A-2018-3000981

Requested missing document for Power Kiosk LLC

1- 10.6. completed

2 - Affidavits corrected

3 - Tax form corrected

4- Resumer Attacles

5- Marketing Methods selected

6- Licences Attaches

RECEIVED

MAY - 9 2018

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Disclosure Statements: If proposing to serve Residential and/or Small Commercial (under 25 kW) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix J to this Application.

Not applicable because applicant applying for a license exclusively as a broker/marketer.

10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. PJM LOAD SERVING ENTITY REQUIREMENT: As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:
 - proof of registration as a PJM Load Serving Entity (LSE), or

(Select only one of the following)

proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services
of the EGS.

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

•	
×	AGREED - Applicant has included compliance with this requirement in the instant application labeled in correspondence with this section (10).
	AGREED - Applicant will provide compliance with this requirement within 120 days of receiving its license
	ACKNOWLEDGED - Applicant is not proposing to provide retail electric supply service at thi time, and therefore is not presently obligated to provide such information

b. STANDARDS OF CONDUCT AND DISCLOSURE: As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43, as well as any future amendments.

 RECEIVED

MAY - 92018

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

- c. REPORTING REQUIREMENTS: Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:
 - Retail Electricity Choice Activity Reports: The regulations at 52 Pa. Code §§ 54.201--54.204 require that
 all active EGSs report sales activity information. An EGS will file an annual report reporting for customer
 groups defined by annual usage. Reports must be filed using the appropriate report form that may be
 obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's
 internet web site.
 - Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.

- The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
- Net Metering Reports: Applicant shall be responsible to report any Net Metering per the Standards on http://www.puc.pa.gov/consumer-info/electricity/alternative-energy.aspx. Scroll down to the Net Metering Standards Section.
- Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis per 52 Pa. Code § 54.39(d).
- Applicant will be required to meet periodic reporting requirements as may be issued by the Commission
 to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and
 Legislature of the progress of the transition to a fully competitive electric market.
 - AGREED
- d. TRANSFER OF LICENSE: The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.
 - M AGREED
- e. ANNUAL FEES: The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling electricity in the Commonwealth of PA, and an annual supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.
 - **ACKNOWLEDGED**
- f. FURTHER DEVELOPMENTS: Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.
- g. FALSIFICATION: The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.
 - □ AGREED
- h. NOTIFICATION OF CHANGE: If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within twenty (20) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 54.34.
 - AGREED

- CEASING OF OPERATIONS: Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.
- j. Electronic Data Interchange: The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at Appendix M.
 - AGREED
- k. FILING FEE: The Applicant has enclosed or paid the required, non-refundable filing fee by CERTIFIED CHECK OR MONEY ORDER in the amount of \$350.00 payable to the Commonwealth of Pennsylvania.

 The Commission does not accept corporate or personal checks for filing fees.
 - PAYMENT ENCLOSED

11. AFFIDAVITS

Must be notarized before filing.

- a. APPLICATION AFFIDAVIT: Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.
- b. OPERATIONS AFFIDAVIT: Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.

12. NEWSPAPER PUBLICATIONS

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve.

The chart below dictates which newspapers are necessary for each EDC. For example, an applicant that wants to operate in Penn Power would need to run ads in both The Erie Times-News and the Pittsburgh Post-Gazette. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

The only acceptable verification of this requirement is with Notarized Proofs of Publication, which may be requested from each newspaper and must be supplied with this application. Applicants do not need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

×	Filing Fee (CERTIFIED CHECK OR MONEY ORDER ONLY)	
×	Application Affidavit	
×	Operations Affidavit	
×	Proof of Publication	
×	Bond, Letter of Credit, or Parental/Affiliate Guarantee	
×	Tax Certification Statement	
×	Commonwealth Department of State Verification	
×	Certificate of Service	

Appendix A

APPLICATION AFFIDAVIT

RECEIVED

MAY - 9 2018

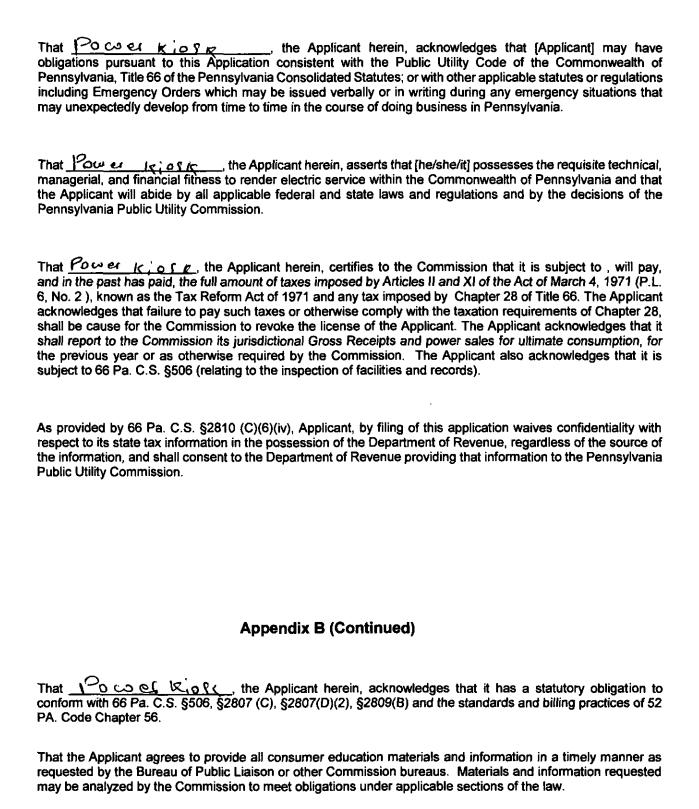
_:	PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU
:	SS.
:	
med] acco	ording to law, deposes and says that:
Powe	ef kiof((Name of Applicant);]
said Applic	cant;]
	information and supporting documentation eneration supplier pursuant to 66 Pa. C.S. §
	ادم Po said Appli producing

That the Applicant herein Power Kiork has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein Power kiork acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

		19
	Signature of Affiant	
0 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1		. a. A.O
Sworn and subscribed before me this	day of May	_, 20 <u>48</u>
MELISSA M ROGERS Official Seal Notary Public – State of Illinois Commission Expires Dec 21, 2021 Signati	ure of official administering	longul oath
The chapa	P.21 2117	V
My commission expires	$\frac{2}{2}$, $\frac{2}{2}$, $\frac{2}{2}$	
Ар	pendix B	RECEIVE
	pendix B ONS AFFIDAVIT	MAY - 9 2018
	•	MAY - 9 2018
	ONS AFFIDAVIT	MAY - 9 2018
OPERATIO	ONS AFFIDAVIT	MAY - 9 2018
OPERATION OPERAT	ONS AFFIDAVIT	MAY - 9 2018 PA PUBLIC UTILITY COMMISSECRETARY'S BUREAU SS.
OPERATIO	ONS AFFIDAVIT	MAY - 9 2018 PA PUBLIC UTILITY COMMISSECRETARY'S BUREAU SS.

That the Applicant herein Rios & acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.



That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.

Signature of Affiant

Sworn and subscribed b	pefore me this, 20_10.
MELISSA M ROGERS Official Seal Notary Public State of Illinois My Commission Expires Dec 21, 2021	Signature of official administering oath
My commission expires	Delnter 21 2021

I hereby certify that all represent [parent/affiliate] guarantee are, this letter and attached [parent/obligation of the guarantor, [guawith the laws of the state of Pen	to the best of my affiliate] guarant rantor company	knowledge, true, complete a ee constitute a binding and co s name], and are enforceable	nd accurate. ontinuing
[Signature of CFO] 7 [Name, Title] Hames 3 [Date] 05,07,2013 [CFO's signature must be notari	abai CZ zed]	MELISSA M ROGE Official Seal Notary Public - State o My Commission Expires De	f Illinois
	Appendix I (CC	ONFIDENTIAL)	
COMMONWEALTH OF PENNSYLVANIA PUBLIC UTILITY COMMISSI	TA	X CERTIFICATION STATEMENT	
A completed Tax Certification Statement must a information and/or any outstanding state income, coadditional space is needed, please use white 81/2" x 1 1. CORPORATE OR APPLICANT NAME Power Kiosk LLC 3. TRADE/FICTITIOUS NAME (IF ANY)	orporation, and sales (inclu 11" paper. Type or print al	ding failure to file or register) will cause your	application to be rejected. If
350 N Lasalle Street 9th Floor 5. TYPE OF ENTITY SOLE PROF		D. BOX NO.) (POST OFFICE) ERSHIP	STATE)
6. LIST OWNER(S), GENERAL PARTNERS, OR	CORPORATE OFFICER	<u>\$(\$)</u>	
NAME (PRINT)	SOCIAL S	ECURITY NUMBER - For Sole Proprietor with	NO EIN ONLY
Hamed Babaj			
NAME (PRINT)			
NAME (PRINT)		RE	CEIVED MAY - 9 2018
NAME (PRINT)		PA PUBLI SEC	C UTILITY COMMISSION
NAME (PRINT)			
7. LIST THE FOLLOWING STATE & FEDERAL Applicant Item A- Designated by the Pennsylvania Department B- Designated by the Internal Revenue Servi Item C - Designated by the Pennsylvania Department	t must provide explanation nt of Revenue. ice.	n if submitting N/A for any items	
A. SALES TAX LICENSE (8 DIGITS)	APPLICATION PENDING N/A	C. CORPORATE BOX No. (7 DIGITS)	APPLICATION PENDING

	PLICATION PENDING N/A	- OR- REVENUE ID NUMBER (10 DIGITS)
8. Do you have PA employees, resident or non-resident	nt?	☐ YES 🔀 NO
9. Do you own any assets or have an office in PA?		☐ YES 🔀 NO
NAME AND PHONE NUMBER OF PERSON(S) R	ESPONSIBLE FOR FILE	ING TAX RETURNS
Hamed Babai	Hamed Babai	Hamed Babai
PA SALES AND USE TAX	EMPLOYER TAXES	CORPORATE TAXES
PHONE 855-475-4675	PHONE 855-475-	-4675 PHONE 855-475-4675
Telephone inquiries about this form may b numbers: (717) 787-1064. TDD# (800) 44		nnsylvania Department of Revenue at the following

INDIVIDUAL ACKNOWLEDGMENT	
State/Commonwealth of	— <u>}</u>
County of	
On this the Pay in the Day of M. P.	Month, the undersigned Notary Public,
Name of Notary Public personally appeared	arhed babai
	Name(s) of Signer(s)
	□ personally known to me – OR –
	proved to me on the basis of satisfactory evidence
	to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he/she/they executed the same for the purposes therein stated.
MELISSA M ROGERS Official Seal Notary Public – State of Illinots My Commission Expires Dec 21, 2021	WITNESS my hand and official seal. Signature of Notary Public
	December 21,2121
Place Notary Seal/Stamp Above	Any Other Required Information (Printed Name of Notary, Expiration Date, etc.)
	OPTIONAL ————————
This section is required for notarizations performation can deter alteration of the document or	med in Arizona but is optional in other states. Completing this fraudulent reattachment of this form to an unintended document.
Description of Attached Document	·
Title or Type of Document:	
Document Date:	Number of Pages:
Signer(s) Other Than Named Above:	
©2016 National Notary Association • www.National	Notary.org • 1-800-US NOTARY (1-800-876-6827) Item #25936

TO: W. Kevin Hughes, Chairman

Harold D. Williams, Commissioner Lawrence Brenner, Commissioner Kelly Speakes-Backman, Commissioner

Anne E. Hoskins, Commissioner

FROM:

Anthony Myers, Acting Executive Director—A

RE:

NRG Kiosk d/b/a Power Kiosk Application for a License to Supply

Electricity or Electric Generation Services In Maryland.

Description of Application:

On June 5, 2014, NRG Klosk d/b/a Power Klosk ("Klosk") filed an application for a license to supply electricity or electric generation services in Maryland.

Groups which should receive a copy of Staff Recommendations:

NRG Kiosk d/b/a Power Kiosk Office of People's Counsel

Recommended Action:

Staff recommends that the Commission grant Kiosk a license to operate as an electricity supplier in Maryland, limited to broker services. The license should include a condition that prohibits Kiosk from using the name NRG Kiosk in Kiosk's marketing or contract materials, or in Kiosk's communications with customers. Additionally, Kiosk is directed to provide marketing and training materials specific to its Maryland operations to the Commission Staff and Office of People's Counsel 30 days prior to commencing operations in Maryland. Finally, Staff recommends the Commission direct Kiosk to notify the Commission within 30 days of any changes to the information upon which the Commission relied in granting this license.

Crissy Godfrey Director, EAP

Leslie Romine Staff Counsel

KA

Commission Action on

Annouad _____

1. Jurisdictions of Operation

Power Kiosk LLC is licensed in the follow9ing states to sell energy. IL,MD,OH,MA,ME,RI,NJ,DE.

a. PROPOSED MARKETING METHOD (check all that apply)

Internal – Applicant will use its own internal resources/employees for marketing

External EGS - Applicant will contract with a PUC LICENSED EGS broker/marketer

Affiliate - Applicant will use a NON-EGS affiliate marketing company and or individuals.

External Third-Party – Applicant will contract with a **NON-EGS** third party marketing company and or individuals

Other (Describe): Online Ecommerce will be used to acquire dinbound customers.

b.		DOOR TO DOOR SALES: Will the Applicant be implementing door to door sales activities?
		Yes No
		If yes, will the Applicant be using verification procedures?
		Yes No
		If yes, describe the Applicant's verification procedures.
		Follow up calls from operation staff
C.		ERSIGHT OF MARKETING: Explain all methods Applicant will use to ensure all marketing is performed in a cal manner, for both employees and subcontractors.
		All saleswill be subject to a compliance call where Power Kiosk compliance person will reach out to the customer and review the sales details and the fact that customer has agreed to the offer terms an conditions.
d.	resp	FICERS: Identify Applicant's chief officers, and include the professional resumes for any officers directle consible for operations. All resumes should include date ranges and job descriptions containing actual workerience. The med Babai, CEO
e.	FER	RC FILING: Applicant has:
		Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.
		Received approval from FERC to be a Power Marketer at Docket or Case Number
		Not applicable

9. <u>DISCLOSURE STATEMENTS:</u>

STATE OF ILLINOIS

ILLINOIS COMMERCE COMMISSION

NRG Kiosk LLC :

•

Application for Licensure of : 13-0624

Agents, Brokers and Consultants : under Section 16-115C of the : Public Utilities Act. :

ORDER

By the Commission:

I. INTRODUCTION

On November 12, 2013, NRG Kiosk LLC ("Applicant") filed a verified application with the Illinois Commerce Commission ("Commission") requesting a certificate of service authority to operate as an agent, broker, or consultant ("ABC") in Illinois pursuant to Section 16-115C of the Public Utilities Act ("Act"), 220 ILCS 5/1-101 et seq., and 83 Ill. Adm. Code 454, "Licensure of Retail Electric Agents, Brokers and Consultants" ("Part 454"). Applicant requests authority to offer services as an ABC for the procurement or sale of retail electricity supply for third parties in the State of Illinois. Upon review of the application, Staff of the Commission ("Staff") requested additional information and clarification of certain matters. On January 27, 2014, Applicant filed a verified Addendum. Since all of the information to grant the requested relief was contained in the application, the Hearing was waived.

II. REQUIREMENTS FOR ALL APPLICANTS UNDER SECTION 16-115C OF THE ACT

Applicant is a business organized under the laws of and authorized to transact business in the State of Illinois. Applicant has certified that it will comply with all applicable regulations; that it will comply with informational and reporting requirements established by Commission rule; that it will comply with informational and reporting requirements pursuant to Section 16-115C of the Act; and that it will comply with all other applicable laws, regulations, terms, and conditions required to the extent they have application to the services being offered by an ABC. Applicant has agreed to ensure that any person who acts on its behalf will comply with all applicable sections of Part 454. Applicant agrees that it will remain in compliance with the provisions of the Act and Part 454, and will ensure that authorizations received from customers, and all other applicable records are retained for a period of not less than three calendar years after the calendar year in which they were created. Applicant has agreed to adopt and follow rules and procedures to preserve the confidentiality of its customers' data. The Applicant has also attested that no complaints have been filed against it for its provision

of services in the electric or gas industry in the jurisdictions where it provides or is seeking to provide services.

III. FINANCIAL, TECHNICAL, AND MANAGERIAL REQUIREMENTS OF SECTION 16-115C

Applicant is required by Section 454.60 to demonstrate that it meets the managerial qualifications necessary to provide services as an ABC. Applicant must further provide an organizational chart that indicates the position of persons which satisfy the managerial qualification. Applicant has demonstrated that it meets the managerial qualifications set forth in Section 454.60 through Attachment A. Attachment A contains a corporate organizational chart and identifies the occupational background information of the persons being used to meet the requirements of Section 454.60(a). Attachment A contains occupational background information of the persons being used to meet the requirements of Section 454.60(a).

Applicant is required by Section 454.70 to demonstrate that it meets the technical qualifications necessary to provide services as an ABC. Applicant has demonstrated that it meets the technical qualifications set forth in Section 454.70 with the information provided in Attachment A. Attachment A contains occupational background information on the person or persons being used to meet the requirements of Section 454.70(a).

Pursuant to the requirements of Section 454.80 of Part 454, Applicant provided a surety bond in the amount of \$5,000 issued by a qualifying surety authorized to transact business in Illinois. Applicant further agrees to comply with the Code of Conduct for ABCs contained in Section 454.90.

IV. COMMISSION CONCLUSION AND CERTIFICATE OF SERVICE AUTHORITY

The Commission has reviewed the application and attachments along with the supplementary information provided by Applicant regarding the technical, managerial, and financial requirements and all other requirements of the Act and Part 454 and finds that the Applicant sufficiently demonstrates compliance with the requirements. The Commission concludes, therefore, that Applicant's request for a certificate of service authority to operate as an ABC in Illinois should be granted and should include the following authority:

CERTIFICATE OF SERVICE AUTHORITY

IT IS CERTIFIED that NRG Kiosk LLC is granted service authority to operate as an agent, broker, or consultant for the procurement or sale of retail electricity supply for third parties in the State of Illinois.

V. FINDINGS AND ORDERING PARAGRAPHS

The Commission, having reviewed the entire record, is of the opinion and finds that:

- (1) NRG Kiosk LLC, a business organized under as well as authorized to transact business in Illinois, seeks a certificate of service authority to operate as an ABC under Section 16-115C of the Act;
- (2) the Commission has jurisdiction over the party hereto and the subject matter hereof:
- (3) the recitals of fact and conclusions reached in the prefatory portion of this Order are supported by the record and are hereby adopted as findings of fact;
- (4) NRG Kiosk LLC has demonstrated that it possesses sufficient financial, managerial, and technical resources and abilities to provide services as an ABC for the procurement or sale of retail electricity supply to third parties in the State of Illinois:
- (5) NRG Kiosk LLC has complied with Section 16-115C of the Act and Part 454; and
- (6) NRG Kiosk LLC should be granted a certificate of service authority to operate as an ABC as specified in this Order.

IT IS THEREFORE ORDERED by the Illinois Commerce Commission that NRG Kiosk LLC is hereby granted a Certificate of Service Authority authorizing it to operate as an agent, broker, or consultant; said Certificate of Service Authority shall read as that set forth in Section IV of this Order.

IT IS FURTHER ORDERED that NRG Kiosk LLC shall comply with all applicable Commission rules and orders now and as hereafter amended.

IT IS FURTHER ORDERED that, subject to the provisions of Section 10-113 of the Public Utilities Act and 83 III. Adm. Code 200.880, this Order is final; it is not subject to the Administrative Review Law.

By Order of the Commission this 5th day of February, 2014.

(SIGNED) DOUGLAS P. SCOTT

Chairman



THE COMMONWEALTH OF MASSACHUSETTN DEPARTMENT OF PUBLIC UTILITIES

CHARLES D. BANER

KARINE POLISO OF SELENT GRADES

MATTHEW 1. BESTON: - PETER CONTROL PROPERTY - PETER SOLUTION - ALL LEVE ONL NOUTH STATION ROSTON, MAD2110 6077305-5500 AND AMORE CANAL

DIFTIE A. WINI

ROMERT F. HAY

March 3, 2015

Hamed Babai, CEO Power Kiosk, LLC 345 East Wacker Drive, Suite 4504 Chicago, TL 60601

Re: Electricity Broker Application

Dear Mr. Babai,

The Department of Public Utilities ("Department") has reviewed Power (Rich application for an Electricity Broker license in the Commonwealthous dass achieves and inform you that the Department has approved your application. Please quit encloses approved application. Your license number is EB 410

As a condition of maintaining this license. Power Stock level intercept in the minimum of the line of of

STATE OF MAINE
PUBLIC UTILITIES COMMISSION

Docket No. 2016-00299

February 1, 2017

POWER KIOSK
Application for License to Operate
As A Competitive Electricity Provider

ORDER GRANTING LICENSE

VANNOY, Chairman; MCLEAN and WILLIAMSON, Commissioners

i. SUMMARY

Through this Order, Power Kiosk (Power Kiosk or the Company) is issued a license to operate as a competitive electricity provider furnishing aggregator/broker services to the all customer classes throughout Maine's service territories pursuant to Chapter 305 of the Commission's Rules.

II. APPLICATION AND DECISION

On December 17, 2016, Power Kiosk applied to the Commission to operate in Maine as a competitive electricity provider pursuant to Chapter 305. The Company proposes to provide aggregator/broker service to all customer classes throughout Maine's service territories and has met all the Chapter 305 filing requirements.

As a licensed competitive electricity provider, Power Kiosk is required to comply with all applicable requirements and regulations, including all conditions of licensing, assignments, customer protection rules, and the filing of annual reports. To the extent that a licensed competitive electricity provider uses third-parties to assist in obtaining customers during the course of its business, Chapter 305 § 4(C) of the Commission's rules places the responsibility on the competitive electricity provider for violations of the provisions of this section by representatives or agents acting on the competitive electricity provider's behalf. Chapter 305 § 2(C)(3) also requires a competitive electricity provider to use reasonable efforts to avoid conducting business with any entity acting as a competitive electricity provider in Maine without a license from the Commission. Therefore, if the Company uses a third party to market its services, it is responsible to ensure that the third party has met any licensing requirements of the Commission.

Pursuant to a Delegation Order dated April 23, 2008 in Docket No. 2008-185, PUBLIC UTILITIES COMMISSION, Delegation of Authority to License Competitive Electricity Providers, the Commission delegated to the Director of Technical Analysis the authority under 35-A M.R.S. §§ 3203 (1) & (2) and Chapter 305, §2 to license competitive electricity providers. On September 12, 2009, in Docket No. 2009-260, statutory changes took effect that changed the title of this position. P.L. 2009, ch. 122. The Director of Technical Analysis is now the Director of Electric and Gas Utility Industries. All existing delegation orders that delegate Commission authority to the

Director of Technical Analysis will now read that we delegate our authority to the Director of Electric and Gas Utility Industries.

Accordingly, it is

ORDERED

- 1. That Power Kiosk is hereby issued a license to operate as a competitive electricity provider pursuant to Chapter 305 of the Commission's Rules, to provide aggregator/broker services to all customer classes throughout Maine's service territories pursuant to Chapter 305 of the Commission's Rules; and
- 2. That this license is effective on the date of this Order and valid until revoked or suspended by the Commission pursuant to section 3(A)(4) of Chapter 305, or abandoned by the licensee pursuant to sections 2(D)(10) and 2(D)(11) of Chapter 305 of the Commission's Rules.

Dated at Hallowell, Maine, this 1st day of February, 2017.

BY ORDER OF THE DIRECTOR OF ELECTRIC AND GAS UTILITY INDUSTRIES

Faith Huntington

NOTICE OF RIGHTS TO REVIEW OR APPEAL

5 M.R.S. § 9061 requires the Public Utilities Commission to give each party to an adjudicatory proceeding written notice of the party's rights to review or appeal of its decision made at the conclusion of the adjudicatory proceeding. The methods of review or appeal of PUC decisions at the conclusion of an adjudicatory proceeding are as follows:

- 1. <u>Reconsideration</u> of the Commission's Order may be requested under Section 1004 of the Commission's Rules of Practice and Procedure (65-407 C.M.R.110) within 20 days of the date of the Order by filing a petition with the Commission stating the grounds upon which reconsideration is sought. Any petition not granted within 20 days from the date of filing is denied.
- 2. <u>Appeal of a final decision</u> of the Commission may be taken to the Law Court by filing, within 21 days of the date of the Order, a Notice of Appeal with the Administrative Director of the Commission, pursuant to 35-A M.R.S. § 1320(1)-(4) and the Maine Rules of Appellate Procedure.
- 3. Additional court review of constitutional issues or issues involving the justness or reasonableness of rates may be had by the filing of an appeal with the Law Court, pursuant to 35-A M.R.S. § 1320(5).

<u>Note</u>: The attachment of this Notice to a document does not indicate the Commission's view that the particular document may be subject to review or appeal. Similarly, the failure of the Commission to attach a copy of this Notice to a document does not indicate the Commission's view that the document is not subject to review or appeal.



Board of Public Olithia

44 South Clinton Avenue, P.O. Box 850, Trenton, New Jers

HERTERY HELETETERS.

NRG Klosk LLC dibia Power Kicsk LLC 208 West State Street Treation, New Jersey 08808-10

To conduct business in the State of M





Commissioners Steven D. Lesser Asim Z. Haque Lynn Slaby M. Beth Trombold

PUBLIC UTILITIES COMMISSION OF OHIO

Certified as a Competitive Retail Electric Service Provider

Certificate Number: 13-746E (1)

Issued Pursuant to Case Number(s):

13-1733-EL-AGG

A certificate as a Competitive Retail Electric Service Provider is hereby granted to, NRG Kiosk LLC whose office or principal place of business is located at 34 East Ohio Street, Suite 2008, Chicago, IL 60611 to provide <u>aggregation and power broker</u> services within the State of Ohio effective October 20, 2013.

The certification of competitive retail electric suppliers is governed by Section 4901:1-24-(01-13) of the Ohio Administrative Code, Section 4901:1-21-(01-15) of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

Subject to all rules and regulations of the Commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated: 10/22/2013

By Order of

PUBLIC UTILITIES COMMISSION OF OHIO

Barcy F. McNeal, Secretary

Betty McCauley, Acting Secretary Tanowa M. Troupe, Acting Secretary

Certificate Expires: October 20, 2015

This is the district that the accurate and complete reproductions of a case file document delivered in the regular course of business rechnician

Date Processed 10/22/13

180 East Broad Street Columbus, Ohio 43215-3793 (614) 466-3016 www.PUCO.ohio.gov

CRES AUTOMATIC CASE ACTION FORM

Case	No. 1	3-1733-EL-AGG
Date	Sent: 6	October 21, 2013
Effec	tive Da	ate of Certificate: October 20, 2013
Certif	icate E	Expires: October 20, 2015
Com	oany N	ame and Company Name d/b/a: NRG Kiosk LLC
□Re	enewal	
<u>Actio</u>	n Nee	<u>ded</u> :
	Issue	Certificate Number to: NRG Klosk LLC at (address): 345 East Ohio Street, Suite 2008, Chicago, IL 60611
	Certif	ied To Provide the Following Services: Retail Generation Aggregation Power Marketer Power Broker Governmental Aggregation
	Rene	w Certificate No. to
	Revis	e Certificate No. to (check all applicable):
		Reflect name change from to
		Reflect address change from to
		Add new service offering to certificate:
		Retail Generation Aggregation Power Marketer Power Broker Governmental Aggregation
		Correct Administrative Error
		Reflect Change of Ownership to:
	Cance	el Certificate No.
	Prote	ct Un-redacted copies until
	Close	Case File, Case Withdrawn at Applicant's Request
П	Close	Case File

Service Notice For Case: 13-1733-EL-AGG Page 1 of 1

CASE NUMBER:

13-1733-EL-AGG

CASE DESCRIPTION:

NRG KIOSK

DATE OF SERVICE:

10/22/2013

DOCUMENT SIGNED ON: 10/22/20

APPLICANT

NONE

PARTY OF RECORD

ATTORNEY

NRG KIOSK LLC HAMED ALBABAI (BABA) 345 EAST OHIO STREET **SUITE 2008**

CHICAGO,IL 60611 Phone:301-412-5780

ATTORNEY

PARTY OF RECORD

ATTORNEY

none

*Babai, Harned Mr.

NRG Kiosk

345 East Ohio Street, Suit 2008

Chicago, IL 60606 Phone:(301)412-5780

Email:hbabai@datagearbox.com

RECEIVED

STATE OF MARYLAND PUBLIC SERVICE COMMISSION

AUG 07 2014 STAFF COUNSEL DIVISION

NUMBER: IR-3341

Supplemental Comments DATE: August 7, 2014 MAIL LOG NO.: 155681

TO:

W. Kevin Hughes, Chairman

Harold D. Williams, Commissioner Lawrence Brenner, Commissioner Kelly Speakes-Backman, Commissioner

Anne E. Hoskins, Commissioner

FROM:

Anthony Myers, Acting Executive Director—

RE:

NRG Kiosk d/b/a Power Kiosk Application for a License to Supply

Electricity or Electric Generation Services In Maryland.

Description of Application:

On June 5, 2014, NRG Kiosk d/b/a Power Kiosk ("Kiosk") filed an application for a license to supply electricity or electric generation services in Maryland.

Groups which should receive a copy of Staff Recommendations:

NRG Kiosk d/b/a Power Kiosk Office of People's Counsel

Recommended Action:

Staff recommends that the Commission grant Kiosk a license to operate as an electricity supplier in Maryland, limited to broker services. The license should include a condition that prohibits Kiosk from using the name NRG Kiosk in Kiosk's marketing or contract materials, or in Kiosk's communications with customers. Additionally, Kiosk is directed to provide marketing and training materials specific to its Maryland operations to the Commission Staff and Office of People's Counsel 30 days prior to commencing operations in Maryland. Finally, Staff recommends the Commission direct Kiosk to notify the Commission within 30 days of any changes to the information upon which the Commission relied in granting this license.

Day Ohnly	2 les	Jedin n	
Crissy Godfrey Director, EAP		Leslie Romine Staff Counsel	KA
Commission Action on		<u></u> :	
Approved	Disapproved	Accept for Filing	

STATE OF MARYLAND PUBLIC SERVICE COMMISSION

Comments of the Energy Analysis and Planning Division (IR-3341) -

Supplemental Comments

RE: Electricity Supplier application of NRG Kiosk dba Power Kiosk

DATE: August 7, 2014 MAIL LOG NO: 155681

Summary of Filing:

On June 5, 2014, Kiosk filed an application for a license to supply electricity or electric generation services in Maryland, limited to broker services. At its Administrative Meeting on July 23, 2014, the Commission deferred action on the request.

Applicable Law:

Section 7-507 of the Public Utilities Article ("PUA") of the Annotated Code of Maryland requires that a person be licensed by the Commission in order to engage in business as an electricity supplier. The applicant for such a license must prove technical and managerial competence, financial integrity, compliance with all applicable requirements of the Federal Energy Regulatory Commission and the appropriate regional transmission operator and provide certification of compliance with applicable federal and State environmental laws and regulations relating to the generation of electricity (PUA §7-507(b) and (c)). The applicant must provide proof that it is qualified to do business in the State with the Department of Assessments and Taxation, and must agree to be subject to all applicable taxes. The Commission also examines the applicant's compliance with the laws governing energy suppliers in other States in which the applicant serves as a supplier. Specific methods of proving financial integrity are set forth in COMAR 20.51.02.

Background:

At its Administrative Meeting on July 23, 2014, the Commission deferred action on the request by NRG Kiosk, doing business as Power Kiosk (referred to here as Kiosk), for authorization from the Maryland PSC to serve as an electricity broker in Maryland. The commissioners expressed concern that Kiosk's use of the name "NRG Kiosk" might mislead Kiosk's customers into believing that there is an affiliation between NRG Energy and Kiosk in connection with Kiosk's brokering activities, which might mean that NRG Energy will back-up Kiosk's contractual commitments to its customers.

Kiosk is registered with the Maryland Department of Assessments and Taxation ("SDAT") as Power Kiosk, LLC, a/k/a NRG Kiosk. Kiosk explained to Staff that in choosing the name NRG Kiosk, Kiosk was not aware that there was an existing company named NRG Energy:

STATE OF MARYLAND PUBLIC SERVICE COMMISSION

Comments of the Energy Analysis and Planning Division (IR-3341) - Supplemental Comments

RE: Electricity Supplier application of NRG Kiosk dba Power Kiosk

DATE: August 7, 2014 MAIL LOG NO: 155681

We honestly name[d] [our company NRG Kiosk] not even knowing of NRG Energy. Later we realized that the name is trademarked and we haven't used the name NRG Kiosk at all.

In its comments filed at the Commission on July 21, 2014, the Office of People's Counsel ("OPC") stated that NRG Energy's senior regulatory counsel Abraham Silverman told OPC that "NRG Kiosk was licensed by NRG Energy to use the name NRG in association with the term Kiosk, but otherwise had no other affiliation with the company." NRG Energy stated that once it realized that Kiosk was using "NRG" as part of its name, NRG Energy decided to authorize Kiosk to use this name, on the condition that Kiosk not use the name "NRG" in its communications with the public:

As I understand it, all we could do [once we discovered that Kiosk was using "NRG" as part of its name] was to enter into an agreement with [Kiosk] that they would not use the "NRG" portion of their name when interfacing with the public. So we have no control over what they call themselves, so long as they aren't out in the market using the NRG name.

NRG Energy explained to Staff that "we have no relationship whatsoever with [Kiosk] – they are completely unrelated to NRG [Energy].

Kiosk said that it will not use the name NRG Kiosk in its marketing or contract materials, or in its communications with customers:

We registered the company as an LLC in Illinois as NRG Kiosk. In one month we got a dba for Power Kiosk and since then have been using [the name] Power Kiosk everywhere. Customers will never see the name NRG Kiosk. There is [sic] no marketing materials, websites and customer facing pages/docs with NRG Kiosk name. [NRG Kiosk] is our back-end legal name. We are not going to talk about NRG Kiosk in any of our documents given we are not even using that name.

Analysis:

As noted, Kiosk said that it will not use the name NRG Kiosk in its marketing or contract materials, or in its communications with customers. Therefore, Staff



STATE OF MARYLAND PUBLIC SERVICE COMMISSION

Comments of the Energy Analysis and Planning Division (IR-3341) -

Supplemental Comments

RE: Electricity Supplier application of NRG Klosk dba Power Kiosk

DATE: August 7, 2014 **MAIL LOG NO: 155681**

recommends that Kiosk's authorization to operate as a broker reflect this commitment by Kiosk by including a condition that prohibits Kiosk from using the name NRG Kiosk in Kiosk's marketing or contract materials, or in Kiosk's communications with customers. With this condition, Staff believes that the fact that Kiosk's legal name is NRG Kiosk will not mislead customers into believing that (1) Kiosk is affiliated with NRG Energy, or (2) NRG Energy is in any way involved with Kiosk's operations or management.

Recommendation:

Staff recommends that the Commission grant Kiosk a license to operate as an electricity supplier in Maryland, limited to broker services. The license should include a condition that prohibits Kiosk from using the name NRG Kiosk in Kiosk's marketing or contract materials, or in Kiosk's communications with customers. Additionally, Kiosk is directed to provide marketing and training materials specific to its Maryland operations to the Commission Staff and Office of People's Counsel 30 days prior to commencing operations in Maryland. Finally, Staff recommends the Commission direct Kiosk to notify the Commission within 30 days of any changes to the information upon which the Commission relied in granting this license.

> Kevin Mosier Regulatory Analyst

H. Robert Erwin, General Counsel CC: David J. Collins, Executive Secretary Terry J. Romine, Chief Public Utility Law Judge Obi Linton, Director, Office of External Relations Regina Davis, Director of Communications



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

DIVISION OF PUBLIC UTILITIES AND CARRIERS

89 Jofferson Boulevard Warwick, R.I. 02888 (401) 941-4500

FAX (401) 941-9248 TDD (401) 941-4500

February 7, 2017

Hamed Babai CEO NRG Kiosk 350 N. LaSalle St, 9th Floor Chicago, IL 60654

Re: NRG Kiosk's Application for Registration as a Non Regulated Power Producer pursuant to R.I.G.L. 39-1-27.1(c). Division Docket D-96-6 (R8)

Dear Mr. Babai:

The Division of Public Utilities has reviewed NRG Kiosk's application for registration as a nonregulated power producer, pursuant to R.I.G.L. 39-1-27.1(c) and the Division's Rules Applicable to Nonregulated Power Producers. The application is complete as to the statutory requirements of 39-1-27.1(c), itemized in statute at (i) through (ix) and the Division's rules.

The Division accepts the registration application of NRG Kiosk as a nonregulated power producer infermence with the law, please more that the registration must be updated within the registration must be updated within the registration must be updated within the registration.

Very truly yours,

John Bel

John Bell

Assistant Chief Accountant

oc: Luly Massaro, Commission Clerk

Edward R Gordon

Entrepreneur-Channel Chief-Procurement SaaS-Market Expansion edwardrgordon@gmail.com

Summary

Sales Professional with over 20 years of experience driving sales results for organizations in diverse industries, from start-up companies to established corporations. I'm recognized for my ability to elicit and listen to customer needs, then parse technical information into meaningful solutions that solve their pain. I'm adept at driving revenue through efficiently prospecting, qualifying and closing business.

Business and entrepreneurial-minded leader with a proven track record for executing on market strategy and achieving performance goals. Highly developed outcome-based framework tuned to the voice of the client and needs of the company, producing better decisions and stronger results.

Professional strengths in: Business Strategy, Partner Development, Sales Team Leadership, Strategic Partnerships, Market Expansion Revenue and Profit Margin Growth, Accelerating Revenue, Value-Creation, Client Satisfaction, Market Intelligence, Mentoring, Coaching, Go-To-Market, Building Complex Technology Sales, Process Improvement, Creativity, Cloud, Data Center, Network and Managed Services, Energy Management and Procurement, SaaS

Experience

Channel Chief at Power Kiosk January 2015 - Present

Power Kiosk is disrupting the old-fashioned energy industry. With Power Kiosk, Consumers, Suppliers and Utilities simply connect to one energy market place (clearinghouse) and do business together. We help the users by providing efficiency, technology and data intelligence via the SaaS platform.

Director of Commerical Sales at Eligo Energy

May 2013 - January 2015 (1 year 9 months)

Eligo Energy focuses on residential, small to midsize commercial, and municipalities.

Business Development/Channel Manager at AEP Energy January 2011 - May 2013 (2 years 5 months)

AEP Energy is a retail electricity supplier currently operating in numerous deregulated markets. AEP Energy is one of the fastest-growing energy suppliers in the nation and is consistently recognized by Inc. Magazine on its annual Inc. 500 list of fastest-growing private companies.

Principal

January 2005 - January 2011 (6 years 1 month)

- *Defining current network infrastructure and immediate requirements
- *Comparing service offerings from several major network providers
- *Connecting our customers with the network solution that best fits their needs
- *Completing each project professionally and on schedule
- *Providing ongoing support and services for future growth and expansion

Regional Channel Sales Manager

1995 - 2004 (10 years)

Major Accounts Manager at WorldCom

1992 - 1995 (4 years)

Education

University of Iowa

BS Marketing, Busines, History,, 1984 - 1988

Activities and Societies: Sigma Alpha Mu-Social Chairman Inter-fraternity Council Sammy Conclave Rep

Honors and Awards

Multiple Annual President's Club Awards

Hamed Babai

Entrepreneur

hbabai@datagearbox.com

Summary

Power Kiosk is a SaaS technology that discovers the best energy rates. Power Kiosk is active in 19 states and works with 70 energy suppliers and more than 5000 customers.

Brokers, Marketers, property managers, and corporations can license the platform and manage the energy purchasing for their accounts and facilities.

Power Kiosk includes e-commerce, electronic contracting, E-procurement, auction, CRM, and analytics. It is the most popular energy purchasing technology according to Energy Research Consulting Group.

Email us at Info@powerkiosk.com to learn about our licensing.

Experience

Founder and Chief Executive Officer at Power Kiosk January 2014 - Present

Power Kiosk is an industry-disruptive clearinghouse and platform for energy retail. Power Kiosk provides the energy customers with direct access to all top-rated national energy suppliers. We provide well-negotiated contracts with all national energy suppliers, in addition to a streamlined and fully automated process that guarantees the best rate will be found in a matter of few clicks. Users of the platform are the energy consumers who shop for themselves and channel partners who help their network of customers find competitive electricity and natural gas offers.

Founder and Chief Executive Officer at Data Gearbox March 2013 - Present

Data Gearbox knows best how to use machine and data to your advantage. In today's highly competitive market place, reliable forecasting of the future is essential to your firm's success and that is why we are here to help you. We connect your thoughts to the machine world in the subtlest ways and show you what you may not observe by the first look.

Chief Technology Officer at Best Energy

January 2012 - January 2013 (1 year 1 month)

In this role, Hamed managed the technology road map, and advised the firm in integration of business and technology toward achieving the desired results. His solid technical background and experience in dealing with c-suite challenges gives him a unique advantage in serving such a role.

Post Doctorate Fellow at Northwestern University

June 2011 - July 2012 (1 year 2 months)

He was associated with Northwestern University and managed large-scale industrial and government projects. The focus of these projects is to incorporate risk measures and real time data in decision support systems. He has been involved in logistics, public transportation and airline industries and has managed large groups of people for achieving the objectives.

Research Associate at Northwestern University

2007 - 2011 (5 years)

Research and Development, Fundamental analysis

Consultant Intern at TNA (Tarhe Now Andishan)

May 2004 - February 2005 (10 months)

TNA is an engineering consulting firm specialized in engineering, design, supervision, and the management of various Management Consultancy, Civil and Industrial projects. Hamed helped the company in project due diligence, valuation and resource management. He worked side by side of senior project managers and engineers and was active in engineering management and operation tasks.

Education

Northwestern University
Doctor of Philosophy (Ph.D.), Engineering, 2007 - 2011
University of Maryland College Park
Transportation and Logistics Engineering, 2005 - 2007
Sharif University of Technology
Civil Engineering, 2000 - 2004

Honors and Awards

2011 Northwestern University Dissertation Year Fellowship, 2007 ASCE Student Annual Award (American Society of Civil Engineers, US), 2006 International Graduate Research Fellowships, University of Maryland, Collegepark, 2005 Distinguished Masters thesis, Sharif University of Technology, 2004 Rank 6 out of 15,000 candidates of Masters program in Civil Engineering, Iran

Michael McCarthy

Bringing commercial buyers and energy sellers together to secure the best available rates. mlrds1@sbcglobal.net

Summary

Sales leader and business professional with a history of exceeding sales targets. Expertise in new business development and new logo acquisition.

Specialties: Sales Leadership, New Business Development, Enterprise Sales, Sales Strategy, Solution Selling, Contract Negotiation, Business Intelligence, Master Data Management, Digital Marketing, Predictive Analytics, Risk Management, Supply Chain, Compliance, API Integration, SaaS, DaaS

Experience

Vice President, Enterprise Sales at Power Kiosk April 2017 - Present

Power Kiosk is a disruptive SaaS technology that enables thousands of clients to discover and secure the best energy rates and plans currently available in 19 energy deregulated states. While deregulation provides customers with a multitude of choices in selecting their natural gas and electricity suppliers, it also requires them to navigate through hundreds of energy suppliers and thousands of different plans. And the complexity increases for organizations with multiple offices and facilities in multiple states.

Power Kiosk demystifies and simplifies the process through its online reverse auction platform. Working with more than 70 fully-vetted and qualified energy suppliers, the Power Kiosk platform automatically manages competitive reverse bidding auctions where energy suppliers compete against each other to win the customers' business. Power Kiosk knows which suppliers to 'invite' to an auction based on geographical parameters and other considerations.

Power Kiosk is available under a licensing agreement to commercial enterprises, property managers, energy marketers and energy brokers. In addition to its reverse auction e-commerce functionality, Power Kiosk also includes electronic contracting, eProcurement, CRM and energy market analytics.

Email us at Info@powerkiosk.com to learn about our licensing programs and options

Area Vice President of Sales at Dun & Bradstreet 2012 - 2016 (5 years)

Director New Business Development at Epsilon

2010 - 2012 (3 years)

SVP Retail Strategy and Business Development at Affinity Solutions

2010 - 2010 (1 year)

Director, New Business Development at Experian Marketing Services

2008 - 2010 (3 years)

President & CEO

2006 - 2008 (3 years)

Vice President of Sales at Amacai Information Corporation

2002 - 2006 (5 years)

Vice President, New Business Development

2000 - 2002 (3 years)

Vice President, New Business Development

1998 - 2000 (3 years)

Vice President of Sales

1993 - 1998 (6 years)

Vice President of Sales

1983 - 1993 (11 years)

Education

Northern Illinois University

Bachelor of Arts (BA), English

Activities and Societies: President, Sigma Phi Epsilon Fraternity

Northern Illinois University

BA

smart

FedEx carbon-neutral envelope shipping

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