

COMMONWEALTH OF PENNSYLVANIA PENNSYLVANIA PUBLIC UTILITY COMMISSION 400 NORTH STREET, HARRISBURG, PA 17120

IN REPLY PLEASE REFER TO OUR FILE

May 3, 2018

Docket No. A-2018-3001160 Utility Code: 1220873

CERTIFIED

JOHN KIM PRESIDENT GLOBAL ENERGY E&G LLC 5 PENN PLAZA 23RD FLOOR NEW YORK NY 10001

RE: Natural Gas Supplier License Application

Dear Mr. Kim:

On April 16, 2018, Global Energy E&G LLC's application for a Natural Gas Supplier license was accepted for filing and docketed with the Public Utility Commission. The application was incomplete. In order for us to complete our analysis of your application, the Energy Industry Group requires answers to the attached question(s).

Please be advised that you are directed to forward the requested information to the Commission within <u>30</u> days of receipt of this letter. Failure to respond may result in the application being denied. As well, if Global Energy E&G LLC has decided to withdraw its application, please reply notifying the Commission of such a decision.

Please forward the information to the Secretary of the Commission at the address listed below. When submitting documents, all documents requiring notary stamps must have original signatures. Please note that some responses may be e-filed to your case, http://www.puc.pa.gov/efiling/default.aspx. A list of document types allowed to be e-filed can be found at http://www.puc.pa.gov/efiling/DocTypes.aspx.

Rosemary Chiavetta, Secretary Pennsylvania Public Utility Commission 400 North Street Harrisburg, PA 17120

Your provide the fo	answers should be verified per 52 Pa Code § 1.36. Accordingly, you must ollowing statement with your responses:
	I,, hereby state that the facts above set forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the

statements herein are made subject to the penalties of 18 Pa. C.S. § 4904 (relating to unsworn falsification to authorities).

The blank should be filled in with the name of the appropriate company representative, and the signature of that representative should follow the statement.

Please direct any questions to Stephen Jakab, Bureau of Technical Utility Services, at sjakab@pa.gov (preferred) or (717) 783-6174.

Sincerely

Rosemary Chiavetta

Secretary

Enclosure

Docket No. A-2018-3001160 Global Energy E&G LLC Data Requests

- 1. Reference Application, Section 1.a, Identity of Applicant The Applicant stated throughout the Application that the name of the applicant is Global Energy LLC d/b/a Global Energy E&G LLC. This is not consistent with the Pennsylvania Department of State filing which shows the company's name as Global Energy E&G LLC. Please either provide a corrected application page or submit a letter stating that the application contains numerous typographical errors and stating the correct name of the applicant consistent with the Pennsylvania Department of State. see attached email
- 2. Reference Application, Section 2.a, Fictitious Name The Applicant failed to provide a fictitious name registration. Please provide the missing documentation. see attached email
- 3. Reference Application, Section 7.f, Taxation The Applicant's Tax Certification Statement states the name of the applicant as Global Energy LLC. This is not consistent with the Pennsylvania Department of State filing which shows the company's name as Global Energy E&G LLC. Please provide and updated Tax Certification Statement stating the Applicant's name as Global Energy E&G LLC. Please resubmit an updated Tax Certification Statement. see attached email
- 4. Reference Application, Section 8.a, Technical Fitness Applicant failed to provide licenses for Massachusetts, Maryland, New Jersey, Rhode Island, and New York. Please provide the missing documentation. see attached
- 5. Reference Application, Section 11, Affidavits The Applicant's Affidavits state the name of the applicant is Global Energy LLC. This is not consistent with the Pennsylvania Department of State filing which shows the company's name as Global Energy E&G LLC. Please resubmit an updated Application Affidavit and an Operations Affidavit.
- 6. Reference Application, Section 12, Notarized Proofs Applicant failed to provide a notarized proof of publication for Harrisburg Patriot News. Please provide the notarized proofs of publication for the above newspaper. See attached

Theresa Bitterman

From: Jakab, Stephen <sjakab@pa.gov>
Sent: Friday, April 20, 2018 1:36 PM

To: Theresa Bitterman

Cc: Ingrid Fernandez; Shayna Desai

Subject: RE: Global Energy LLC d/b/a Global Energy E&G LLC

Good Afternoon,

I have been informed by our legal department that, in this instance, the "Global Energy LLC d/b/a Global Energy E&G LLC" listed on the Application, Bond, Affidavits, Tax Certification Statement, etc. is acceptable.

Thank you for your cooperation.

Stephen Jakab | Financial Analyst

Pennsylvania Public Utility Commission Bureau of Technical Utility Services End of line...

Click Here-Electric Generation Supplier (EGS): FAQs, Checklists, Required Forms, comprehensive Financial Security Info, et al.

From: Theresa Bitterman <TBitterman@Licenselogix.com>

Sent: Thursday, April 19, 2018 1:57 PM **To:** Jakab, Stephen <sjakab@pa.gov>

Cc: Ingrid Fernandez <IFernandez@LicenseLogix.com>; Shayna Desai <sdesai@licenselogix.com>

Subject: RE: Global Energy LLC d/b/a Global Energy E&G LLC

HI Stephen,

Thank you for taking the time to speak with me this afternoon. I look forward to hearing from you after you have had the opportunity to review the fictitious name issue with your supervisors further.

If there is anything we can do to be of assistance please do not hesitate to contact us.

Best, Theresa

• • •

licenselogix

Theresa Bitterman | Senior Account Manager TBitterman@licenselogix.com | www.licenselogix.com

140 Grand St, Suite 300 | White Plains, NY 10601 Office: 800.292.0909 x 409 | Fax: 212.672.1105







From: Jakab, Stephen <<u>sjakab@pa.gov</u>> Sent: Thursday, April 19, 2018 1:39 PM

To: Theresa Bitterman <TBitterman@Licenselogix.com>

Cc: Ingrid Fernandez < IFernandez@LicenseLogix.com > Subject: RE: Global Energy LLC d/b/a Global Energy E&G LLC

Sure. 717-783-6174

Thank you for your cooperation.

Stephen Jakab | Financial Analyst

Pennsylvania Public Utility Commission Bureau of Technical Utility Services End of line...

Click Here-Electric Generation Supplier (EGS): FAQs, Checklists, Required Forms, comprehensive Financial Security Info, et al.

From: Theresa Bitterman < TBitterman@Licenselogix.com >

Sent: Thursday, April 19, 2018 1:37 PM **To:** Jakab, Stephen <<u>sjakab@pa.gov</u>>

Cc: Ingrid Fernandez < <u>IFernandez@LicenseLogix.com</u>>

Subject: RE: Global Energy LLC d/b/a Global Energy E&G LLC

Good Afternoon Stephen,

Thank you for getting back to me. Would you have availability to discuss the application this afternoon?

Best, Theresa

• • •

licenselogi×

Theresa Bitterman | Senior Account Manager <u>TBitterman@licenselogix.com</u> | <u>www.licenselogix.com</u>

140 Grand St, Suite 300 | White Plains, NY 10601 Office: 800.292.0909 x 409 | Fax: 212.672.1105







From: Jakab, Stephen <<u>sjakab@pa.gov</u>> Sent: Thursday, April 19, 2018 1:36 PM

To: Theresa Bitterman < TBitterman@Licenselogix.com > Subject: Global Energy LLC d/b/a Global Energy E&G LLC

Good Afternoon,

I understand you have some questions regarding Global Energy LLC d/b/a Global Energy E&G LLC.

Thank you for your cooperation.

Stephen Jakab | Financial Analyst

Pennsylvania Public Utility Commission Bureau of Technical Utility Services

Click Here-Electric Generation Supplier (EGS): FAQs, Checklists, Required Forms, comprehensive Financial Security Info, et al.



GLOBAL ENERGY LLC

5 Penn Plaza, 23[®] Floor New York, NY 10001 1-800-385-7893 F 718-408-4923

4/30/2018

I, John Kim, herby state that the facts above set forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa. C.S. §4904 (relating to unsworn falsification to authorities).

Docusigned by:

--- 52D52BAD4F6E47C...

John Kim, President

Global Energy, LLC d/b/a Global Energy E&G LLC



THE COMMONWEALTH OF MASSACHUSETTS

DEPARTMENT OF PUBLIC UTILITIES

CHARLES D. BAKER GOVERNOR

KARYN E. POLITO LIEUTENANT GOVERNOR

MATTHEW A. BEATON SECRETARY OF ENERGY AND ENVIRONMENTAL AFFAIRS ONE SOUTH STATION BOSTON, MA 02110 (617) 305-3500 ANGELA M. O'CONNOR CHAIRMAN

ROBERT E. HAYDEN COMMISSIONER

CECILE M. FRASER
COMMISSIONER

January 26, 2018

John Kim, President Global Energy Direct 5 Penn Plaza, 23rd floor New York, NY 10001

Dear Mr. Kim,

The Department of Public Utilities ("Department") has reviewed your application for renewal of your **Electricity Broker License**, **EB-339**, and is pleased to inform you that your application for renewal has been approved.

As a condition of maintaining this license, you must file updated information within 30 days of any material or organic change in the information required by 220 CMR 11.05(2), and you must comply with all relevant requirements of G.L. c. 164 and the regulations promulgated thereunder, including 220 CMR 11.00, 12.00. Consistent with the information included with Global Energy Direct's application, the activities the Company is licensed to provide are limited to electricity broker services to commercial and industrial customers. If, at a later date, the Company seeks to provide electricity broker services to residential customers, it must first seek and obtain Department approval at the time of renewal of its license. If you decide to request renewal of your license next year, please submit the renewal application no later than November 1, 2018. The renewal application is available at: https://www.mass.gov/information-for-competitive-suppliers-and-electricity-brokers.

Matthew Nelson

Director, Electric Power Division

FAX: (617) 345-9101 www.mass.gov/dpu



THE COMMONWEALTH OF MASSACHUSETTS

DEPARTMENT OF PUBLIC UTILITIES

CHARLES D. BAKER GOVERNOR

KARYN E. POLITO LIEUTENANT GOVERNOR

MATTHEW A. BEATON SECRETARY OF ENERGY AND ENVIRONMENTAL AFFAIRS ONE SOUTH STATION BOSTON, MA 02110 (617) 305-3500 ANGELA M. O'CONNOR CHAIRMAN

ROBERT E. HAYDEN COMMISSIONER

CECILE M. FRASER
COMMISSIONER

January 22, 2018

John Kim Global Energy, LLC 5 Penn Plaza, 23rd Floor New York, NY 10001

Mr. Kim,

The Department of Public Utilities has reviewed Global Energy LLC's ("Global Energy") application renewal of its Gas Retail Agent license to serve commercial and industrial customers in the Commonwealth of Massachusetts. I am pleased to inform you that the application has been approved. Global Energy's license number is RA-144.

As a condition of maintaining its license, Global Energy must file updated information within 30 days of any material change in the information required by 220 CMR 14.04(2), and must comply with all relevant requirements of G.L. c. 164 and the regulations thereunder, including 220 CMR 12.00, 14.00 et seq. If you decide to request renewal of Global Energy's license next year, please submit a renewal application at least 30 days prior to the expiration of this license.

Sincerely,

George Yiankos, Director, Gas Division

any yearles

PAULA M. CARMODY PEOPLE'S COUNSEL

THERESA V. CZARSKI DEPUTY PEOPLE'S COUNSEL

STATE OF MARYLAND



OFFICE OF PEOPLE'S COUNSEL

6 Saint Paul Street, Suite 2102 Baltimore, Maryland 21202 (410) 767-8150 (800) 207-4055 FAX (410) 333-3616 WWW.OPC.STATE.MD.US ASSISTANT PEOPLE'S COUNSEL

WILLIAM F. FIELDS
PETER SAAR
GARY L. ALEXANDER
RONALD HERZFELD
GREGORY T. SIMMONS
JOSEPH G. CLEAVER
MOLLY G. KNOLL

October 15, 2013

David J. Collins, Executive Secretary Maryland Public Service Commission 6 St. Paul Street, 16th Floor Baltimore, Maryland 21202

Re: Global Energy, LLC filed, on August 22, 2013, an Application for a License to Supply Electricity or Electric Generation Services in Maryland. (ML# 149212)
October 16, 2013 Administrative Meeting

Dear Mr. Collins:

The Maryland Office of People's Counsel ("OPC") has reviewed the application of Global Energy, LLC for a license to supply electricity or Electric Generation Services in Maryland filed with the Commission on August 22, 2013. It is a New York based company, with several years of experience in brokering electricity to commercial consumers in focused marketing to several groups. There is no record of complaints from consumers concerning its operations in New York, upon OPC's review of the New York Public Service Commission for electricity or gas services. There is no licensing requirement for an Electricity Supply Company or ESCO in the State of New York.

John Kim, President of Global Energy, confirmed that any website inquiry would be followed up with a call and discussion, and if acceptable, an enrollment process conducted personally with the execution of a signed contract. Mr. Kim indicated that the company does not market to residential consumers, but will provide service incidental to its commercial business relationships.

David J. Collins, Executive Secretary October 15, 2013 Page 2

OPC does not have an objection, if the Commission determines to issue this company a license to supply electricity or electric generation services.

Respectfully submitted,

/electronic signature/

Peter Saar Assistant People's Counsel

PS/bl

cc: W. Kevin Hughes, Chairman

Harold D. Williams, Commissioner Lawrence Brenner, Commissioner Kelly Speakes-Backman, Commissioner

Anne E. Hoskins, Commissioner Leslie M. Romine, Staff Counsel

William Linzey, PSC Regulatory Economist John Kim, President, Global Energy, LLC PAULA M. CARMODY PEOPLE'S COUNSEL

THERESA V. CZARSKI DEPUTY PEOPLE'S COUNSEL **STATE OF MARYLAND**



OFFICE OF PEOPLE'S COUNSEL

6 Saint Paul Street, Suite 2102 Baltimore, Maryland 21202 (410) 767-8150 (800) 207-4055 FAX (410) 333-3616 WWW.OPC.STATE.MD.US ASSISTANT PEOPLE'S COUNSEL

WILLIAM F. FIELDS
PETER SAAR
GARY L. ALEXANDER
RONALD HERZFELD
GREGORY T. SIMMONS
JOSEPH G. CLEAVER
MOLLY G. KNOLL

October 28, 2013

David J. Collins, Executive Secretary Maryland Public Service Commission 6 St. Paul Street, 16th Floor Baltimore, Maryland 21202

> Re: Global Energy, LLC filed, on September 17, 2013, an Application for a License to Supply Natural Gas or Natural Gas Services in Maryland. (M.L. # 149642) October 30, 2013 Administrative Meeting

Dear Mr. Collins:

The Maryland Office of People's Counsel ("OPC") has reviewed the application of Global Energy, LLC for a license to supply natural gas or natural gas services in Maryland filed with the Commission on September 17, 2013. It is a New York based company, with several years of experience in brokering electricity and natural gas supply to commercial consumers in focused marketing to several groups. There is no record of complaints from consumers concerning its operations in New York, upon OPC's review of the New York Public Service Commission for electricity or gas services. There is no licensing requirement for an Energy Supply Company or ESCO in the State of New York.

John Kim, President of Global Energy, confirmed that any website inquiry would be followed up with a call and discussion, and if acceptable, an enrollment process conducted personally with the execution of a signed contract. Mr. Kim indicated that the company does not market to residential consumers, but will provide service incidental to its commercial business relationships.

David J. Collins, Executive Secretary October 28, 2013 Page 2

OPC does not have an objection, if the Commission determines to issue this company a license to supply electricity or electric generation services. If the company should decide to pursue residential consumers in Maryland in a more targeted manner, OPC would like an opportunity to review any marketing, sales and training materials that are associated with such additional marketing expansion at least 30 days in advance of their distribution to the public for review.

Respectfully submitted,

<u>/electronic signature/</u>

Peter Saar Assistant People's Counsel

PS/bl

cc: W. Kevin Hughes, Chairman

Harold D. Williams, Commissioner Lawrence Brenner, Commissioner Kelly Speakes-Backman, Commissioner

Anne E. Hoskins, Commissioner Leslie M. Romine, Staff Counsel

William Linzey, PSC Regulatory Economist John Kim, President, Global Energy, LLC



Phil Murphy Governor

Sheila Oliver Lt. Governor

STATE OF NEW JERSEY

Board of Public Utilities
44 South Clinton Avenue, 3rd Floor, Suite 314
Post Office Box 350
Trenton, New Jersey 08625-0350
www.nj.gov/bpu/

Aida Camacho-Welch Secretary of the Board (609) 292-1599

March 26, 2018

John Kim President Global Energy, LLC d/b/a Global Energy Electric & Gas 5 Penn Plaza, 23rd Floor New York, NY 10001

Re: Energy Agent, Private Aggregator and Energy Consultant Initial Registrations

Dkt. Nos. EE17080899L & GE17080900L

Dear Mr. Kim:

In accordance with the Electric Discount and Energy Competition Act of 1999, N.J.S.A. 48:3-49 et seq., at its March 26, 2018 Agenda meeting, the New Jersey Board of Public Utilities voted to issue **REGISTRATIONS** as an Energy Agent, Private Aggregator and Energy Consultant to Global Energy, LLC d/b/a Global Energy Electric & Gas. Your registration numbers are EA-0482, PA-0212 and EC-0159.

The enclosed registrations are effective March 26, 2018 and expire on March 25, 2019. These registrations and the rights thereunder are **Non-Transferable.**

This letter is not an endorsement of, nor is it intended for use in, the marketing promotions of the registrant. Registrants shall comply with all applicable law, including the Electric Discount and Energy Competition Act, which prohibits the unauthorized change of a customer's energy provider and other fraudulent and illegal marketing activities.

If you have any questions, please contact Valencia Hunt at (609) 292-0637.

Sincerely,

Aida Camacho-Welch Secretary of the Board

ACW/vch Encl.(s)



Board of Public Utilities

44 South Clinton Avenue, 3rd Floor, Suite 314, P.O. Box 350, Trenton, New Jersey 08625-0350

HEREBY REGISTERS

Global Energy, LLC d/b/a Global Energy Electric & Gas

76 Blanch Avenue Closter, New Jersey 07624

To conduct business in the State of New Jersey as an

Energy Agent

Aida Camacho-Welch
Secretary of the Board

Registration No. EA-0482

Expiration Date: March 26, 2018

March 25, 2019



Board of Public Utilities

44 South Clinton Avenue, 3rd Floor, Suite 314, P.O. Box 350, Trenton, New Jersey 08625-0350

HEREBY REGISTERS

Global Energy, LLC d/b/a Global Energy Electric & Gas

76 Blanch Avenue Closter, New Jersey 07624

To conduct business in the State of New Jersey as a

Private Aggregator

Aida Camacho-Welch Secretary of the Board Registration No. PA-0212

Effective Date: March 26, 2018 Expiration Date: March 25, 2019



Board of Public Utilities

44 South Clinton Avenue, 3rd Floor, Suite 314, P.O. Box 350, Trenton, New Jersey 08625-0350

HEREBY REGISTERS

Global Energy, LLC d/b/a Global Energy Electric & Gas

76 Blanch Avenue Closter, New Jersey 07624

To conduct business in the State of New Jersey as an

Energy Consultant

Aida Camacho-Welch Secretary of the Board

Registration No. EC-0159

Expiration Date: March 26, 2018

March 25, 2019

Global Energy LLC dba Global Energy E&G LLC

RE: NY license copy

Please note NY does not issue a license.



DIVISION OF PUBLIC UTILITIES AND CARRIERS

Thomas F. Ahern ADMINISTRATOR 89 Jefferson Boulevard Warwick, R.I. 02888

OFFICE (401) 941-4500 x115 FAX (401) 941-9207 TDD (401) 941-4500

May 12, 2016

Kelly Konkus Client Fulfillment Assistant LicenseLogix 140 Grand St, Suite 300 White Plains, NY 10601

Re: Global Energy, LLC dba NYC Clean Energy's Application for Registration as a Non Regulated Power Producer pursuant to R.I.G.L. 39-1-27.1(c). Division Docket D-96-6 (D8)

Dear Ms. Konkus:

The Division of Public Utilities has reviewed Global Energy, LLC dba NYC Clean Energy's application for registration as a nonregulated power producer, pursuant to R.I.G.L. 39-1-27.1(c) and the Division's Rules Applicable to Nonregulated Power Producers. The application is complete as to the statutory requirements of 39-1-27.1(c), itemized in statute at (i) through (ix) and the Division's rules.

The Division accepts the registration application of Global Energy, LLC dba NYC Clean Energy as a nonregulated power producer. In conformance with the law, please note that the registration must be updated within ten days of any change to the information included in your application.

Very truly yours,

cc: Luly Massaro, Commission Clerk John Bell, Utility Analyst

T. F. Oher

PA Media Group 2020 Technology Parkway Mechanicsburg, PA 17050



The Patriot News

INV#: 0008439874

LICENSELOGIX 140 GRAND ST STE 300 WHITE PLAINS, NY 10601

Sales Rep: Jennifer Rogers Account Number:240389 INV#: 0008439874

Remit Payment to: PA Media Group Dept 77571 P.O. Box 77000 Detroit, MI 48277-0571

Page 1 of 2

Date	Position	Description		CONTROL CONTRO	7 7 5 5 5 5 5 5 5 6 6 6 6 6 6 6 6 6 6 6
			P.O. Number	Ad Size	Costs
11/28/2017	Misc Legal Notice PA	PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE Applications of		1 x 51 L	
				Affidavit Notary Fee - 11/28/2017 Basic Ad Charge - 11/28/2017	\$5.00 \$239.57
				Total	\$244.57

FOR QUESTIONS CONCERNING THIS AFFIDAVIT, PLEASE CALL 717-255-8119



The Patriot News LEGAL AFFIDAVIT

AD#: 0008439874

Commonwealth of Pennsylvania,) ss

County of Cumberland)

Crystal Rosensteel being duly sworn, deposes that he/she is principal clerk of PA Media Group; that The Patriot News is a public newspaper published in the city of Mechanicsburg, with general circulation in Cumberland and Dauphin and surrounding counties, and this notice is an accurate and true copy of this notice as printed in said newspaper, was printed and published in the regular The Patriot News 11/28/2017

Principal Clerk of the Publisher

Sworn to and subscribed before me this 30th day of November 2017

aldonado Notary Public

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Applications of Global Energy, LLC dba Global Energy E&G LLC For Approval To Offer, Render, or Furnish Services as a Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

Global Energy, LLC dba Global Energy E&G LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas Global Energy, LLC dba Global Energy E&G LLC will also be filing an application with the PUC for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity Global Energy, LLC dba Global Energy E&G LLC proposes to sell electricity, natural gas, and related services throughout all of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.
The PUC may consider this

application without a hearing. Protests directed to the technical or financial fitness of Global Energy, LLC dba
Global Energy E&G LLC may be filed
within 15 days of the date of this notice
with the Secretary of the PUC, P.O. Box
3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Global Energy, LLC dba Global Energy E&G LLC attorney at the address listed below.

Global Energy, LLC dba Global Energy E&G LLC 5 Penn Plaza, 23RD Floor New York, New York 10001 718-303-9630

Commonwealth of Pennsylvania - Notary Seal Donna M. Maldonado, Notary Public **Dauphin County** My commission expires November 5, 2021 Commission number 1252842

MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES