E&G LLC will also be filing an application with the PUC for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. Global Energy, LLC dba Global Energy E&G LLC proposes to sell electricity, natural gas, and related services throughout all of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.  
The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Global Energy, LLC dba Global Energy E&G LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Global Energy, LLC dba Global Energy E&G LLC attorney at the address listed below.  
Global Energy, LLC dba Global Energy E&G LLC  
5 Penn Plaza, 23RD Floor  
New York, New York 10001  
718-303-9630

The Scranton Times (Under act P.L. 877 No 160. July 9,1976)  
Commonwealth of Pennsylvania, County of Lackawanna

LICENSELOGIX  
JESSICA TAYLOR  
140 GRAND ST. STE. 300 WHITE PLAINS NY 10601

Account # 570967  
Order # 82148474  
Ad Price: 292.10

GLOBAL ENERGY, LLC  
Ann Marie Fortese

Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

11/23/2017

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true *Ann Marie Fortese*

Sworn and subscribed to before me  
this 24th day of November A.D., 2017

*Gina Krushinski*  
\_\_\_\_\_  
(Notary Public)

COMMONWEALTH OF PENNSYLVANIA  
NOTARIAL SEAL  
Gina Krushinski, Notary Public  
City of Scranton, Lackawanna County  
My Commission Expires March 30, 2021  
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

LEGAL NOTICE

**PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE**  
Applications of **Global Energy, LLC dba Global Energy E&G LLC** For Approval To Offer, Render, or Furnish Services as a Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

**Global Energy, LLC dba Global Energy E&G LLC** will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. **Global Energy, LLC dba Global Energy E&G LLC** will also be filing an application with the PUC for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. **Global Energy, LLC dba Global Energy E&G LLC** proposes to sell electricity, natural gas, and related services throughout all of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Global Energy, LLC dba Global Energy E&G LLC** may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to **Global Energy, LLC dba Global Energy E&G LLC** attorney at the address listed below.

PROOF OF PUBLICATON OF NOTICE IN THE WILLIAMSPORT  
SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA

COUNTY OF LYCOMING

SS:

Bernard A. Oravec, Publisher of the Sun-Gazette LLC publishers of the Williamsport Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

November 27, 2017

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette LLC, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and declare that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.



SUN-GAZETTE LLC

Sworn to and subscribed before me

The 28<sup>th</sup> day of November 2017

Beth A. Miller  
COMMONWEALTH OF PENNSYLVANIA

Notary Public

NOTARIAL SEAL  
BETH A MILLER  
Notary Public  
CITY OF WILLIAMSPORT, LYCOMING COUNTY  
My Commission Expires Apr 18, 2020



STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette LLC, Dr.:

For publishing the notice attached

Hereto on the above state dates.....\$ 318.56

Probated same.....\$

Total.....\$ 318.56

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

THE SUN-GAZETTE LLC hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid

SUN-GAZETTE LLC

BY Bernard A. Oravec

**PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE**  
Applications of **Global Energy, LLC dba Global Energy E&G LLC** For Approval To Offer, Render, or Furnish Services as a Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

**Global Energy, LLC dba Global Energy E&G LLC** will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. **Global Energy, LLC dba Global Energy E&G LLC** will also be filing an application with the PUC for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. **Global Energy, LLC dba Global Energy E&G LLC** pro-

poses to sell electricity, natural gas, and related services throughout all of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Global Energy, LLC dba Global Energy E&G LLC** may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to **Global Energy, LLC dba Global Energy E&G LLC** attorney at the address listed below.

**Global Energy, LLC dba Global Energy E&G LLC**  
5 Penn Plaza, 23RD FL  
New York, New York  
10001  
718-303-9630

**BUSINESS NAME: Sun Gazette Retail**

Date: 11/21/2017

Street Address: 252 W Fourth Street

City, State: Zip: Williamsport, PA 17701

**TRANSACTION TYPE:**

**Purchase**

**Transaction Details:**

Card Type: American Express Keyed In      Response Message: Transaction status: Accepted

Card Number: \*\*\*\*\*1026

Approval Code: 261044

Invoice Number: LZ0001

System Trace:

Authorization Time: 13:29:29

Surcharge:

Authorization Date: 11212017

Cash Back Amount:

Current Balance:

Transaction Amount: 318.56

**Total Charge: 318.56**

**Signature:** \_\_\_\_\_

COMMONWEALTH OF PENNSYLVANIA }  
 County of Cambria } SS

**PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE**

Applications of **Global Energy, LLC dba Global Energy E&G LLC** For Approval To Offer, Render, or Furnish Services as a Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

**Global Energy, LLC dba Global Energy E&G LLC** will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. **Global Energy, LLC dba Global Energy E&G LLC** will also be filing an application with the PUC for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. **Global Energy, LLC dba Global Energy E&G LLC** proposes to sell electricity, natural gas, and related services throughout all of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Global Energy, LLC dba Global Energy E&G LLC** may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to **Global Energy, LLC dba Global Energy E&G LLC** attorney at the address listed below.

**Global Energy, LLC dba Global Energy E&G LLC**  
 5 Penn Plaza, 23RD Floor  
 New York, New York 10001  
 718-303-9630

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The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Global Energy, LLC dba Global Energy E&G LLC** may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to **Global Energy, LLC dba Global Energy E&G LLC** attorney at the address listed below.

Cambria, and Commonwealth of Pennsylvania and  
 utter published in said publication in the regular issues  
 November 22, 2017; and that the Affiant is not  
 ing and that all of the allegations as to time, place and

*Christine Marhefka*

**STATEMENT OF ADVERTISING COSTS**

Signed and sworn to before me on  
 1st day of December, 2017,  
 by Christine Marhefka making the statement.

*Vivian Ohs*

0.00 Lines @ \$2.50 per line	0.00
7.5 Inches @ \$25.00 per inch	187.50
Notary Fee	5.00
Clerical Fee	2.50
<b>Total Cost</b>	<b>195.00</b>

Commonwealth of Pennsylvania - Notary Seal  
 Vivian Ohs, Notary Public  
 Cambria County  
 My commission expires December 6, 2020  
 Commission number 1123017  
 Member, Pennsylvania Association of Notaries

To The Tribune-Democrat, Johnstown, PA  
 For publishing the notice or publication  
 attached hereto on the above stated dates.

**PUBLISHER'S RECEIPT FOR ADVERTISING COSTS**

\_\_\_\_\_ for publisher of \_\_\_\_\_  
 a newspaper of general circulation, hereby acknowledges receipt of the aforesaid  
 and publication costs and certifies that the same has been duly paid.

\_\_\_\_\_  
 (Name of Newspaper)

By \_\_\_\_\_



# TIMES PUBLISHING COMPANY

TIMES SQUARE  
 205 W. 12th STREET  
 ERIE, PENNSYLVANIA 16534-0001  
 TEL: (814) 870-1600 FAX: (814) 870-1814  
 INVOICE

BILLING PERIOD		ADVERTISER/CLIENT NAME
11/25/2017 - 11/26/2017		LICENSE LOGIX
TOTAL AMOUNT DUE	UNAPPLIED AMOUNT	TERMS OF PAYMENT
\$0.00		DUE UPON RECEIPT

PAGE #	BILLING DATE	INV #	BILLED ACCOUNT NAME AND ADDRESS	REMITTANCE ADDRESS
1	11/26/2017	15902	LICENSE LOGIX 140 GRAND ST SUITE 300 WHITE PLAINS, NY 10601 US	TIMES PUBLISHING COMPANY P.O. BOX 6137 ERIE, PA 16512-6137
BILLED ACCT #				
122994				

Erie Times News | GOERIE.com

PLEASE DETACH AND RETURN UPPER PORTION WITH YOUR REMITTANCE

DATE	PO Number	AD#	DESCRIPTION	SIZE	RATE	AMOUNT	NET
11/21/2017		292691	Legal cr card AMEX payment				-543.00
11/25/2017		292691	LEGAL / PUC Notice	2 x 4.00	67.00	543.00	543.00
			Ordered By: Theresa Bitterman				

**PLEASE PAY THIS AMOUNT ==>** **\$0.00**

INVOICE NUMBER	ADVERTISER INFORMATION		
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## Classifieds Work!

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Questions about your bill? (814) 870-1617  
 To place a classified ad (814) 456-7021  
 For home newspaper delivery (814) 453-4691

PROOF OF PUBLICATION  
In  
THE ERIE TIMES-NEWS  
COMBINATION EDITION

LICENSE LOGIX  
140 GRAND ST SUITE 300  
WHITE PLAINS NY 10601

REFERENCE: 122994 292691  
PUC Notice

STATE OF PENNSYLVANIA)  
COUNTY OF ERIE ) SS:

Brenda L. Learn, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 11/25/17

TOTAL COST: \$543.00 AD SPACE: 0 Lines

FILED ON: 11/25/17

**PENNSYLVANIA  
PUBLIC UTILITY COMMISSION  
NOTICE**

Applications of Global Energy, LLC dba Global Energy E&G LLC For Approval To Offer, Render, or Furnish Services as a Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

Global Energy, LLC dba Global Energy E&G LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. Global Energy, LLC dba Global Energy E&G LLC will also be filing an application with the PUC for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity Global Energy, LLC dba Global Energy E&G LLC proposes to sell electricity, natural gas, and related services throughout all of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Global Energy, LLC dba Global Energy E&G LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Global Energy, LLC dba Global Energy E&G LLC attorney at the address listed below.

**Global Energy, LLC dba Global Energy E&G LLC  
5 Penn Plaza, 23RD Floor  
New York, New York 10001  
718-303-9630**

EP-292691

Sworn to and subscribed before me this 27<sup>th</sup> day of November 2017

Affiant: Brenda L. Learn

NOTARY: Barbara J. Moore

COMMONWEALTH OF PENNSYLVANIA  
NOTARIAL SEAL  
Barbara J. Moore, Notary Public  
City of Erie, Erie County  
My Commission Expires March 23, 2020  
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

**Proof of Publication of Notice in Pittsburgh Post-Gazette**

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss M. Coleman, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the \_\_\_\_\_ regular \_\_\_\_\_ editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

**22 of November, 2017**

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

M. Coleman  
PG Publishing Company

Sworn to and subscribed before me this day of:  
November 22, 2017

Melanie L. Goodwin

COMMONWEALTH OF PENNSYLVANIA  
NOTARIAL SEAL  
Melanie L. Goodwin, Notary Public  
Findlay Twp., Allegheny County  
My Commission Expires May 12, 2018  
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

**STATEMENT OF ADVERTISING COSTS**

LicenseLogix LLC  
140 GRAND ST STE 300  
ATTN; JESSICA TAYLOR  
WHITE PLAINS NY 10601-4840

To PG Publishing Company

Total ----- \$517.50

**Publisher's Receipt for Advertising Costs**

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

Office  
2201 Sweeney Drive  
CLINTON, PA 15026  
Phone 412-263-1338

PG Publishing Company, a Corporation, Publisher of  
Pittsburgh Post-Gazette, a Newspaper of General Circulation

By Samuel J. Arbutins

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

**COPY OF NOTICE OR PUBLICATION**

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE Applications of Global Energy, LLC dba Global Energy E&G LLC For Approval To Offer, Render, or Furnish Services as a Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

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Global Energy, LLC dba Global Energy E&G LLC, 5 Penn Plaza, 23RD Floor, New York, New York, 10001, 718-303-9630