

StateWise  
Energy

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PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

July 4, 2018

Pennsylvania Public Utility Commission  
Secretary  
400 North Street, Keystone Building  
Harrisburg, PA 17120  
Ph: (717)727-7777

**VIA COURIER**

**Subject: StateWise Energy Pennsylvania LLC's Natural Gas Supplier Services  
Application - Revised**

To Whom It May Concern,

Please accept StateWise Energy Pennsylvania LLC's revised application to become a licensed Natural Gas Supplier in the Commonwealth of Pennsylvania.

Enclosed please find:

- One Original Notarized Application (revised to most recent version)
- CD-ROM with .PDF version of Application
- \$350 filing fee

Questions regarding this application should be directed to my attention, my details are listed below.

Regards,



**Alex Farmer**  
*Statewise Energy Pennsylvania LLC*  
Supervisor, Regulatory Affairs  
100 Milverton Drive, Suite 608  
Mississauga, Ontario L5R 4H1, Canada  
Ph: 905-366-4461  
Fax: 905-366-7011  
[afarmer@sfeenergy.com](mailto:afarmer@sfeenergy.com)

StateWise Energy Pennsylvania LLC  
100 Milverton Drive, Suite 608  
Mississauga, ON, L5R4H1  
855-862-1185 | fax: 888-440-0698

## BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of StateWise Energy Pennsylvania LLC, d/b/a StateWise Energy, StateWise, for approval to offer, render, furnish, or supply natural gas supply services as a foreign limited liability company to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

### 1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

StateWise Energy Pennsylvania LLC, d/b/a StateWise Energy, StateWise  
608-100 Milverton Drive, Mississauga Ontario, L5R 4H1, Canada  
www.statewiseenergy.com  
Ph: (855)862-1185

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

CSC-Lawyers Incorporating Services  
2595 Interstate Drive Suit 103, Harrisburg PA, 17110

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- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Jeff Donnelly  
Director, Regulatory Affairs and Compliance and Chief Privacy Officer  
608-100 Milverton Drive, Mississauga Ontario, L5R 4H1, Canada  
jdonnelly@sfeenergy.com  
Ph: (905)366-7020, fax: (905)366-7011

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Jon P. Yormick  
Phillips Lytle LLP  
125 Main Street, Buffalo NY, 14203-2887  
jyormick@phillipslytle.com  
Ph: (716)847-7006, fax:(716)852-6100

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS:** Provide the name, title, address, telephone number, fax number, and e-mail OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED) responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Natural Gas Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed NGSS.

Please see exhibit 1 attached

**2. BUSINESS ENTITY FILINGS AND REGISTRATION**

a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State Pursuant to 54 Pa. C.S. §311.

**Please see exhibit 2 attached**

**Or**

The Applicant will not be using a fictitious name.

b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**

*(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)*

The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

**Or**

The Applicant is a:

- domestic general partnership (\*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- \* If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

**or**

The Applicant is a:

- domestic corporation (15 Pa. C.S. §1308)
- foreign corporation (15 Pa. C.S. §4124)
- domestic limited liability company (15 Pa. C.S. §8913)
- foreign limited liability company (15 Pa. C.S. §8981)
- Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.
- Give name and address of officers.

**Please see exhibit 3 attached**

### 3. AFFILIATES AND PREDECESSORS

*(both in state and out of state)*

- a. **AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

**Please see exhibit 4 attached**

- b. **PREDECESSORS:** Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

**Please see exhibit 5 attached**

#### 4. OPERATIONS

a. **APPLICANT'S PRESENT OPERATIONS:** *(select and complete the appropriate statement)*

Definitions

- Supplier – an entity which provides natural gas supply services to retail gas customers utilizing the jurisdictional facilities of an natural gas distribution company
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of natural gas but does not take title to the natural gas.

The Applicant is presently doing business in Pennsylvania as a

- natural gas interstate pipeline
- municipality providing service outside its municipal limits
- local gas distribution company
- retail supplier of natural gas services in the Commonwealth
- a natural gas producer
- a broker/marketer engaged in the business of supplying natural gas services
- Other. (Identify the nature of service being rendered)

**Response:** An affiliate to StateWise Energy Pennsylvania LLC is currently operating as a Natural Gas Supplier in the Commonwealth of Pennsylvania under the name "SFE Energy Pennsylvania, Inc." license # A-2013-2346185.

**OR**

The Applicant is not presently doing business in Pennsylvania.

b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a:

- Supplier or Aggregator of natural gas services
- Municipal supplier of natural gas services
- Cooperative supplier of natural gas services
- Broker/Marketer engaged in the business of supplying natural gas services
  - Check here to verify that your organization will not be taking title to the natural gas nor will you be making payments for customers.
- Other (Describe):

**c. PROPOSED SERVICES:** Describe in detail the natural gas supply services which the Applicant proposes to offer.

Upon the approval of our Application, StateWise will seek to provide Natural Gas Supplier services in all Pennsylvania Gas utilities. StateWise intends to begin marketing natural gas supply services to residential and commercial consumers. StateWise has no restrictions to the number or size of consumers it intends to offer its services. StateWise will conduct the sales of our energy programs via an arm's length broker channel of whom we already contract with in Pennsylvania, Maryland, New Jersey, Massachusetts, Ohio, California, Illinois and Texas.

**d. PROPOSED SERVICE AREA:** Check the box of each Natural Gas Distribution Company for which the Applicant proposes to provide service.

- |   |  |
|---|--|
| <input type="checkbox"/> Columbia                     | <input type="checkbox"/> Philadelphia Gas Works      |
| <input type="checkbox"/> National Fuel Gas            | <input type="checkbox"/> UGI Central Penn            |
| <input type="checkbox"/> PECO                         | <input type="checkbox"/> UGI Penn natural            |
| <input type="checkbox"/> Peoples Gas – Equitable Div. | <input type="checkbox"/> UGI Utilities               |
| <input type="checkbox"/> Peoples Natural Gas          | <input type="checkbox"/> Valley Energy               |
| <input type="checkbox"/> Peoples Gas Company          | <input checked="" type="checkbox"/> All of the above |

**e. CUSTOMERS:** Applicant proposes to provide services to:

- Residential Customers
- Small Commercial Customers - (Less than 6,000 Mcf annually)
- Residential and Small Commercial as Mixed Meter **ONLY (CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE)**
- Large Commercial Customers - (6,000 Mcf or more annually)
- Industrial Customers
- Governmental Customers
- All of above (Except Mixed Meter)
- Other (Describe):

**f. START DATE:** Provide the approximate date the Applicant proposes to actively market within the Commonwealth.

**Response:** StateWise is proposing to actively market within the Commonwealth by September 1, 2018

## 5. COMPLIANCE

- a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

**Please see exhibit 6 attached**

- b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

**Please refer to Exhibit 6**

- c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. **Applicant should also include if it had a Pennsylvania PUC EGS or NGS license previously cancelled by the Commission.** If the Applicant has no actions or complaints to list, explicitly state such.

**Please see exhibit 7 attached**

- d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above. **Please refer to Exhibit 7**

## 6. PROOF OF SERVICE

**Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.**  
(Example Certificate of Service is attached at Appendix C)

- a.) **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Office of Consumer Advocate  
5th Floor, Forum Place  
555 Walnut Street  
Harrisburg, PA 17120

Office of the Attorney General  
Bureau of Consumer Protection  
Strawberry Square, 14th Floor  
Harrisburg, PA 17120

Office of the Small Business Advocate  
Commerce Building, Suite 202  
300 North Second Street  
Harrisburg, PA 17101

Commonwealth of Pennsylvania  
Department of Revenue  
Bureau of Compliance  
Harrisburg, PA 17128-0946

**Please see exhibit 8 attached**

Bureau of Investigation & Enforcement  
Pennsylvania Public Utility Commission  
Commonwealth Keystone Building  
400 North Street, 2 West  
Harrisburg, PA 17120

b.) **NGDCs:** Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Natural Gas Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each NGDC is as follows.

Please see exhibit 9 attached

|  |   |
|--|---|
| <p><b>Columbia Gas of PA, Inc.</b><br/> Michele Caddell<br/> 290 W. Nationwide Blvd.<br/> Columbus, OH 43215<br/> PH: 614.460.6841<br/> FAX: 614.460.8447<br/> e-mail: <a href="mailto:mcaddell@nisource.com">mcaddell@nisource.com</a></p>  |   |
| <p><b>Peoples Natural Gas – Equitable Division</b><br/> Lynda Petrichevich<br/> 375 North Shore Drive<br/> Pittsburgh, PA 15212<br/> PH: 412.208.6528<br/> FAX: 412.208.6577<br/> e-mail: <a href="mailto:Lynda.w.petrichevich@peoples-gas.com">Lynda.w.petrichevich@peoples-gas.com</a></p> | <p><b>National Fuel Gas Distribution Corp.</b><br/> David D. Wolford<br/> 6363 Main Street<br/> Williamsville, NY 14221<br/> PH: 716.857.7483<br/> FAX: 716.857.7479<br/> e-mail: <a href="mailto:wolfordd@natfuel.com">wolfordd@natfuel.com</a></p>        |
| <p><b>The Peoples Natural Gas Company</b><br/> Lynda Petrichevich<br/> 375 North Shore Drive<br/> Pittsburgh, PA 15212<br/> PH: 412.208.6528<br/> FAX: 412.208.6577<br/> e-mail: <a href="mailto:Lynda.w.petrichevich@peoples-gas.com">Lynda.w.petrichevich@peoples-gas.com</a></p>          | <p><b>PECO</b><br/> Carlos Thillet, Manager, Gas Supply and Transportation<br/> 2301 Market Street, S9-2<br/> Philadelphia, PA 19103<br/> PH: 215.841.6452<br/> Email: <a href="mailto:carlos.thillet@exeloncorp.com">carlos.thillet@exeloncorp.com</a></p> |
| <p><b>Peoples Gas Company</b><br/> Lynda Petrichevich<br/> 375 North Shore Drive<br/> Pittsburgh, PA 15212<br/> PH: 412.208.6528<br/> FAX: 412.208.6577<br/> e-mail: <a href="mailto:Lynda.w.petrichevich@peoples-gas.com">Lynda.w.petrichevich@peoples-gas.com</a></p>                      | <p><b>Philadelphia Gas Works</b><br/> Nicholas LaPergola<br/> 800 West Montgomery Avenue<br/> Philadelphia, PA 19122<br/> PH: 215.684.6278<br/> email: <a href="mailto:nicholas.lapergola@pgworks.com">nicholas.lapergola@pgworks.com</a></p>               |
| <p><b>UGI Central Penn</b><br/> David Lahoff<br/> 2525 N. 12<sup>th</sup> Street, Suite 360<br/> Reading, PA 19612-2677<br/> PH: 610.796.3520<br/> Email: <a href="mailto:dlahoff@ugi.com">dlahoff@ugi.com</a></p>   | <p><b>UGI</b><br/> David Lahoff<br/> 2525 N. 12<sup>th</sup> Street, Suite 360<br/> Reading, PA 19612-2677<br/> PH: 610.796.3520<br/> Email: <a href="mailto:dlahoff@ugi.com">dlahoff@ugi.com</a></p>   |
| <p><b>Valley Energy Inc.</b><br/> Ed Rogers<br/> 523 South Keystone Avenue<br/> Sayre, PA 18840-0340<br/> PH: 570.888-9664<br/> FAX: 570.888.6199<br/> email: <a href="mailto:erogers@ctenterprises.org">erogers@ctenterprises.org</a></p>   | <p><b>UGI Penn Natural</b><br/> David Lahoff<br/> 2525 N. 12<sup>th</sup> Street, Suite 360<br/> Reading, PA 19612-2677<br/> PH: 610.796.3520<br/> Email: <a href="mailto:dlahoff@ugi.com">dlahoff@ugi.com</a></p>  |



## 7. FINANCIAL FITNESS

- a. **BONDING:** In accordance with 66 Pa. C.S. Section 2208(c), no natural gas supplier license shall be issued or remain in force unless the applicant or holder furnishes a bond or other security in a form and amount to ensure the financial responsibility of the natural gas supplier. The criteria used to determine the amount and form of such bond or other security shall be set by each NGDC. Provide documentation that the applicant has met the security requirement of each NGDC by submitting the letters sent by the NGDCs stating what bonding amounts they require.

**Please see Exhibit 10 attached**

- b. **FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
- Applicant's accounting statements, including balance sheet and income statements for the past two years.
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements exhibiting accounts over a minimum two year period.
- Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

**Please see Exhibit 11 attached**

- c. **SUPPLIER FUNDING METHOD:** If Applicant is operating as anything other than **Broker/Marketer only**, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

**Please see Exhibit 12 attached**

- d. **BROKER PAYMENT STRUCTURE:** If applicant is a broker/marketer, explain how your organization will be collecting your fees.

**Please see exhibit 13 attached**

- e. **ACCOUNTING RECORDS CUSTODIAN:** Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

Sarah deGuzman, CPA, CA  
Partner, Audit - KPMG LLP  
Bay Adelaide Centre, 333 Bay Street Suite 4600  
Toronto Ontario, M5H 2S5, Canada  
Ph: (416)777-8381  
Fax: (416) 777-8818  
sdeguzman@kpmg.ca

- f. **TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix D to this application.

*All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.*

*Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.*

**Please see attached Appendix D**

## 8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by natural gas distribution companies does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

- a. **EXPERIENCE, PLAN, STRUCTURE:** such information may include:

- Applicant's previous experience in the natural gas industry.
- Summary and proof of licenses as a supplier of natural gas services in other states or jurisdictions.
- Type of customers and number of customers Applicant currently serves in other jurisdictions.
- Staffing structure and numbers as well as employee training commitments.
- Business plans for operations within the Commonwealth.
- Any other information appropriate to ensure the technical capabilities of the Applicant.

**Please see exhibit 14 attached**

- b. **PROPOSED MARKETING METHOD** (*check all that apply*)

- Internal – Applicant will use its own internal resources/employees for marketing
- External NGS – Applicant will contract with a **PUC LICENSED NGS**
- Affiliate – Applicant will use a **NON-NGS affiliate that is a nontraditional marketer and/or marketing services consultant**
- External Third-Party – Applicant will contract with a **NON-NGS third party nontraditional marketer and/or non-selling marketer**
- Other (Describe):

c. **DOOR TO DOOR SALES:** Will the Applicant be implementing door to door sales activities?

- Yes  
 No

If yes, will the Applicant be using verification procedures?

- Yes  
 No

If yes, describe the Applicant's verification procedures.

**Please see exhibit 15 attached**

d. **OVERSIGHT OF MARKETING:** Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

**Please see exhibit 16 attached**

e. **OFFICERS:** Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.

**Please see exhibit 17 attached**

## 9. **DISCLOSURE STATEMENT:**

*(Not applicable for an applicant applying for a license exclusively as a broker/marketer.)*

**DISCLOSURE STATEMENTS:** If proposing to serve Residential and/or Small Commercial (less than 6,000 Mcf annually) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix E to this Application.

- Natural gas should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

**Please see attached Appendix E**

**10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS**

- a. **STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 62.114.

AGREED

- b. **REPORTING REQUIREMENTS:** Applicant agrees to provide the following information to the Commission:

- **Reports of Gross Receipts:** Applicant shall file an annual report with the Commission on an annual basis no later than April 30<sup>th</sup> following the end of the calendar year per 52 Pa. Code § 62.110.

AGREED

- c. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. § 2208(d). Transferee will be required to file the appropriate licensing application.

AGREED

- d. **ANNUAL FEES:** The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling natural gas in the Commonwealth of PA, and a supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.

ACKNOWLEDGED

- e. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 62.105.

AGREED

- f. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

AGREED

**g. NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within thirty (30) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 62.105.

**AGREED**

**h. CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

**AGREED**

**i. FILING FEE:** The Applicant has enclosed or paid the required, non-refundable filing fee by **CERTIFIED CHECK OR MONEY ORDER** in the amount of \$350.00 payable to the Commonwealth of Pennsylvania. The Commission does not accept corporate or personal checks for filing fees.

**PAYMENT ENCLOSED**      Please see exhibit 18 attached

**11. AFFIDAVITS**  
(All affidavits must be notarized before filing.)

**a.) APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.

**Please see attached Appendix A**

**b.) OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the Public Utility Code of Pennsylvania and applicable federal and state laws. An example copy of this Affidavit can be found at Appendix B.

**Please see attached Appendix B**

**12. NEWSPAPER PUBLICATIONS**

**Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.**

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve.

The chart below dictates which newspapers are necessary for each NGDC. For example, an applicant that wants to operate in Peoples – Equitable would need to run ads in both The Erie Times-News and the Pittsburgh Post-Gazette. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

The only acceptable verification of this requirement is with Notarized Proofs of Publication, which may be requested from each newspaper and must be supplied with this application. Applicants do not need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

|                        | Erie Times-News | Harrisburg Patriot-News | Philadelphia Daily News | Pittsburgh Post-Gazette | Scranton Times-Tribune | Williamsport Sun-Gazette | Johnstown Tribune-Democrat |
|------------------------|-----------------|-------------------------|-------------------------|-------------------------|------------------------|--------------------------|----------------------------|
| Columbia Gas           | X               | X                       |                         | X                       |                        | X                        | X                          |
| National Fuel Gas      |                 |                         |                         | X                       |                        |                          |                            |
| PECO                   |                 |                         | X                       |                         |                        |                          |                            |
| Peoples - Equitable    | X               |                         |                         | X                       |                        |                          |                            |
| Peoples Natural Gas    | X               |                         |                         | X                       |                        |                          | X                          |
| Peoples Gas Company    |                 |                         |                         | X                       |                        |                          |                            |
| Philadelphia Gas Works |                 |                         | X                       |                         |                        |                          |                            |
| UGI                    |                 | X                       | X                       |                         | X                      |                          |                            |
| UGI Central Penn       | X               | X                       | X                       | X                       | X                      | X                        | X                          |
| UGI Penn Natural       |                 | X                       |                         |                         | X                      | X                        |                            |
| Valley Energy          |                 |                         |                         |                         | X                      | X                        |                            |
| Entire Commonwealth    | X               | X                       | X                       | X                       | X                      | X                        | X                          |

*(Example Publications are provided at Appendices F and G)*

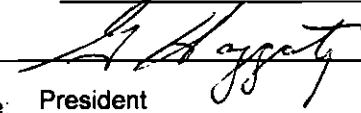
**13. SIGNATURE**

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JUL - 6 2018

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

Applicant: StateWise Energy Pennsylvania LLC

By: 

Title: President

**14. CHECKLIST**

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections is complete.

**Applicant:** StateWise Energy Pennsylvania LLC

|   |   |  |
|---|---|--|
|   | <b>Signature</b>  |  |
| ✓ | <b>Filing Fee (ONLY CERTIFIED CHECK OR MONEY ORDER)</b> |  |
| ✓ | <b>Application Affidavit</b>                            |  |
| ✓ | <b>Operations Affidavit</b>                             |  |
| ✓ | <b>Proof of Publication</b>                             |  |
| ✓ | <b>Tax Certification Statement</b>                      |  |
| ✓ | <b>Commonwealth Department of State Verification</b>    |  |
| ✓ | <b>Certificate of Service</b>                           |  |

Applicant's Use

PUC Secretary's Bureau Use

Appendix A

APPLICATION AFFIDAVIT

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PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

Province of Ontario :  
:  
ss.  
City of Mississauga :

Michael Gerald Haggarty, Affiant, being duly affirmed according to law, deposes and says that:

He is the President of StateWise Energy Pennsylvania LLC.

[That he/she is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein StateWise Energy Pennsylvania LLC has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as a natural gas supplier pursuant to 66 Pa. C.S. § 2208 (c)(1).

That the Applicant herein StateWise Energy Pennsylvania LLC has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein StateWise Energy Pennsylvania LLC acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein StateWise Energy Pennsylvania LLC acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

[Signature]  
Signature of Affiant

Sworn and subscribed before me this 7<sup>th</sup> day of July, 2018.

[Signature]  
Signature of official administering oath

My commission expires N/A



Appendix B

OPERATIONS AFFIDAVIT

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JUL - 6 2018  
PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

Province of Ontario :  
:  
City of Mississauga :

Michael Gerald Haggarty, Affiant, being duly affirmed according to law,  
deposes and says that:

He is the President of StateWise Energy Pennsylvania LLC.

[That he/she is authorized to and does make this affidavit for said Applicant;]

That StateWise Energy Pennsylvania LLC, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That StateWise Energy Pennsylvania LLC, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render natural gas supply service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That StateWise Energy Pennsylvania LLC, the Applicant herein, certifies to the Commission that it is subject to, will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 22 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28 shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional natural gas sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

**Appendix B (Continued)**

That StateWise Energy Pennsylvania LLC, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506 and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Office of Communications or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.

  
\_\_\_\_\_  
Signature of Affiant

Sworn and subscribed before me this 7<sup>th</sup> day of July, 2018.

  
\_\_\_\_\_  
Signature of official administering oath

My commission expires N/A.

## Appendix E

### StateWise Energy Pennsylvania LLC

**9. DISCLOSURE STATEMENTS:** If proposing to serve Residential and/or Small Commercial (less than 6,000 Mcf annually) Customers, provide a Residential and/or Small Commercial disclosure statement.

**Response:** Please see attached

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PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

RECEIVED

JUN 15 2018

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

Set forth below are the Terms and Conditions applicable to the Agreement(s) for natural gas supply and/or electric generation service under which StateWise agrees to sell and deliver to the Account Holder ("You/Your"), and You agree to purchase the natural gas supply and/or electric generation service from StateWise (together, the "parties").

|   |   |
|---|---|
| Account Holder                                  | The name or names of the persons registered by the NGDC and/or EDC to deliver natural gas supply and/or electric generation services to the associated Service Address.   |
| Account Holder Agreement                        | The first page of StateWise's Agreement(s) for the natural gas supply and/or electric generation services.  |
| Agreement Document                              | This consists of the Account Holder Agreement including all the Terms and Conditions, 2 copies of the Notice of Cancellation and where applicable, the electric generation contract summary associated with the Agreement(s). |
| Basic Service Price(s)                          | Is the price for the natural gas supply and/or electric generation services as selected on the Account Holder Agreement.  |
| Ccf   | A volumetric measurement of gas usage equal to 100 cubic feet of gas.   |
| Distribution or Delivery Prices for Natural Gas | The charges for moving natural gas supply from the NGDC to Your Service Address.  |
| Distribution or Delivery Prices for Electricity | The charges for moving electric generation services from the EDC to Your Service Address.   |
| EDC   | Electricity Distribution Company.   |
| Electric Distribution Company Charges           | Charges for delivering electricity over the EDC's distribution system to Your Service Address.  |
| End Date  | The last date of service under the Agreement(s).  |
| Fixed Price Green Electricity                   | The Electricity Price selected on the first page of the Agreement is the same for 500, 1000, or 2000 kWh average monthly usage.   |
| Fixed Price Eco Gas                             | The Natural Gas Price selected on the first page of the Agreement is the same for 15, 80, or 120 Ccf average monthly usage.   |
| FERC  | The Federal Energy Regulatory Commission, which regulates interstate pipeline prices and services.  |
| Generation Charge                               | Charge for production of electricity.   |
| Interstate Pipeline Charges                     | Charges for utilizing interstate pipelines to deliver gas to the NGDC's distribution system.  |
| kWh   | Kilowatt Hour - A unit of measure of electricity.   |
| Mcf   | 1,000 cubic feet of gas. This is a measure of gas usage.  |
| Natural Gas Utility Charges                     | Any charges from Your NGDC other than distribution prices for natural gas.  |
| NGDC  | Natural Gas Distribution Company.   |
| Program   | StateWise natural gas supply and/or electric generation services to Your Service Address.   |
| PUC   | The Pennsylvania Public Utility Commission, which regulates distribution prices and services.   |
| Start Date                                      | The date Your NGDC and/or EDC accepts StateWise's request to enroll You in StateWise's Program. This date is before Your Supply Date and after the date You sign the Agreement Document.                                      |
| Supply Date                                     | The date that You start receiving Your natural gas supply and/or electric generation services from StateWise Energy.  |
| Therm   | A measure of the heat content value of gas. Gas usage is determined by multiplying the Mcf used by the heat content value of the gas.   |
| Transmission Charge for Electricity             | The charge for moving high voltage electricity from a generation facility to the distribution lines of an electric distribution company. This charge is regulated by FERC.  |
| Transmission Charge for Natural Gas             | The charge for moving natural gas from the source to the NGDC City Gate (located outside of the municipality where You reside.)   |

## TERMS AND CONDITIONS

**1. Agency.** You hereby appoint StateWise as agent for the purposes of (i) acquiring the supplies necessary to meet Your natural gas supply and/or electric generation service needs, and (ii) arranging, contracting for and administering transportation and related services over transmission facilities and those of the NGDC/EDC needed to deliver natural gas supply and/or electric generation service to Your service address. The Agreement(s) is/are for the sale and purchase of natural gas supply and/or electric generation service and is between StateWise and the customer ("You") under which You shall initiate natural gas supply and/or electricity generation service and begin enrollment with StateWise (the "Agreement(s)"). Subject to the Terms and Conditions of the Agreement(s), StateWise agrees to sell and deliver, and You agree to purchase and accept the quantity of natural gas supply and/or electric generation service, as estimated by StateWise, necessary to meet the requirements based upon consumption data obtained by StateWise or the delivery schedule of Your NGDC/EDC. The amount of natural gas supply and/or electric generation service delivered under the Agreement(s) is/are subject to change based upon data reflecting Your consumption obtained by StateWise or the NGDC/EDC delivery schedule. You acknowledge that You are the Account Holder, or spouse of the Account Holder, over the age of 18 and authorized to make account decisions.

**2. Length of Agreement(s).** StateWise shall use reasonable efforts to commence service to You beginning on Your Start Date. You acknowledge, however, that the actual commencement of service under the Agreement(s) depends upon the date on which Your NGDC/EDC completes all applicable switching and enrollment processes ("Start Date"). You further acknowledge that: the timing for the NGDC/EDC completion of the switching and enrollment processes is beyond StateWise's control, and StateWise makes no warranties as to Your Start Date or Supply Date. The meter reading date on which Your service commences ("Supply Date") may occur as early as three (3) business days after the Start Date. The End Date of Agreement(s) is/are the meter reading date after the entire term of the Agreement(s) (as selected by You on the Account Holder Agreement) from the Supply Date ("Term"), plus any time required to obtain a final meter read.

**3. Agreement(s) Expiration/Renewal Provisions/Change in Terms:** If You have a fixed term Agreement with StateWise that is longer than three (3) months and it is approaching the expiration date, StateWise will send You advance written notices at about ninety (90) days and sixty (60) days before the expiration date. If StateWise proposes to change our terms of service in any type of Agreement, StateWise will send You advance written notices at about ninety (90) days and sixty (60) days before the effective date of the change. If StateWise is billing You directly for our services, then StateWise will provide the notices as a bill message, a bill insert, or in a separate corresponding mailing. If the NGDC is billing StateWise's charges for us, then StateWise will provide the notices in separate corresponding mailings. StateWise will explain Your options to You in these two advance notifications. If You selected natural gas supply, the first notice will be sent between sixty (60) and seventy-five (75) days in advance and the second notice will be sent forty-five (45) days in advance. If You selected electric generation service, the first notice will be sent between forty-five (45) and sixty (60) days in advance and the second notice will be sent at least thirty (30) days in advance. The notice(s) will be sent before the expiration of Your Agreement(s) or effective date of any proposed change(s) to Your current Agreement(s). StateWise will inform You of your right to renew, terminate, or renegotiate the Agreement(s). The then-current Agreement(s) between You and StateWise will be provided to You whenever a material change is made, free of charge to You. As to your rights, if You find the material change unacceptable, You may choose another supplier or return to NGDC/EDC service before the material change goes into effect, without charge or penalty to You. Examples of material changes include a change to the pricing structure or extension of the initial Term. If You do not respond to the notice(s), your service with StateWise will continue under the Terms and Conditions sent with the notifications. Any early termination fee for Agreement(s) that are renewed in this manner will be waived.

**4. Pricing and Billing.** The Basic Service Price selected by You represents the Price that You will pay for the natural gas supply and/or electric generation services provided by StateWise. The Term chosen is inclusive of a two (2) month Introductory Price as indicated on the first page of the Agreement(s). The Basic Service Price, along with your NGDC/EDC charges, will appear in a single bill prepared by your NGDC/EDC. If Your NGDC/EDC is West Penn Power, Duquesne, Met Ed, PECO, Penelec, Penn Power, PPL or UGI, You will be subject to a monthly customer charge (and applicable gross receipts tax), which includes commodity related charges to provide You with the services as outlined on the first page of Your Agreement(s). The monthly customer charge may be prorated based on Your NGDC/EDC billing cycle.

**5. Cash-Back.** If the total supply cost You pay on the Initial Term, over the entire Initial Term of the Agreement(s), is/are greater than the comparable NGDC/EDC supply charge over the same Term, as determined at the end of the Agreement(s), then You may be entitled to Cash-Back. The Cash-Back calculation will be the difference between Your supply payments over the Term of the Agreement(s), and the comparable NGDC/EDC supply charge, of up to a maximum of \$50 if the Initial Term of the Agreement(s) is/are two (2) years or up to \$75 if the Initial Term is three (3) years. These calculations exclude any customer charge that may apply. In order to be eligible for Cash-Back, You must complete the entire Initial Term of the Agreement(s) without interruption and contact StateWise in writing within

ninety (90) days after the completion of the Initial Term of the Agreement(s) at the contact information provided below in Section 16. All Cash-Back payments may be made at the End Date of the Agreement(s) after You have completed Your Term. Cash-Back applies only to the Initial Term of the Agreement(s), not the renewal Term. The calculation(s) excludes any customer charge that may apply and the costs associated with StateWise's Green Electricity (1.98 cents/kWh) and Eco Gas (15 cents/therm or \$1.50/Mcf) Programs (see Section 6).

**6. StateWise Energy's Earth Save Program. Green Electricity:** StateWise will purchase and retire, on Your behalf, an amount of verified carbon emissions reductions, instruments or allowances ("Emissions Credits") to offset 100% (as selected on the first page of the Agreement(s)) of CO<sub>2</sub> or CO<sub>2</sub>e produced based on Your Electricity usage amount. The Emissions Credits associated with the energy offsets purchased to offset Your electricity will remain the legal property of StateWise. StateWise will ensure that all Emissions Credits associated with Your electricity usage will be retired on Your behalf and will not be used to offset any additional emissions or pollution. StateWise, or one of its affiliates, will plant a tree on Your behalf when You select to purchase Emissions Credits under the Green Electricity Program. **Eco Gas:** StateWise will purchase and retire, on Your behalf, an amount of verified carbon emissions reductions, instruments or allowances ("Emissions Credits") to offset 1.5 tonnes per 1000 therms/Ccf or 100 Mcf (as selected on the first page of the Agreement(s)) of CO<sub>2</sub> or CO<sub>2</sub>e produced based on Your gas usage amount. The Emissions Credits associated with the energy offsets purchased to offset Your gas will remain the legal property of StateWise. StateWise will ensure that all Emissions Credits associated with Your gas usage will be retired on Your behalf and will not be used to offset any additional emissions or pollution. StateWise, or one of its affiliates, will plant a tree on Your behalf when You select to purchase Emissions Credits under the Eco Gas Program. StateWise reserves the right, in its sole discretion, to change this program and the environmental initiatives it supports. You acknowledge that the price for energy offsets is not regulated. The price for energy offsets includes Gross Receipt Tax ("GRT") but does not include federal, state and municipal taxes.

**7. Title.** All natural gas supply and/or electricity sold under the Agreement(s) shall be delivered to a location considered the "Point of Delivery", which shall be at the NGDC City Gate (located outside of the municipality where You reside) or EDC load bus, and shall constitute the point at which title transfers and the sale occurs. StateWise will indemnify and hold You harmless from all taxes, royalties, fees or other charges incurred before title passes with respect to the natural gas supply and/or electricity provided in these Agreement(s).

**8. Cancellation Provision.** Regardless of the Basic Service Price selected, You are liable for all StateWise charges until You return to the NGDC/EDC's default service or switch to another supplier. A final bill will be rendered after the final scheduled meter reading; however, if a final meter reading is unavailable, an estimate of consumption will be used in the final bill, which will be true-up subsequent to the final meter reading. You may rescind the Agreement(s), at no cost, at any time before midnight of the third business day after receiving a copy of this Agreement Document by contacting StateWise. StateWise is not permitted to waive Your three day right of rescission. If the Applicant receives natural gas and/or electric generation service under a small commercial, small industrial or small business rate classification, (and their maximum registered natural gas was less than 300 Mcfs and electricity peak load was less than 25 kW within the last 12 months), the Applicant may rescind the Agreement, at no cost, at any time before midnight of the third business day after receiving a copy of this Agreement Document by contacting StateWise. The cancellation will become effective on a date determined by the NGDC/EDC. The Applicant also agrees to notify StateWise in writing of any other change of information (including a change of account number, contact information or mailing address) at least sixty (60) days prior to such change taking effect or immediately if the change is to take effect in less than sixty (60) days. The Applicant agrees that StateWise may terminate the Agreement(s) at any time by providing forty-five (45) days' written notice if StateWise is unable or is prevented from complying with any of the obligations it owes to the NGDC/EDC or if the NGDC/EDC is unable or is prevented from complying with any of the obligations it owes to StateWise. StateWise may terminate the Agreement(s) if the Applicant is in default. To cancel/rescind the contract, You should contact StateWise by telephone or in writing at the contact information provided below in Section 16. If You move from Your current Service Address, the Agreement(s) will be deemed to be cancelled. See PA Code 54.5 (c)(7). StateWise may cancel the Agreement(s) by providing ninety (90) days and forty-five (45) days' written notice to You. The cancellation will become effective on a date determined by the NGDC/EDC. Reasons for cancellation may include but are not limited to:

**Non-Payment** – If Your natural gas supply and/or electric generation service is cancelled by Your NGDC/EDC, then the Agreement(s) are cancelled on the date that Your natural gas supply and/or electric generation service is cancelled.

**Net Meter Customers** – StateWise may cancel the electricity Agreement if StateWise is notified that You have a net meter.

**Customer Move** – When a customer moves from one location to another, even if the move is within an NGDC/EDC's service territory, the Agreement is cancelled, regardless of whether the customer provides notice or not.

**Usage** – StateWise reserves the right to decline the Agreement(s) if Your natural gas supply and/or electric generation service is determined by StateWise to be too low. This determination shall be at the sole discretion of StateWise.

**Material Breach** – You have breached the Agreement(s) in any way.

**Regulatory Changes** – If, at some future date, there is a change in any law, rule, regulation or pricing structure whereby StateWise is prevented, prohibited, or frustrated from carrying out the terms of the Agreement(s).

**9. Early Termination Fee.** An early termination fee of \$2.50, plus applicable taxes, for each month or partial month remaining on the natural gas supply and/or electric generation service. This early termination fee shall apply if You cancel the Agreement(s) at any time after three (3) business days of either signing or receiving a copy the Agreement(s). If the Applicant receives natural gas and/or electric generation service under a small commercial, small industrial or small business rate classification and if the Agreement is terminated because the Applicant is in default, unless for a reason that is beyond the control of the Applicant, early termination fees of \$0.18/Ccf, \$1.80/Mcf or \$0.18/therm and \$0.015 per kWh may apply for the estimated usage of the natural gas and/or electric generation service, plus applicable taxes, for the remaining Term of each Agreement. Agreement(s) Term will apply if the Agreement(s) is/are terminated prior to the end of the Term selected on the front page of the Agreement(s).

**10. Assignment.** You may not assign Your interests in or delegate Your obligations under this Agreement. StateWise may sell, transfer, pledge, or assign the accounts receivable, revenues, or proceeds hereof, in connection with any financing agreement, purchase of accounts receivables program or billing services agreement, and may assign the Agreement and the rights and obligations thereunder, to another energy supplier, energy services company or other entity as authorized by the PUC, by providing You with thirty (30) days' notice.

**11. Information Release Authorization.** You authorize StateWise to obtain and review information regarding Your credit history from credit reporting agencies and the following information from the NGDC/EDC: consumption history; billing determinants; utility account number; credit information; and public assistance status. This information will not be disclosed to a third party unless required by law. Your execution of the Agreement(s) shall constitute authorization for the release of this information to StateWise. You may consent to providing StateWise with a copy of Your utility bill(s) in order to process the Agreement(s) with the NGDC/EDC. StateWise does not guarantee to provide or return the bill or a copy of the bill to You upon Your request. This authorization will remain in effect during the initial Term and any renewal Term of the Agreement(s). You may rescind this authorization at any time by providing written notice thereof to StateWise or by calling StateWise at 1-877-316-6344. StateWise reserves the right to cancel the Agreement(s) in the event You rescind the authorization.

**12. Warranty.** The Agreement(s) for natural gas supply and/or electric generation services, including applicable attachments, constitutes the entire Agreement(s) for the supply of natural gas supply and/or electric generation services between You and StateWise. StateWise makes no representations or warranties other than those expressly set forth in the Agreement(s) and StateWise expressly disclaims all other warranties, expressed or implied, including merchantability and fitness for a particular use.

**13. Email Bonus Program.** If You provide a valid email address, StateWise will enter You into a minimum of one (1) draw per year for prizes to be awarded at the sole discretion of StateWise. You are only eligible to win one prize per entry. No purchase necessary. For Draw details and to view Draw Rules and Regulations visit: <http://www.statewiseenergy.com/rulesandregs>.

**14. Force Majeure.** StateWise will make commercially reasonable efforts to provide natural gas supply and/or electric generation services hereunder but StateWise does not guarantee a continuous supply of natural gas supply and/or electric generation services to You. Certain causes and events out of the control of StateWise ("Force Majeure Events") may result in interruptions in service. StateWise will not be liable for any such interruptions caused by a Force Majeure Event, and StateWise is not and shall not be liable for damages caused by Force Majeure Events. Force Majeure Events shall include but are not limited to acts of God, fire, flood, storm, terrorism, war, civil disturbance, acts of any governmental authority, accidents, strikes, labor disputes or problems, required maintenance work, inabil-

ity to access the necessary distribution or transmission system, non-performance by the NGDC/EDC (including, but not limited to, a facility outage on its natural gas supply and/or electricity distribution lines), changes in laws, rules, or regulations of any governmental authority or any other cause beyond StateWise's control.

**15. Liability.** The remedy in any claim or suit by You will be solely limited to direct actual damages. By entering into the Agreement, You waive any right to any other remedy in law or equity. In no event will either StateWise or You be liable for consequential, incidental, indirect, special or punitive damages. These limitations apply without regard to the cause of any liability or damages. There are no third-party beneficiaries to the Agreement.

**16. Contact Information.** You may contact StateWise's Customer Care 1-855-862-1185 Monday through Friday 9:00 a.m. - 9:00 p.m. EST and Saturday 12:00 p.m. - 6:00 p.m. EST (Customer Care hours subject to change). You may write to StateWise at: StateWise Energy Pennsylvania LLC, P.O. Box 399, Buffalo, NY 14240 or via email at [cs@statewiseenergy.com](mailto:cs@statewiseenergy.com). StateWise's fax number is 1-888-440-0698. The name and telephone number of Your NDGC/EDC and Supplier of Last Resort is: PECO 1-800-494-4000; PPL 1-800-342-5775; Metropolitan Edison 1-800-545-7741; Duquesne Light 1-412-393-7100; PennPower 1-800-720-3600; West Penn Power 1-800-686-0021; Penelec 1-800-545-7741; UGI 1-800-276-2722; Peoples 1-800-764-0111; Columbia 1-888-460-4332; Philadelphia Gas Works (PGW)

1-215-235-1000. The Utility Choice Hotline Number is 1-800-692-7380. **Universal Service Programs:** a) PECO: LIHEAP 1-800-344-3574; CAP, CARES, MEAF 1-800-774-7040; LIURP 1-800-675-0222 b) PPL: LIHEAP 1-866-857-7095; CARES 1-800-358-6623; WRAP 1-888-232-6302; Payment Assistance 1-800-358-6623 c) Metropolitan Edison, Pennsylvania Electric, WestPenn Power, and Penn Power: LIHEAP 1-866-857-7095; CAP 1-800-720-3600; CARES 1-800-207-9276; Dollar Energy Fund 1-888-282-6816, WARM Program 1-800-207-9276 d) Duquesne Light: LIHEAP 1-866-857-7095; CAP, CARES 1-888-393-7600; e) UGI: LIHEAP, CAP, CARES, Operation Share Fuel Fund 1-800-844-9276 f) Columbia: LIHEAP 1-800-272-2714; CAP and CARES 1-800-537-7431 g) Peoples: LIHEAP, CAP, CARES, LIURP 1-800-400-9276 h) Philadelphia Gas Works: LIHEAP, CAP, CARES 1-800-235-1000. Information about shopping for a gas supplier is available at [www.PaGasSwitch.com](http://www.PaGasSwitch.com) or other successor media platform as determined by the PUC by calling the PUC's Telephone number at 1-800-692-7380, and at [www.oca.state.pa.us](http://www.oca.state.pa.us).

**17. Dispute Resolution.** In the event of a question or concern about Your dispute or any other service term, please contact StateWise. You should contact StateWise by telephone or in writing at the contact information provided above in Section 16. The parties agree to use their best efforts to resolve any dispute. If You are not satisfied after discussing Your terms with us, You may contact the Pennsylvania PUC at 1-800-692-7380.

**18. Taxes and Laws.** Except as otherwise provided in the Agreement(s) or provided by law, all taxes of whatsoever kind, nature, and description due and payable with respect to service provided under the Agreement(s), shall be paid by You, and You agree to indemnify StateWise and hold StateWise harmless from and against any and all such taxes. The Agreement(s) is/are subject to present and future legislation, orders, rules, regulations, or decisions of a duly constituted governmental authority having jurisdiction over the Agreement(s) or the services to be provided hereunder. If You are exempt of any taxes it is Your responsibility to contact Customer Care (see Section 16) to provide such written notification, including written notification of renewed tax exemption form. Otherwise, until You provide such proof, StateWise is not required to recognize any exemption or refund/credit previously paid taxes. Tax exemption will only occur on the next meter read bill after such notice is received and acknowledged.

**19. Regulatory Changes.** If, at some future date, there is a change in any law, rule, regulation or pricing structure whereby StateWise is prevented, prohibited, or frustrated from carrying out the terms of the Agreement(s), StateWise, at its sole discretion shall have the right to cancel the Agreement(s) on providing 90 and 45 days' advance written notice to You.

**20. Delay or Failure to Exercise Rights.** No partial performance, delay, or failure on the part of StateWise in exercising any rights under the Agreement(s), and no partial or single exercise thereof, shall constitute a waiver of such rights or of any other rights hereunder.

**21. Parties Bound.** The Agreement(s) is/are binding upon the parties hereto and their respective successors and legal assigns.

## Natural Gas Supplier Contract Summary

|  |   |
|--|---|
| <b>Our Information</b>                     | StateWise Energy, P.O. Box 399, Buffalo, NY 14240<br>1-877-316-6344 cs@statewiseenergy.com<br>StateWise is responsible for Your natural gas supply charges.   |
| <b>Price Structure</b>                     | Fixed Price Program   |
| <b>Contract Duration/Length</b>            | <input type="checkbox"/> 2 Years <input type="checkbox"/> 3 Years   |
| <b>Natural Gas Supply Price</b>            | <p style="text-align: center;"><u>Price per therm/Ccf/Mcf</u></p> <p><input type="checkbox"/> 2 years at _____ • _____ ¢/therm/Ccf</p> <p><input type="checkbox"/> 3 years at _____ • _____ ¢/therm/Ccf</p> <hr/> <p><input type="checkbox"/> 2 years at _____ • _____ \$/Mcf</p> <p><input type="checkbox"/> 3 years at _____ • _____ \$/Mcf</p>   |
| <b>Statement Regarding Savings</b>         | StateWise's price may not always provide savings against your Utility's price to compare.   |
| <b>Deposit Requirements</b>                | Not Applicable.   |
| <b>Incentives</b>                          | <p><b>Introductory Price &amp; Cash-Back</b></p> <p>The first two months of any chosen Program shall be at the Introductory Price. Your two (2) year or three (3) year Fixed Price Protection Program comes with a Cash-Back feature which will be the difference between Your supply payments over the Term of the Agreement and the comparable Utility supply charge, of up to a maximum of \$50 if the Initial Term of the Agreement is two (2) years or up to \$75 if the Initial Term is three (3) years. You must complete the entire Initial Term of the Agreement without interruption and contact StateWise in writing within 90 days after the completion of the Initial Term of the Agreement at the contact information provided above in order to be eligible for Cash-Back.</p> |
| <b>Contract Start Date</b>                 | The Term of Your Agreement will start on or about your next available meter read date which is determined by your utility. This is when You begin to receive supply of Your natural gas supply from StateWise Energy.   |
| <b>Cancellation/Early Termination Fees</b> | An early termination fee of \$2.50, plus applicable taxes, for each month or partial month remaining on the natural gas supply Agreement Term will apply if the Agreement is terminated at any time after three (3) business days of either signing or receiving a copy this Agreement, prior to the end of the Term selected above. For a commercial customer, an early termination fee of \$0.18 per therm/Ccf or \$1.80 per Mcf for the estimated usage of natural gas supply and/or \$0.015 per kWh for the estimated usage of electric generation service, plus applicable taxes for the remainder of the Agreement(s) shall apply.  |
| <b>End of Contract</b>                     | You will receive advance notice before the contract expires and/or when StateWise proposes any changes to contract terms. The first notice will be sent between sixty (60) and seventy-five (75) days and the second notice describing your options will be sent forty-five (45) days prior to expiration or the effective date of any changes to the terms of Your Agreement. If you do not respond to the notices Your service with StateWise will continue under the new Terms and Conditions and rate provided to you in Your renewal notice and Your options notice  |

## Appendix H

### Electronic Data Interchange and Internet Requirements

Any NGS suppliers and/or broker/marketers that wish to use the Electronic Data Interchange (EDI), in the NGDCs where its available, must meet the EDI certification requirements of the NGDC. Certification is a testing process using the Commission approved Internet protocol. To initiate this process, the NGS is encouraged to contact the NGDC as early as possible after filing an application for a license with the Commission, since certification may require as many as four months to complete and customer service contract dates may not commence prior to certification. NGDC requirements of new suppliers may be found on the respective NGDC home web page. Pennsylvania's industry stakeholder group the Electronic Data Exchange Working Group (EDEWG) develops and maintains the EDI transactions and related business practices, which are found on the Pa. PUC website at [http://www.puc.pa.gov/filing\\_resources/issues\\_laws\\_regulations/electronic\\_data\\_exchange.aspx](http://www.puc.pa.gov/filing_resources/issues_laws_regulations/electronic_data_exchange.aspx). The EDEWG meets telephonically the first Thursday of each month at 2:00pm ET to discuss EDI change control requests and other issues.

To keep current with Pennsylvania EDI practices and policies, a newly licensed NGS may choose to participate in the EDEWG by contacting the following:

#### PA EDEWG EDI Contacts

| Entity Name  | Contact Name     | Telephone    | Email  | Preference |
|--|------------------|--------------|--|------------|
| PA PUC   | Jeff McCracken   | 717-783-6163 | <a href="mailto:jmccracken@pa.gov">jmccracken@pa.gov</a>                           | Email      |
| PA PUC   | Lee Yalcin       | 717-787-6723 | <a href="mailto:lyalcin@pa.gov">lyalcin@pa.gov</a>                                 | Email      |
| PA EDEWG LDC Co-Chair                                    | Ernie Mathie     | 330-384-5757 | <a href="mailto:mathiee@firstenergygroup.com">mathiee@firstenergygroup.com</a>     | Email      |
| PA EDEWG ESP Co-Chair                                    | Christine Hughey | 713-963-3640 | <a href="mailto:chughey@sperianenergy.com">chughey@sperianenergy.com</a>           | Email      |
| PA EDEWG Secretary & Regional EDI Change Control Manager | Brandon Siegel   | 412-817-8004 | <a href="mailto:brandon.siegel@intelometry.com">brandon.siegel@intelometry.com</a> | Email      |

#### PA NGDC EDI Contacts

| Company Name       | Contact Name          | Telephone    | Email  | Preference |
|--------------------|-----------------------|--------------|--|------------|
| PGW                | PGW Gas Choice        |              | <a href="mailto:pgwchoicemarketing@pgworks.com">pgwchoicemarketing@pgworks.com</a> | Email      |
| UGI Utilities Inc. | EDI Technical Support |              | <a href="mailto:edi@ugi.com">edi@ugi.com</a>                                       | Email      |
| UGI Utilities Inc. | David Lahoff          | 610-796-3520 | <a href="mailto:dlahoff@ugi.com">dlahoff@ugi.com</a>                               | email      |
|                    |                       |              |  |            |
|                    |                       |              |  |            |
|                    |                       |              |  |            |
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|                    |                       |              |  |            |
|                    |                       |              |  |            |



**Appendix L**

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JUL - 6 2018

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

**StateWise Energy Pennsylvania LLC**

**12. NEWSPAPER PUBLICATIONS** Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve.

**Response:** Please see attached

~~RECEIVED~~

~~JUN 15 2018~~

~~PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU~~

Proof of Publication in The Philadelphia Daily News  
Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA  
COUNTY OF PHILADELPHIA

Helene Sweeney being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

May 29, 2018

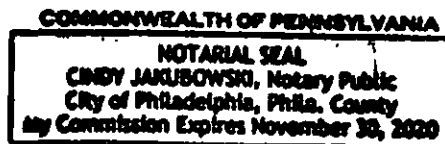
Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

*Helene Sweeney*

Sworn to and subscribed before me this 29th day of  
May, 2018.

*Cindy Jakubowski*  
Notary Public

My Commission Expires:



Copy of Notice of Publication

PENNSYLVANIA PUBLIC UTILITY  
COMMISSION NOTICE

Applications of StateWise Energy Pennsylvania LLC (d/b/a "StateWise Energy") For Approval To Offer, Render, or Furnish Services as a Supplier Engaged in The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public in The Commonwealth Of Pennsylvania. StateWise Energy Pennsylvania LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a supplier of natural gas. StateWise Energy Pennsylvania LLC will also be filing an application with the PUC for a license to supply electricity or electric generation services as a supplier of electric power. StateWise Energy Pennsylvania LLC proposes to sell electricity, natural gas, and related services in all Utility service areas under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of StateWise Energy Pennsylvania LLC may be filed within 18 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to StateWise Energy Pennsylvania LLC attorney at the address listed below.  
By and through Counsel: Phillips Lytle LLP  
StateWise Energy Pennsylvania LLC  
201 West Third Street, Suite 205  
Jamestown NY, 14701-4807  
Ph: (716)664-2906, Fax: (716)664-4320

RECEIVED

JUL - 6 2018

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

RECEIVED

JUN 15 2018

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

COMMONWEALTH OF PENNSYLVANIA }  
 County of Cambria } SS

PENNSYLVANIA PUBLIC UTILITY  
 COMMISSION NOTICE

Applications of StateWise Energy Pennsylvania LLC (d/b/a "StateWise Energy") For Approval To Offer, Render, or Furnish Services as a Supplier Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania. StateWise Energy Pennsylvania LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a supplier of natural gas.

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The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of StateWise Energy Pennsylvania LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to StateWise Energy Pennsylvania LLC attorney at the address listed below.

By and through Counsel: Phillips Lytle LLP  
 StateWise Energy Pennsylvania LLC  
 201 West Third Street, Suite 205  
 Jamestown NY, 14701-4907  
 Ph: (716)664-3906, Fax: (716)664-4320

published  
 that the  
 of The Je  
 the subje  
 said publi

ty of Cambria, and Commonwealth of Pennsylvania and  
 e matter published in said publication in the regular issues  
 , on May 22, 2018; and that the Affiant is not interested in  
 at all of the allegations as to time, place and character of

On this 25th day of May A.D. 2018,  
 before me, the subscriber, a Notary  
 Public in and for said County and  
 State, personally appeared  
 Christine Marhefka, who being duly  
 sworn according to law, deposes and  
 says as Sales Manager / Major  
 Accounts of the Tribune-Democrat,  
 Johnstown, PA, a newspaper of  
 general circulation as defined by the  
 "Newspaper Advertising Act", a  
 merger September 8, 1952, of the  
 Johnstown Tribune, established  
 December 7, 1853; and of the  
 Johnstown Democrat, established  
 March 5, 1863.

*Christine Marhefka*

STATEMENT OF ADVERTISING COSTS

Signed and sworn to before me on  
 25th day of May, 2018,  
 by Christine Marhefka making the statement.

*Vivian Ohs*

|                     |                  |               |
|---------------------|------------------|---------------|
| 0.00 Lines @        | \$2.50 per line  | 0.00          |
| 6.5 Inches @        | \$25.00 per inch | 162.50        |
| Notary Fee          |                  | 5.00          |
| <u>Clerical Fee</u> |                  | <u>2.50</u>   |
| <b>Total Cost</b>   |                  | <b>170.00</b> |

Commonwealth of Pennsylvania - Notary Seal  
 Vivian Ohs, Notary Public  
 Cambria County  
 My commission expires December 6, 2020  
 Commission number 1123017  
 Member, Pennsylvania Association of Notaries

To The Tribune-Democrat, Johnstown, PA  
 For publishing the notice or publication  
 attached hereto on the above stated dates.

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

\_\_\_\_\_ for publisher of \_\_\_\_\_  
 a newspaper of general circulation, hereby acknowledges receipt of the aforesaid  
 and publication costs and certifies that the same has been duly paid.

\_\_\_\_\_  
 (Name of Newspaper)

By \_\_\_\_\_

PROOF OF PUBLICATION  
In  
THE ERIE TIMES-NEWS  
COMBINATION EDITION

StateWise Energy  
PO Box 399  
Buffalo NY 14240

REFERENCE: 95957 316755  
PUC Notice

STATE OF PENNSYLVANIA)  
COUNTY OF ERIE ) SS:

Brenda L. Learn, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 05/26/18

TOTAL COST: \$476.00 AD SPACE: 0 Lines

FILED ON: 05/26/18

**PENNSYLVANIA PUBLIC UTILITY COMMISSION  
NOTICE**

Applications of StateWise Energy Pennsylvania LLC (d/b/a "StateWise Energy") For Approval To Offer, Render, or Furnish Services as a Supplier Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania. StateWise Energy Pennsylvania LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a supplier of natural gas.

StateWise Energy Pennsylvania LLC will also be filing an application with the PUC for a license to supply electricity or electric generation services as a supplier of electric power. StateWise Energy Pennsylvania LLC proposes to sell electricity, natural gas, and related services in all utility service areas under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of StateWise Energy Pennsylvania LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to StateWise Energy Pennsylvania LLC attorney at the address listed below.

By and through Counsel: Phillips Lytle LLP  
StateWise Energy Pennsylvania LLC  
201 West Third Street, Suite 205  
Jamestown NY, 14701-4907  
Ph: (716)684-3906, Fax: (716)684-4320

EP-316755

Sworn to and subscribed before me this 26th day of May 2018

Affiant: Brenda L. Learn

NOTARY: Barbara J. Moore

COMMONWEALTH OF PENNSYLVANIA

NOTARIAL SEAL

Barbara J. Moore, Notary Public  
City of Erie, Erie County

My Commission Expires March 23, 2020

MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

The Scranton Times (Under act P.L. 877 No 160. July 9, 1976)  
Commonwealth of Pennsylvania, County of Lackawanna

STATEWISE ENERGY LLC  
ALEX FARMER  
P.O. BOX 399 BUFFALO NY 14240

Account # 629146  
Order # 82213068  
Ad Price: 287.55

LEGAL NOTICE PENNSYLVANIA

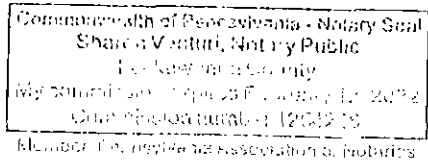
Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

05/25/2018

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true Julia Burke

Sworn and subscribed to before me  
this 24th day of May A.D., 2018

Sharon Kenton  
(Notary Public)



**LEGAL NOTICE**  
**PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE**  
Applications of StateWise Energy Pennsylvania LLC (d/b/a "StateWise Energy") For Approval To Offer, Render, or Furnish Services as a Supplier Engaged in The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services To The Public In The Commonwealth Of Pennsylvania. StateWise Energy Pennsylvania LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a supplier of natural gas.  
StateWise Energy Pennsylvania LLC will also be filing an application with the PUC for a license to supply electricity or electric generation services as a supplier of electric power. StateWise Energy Pennsylvania LLC proposes to sell electricity, natural gas, and related services in all Utility service areas under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.  
The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of StateWise Energy Pennsylvania LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to StateWise Energy Pennsylvania LLC attorney at the address listed below.

By and through Counsel:  
Phillips Lytle LLP  
StateWise Energy  
Pennsylvania LLC  
201 West Third Street,  
Suite 205

### Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss K. Flaherty, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the \_\_\_\_\_ regular \_\_\_\_\_ editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

**29 of May, 2018**

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

  
\_\_\_\_\_  
PG Publishing Company

Sworn to and subscribed before me this day of:  
May 29, 2018



Commonwealth of Pennsylvania - Notary Seal  
Elizabeth R. Chmura, Notary Public  
Allegheny County  
My commission expires February 8, 2022  
Commission number 1326781  
Member, Pennsylvania Association of Notaries

**STATEMENT OF ADVERTISING COSTS**  
StateWise Energy Pennsylvania LL  
PO BOX 399  
ATTN: ALEX FARMER  
BUFFALO NY 14240-0391

To PG Publishing Company


Total ----- \$527.00

### Publisher's Receipt for Advertising Costs

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

Office  
2201 Sweeney Drive  
CLINTON, PA 15026  
Phone 412-263-1338

PG Publishing Company, a Corporation, Publisher of  
Pittsburgh Post-Gazette, a Newspaper of General Circulation

By  Samuel J. Arbutina

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

### COPY OF NOTICE OR PUBLICATION

#### PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Applications of StateWise Energy Pennsylvania LLC (d/b/a "StateWise Energy") For Approval To Offer, Render, or Furnish Services as a Supplier Engaged in The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public in The Commonwealth Of Pennsylvania. StateWise Energy Pennsylvania LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a supplier of natural gas.

StateWise Energy Pennsylvania LLC will also be filing an application with the PUC for a license to supply electricity or electric generation services as a supplier of electric power. StateWise Energy Pennsylvania LLC proposes to sell electricity, natural gas, and related services in all Utility service areas under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of StateWise Energy Pennsylvania LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to StateWise Energy Pennsylvania LLC attorney at the address listed below.

By and through Counsel: Phillips Lytle LLP, StateWise Energy Pennsylvania LLC, 201 West Third Street, Suite 205, Jamestown NY, 14701-4907, PH: (716)664-3900, FAX: (716)664-4120




Commonwealth of Pennsylvania,) ss  
County of Cumberland)

Crystal Rosensteel being duly sworn, deposes that he/she is principal clerk of PA Media Group; that The Patriot News is a public newspaper published in the city of Mechanicsburg, with general circulation in Cumberland and Dauphin and surrounding counties, and this notice is an accurate and true copy of this notice as printed in said newspaper, was printed and published in the regular edition and issue of said newspaper on the following date(s):

The Patriot News 05/29/2018

  
Principal Clerk of the Publisher

Sworn to and subscribed before me this 30th day of May 2018

  
Notary Public

Commonwealth of Pennsylvania - Notary Seal  
Donna M. Maldonado, Notary Public  
Dauphin County  
My commission expires November 5, 2021  
Commission number 1252842  
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

**PENNSYLVANIA PUBLIC UTILITY  
COMMISSION  
NOTICE**

Applications of StateWise Energy Pennsylvania LLC (d/b/a "StateWise Energy") For Approval To Offer, Render, or Furnish Services as a Supplier Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania. StateWise Energy Pennsylvania LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a supplier of natural gas.

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By and through Counsel:  
Phillips Lytle LLP

StateWise Energy Pennsylvania LLC  
201 West Third Street, Suite 205  
Jamestown NY, 14701-4907  
Ph: (716)464-3906, Fax: (716)464-4320

PROOF OF PUBLICATON OF NOTICE IN THE WILLIAMSPORT  
SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA

COUNTY OF LYCOMING

SS:

Bernard A. Oravec, Publisher of the Sun-Gazette LLC publishers of the Williamsport Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

May 28, 2018

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette LLC, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and declare that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.

**PENNSYLVANIA  
PUBLIC UTILITY  
COMMISSION  
NOTICE**


Applications of StateWise Energy Pennsylvania LLC (d/b/a "StateWise Energy") For Approval To Offer, Render, or Furnish Services as a Supplier Engaged in The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public in The Commonwealth Of Pennsylvania. StateWise Energy Pennsylvania LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a supplier of natural gas.

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sions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of StateWise Energy Pennsylvania LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to StateWise Energy Pennsylvania LLC attorney at the address listed below.

By and through Counsel:  
Phillips Lytle LLP  
StateWise Energy  
Pennsylvania LLC  
201 West Third Street,  
Suite 205  
Jamestown NY,  
14701-4907  
Ph: (716)684-3906,  
Fax: (716)684-4320



SUN-GAZETTE LLC

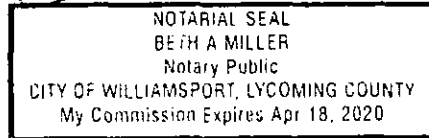
Sworn to and subscribed before me

The 29<sup>th</sup> day of May 2018



COMMONWEALTH OF PENNSYLVANIA

Notary Public



**STATEMENT OF ADVERTISING COSTS**

To the Sun-Gazette LLC, Dr.:

For publishing the notice attached

Hereto on the above state dates.....\$ 291.00

Probated same.....\$

Total.....\$ 291.00

**PUBLISHER'S RECEIPT FOR ADVERTISING COSTS**

THE SUN-GAZETTE LLC hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid

SUN-GAZETTE LLC

BY Bernard A. Oravec



# Exhibit 1

## StateWise Energy Pennsylvania LLC

**1. e. CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS:** Provide the name, title, address, telephone number, fax number, and e-mail **OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED)** responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Natural Gas Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed NGSs.

### Response:

#### Primary Contact:

Jeff Donnelly  
Director, Regulatory Affairs and Compliance  
608-100 Milverton Drive, Mississauga ON, L5R 4H1, Canada  
Ph: (905)366-7020, fax: (905)366-7011  
[compliance@sfeenergy.com](mailto:compliance@sfeenergy.com)

#### Alternate Contact:

Tamara Sinson  
Manager, Regulatory Affairs and Compliance  
608-100 Milverton Drive, Mississauga ON, L5R 4H1, Canada  
Ph: (905)366-7037, fax: (905)366-7011  
[tsinson@sfeenergy.com](mailto:tsinson@sfeenergy.com)

~~RECEIVED~~  
JUN 15 2018  
PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

RECEIVED

JUL - 6 2018  
PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

## Exhibit 2

### StateWise Energy Pennsylvania LLC

**2. a. FICTITIOUS NAME:** Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa. C.S. §311.

**Response:** Please see attached

RECEIVED

JUL - 6 2018


PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

RECEIVED

JUN 15 2018

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

**PENNSYLVANIA DEPARTMENT OF STATE  
 BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS**

|   |  |
|---|--|
| <input type="checkbox"/> Return document by mail to:<br>CSC ORDER# 059833-20                      LBY<br><hr/> Name | Registration of Fictitious Name<br><br>TCO180213JM0378 |
|---|--|

CSC  
 (xx)Return document by email to: [cscpa@cscglobal.com](mailto:cscpa@cscglobal.com)

Read all instructions prior to completing. This form may be submitted online at <https://www.corporations.pa.gov/>.

Fee: \$70                       I qualify for a veteran/reservist-owned small business fee exemption (see instructions)

In compliance with the requirements of 54 Pa.C.S. § 311 (relating to registration), the undersigned entity(ies) desiring to register a fictitious name under 54 Pa.C.S. Ch. 3 (relating to fictitious names), hereby state(s) that:

1. The fictitious name is:  
StateWise Energy

2. A brief statement of the character or nature of the business or other activity to be carried on under or through the fictitious name is:  
fixed price natural gas and electricity contracts

3. The address, including number and street, if any, of the principal place of business (P.O. Box alone is not acceptable):

|                                |                      |         |        |
|--------------------------------|----------------------|---------|--------|
| 100 Milverton Drive, Suite 608 | Mississauga, Ontario | LSR 4H1 | Canada |
| Number and street              | City                 | State   | Zip    |

4. The name and address, including number and street, if any, of each individual interested in the business is:

| Name | Number and Street | City | State | Zip |
|------|-------------------|------|-------|-----|
|      |                   |      |       |     |
|      |                   |      |       |     |
|      |                   |      |       |     |
|      |                   |      |       |     |

2018 FEB -6 PM 2: 14  
 PA. DEPT. OF STATE

5. Each entity, other than an individual, interested in such business is (are):

|   |                           |                         |
|---|---------------------------|-------------------------|
| StateWise Energy Pennsylvania LLC                                   | Limited Liability Company | Delaware                |
| Name  | Form of Organization      | Organizing Jurisdiction |
| 100 Milverton Drive, Suite 608, Mississauga, Ontario L5R 4H1 Canada |                           |                         |
| Principal Office Address  |                           |                         |
| Corporation Service Company - Dauphin                               |                           |                         |
| PA Registered Office, if any  |                           |                         |
| Name  | Form of Organization      | Organizing Jurisdiction |
| Principal Office Address  |                           |                         |
| PA Registered Office, if any  |                           |                         |

6. The applicant is familiar with the provisions of 54 Pa.C.S. § 332 (relating to effect of registration) and understands that filing under the Fictitious Names Act does not create any exclusive or other right in the fictitious name.

7. (Optional): The name(s) of the agent(s), if any, any one of whom is authorized to execute amendments to, withdrawals from or cancellation of this registration in behalf of all then existing parties to the registration, is (are):

IN TESTIMONY WHEREOF, the undersigned have caused this Application for Registration of Fictitious Name to be executed this

26<sup>th</sup> day of January, 2018

|  |                      |
|--|----------------------|
| Individual Signature                         | Individual Signature |
| Individual Signature                         | Individual Signature |
| StateWise Energy Pennsylvania LLC            |                      |
| Entity Name                                  | Entity Name          |
| <i>[Signature]</i>                           | Signature            |
| Michael Gerald Haggarty, President of Member |                      |
| Title  | Title                |

### Exhibit 3

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StateWise Energy Pennsylvania LLC

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PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

**2. b. BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.

**Response:** Please see attached

- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.

**Response:** StateWise Energy Pennsylvania was incorporated in Delaware. Please see articles of incorporation attached

- Give name and address of officers.

**Response:**

| Title     | Name  | Phone                    | Address   |
|-----------|---|--------------------------|---|
| Directors | Michael Gerald Haggarty (commonly referred to as Gerry Haggarty), President, CEO and Board Member | 905-366-7017             | 100 Milverton Drive, Suite 608<br>Mississauga, ON L5R 4H1<br>Canada |
|           | Bill Siveter, Board Member  | 727-835-5555<br>Ext. 102 | 100 Milverton Drive, Suite 608<br>Mississauga, ON L5R 4H1<br>Canada |
|           | David Easton, Board Member  | 615-509-2540             | 100 Milverton Drive, Suite 608<br>Mississauga, ON L5R 4H1<br>Canada |
| Officers  | Michael Gerald Haggarty (commonly referred to as Gerry Haggarty), President, CEO and Board Member | 905-366-7017             | 100 Milverton Drive, Suite 608<br>Mississauga, ON L5R 4H1<br>Canada |
|           | Jeff Borg, Senior Vice President  | 905-366-7036             | 100 Milverton Drive, Suite 608<br>Mississauga, ON L5R 4H1<br>Canada |
|           | Shelley Lewis, Chief Financial Officer and Secretary  | 905-366-7004             | 100 Milverton Drive, Suite 608<br>Mississauga, ON L5R 4H1<br>Canada |

# Delaware

The First State

Page 1

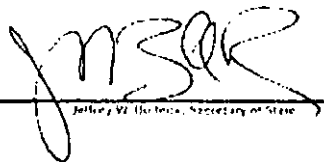
I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF FORMATION OF "STATEWISE ENERGY PENNSYLVANIA LLC", FILED IN THIS OFFICE ON THE TWENTY-THIRD DAY OF JANUARY, A.D. 2018, AT 4:58 O'CLOCK P.M.

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SECRETARY'S BUREAU



  
Jeffrey W. Bullock, Secretary of State

6723811 8100  
SR# 20180440942

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

Authentication: 202021641  
Date: 01-23-18

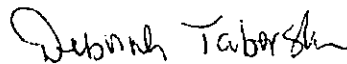
State of Delaware  
Secretary of State  
Division of Corporations  
Delivered 04:58 PM 01/23/2018  
FILED 04:58 PM 01/23/2018  
SR 20180440942 - File Number 6723811

**CERTIFICATE OF FORMATION**  
**OF**  
**STATEWISE ENERGY PENNSYLVANIA LLC**

The undersigned, intending to form and create a limited liability company pursuant to Section 18-201 of the Delaware Limited Liability Company Act, does hereby certify as follows:

1. The name of the limited liability company is StateWise Energy Pennsylvania LLC.
2. The address of the corporation's registered office in the State of Delaware is 251 Little Falls Drive, Wilmington, in the county of New Castle, Delaware 19808. and the name of its registered agent at such address is Corporation Service Company.

IN WITNESS WHEREOF, the undersigned has executed this Certificate of Formation this 23<sup>rd</sup> day of January, 2018.



Deborah Taberski  
Authorized Person  
One Canalside  
125 Main Street  
Buffalo, New York 14203

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**Exhibit 4**

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PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU  
 PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

**StateWise Energy Pennsylvania LLC**

**3. a. AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

**Response:** All use the head office, none are jurisdictional public utilities. StateWise does not have any affiliates that are currently applying to do business in Pennsylvania.

| Applicant/Affiliate Company Name                  | Date of Issuance | License No.                     | Regulatory Agency   |
|---|------------------|---------------------------------|---|
| Summitt Energy BC LP ("Summitt Energy")           | 19-Mar-2008      | A-12-16                         | British Columbia Utilities Commission ("BCUC")                    |
| Summitt Energy Management Inc. ("Summitt Energy") | 16-Oct-2014      | EB-2012-0089                    | Ontario Energy Board ("OEB")                                      |
| Family Energy, Inc. ("Family Energy")             | 22-Apr-2013      | n/a                             | New York Public Service Commission ("NYPSC")                      |
| SFE Energy Pennsylvania, Inc. ("SFE Energy")      | 15-Aug-2013      | A-2013-2353576 / A-2013-2346185 | Pennsylvania Public Utilities Commission                          |
| SFE Energy Maryland, Inc. ("SFE Energy")          | 18-Dec-2013      | IR-3221 / IR-3220               | Maryland Public Service Commission                                |
| SFE Energy NJ, Inc. ("SFE Energy")                | 20-Aug-2014      | ESL-0157 / GSL-0138             | New Jersey Board of Public Utilities                              |
| SFE Energy Massachusetts, Inc. ("SFE Energy")     | 12-Mar-2015      | CS-119 / GS-057                 | The Commonwealth of Massachusetts, Department of Public Utilities |
| SFE Energy California, Inc. ("SFE Energy")        | 11-Feb-2015      | CTA-0015                        | California Public Utilities Commission                            |
| SFE Energy Ohio, Inc. ("SFE Energy")              | 14-Feb-2018      | 16-1047E(2) / 16-498G(2)        | Public Utilities Commission of Ohio                               |
| StateWise Energy Ohio LLC ("StateWise Energy")    | 27-Jan-2017      | 17-1180E(1) / 17-577G(1)        | Public Utilities Commission of Ohio                               |
| SFE Energy Illinois, Inc. ("SFE Energy")          | 3-May-2017       | 17-0154                         | Illinois Commerce Commission                                      |
| SFE Energy Texas, Inc. ("SFE Energy")             | 5-July-2017      | Certificate No. 10249           | Public Utilities Commission of Texas                              |



## Exhibit 5

### StateWise Energy Pennsylvania LLC

**3. b. PREDECESSORS:** Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

**Response:** StateWise Energy Pennsylvania LLC does not have any predecessors that have done business in the Commonwealth of Pennsylvania.

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## Exhibit 6

### StateWise Energy Pennsylvania LLC

**5. a. CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years. Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

**Response:** An affiliate of StateWise Energy (Family Energy Inc.) has been the defendant of a civil proceeding. The details are as follows:

**Hickey v. Family Energy** Index No: 2016-11565: Monroe County Supreme Court, in which Catherine Hickey, a former Family Energy customer, alleged that Family Energy had engaged in actionable deceptive business practices in convincing her to enter into an electricity and natural gas supply agreement with Family Energy, and that she should win money damages and injunctive relief as a result pursuant to New York General Business Law Sections 349, 349-d, and 350. The Case was later dismissed.

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**Exhibit 7**

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JUN 15 2018

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**StateWise Energy Pennsylvania LLC**

**5. c. CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints, in the Commonwealth of Pennsylvania or any state, filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. Applicant should also include if it had a Pennsylvania PUC EGS or NGS license previously cancelled by the Commission. If the Applicant has no actions or complaints to list, explicitly state such.

**Response:** Please see attached for list of formal complaints.

StateWise Energy has not had a Pennsylvania PUC EGS or NGS license previous cancelled by the Commission.

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SECRETARY'S BUREAU

## Exhibit 8

### StateWise Energy Pennsylvania LLC

6. a. **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

**Response:** Please see attached

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SECRETARY'S BUREAU



June 14, 2018

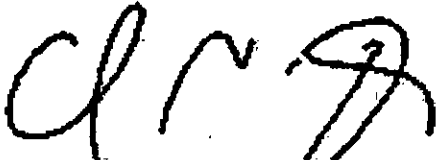
Dear Customer:

The following is the proof-of-delivery for tracking number **665386831866**.

---

**Delivery Information:**

|                          |   |                           |  |
|--------------------------|---|---------------------------|--|
| <b>Status:</b>           | Delivered                                   | <b>Delivered to:</b>      | Mailroom                                   |
| <b>Signed for by:</b>    | C.OUTLAW                                    | <b>Delivery location:</b> | 2 TECHNOLOGY PLACE<br>HARRISBURG, PA 17120 |
| <b>Service type:</b>     | FedEx International Priority                | <b>Delivery date:</b>     | Jun 14, 2018 10:09                         |
| <b>Special Handling:</b> | Deliver Weekday<br>Adult Signature Required |                           |  |



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**Shipping Information:**

|                         |              |                   |                |
|-------------------------|--------------|-------------------|----------------|
| <b>Tracking number:</b> | 665386831866 | <b>Ship date:</b> | Jun 13, 2018   |
|                         |              | <b>Weight:</b>    | 3.6 lbs/1.6 kg |

**Recipient:**  
BUREAU OF INVESTIGATION ENFORCEMENT  
PA PUBLIC UTILITY COMMISSION  
400 NORTH STREET  
COMMONWEALTH KEYSTONE BUILDING  
HARRISBURG, PA 17120 US

**Shipper:**  
MELANIE WHITNELL  
SUMMITT ENERGY  
100 MILVERTON DRIVE, SUITE 608  
MISSISSAUGA, ON L5R4H1 CA

Thank you for choosing FedEx.



June 14, 2018

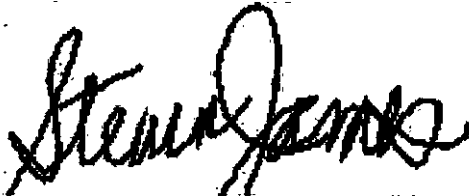
Dear Customer:

The following is the proof-of-delivery for tracking number **665386831877**.

---

**Delivery Information:**

|                          |   |                           |  |
|--------------------------|---|---------------------------|--|
| <b>Status:</b>           | Delivered                                   | <b>Delivered to:</b>      | Receptionist/Front Desk                |
| <b>Signed for by:</b>    | S.JAMES                                     | <b>Delivery location:</b> | P O BOX 281230<br>HARRISBURG, PA 17128 |
| <b>Service type:</b>     | FedEx International Priority                | <b>Delivery date:</b>     | Jun 14, 2018 10:14                     |
| <b>Special Handling:</b> | Deliver Weekday<br>Adult Signature Required |                           |  |



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**Shipping Information:**

|                         |              |                   |                |
|-------------------------|--------------|-------------------|----------------|
| <b>Tracking number:</b> | 665386831877 | <b>Ship date:</b> | Jun 13, 2018   |
|                         |              | <b>Weight:</b>    | 3.6 lbs/1.6 kg |

**Recipient:**  
DEPARTMENT OF REVENUE  
COMMONWEALTH OF PA  
STRAWBERRY SQUARE  
7TH FLOOR  
HARRISBURG, PA 17128 US

**Shipper:**  
MELANIE WHITNELL  
SUMMITT ENERGY  
100 MILVERTON DRIVE, SUITE 608  
MISSISSAUGA, ON L5R4H1 CA

Thank you for choosing FedEx.



June 14, 2018

Dear Customer:

The following is the proof-of-delivery for tracking number **665386831888**.

---

**Delivery Information:**

---

|                          |   |                           |   |
|--------------------------|---|---------------------------|---|
| <b>Status:</b>           | Delivered                                       | <b>Delivered to:</b>      | Mailroom  |
| <b>Signed for by:</b>    | E.FLYNN   | <b>Delivery location:</b> | 14 TH FL STRAWBERRY<br>SQ<br>HARRISBURG, PA 17120 |
| <b>Service type:</b>     | FedEx International Priority                    | <b>Delivery date:</b>     | Jun 14, 2018 10:03                                |
| <b>Special Handling:</b> | Deliver Weekday<br><br>Adult Signature Required |                           |   |

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**Shipping Information:**

---

|                         |              |                   |                |
|-------------------------|--------------|-------------------|----------------|
| <b>Tracking number:</b> | 665386831888 | <b>Ship date:</b> | Jun 13, 2018   |
|                         |              | <b>Weight:</b>    | 3.6 lbs/1.6 kg |

**Recipient:**  
OFFICE OF ATTORNEY GENERAL  
BUREAU OF CONSUMER PROTECTION  
STRAWBERRY SQUARE  
14TH FLOOR  
HARRISBURG, PA 17120 US

**Shipper:**  
MELANIE WHITNELL  
SUMMITT ENERGY  
100 MILVERTON DRIVE, SUITE 608  
MISSISSAUGA, ON L5R4H1 CA

Thank you for choosing FedEx.



June 14, 2018

Dear Customer:

The following is the proof-of-delivery for tracking number **665386831899**.

---

**Delivery Information:**

|                          |   |                           |  |
|--------------------------|---|---------------------------|--|
| <b>Status:</b>           | Delivered                                   | <b>Delivered to:</b>      | Receptionist/Front Desk                  |
| <b>Signed for by:</b>    | J.RODRIGUES                                 | <b>Delivery location:</b> | 300 N 2ND ST 202<br>HARRISBURG, PA 17101 |
| <b>Service type:</b>     | FedEx International Priority                | <b>Delivery date:</b>     | Jun 14, 2018 09:21                       |
| <b>Special Handling:</b> | Deliver Weekday<br>Adult Signature Required |                           |  |

---

**Shipping Information:**

|                         |              |                   |                |
|-------------------------|--------------|-------------------|----------------|
| <b>Tracking number:</b> | 665386831899 | <b>Ship date:</b> | Jun 13, 2018   |
|                         |              | <b>Weight:</b>    | 3.6 lbs/1.6 kg |

**Recipient:**  
OFFICE OF THE SMALL BUSINESS ADVOC  
OFFICE OF THE SMALL BUSINESS ADVOC  
300 NORTH SECOND STREET  
COMMERCE BUILDING - SUITE 202  
HARRISBURG, PA 17101 US

**Shipper:**  
MELANIE WHITNELL  
SUMMITT ENERGY  
100 MILVERTON DRIVE, SUITE 608  
MISSISSAUGA, ON L5R4H1 CA

Thank you for choosing FedEx.





June 14, 2018

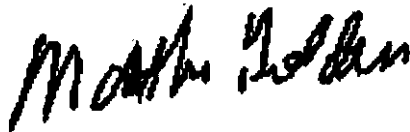
Dear Customer:

The following is the proof-of-delivery for tracking number **665386831903**.

---

**Delivery Information:**

|                          |   |                           |  |
|--------------------------|---|---------------------------|--|
| <b>Status:</b>           | Delivered                                   | <b>Delivered to:</b>      | Receptionist/Front Desk                |
| <b>Signed for by:</b>    | M.GOLDEN                                    | <b>Delivery location:</b> | 555 WALNUT ST.<br>HARRISBURG, PA 17120 |
| <b>Service type:</b>     | FedEx International Priority                | <b>Delivery date:</b>     | Jun 14, 2018 09:35                     |
| <b>Special Handling:</b> | Deliver Weekday<br>Adult Signature Required |                           |  |



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**Shipping Information:**

|                         |              |                   |                |
|-------------------------|--------------|-------------------|----------------|
| <b>Tracking number:</b> | 665386831903 | <b>Ship date:</b> | Jun 13, 2018   |
|                         |              | <b>Weight:</b>    | 3.6 lbs/1.6 kg |

**Recipient:**  
OFFICE OF CONSUMER ADVOCATE 5TH FL  
FORUM PLACE  
555 WALNUT ST  
HARRISBURG, PA 17120 US

**Shipper:**  
MELANIE WHITNELL  
SUMMITT ENERGY  
100 MILVERTON DRIVE, SUITE 608  
MISSISSAUGA, ON L5R4H1 CA

Thank you for choosing FedEx.

## Exhibit 9

### StateWise Energy Pennsylvania LLC

**6. b. NGDCs:** Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Natural Gas Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each NGDC is as follows.

**Response:** Please see attached

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SECRETARY'S BUREAU~~

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PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU



June 14, 2018

Dear Customer:

The following is the proof-of-delivery for tracking number **665386831741**.

---

**Delivery Information:**

|                          |   |                           |   |
|--------------------------|---|---------------------------|---|
| <b>Status:</b>           | Delivered                                   | <b>Delivered to:</b>      | Receptionist/Front Desk                 |
| <b>Signed for by:</b>    | H.ZEIBER                                    | <b>Delivery location:</b> | 2525 N 12TH ST 360<br>READING, PA 19605 |
| <b>Service type:</b>     | FedEx International Priority                | <b>Delivery date:</b>     | Jun 14, 2018 09:48                      |
| <b>Special Handling:</b> | Deliver Weekday<br>Adult Signature Required |                           |   |

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**Shipping Information:**

|                         |              |                   |                |
|-------------------------|--------------|-------------------|----------------|
| <b>Tracking number:</b> | 665386831741 | <b>Ship date:</b> | Jun 13, 2018   |
|                         |              | <b>Weight:</b>    | 1.7 lbs/0.8 kg |

**Recipient:**  
ATTN DAVID LAHOFF  
UGI UTILITIES  
2525 NORTH 12TH STREET  
SUITE 360  
READING, PA 19605 US

**Shipper:**  
MELANIE WHITNELL  
SUMMITT ENERGY  
100 MILVERTON DRIVE, SUITE 608  
MISSISSAUGA, ON L5R4H1 CA

Thank you for choosing FedEx.



June 14, 2018

Dear Customer:

The following is the proof-of-delivery for tracking number **665386831752**.

---

**Delivery Information:**

|                          |   |                           |   |
|--------------------------|---|---------------------------|---|
| <b>Status:</b>           | Delivered                                   | <b>Delivered to:</b>      | Receptionist/Front Desk                       |
| <b>Signed for by:</b>    | E.THOMPSON                                  | <b>Delivery location:</b> | 225 NORTH SHORE DRIVE<br>PITTSBURGH, PA 15212 |
| <b>Service type:</b>     | FedEx International Priority                | <b>Delivery date:</b>     | Jun 14, 2018 09:07                            |
| <b>Special Handling:</b> | Deliver Weekday<br>Adult Signature Required |                           |   |

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**Shipping Information:**

|                         |              |                   |                |
|-------------------------|--------------|-------------------|----------------|
| <b>Tracking number:</b> | 665386831752 | <b>Ship date:</b> | Jun 13, 2018   |
|                         |              | <b>Weight:</b>    | 1.7 lbs/0.8 kg |

**Recipient:**  
LYNDA PETRICHEVICH  
THE PEOPLES NATURAL GAS CO  
225 NORTH SHORE DRIVE  
PITTSBURGH, PA 15212 US

**Shipper:**  
MELANIE WHITNELL  
SUMMITT ENERGY  
100 MILVERTON DRIVE, SUITE 608  
MISSISSAUGA, ON L5R4H1 CA

Thank you for choosing FedEx.



June 14, 2018

Dear Customer:

The following is the proof-of-delivery for tracking number **665386831763**.

---

**Delivery Information:**

|                          |   |                           |                                       |
|--------------------------|---|---------------------------|---------------------------------------|
| <b>Status:</b>           | Delivered                                   | <b>Delivered to:</b>      | Receptionist/Front Desk               |
| <b>Signed for by:</b>    | M.MINIER                                    | <b>Delivery location:</b> | 523 S KEYSTONE AVE<br>SAYRE, PA 18840 |
| <b>Service type:</b>     | FedEx International Priority                | <b>Delivery date:</b>     | Jun 14, 2018 10:21                    |
| <b>Special Handling:</b> | Deliver Weekday<br>Adult Signature Required |                           |                                       |

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**Shipping Information:**

|                         |              |                   |                |
|-------------------------|--------------|-------------------|----------------|
| <b>Tracking number:</b> | 665386831763 | <b>Ship date:</b> | Jun 13, 2018   |
|                         |              | <b>Weight:</b>    | 1.7 lbs/0.8 kg |

**Recipient:**  
ED ROGERS  
VALLEY ENERGY INC  
523 SOUTH KEYSTONE AVENUE  
SAYRE, PA 18840 US

**Shipper:**  
MELANIE WHITNELL  
SUMMITT ENERGY  
100 MILVERTON DRIVE, SUITE 608  
MISSISSAUGA, ON L5R4H1 CA

Thank you for choosing FedEx.



June 14, 2018

Dear Customer:

The following is the proof-of-delivery for tracking number **665386831774**.

---

**Delivery Information:**

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|                          |   |                           |  |
|--------------------------|---|---------------------------|--|
| <b>Status:</b>           | Delivered                                   | <b>Delivered to:</b>      | Mailroom                                 |
| <b>Signed for by:</b>    | K.CURTIS                                    | <b>Delivery location:</b> | 2301 MARKET ST<br>PHILADELPHIA, PA 19103 |
| <b>Service type:</b>     | FedEx International Priority                | <b>Delivery date:</b>     | Jun 14, 2018 09:06                       |
| <b>Special Handling:</b> | Deliver Weekday<br>Adult Signature Required |                           |  |

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**Shipping Information:**

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|                         |              |                   |                |
|-------------------------|--------------|-------------------|----------------|
| <b>Tracking number:</b> | 665386831774 | <b>Ship date:</b> | Jun 13, 2018   |
|                         |              | <b>Weight:</b>    | 1.7 lbs/0.8 kg |

**Recipient:**  
CARLOS THILLET  
PECO ENERGY ENERGY ACQUISITION  
2301 MARKET STREET  
S9-2  
PHILADELPHIA, PA 19103 US

**Shipper:**  
MELANIE WHITNELL  
SUMMITT ENERGY  
100 MILVERTON DRIVE, SUITE 608  
MISSISSAUGA, ON L5R4H1 CA

Thank you for choosing FedEx.



June 14, 2018

Dear Customer:

The following is the proof-of-delivery for tracking number **665386831914**.

---

**Delivery Information:**

|                          |   |                           |   |
|--------------------------|---|---------------------------|---|
| <b>Status:</b>           | Delivered                                   | <b>Delivered to:</b>      | Mailroom                                    |
| <b>Signed for by:</b>    | B.BEALS                                     | <b>Delivery location:</b> | 240 W NATIONWIDE BLVD<br>COLUMBUS, OH 43215 |
| <b>Service type:</b>     | FedEx International Priority                | <b>Delivery date:</b>     | Jun 14, 2018 10:00                          |
| <b>Special Handling:</b> | Deliver Weekday<br>Adult Signature Required |                           |   |

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**Shipping Information:**

|                         |              |                   |                |
|-------------------------|--------------|-------------------|----------------|
| <b>Tracking number:</b> | 665386831914 | <b>Ship date:</b> | Jun 13, 2018   |
|                         |              | <b>Weight:</b>    | 1.7 lbs/0.8 kg |

**Recipient:**  
MICHELE CADDELL  
COLUMBIA GAS OF PA, INC  
290 W NATIONWIDE BLVD  
COLUMBUS, OH 43215 US

**Shipper:**  
MELANIE WHITNELL  
SUMMITT ENERGY  
100 MILVERTON DRIVE, SUITE 608  
MISSISSAUGA, ON L5R4H1 CA

Thank you for choosing FedEx.



June 14, 2018

Dear Customer:

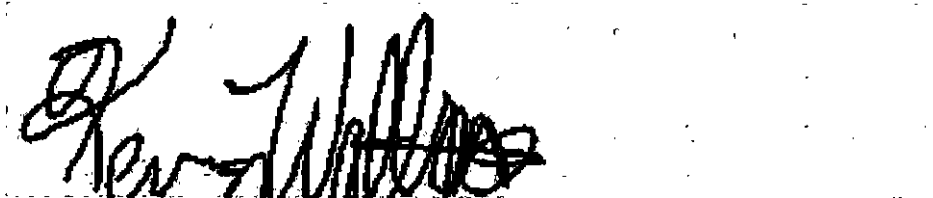
The following is the proof-of-delivery for tracking number **665386831925**.

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**Delivery Information:**

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|                          |   |                           |   |
|--------------------------|---|---------------------------|---|
| <b>Status:</b>           | Delivered                                   | <b>Delivered to:</b>      | Shipping/Receiving                                |
| <b>Signed for by:</b>    | K.WALLACE                                   | <b>Delivery location:</b> | 800 W MONTGOMERY<br>AVE<br>PHILADELPHIA, PA 19122 |
| <b>Service type:</b>     | FedEx International Priority                | <b>Delivery date:</b>     | Jun 14, 2018 09:48                                |
| <b>Special Handling:</b> | Deliver Weekday<br>Adult Signature Required |                           |   |



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**Shipping Information:**

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|                         |              |                   |                |
|-------------------------|--------------|-------------------|----------------|
| <b>Tracking number:</b> | 665386831925 | <b>Ship date:</b> | Jun 13, 2018   |
|                         |              | <b>Weight:</b>    | 1.8 lbs/0.8 kg |

**Recipient:**  
NICHOLAS LAPERGOLA  
PHILADELPHIA GAS WORKS  
800 WEST MONTGOMERY AVENUE  
PHILADELPHIA, PA 19122 US

**Shipper:**  
MELANIE WHITNELL  
SUMMITT ENERGY  
100 MILVERTON DRIVE, SUITE 608  
MISSISSAUGA, ON L5R4H1 CA

Thank you for choosing FedEx.





June 14, 2018

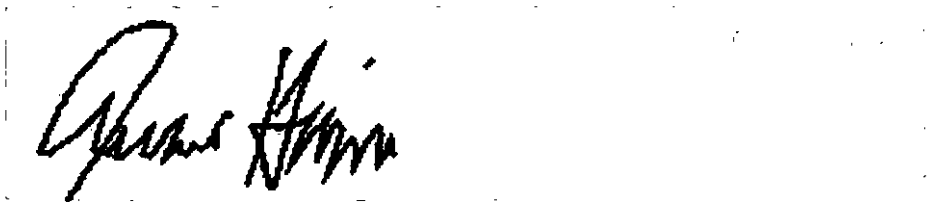
Dear Customer:

The following is the proof-of-delivery for tracking number **665386831936**.

---

**Delivery Information:**

|                          |   |                           |   |
|--------------------------|---|---------------------------|---|
| <b>Status:</b>           | Delivered                                   | <b>Delivered to:</b>      | Shipping/Receiving                      |
| <b>Signed for by:</b>    | R.HARRRISNS                                 | <b>Delivery location:</b> | 6363 MAIN ST<br>WILLIAMSVILLE, NY 14221 |
| <b>Service type:</b>     | FedEx International Priority                | <b>Delivery date:</b>     | Jun 14, 2018 10:08                      |
| <b>Special Handling:</b> | Deliver Weekday<br>Adult Signature Required |                           |   |



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**Shipping Information:**

|                         |              |                   |                |
|-------------------------|--------------|-------------------|----------------|
| <b>Tracking number:</b> | 665386831936 | <b>Ship date:</b> | Jun 13, 2018   |
|                         |              | <b>Weight:</b>    | 1.8 lbs/0.8 kg |

**Recipient:**  
ATTN: DAVID D WOLFORD  
NATIONAL FUEL GAS  
6363 MAIN STREET  
WILLIAMSVILLE, NY 14221 US

**Shipper:**  
MELANIE WHITNELL  
SUMMITT ENERGY  
100 MILVERTON DRIVE, SUITE 608  
MISSISSAUGA, ON L5R4H1 CA

Thank you for choosing FedEx.

## Exhibit 10

### StateWise Energy Pennsylvania LLC

**7. BONDING:** In accordance with 66 Pa. C.S. Section 2208(c), no natural gas supplier license shall be issued or remain in force unless the applicant or holder furnishes a bond or other security in a form and amount to ensure the financial responsibility of the natural gas supplier. The criteria used to determine the amount and form of such bond or other security shall be set by each NGDC. Provide documentation that the applicant has met the security requirement of each NGDC by submitting the letters sent by the NGDCs stating what bonding amounts they require.

**Response:** Please see letters attached

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375 North Shore Drive  
Pittsburgh, Pennsylvania 15212

[www.peoples-gas.com](http://www.peoples-gas.com)

**Carol Scanlon**  
Manager, Rates & Regulation

**Peoples Service Company LLC**  
Phone: 412-208-6931  
Email: [Carol.Scanlon@peoples-gas.com](mailto:Carol.Scanlon@peoples-gas.com)

May 29, 2018

Michael Gerald Haggarty  
President  
StateWise Energy Pennsylvania LLC  
100 Milverton Drive Suite 608  
Mississauga ON, L5R 4H1, Canada

Dear Mr. Haggarty:

We are pleased that StateWise Energy Pennsylvania LLC has applied for a license to provide natural gas services on the Peoples Group of Companies. Specifically you have requested to be licensed as a supplier on the distribution systems of Peoples Natural Gas Company LLC, Peoples Natural Gas Company LLC – Equitable Division, and Peoples Gas Company LLC (formerly Peoples TWP) (“the Companies”).

Since StateWise Energy Pennsylvania LLC is not currently serving customers on the Peoples systems, we have determined at this time that StateWise Energy Pennsylvania LLC does not need a bond or other financial security requirement to provide these services to the Company’s customers.

If a Pool is established, and customers are enrolled which alters the creditworthiness requirement or the Company’s exposure to StateWise Energy Pennsylvania LLC provision of services on the Peoples’ system changes in the future, the Companies may deem it appropriate to require a bond or other financial instrument.

If you have any questions feel free to contact me at 412-208-6931 or by email at [Carol.Scanlon@peoples-gas.com](mailto:Carol.Scanlon@peoples-gas.com).

Sincerely,

Carol Scanlon  
Manager, Rates and Regulation  
Peoples Natural Gas Company LLC

Cc: Stephen Kelly  
Mina Speicher

June 14, 2018

Jeff Donnelly  
StateWise Energy Pennsylvania LLC, d/b/a StateWise Energy, StateWise  
608-100 Milverton Drive  
Mississauga, Ontario, L5R 4H1, Canada

Dear Jeff Donnelly:

We are pleased that StateWise Energy Pennsylvania LLC, d/b/a StateWise Energy, StateWise has applied for a license to provide Natural Gas Broker/Marketer Services on the distribution system of Columbia Gas of Pennsylvania, Inc. ("Columbia Gas").

Under Paragraph 2.4.5 of the Rules Applicable to Distribution Service section of the Tariff of Columbia Gas, StateWise Energy Pennsylvania LLC, d/b/a StateWise Energy, StateWise could be required to provide to Columbia Gas a bond or other financial security instrument in an amount that Columbia Gas determines to be appropriate. StateWise Energy Pennsylvania LLC, d/b/a StateWise Energy, StateWise has indicated only brokering and consulting services will be provided. Therefore, we have determined at this time that StateWise Energy Pennsylvania LLC, d/b/a StateWise Energy, StateWise does not need a bond or other financial security requirement to provide broker natural gas services to Columbia Gas customers.

If the creditworthiness requirement or Columbia Gas' exposure to StateWise Energy Pennsylvania LLC, d/b/a StateWise Energy, StateWise changes in the future, Columbia Gas might deem it appropriate to require StateWise Energy Pennsylvania LLC, d/b/a StateWise Energy, StateWise to provide a bond or other financial security instrument.

Please feel free to contact me at 614-460-4712 should you have any questions regarding a bond or other financial security instrument requirements of Columbia Gas.

Sincerely,

*Patricia Chang*

Patricia Chang  
Manager of Choice and Transportation Support Services

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**Exhibit 11**

**StateWise Energy Pennsylvania LLC**

**7. b. FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.

**Response:** Please see Summitt Energy Inc. corporate organizational structure attached

- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K).  
(SEC/EDGAR web addresses are sufficient)

**Response:** Not Applicable

- Applicant's accounting statements, including balance sheet and income statements for the past two years.

**Response:** Not Applicable

- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.

**Response:** Not Applicable

- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.

**Response:** Not Applicable

- Audited financial statements exhibiting accounts over a minimum two year period.

**Response:** Please see attached audited financial statements

- Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

**Response:** Please see Annual Reports for Summitt Energy Inc. attached.

**Exhibit 12**

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**StateWise Energy Pennsylvania LLC**

**7. c. SUPPLIER FUNDING METHOD:** If Applicant is operating as anything other than **Broker/Marketer only**, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

**Response:** Please refer to Exhibit 11 for Interim Audited Financial Statements

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## Exhibit 13

### StateWise Energy Pennsylvania LLC

**7. d. BROKER PAYMENT STRUCTURE:** If applicant is a broker/marketer, explain how your organization will be collecting your fees.

**Response:** Not Applicable

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## Exhibit 14

### StateWise Energy Pennsylvania LLC

**8. a. EXPERIENCE, PLAN, STRUCTURE:** such information may include:

- Applicant's previous experience in the natural gas industry.
- Summary and proof of licenses as a supplier natural gas services in other states or jurisdictions.
- Type of customers and number of customers Applicant currently serves in other jurisdictions.
- Staffing structure and numbers as well as employee training commitments.
- Business plans for operations within the Commonwealth.
- Any other information appropriate to ensure the technical capabilities of the Applicant.

**Response:** Please see attached Technical and Managerial Experience document

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## Exhibit 15

### StateWise Energy Pennsylvania LLC

**8. c. DOOR TO DOOR SALES:** Will the Applicant be implementing door to door sales activities?

Yes

If yes, will the Applicant be using verification procedures?

Yes

If yes, describe the Applicant's verification procedures.

**Response:** Please refer to Exhibit 14

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## Exhibit 16

### StateWise Energy Pennsylvania LLC

**8. d. OVERSIGHT OF MARKETING:** Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

**Response:** Please refer to Exhibit 14

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**Exhibit 17**

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**StateWise Energy Pennsylvania LLC**

**8. e. OFFICERS:** Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.

**Response:** Please see resumes of chief officers and other officers responsible for operations attached. Resumes include the following individuals:

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## Exhibit 18

### StateWise Energy Pennsylvania LLC

**10. I. FILING FEE:** The Applicant has enclosed or paid the required, non-refundable filing fee by CERTIFIED CHECK OR MONEY ORDER in the amount of \$350.00 payable to the Commonwealth of Pennsylvania.

**Response:** Please see certified check attached

# 949756

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**Appendix C**

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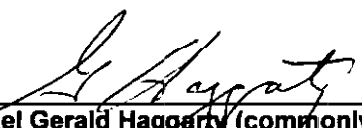
**CERTIFICATE OF SERVICE**

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

On this the 4<sup>th</sup> day of July 2018, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as a Natural Gas Supplier and all attachments have been served, as a hardcopy upon the following:

|  |  |
|--|--|
| <p><b>Office of Consumer Advocate</b><br/>5th Floor, Forum Place<br/>555 Walnut Street<br/>Harrisburg, PA 17120</p>  | <p><b>Office of the Attorney General</b><br/>Bureau of Consumer Protection<br/>Strawberry Square, 14th Floor<br/>Harrisburg, PA 17120</p>  |
| <p><b>Office of the Small Business Advocate</b><br/>Commerce Building, Suite 202<br/>300 North Second Street<br/>Harrisburg, PA 17101</p>  | <p><b>Commonwealth of Pennsylvania</b><br/>Department of Revenue<br/>Bureau of Compliance<br/>Harrisburg, PA 17128-0946</p>  |
| <p><b>Columbia Gas of PA, Inc.</b><br/>Michele Caddell<br/>290 W. Nationwide Blvd.<br/>Columbus, OH 43215<br/>PH: 614.460.6841<br/>FAX: 614.460.8447<br/>e-mail: mcaddell@nisource.com</p>                                   | <p><b>Bureau of Investigation &amp; Enforcement</b><br/>Pennsylvania Public Utility Commission<br/>Commonwealth Keystone Building<br/>400 North Street, 2 West<br/>Harrisburg, PA 17120</p>          |
| <p><b>Peoples Natural Gas – Equitable Division</b><br/>Lynda Petrichevich<br/>375 North Shore Drive<br/>Pittsburgh, PA 15212<br/>PH: 412.208.6528<br/>FAX: 412.208.6577<br/>e-mail: Lynda.w.petrichevich@peoples-gas.com</p> | <p><b>National Fuel Gas Distribution Corp.</b><br/>David D. Wolford<br/>6363 Main Street<br/>Williamsville, NY 14221<br/>PH: 716.857.7483<br/>FAX: 716.857.7479<br/>e-mail: wolfordd@natfuel.com</p> |
| <p><b>The Peoples Natural Gas Company</b><br/>Lynda Petrichevich<br/>375 North Shore Drive<br/>Pittsburgh, PA 15212<br/>PH: 412.208.6528<br/>FAX: 412.208.6577<br/>e-mail: Lynda.w.petrichevich@peoples-gas.com</p>          | <p><b>PECO</b><br/>Carlos Thillet, Manager, Gas Supply and Transportation<br/>2301 Market Street, S9-2<br/>Philadelphia, PA 19103<br/>PH: 215.841.6452<br/>Email: carlos.thillet@exeloncorp.com</p>  |
| <p><b>Peoples Gas Company</b><br/>Lynda Petrichevich<br/>375 North Shore Drive<br/>Pittsburgh, PA 15212<br/>PH: 412.208.6528<br/>FAX: 412.208.6577<br/>e-mail: Lynda.w.petrichevich@peoples-gas.com</p>                      | <p><b>Philadelphia Gas Works</b><br/>Nicholas LaPergola<br/>800 West Montgomery Avenue<br/>Philadelphia, PA 19122<br/>PH: 215.684.6278<br/>email: nicholas.lapergola@pgworks.com</p>                 |

|  |  |
|--|--|
| <p><b>UGI Central Penn</b><br/> David Lahoff<br/> 2525 N. 12<sup>th</sup> Street, Suite 360<br/> Reading, PA 19612-2677<br/> PH: 610.796.3520<br/> Email: <a href="mailto:dlahoff@ugi.com">dlahoff@ugi.com</a></p>                         | <p><b>UGI</b><br/> David Lahoff<br/> 2525 N. 12<sup>th</sup> Street, Suite 360<br/> Reading, PA 19612-2677<br/> PH: 610.796.3520<br/> Email: <a href="mailto:dlahoff@ugi.com">dlahoff@ugi.com</a></p>              |
| <p><b>Valley Energy Inc.</b><br/> Ed Rogers<br/> 523 South Keystone Avenue<br/> Sayre, PA 18840-0340<br/> PH: 570.888-9664<br/> FAX: 570.888.6199<br/> email: <a href="mailto:erogers@ctenterprises.org">erogers@ctenterprises.org</a></p> | <p><b>UGI Penn Natural</b><br/> David Lahoff<br/> 2525 N. 12<sup>th</sup> Street, Suite 360<br/> Reading, PA 19612-2677<br/> PH: 610.796.3520<br/> Email: <a href="mailto:dlahoff@ugi.com">dlahoff@ugi.com</a></p> |


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**Michael Gerald Haggarty** (commonly referred to as Gerry Haggarty), President

Origin of FedEx Express® shipping label

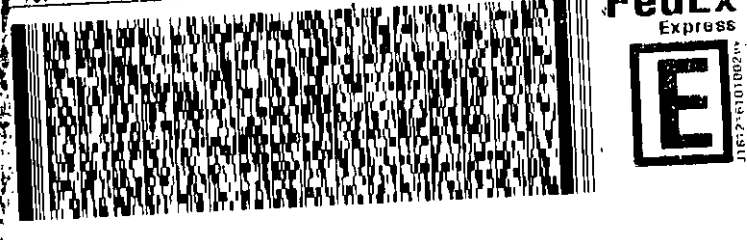
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 MELANIE WHITNELL  
 SUMMITT ENERGY  
 100 MILVERTON DRIVE, SUITE 608  
 MISSISSAUGA, ON L5R4H1  
 CANADA CA

SHIP DATE: 06JUL18  
 ACTWGT: 3.00 LB MAN  
 CAD: 0999625/CAFE3012

BILL SENDER

TO SECRETARY  
 PA PUBLIC UTILITY COMMISSION  
 400 NORTH STREET  
 COMMONWEALTH KEYSTONE BUILDING  
 HARRISBURG PA 17120 (US)

(717) 772-7777 REF: DEP1:



TRK# 6653 8683 2336  
 0430

10:30A  
 INTL PRIORITY  
 ASR  
 17120  
 PA-US MDT

**XH MDTA**



ORIGIN ID:YTOA (905) 366-7000  
 MELANIE WHITNELL  
 SUMMITT ENERGY  
 100 MILVERTON DRIVE, SUITE 608  
 MISSISSAUGA, ON L5R4H1  
 CANADA, CA

Ship Date: 06JUL18  
 ActWgt: 3.00 LB MAN  
 CAD: 0999625/CAFE3012

EIN/VAT:

TO SECRETARY (717) 772-7777  
 PA PUBLIC UTILITY COMMISSION  
 400 NORTH STREET  
 COMMONWEALTH KEYSTONE BUILDING  
 HARRISBURG, PA 17120 (US)

FedEx Express



**XH MDTA**  
 ASR  
 PKG TYPE: BOX

TRK# 6653 8683 2336 Form 0430

**INTL PRIORITY**

REF:  
 DESC1:Correspondence (no commercial value)  
 DESC2:  
 DESC3:  
 DESC4:

COUNTRY MFG: CA  
 CARRIAGE VALUE: 0.00 CAD  
 CUSTOMS VALUE: 0.00 CAD

SIGN: MELANIE WHITNELL  
 T/C: S \*\*\*\*\*  
 D/T: 0

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