



ORIGINAL

*Your Energy & Telecom Experts*

May 10, 2018

Pennsylvania Public Utility Commission  
Secretary  
400 North Street, Keystone Building  
Harrisburg, PA 17120

Re: Natural Gas Broker License Application

Dear Sir/Madam:

Pursuant to Commission rules and regulations, Cost Control Associates, Inc. (CCA), is providing an application for certification as a broker for the sale of natural gas.

A certified check is enclosed for the filing fee of \$350.

If you have any questions, please contact me directly at 518-824-0311 or via email at [Dave.Sadlocha@CostControlAssociates.com](mailto:Dave.Sadlocha@CostControlAssociates.com).

Sincerely,

A handwritten signature in black ink, appearing to read 'Dave Sadlocha', with a long horizontal flourish extending to the right.

Dave Sadlocha  
Senior Vice-President

Attachments

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PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

**BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION**

Application of Cost Control Associates, Inc., for approval to offer, render, furnish, or supply natural gas supply services as a Broker to the public in the Commonwealth of Pennsylvania.

To the Pennsylvania Public Utility Commission:

**1. IDENTIFICATION AND CONTACT INFORMATION**

**a. IDENTITY OF THE APPLICANT:**

Cost Control Associates, Inc.  
310 Bay Road  
Queensbury, NY 12804  
[www.CostControlAssociates.com](http://www.CostControlAssociates.com)  
518-798-4437

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**b. PENNSYLVANIA ADDRESS / REGISTERED AGENT:**

InCorp Services, Inc.  
7208 Red Top Road  
Hummelstown, PA 17036

**c. REGULATORY CONTACT:**

Dave Sadlocha, Vice President  
310 Bay Road, Queensbury, NY 12804  
Tel: 518-824-0311 Fax: 518-798-1735  
Email: [Dave.Sadlocha@CostControlAssociates.com](mailto:Dave.Sadlocha@CostControlAssociates.com)

**d. ATTORNEY:**

We will not be using an attorney relative to this application.

**e. CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS:**

Khalil Choukeir  
Energy Procurement Analyst  
310 Bay Road, Queensbury, NY 12804  
Tel: 518-798-4437 Fax: 518-798-1735  
Email: [Khalil.Choukeir@CostControlAssociates.com](mailto:Khalil.Choukeir@CostControlAssociates.com)

Sharon Laake  
Office Manager  
310 Bay Road, Queensbury, NY 12804  
Tel: 518-798-4437 Fax: 518-798-1735  
Email: [Sharon.Laaker@CostControlAssociates.com](mailto:Sharon.Laaker@CostControlAssociates.com)

**2. BUSINESS ENTITY FILINGS AND REGISTRATION**

**a. FICTITIOUS NAME:**

The Applicant will not be using a fictitious name.

**b. BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**

- The Applicant is a:
- domestic corporation (15 Pa. C.S. §1308)
  - foreign corporation (15 Pa. C.S. §4124)
  - domestic limited liability company (15 Pa. C.S. §8913)
  - foreign limited liability company (15 Pa. C.S. §8981)
  - Other (Describe):

Attached as Exhibit 1 is a copy of our Foreign Registration Statement. We are incorporated in the State of NY. Attached as Exhibit 2 is a copy of our charter documentation. Officers are:

Keith Laake, President/Treasurer, 310 Bay Road, Queensbury, NY 12804, 518-798-4437  
Dave Sadlocha, Vice President/Secretary, 310 Bay Road, Queensbury, NY 12804, 518-798-4437

**3. AFFILIATES AND PREDECESSORS**

**a. AFFILIATES:**

Cost Control Associates does not have any affiliates doing business or currently apply to do business in Pennsylvania.

**b. PREDECESSORS:**

Cost Control Associates does not have any predecessors that have done business in the past 5 years in Pennsylvania.

**4. OPERATIONS**

**a. APPLICANT'S PRESENT OPERATIONS:**

- The Applicant is presently doing business in Pennsylvania as a
- natural gas interstate pipeline
  - municipality providing service outside its municipal limits
  - local gas distribution company
  - retail supplier of natural gas services in the Commonwealth
  - a natural gas producer
  - a broker/marketer engaged in the business of supplying natural gas services
  - Other. (Identify the nature of service being rendered)

Cost Control Associates is presently doing business as an energy services consultant.

**b. APPLICANT'S PROPOSED OPERATIONS: The Applicant proposes to operate as a:**

- Supplier or Aggregator of natural gas services
- Municipal supplier of natural gas services
- Cooperative supplier of natural gas services
- Broker/Marketer engaged in the business of supplying natural gas services
  - Check here to verify that your organization will not be taking title to the natural gas nor will you be making payments for customers.
- Other (Describe):

**c. PROPOSED SERVICES:**

Cost Control Associates will perform as a broker bring customers and suppliers together for the purpose of purchasing natural gas.

**d. PROPOSED SERVICE AREA:** Check the box of each Natural Gas Distribution Company for which the Applicant proposes to provide service.

- |   |  |
|---|--|
| <input type="checkbox"/> Columbia                     | <input type="checkbox"/> Philadelphia Gas Works      |
| <input type="checkbox"/> National Fuel Gas            | <input type="checkbox"/> UGI Central Penn            |
| <input type="checkbox"/> PECO                         | <input type="checkbox"/> UGI Penn natural            |
| <input type="checkbox"/> Peoples Gas – Equitable Div. | <input type="checkbox"/> UGI Utilities               |
| <input type="checkbox"/> Peoples Natural Gas          | <input checked="" type="checkbox"/> Valley Energy    |
| <input type="checkbox"/> Peoples Gas Company          | <input checked="" type="checkbox"/> All of the above |

**e. CUSTOMERS:** Applicant proposes to provide services to:

- Residential Customers
- Small Commercial Customers - (Less than 6,000 Mcf annually)
- Residential and Small Commercial as Mixed Meter ONLY (CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE)
- Large Commercial Customers - (6,000 Mcf or more annually) Industrial Customers
- Governmental Customers
- All of above (Except Mixed Meter) Other
- (Describe):

**f. START DATE:**

Anticipated start date is 6/1/18.

**5. COMPLIANCE**

**a. CRIMINAL/CIVIL PROCEEDINGS:**

Cost Control Associates, an affiliate, a predecessor of either, or a person identified in this Application, has not been or is currently the defendant of a criminal or civil proceeding within the last five (5) years

**b. SUMMARY:**

Not applicable

**c. CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:**

Cost Control Associates has no formal or escalated actions or complaints, in the Commonwealth of Pennsylvania or any state, filed with or by a customer, regulatory agency, or prosecutory agency against Cost Control Associates, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. Applicant has not had a Pennsylvania PUC, EGS, or NGS license previously cancelled by the Commission.

**d. SUMMARY:**

Not applicable.

## 6. PROOF OF SERVICE

A copy of this application has been provided to Agencies and NGDC's per Commission regulations. See Appendix C.

## 7. FINANCIAL FITNESS

### a. **BONDING:**

Cost Control Associates will be functioning as an independent broker and not a natural gas supplier. We will not take title to natural gas and we will not pay or accept payment for natural gas from our client. As such we do not believe we are required to post a bond per 66 Pa. C.S. Section 2208(c).

### b. **FINANCIAL RECORDS, STATEMENTS, AND RATINGS:**

See Exhibit 3 accounting statement, including balance sheet and income statements for the past two years. Also see Exhibit 4 for a copy of our current Dun and Bradstreet Credit Report.

### c. **SUPPLIER FUNDING METHOD:**

As Cost Control Associates will be operating as a Broker, funding detail is not required.

### d. **BROKER PAYMENT STRUCTURE:**

Cost Control Associates will receive a commission directly from the energy supplier based on a fixed fee percentage. Fee will be disclosed to the customer and be included on supplier invoice to the customer.

### e. **ACCOUNTING RECORDS CUSTODIAN:**

Allison Levin, Vice President  
310 Bay Road, Queensbury, NY 12804  
Tel: 518-798-4437  
Fax: 518-798/1735  
Email: Allison.Levin@CostControlAssociates.com

### f. **TAXATION:**

TAX CERTIFICATION STATEMENT attached as Appendix D to this application.

## 8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by natural gas distribution companies does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

### a. **EXPERIENCE, PLAN, STRUCTURE:**

- Since 1991 Cost Control Associates has helped clients across all industry segments reduce and manage energy, waste removal, telecom and cellular costs through a variety of innovative programs. Our services include rate and tariff analysis, energy procurement, invoice processing and payment, data analysis, budgeting and forecasting and other consulting services.
- Cost Control Associates is currently certified to perform broker services in 1 states – OH (Certificate No. 18-646G(1)) and an application is under review in NH. We are active in other states but they do not require licensing to act as a broker..

- Cost Control Associates has provided its consulting services to hundreds of clients across the U.S. and certain provinces of Canada. Our clients include more than 500 local governments and school districts, as well as Fortune 100 and Fortune 500 entities.
- Cost Control Associates currently has a staff of 13 employees. Employees are entitled to and encouraged to take courses to enhance their knowledge at company expense. Employees also attend seminars and training sessions (online and remote) which are specific to their responsibilities.
- Cost Control Associates intends to offer brokering services to our current client base and to new clients with operations in the Commonwealth.

**b. PROPOSED MARKETING METHOD** (check all that apply)

- Internal – Applicant will use its own internal resources/employees for marketing
- External NGS – Applicant will contract with a **PUC LICENSED NGS**
- Affiliate – Applicant will use a **NON-NGS affiliate that is a nontraditional marketer and/or marketing services consultant**
- External Third-Party – Applicant will contract with a **NON-NGS third party nontraditional marketer and/or non-selling marketer**
- Other (Describe):

Cost Control Associates does not intend to market broker only services at this juncture. We will offer these services directly to current clients as well as new clients via our in-house current marketing strategy. We generally do not conduct mass marketing strategies such as mailings preferring referrals, trade shows, and other industry related activities.

**c. DOOR TO DOOR SALES:** Will the Applicant be implementing door to door sales activities?

- Yes
- No

**d. OVERSIGHT OF MARKETING:**

All marketing activity that is generated is done so in-house by Cost Control Associates staff. Marketing materials are compiled by our Marketing & Communications Manager who reports directly to the President. All marketing activities are monitored by and approved by the President who ensures that they are true, accurate, and specific to the services being offered.

**e. OFFICERS:** Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.

Chief officers/executives are:  
 Keith Laake, President/Treasurer  
 Dave Sadlocha, Vice President/Secretary  
 Allison Levin, Vice President

Attached as Exhibits 12, 13, and 14 are the occupational resumes of these individuals

**9. DISCLOSURE STATEMENT:**

**DISCLOSURE STATEMENTS:**

Cost Control Associates will be operating as a broker and entering into broker agreements only with PUC licensed natural gas supplier's. As such this section is not applicable.

## 10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. **STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 62.114.

AGREED

- b. **REPORTING REQUIREMENTS:** Applicant agrees to provide the following information to the Commission:

- Reports of Gross Receipts: Applicant shall file an annual report with the Commission on an annual basis no later than April 30<sup>th</sup> following the end of the calendar year per 52 Pa. Code § 62.110.

AGREED

- c. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. § 2208(d). Transferee will be required to file the appropriate licensing application.

AGREED

- d. **ANNUAL FEES:** The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling natural gas in the Commonwealth of PA, and a supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.

ACKNOWLEDGED

- e. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 62.105.

AGREED

- f. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

AGREED

- g. **NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the

Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within thirty (30) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 62.105.

AGREED

- h. **CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

AGREED

- i. **FILING FEE:** The Applicant has enclosed or paid the required, non-refundable filing fee by **CERTIFIED CHECK OR MONEY ORDER** in the amount of \$350.00 payable to the Commonwealth of Pennsylvania. The Commission does not accept corporate or personal checks for filing fees.

PAYMENT ENCLOSED

## 11. AFFIDAVITS

(All affidavits must be notarized before filing.)

- a. **APPLICATION AFFIDAVIT:**

See Appendix A.

- b. **OPERATIONS AFFIDAVIT:**

See Appendix B.

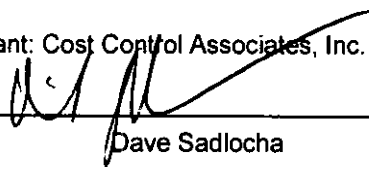
## 12. NEWSPAPER PUBLICATIONS

Notarized Proofs of Publication from the 7 required newspapers are attached. Refer to Exhibits 5-11.



13. **SIGNATURE**

Applicant: Cost Control Associates, Inc.

By:  \_\_\_\_\_

Dave Sadlocha

Title Vice President

Appendix A  
APPLICATION AFFIDAVIT

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SECRETARY'S BUREAU

State of NY :  
County of Warren:

Dave Sadlocha, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He is the Vice President of Cost Control Associates, Inc., Applicant.

That he is authorized to and does make this affidavit for said Applicant;

That Cost Control Associates Inc., Applicant herein has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as a natural gas supplier pursuant to 66 Pa.C.S. § 2208 (c)(1).

That Cost Control Associates, Inc., the Applicant herein has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

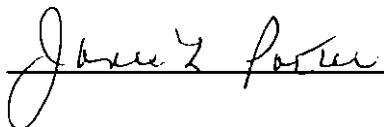
That Cost Control Associates, Inc., the Applicant herein acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That Cost Control Associates Inc., the Applicant herein acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his knowledge, information, and belief, and that he expects said Applicant to be able to prove the same at hearing.

  
\_\_\_\_\_  
Signature of Affiant

Sworn and subscribed before me this 10<sup>th</sup> day of May, 2018.

Signature of official administering oath   
\_\_\_\_\_

My commission expires

JANIS L PORTER  
NOTARY PUBLIC-STATE OF NEW YORK  
No. 01PO6217113  
Qualified in Warren County  
My Commission Expires February 08, 2022

Appendix B

OPERATIONS AFFIDAVIT

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SECRETARY'S BUREAU

State of NY

County of Warren

Dave Sadlocha, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He is the Vice President of Cost Control Associates Inv., Applicant.

That he is authorized to and does make this affidavit for said Applicant.

That Cost Control Associates, Inc., the Applicant herein, acknowledges that Cost Control Associates, Inc. may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That Cost Control Associates, Inc., the Applicant herein, asserts that it possesses the requisite technical, managerial, and financial fitness to render natural gas supply service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Cost Control Associates, Inc., the Applicant herein, certifies to the Commission that it is subject to, will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 22 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28 shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional natural gas sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

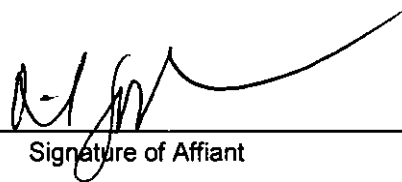
Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

**Appendix B (Continued)**

That Cost Control Associates, Inc., the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506 and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Office of Communications or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.



Signature of Affiant

Sworn and subscribed before me this 10<sup>th</sup> day of May, 20 18




Signature of official administering oath

My commission expires

JANIS L PORTER  
NOTARY PUBLIC-STATE OF NEW YORK  
No. 01PO6217113  
Qualified In Warren County  
My Commission Expires February 08, 20~~22~~

**PENNSYLVANIA DEPARTMENT OF STATE  
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS**

<input checked="" type="checkbox"/> Return document by mail to: Sharon Laake Name 310 Bay Road Address Queensbury, NY 12804 City State Zip Code <input checked="" type="checkbox"/> Return document by email to: <u>sharon.laake@costcontrolassocj</u>	<p align="center"><b>Foreign Registration Statement</b>          DSCB:15-412          (rev. 2/2017)</p>  <p align="center">TML180412DB1144</p>
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Read all instructions prior to completing. This form may be submitted online at <https://www.corporations.pa.gov>.

Fee: \$250  I qualify for a veteran/reservist-owned small business fee exemption (see instructions)

In compliance with the requirements of the applicable provisions of 15 Pa.C.S. § 412 (relating to foreign registration statement), the undersigned foreign association hereby states that:

1. The type of association is (check only one):

- |  |  |   |
|--|--|---|
| <input checked="" type="checkbox"/> Business Corporation | <input type="checkbox"/> Limited Partnership                     | <input type="checkbox"/> Business Trust           |
| <input type="checkbox"/> Nonprofit Corporation           | <input type="checkbox"/> Limited Liability (General) Partnership | <input type="checkbox"/> Professional Association |
| <input type="checkbox"/> Limited Liability Company       | <input type="checkbox"/> Limited Liability Limited Partnership   |   |

2. The full and proper name of the foreign association as registered in its jurisdiction of formation is:

Cost Control Associates, Inc.

2A. If the name in 2 does not contain a required designator or if the name in 2 is not available for use in the Commonwealth, the alternate name under which the association is registering in this Commonwealth is:

3. The jurisdiction of formation is: NY

4. The street and mailing address of the association's principal office.

310 Bay Road, Queensbury, NY 12804

Number and street	City	State	Zip
-------------------	------	-------	-----

4A. The street and mailing address of the office, if any, required to be maintained by the law of the association's jurisdiction of formation in that jurisdiction:

Number and street	City	State	Zip
-------------------	------	-------	-----

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5. The (a) address of the association's proposed registered office in this Commonwealth or (b) name of its Commercial Registered Office Provider and the county of venue is:

Complete part (a) OR (b) – not both:

(a) \_\_\_\_\_  
Number and street City State Zip County  
OR

(b) c/o: InCorp Services, Inc. Dauphin County  
Name of Commercial Registered Office Provider County

6. Check one of the following:

- The association may not have series.
 The association may have one or more series.

7. Effective date of registration of foreign association (check, and if appropriate complete, one of the following):

- The Foreign Registration Statement shall be effective upon filing in the Department of State.
 The Foreign Registration Statement shall be effective on: \_\_\_\_\_ at \_\_\_\_\_
Date (MM/DD/YYYY) Hour (if any)

8. To be completed by Limited Liability Companies only. Check, and if appropriate complete, one of the following:

- The association is a limited liability company which is not organized to render any of the below professional service(s).
 The association is a restricted professional limited liability company organized to render one or more of the following professional service(s): (If this box is checked, one or more of the fields below must be checked.)

\_\_\_ Chiropractic \_\_\_ Dentistry \_\_\_ Law \_\_\_ Medicine and surgery
\_\_\_ Optometry \_\_\_ Osteopathic medicine and surgery \_\_\_ Podiatric medicine \_\_\_ Public accounting
\_\_\_ Psychology \_\_\_ Veterinary medicine

IN TESTIMONY WHEREOF, the undersigned association has caused this Foreign Registration Statement to be signed by a duly authorized representative thereof this Third day of April, 2018.

Cost Control Associates, Inc.
Name of Association
[Signature]
Signature
President
Title

**State of New York**  
**Department of State** } **ss:**

I hereby certify, that the Certificate of Incorporation of COST CONTROL ASSOCIATES, INC. was filed on 12/06/2000, with perpetual duration, and that a diligent examination has been made of the Corporate index for documents filed with this Department for a certificate, order, or record of a dissolution, and upon such examination, no such certificate, order or record has been found, and that so far as indicated by the records of this Department, such corporation is an existing corporation.

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SECRETARY'S BUREAU



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*WITNESS my hand and the official seal  
of the Department of State at the City of  
Albany, this 20th day of April two  
thousand and eighteen.*

A handwritten signature in black ink, appearing to read "B. Fitzgerald", is written over a faint, dotted-line signature strip.

*Brendan W. Fitzgerald  
Executive Deputy Secretary of State*

***STATE OF NEW YORK***  
***DEPARTMENT OF STATE***

I hereby certify that the annexed copy has been compared with the original document in the custody of the Secretary of State and that the same is a true copy of said original.



WITNESS my hand and official seal of the Department of State, at the City of Albany, on March 13, 2018.

A handwritten signature in black ink, appearing to read "B. Fitzgerald", is written over a horizontal line.

Brendan Fitzgerald  
Executive Deputy Secretary of State



**CERTIFICATE OF INCORPORATION**  
**OF** **F00120600053**  
**COST CONTROL ASSOCIATES, INC.**

Under §402 of the Business Corporation Law

**Section 1. NAME**

The name of the corporation is **COST CONTROL ASSOCIATES, INC.**

**Section 2. PURPOSES**

The purpose for which it is formed is to engage in any lawful act or activity for which corporations may be organized under the New York Business Corporation Law, but not to engage in any act or activity requiring the consent or approval of any state official, department, board, agency or other body without such consent or approval first being obtained.

**Section 3. OFFICE**

The office of the corporation is located in the County of Warren, State of New York.

**Section 4. AUTHORIZED SHARES**

The aggregate number of shares which the corporation shall have the authority to issue is 200 shares, which shares are to be without par value.

**Section 5. AGENT FOR PROCESS**

The Secretary of State of the State of New York is designated as the agent of the corporation upon whom process against it may be served, and the post office address to which the Secretary of State shall mail a copy of such process served upon him/her is 28 Bonner Drive, Queensbury, New York 12804.

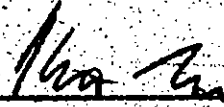
**Section 6. LIMITATION OF DIRECTOR LIABILITY**

A director of the corporation shall not be held personally liable to the corporation or its shareholders for damages for any breach of duty as a director. The personal liability of a director shall not be eliminated if a judgment or other final adjudication adverse to the director establishes that (i) his acts or omissions were in bad faith or involved intentional misconduct or a knowing violation of law, or (ii) he personally gained in fact a financial profit or other advantage to which he was not legally entitled, or (iii) his act violated §719 of the New York Business Corporation Law.

**Section 7. INCORPORATOR**

The subscriber is over the age of eighteen years.

Dated: December 4, 2000

  
\_\_\_\_\_  
Keith Laake, Incorporator  
28 Bonner Drive  
Queensbury, New York 12804

001206000537

**CERTIFICATE OF INCORPORATION**

OF

**COST CONTROL ASSOCIATES, INC.**

DEC 6 2 20 PM '00

FILED

FILED BY:

THE LAW OFFICE OF  
**BRUCE G. CARR**

A Professional Corporation  
470 Glen Street  
P.O. Box 2599  
Glens Falls, NY 12801

STATE OF NEW YORK  
DEPARTMENT OF STATE

FILED DEC 06 2000

BY:

*[Signature]*

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**Cost Control Associates, Inc.**  
**Exhibit 3 "Financial Statements"**

**Balance Sheet--Tax (Cash) Basis**

	<u>12/31/2017</u>	<u>12/31/2016</u>
<b>ASSETS</b>		
<b>Current Assets</b>		
<b>Checking/Savings</b>		
Checking - NBT Bank	(4,274)	24,974
Savings - NBT Bank	62,919	10,985
<b>Total Checking/Savings</b>	<u>58,645</u>	<u>35,959</u>
<b>Other Current Assets</b>		
Notes Receivable-Keith L		68,180
Petty Cash Fund	45	45
<b>Total Other Current Assets</b>	<u>45</u>	<u>68,225</u>
<b>Total Current Assets</b>	<u>58,690</u>	<u>104,184</u>
<b>Fixed Assets</b>		
Computers	63,499	60,233
Furniture and Equipment	69,729	69,729
Land Improvements	29,200	29,200
Leasehold Improvements	16,078	12,128
Software	163,238	162,695
xAccumulated Depreciation	(325,171)	(314,485)
<b>Total Fixed Assets</b>	<u>16,573</u>	<u>19,499</u>
<b>Other Assets</b>		
Deposits	32	32
<b>Total Other Assets</b>	<u>32</u>	<u>32</u>
<b>TOTAL ASSETS</b>	<u><u>75,295</u></u>	<u><u>123,716</u></u>
<b>LIABILITIES &amp; EQUITY</b>		
<b>Liabilities</b>		
<b>Current Liabilities</b>		
Credit Cards	1,673	1,141
<b>Total Credit Cards</b>	<u>1,673</u>	<u>1,141</u>
<b>Other Current Liabilities</b>		
Accrued Retirement Plan Contrib	22,000	
Line of Credit-NBT Bank		20,000
Tenant Security Deposit	1,375	1,375
<b>Total Other Current Liabilities</b>	<u>23,375</u>	<u>21,375</u>
<b>Total Current Liabilities</b>	<u>25,048</u>	<u>22,516</u>
<b>Total Liabilities</b>	<u>25,048</u>	<u>22,516</u>
<b>Equity</b>		
Capital Stock	500	500
Capital Stock Add'l Paid In	26,270	26,270
Retained Earnings	141,493	134,429

Shareholder Distributions

(118,016)

(60,000)

Total Equity

50,247

101,199

**TOTAL LIABILITIES & EQUITY**

**75,295**

**123,716**

**Cost Control Associates, Inc.**  
**Exhibit 3 "Financial Statements"**

**Income Statement--Tax (Cash) Basis**

	<u>12/31/2017</u>	<u>12/31/2016</u>
<b>INCOME</b>		
Fee Income	2,246,089	1,883,807
Fees Received from Others	55,573	54,543
Pmts for Bill Processing/Other	(984,493)	(963,771)
<b>Total Net Fee Revenue</b>	<u>1,317,169</u>	<u>974,579</u>
Rental Income	19,320	18,750
<b>Total Income</b>	<u>1,336,489</u>	<u>993,329</u>
<b>EXPENSE</b>		
Human Resources	959,085	665,572
Marketing	47,733	58,965
Occupancy	56,819	58,428
Office Expense	46,445	26,326
Other Operating	76,390	71,601
Travel	68,079	55,421
<b>Total Expense</b>	<u>1,254,551</u>	<u>936,313</u>
Net Ordinary Income	81,938	57,016
Other Income	369	655
Other Expense	15,244	12,184
Net Other Income	(14,875)	(11,529)
<b>Net Income</b>	<u>67,064</u>	<u>45,487</u>

**Cost Control Associates, Inc.** DUNS: 79-332-2470

Quick View Report

Company Information		Financial Statement	
310 Bay Rd Queensbury, NY 12804		Date:	12/31/2015
This is a single location location.		Sales	\$1,525,469
Website	www.costcontrolassociates.com	Net Worth	\$638,503.00
Telephone	(518) 798-4437	History:	NA
Chief Executive:	DIRECTOR(S): THE OFFICER(S)	Financial Condition:	profitable
Stock Symbol:	NA	Financing:	SECURED
Year Started	1991	SIC:	8742
Employees	12	Line of Business:	Management consulting services
<b>Corporate Family:</b>			
This business is a single location of the corporate family.			

Scores

**PAYDEX®**

**80** ▲

ON TERMS

1 | 33 | 80 | 100

120 Days Slow | 30 Days Slow | Prompt

Based on up to 24 months of trade.  
**D&B PAYDEX® Key**

- High risk of late payment (average 30 to 120 days beyond terms)
- Medium risk of late payment (average 30 days or less beyond terms)
- Low risk of late payment (average prompt to 30+ days sooner)

**Credit Limit Recommendation**

Risk Category	Conservative Credit Limit
	<b>\$15k</b>
<b>Low</b>	Aggressive Credit Limit
	<b>\$30k</b>



**D&B Rating**®

Rating  
**1R2**

Number of employees: **1R** indicates 10 or more employees  
Composite Credit Appraisal: **2** is good

The credit rating was assigned based on D&B's assessment of the company's financial ratios and its cash flow. For more information, see the D&B Rating Key.

Below is an overview of the company's rating history since 07/24/2004

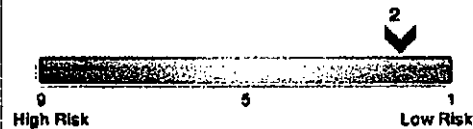
D&B Rating	Date Applied
1R2	2017-10-16
1A2	2016-08-04
2A1	2015-07-17
1A1	2014-12-23
1A2	2014-12-05
1R3	2014-08-27
1R2	2008-01-18
1R3	2007-12-04
3A1	2006-05-02
1R2	2004-07-24

The Summary Analysis section reflects information in D&B's file as of March 8, 2018

**D&B Viability Rating**

**2**

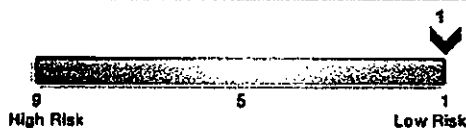
Viability Score



Compared to ALL US Businesses within the D&B Database:

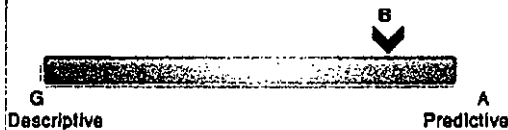
- Level of Risk: **Low Risk**
- Businesses ranked 2 have a probability of becoming no longer viable: **2%**
- Percentage of businesses ranked 2: **4%**
- Across all US businesses, the average probability of becoming no longer viable: **14%**



**1****Portfolio Comparison**

Compared to ALL US Businesses within the D&amp;B Database:

- Model Segment: **Established Trade Payments**
- Level of Risk: **Low Risk**
- Businesses ranked 1 within this model segment have a probability of becoming no longer viable: **2%**
- Percentage of businesses ranked 1 within this model segment: **11%**
- Within this model segment, the average probability of becoming no longer viable: **5%**

**B****Data Depth Indicator****Data Depth Indicator:**

Rich Firmographics  
 Extensive Commercial Trading Activity  
 Basic Financial Attributes

**G****Company Profile**

Financial Data	Trade Payments	Company Size	Years in Business
Not Available	Available (3+Trade)	Large	Established

Compared to ALL US Businesses within the D&amp;B Database:

- Financial Data: **Not Available**
- Trade Payments: **Available: 3+Trade**
- Company Size: **Large: Employees:50+ or Sales: \$500K+**
- Years in Business: **Established: 5+**

**History & Operations****History**

The following information was reported: 10/25/2016

**Officer(s):**

KEITH LAAKE, PRES  
 DAVE SADLOCHA, V PRES-SEC

**DIRECTOR(S):**

THE OFFICER(S)

The New York Secretary of State's business registrations file showed that Cost Control Associates, Inc. was registered as a Corporation on December 06, 2000.

Business started 1991 by Keith Laake. 100% of capital stock is owned by officers.

KEITH LAAKE born 1956. 1991-present active here. Prior to Cost Control Associates, Inc. Keith was CFO for Economy Dry Goods and he was Senior Manager in Ernst & Young. He earned his B.B.A from Wisconsin University.

DAVE SADLOCHA born 1947. 1995-present active here. 1990-1995 employed by Brooks Fashions, New York, NY. Dave earned his B.S in Accounting Accounting from the State University of New York at Binghamton.

## Operations

10/25/2018

**Description:**

Provides management consulting services, specializing in public utilities (100%).

**ADDITIONAL TELEPHONE NUMBER(S):** Facsimile (Fax) 518 798-1735. Toll-Free 800 836-3787.

Has 100 account(s). Terms are Net 10 days. Sells to manufacturers, wholesale, non profit organizations, retail, commercial concerns and government. Territory : USCAN.

Nonseasonal.

**Employees:** 12 which includes officer(s) and 1 part-time.

**Facilities:** Owns 6,000 sq. ft. in a multi story frame building.

**Location:** Central business section on well traveled street.

## SIC & NAICS

**SIC:**

Based on information in our file, D&B has assigned this company an extended 8-digit SIC. D&B's use of 8-digit SICs enables us to be more specific to a company's operations than if we use the standard 4-digit code. The 4-digit SIC numbers link to the description on the Occupational Safety & Health Administration (OSHA) Web site. Links open in a new browser window.

8742 0405 Public utilities consultant

**NAICS:**

541611 Administrative Management and General Management Consulting Services

## Payments

### PAYDEX®

The D&B PAYDEX® is a unique, dollar weighted indicator of payment performance based on up to 8 payment experiences as reported to D&B by trade references.

#### 3 Month PAYDEX®

**80 ▲**

When weighted by dollar amount, payments to suppliers average ON TERMS terms.

Based on payments collected over the last 3 months

#### 24 Month PAYDEX®

**80 ▲**

When weighted by dollar amount, payments to suppliers average ON TERMS terms.

Based on payments collected over the last 24 months

When dollar amounts are not considered, then approximately 100 of the company's payments are within terms.

- High risk of late payment (average 30 to 120 days beyond terms)
- ◐ Medium risk of late payment (average 30 days or less beyond terms)
- Low risk of late payment (average prompt to 30+ days sooner)

## Payments Summary

Total (Last 24 Months): 8

	Total Received	Total Dollar Amount	Largest/High Credit Payment summary	Within Terms	Days Slow			
					31	30-80	81-90	90
<b>Top Industries</b>								
Electric services	2	\$750.00	\$500.00	100%	0	0	0	0
Misc business credit	2	\$750.00	\$250.00	100%	0	0	0	0
Telephone communictns	1	\$0.00	\$0.00	0%	0	0	0	0
<b>Other Categories</b>								
Cash experiences	3	\$150	\$50	--	--	--	--	--
Unknown	0	\$0	\$0	--	--	--	--	--
Unfavorable comments	0	\$0	\$0	--	--	--	--	--
Placed for collections with D&B:	0	\$0	\$0	--	--	--	--	--
Other	0	N/A	\$0	--	--	--	--	--
<b>Total in D&amp;B's file</b>	<b>8</b>	<b>\$1,650</b>	<b>\$500</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>

The highest Now Owes on file is \$500

The highest Past Due on file is \$0

There are 8 payment experience(s) in D&Bs file for the most recent 24 months, with 3 experience(s) reported during the last three month period.

## Payments Details

Total (Last 24 Months): 8

Date	Paying Record	High Credit	Now Owes	Past Due	Settling Terms	Last sale w/ (Mo.)
02/2018	(001)	\$50	\$0	\$0	Cash account	1 mo
01/2018	Ppt	--	\$500	\$0	--	1 mo
01/2018	Ppt	\$250	\$0	\$0	--	1 mo
10/2017	(004)	\$50	--	--	Cash account	1 mo
04/2017	(005)	\$50	--	--	Cash account	4-5 mos
03/2017	Ppt	\$500	\$500	\$0	--	1 mo
03/2017	Ppt	\$250	\$100	\$0	--	1 mo
01/2017	Ppt	--	\$0	\$0	--	6-12 mos

Payments Detail Key:  30 or more days beyond terms

Accounts are sometimes placed for collection even though the existence or amount of the debt is disputed.

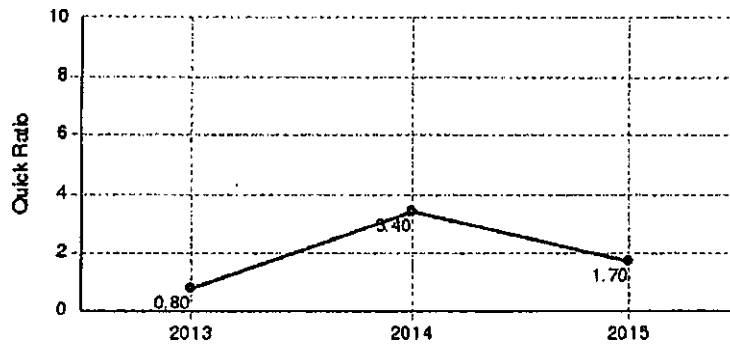
Payment experiences reflect how bills are met in relation to the terms granted. In some instances payment beyond terms can be the result of disputes over merchandise, skipped invoices etc.

Each experience shown is from a separate supplier. Updated trade experiences replace those previously reported.

## Banking and Finance

### Statement Update

Key Business Ratios from D&B



• This Company

### Key Financial Comparisons

	2013(\$)	2014(\$)	2015(\$)
<b>This Company's Operating Results Year Over Year</b>			
NETSALES	1,059,045.00	1,171,348.00	1,525,469.00
GROSSPROFIT	1,059,045.00	1,171,348.00	898,862.00
NETPROFITAFTERTAX	13,844.00	198,423.00	898,862.00
DIVIDENDS/WITHDRAWALS	NA	NA	NA
WORKINGCAPITAL	562,615.00	684,111.00	540,925.00
<b>This Company's Assets Year Over Year</b>			
CASH	167,705.00	71,945.00	1,349.00
ACCOUNTSRECEIVABLE	NA	901,047.00	928,558.00
NOTESRECEIVABLE	NA	NA	NA
INVENTORY	NA	NA	NA
OTHERCURRENTASSETS	600,635.00	NA	143,500.00
TOTALCURRENTASSETS	768,340.00	972,992.00	1,073,407.00
FIXEDASSETS	NA	121,550.00	97,578.00
OTHERNON-CURRENTASSETS	205,725.00	139,968.00	NA
TOTALASSETS	974,065.00	1,234,510.00	1,170,985.00
<b>This Company's Liabilities Year Over Year</b>			
ACCOUNTSPAYABLE	NA	53,234.00	84,277.00
BANKLOAN	NA	NA	NA
NOTESPAYABLE	NA	NA	NA
OTHERCURRENTLIABILITIES	205,725.00	235,647.00	448,205.00
TOTALCURRENTLIABILITIES	205,725.00	288,881.00	532,482.00
OTHERLONGTERMLIABILITIES	24,565.00	53,431.00	NA
DEFERREDCREDIT	NA	NA	NA
NETWORTH	743,775.00	892,198.00	638,503.00
TOTALOFLIABILITIESANDNETWORTH	974,065.00	1,234,510.00	1,170,985.00

Assets (\$)		Liabilities (\$)	
Cash	1349	Accts Pay	84277
Accts Rec	928558	Bank Loan	20298
Other Curr Assets	143500	Accruals	426147
		Other Curr Liabs	1762
		<b>Current Liabs</b>	<b>532,482.00</b>
<b>Current Assets</b>	<b>1,073,407.00</b>	CAPITAL STOCK	500
Fixt & Equip	97578	ADDIT. PD.-IN CAP	512722
		RETAINED EARNINGS	125281
		<b>Total Liabilities</b>	<b>1,170,985.00</b>
<b>Total Assets</b>	<b>1,170,985.00</b>		

From JAN 01 2015 to DEC 31 2015 annual sales \$1,525,489; cost of goods sold \$626,607. Gross profit \$898,862. Operating Income \$898,862. Net income \$898,862.

Prepared from books without audit.

**Explanations**

**Key Business Ratios**

FISCAL 2015-12-31

(Industry Median is based on this number of firms: 17 )

	This Company	Industry Median	Industry Quartile
<b>Solvency</b>			
Quick Ratio	1.7	1.6	2
Current Ratio	2.0	2.2	3
Current Liabilities to Net Worth	83.4	47.1	1
Current Liabilities to Inventory	NA	999.9	NA
Total Current	83.4	51.1	1
Fixed Assets to Net Worth	15.3	4.5	1
<b>Efficiency</b>			
Collection Period	222.2	53.1	1
Inventory Turn Over	NA	999.9	NA
Sales to NWC	2.8	10.6	4
Acct Pay to Sales	5.5	4.2	1
<b>Profitability</b>			
Return on Sales	58.9	2.0	1
Return on Assets	76.8	7.2	1
Return on NetWorth	140.8	16.8	1

**Public Filings**

**Summary**

The following data includes both open and closed filings found in D&B's database on this company.

Record Type	# of Records	Most Recent Filing Date
Bankruptcy Proceedings	0	-
Judgments	0	-
Liens	0	-
Suits	0	-
UCCs	6	01/11/17

The following Public Filing data is for information purposes only and is not the official record.  
 Certified copies can only be obtained from the official source.

### Judgments

We currently don't have enough data to display this section

### Liens

We currently don't have enough data to display this section

### Suits

We currently don't have enough data to display this section

### UCC Filings

**Collateral:** All Assets and proceeds - All Account(s) and proceeds - All General Intangibles(s) and proceeds  
**Type:** Original  
**Sec.Party:** NBT BANK, NATIONAL ASSOCIATION, NORWICH, NY  
**Debtor:** COST CONTROL ASSOCIATES, INC.  
**Filing No.:** 1204045386799  
**Filed With:** SECRETARY OF STATE/UCC DIVISION, ALBANY, NY  
**Date Filed:** 04/04/12  
**Latest Info Received:** 04/12/12

**Type:** Continuation  
**Sec.Party:** NBT BANK, NATIONAL ASSOCIATION, NORWICH, NY  
**Debtor:** COST CONTROL ASSOCIATES, INC.  
**Filing No.:** 1701115042853  
**Filed With:** SECRETARY OF STATE/UCC DIVISION, ALBANY, NY  
**Date Filed:** 01/11/17  
**Latest Info Received:** 02/10/17  
**Original Filing No.:** 1204045386799  
**Original UCC Filed Date:** 04/04/12

**Type:** Continuation  
**Sec.Party:** GLENS FALLS NATIONAL BANK AND TRUST COMPANY, GLENS FALLS, NY  
**Debtor:** LAAKE, KEITH D.  
**Filing No.:** 0812036292127  
**Filed With:** SECRETARY OF STATE/UCC DIVISION, ALBANY, NY  
**Date Filed:** 12/03/08  
**Latest Info Received:** 12/15/08

Original Filing No.: 99095967  
Original UCC Filed Date: 05/13/99

Type: Continuation  
Sec. Party: GLENS FALLS NATIONAL BANK AND TRUST COMPANY, GLENS  
FALLS, NY  
Debtor: COST CONTROL ASSOCIATES, INC.  
Filing No.: 0703235314341  
Filed With: SECRETARY OF STATE/UCC DIVISION, ALBANY, NY  
Date Filed: 03/23/07  
Latest Info Received: 04/09/07  
Original Filing No.: 02213476  
Original UCC Filed Date: 09/18/02

Type: Continuation  
Sec. Party: GLENS FALLS NATIONAL BANK AND TRUST COMPANY, GLENS  
FALLS, NY  
Debtor: COST CONTROL ASSOCIATES, INC.  
Filing No.: 0605155469216  
Filed With: SECRETARY OF STATE/UCC DIVISION, ALBANY, NY  
Date Filed: 05/15/06  
Latest Info Received: 06/01/06  
Original Filing No.: 01211282  
Original UCC Filed Date: 10/31/01

Type: Original  
Sec. Party: GLENS FALLS NATIONAL BANK AND TRUST COMPANY, GLENS  
FALLS, NY  
Debtor: COST CONTROL ASSOCIATES, INC.  
Filing No.: 0602215169849  
Filed With: SECRETARY OF STATE/UCC DIVISION, ALBANY, NY  
Date Filed: 02/21/06  
Latest Info Received: 03/21/06

The public record items contained herein may have been paid, terminated, vacated or released prior to today's date.

## Government Activity

### Summary

Borrower (Dir/Guar): NO  
Administrative Debt: NO  
Contractor: NO  
Grantee: NO  
Party excluded  
from federal program(s): NO  
Possible Candidate:  
Labor Surplus Area: N/A  
Small Business: YES (2018)  
8(A) Firm: N/A

The public record items contained herein may have been paid, terminated, vacated, or released prior to today's date.

The public record items contained herein may have been paid, terminated, vacated or released prior to today's date.

### Special Events

10/16/2017

A Rating change has occurred on this company.

### Corporate Linkage

#### Parent

Company Name	DUNS #	City, State
COST CONTROL ASSOCIATES, INC.	79-332-2470	QUEENSBURY, NEW YORK



PROOF OF PUBLICATION  
In  
THE ERIE TIMES-NEWS  
COMBINATION EDITION

Cost Control Associates Inc  
310 Bay Road  
Queensbury NY 12804

REFERENCE: 95793 312397  
PUC Notice

STATE OF PENNSYLVANIA)  
COUNTY OF ERIE ) SS:

Tom Mezler, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, a true and correct copy of which is attached, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 04/26/18

TOTAL COST: \$543.00 AD SPACE: 0 Lines

FILED ON: 04/26/18

RECEIVED

MAY 10 2018

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

PENNSYLVANIA PUBLIC UTILITY COMMISSION  
NOTICE

*Applications of Cost Control Associates, Inc. For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.*

Cost Control Associates, Inc. will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. Cost Control Associates, Inc. will also be filing an application with the PUC for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. Cost Control Associates, Inc. proposes to sell electricity and/or natural gas, and related services in Citizens' Electric, Duquesne, Med Ed, PECO, Penelec, Penn Power, Pike, PPL, UGI, Wellsboro, and West Penn service territories under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Cost Control Associates, Inc. may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Cost Control Associates, Inc. at the address listed below.

Cost Control Associates, Inc.  
310 Bay Road, Queensbury, NY 12804 FAX 518-798-1735

Sworn to and subscribed before me this 26th day of April 2018

Affiant: Tom Mezler

NOTARY: Barbara J. Moore

COMMONWEALTH OF PENNSYLVANIA  
NOTARIAL SEAL  
Barbara J. Moore, Notary Public  
City of Erie, Erie County  
My Commission Expires March 23, 2020  
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

**COMMONWEALTH OF PENNSYLVANIA** }  
*County of Cambria* } **SS**

**PENNSYLVANIA PUBLIC UTILITY COMMISSION  
NOTICE**

*Applications of Cost Control Associates, Inc. For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public in The Commonwealth Of Pennsylvania.*

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**Cost Control Associates, Inc.**  
310 Bay Road, Queensbury, NY 12804  
FAX 518-788-1735

Signed on  
26th day of April, 2018,  
by Christine Marhefka making the statement.

*[Handwritten Signature]*

Commonwealth of Pennsylvania - Notary Seal  
Vivian Ohs, Notary Public  
Cambria County  
My commission expires December 6, 2020  
Commission number 1123017  
Member, Pennsylvania Association of Notaries

On this 26th day of April A.D. 2018, before me, the subscriber, a Notary Public in and for said County and State, personally appeared Christine Marhefka, who being duly sworn according to law, deposes and says as Sales Manager / Major Accounts of the Tribune-Democrat, Johnstown, PA, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8, 1952, of the Johnstown Tribune, established December 7, 1853; and of the Johnstown Democrat, established March 5, 1863,

of Cambria, and Commonwealth of Pennsylvania and matter published in said publication in the regular issues on April 24, 2018; and that the Affiant is not interested that all of the allegations as to time, place and character

*[Handwritten Signature: Christine Marhefka]*

**STATEMENT OF ADVERTISING COSTS**

0.00 Lines @ \$2.50 per line	0.00
7.5 Inches @ \$25.00 per inch	187.50
Notary Fee	5.00
Clerical Fee	2.50
<b>Total Cost</b>	<b>195.00</b>

To The Tribune-Democrat, Johnstown, PA  
For publishing the notice or publication  
attached hereto on the above stated dates.

**PUBLISHER'S RECEIPT FOR ADVERTISING COSTS**

\_\_\_\_\_ for publisher of \_\_\_\_\_  
a newspaper of general circulation, hereby acknowledges receipt of the aforesaid  
and publication costs and certifies that the same has been duly paid.

\_\_\_\_\_  
(Name of Newspaper)

By \_\_\_\_\_

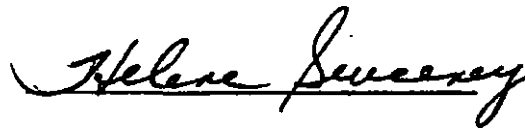
**Proof of Publication in The Philadelphia Daily News  
Under Act. No 587, Approved May 16, 1929**

**STATE OF PENNSYLVANIA  
COUNTY OF PHILADELPHIA**

Helene Sweeney being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

April 26, 2018

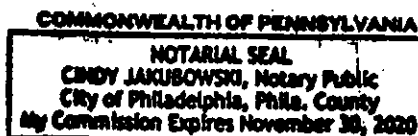
Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.



Sworn to and subscribed before me this 26<sup>th</sup> day of  
April 2018.

  
Notary Public

My Commission Expires:



**Copy of Notice of Publication**

**PENNSYLVANIA PUBLIC UTILITY COMMISSION  
NOTICE**  
Applications of Cost Control Associates, Inc. For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania. Cost Control Associates, Inc. will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. Cost Control Associates, Inc. will also be filing an application with the PUC for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. Cost Control Associates, Inc. proposes to sell electricity and/or natural gas, and related services in Citizens' Electric, Duquesne, Med. Ed., PECO, Penelec, Penn Power, Pike, PPL, UGI, Wellsboro, and West Penn service territories under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Cost Control Associates, Inc. may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Cost Control Associates, Inc. at the address listed below.  
Cost Control Associates, Inc.  
210 Bay Road, Greensbury, NY 12304  
FAX 518-768-1735

**Proof of Publication of Notice in Pittsburgh Post-Gazette**

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss K. Flaherty, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the \_\_\_\_\_ regular \_\_\_\_\_ editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

**30 of April, 2018**

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

  
PG Publishing Company

Sworn to and subscribed before me this day of:  
April 30, 2018



Commonwealth of Pennsylvania - Notary Seal  
Elizabeth R. Chmura, Notary Public  
Allegheny County  
My commission expires February 8, 2023  
Commission number 1226781  
Member, Pennsylvania Association of Notaries

**STATEMENT OF ADVERTISING COSTS**  
Cost Control Associates, Inc.  
310 BAY RD  
Attn: Sharon Laake  
Queensbury NY 12804-1400

To PG Publishing Company

Total ----- \$589.00

**Publisher's Receipt for Advertising Costs**

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

Office  
2201 Sweeney Drive  
CLINTON, PA 15026  
Phone 412-263-1338

PG Publishing Company, a Corporation, Publisher of  
Pittsburgh Post-Gazette, a Newspaper of General Circulation

By \_\_\_\_\_

 Samuel J. Arbutina

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

**COPY OF NOTICE OR PUBLICATION**

PENNSYLVANIA PUBLIC UTILITY COMMISSION  
NOTICE  
Applications of Cost Control Associates, Inc. For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

Cost Control Associates, Inc. will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. Cost Control Associates, Inc. will also be filing an application with the PUC for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. Cost Control Associates, Inc. proposes to sell electricity and/or natural gas, and related services in Citizens' Electric, Duquesne, Med Ed, PECO, Penelec, Penn Power, P&E, PPL, UGI, Wellsboro, and West Penn service territories under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Cost Control Associates, Inc. may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Cost Control Associates, Inc. at the address listed below.

Cost Control Associates, Inc., 310 Bay Road, Queensbury, NY 12804  
FAX 518-798-1735

The Scranton Times (Under act P.L. 877 No 160. July 9, 1976)  
Commonwealth of Pennsylvania, County of Lackawanna

COST CONTROL ASSOCIATES INC  
SHARON LAAKE  
310 BAY ROAD QUEENSBURY NY 12804

Account # 628695  
Order # 82202677  
Ad Price: 292.10

LEGAL NOTICE PENNSYLVANIA

Ann Marie Fortese

Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

04/24/2018

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true *Ann Marie Fortese*

Sworn and subscribed to before me  
this 24th day of April A.D., 2018

*Sharon Venturi*  
\_\_\_\_\_  
(Notary Public)

Commonwealth of Pennsylvania - Notary Seal  
Sharon Venturi, Notary Public  
Lackawanna County  
My commission expires February 12, 2022  
Commission number 1254228  
Member, Pennsylvania Association of Notaries

**LEGAL NOTICE  
PENNSYLVANIA PUBLIC  
UTILITY COMMISSION NOTICE**  
Applications of Cost Control Associates, Inc. For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/ Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

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The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Cost Control Associates, Inc. may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Cost Control Associates, Inc. at the address listed below.

Cost Control Associates, Inc.  
310 Bay Road, Queensbury,  
NY 12804 FAX 518-798-1735

PROOF OF PUBLICATON OF NOTICE IN THE WILLIAMSPORT  
SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA

COUNTY OF LYCOMING

SS:

Bernard A. Oravec, Publisher of the Sun-Gazette LLC publishers of the Williamsport Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

April 25, 2018

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette LLC, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and declare that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.

PENNSYLVANIA PUBLIC  
UTILITY COMMISSION  
NOTICE

Applications of Cost Control Associates, Inc. For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public in The Commonwealth Of Pennsylvania.

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The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Cost Control Associates, Inc. may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Cost Control Associates, Inc. at the address listed below.

Cost Control Associates, Inc.  
310 Bay Road,  
Queensbury, NY 12804  
FAX 518-798-1735

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

THE SUN-GAZETTE LLC hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid

Bernard A. Oravec

SUN-GAZETTE LLC

Sworn to and subscribed before me

The 25<sup>th</sup> day of April, 2018

Beth A. Miller  
COMMONWEALTH OF PENNSYLVANIA

Notary Public

NOTARIAL SEAL  
BETH A MILLER  
Notary Public  
CITY OF WILLIAMSPORT, LYCOMING COUNTY  
My Commission Expires Apr 18, 2020

STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette LLC, Dr.:

For publishing the notice attached

Hereto on the above state dates.....\$ 303.48

Probated same.....\$

Total.....\$ 303.48

SUN-GAZETTE LLC

BY Bernard A. Oravec



Commonwealth of Pennsylvania,) ss  
County of Cumberland)

Donna Maldonado being duly sworn, deposes that he/she is principal clerk of PA Media Group; that The Patriot News is a public newspaper published in the city of Mechanicsburg, with general circulation in Cumberland and Dauphin and surrounding counties, and this notice is an accurate and true copy of this notice as printed in said newspaper, was printed and published in the regular edition and issue of said newspaper on the following date(s):

The Patriot News 04/26/2018

*Donna Maldonado*  
Principal Clerk of the Publisher

Sworn to and subscribed before me this 30th day of April 2018

*Crystal B. Rosensteel*  
Notary Public

COMMONWEALTH OF PENNSYLVANIA  
NOTARIAL SEAL  
Crystal B. Rosensteel, Notary Public  
Susquehanna Twp., Dauphin County  
My Commission Expires June 27, 2020  
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

**PENNSYLVANIA PUBLIC UTILITY  
COMMISSION  
NOTICE**

Applications of Cost Control Associates, Inc. For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public in The Commonwealth Of Pennsylvania.

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The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Cost Control Associates, Inc. may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Cost Control Associates, Inc. at the address listed below.

Cost Control Associates, Inc.  
310 Bay Road, Queensbury, NY 12804  
FAX 518-798-1735

**COST CONTROL ASSOCIATES, INC.  
EXHIBIT 12**

**KEITH LAAKE**

Keith Laake is President and founder of Cost Control Associates, Inc., an independent consulting firm that assists clients in reducing and controlling energy and telecommunication costs. He has developed highly systematic and successful programs to help clients reduce costs. Through the use of these programs, Cost Control Associates has saved its clients in excess of \$10 million.

Mr. Laake currently has responsibility for strategic planning, marketing and sales, and overall management of the Firm. He has also played a significant role in the development of Cost Control Associates' energy procurement consulting practice including development of their client programs. Mr. Laake and his staff have been involved in buying energy for more than five years and have been helping clients reduce energy costs for over ten years. His articles describing strategies for reducing energy and telecom costs have appeared in a number of regional and national publications.

Keith Laake received his B.B.A. from the University of Wisconsin and is a Certified Public Accountant. Prior to founding Cost Control Associates, he was Chief Financial Officer for a retail company and prior to that, was a Senior Manager with Ernst & Young. Mr. Laake currently serves on the Board of Directors for Wesley Health Care Systems and the Adirondack Regional Chambers of Commerce and is active in a number of volunteer organizations.

Industry Certification(s) & Educational Background: B.B.A. from the University of Wisconsin and Certified Public Accountant

Work History:

1991 - Curent	President, Cost Control Associates
1987 - 1991	Chief Financial Officer, Economy Dry Goods
1979 - 1987	Senior Manager, Ernst & Young

**RECEIVED**  
MAY 10 2018  
PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU



**COST CONTROL ASSOCIATES, INC.**  
**EXHIBIT 13**

**DAVE SADLOCHA**

Dave Sadlocha is Senior Vice President – Energy Services for Cost Control Associates, Inc. Shortly after joining the Firm in 1996, Mr. Sadlocha took over management of all energy services staff and activities. He continues to have direct responsibility for energy production activities and also has responsibility for information systems. In 2000, Mr. Sadlocha was appointed Secretary of the Corporation and named to the Board of Directors.

Under the care and direction of Mr. Sadlocha, the Firm's energy consulting practice has grown at a brisk pace. He has developed an outstanding reputation in the industry and has authored articles for national publication on leading topics including energy deregulation and procurement practices. Mr. Sadlocha participates in national conferences including those sponsored by the IMRA and other trade organizations.

Prior to joining Cost Control Associates, Dave Sadlocha held management positions in finance and accounting for several national retailers including as Assistant Controller for a New York City based national retail chain. He has a B.S. in Accounting from the State University of New York at Binghamton. He is a frequent motivational speaker at a local organization that specializes in programs to assist displaced workers.

Industry Certification(s) & Educational Background: B.S. in Accounting from the State University of New York at Binghamton

Work History:

1978 - 1990	Management positions in finance and accounting for several national retailers.
1990 - 1996	Assistant Controller, Brooks Fashion Stores
1996 - 2001	Energy Services Manager, Cost Control Associates
2001 - 2006	Vice President, Cost Control Associates
2006 - 2018	Senior Vice President, Cost Control Associates

**RECEIVED**  
MAY 10 2018  
PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

**COST CONTROL ASSOCIATES, INC.  
EXHIBIT 14**

**ALLISON LEVIN**

Allison Levin is Vice President for Cost Control Associates, Inc. Allison joined Cost Control Associates in 1998 as an energy analyst specializing in electricity, natural gas, propane and other fuel oils, and water/sewer. She has analyzed and consulted on electricity needs and usage for clients across the U.S., in all 50 states. She works directly with certified and licensed electricity suppliers and serves as liaison between suppliers and clients. Ms. Levin negotiates pricing as well as contract terms and obligations with suppliers. She works with public service commissions and is familiar with tariffs and electricity industry developments.

Ms. Levin has managed the company's utility analysis team since 2013. She delivers presentations on electricity pricing and usage at industry conferences and prepares detailed responses to Requests for Proposals for electricity consulting projects. Prior to joining Cost Control Associates, she worked at Citizens Energy in Boston where she provided clients with energy efficiency services.

Industry Certification(s) & Educational Background: MST from the State University of New York at Plattsburgh and her BA in mathematics from Boston University. In 2015 she achieved industry certification as a Carbon Reduction Manager, a designation granted by the Association of Energy Engineers.

**Work History:**

1994 – 1998	Intern, Citizens Energy
1998 - 2006	Senior Analyst, Cost Control Associates
2006 - 2008	Substitute Teacher, Glens Falls, NY
2008 – 2015	Energy Services Manager, Cost Control Associates
2015 – 2018	Analysis Team Director, Cost Control Associates
2018	Named Vice President, Cost Control Associates

**RECEIVED**

MAY 10 2018

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

**14. CHECKLIST**

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections is complete.

**Applicant: \_**

Applicant's Use	✓	<b>Signature</b>	
	✓	<b>Filing Fee (ONLY CERTIFIED CHECK OR MONEY ORDER)</b>	
	✓	<b>Application Affidavit</b>	
	✓	<b>Operations Affidavit</b>	
	✓	<b>Proof of Publication</b>	
	✓	<b>Tax Certification Statement</b>	
	✓	<b>Commonwealth Department of State Verification</b>	
	✓	<b>Certificate of Service</b>	

PUC Secretary's Bureau Use

**RECEIVED**

MAY 10 2018

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

**Appendix C**

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

**RECEIVED**

MAY 10 2018

**Example  
CERTIFICATE OF SERVICE**

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

On this the 10 day of MAY 2018 I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as a Natural Gas Supplier and all **NON-CONFIDENTIAL** attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:

<p><b>Office of Consumer Advocate</b> 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120</p>	<p><b>Office of the Attorney General</b> Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120</p>
<p><b>Office of the Small Business Advocate</b> Commerce Building, Suite 202 300 North Second Street Harrisburg, PA 17101</p>	<p><b>Commonwealth of Pennsylvania</b> Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946</p>
<p><b>Columbia Gas of PA, Inc.</b> Michele Caddell 290 W. Nationwide Blvd. Columbus, OH 43215 PH: 614.460.6841 FAX: 614.460.8447 e-mail: mcaddell@nisource.com</p>	<p><b>Bureau of Investigation &amp; Enforcement</b> Pennsylvania Public Utility Commission Commonwealth Keystone Building 400 North Street, 2 West Harrisburg, PA 17120</p>
<p><b>Peoples Natural Gas – Equitable Division</b> Lynda Petrichevich 375 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: <a href="mailto:Lynda.w.petrichevich@peoples-gas.com">Lynda.w.petrichevich@peoples-gas.com</a></p>	<p><b>National Fuel Gas Distribution Corp.</b> David D. Wolford 6363 Main Street Williamsville, NY 14221 PH: 716.857.7483 FAX: 716.857.7479 e-mail: <a href="mailto:wolfordd@natfuel.com">wolfordd@natfuel.com</a></p>
<p><b>The Peoples Natural Gas Company</b> Lynda Petrichevich 375 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: <a href="mailto:Lynda.w.petrichevich@peoples-gas.com">Lynda.w.petrichevich@peoples-gas.com</a></p>	<p><b>PECO</b> Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2 Philadelphia, PA 19103 PH: 215.841.6452 Email: <a href="mailto:carlos.thillet@exeloncorp.com">carlos.thillet@exeloncorp.com</a></p>
<p><b>Peoples Gas Company</b> Lynda Petrichevich 375 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: <a href="mailto:Lynda.w.petrichevich@peoples-gas.com">Lynda.w.petrichevich@peoples-gas.com</a></p>	<p><b>Philadelphia Gas Works</b> Nicholas LaPergola 800 West Montgomery Avenue Philadelphia, PA 19122 PH: 215.684.6278 email: <a href="mailto:nicholas.lapergola@pgworks.com">nicholas.lapergola@pgworks.com</a></p>

<p><b>UGI Central Penn</b>  David Lahoff  2525 N. 12<sup>th</sup> Street, Suite 360  Reading, PA 19612-2677  PH: 610.796.3520  Email: <a href="mailto:dlahoff@ugi.com">dlahoff@ugi.com</a></p>	<p><b>UGI</b>  David Lahoff  2525 N. 12<sup>th</sup> Street, Suite 360  Reading, PA 19612-2677  PH: 610.796.3520  Email: <a href="mailto:dlahoff@ugi.com">dlahoff@ugi.com</a></p>
<p><b>Valley Energy Inc.</b>  Ed Rogers  523 South Keystone Avenue  Sayre, PA 18840-0340  PH: 570.888-9664  FAX: 570.888.6199  email: <a href="mailto:erogers@ctenterprises.org">erogers@ctenterprises.org</a></p>	<p><b>UGI Penn Natural</b>  David Lahoff  2525 N. 12<sup>th</sup> Street, Suite 360  Reading, PA 19612-2677  PH: 610.796.3520  Email: <a href="mailto:dlahoff@ugi.com">dlahoff@ugi.com</a></p>


---

**Dave Sadlocha, VP, Cost Control Associates, Inc.**

RT 205 6 16:30  
 FZ 229  
 B 1637 05:14

Use for your internal use  
 on airbill.

FedEx Service:

FedEx  
 TRK# 8117 4404 1637  
 0215

MON - 14 MAY AA  
 \*\* 2DAY \*\*

Express Manifest Barcode Label Here or Af

SK MDTA

17120  
 PA-US  
 MDT



FTD 986644 18MAY18 ALBA 546C2/7828/8C8A

00037  
 00100

FedEx Express Package US Airbill

FedEx Tracking Number 8117 4404 1637

Form ID No. 0215

1 From [Redacted] Date [Redacted]

Sender's Name [Redacted] Phone [Redacted]

Company [Redacted]

Address [Redacted] Dept./Floor/Suite/Room

City [Redacted] State [Redacted] ZIP [Redacted]

2 Your Internal Billing Reference

3 To Recipient's Name [Redacted] Phone [Redacted]

Company [Redacted]

Address [Redacted] Dept./Floor/Suite/Room  
 We cannot deliver to P.O. boxes or P.O. ZIP codes.

Address [Redacted]  
 Use this line for the HOLD location address or for continuation of your shipping address.

City [Redacted] State [Redacted] ZIP [Redacted]

Hold Weekday  
 FedEx location address  
 REQUIRED. NOT available for  
 FedEx First Overnight.

Hold Saturday  
 FedEx location address  
 REQUIRED. Available ONLY for  
 FedEx Priority Overnight and  
 FedEx 2Day to select locations.

4 Express Package Service \* To most locations.

Packages up to 150 lbs.  
 For packages over 150 lbs., use the  
 FedEx Express Freight US Airbill.

Next Business Day

- FedEx First Overnight  
 Earliest next business morning delivery to select locations. Friday shipments will be delivered on Monday unless Saturday Delivery is selected.
- FedEx Priority Overnight  
 Next business morning. \* Friday shipments will be delivered on Monday unless Saturday Delivery is selected.
- FedEx Standard Overnight  
 Next business afternoon. \* Saturday Delivery NOT available.

2 or 3 Business Days

- FedEx 2Day A.M.  
 Second business morning. \* Saturday Delivery NOT available.
- FedEx 2Day  
 Second business afternoon. \* Thursday shipments will be delivered on Monday unless Saturday Delivery is selected.
- FedEx Express Saver  
 Third business day.\*

5 Package

FedEx E



CMPC

6 Special

Saturday NOT available

No Signature  
 Package may be opened if a signature is required.

Does this apply?

No  Yes

Reservations apply for

7 Payment

Sender Account No. in Service  
 1 Fed Ex Label

Total Packages

To: PUC MASTER

Agency: PUC

Floor:

External Carrier: FEDEX



811744041637

5/14/2018 9:14:20 AM



8117 4404 1637

\*Our liability is limited to US\$100 unless you declare a higher value. See the current FedEx Service Guide for details.

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18201

fedex.com 1.800.GoFedEx 1.800.463.3339

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