

Your Energy & Telecom Experts

June 26, 2018

Rosemary Chiavetta, Secretary Pennsylvania Public Utility Commission 400 North Street, Keystone Building Harrisburg, PA 17120

Re: Docket No. A-2018-3002102

Utility Code: 1121005

Dear Ms. Chiavetta:

Pursuant to your letter of June 18, 2018 attached is returned documentation for which an "original" signature was requested. Per your letter, I have signed, in ink, next to the facsimile signature that was originally submitted.

If you have any questions, please contact me directly at 518-824-0311 or via email at Dave.Sadlocha@CostControlAssociates.com.

Sincerely,

Dave Sadløcha

Senior Vice-President

Attachments

RECEIVED

JUN 27 2018

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU



Your Energy & Telecom Experts

June 12, 2018

Rosemary Chiavetta, Secretary Pennsylvania Public Utility Commission 400 North Street, Keystone Building Harrisburg, PA 17120

Re:

Docket No. A-2018-3002102

Utility Code: 1121005

Dear Ms. Chiavetta:

Pursuant to your letter of June 5, 2018 attached is documentation submitted in response to your data request, specifically, copies of licenses for RI, OH, NH, and ME.

If you have any questions, please contact me directly at 518-824-0311 or via email at Dave.Sadlocha@CostControlAssociates.com.

Sincerely,

Dave Sadlocha (Senior Vice-Presi

Attachments

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PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU RECEIVED

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PA PUBLIC UTILITY COMMISSION

SECRETARY'S BUREA

OPERATIONS AFFIDAVIT

State of New York: County of Warren:

Dave Sadlocha, Affiant, being duly sworn according to law, deposes and says that:

He is the Vice President of Cost Control Associates, Inc.;

That he is authorized to and does make this affidavit for said Applicant;

That Cost Control Associates, Inc., the Applicant herein, acknowledges that Cost Control Associates, Inc. may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That Cost Control Associates, Inc. the Applicant herein, asserts that it possesses the requisite technical, managerial, and financial fitness to render natural gas supply service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Cost Control Associates, Inc., the Applicant herein, certifies to the Commission that it is subject to, will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 22 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28 shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional natural gas sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records). Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

That Cost Control Associates, Inc., the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506 and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Office of Communications or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

and belief.
Nefall Otfall
Signature of Affiant
Sworn and subscribed before me this 12 day of 30 , 20 , 20 , 20 .
Jana Sostie
Signature of official administering oath

DANA SASTRE
NOTARY PUBLIC-STATE OF NEW YORK
No. 01SA6375138
Qualified in Warren County
My Commission Expires 05-14-2022

Cost Control Associates, Inc.

Docket No. A-2018-3002102

I, Dave Sadiocha, hereby state that the facts above set forth are true and correct to the best of my knowledge, information, and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements herein are mad subject to the penalties of 18 Pa. C.S. §4904 (relating to unsworn falsification to authorities).

Vice President



Commissioners
M. Beth Trombold
Thomas W. Johnson
Lawrence K. Friedeman
Daniel R. Conway

PUBLIC UTILITIES COMMISSION OF OHIO

Certified as a Competitive Retail Electric Service Provider

Certificate Number: 18-1306E (1)

Issued Pursuant to Case Number(s):

18-0451-EL-AGG

A certificate as a Competitive Retail Electric Service Provider is hereby granted to, Cost Control Associates, Inc. whose office or principal place of business is located at 310 Bay Road, Queensbury, NY 12801 to provide <u>power broker</u> services within the State of Ohio effective April 16, 2018.

The certification of competitive retail electric suppliers is governed by Section 4901:1-24-(01-13) of the Ohio Administrative Code, Section 4901:1-21-(01-15) of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

Subject to all rules and regulations of the Commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated: April 17, 2018

By Order of

PUBLIC UTILITIES COMMISSION OF OHIO

Barcy F. McNeal, Secretary

Tanowa M. Troupe, Acting Secretary Susan Patterson, Acting Secretary

Beverly Hoskinson, Acting Secretary

Certificate Expires: April 16, 2020





STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

DIVISION OF PUBLIC UTILITIES & CARRIERS 89 Jefferson Boulevard Warwick, Rhode Island 02888 (401) 941-4500

April 16, 2018

David Sadlocha Senior Vice-President Cost Control Associates, Inc. 310 Bay Road Queensbury, NY 12804

Re: <u>Cost Control Associates, Inc.'s Application for Registration as a Non Regulated</u>

<u>Power Producer pursuant to R.I.G.L. 39-1-27.1(c).</u> <u>Division Docket D-96-6 (C9)</u>

Dear Mr. Sadlocha:

The Division of Public Utilities reviewed Cost Control Associates, Inc.'s application for registration as a nonregulated power producer, pursuant to R.I.G.L. 39-1-27.1(c) and the Division's Rules Applicable to Nonregulated Power Producers. The application is complete as to the statutory requirements of 39-1-27.1(c), itemized in statute at (i) through (ix) and the Division's rules.

The Division accepts the registration application of Cost Control Associates, Inc. as a nonregulated power producer. In conformance with the law, please note that the registration must be updated within ten days of any change to the information included in your application. In addition, a \$100 Annual Fee is due by December 31st of each year in order to renew your registration for the following calendar year.

Very truly yours,

John Bell

Assistant Chief Accountant

cc: Luly Massaro, Commission Clerk

THE STATE OF NEW HAMPSHIRE

GHAIRMAN Martin P. Honigberg

COMMISSIONERS Kathryn M. Bailey Michael S. Giaimo

EXECUTIVE DIRECTOR .. Debra A. Howland



PUBLIC UTILITIES COMMISSION 21 S. Fruit Street, Suite 10 Concord, N.H. 03301-2429

May 1, 2018

TDD Access: Relay NH 1-800-735-2964

Tel. (603) 271-2431

FAX (603) 271-3878

Website: www.puc.nh.gov

Dave Sadlocha, Senior Vice President Cost Control Associates, Inc. 310 Bay Road Queensbury, NY 12804

Re: DM 18-071 Cost Control Associates, Inc.

Application to Register as Provider of Electric Aggregation Service

Dear Mr. Sadlocha:

On April 26, 2018, Cost Control Associates, Inc. (Cost Control Associates) submitted an application with the Commission to register as a provider of electric aggregation service. Staff reviewed the application and determined that it is complete.

Commission Staff filed a memorandum on April 30, 2018 recommending approval of Cost Control Associates, Inc.'s registration application for a two-year term beginning on the date of the Executive Director's approval letter, pursuant to N.H. Code Admin. Rules Puc 2003.05(g)(1).

Your application for registration is approved effective as of April 30, 2018, under Puc 2003.05(g)(1). The registration is for a term of two years and expires at the close of business on April 30, 2020. Pursuant to Puc 2003.06(a), you must submit your renewal application at least 60 days prior to the expiration of the approved registration term, on or before March 1, 2020.

Please be aware that registered providers of electric aggregation service are subject to specific requirements contained in N.H. Code Admin. Rules Puc 2000 – Competitive Electric Power Supplier and Aggregator Rules. These rules are available at: http://www.puc.nh.gov/Regulatory/Rules/PUC2000.pdf.

Please note that, effective as of July 1, 2014, each registered electric load aggregator is subject to an annual assessment of \$2,000, unless it demonstrates that its gross revenue in New Hampshire during the preceding fiscal year (from July 1 through June 30) was less than \$10,000, pursuant to RSA 363-A:2, III and 5.

Sincerely.

Debra A. Howland Executive Director

Howevery acc

cc: Service List/Docket File

STATE OF MAINE
PUBLIC UTILITIES COMMISSION

Docket No. 2018-00103

May 7, 2018

COST CONTROL ASSOCIATES, INC. Application for License to Operate As A Competitive Electricity Provider

ORDER GRANTING LICENSE

VANNOY, Chairman; WILLIAMSON and DAVIS, Commissioners

I. SUMMARY

Through this Order, Cost Control Associates, Inc. (CCA or the Company) is issued a license to operate as a competitive electricity provider furnishing aggregator/broker services to the non-residential customer classes throughout Maine's service territories pursuant to Chapter 305 of the Commission's Rules.

II. APPLICATION AND DECISION

On May 1, 2018, CCA applied to the Commission to operate in Maine as a competitive electricity provider pursuant to Chapter 305. The Company proposes to provide aggregator/broker service to the non-residential customer classes throughout Maine's service territories and has met all the Chapter 305 filing requirements.

As a licensed competitive electricity provider, CCA is required to comply with all applicable requirements and regulations, including all conditions of licensing, assignments, customer protection rules, and the filing of annual reports. To the extent that a licensed competitive electricity provider uses third-parties to assist in obtaining customers during the course of its business, Chapter 305 § 4(C) of the Commission's rules places the responsibility on the competitive electricity provider for violations of the provisions of this section by representatives or agents acting on the competitive electricity provider's behalf. Chapter 305 § 2(C)(3) also requires a competitive electricity provider to use reasonable efforts to avoid conducting business with any entity acting as a competitive electricity provider in Maine without a license from the Commission. Therefore, if the Company uses a third party to market its services, it is responsible to ensure that the third party has met any licensing requirements of the Commission.

Pursuant to a Delegation Order dated April 23, 2008 in Docket No. 2008-185, PUBLIC UTILITIES COMMISSION, Delegation of Authority to License Competitive Electricity Providers, the Commission delegated to the Director of Technical Analysis the authority under 35-A M.R.S. §§ 3203 (1) & (2) and Chapter 305, §2 to license competitive electricity providers. The Commission no longer has a Director of Technical Analysis and all existing delegation orders that delegate Commission authority to the Director of Technical Analysis is now delegated to the Director of Electric and Gas Utility Industries.

Accordingly, it is

ORDERED

- That Cost Control Associates, Inc. is hereby issued a license to operate as a competitive electricity provider pursuant to Chapter 305 of the Commission's Rules, to provide aggregator/broker services to the non-residential customer classes throughout Maine's service territories pursuant to Chapter 305 of the Commission's Rules; and
- 2. That this license is effective on the date of this Order and valid until revoked or suspended by the Commission pursuant to section 3(A)(4) of Chapter 305, or abandoned by the licensee pursuant to sections 2(D)(10) and 2(D)(11) of Chapter 305 of the Commission's Rules.

Dated at Hallowell, Maine, this 7th day of May, 2018.

BY ORDER OF THE DIRECTOR OF ELECTRIC AND GAS UTILITY INDUSTRIES

Faith Huntington

