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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

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Version Revised 03/08/18

# PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

# BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of Erie Energy Partners LLC, d/b/a Erie Energy Partners LLC, for approval to offer, render, furnish, or supply electricity or electric generation services as a(n) Electricity Broker to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

A-2018-3003738

#### 1. <u>IDENTIFICATION AND CONTACT INFORMATION</u>

a. **IDENTITY OF THE APPLICANT**: Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

Erie Energy Partners LLC, 3505 Chapin Street, Erie, PA 16508, <u>www.erieenergypartners.com</u>, 814-602-1666

b. PENNSYLVANIA ADDRESS / REGISTERED AGENT: If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

Thomas K. Fitzpatrick, 542 Country Club Ln, Havertown, PA 19083; 814-602-1666; No fax number

c. REGULATORY CONTACT: Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Timothy J. Mikotowicz, Co-founder and President, 700 Linden Ave. Apt. #306 York, PA 17404; 717-880-8746, tim.mikotowicz@erieenergypartners.com

d. ATTORNEY: Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Erie Energy Partners LLC is not currently using an attorney.

e. CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: (Required of ALL Applicants) Provide the name, title, address, telephone number, FAX number, and e-mail OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED) responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

Primary Contact: Timothy J. Mikotowicz, Co-founder and President, 700 Linden Ave. York, PA 17404, 717-880-8746, tim.mikotowicz@erieenergypartners.com

Alternate Contact: Thomas K. Fitzpatrick, Co-founder and: Vice President, 542 Country Club Ln, Havertown, PA 19083, Phone: 814-602-1666, tom.fitzpatrick@erieenergypartners.com

#### 2. BUSINESS ENTITY FILINGS AND REGISTRATION

a.	FICTITIOUS NAME: (Select appropriate statement and provide supporting documentation as listed.)
	The Applicant will be using a fictitious name or doing business as ("d/b/a")
	Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.
	Or  The Applicant will not be using a fictitious name.
b.	BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS: (Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)
	The Applicant is a sole proprietor.
	<ul> <li>If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa.</li> <li>C.S. §4124 relating to Department of State filing requirements.</li> </ul>
	Or  The Applicant is a:
	domestic general partnership (*) domestic limited partnership (15 Pa. C.S. §8511) foreign general or limited partnership (15 Pa. C.S. §4124) domestic limited liability partnership (15 Pa. C.S. §8201) foreign limited liability general partnership (15 Pa. C.S. §8211) foreign limited liability limited partnership (15 Pa. C.S. §8211)
	<ul> <li>Provide proof of compliance with appropriate Department of State filing requirements as indicated above.</li> </ul>
	<ul> <li>Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.</li> </ul>
	<ul> <li>Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.</li> </ul>

or



\* If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

Ц	The Applicant is a:			
		domestic corporation (15 Pa. C.S. §1308) foreign corporation (15 Pa. C.S. §4124) domestic limited liability company (15 Pa. C.S. §8913) foreign limited liability company (15 Pa. C.S. §8981) Other (Describe):		

Please find proof of compliance with appropriate Department of State filings on Attachment 1 labeled Business Entity Filings and Registration – Business Entity and Department of State Filings (2b).

#### Officers:

Timothy J. Mikotowicz, Co-founder and President, 700 Linden Ave. York, PA 17404, 717-880-8746, <a href="mailto:tim.mikotowicz@erieenergypartners.com">tim.mikotowicz@erieenergypartners.com</a>

Alternate Contact: Thomas K. Fitzpatrick, Co-founder and Vice President, 542 Country Club Ln, Havertown, PA 19083, Phone: 814-602-1666, tom.fitzpatrick@erieenergypartners.com

#### 3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

a. AFFILIATES: Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

Not Applicable - Erie Energy Partners LLC does not have any affiliates

b. PREDECESSORS: Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

Not Applicable - Erie Energy Partners LLC does not have any predecessors



#### 4. OPERATIONS

a. APPLICANT'S PRESENT OPERATIONS: (select and complete the appropriate statement)

#### **Definitions**

b.

- Supplier an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC.
- Aggregator an entity that purchases electric energy and <u>takes title to electric energy</u> as an intermediary for sale to retail customers.
- Broker/Marketer an entity that acts as an intermediary in the sale and purchase of electric energy <u>but</u> does not take title to electric energy.

	The Applicant is presently doing business in Pennsylvania as a					
	municipal electric corporation electric cooperative local gas distribution company provider of electric generation, transmission or distribution services broker/marketer engaged in the business of supplying electricity services Other; Identify the nature of service being rendered.					
×	Or  The Applicant is not presently doing business in Pennsylvania.					
	The Applicant is not presently doing business in Pennsylvania.					
AP	PLICANT'S PROPOSED OPERATIONS: The Applicant proposes to operate as a (may check multiple):					
	Generator of electricity Supplier of electricity Aggregator engaged in the business of supplying electricity Broker/Marketer engaged in the business of supplying electricity services Check here to verify that your organization will not be taking title to the electricity nor will you be making payments for customers.					
	Electric Cooperative and supplier of electric power Other (Describe):					

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU Erie Energy Partners LLC will be providing services as an electricity broker, not supplier. As an electricity broker. Erie Energy Partners LLC will facilitate and coordinate bids through various suppliers on behalf of our commercial customers. Erie Energy Partners LLC will conduct sealed bids, aggregation services, reverse auctions, index, block and index, and fixed contracts. d. PROPOSED SERVICE AREA: Check the box of each Electric Distribution Company for which the Applicant proposes to provide service. ☐ Citizens' Electric PPL ■ Duquesne Light Met-Ed **UGI** Utilities ☐ PEĆO Wellsboro West Penn Penelec Penn Power Entire Commonwealth of PA e. CUSTOMERS: Applicant proposes to provide services to: **Residential Customers** Small Commercial Customers - (25 kW and Under) Residential and Small Commercial as Mixed Meter ONLY (CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE) Large Commercial Customers - (Over 25 kW) Industrial Customers Governmental Customers All of above (Except Mixed Meter) Other (Describe): f. START DATE: Provide the approximate date the Applicant proposes to actively market within the Commonwealth. Erie Energy Partners LLC would like to actively market within the Commonwealth upon approval of this application.

c. PROPOSED SERVICES: Describe in detail the electric services or the electric generation services which the

Applicant proposes to offer.



#### 5. COMPLIANCE

a. CRIMINAL/CIVIL PROCEEDINGS: State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

No

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

Erie Energy Partners LLC has no proceedings to list.

**b. SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

Not Applicable - See section 5 part A.

c. CUSTOMER/REGULATORY/PROSECUTORY ACTIONS: Identify all formal or escalated actions or complaints, in the Commonwealth of Pennsylvania or any state, filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. Applicant should also Include If it had a Pennsylvania PUC EGS or NGS license previously cancelled by the Commission. If the Applicant has no actions or complaints to list, explicitly state such.

Erie Energy Partners LLC has no actions or complaints to list.

d. SUMMARY: If applicable; provide a statement as to the resolution or present status of any actions listed above.

Not Applicable – See section 5 part C.

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#### 6. PROOF OF SERVICE

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator. (Example Certificate of Service is attached at Appendix C)

Certificate of Service is attached to this application labeled Appendix C Certificate of Service.

a. STATUTORY AGENCIES: Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Bureau of Investigation & Enforcement Pennsylvania Public Utility Commission Commonwealth Keystone Building 400 North Street, 2 West Harrisburg, PA 17120

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120

Office of the Small Business Advocate Commerce Building, Suite 202 300 North Second Street Harrisburg, PA 17101 Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946

b. EDCs: Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Electric Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each EDC is as follows.

Pike County Light & Power Company:

Vice President – Energy Supply Corning Natural Gas Holding Corporation 330 West William Street Corning, NY 14830

West Penn:

Legal Department West Penn Power d/b/a Allegheny Power 800 Cabin Hill Drive Greensburg, PA 15601-1689

**Duquesne Light:** 

Regulatory Affairs Duquesne Light Company 411 Seventh Street, MD 16-4 Pittsburgh, PA 15219 PECO:

Manager Energy Acquisition PECO Energy Company 2301 Market Street Philadelphia, PA 19101-8699

PPL:

Office of General Counsel Attn: Kimberly A. Klock PPL Two North Ninth Street (GENTW3) Allentown, PA 18101-1179



Met-Ed, Penelec, and Penn Power:

Legal Department First Energy 2800 Pottsville Pike Reading PA, 19612

Citizens' Electric Company:

Citizens' Electric Company Attn: EGS Coordination 1775 Industrial Boulevard Lewisburg, PA 17837 UGI:

UGI Utilities, Inc. Attn: Rates Dept. – Choice Coordinator 2525 N. 12th Street, Suite 360 Post Office Box 12677

Reading, Pa 19612-2677

**Wellsboro Electric Company:** 

Wellsboro Electric Company Attn: EGS Coordination 33 Austin Street P. O. Box 138

Wellsboro, PA 16901

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#### 7. FINANCIAL FITNESS

a.	BONDING: In accordance with 66 Pa. C.S. Section 2809(c)(1)(i), the Applicant is required to file a bond or
	other instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant
	is

Furnishing the ORIGINAL of an initial bond, letter of credit or proof of bonding to the Commission in the
amount of \$250,000.

Furnishing the **ORIGINAL** of another initial security for Commission approval, to ensure financial responsibility, such as a parental guarantee, in the amount of \$250,000.

For Marketers and Brokers - Filing for a modification to the \$250,000 requirement and furnishing the ORIGINAL of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.

Please find original Surety Bond in Attachment 2 labeled Financial Fitness - Bonding (2a)

#### **CRITICAL BONDING NOTES:**

Applicant is required to maintain a bond or other financial instrument the entire time it maintains an EGS license with the Commonwealth of Pennsylvania. If Applicant's security instrument is not continuous, Applicant <u>MUST</u> submit a Rider, Amendment, or Continuation Certificate annually based on the expiration date of its security instrument.

At least sixty days (60) prior to the security instrument's expiration date, Applicant should contact Stephen Jakab at <a href="mailto:sjakab@pa.gov">sjakab@pa.gov</a> to determine the appropriate bonding amount based on a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. Once the amount has been determined, Applicant should overnight the updated security instrument(s) at least thirty (30) days prior to the expiration date to ensure adequate time for staff review and approval of the security instrument(s).

Template versions of a continuous bond, fixed-term bond, continuous letter of credit, and parental guarantee are attached at Appendix E, F, G, & H, respectively. Applicant's security must follow language from these examples, and must include the unmodified language outlined in Appendix D. Any deviation from these examples must be identified in the application and may not be acceptable to the Commission.

- b. FINANCIAL RECORDS, STATEMENTS, AND RATINGS: Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:
  - Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

Please find Erie Energy Partners LLC financial fitness information in Attachment 3 labeled Financial Fitness – Financial Records, Statements, and Ratings (7b).



c. SUPPLIER FUNDING METHOD: If Applicant is operating as anything other than <u>Broker/Marketer only</u>, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

Not Applicable - Erie Energy Partners LLC is operating as an electricity broker.

d. BROKER PAYMENT STRUCTURE: If applicant is a broker/marketer, explain how your organization will be collecting your fees.

As an electricity broker, Erie Energy Partners LLC will collect our fees through establishing agency agreements with each of our suppliers. Industry standard will likely yield monthly payments from the supplier based upon agreed rate and actual usage of the commercial customer.

e. ACCOUNTING RECORDS CUSTODIAN: Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

Thomas K Fitzpatrick, Vice President, 542 Country Club Lane, Havertown, PA 19083, 814-602-1666, No FAX Number: tom.fitzpatrick@erieenergypartners.com

f. TAXATION: Complete the TAX CERTIFICATION STATEMENT attached as Appendix I to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

Please find Tax Certification Statement and explanation for choosing N/A for item 7A in separate envelope marked "Confidential"

#### 8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

service proposed to be provided.

a. EXPERIENCE, PLAN, STRUCTURE: such information may include:

Please find Erie Energy Partners LLC experience, plan, and structure information in Attachment 4 labeled Technical Fitness – Experience, Plan, Structure (8a).

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b. PROPOSED MARKETING METHOD (check all that apply)			
		Internal – Applicant will use its own internal resources/employees for marketing External EGS – Applicant will contract with a PUC LICENSED EGS broker/marketer Affiliate – Applicant will use a NON-EGS affiliate marketing company and or individuals. External Third-Party – Applicant will contract with a NON-EGS third party marketing company and or individuals Other (Describe):	
C.	DOOR	TO DOOR SALES: Will the Applicant be implementing door to door sales activities?  Yes	
	$\boxtimes$	No	
		If yes, will the Applicant be using verification procedures?	
		Yes No	
		If yes, describe the Applicant's verification procedures.	

d. OVERSIGHT OF MARKETING: Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

Erie Energy Partners LLC will make each customer sign a Letter of Authorization that we will submit to suppliers when issuing a bid. This not only shows consent that we are shopping electricity rates on the customer's behalf, but also provides the supplier with proper authorization to request historical usage data from the utility for quoting purposes.

Erie Energy Partners LLC will initially review all rates and terms with our customers and again once a term is selected by the customer. Erie Energy Partners LLC will do this with each customer to certify the supplier is following the standards and pricing practices for retail electricity services spelled out in PA Code § 54.3 for large commercial/ industrial customers. For small business, we will certify that the marketing guidelines spelled out in PA Code § 54.7 are met.

Erie Energy Partners LLC will handle/review all contractual paperwork to ensure an accurate enrollment with the utility. After the customer signs with a supplier for the contracted rate, Erie Energy Partners LLC will notify the customer 2-3 months in advance of contract expiration by phone or email to address renewal rate options.

Erie Energy Partners LLC will have a vetting process when it comes to partnering with suppliers. Our goal is to only work with suppliers that are credible, trustworthy, and competitive.

e. OFFICERS: Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.

Timothy J. Mikotowicz - President - See resume In Attachment 5 labeled Resume - Officers (8e)

Thomas K. Fitzpatrick -- Vice President -- See resume in Attachment 5 labeled Resume -- Officers (8e)

f.	F	ERC	FIL	ING:	Apr	olicant	has
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	Filed an Application with the Federal Energy Regulatory Commission to	be a Power Marketer.
	Received approval from FERC to be a Power Marketer at Docket or Ca	se Number
<b>\</b>	Not applicable	RECEIVE

#### 9. **DISCLOSURE STATEMENTS:**



**Disclosure Statements:** If proposing to serve Residential and/or Small Commercial (under 25 kW) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix J to this Application.

Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be
used. All consumer contracts or sales agreements should be written in plain language with any exclusions,
exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated.
 Penalties and procedures for ending contracts should be clearly communicated.

Not applicable for an applicant applying for a license exclusively as a broker/marketer.

#### 10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. PJM LOAD SERVING ENTITY REQUIREMENT: As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:
  - proof of registration as a PJM Load Serving Entity (LSE), or
  - proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

□ AGREED - Applicant has included compliance with this requirement in the instant application, labeled in correspondence with this section (10).
 □ AGREED - Applicant will provide compliance with this requirement within 120 days of receiving its license
 □ ACKNOWLEDGED - Applicant is not proposing to provide retail electric supply service at this

b. STANDARDS OF CONDUCT AND DISCLOSURE: As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43, as well as any future amendments.

time, and therefore is not presently obligated to provide such information

(Select only one of the following)

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- **c. REPORTING REQUIREMENTS**: Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:
  - Retail Electricity Choice Activity Reports: The regulations at 52 Pa. Code §§ 54.201--54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's internet web site.
  - Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
  - The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
  - Net Metering Reports: Applicant shall be responsible to report any Net Metering per the Standards
    on <a href="http://www.puc.pa.gov/consumer\_info/electricity/alternative\_energy.aspx">http://www.puc.pa.gov/consumer\_info/electricity/alternative\_energy.aspx</a>. Scroll down to the
    Net Metering Standards Section.
  - Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis per 52 Pa. Code § 54.39(d).
  - Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.
- d. TRANSFER OF LICENSE: The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.
  - ☑ AGREED
- e. ANNUAL FEES: The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling electricity in the Commonwealth of PA, and an annual supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.
  - ☑ ACKNOWLEDGED
- f. FURTHER DEVELOPMENTS: Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.
  - △ AGREED
- g. FALSIFICATION: The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

- h. NOTIFICATION OF CHANGE: If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within twenty (20) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 54.34.
  - △ AGREED
- i. CEASING OF OPERATIONS: Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.
- j. Electronic Data Interchange: The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at Appendix M.
- k. FILING FEE: The Applicant has enclosed or paid the required, non-refundable filing fee by CERTIFIED CHECK OR MONEY ORDER in the amount of \$350.00 payable to the Commonwealth of Pennsylvania.

  The Commission does not accept corporate or personal checks for filing fees.
  - PAYMENT ENCLOSED

#### 11. AFFIDAVITS

#### Must be notarized before filing.

a. APPLICATION AFFIDAVIT: Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.

The signed and notarized original Application Affidavit can be found attached to this application labeled Appendix A Application Affidavit

b. OPERATIONS AFFIDAVIT: Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.

The signed and notarized original Operations Affidavit can be found attached to this application labeled Appendix B Operations Affidavit.



#### 12. NEWSPAPER PUBLICATIONS

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve.

The chart below dictates which newspapers are necessary for each EDC. For example, an applicant that wants to operate in Penn Power would need to run ads in both The Erie Times-News and the Pittsburgh Post-Gazette. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

The only acceptable verification of this requirement is with Notarized Proofs of Publication, which may be requested from each newspaper and must be supplied with this application. Applicants do not need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	Erie Times- News	Harrisburg Patriot- News	Philadelphia Daily News	Pittsburgh Post- Gazette	Scranton Times- Tribune	Williamsport Sun-Gazette	Johnstown Tribune- Democrat
Citizens' Electric						X	
Duquesne				Х			
Met Ed		Х	х		Х		
PECO			х				
Penelec	Х	х			Х	Х	Х
Penn Power	X			Х			
Pike					X		
PPL		х	х		X	X	
UGI					Х		
Wellsboro						х	
West Penn		Х		х		Х	X
Entire							
Commonwealth	X	X	X	X	X	X	<u> </u>

(Example Publications are provided at Appendices K and L)

Please find Erie Energy Partners LLC notarized newspaper publications in Attachment 6 labeled Newspaper Publications (12)

13. SIGNATURE

Title: <u>President</u>

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#### 14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections are complete.

#### Applicant: Erie Energy Partners LLC

		Signature		
	√ V	Filing Fee (CERTIFIED CHECK OR MONEY ORDER ONLY)		
j	V	Application Affidavit		Se
Use	V	Operations Affidavit		PUC Secretary's Bureau Use
Applicant's Use	V	Proof of Publication		stary's E
App	V	Bond, Letter of Credit, or Parental/Affiliate Guarantee		C Secre
	V	Tax Certification Statement  Commonwealth Department of State  Verification		₹
	<b>V</b>			
	V	Certificate of Service		

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#### Appendix A

# RECEIVED

## **APPLICATION AFFIDAVIT**

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SECRETARY'S BUREAU

[Commonwealth/State] of Pennsylvania	PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU
	: ss.
County of Erie	:
Timothy J. Mikotowic, Affiant, being duly [sworn/affi	rmed] according to law, deposes and says that:
Timothy J. Mikotowic, Affiant, being duly [sworn/affi [He/she is the President (Office of Affiant) o	Erie Energy Partners LLC f(Name of Applicant);]
[That he/she is authorized to and does make this affidavit for	said Applicant;]
Evic Energy Partners LLC That the Applicant herein has the burden o demonstrating its technical and financial fitness to be licensed as a	
That the Applicant herein has the burden o	f producing information and supporting documentation
2809 (B). Eric Energy Partners LLC	in electric generation supplier pursuant to oo ra. C.S. 9
That the Applicant herein has answered the	ne questions on the application correctly, truthfully, and
completely and provided supporting documentation as required.	
That the Applicant herein Energy Partners LC acknowledges the	
answer to questions on this application and contained in supporting	g documents.  at it is under a duty to supplement information provided
That the Applicant herein acknowledges that in answer to questions on this application and contained in support	at it is under a duty to supplement information provided ing documents as requested by the Commission.
That the facts above set forth are true and correct to the best of his	her knowledge, information, and belief, and that he/she
expects said Applicant to be able to prove the same at hearing.	
1	1/1/10
<u> </u>	The contraction of the contracti
Signature o	f Affiant
Sworn and subscribed before me this 29th day of 100	<u>M</u> , 20 <u>18</u> .
	0
Λ .	
Laurie L.	Mesmey
Signature of official	<u> </u>
0 <del>1</del> 20 202	
My commission expires Water 2 L 3021.	RECEIVER
U COMMONWEALTH OF PENNSYLVANIA	N CLIVED

My Commission Expires Aug. 27, 2021
MEMBER, PENNSYLVANIAASSOCIATION OF NOTARIES

NOTARIAL SEAL Laurie L. Messmer. Notary Public City of Erie, Erie County

#### Appendix B

# **RECEIVED**

#### **OPERATIONS AFFIDAVIT**

JUN 22 2018

[Commonwealth/State] of Pennsylvania :	PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU
:	SS.
County of Erie :	
Timothy J. Mikotowicz, Affiant, being duly [sworn/affirmed deposes and says that:	d] according to law,
[He/she is the President (Office of Affiant) of & (Name of Applicant);]	ie Energy Partners LLC
[That he/she is authorized to and does make this affidavit for said	d Applicant;]
That <u>Eric Energy fortners LLC</u> , the Applicant herein, acknowled obligations pursuant to this Application consistent with the Public Utility Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or w regulations including Emergency Orders which may be issued verbally or situations that may unexpectedly develop from time to time in the course of do That <u>Eric Energy fortners</u> , the Applicant herein, asserts that [he/technical, managerial, and financial fitness to render electric service	ith other applicable statutes or in writing during any emergency bing business in Pennsylvania.
technical, managerial, and financial fitness to render electric service Pennsylvania and that the Applicant will abide by all applicable federal and s the decisions of the Pennsylvania Public Utility Commission.	within the Commonwealth of state laws and regulations and by
That, the Applicant herein, certifies to the Commission and in the past has paid, the full amount of taxes imposed by Articles II and (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed Applicant acknowledges that failure to pay such taxes or otherwise comply Chapter 28, shall be cause for the Commission to revoke the license acknowledges that it shall report to the Commission its jurisdictional Gross ultimate consumption, for the previous year or as otherwise required by the acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection	by Chapter 28 of Title 66. The with the taxation requirements of of the Applicant. The Applicant is Receipts and power sales for Commission. The Applicant also

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

#### **Appendix B (Continued)**

That Erie Energy for thers LLC, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.
That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.
That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.  Signature of Affiant
Sworn and subscribed before me this 29th day of May, 20 18.
Signature of official administering oath
My commission expires  COMMONWEALTH OF PENNSYLVANIA  NOTARIAL SEAL Laurie L. Messmer. Notary Public City of Erie. Erie County My Commission Expires Aug. 27, 2021 MEMBER PENNSYLVANIAASSOCIATION OF NOTABLES  PA PUBLIC UTILITY OMMISSION SECRETARY'S BUREAU

#### Attachment 1

# Business Entity Filings and Registration – Business Entity and Department of State Filings (2b)

Attached are the Pennsylvania Department of State filings for Erie Energy Partners LLC. The attached business entity filings and department of state filings satisfies section 2b (Business Entity and Department of State Filings) – Business Entity Filings and Registration of the Pennsylvania Public Utility Commission Electric Generation Supplier License Application.

RECEIVED

JUN 22 2018

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

JUN 2018

PA PUBLIC UTILITY CONMISSION
SECRETARY'S BUREAU

Entity#: 6674988 Date Filed: 02/22/2018 Robert Torres

Acting Secretary of the Commonwealth

#### PENNSYLVANIA DEPARTMENT OF STATE BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS

Return document by mail to:				of Organizati d Liability Co		
					<del></del> -	
Address					180227JM1091	
City	State	Zip Code				
Return doc	ument by email to: tfitz1221@	gmail.com				
Read all	instructions prior to comple	eting. This form may t	e submitted online at	https://	www.corpora	MODS.DA.BOAY
Fee: \$12	5 🔲 I qualify for a	a veteran/reservist-own	ned small business fee	exemp	otion (see inst	ructions)
	compliance with the requi				e of organizat	ion), the
	name of the limited liability mator is required, e.g., "com			or алу (	abbreviation th	nereof)
2. Comp	olete part (a) or (b) — not b	oth:				
	(a) The address of this limited liability company's registered office in this Commonwealth is: (post office box alone is not acceptable)					
	Chapin Street	Erie	·	PA	16508	PA
Numb	er and Street	City		State	Zip	County
(b) is:	The name of this limited lia	ability company's com	mercial registered off	ice pro	vider and cou	nty of venue
<u>c/o:</u>						
Name	of Commercial Registered Office	e Provider	•		County	
3. The r	name of each organizer is (	all organizers must sig	gn on page 2):			
Tim M	Tim Mikotowicz					
Tom Fitzpatrick						
			·			
				_		
		```````````````````		_1_+		
	tive date of Certificate of ( ne Certificate of Organizati	-			-	owing):
[ ] T1	tive date of Certificate of ( ne Certificate of Organizati ne Certificate of Organizati	on shall be effective u	pon filing in the Depa		-	owing):

PA DEPT. OF STATE

JUN 92 2018

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

#### DSCB:15-8821-2

5.	Restricted professional companies only.  Check the box if the limited liability company is organized to render a restricted professional service and check the type of restricted professional service(s).
	The company is a restricted professional company organized to render the following restricted professional service(s):
	Chiropractic Dentistry Law Medicine and surgery Optometry Osteopathic medicine and surgery Podiatric medicine Public accounting Psychology Veterinary medicine
б.	Benefit companies only.  Check the box immediately below if the limited liability company is organized as a benefit company:
	This limited liability company shall have the purpose of creating general public benefit.
	Optional specific public benefit purpose. Check the box immediately below if the benefit company is organized to have one or more specific public benefits and supply the specific public benefit(s). See instructions for examples of specific public benefit.
	☐ This limited liability company shall have the purpose of creating the enumerated specific public benefit(s):
7.	For additional provisions of the certificate, if any, attach 8½ x 11 sheet(s).
IN	TESTIMONY WHEREOF, the organizer(s) has (have) executed this Certificate of Organization this
_	21 day of February 20 18
	Signature  Signature
	Signature

# COMMONWEALTH OF PENNSYLVANIA DEPARTMENT OF STATE BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS 401 NORTH STREET, ROOM 206 P.O.BOX 8722 HARRISBURG,PA 17105-8722 WWW.CORPORATIONS.PA.GOV

THOMAS K FITZPATRICK 542 COUNTRY CLUB LN HAVERTOWN PA 19083

.: 2

Erie Energy Partners LLC

THE BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS IS HAPPY TO SEND YOUR FILED DOCUMENT. THE BUREAU IS HERE TO SERVE YOU AND WE WOULD LIKE TO THANK YOU FOR DOING BUSINESS IN PENNSYLVANIA.

IF YOU HAVE ANY QUESTIONS PERTAINING TO THE BUREAU PLEASE VISIT OUR WEBSITE AT <a href="www.dos.pa.gov/BusinessCharities">www.dos.pa.gov/BusinessCharities</a> OR YOU MAY CONTACT US BY TELEPHONE AT (717)787-1057. INFORMATION REGARDING BUSINESS AND UCC FILINGS CAN BE FOUND ON OUR SEARCHABLE DATABASE AT <a href="www.corporations.pa.gov/Search/CorpSearch">www.corporations.pa.gov/Search/CorpSearch</a>.

**ENTITY NUMBER: 6674988** 

#### PENNSYLVANIA DEPARTMENT OF STATE BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS

Docketing Statement – New Entity DSCB:15-134A (rev. 2/2017)



#### 1. Entity Name:

Erie Energy Partners LLC

In the case of a foreign association which must use an alternate name to register to do business in Pennsylvania, the alternate name should be given.

#### 2. Tax Responsible Party

Name of individual responsible for initial tax reports: Thomas Kelly Fitzpatrick

Mailing address of individual responsible for initial tax reports:

542 Country Club Lane	Havertown	PA	19083	Delaware
Number and street	City	State	Zip	County

#### 3. Description of Business Activity:

Commercial Energy Broker

#### 4. FEIN [Employer Identification Number/Federal Tax Identification Number]:

FEIN enables agencies to confirm that Commonwealth accounts are properly matched and that this request is processed without added delay. If the business entity does not currently have an FEIN, it can get a FEIN immediately by applying online at irs.gov at the following page <a href="http://www.irs.gov/Businesses/Small-Businesses-&-Self-Employed/Employer-ID-Numbers-EINs">http://www.irs.gov/Businesses/Small-Businesses-&-Self-Employed/Employer-ID-Numbers-EINs</a>.

5. FYE [Tax Year or Fiscal Year End]:  $\frac{1}{\text{Month}} \frac{2}{/\text{Day}}$ 

A fiscal year (FY) is a period that a company or government uses for accounting purposes and preparing financial statements. For tax purposes, the Internal Revenue Service (IRS) allows companies to be either calendar-year taxpayers or fiscal-year taxpayers. Supply month and day for intended tax year end, e.g. 1/31, 2/28, 3/31, 4/30, 5/31, 6/30, 7/31, 8/31, 9/30, 10/31, 11/30 or 12/31.

Depending on market outlook, an agreed upon index/market pricing deal may make more sense for the customer, however, it will be their decision as we educate them to make good risk management decisions.

Our main method of obtaining client pricing will be through the sealed-bid process. Emphasizing transparency, our customers will have a firsthand look into our process and pricing options.

Our fee for service will be incorporated into the pricing we provide to the clients.

# TIMOTHY J. MIKOTOWICZ JR.

Address: 700 Linden Ave. Apt. 306, York, PA 17404 Mobile: 717-880-8746 Email: tmikotowicz@gmail.com

#### **OBJECTIVE**

To obtain a management/director position that will enable me to further utilize my skillset and experience to help drive growth.

#### **EDUCATION**

The Pennsylvania State University, College of Earth and Mineral Science/ Smeal College of Business

Bachelor of Science in Energy Business and Finance

Minor: Economics

Dean's List

**Graduation: May 2012** 

#### **WORK EXPERIENCE**

#### Lift Inc. - Product Support Manager

January 2018 - Present

• Responsible for aftermarket parts and service sales team within material handling industry

#### Shipley Energy – Manager of Commercial Sales

Aug. 2015 – January 2018

• Led the Commercial Customer Care and Commercial sales teams that were responsible for retaining and developing new business for the wet hosing, propane, natural gas, electricity, and wholesale fuels product lines

#### Shipley Energy - Manager of Commercial Customer Care

**June 2014 – July 2015** 

- Created and led a team of Energy Specialists whose primary practice is retention for our existing book of commercial business across the propane, natural gas, electricity, heating oil, and mobile fueling product lines.
- Managed indirect business channel involving brokers, sub-contractors, and vendors

#### Shipley Energy - Account Executive

Sept. 2012 – June 2014

- Responsible for the retention of commercial and industrial natural gas and electricity customers
- Actively monitored and analyzed the markets daily for my clients to take advantage of weakness opportunities

#### Shipley Energy - Energy Analyst

May 2012 – June 2013

- Nominated natural gas on TCO, TETCO, and TGPL pipelines and city gates for customers in Columbia, PECO, and UGI territories
- Assisted Manager of Natural Gas Operations in purchasing and managing forward physical and futures
  positions and assisted Manager of Electricity in quoting, contracting, sales, and lighting audits

#### Shipley Energy - Wholesale Intern

May 2011 - August 2011

• Gained valuable exposure to the wholesale fuels market learning and assisting in sales, purchasing, hedging, quoting, and ordering processes

#### RELEVANT LEADERSHIP EXPERIENCE

#### Shipley Energy Leadership Team

**Spring 2013 – Winter 2018** 

• Member of a small group that meets monthly to discuss new initiatives that drive growth

#### Junior Achievement:

• Volunteer to help lead youth groups and teach basic financial, business, and budgeting lessons

#### **Honors / CERTIFICATIONS**

#### Chick Evans Scholar

Fall 2008 – May 2012

• Recipient of full-tuition academic based scholarship

Currently undergoing Certified Energy Manager certification process

#### THOMAS KELLY FITZPATRICK

Current Address: 542 Country Club Lane, Havertown, PA 19083

Mobile: 814-602-1666 Email: tom.fitzpatrick@erieenergypartners.com

#### **SUMMARY OF QUALIFICATIONS**

- Diverse financial, operational, and sales expertise within the energy and healthcare industries
- Produced results in fast paced environments by adapting and implementing new strategies
- · Keen understanding of energy markets and how to relate that information to the common worker
- Strong interpersonal skills through dealing with diverse clientele

#### **EDUCATION**

The Pennsylvania State University, Smeal College of Business/ College of Earth and Mineral Science Bachelor of Science in Energy Business and Finance

Graduated: May, 2012

Dean's List Fall 2009, Spring 2010, Fall 2011

#### RELAVENT WORK EXPERIENCE

Shipley Energy - Energy Specialist; York, PA

October 2014- March 2016

- Managed natural gas commercial customer renewals from a pre-existing book of business
- Maintained 97% retention rate of natural gas commercial customers
- Developed 28 new commercial natural gas and 23 new commercial electricity accounts
- Responsible for establishing first commercial natural gas and electricity contracts in the service territory of BGE
- Exceeded new sales goal for both commercial natural gas and electricity by the end of fiscal year 2015
- Active in assembling and completing propane and fleet fueling sealed bids for school districts and other state government agencies
- Provided customer support across all commercial product lines: natural gas, electricity, propane, heating oil, and fleet fueling

Shipley Energy – 1099 Independent Contractor

April 2016 – April 2018

- Produced new commercial sales for natural and electricity on behalf of Shipley Energy
- Asked to secure renewal agreements for commercial customers due to preexisting relationships

#### WORK EXPERIENCE

Main Line Health - Project Manager HC & HO; Radnor, PA

March 2016 - Present

- Manage clinical application projects of all levels for Home Care, Hospice and Private Duty
- Monitor all related project activity, identify issues, analyze root cause and recommend solutions to ensure project accuracy and completeness
- Prepare and present project status reports, issues lists and other project documentation to workgroup and steering committees at regular intervals
- Develop and run Tableau reports used for month and fiscal year end reporting
- Implemented and trained physicians on a new electronic medical record system allowing physicians to sign off orders electronically
- Assisted Accounts Receivables and Billing department in resolving patient insurance changes

BlackTree Healthcare Consulting - Consultant; Conshohocken, PA

October 2012- October 2014

- Managed a \$25,000,000 full billing and collections outsource project
- Increased cash collections by 200% within the first 3 months of the project
- Implemented new healthcare software and trained users within the Main Line Health and Thomas Jefferson University Hospital Systems
- Maintained strong client relationships which led to additional business



JUN 22 2018

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

### **Attachment 5**

Resume – Officers (8e)



Attached is the resume of Timothy J. Mikotowicz (President) and Thomas K. Fitzpatrick (Vice President), officers of Erie Energy Partners LLC. The attached resumes satisfy section 8e (Officers) – Technical Fitness of the Pennsylvania Public Utility Commission Electric Generation Supplier License Application.

#### **Attachment 6**

# **Newspaper Publications (12)**

Attached are the copies of the seven notarized newspaper publications for Erie Energy Partners LLC. The original notarized newspaper publications will be issued once a docket number has been established for Erie Energy Partners LLC electricity application. The attached notarized newspaper publications satisfy section 12 – Newspaper Publications, of the Pennsylvania Public Utility Commission Electric Generation Supplier License Application.

RECEIVED

JUN 22 2018

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

# The Scranton Times (Under act P.L. 877 No 160. July 9,1976) Commonwealth of Pennsylvania, County of Lackawanna

ERIE ENERGY PARTNERS LLC ATTN: TOM FITZPATRICK 542 COUNTRY CLUB LANE HAVERTOWN PA 19083

Account # 628772 Order # 82204607 Ad Price: 310.30

#### LEGAL NOTICE PENNSYLVANIA

Ann Marie Fortese

Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

04/27/2018

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true

Sworn and subscribed to before me this 27th day of April A.D., 2018

(Notary Public)

Commonwealth of Pennsylvania - Notary Seal Sharon Venturi, Notary Public Lackawanna County

My commission expires February 12, 2022 Commission number 1254228

Member, Pennsylvania Association of Notaries

RECEIVED

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

LEGAL NOTICE

PENNSYLVANIA PUBLIC
UTILITY COMMISSION NOTICE
Applications Of Erie Energy Patners
LLC For Approval To Offer, Render,
or Furnish Services As A Broker Engaged in The Business Brokering
Natural Gas Supply Services And
Electricity Supply or Electric Generation Services To The Public in the
Commonwealth of Pannsylvania.

tion Services To The Public in the Commonwealth of Pennsylvania.

Erie Energy Partners LLC will be filling an application with the Pennsylvania Public Utility Commission ("PUC") for a ficense to provide natural gas supply services as a natural gas broker engaged in the business of providing natural gas services. Erie Energy Partners LLC will also be filling an application with the PUC for a license to supply electricity or electric generation services as an electricity broker engaged in the business of supplying electricity. Erie Energy Partners LLC proposes to broker electricity supply rates, natural gas supply rates, and related services in the service territories of: Columbia Gas, National Fuel Gas, PECO, Peoples Equitable, Peoples Natural Gas, Peoples TWP LLC, Philadelphia Gas Works, UGI, UGI Central Penn, UGI Penn Natural, Valley Energy, Citizens Electric, Duquesne Light Co., Met Ed, Penelec, Penn Power, Pike Coumy Light & Power Co., Ph., UGI, Wellshoro Electric Compeny, and West Penn Power under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this equilibrium without a haering Protests directed to the echnical or Binerold lines of Erie Energy Periners LC may be filed within with the Society of the PUC, PU, Box 3265, Harlisburg, PA 17105-3265. You should send copies of any protest to Erie mergy Partners LLC registered gard the address listed below.

Thomas K. Fitzpatrick

S42 Country Club Ln. Havertown, PA 19083

# PROOF OF PUBLICATION OF NOTICE IN THE WILLIAMSPORT SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

#### STATE OF PENNSYLVANIA

#### COUNTY OF LYCOMING

SS:

Bernard A. Oravec, Publisher of the Sun-Gazette LLC publishers of the Williamsport Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette LLC, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and declare that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.

PENNSYLVANIA PUBLIC UTILITY COMMISSION

NOTICE
Applications Of Erie Energy Partners LLC For Approval To Offer, Render, or Furnish Services As A Broker Engaged In Business Brokering Natural Gas Supply Services And Electricity Supply or Electric Generation Services To The Public In the Commonwealth of Pennsylvania.

Erie Energy Partners LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a natural gas broker engaged in the business of providing natural gas services. Energy Partners LLC will also be filling an applica-tion with the PUC for a license to supply electricity or electric generation services as an electricity broker engaged in the business supplying Erie Energy electricity. Partners LLC proposes to broker electricity supply rates, natural gas supply rates, and related services In the service territories of Columbia Gas. National Fuel Gas, PECO, Peo-

Natural

TWP LLC, Philadelphia Gas Works, UGI. UGI Central Penn, UGI Penn Natural, Valley Energy, Citizens' Electric, Duquesne Co., Penn Elght Penelec, Pen. Pike County Ligh Co., PPL, Met Ed. in Power, Light UGI, Wellsboro Electric Company, and West Penn Power under the provi-Penn sions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Erie Елегду Partners LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harris-burg, PA 17105-3265, You should send copies of any protest to Erie Energy Partners LLC registered agent at the address listed below.

> Thomas K. Fitzpatrick 542 Country Club Ln Havertown, PA 19083 814-602-1666

SUN-GAZETTE LLC

Sworn to and subscribed before me

DOMMONWEALTH OF PENNSYLVANIA

NOTARIAL SEAL BETH A MILLER Notary Public

CITY OF WILLIAMSPORT, LYCOMING COUNTY My Commission Expires Apr 18, 2020

STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette LLC, Dr.:

For publishing the notice attached

Hereto on the above state dates...

Probated same.....

Notary Public

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

ples Equitable, Peoples N-GAZETTE LLC hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the Gas, Peoples | Same nave been fully paid

SUN-GAZETTE LLC

BY Bernard A. Oravec

SECRETARY'S BUREAU



#### The Patriot News **LEGAL AFFIDAVIT**

AD#: 0008613709

Commonwealth of Pennsylvania,) ss

County of Cumberland)

Donna Maldonado being duly sworn, deposes that he/she is principal clerk of PA Media Group; that The Patriot News is a public newspaper published in the city of Mechanicsburg, with general circulation in Cumberland and Dauphin and surrounding counties, and this notice is an accurate and true copy of this notice as printed in said newspaper, was printed and published in the regular edition and issue of said newspaper on the following date(s):

The Patriot News 05/01/2018

aldonado Principal Clerk of the Publisher

Sworn to and subscribed before me this 2nd day of May 2018

PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE
Applications Of Erie Energy Partners
LLC For Approval To Offer, Render, or
Furnish Services As A Broker Engaged In The Business Brokering Natural Gas Supply Services And Electricity Supply or Electric Generation Services To The Public in the Commanwealth of Pennsylvania.

Erie Energy Portners LLC will be Filling an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a natural gas broker engaged in the business of providing natural gas services. Erie Energy Partners Li will also be filing an application with the PUC for a license to supply electricity or electric generation services as an electricity broker engaged in the business of supplying electricity. Erie Energy Partners LLC proposes to broker electricity supply rates, natural gas supply rates, and related services in the service territories of: Columbia Gas, National Fuel Gas, PECO, Peoples-Equitable, Peoples Natural Gas, Peoples TWP Peoples Natural Gas, Peoples TWP LLC, Philadelphia Gas Works, UGI, UGI Central Penn, UGI Penn Natural, Valley Energy, Citizens' Electric, Duquesne Light Co., Met Ed, Pennelec, Penn Power, Pike County Light & Power Co., PPL, UGI, Wellsboro Electric Company, and West Penn Power under the provisions of the new Natural Gas Choice and Competition Natural Gas Choice and Competition Act and the Electricity Generation
Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Profests directed to the technical or financial fitness of Erie Energy Partners LLC may be filed within 15 days of the date of this partners with the second control of of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Erie Energy Partners LLC registered agent at the address listed below.

Thomas K. Fitzpatrick 542 Country Club Ln Havertown, PA 19083 814-602-1666

COMMONWEALTH OF PENNSYLVANIA

NOTARIAL SEAL

Crystal B. Rosensteel, Notary Public Susquehanna Twp., Dauphin County My Commission Expires June 27, 2020

MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

PA PUBLIC UTILITY CONSUSSION SECRETARY'S BUREAU

# PROOF OF PUBLICATION THE ERIE TIMES-NEWS

#### COMBINATION EDITION

**Erie Energy Partners** 542 Country Club Lane Havertown PA 19083

REFERENCE:

95806 312620

**PUC Notice** 

STATE OF PENNSYLVANIA) COUNTY OF ERIE ) SS:

Brenda L. Learn, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October ... 2, 2000, a daily newspaper of general circulation. and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 04/27/18

**TOTAL COST: \$610.00** 

AD SPACE: 0 Lines

FILED ON: 04/27/18

JUN**X 2** 2018 PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

#### **PENNSYLVANIA PUBLIC UTILITY COMMISSION** NOTICE

Applications Of Erie Energy Partners LLC For Approval To Offer, Render, or Furnish Services As A Broker Engaged in The Business Brokering Natural Gas Supply Services And Electricity Supply or Electric Generation Services To The Public In the Commonwealth of Pennsylvania.

Erie Energy Partners LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a natural gas broker engaged in the business of providing natural gas services: Erie Energy Partners LLC'will also be filing an application with the PUC for a license to supply electricity or electric generation services as an electricity broker engaged in the business of supplying electricity. Erie Energy Partners LLC proposes to broker electricity supply rates, natural gas supply rates, and related services in the service territories of: Columbia Gas, National Fuel Gas, PECO, Peoples- Equitable, Peoples Natural Gas, Peoples TWP LLC, Philadelphia Gas Works, UGI, UGI Central Penn, UGI Penn Natural, Valley Energy, Citizens' Electric, Duquesne Light Co., Met Ed, Penelec, Penn Power, Pike County Light & Power Co., PPL, UGI; Wellsboro Electric Company, and West Penn Power under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Erie Energy Partners LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Erie Energy Partners LLC registered agent at the address listed below.

> Thomas K. Fitzpatrick 542 Country Club Ln Havertown, PA 19083 814-602-1666

Sworn to and subscribed before me this 27th day of And

2018

COMMONWEALTH OF PENNSYLVANIA

NOTARIAL SEAL Barbara J. Moore, Notary Public City of Erie, Erie County My Commission Expires March 23, 2020 MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

#### **Proof of Publication in The Philadelphia Daily News** Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA **COUNTY OF PHILADELPHIA** 

Helene Sweeney being duly sworn, deposes and says that The Philadelphia Daily News is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

May 3, 2018

Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

Sworn to and subscribed before me this 3rd day of May 2018.

My Commission Expires:

NOTARIAL SEAL IAKUBOWSKI, Notar City of Philadelphia, Phila. Co itssion Expires November

Copy of Notice of Publication

PENNSYLVANIA

PUBLIC UTILITY COMMISSION

NOTICE

Applications Of Erle Energy Pertners LLC For Approval To Offer, Render, or Furnish Services As A Broker Engaged in The Business Brokering Natural Gas Supply Services And Electricity Supply or Electric Generation Services To The Public in the Commonwealth of Pennsylvania. Erle Energy Partners LLC will be filling an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a natural gas broker engaged in the business of providing natural gas services. Erle Energy Partners LLC will also be filling an application with the PUC for a license to supply selectricity or electric generation services as an electricity broker engaged in the business of supplying electricity. Fire Energy Partners LLC proposes to broker electricity supply rates, natural gas supply rates, and related services in the service territories of: Columbia Gas, National Fuel Gas, PECO, Peoples—Equitable, Peoples Natural Gas, Peoples TWP LLC, Philadelphia Gas Works, UGI, UGI Central Penn, UGI Penn Natural, Valley Energy, Citizens Electric, Duquesne Light Co., Met Ed. Penelec, Pep Bewer Pill, Wellsboro Electric Company, and Wast Penn Power under the provisions of the new Natural Gas Cholice and Competition Act and the Electricity Generation Customer Choice and Competition Act.
The PUC may consider this application without a hearing, Protests directed to the technical or finencial fitness of Erle Energy Partners LLC

**ECRETARY'S BUREAU** 

# COMMONWEALTH OF PENNSYLVANIA

County of Cambria

PENNSYLVANIA ;	-
PUBLIC UTILITY COMMISSI	ON
NOTICE	

Applications Of Erle Energy Partners LLC For Approval To Offer, Render, or Furnish Services As A Broker Engaged In The Business Brokering Natural Gas Supply Services And Electricity Supply or Electric Generation Services To The Public In the Commonwealth of

Erie Energy Partners LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a natural gas broker engaged in the business of providing natural gas services. Erie Energy Partners LLC will also be fling an application with the PUC for a license to supply electricity or electric generation services as an electricity broker engaged in the business of supplying electricity. broker engaged in the business of supplying electricity.

Erle Energy Partners LLC proposes to broker electricity supply rates, natural gas supply rates, and related services in the service territories of: Columbia Gas, National Fuel Gas, PECO, Peoples- Equitable, Peoples Natural Gas, Peoples TWP LLC, Philadelphia Gas Works, UGI, UGI Central Penn, UGI Penn Natural, Valley Energy, Citizens' Electric, Duquesne Light Co., Met Ed, Penelec, Penn Power, Pike County Light & Power Co., PPL, UGI, Wellsboro Electric Company, and West Penn Power under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer n, PA, on April 26, 2018; and that the Afficiant is not interested.

Choice and Competition Act. in:

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Erie Energy Partners LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Erie Energy Partners LLC registered agent at the address listed below.

Thomas K. Fitzpatrick 542 Country Club Ln Havertown, PA 19083 814-602-1666

by Christine Marhefka making the statement.

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 $\mathbf{Sig}_{\mathbb{L}}$ 14t

On this 14th day of May A.D. 2018, before me, the subscriber, a Notary Public in and for said County and State, personally appeared Christine Marhefka, who being duly sworn according to law, deposes and says as Sales Manager / Major Accounts of the Tribune-Democrat, Johnstown, PA, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8, 1952, of the

above matter published in said publication in the regular issues g and that all of the allegations as to time, place and character

STATEMENT OF ADVERTISING

0.00 Lines @ \$2.50 per line	0.00
8 Inches @ \$25.00 per inch	200.00
Notary Fee	5.00
Clerical Fee	2.50
Total Cost	207.50

Commonwealth of Pennsylvania - Notary Seal Vivian Ohs, Notary Public Cambria County My commission expires December 6, 2020

Commission number 1123017

Member, Pennsylvania Association of Notaries

To The Tribune-Democrat, Johnstown, PA For publishing the notice or publication attached hereto on the above stated dates.

#### PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

tor publisher	
a newspaper of general circulation, hereby a	icknowledges receipt of the aforesaid
and publication costs and certifies tha	t the same has been duly paid.
•	, <u>, , , , , , , , , , , , , , , , , , </u>
<del></del>	(Name of Newspaper)
	(Tame of Newspaper)
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Pro Under Act No 587, A	of of Publication of Notice in Pitts approved May 16, 1929, PL 1784, as last amer	sburgh Post-Gazette nded by Act No 409 of September 29, 1951
Pittsburgh Post-Gazette, a news stablished in 1993 by the mer Gazette and Sun-Telegraph wa Pittsburgh Gazette established i	ging of the Pittsburgh Post-Gazette and Sun-Teleg as established in 1960 and the Pittsburgh Post-G in 1786 and the Pittsburgh Post, established in 184. County and that a copy of said printed notice or pregular edit	, being duly sworn, deposes and says that the of Pittsburgh, County and Commonwealth aforesaid, was graph and The Pittsburgh Press and the Pittsburgh Postizette was established in 1927 by the merging of the 2, since which date the said Pittsburgh Post-Gazette has publication is attached hereto exactly as the same was tions and issues of the said Pittsburgh Post-Gazette a
hat, as such agent, affiant is du	ly authorized to verify the foregoing statement under	corporation and publisher of the Pittsburgh Post-Gazette, er oath, that affiant is not interested in the subject matter tement as to time, place and character of publication are
	1	COPY OF NOTICE OR PUBLICATION
	L Alakert	PENNSYLVANIA
	PG Publishing Company on to and subscribed before me this day of: 126, 2018  A Company On the property of the	PENNISTANTA PUBLIC UTILITY COMMISSION NOTICE Applications Of Erie Energy Partners LLC For Approval To Offer, Render, or Furnish Services As A Broker Engaged in The Business Brokering Natural Gas Supply Services And Electricity Supply or Electric Generation Services To The Public In the Commonwealth of Pennsylvania. Erie Energy Partners LLC will be filing an application with the Pennsylvania
	Commonwealth of Pennsylvania - Notary Seal Elizabeth R. Chmura, Notary Public Allegheny County My commission expires February 8, 2022 Commission number 1326781 Member, Pennsylvania Association of Notaries	Public Utility Commission ("PUC") for a license to provide natural gas supply services as a natural gas broker engaged in the business of providing natural gas services. Erie Energy Partners LLC will also be filing an application with the PUC for a license to supply electricity or electric generation services as an electricity broker engaged in the business of supplying electricity. Erie
Erie		Energy Partners LLC proposes to broker electricity supply rates, natural gas supply rates, and related services in the service territories of; Columbia Gas, National Fuel Gas, PECO, Peoples- Equinable, Peoples Natural Gas, Peoples Gas, Pe
	To PG Publishing Company	Co., Met Ed, Penelec,
	\$596.75	Light & Power County Light & Power Co., PPL UGI, Wellsboro Electric Company, and West Penn Power under the provi- sions of the new Natural Gas Choice and Competi-
	ther's Receipt for Advertising Cost	I Undice and Competition
of general circulation, he	PANY, publisher of the Pittsburgh Post-Gareby acknowledges receipt of the aforsaid at tifies that the same have been fully paid.	azette, a newspaper advertising and  Act. The PUC may consider this application without a hearing. Protests directed to the tachpical or finan-
Office	PG Publishing Company, a Corporation, Pul	cial fitness of Erie Energy Partners LLC may be filed within 15 days of the date of this notice with the Sec-
2201 Sweeney Drive CLINTON, PA 15026 Phone 412-263-1338	Pittsburgh Post-Gazette, a Newspaper of Ge	retary of the POC, P.O. Box
I hereby certify that the foregoin subject matter of said notice.	ng is the original Proof of Publication and receipt for	the Advertising costs in the destination of the Advertising costs in the destination of the Advertising costs in the Thomas K. Fitzpatrick, 542 Country Club In, Haver 1 own, PA 19083, 814-602-1666

Attorney For

No.

Term.

#### Appendix C

#### **CERTIFICATE OF SERVICE**

On this the <u>al</u> day of <u>Tune</u> 20<u>18</u>, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all <u>NON-CONFIDENTIAL</u> attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:

Bureau of Investigation & Enforcement Pennsylvania Public Utility Commission Commonwealth Keystone Building 400 North Street, 2 West Harrisburg, PA 17120

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120

Small Business Advocate Commerce Building, Suite 202 300 North Second Street Harrisburg, PA 17101

Legal Department West Penn Power d/b/a Allegheny Power 800 Cabin Hill Drive Greensburg, PA 15601-1689

Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

Legal Department First Energy 2800 Pottsville Pike Reading PA, 19612

Citizens' Electric Company Attn: EGS Coordination 1775 Industrial Boulevard Lewisburg, PA 17837

# RECEIVED

JUN 22 2018

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946

Vice President – Energy Supply Corning Natural Gas Holding Corporation 330 West William Street Corning, NY 14830

Manager Energy Acquisition PECO Energy Company 2301 Market Street Philadelphia, PA 19101-8699

Office of General Counsel Attn: Kimberly A. Klock PPL Two North Ninth Street (GENTW3) Allentown, PA 18101-1179

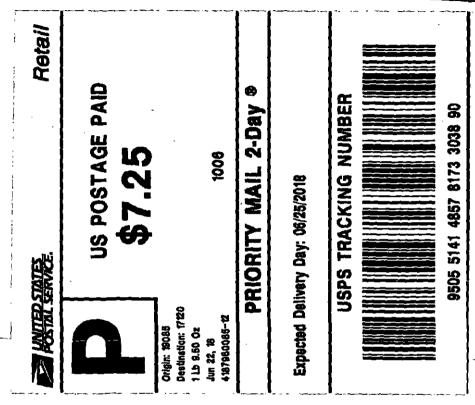
UGI Utilities, Inc. Attn: Rates Dept. – Choice Coordinator 2525 N. 12th Street, Suite 360 Post Office Box 12677 Reading, Pa 19612-2677

Wellsboro Electric Company Attn: EGS Coordination 33 Austin Street P. O. Box 138 Wellsboro, PA 16901

Timothy J. Mikotowicz, President

Erie Energy Partners LLC





Erie Energy Partners LLC 3505 Chapin St. Erie, PA 16508

TO:

Pennsylvania Public Utility Commission Secretary 400 North Street, Keystone Building Harrisburg, PA 17120